


## Synergistic effect of combining sunitinib with a peptide-based vaccine in cancer treatment after microenvironment remodeling

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### ABSTRACT

Although it has proven difficult to demonstrate the clinical efficacy of therapeutic vaccination as a monotherapy in advanced cancers, its combination with an immunomodulatory treatment to reduce intra-tumor immunosuppression and improve vaccine efficacy is a very promising strategy. In this context, we are studying the combination of a vaccine composed of peptides of the tumor antigen survivin (SVX vaccine) with the anti-angiogenic agent sunitinib in a colorectal carcinoma model. To this end, we have been focusing on administration scheduling and have highlighted a therapeutic synergy between SVX vaccine and sunitinib when the vaccine was administered at the end of anti-angiogenic treatment. In this setting, a prolonged control of tumor growth associated with an important percentage of complete tumor regression was observed. Studying the remodeling induced by each therapy on the immunological and angiogenic tumor microenvironment over time we observed, during sunitinib treatment, a transient increase in polymorphonuclear myeloid-derived suppressor cells (PMN-MDSCs) and a decrease in NK cells in the tumor microenvironment. In contrast, after sunitinib treatment was stopped, a decrease in PMN-MDSC populations has been observed in the tumor, associated with an increase in NK cells, pericyte coverage of tumor vessels and CD8<sup>+</sup> T cell population and functionality. In conclusion, sunitinib treatment results in the promotion of an immune-favorable tumor microenvironment that can guide the optimal sequence of vaccine and anti-angiogenic combination to reinforce their synergy.

### ARTICLE HISTORY

Received 4 February 2022  
Revised 2 August 2022  
Accepted 2 August 2022

### KEYWORDS

Therapeutic combinations; cancer vaccine; anti-angiogenic treatment; tumor microenvironment; NK cells; MDSCs; CD8<sup>+</sup> T cell infiltrate

## Introduction

The development of immunotherapies and targeted treatment has led to significant progress in successfully managing cancer. Nevertheless, each of these strategies used as monotherapy is only effective in a limited number of patients and problems of toxicity and resistance to treatment arise.<sup>1–4</sup> To overcome these difficulties, combination therapy approaches are emerging and are the subject of a significant number of clinical trials.<sup>5–9</sup>

In this regard, the combination of therapeutic vaccination with other immunomodulatory treatments seems very promising.<sup>10–12</sup> Indeed, although it has proven difficult to demonstrate the clinical efficacy of therapeutic vaccination as a monotherapy, it remains the only immunotherapy capable of inducing an antitumor-specific immune response. Its combination with immunomodulatory treatments to reduce intra-tumor immunosuppression is the subject of numerous studies.

Among these, the combination of therapeutic vaccination with an anti-angiogenic agent seems particularly relevant as tumor angiogenesis plays an important immunosuppressive role during cancer development.<sup>13–15</sup> The tortuous structure of tumor-associated vessels and their leakage contribute to the establishment of a hypoxic microenvironment known to alter the immune response, in particular through the involvement of HIF transcription factors in immunometabolism.<sup>16</sup>

In addition, the anergic phenotype of tumor-associated endothelial cells contributes to the formation of a physical barrier to the infiltration of effector immune cells into the tumor microenvironment and acts as a vascular checkpoint participating in the immune escape induced during tumor development.<sup>17</sup> The combination of anti-angiogenic treatment (AAT) with cancer vaccine could therefore limit the induction of such an immunosuppressive microenvironment and facilitate the entry and functionality of vaccine-induced specific T cells.

The apoptosis inhibitor survivin is a tumor-associated antigen of particular interest as a target for anti-cancer immunotherapies as it is highly overexpressed in many cancers.<sup>18</sup> High expression of survivin by tumor cells is associated with decreased overall survival, increased recurrence and resistance to cytotoxic therapies.<sup>19</sup> In addition to its role in apoptosis and cell division in tumor cells, