

1 Article

# 2 Nrf2 Activator PB125<sup>®</sup> as a Potential Therapeutic 3 Agent Against COVID-19

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11 **Abstract:** Nrf2 is a transcription factor that regulates cellular redox balance and the expression of  
12 a wide array of genes involved in immunity and inflammation, including antiviral actions. Nrf2  
13 activity declines with age, making the elderly more susceptible to oxidative stress-mediated  
14 diseases, which include type 2 diabetes, chronic inflammation, and viral infections. Published  
15 evidence suggests that Nrf2 activity may regulate important mechanisms affecting viral  
16 susceptibility and replication. We examined gene expression levels by GeneChip microarray and  
17 by RNA-seq assays. We found that the potent Nrf2 activating composition PB125<sup>®</sup> downregulates  
18 ACE2 and TMPRSS2 mRNA expression in human liver-derived HepG2 cells. ACE2 is a surface  
19 receptor and TMPRSS2 activates the spike protein for SARS-Cov-2 entry into host cells.  
20 Furthermore, in endotoxin-stimulated primary human pulmonary artery endothelial cells we report  
21 the marked downregulation by PB125 of 36 genes encoding cytokines. These include IL1-beta, IL6,  
22 TNF- $\alpha$ , the cell adhesion molecules ICAM1, VCAM1, and E-selectin, and a group of IFN- $\gamma$ -induced  
23 genes. Many of these cytokines have been specifically identified in the “cytokine storm” observed  
24 in fatal cases of COVID-19, suggesting that Nrf2 activation may significantly decrease the intensity  
25 of the storm.

26 **Keywords:** Nrf2; SARS-CoV-2; coronavirus; COVID-19; ALI; cytokine storm; NFE2L2; TMPRSS2;  
27 HDAC5; LIF; CXCL10; ACE2

28

## 29 1. Introduction

30 Nrf2, is a transcription factor encoded in humans by the NFE2L2 gene. It has been called by  
31 many the “master regulator of cellular redox homeostasis” [1], as well as the “guardian of  
32 healthspan” and “gatekeeper of species longevity” [2]. Nrf2 regulates most of the genes that defends  
33 us against oxidative stress, including superoxide dismutases, catalase, numerous peroxidases, and  
34 glutathione metabolism, as well as hundreds of genes involved in scores of important metabolic  
35 pathways [3]. The individual vulnerabilities of various structural and catalytic gene products to  
36 damage or inactivation by reactive oxygen species (ROS) may lead to degenerative diseases and  
37 metabolic dysfunctions. Importantly, Nrf2 declines with age [4,5] and contributes greatly to the  
38 “frailty” associated with aging [6-8]. Because Nrf2 transcriptionally upregulates genes that combat  
39 oxidative stress, its loss allows oxidative stress to go unmitigated and drive the aging phenotype  
40 [1,8,9]. Oxidative stress is therefore a common theme among the key features associated with the  
41 aging process, collectively referred to as the “hallmarks of aging”, as it disrupts proteostasis [10],  
42 alters genomic stability [11], alters susceptibility to viral and microbial infections [12], and leads to  
43 cell death. It is this age-related frailty [8] that often defines the most vulnerable population in  
44 situations such as the one we currently face with the coronavirus pandemic [13].

45 A number of published studies have implicated Nrf2 as a regulator of susceptibility to  
46 respiratory viral infections. A recent review by Lee [14] points out that virus-induced modulation  
47 of the host antioxidative response has turned out to be a crucial determinant for the progression of  
48 several viral diseases. A virus needs to keep oxidative stress at a level optimal for viral  
49 reproduction, which is higher than normal, to support the viral metabolism but should not be so high  
50 as to kill off the host cell. Viruses have evolved mechanisms for manipulating the Nrf2 pathway in  
51 both directions, depending on the needs of the virus, but importantly taking control away from the  
52 host cell. Among the types of virus studied are influenza virus, respiratory syncytial virus (RSV),  
53 and human metapneumovirus (hMPV) [12,15-18]. The phenomenon is also seen in non-respiratory  
54 viruses including Dengue virus (DENV) [19], rotavirus [20], herpes simplex virus [21], Zika virus  
55 [22], and HIV [23], suggesting that regulation of oxidative stress may be a need common to most, if  
56 not all viruses, and that Nrf2 activators may offer multiple ways to regain control of important  
57 pathways to increase resistance and slow viral replication. We recently described a phytochemical  
58 composition, PB125, that potently activates Nrf2 by controlling multiple steps involved in the process,  
59 especially via the Akt1/PI3K/GSK3 $\beta$ /Fyn pathway [24].

60 The purpose of this study was to evaluate the effects of Nrf2 activation via PB125 on human  
61 liver-derived HepG2 cells as well as on primary human pulmonary artery endothelial cells (HPAEC)  
62 in culture. The endothelial cell has been recently implicated as a major player in the tissue  
63 destruction caused by COVID-19. Varga et al. performed post-mortem analysis of COVID-19  
64 patients finding involvement of endothelial cells across vascular beds of multiple organs, including  
65 electron microscopic evidence of virus particles in renal endothelial cells [25]. Ackermann et al. also  
66 documented virus particles inside the cells. Moreover, they found the lungs of Covid-19 patients  
67 had a distorted vascularity with structurally deformed capillaries that showed sudden changes in  
68 diameter and the presence of intussusceptive pillars that might be explained by drastically  
69 dysregulated angiogenesis [26]. These findings broaden the focus of COVID-19 from a disease of  
70 pulmonary epithelium to one of multi-organ vascular endothelium. Thus, we measured the  
71 expression of genes known to be important for antiviral activity in general, as well as genes with  
72 specific relevance to COVID-19 such as ACE2 and TMPRSS2 which determine whether cell types are  
73 susceptible to viral entry [27], HDAC5 which helps maintain Nrf2 in an activated state [28],  
74 plasminogen (PLG) which is newly recognized as a regulator of cytokine signaling [29], and tissue  
75 plasminogen activator inhibitor, PAI-1 (SERPINE1) which has recently been shown to play an  
76 important role in the inhibition of host proteases (including TMPRSS2) responsible for influenza A  
77 virus maturation and spread [30]. In addition, a group of 36 cytokines expressed by endothelial cells  
78 was significantly downregulated suggesting that PB125 might be useful in attenuating the over-  
79 exuberant production of cytokines known as cytokine release syndrome or a “cytokine storm” that  
80 characterizes a small group of hyperinflammatory conditions that includes graft versus host disease  
81 [31], acute respiratory distress syndrome (ARDS) and COVID-19 [32].

## 82 2. Materials and Methods

### 83 2.1. Materials and Reagents

84 Plant extracts: rosemary extract from *Rosmarinus officinalis* (standardized to 6% carnosol; 15%  
85 carnosic acid) was obtained from Flavex (Rehlingen, Germany), ashwagandha extract from *Withania*  
86 *somnifera* (standardized to 2% withaferin A) was obtained from Verdure Sciences (Noblesville, IN,  
87 USA), and luteolin (standardized to 98% luteolin, from *Sophora japonica*) was obtained from Jiaherb  
88 (Pine Brook, NJ, USA). For making PB125 solutions, the rosemary, ashwagandha, and luteolin  
89 powders were mixed at a 15:5:2 ratio by mass, then extracted at 50 mg of mixed powder per mL in  
90 ethanol overnight and the supernatant isolated [24]. Cell culture: media and antibiotics were  
91 purchased from Thermo Fisher Scientific (Waltham, MA, USA). LPS (lipopolysaccharides from  
92 *Escherichia coli* O55:B5) was from Sigma-Aldrich (St. Louis, MO, USA).

93

## 94 2.2. Cell Culture

95 We utilized the human HepG2 cell line (hepatocellular carcinoma) and primary human  
96 pulmonary artery endothelial cells (HPAEC) for genomic assays. HepG2 and HPAEC cells are  
97 suitable models in the present work because they each have a Nrf2 pathway that responds in a normal  
98 manner to Nrf2 activators [33,34], and do not have reported mutations in Nrf2/KEAP1. The HepG2  
99 cells were cultured and maintained by standard methods, using Opti-MEM medium with 4% fetal  
100 bovine serum (FBS) and geneticin/penicillin/streptomycin. HPAEC cells were procured from Lonza  
101 (catalog # CC-2530) and cultured in Endothelial Basal Media-2 (Lonza catalog #: CC-3516)  
102 supplemented with endothelial growth factors optimized for aortic and pulmonary arterial  
103 endothelial cells (Lonza catalog # CC-3162). HPAEC subculturing was limited to six passages in order  
104 to prevent senescence and de-differentiation. HPAEC were seeded at a density of  $5 \times 10^5$  cells per  
105 100 mm tissue culture dishes and incubated at 37°C and 6.5% CO<sub>2</sub> to 80-90% confluence. All  
106 experiments were performed with HPAEC at 80-90% confluence.

## 107 2.3. IL-6 Protein Assay

108 We used the Human IL-6 Quantiglo ELISA (R&D Systems, Minneapolis, MN) according to the  
109 manufacturer's instructions to determine the concentration of IL-6 protein released from HPAEC  
110 cultured under various conditions.

## 111 2.4. Gene Expression Assays

### 112 2.4.1. Cell Culture and RNA Isolation

113 To examine the effects of PB125 on gene expression in HepG2 cells, the cells were subcultured  
114 in 24-well plates then treated overnight with 0 (control) or 16 µg/mL PB125 (as a 50 mg/mL extract in  
115 100% ethanol). To examine the effects of PB125 on genes that are induced by endotoxin exposure and  
116 which may contribute to the cytokine storm (as is observed in COVID-19 illness), we examined a  
117 model of pro-inflammatory lipopolysaccharide (LPS) treated human pulmonary arterial endothelial  
118 cells, with and without treatment with PB125. HPAEC cells were cultured overnight in 24-well plates  
119 under four conditions: control (untreated); PB125-treated (at 5 µg/mL); LPS-treated (at 20 ng/mL);  
120 and PB125 + LPS treated. Cells were washed twice with PBS, then extracted with Trizol for total RNA  
121 isolation. Further purified with Qiagen RNeasy clean-up columns (QIAGEN Inc., Valencia, CA, USA)  
122 as previously described [24].

### 123 2.4.2. Microarray Assays

124 For each sample RNA concentration was determined by absorbance at 260 nm with a NanoDrop  
125 spectrophotometer (Thermo Fisher Scientific, Waltham, MA, USA). RNA quality was assessed by  
126 Agilent TapeStation 2200 (Agilent, Santa Clara, CA, USA). Gene expressions were determined by the  
127 University of Colorado AMC Genomics and Microarray Core facility (Aurora, CO, USA). The  
128 GeneChip 3' IVT PLUS Reagent Kit (Affymetrix/Thermo Fisher Scientific, Waltham, MA, USA) was  
129 used to convert 150 ng of total RNA to cDNA according to the manufacturer's protocol. Each labeled  
130 sample was assayed with the Affymetrix PrimeView human gene expression array read with an  
131 Affymetrix GeneChip Scanner 3000 (Affymetrix/Thermo Fisher Scientific, Waltham, MA, USA).

132 The gene transcript and variants are examined using 9–11 perfectly matched (PM) probes. The  
133 intensity of expression for all genes on the microarray were evaluated using Affymetrix GeneChip  
134 software (Affymetrix/Thermo Fisher Scientific, Waltham, MA, USA) which supported pair-wise  
135 comparison between microarray chips.

136

### 137 2.4.3. RNA-seq Library Preparation, Sequencing, and Profiling

138 Illumina HiSeq libraries (4 assays based on 4 biological replicates in each treatment group) were  
139 prepared from HepG2 cell samples using 200–500 ng of total RNA following the manufacturer's

140 instructions for the TruSeq RNA kit (Illumina, San Diego, CA, USA). With this kit, mRNA is first  
141 isolated from total RNA using polyA selection, then the mRNA is fragmented and primed for creation  
142 of double-stranded cDNA fragments. Following this, the cDNA fragments are amplified, selected by  
143 size, and purified for cluster generation. Subsequently, the mRNA template libraries were  
144 sequenced on the Illumina HiSeq 4000 platform (Illumina, San Diego, CA, USA) with single pass 50  
145 bp reads at the University of Colorado Anschutz Medical Center Genomics and Microarray Core  
146 Facility (Aurora, CO, USA). Samples were sequenced at a depth to provide approximately 40M single  
147 pass 50 bases reads per sample. The derived sequences were then analyzed with a custom  
148 computational pipeline comprising the open-source GSNAP [35] Cufflinks [36] and R for sequence  
149 alignment and determination of differential gene expression [37]. Reads generated were mapped  
150 by GSNAP [35] to the human genome (GRCH38), expression (FPKM) derived by Cufflinks [36], and  
151 differential expression analyzed with ANOVA in R.

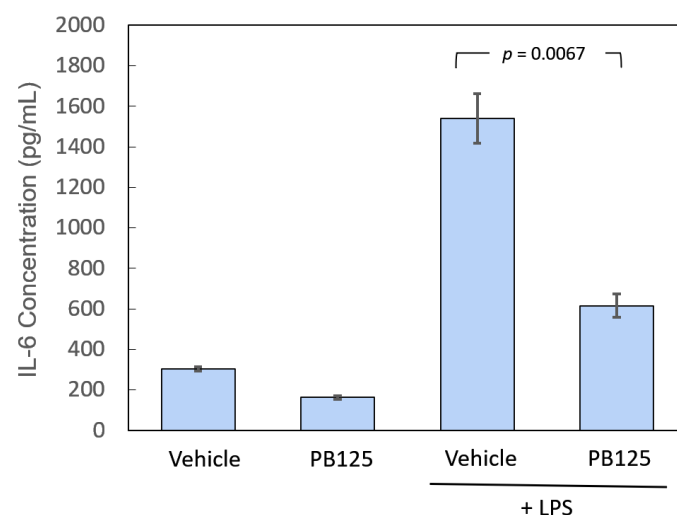
## 152 2.5. Statistical Analysis

153 The data are presented as mean  $\pm$  standard error of the mean (SEM). One-way ANOVA with  
154 Tukey multiple comparisons testing or Student's *t* test for unpaired data were performed using Prism  
155 software (version 6.0, GraphPad Software, San Diego, CA, USA). Statistical significance was set at  
156 *p* value  $< 0.05$ .

## 157 3. Results

### 158 3.1. IL-6 Protein Release

159 Using the ELISA assay for IL-6, we determined that pretreatment of HPAEC with PB125  
160 decreased the LPS-induced release of IL-6 protein from the HPAEC cells. In this study, the HPAEC  
161 cells were plated as described above, then after 24 h they were treated with 5  $\mu\text{g}/\text{mL}$  of PB125 extract  
162 or with the corresponding amounts of vehicle control. After an additional 16 h of incubation, the cells  
163 were treated by adding 20 ng of LPS (or vehicle control) per mL of medium. Each of the four  
164 treatment groups was run in triplicate. After 5 hours of LPS treatment, aliquots of cell culture  
165 medium were removed from each well for IL-6 measurement by ELISA. LPS stimulation of the  
166 vehicle-pretreated HPAEC greatly increased the release of IL-6 protein in to the culture media, but  
167 this LPS-induced IL-6 release was reduced by 61% in the cells pretreated with PB125 ( $p = 0.0067$ ).  
168 The results are shown in Figure 1.

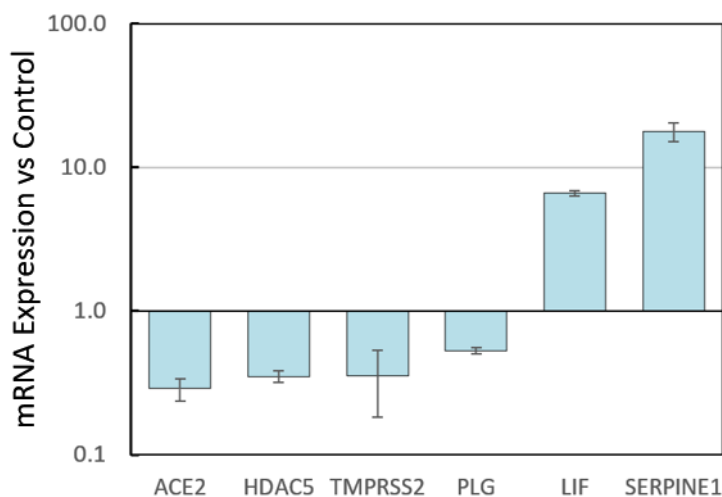


**Figure 1.** IL-6 protein release is attenuated by Nrf2 activation. HPAEC pretreated with 5  $\mu\text{g}/\text{mL}$  PB125, then stimulated 5h with 20 ng/mL LPS had significantly lower levels of IL-6 released into the culture media than vehicle-pretreated HPAEC stimulated with LPS ( $n = 3$  in each group).

169 3.2. Gene Expression

170 3.2.1. HepG2 Gene Expression by RNA-seq

171 Because SARS-CoV-2 entry into a human cell depends on ACE2 for binding and on TMPRSS2  
172 for proteolytic activation of the spike protein [27], we examined the effects of PB125 on the expression  
173 of these two genes. Because inhibition of the protease activity of TMPRSS2 has been shown to block  
174 viral entry [27], we also examined the expression of plasminogen activator inhibitor-1 (PAI-1,  
175 encoded by the SERPINE1 gene), a normal plasma component and known potent inhibitor of  
176 TMPRSS2 [30]. ACE2 mRNA was down regulated -3.5-fold and TMPRSS2 was down-regulated -  
177 2.8-fold by PB125 in human liver-derived HepG2 cells, as seen in Figure 2. While these impediments  
178 may not completely block viral entry, they may significantly impair it, slowing the rate of viral  
179 progression. Furthermore, PB125 strongly up regulated SERPINE1/PAI-1 by 17.8-fold. PB125 down-  
180 regulated HDAC5 in human liver cells by -2.8-fold, also shown in Figure 2. In humans, HDAC5  
181 appears to be responsible for the deacetylation and attenuation of Nrf2 activity [28]. The cytokine  
182 LIF, an important antiviral cellular response to viral infection [38,39], was up regulated 6.6-fold by  
183 PB125. Because of recent evidence that plasmin can trigger substantial proinflammatory release of  
184 cytokines [29], we examined the effect of PB125 on plasminogen (PLG) mRNA expression, finding it  
185 to be down regulated by -1.9-fold. Thus, all six of these gene regulatory effects of PB125 would  
186 appear to counter viral attempts to enter the cell and/or to usurp control of oxidative stress response.



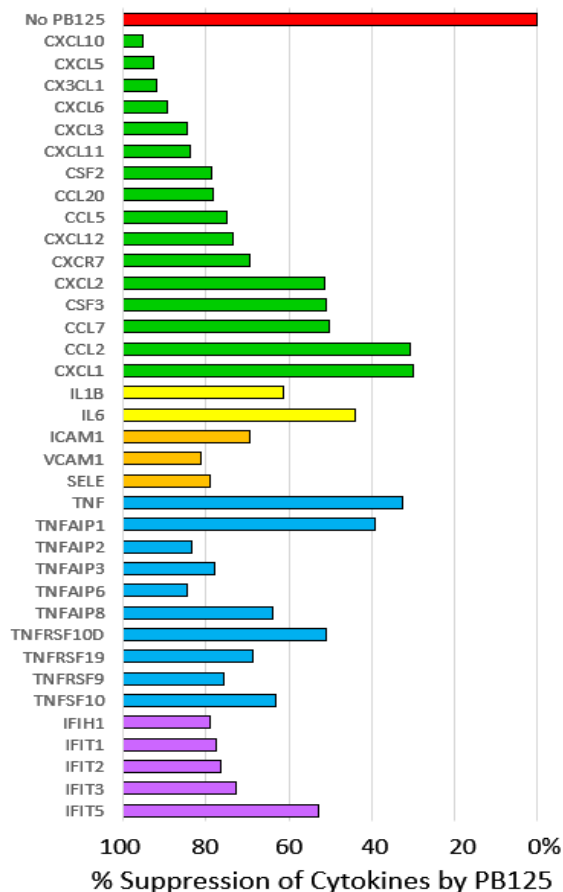
**Figure 2.** Regulation of pro- and anti-viral genes by PB125. HepG2 cells were cultured overnight in 24-well plates with control vs. 16  $\mu\text{g}/\text{mL}$  PB125 and gene expressions were determined using RNA-seq analysis on 4 biological replicates. All six genes differed from control by  $p < 0.04$ .

187 3.2.2. HPAEC Gene Expression by Microarray

188 To examine the effects of PB125 on genes that may contribute to the COVID-19-induced cytokine  
189 storm, we examined a model of lipopolysaccharide (LPS) treated HPAEC, with and without  
190 treatment with PB125. The results are seen in Figure 3. All 36 genes were significantly upregulated  
191 by LPS and normalized to 100% indicated by the red bar (no PB125). Sixteen cytokines, including  
192 two colony stimulating factors, are shown in green, with mRNAs downregulated by PB125 as  
193 indicated. The average percent inhibition for the group of cytokines was 70%. Two  
194 proinflammatory interleukins, IL-1B and IL-6, showed mRNAs inhibited 61% and 44%, respectively.  
195 Three proinflammatory cytokine-induced adhesion molecules, intercellular adhesion molecule 1  
196 (ICAM1), vascular cell adhesion molecule 1 (VCAM1), and endothelial cell selectin (SELE) were



197 suppressed an average of 78%. Tumor necrosis factor, TNF, mRNA was reduced by 33%, but a  
198 group of five TNF-induced proteins (TNFAIPs) were repressed even more, averaging 70%. Four  
199 other genes representing the TNF family were downregulated an average of 65%. Also, a family of  
200 five interferon-inducible genes are shown to be downregulated an average of 63%. This across-the-  
201 board reduction of genes that contribute to the “cytokine storm” is noteworthy as it is the intensity



**Figure 3.** The expression of 36 LPS-induced cytokines in cultured HPAEC was strongly inhibited by PB125 at 5 ug/ml in culture medium. Control expressions were normalized to 100% expression (0% suppression).

202 of this storm that predicts ICU fatalities from COVID-19 [32]. Transforming this storm into a  
203 manageable “shower” is therefore a major therapeutic objective in the clinical management of  
204 COVID-19 patients.

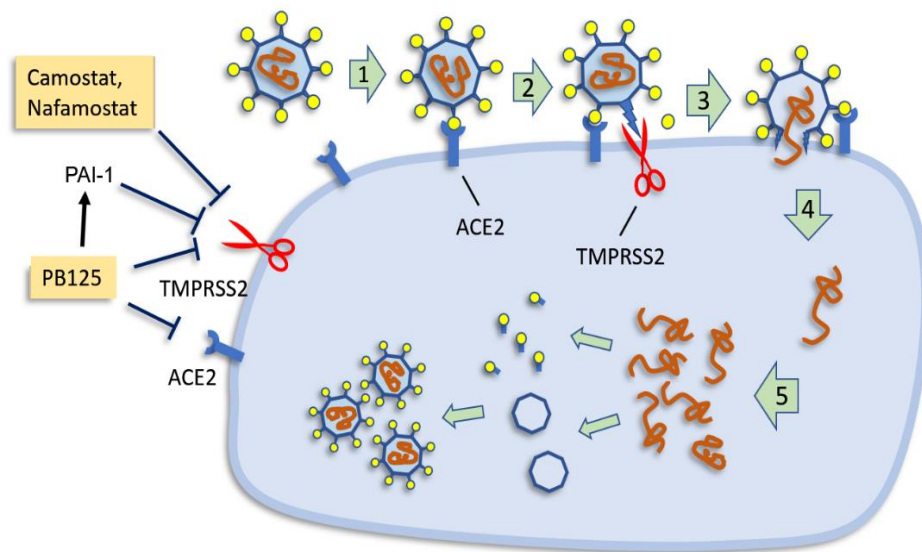
#### 205 4. Discussion

206 The last decade has seen more than 10,000 publications on Nrf2 and its involvement in redox  
207 homeostasis, inflammation and immunity, neurodegeneration, aging and diseases associated with  
208 aging, ischemia-reperfusion injury, and many other areas, but relatively little has been published  
209 regarding its roles in viral infectivity and resistance, despite some rather tantalizing studies. Kesic  
210 et al. showed that siRNA knockdown of Nrf2 expression in human nasal epithelial cells effectively  
211 decreased both Nrf2 mRNA and Nrf2 protein expression in these cells, which correlated with  
212 significantly increased entry of influenza A/Bangkok/1/79 (H3N2 serotype) and replication in the  
213 transduced human cells [12]. Importantly, they also demonstrated that enhancing Nrf2 activation  
214 via supplementation with sulforaphane (SFN) and epigallocatechin gallate (EGCG) increased  
215 antiviral mediators in the absence of viral infection and also abrogated viral entry. Yegeta et al. took  
216 a different approach and increased oxidative stress, not just by manipulating Nrf2 expression  
217 genetically, but by alternatively exposing mice to an exogenous oxidative stress—cigarette smoke

218 [15]. Cigarette smoke-exposed Nrf2-deficient mice showed higher rates of mortality than did wild-  
219 type mice after influenza virus infection, with enhanced peribronchial inflammation, lung  
220 permeability damage, and mucus hypersecretion. Cho et al. [40] have similarly studied respiratory  
221 syncytial virus (RSV) infection, the single most important virus causing acute respiratory tract  
222 infections in children. They found that Nrf2<sup>-/-</sup> mice infected with RSV showed significantly increased  
223 bronchopulmonary inflammation, epithelial injury, and mucus cell metaplasia as well as nasal  
224 epithelial injury when compared to similarly infected Nrf2<sup>+/+</sup> WT mice. The Nrf2<sup>-/-</sup> mice also showed  
225 significantly attenuated viral clearance and IFN- $\gamma$ , and greater weight loss. Importantly,  
226 pretreatment with oral sulforaphane significantly limited lung RSV replication and virus-induced  
227 inflammation in Nrf2<sup>+/+</sup> WT mice. Komaravelli et al. [16-18] have noted that RSV not only causes  
228 increased production of ROS *but actively lowers expression of antioxidant enzymes by increasing the rate of*  
229 *proteasomal degradation of Nrf2*. At 6 h post-infection Nrf2-dependent gene transcription was increased,  
230 indicating that the cell is in control and responding to the insult of viral infection and the increase in  
231 oxidative stress. By 15 h post-infection, however, the concentration of Nrf2 had dropped  
232 significantly to about half its pre-infection level reflecting a change-of-control to favor the virus. To  
233 accomplish this RSV had increased Nrf2 ubiquitination, triggering its proteasomal degradation,  
234 representing one example by which viruses subvert cellular antioxidant defenses. Taken together,  
235 these studies demonstrate the ability of Nrf2 to impede viral entry, slow viral replication, reduce  
236 inflammation, weight loss, and mortality, but without providing much detailed insight as to which  
237 genes and pathways are involved.

238 The first challenge facing a virus, and particularly a virus that is jumping from one host species  
239 to another, is gaining entry to the cell. There are some fairly common ports of entry such as LDLR  
240 and ICAM-1 that lead to endosomal entry and which are shared among various viral families [41].  
241 SARS-CoV-2, however, seems to be largely if not totally restricted to a very specific mode of entry.  
242 The unique mode of entry may be the greatest vulnerability for the virus, opening the door to some  
243 potentially effective therapies. Substantial evidence suggests that a transmembrane protease  
244 encoded by the TMPRSS2 gene plays a critical role in the entry for SARS and MERS coronavirus, for  
245 2013 Asian H7N9 influenza virus, and for several H1N1 subtype influenza A virus infections [27,42-  
246 45], suggesting that targeting TMPRSS2 could be a novel antiviral strategy to treat coronavirus [42].  
247 Hoffmann and coworkers found that infection by SARS-CoV-2, the virus responsible for COVID-19,  
248 may depend almost exclusively on the host cell factors ACE2 and TMPRSS2 [27]. The spike (S)  
249 protein of coronaviruses facilitates viral entry into target cells. Entry depends on binding of the S  
250 protein to a cellular receptor, ACE2, which facilitates viral attachment to the surface of target cells. In  
251 addition, entry requires S protein “priming” by the cellular protease TMPRSS2, which entails S  
252 protein cleavage and allows fusion of viral and cellular membranes (Figure 4). This priming can be  
253 blocked by clinically proven protease inhibitors of the TMPRSS2, Camostat mesylate [27,46] and  
254 Nafamostat mesylate [47]. Nafamostat is remarkably potent with an IC<sub>50</sub> in the nanomolar range for  
255 blocking cellular entry of MERS-CoV in vitro, but has not been clinically tested as an antiviral in  
256 humans. The drug appears not to be available at present in an oral formulation, and because of its  
257 lack of specificity there is concern over possible side effects [47]. It may prove suitable for treatment  
258 of severe COVID-19 cases.

259 TMPRSS2 can also be blocked by the human anti-protease Plasminogen Activator Inhibitor-1, or  
260 PAI-1 [34], as shown in Figure 4. Iwata-Yoshikawa et al. [43] found that knockout of TMPRSS2  
261 improved both pathology and immunopathology in the bronchi and/or alveoli after infection of the  
262 mice by SARS-CoV and completely prevented loss of body weight. This is especially noteworthy in  
263 SARS-CoV infection where TMPRSS2 is not the sole mechanism for entry, as is the case with SARS-  
264 CoV-2. Thus, our data showing that PB125 down regulated ACE2 by -3.5-fold, down regulated  
265 TMPRSS2 by -2.8-fold, and up regulated PAI-1, the potent TMPRSS2 inhibitor, by 17.8-fold (Figure  
266 2) strongly suggest that PB125 treatment might diminish the ability of SARS-CoV-2 to bind to a host  
267 cell and to obtain spike protein activation as a result of less ACE2 and TMPRSS2 on the cell surface,  
268 and as a result of a 17.8-fold increase in plasma PAI-1, which would inhibit the remaining TMPRSS2.  
269 Dittmann et al. [30] have found that influenza A virus (IAV) infection provokes a host response that



**Figure 4.** The replication cycle of SARS-CoV-2. Binding of virus to the cell membrane (1) occurs via ACE2 receptors. Spike protein must then be cleaved (indicated as scissors representing serine protease TMPRSS2) to allow entry into the cell (2). The activated spike protein penetrates the cell membrane (3) allowing entry of the viral genome (4), which is replicated, translated, and assembled into mature virus particles (5). On the left, TMPRSS2 inhibition is shown by the antiviral drugs Camostat and Nafamostat, as well as by plasminogen activator inhibitor, PAI-1, encoded by the SERPINE1 gene. PB125 up regulates PAI-2 and downregulates both TMPRSS2 and ACE2 in HepG2 cells.

270 is both necessary and sufficient for viral inhibition—increased production of PAI-1. They found that  
271 for IAV, proteolytic cleavage of the viral coat protein hemagglutinin by host proteases (such as  
272 plasmin or TMPRSS2) was a requirement for maturation and infectivity of progeny particles.  
273 Addition of recombinant PAI to the apical side of HAEC significantly reduced IAV growth compared  
274 to carrier control, with about 90% inhibition of infectivity at 48 h post infection. In contrast, addition  
275 of  $\alpha$ -PAI-1 antibody dramatically enhanced IAV growth. Thus, many viruses rely on both host  
276 endo- and exo-proteases for various entry and maturation functions. Moreover, they found that  
277 TMPRSS2, necessary for SARS-Cov-2 infection, is among the trypsin-like proteases effectively  
278 inhibited by PAI-1 [30].

279 A recent publication by Kumar et al. [48] has used computer modeling to predict that withaferin  
280 A and withanone, present in extracts of the Ayurvedic plant Ashwagandha (*Withania somnifera*),  
281 stably interact at the catalytic site of TMPRSS2, mimicking the pharmaceutical inhibitor Camostat  
282 mesylate [27,46,48]. In addition, Kumar et al. found that withanone down regulated the TMPRSS2  
283 gene similarly to what we report here with PB125 (Figure 2). Ashwagandha is one of the three  
284 components of PB125, so there is a probability that PB125 may both inhibit the enzymatic activity of  
285 TMPRSS2 as well as down regulating the expression of its mRNA.

286 Quite apart from direct involvement with viral entry mechanisms, PB125 down-regulates  
287 HDAC5 in human liver-derived HepG2 cells by -2.8-fold, also shown in Figure 2. Acetylation of  
288 Nrf2 increases binding of Nrf2 to its cognate response element in a target gene promoter, and  
289 increases Nrf2-dependent transcription of target genes [49]. In humans, HDAC5 appears to be the  
290 isozyme responsible for the deacetylation and attenuation of Nrf2 activity [28], and is likely the gene  
291 up-regulated by RSV infection described above by Komaravelli et al. [18]. Thus PB125, by inhibiting  
292 the deacetylation and subsequent degradation of Nrf2, maintains more active acetylated Nrf2 in the  
293 nucleus for a longer time, counteracting one of the mechanisms enumerated above by which viruses



294 attempt to commandeer control of the cell's redox status and, indirectly, amplifying all Nrf2-  
295 dependent actions.

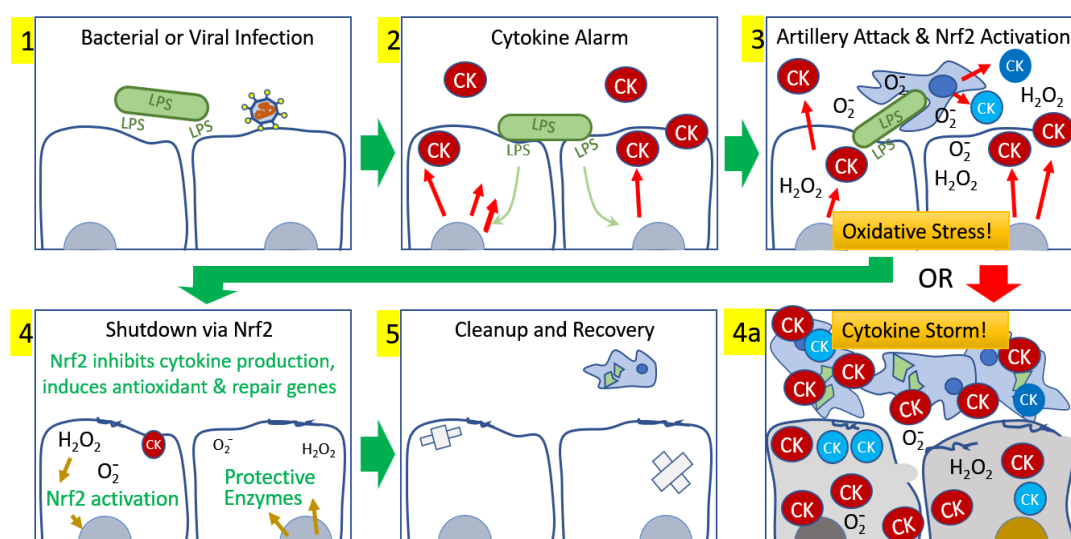
296 The upregulation of the cytokine LIF, an important antiviral cellular response to viral infection,  
297 is shown to be strongly up-regulated by PB125 in Figure 2, again countering potential attempts by a  
298 virus to down-regulate it. LIF gene expression was downregulated by H7N9 infection, and knock-  
299 down of LIF increased virus titers for three influenza A strains investigated, indicating an important  
300 role of LIF in virus defense [39]. In vivo studies were performed with LIF knock-out mice that were  
301 infected with RSV. LIF knock-out mice yielded higher virus titers compared to control mice, and LIF  
302 signaling was shown to be critical for the protection of the lung from injury during [38].

303 While we have discussed the role of PAI-1 in preventing TMPRSS2 from activating the SARS-  
304 CoV-2 spike protein, mention should also be made of what many would consider its "real job", the  
305 blocking of the conversion of plasminogen (encoded by the PLG gene) to plasmin by both urokinase-  
306 type plasminogen activator (uPA) and tissue plasminogen activator (tPA). Fibrinolysis was the first  
307 recognized function for plasmin, so one might expect that high expression of PAI-1 would cause low  
308 plasmin levels which might be a risk factor for venous thrombosis. Genetic plasminogen deficiency,  
309 however, is not strongly associated with risk of thrombosis [50]. In a study of 23 subjects with  
310 homozygous mutations in the PLG gene and little or no detectable plasmin, 96% had clinical  
311 inflammation of the conjunctivae (ligneous conjunctivitis) but 0% had experienced venous  
312 thrombosis [51]. New roles, however, have been recognized for plasmin regarding cytokine release  
313 [29,31,52-54]. A "cytokine storm syndrome" is a form of systemic inflammatory response that can  
314 be triggered by a variety of factors such as severe infections. It occurs when large numbers of  
315 leukocytes are activated and release inflammatory cytokines, which in turn activate yet more  
316 leukocytes. Sato et al. found that pharmacological inhibition of plasmin significantly prevented  
317 mortality in a mouse model of acute graft-versus-host disease, proposing that plasmin inhibition  
318 could offer a novel therapeutic strategy to control the deadly cytokine storm that results from graft-  
319 versus-host disease, preventing tissue destruction [31]. Macrophage activation syndrome (MAS) is  
320 a life-threatening disorder characterized by a cytokine storm and multiorgan dysfunction due to  
321 excessive immune activation. In a mouse model of MAS, Shimazu et al. saw a similar prevention in  
322 lethality, concluding that plasmin regulates the influx of inflammatory cells and the production of  
323 inflammatory cytokines/chemokines [29]. Plasminogen has also been implicated in activation of  
324 astrocytes to produce an array of proinflammatory cytokines [54]. In Figure 2 we report that PB125  
325 downregulates plasminogen mRNA by -1.9-fold in liver cells. Thus, in vivo we speculate that the  
326 combined downregulation of plasminogen and 17.8-fold increase of PAI-1 (which covalently reacts  
327 with and deactivates both tissue- and urokinase-type plasminogen activators) may significantly  
328 attenuate the plasmin-induced cytokine storm phenomenon.

329 Hyper-inflammation in COVID-19 is associated with such an elevation of proinflammatory  
330 cytokines, interleukins, and tumor necrosis factor- $\alpha$  (TNF) and a large number of TNF-induced  
331 proteins, and granulocyte colony stimulating factor (GCSF or CSF3). Among 41 hospitalized  
332 COVID-19 patients in Wuhan, China, all had elevated IL1B, IP10/CXCL10, and MCP1/CCL2. Sixteen  
333 of the patients were subsequently admitted to the ICU and had even higher plasma levels of  
334 GCSF/CSF3, IP10/CXCL10, MCP1/CCL2, MIP1A/CCL3, and TNF $\alpha$ , and the intensity of the cytokine  
335 storm was a strong prognosticator of mortality [55]. All of these genes encoding these COVID-19-  
336 related cytokines appear in Figure 3 as they were significantly up regulated by LPS-treated HPAEC,  
337 and significantly down regulated by PB125 treatment. Importantly, a growing number of studies  
338 conclude that cytokine storm syndrome is the direct cause of death in most COVID-19 fatalities [55-  
339 58].

340 We speculate that the well-documented age-related loss of Nrf2 expression [4,5] is a potential  
341 contributor to the occurrence of a cytokine storm. A longitudinal study of 40 confirmed COVID-19  
342 patients [59] showed that the 13 severe cases, compared to the 27 milder cases, were older (mean age  
343 59.7 vs 43.2), had significantly elevated C-reactive protein (mean 62.9 vs 7.6 mg/l), and showed  
344 consistently higher neutrophil counts and lower lymphocyte counts throughout the two week  
345 observation period. These observations [55,59] document a clear predilection for severity of COVID-

346 19 infection directly associated with age and intensity of inflammatory response, and presumably  
347 inversely associated with Nrf2 expression [8]. The production and self-amplifying nature of an  
348 acute inflammatory response demands a prompt subsequent “survival response” from the host tissue  
349 to break the self-sustaining attraction of neutrophils to inflamed tissues. We propose that it is a  
350 robust oxidative stress-induced activation of Nrf2 in young healthy individuals that follows the  
351 gathering storm and rescues host tissues from irretrievable self-inflicted damage. In older  
352 individuals, or in the presence of comorbidities that may involve chronic inflammation, the Nrf2-  
353 activation response may be insufficient to break the self-perpetuating cycle of events. Figure 5  
354 illustrates this proposed sequence of events. We propose that activating a larger fraction of the  
355 limited Nrf2 available in the elderly or otherwise compromised patients might allow them, like their  
356 younger counterparts, to shut down cytokine production to stop the escalating cytokine storm, and  
357 to begin the recovery and repair phase of the inflammatory episode. This activation boost to  
358 suboptimal levels of Nrf2 can be provided by several pharmacological agents, and as well or better  
359 by a number of phytochemical activators, as we show here with PB125.



**Figure 5.** Development and resolution of an acute inflammatory event. 1) Initiation occurs with bacterial or viral infection, which triggers 2) local production of cytokines by endothelial cells to call in inflammatory cells to neutralize the invasion. 3) An attack ensues in which superoxide and secondary oxidants are produced, phagocytosis occurs, and more cytokines are released by the first responders, calling in subsequent waves of activated inflammatory cells. 4) In young healthy cells the oxidative stress generated by the battle activates Nrf2 and within hours the nearby tissues are inhibiting further tissue cytokine production, rescuing host cells from further damage and permitting 5) repair, cleanup, and recovery. Alternatively, in 4a) older cells deficient in Nrf2 may be unable to mount Nrf2 activation sufficient to break the self-sustaining chain reaction, resulting in an uncontrolled cytokine storm that ultimately destroys the host tissue and leads to death. A more robust activation of the limited Nrf2 available in older cells may be provided by pharmacological or phytochemical Nrf2 activators.

360 It is worth noting that the longitudinal study of COVID-19 patients [59] reported a remarkably  
361 higher concentration of serum ferritin in the severe cases (averaging 835.5  $\mu\text{g/l}$ , with a range of 635.4  
362 to 1538.8) versus the milder cases (averaging 367.8  $\mu\text{g/l}$ , with a range of 174.7 to 522.0). Ferritin has  
363 long been recognized as a source of iron released under inflammatory conditions by the superoxide  
364 radical [60-63]. Under the intense oxidative stress precipitated by a cytokine storm, the release of  
365 iron would catalyze lipid peroxidation and greatly amplify host tissue injury. COVID-19 has

366 already been added to the short list of known “hyperferritinemic” diseases, all of which are  
367 characterized by high serum ferritin and life threatening hyper-inflammation sustained by a  
368 cytokines storm which eventually leads to multi-organ failure [64]. Ferroptosis is a newly described  
369 form of regulated cell death that is iron-dependent and causes cell death by mitochondrial  
370 dysfunction and toxic lipid peroxidation. Nrf2 has been implicated as a “key deterministic  
371 component modulating the onset and outcomes of ferroptotic stress” [65].

372 We studied three different phenomena that may be involved in vulnerability to the SARS-Cov-  
373 2, and these interactive phenomena are not all present in any single cell type. The first objective, to  
374 study the effects of PB125 on expression of ACE2 and TMPRSS2, could be studied in presumed point-  
375 of-entry cells, including alveolar Type II cells [27] and HPAEC [25,26]. There are both clinical and  
376 laboratory suggestions that the virus may invade many organs containing cell types that co-express  
377 ACE2 and TMPRSS2 (heart, gut, kidney, eye), including liver, where we showed down regulation of  
378 both ACE2 and TMPRSS2. Thus, liver is also a relevant cell in which to study these entry genes. A  
379 second objective was to examine whether PB125 could limit systemic plasmin activity by down  
380 regulation of PLG and/or upregulation of PAI-1, both plasma proteins not produced by the cells of  
381 the lung. In this case, the liver is the source of PLG, and is the appropriate cell type to examine. The  
382 source of PAI-1 in plasma isn't known, but may be liver or muscle. For the third objective of whether  
383 PB125 could down regulate the cytokines identified as participating in the cytokine storm  
384 phenomenon, we believe the focus on primary HPAEC is appropriate, although a more complete  
385 picture would include study of the inflammatory cell types themselves. HPAEC generate the 36  
386 cytokines we examined, sounding the systemic alarm that recruits inflammatory cells to the infected  
387 organ. The Type II alveolar cell has very little capacity for producing cytokines [66]. For studying  
388 how an intervention can break the inflammatory cycle leading to a cytokine storm, we believe  
389 vascular endothelial cells may be the single most important players. A potential limitation of the  
390 study is the use of LPS as a surrogate for SARS-CoV-2 to induce the inflammatory response.

391 We propose that one evolutionary driving force for the Nrf2 pathway may have been to provide  
392 a failsafe brake for out-of-control inflammatory events. The increase in oxidative stress at the site of  
393 an intense inflammatory locus may have been the intended trigger for a system to activate Nrf2,  
394 allowing it to end the assault at a point where the invader has likely been vanquished but from which  
395 the host may be able to survive. In fact, the cadre of genes induced by Nrf2 have long been referred  
396 to as “survival genes” [3].

## 397 5. Conclusions

398 We have shown that a group of 42 genes linked to respiratory virus infectivity and resistance, or  
399 to the associated immune response, are responsive to pharmacological Nrf2 activation. It seems  
400 possible that the sum total of these multiple antiviral effects may confer a degree of resistance, may  
401 attenuate viral replication rate, may alleviate symptoms by limiting microvascular injury, and  
402 perhaps allow successful navigation through the “cytokine storm” that is a particular problem with  
403 COVID-19. Even though the never-ending evolutionary war-of-wits continues, and the viruses  
404 occasionally win a battle, this scenario of the complex and multi-faceted antiviral mechanisms  
405 regulated by Nrf2 serves to underscore the importance of this very central transcription factor in  
406 keeping us protected and functional.

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408 investigation (A.C.G., B.G.); data analysis (J.M.M., A.C.G., B.G.); manuscript preparation (J.M.M., B.M.H),  
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419

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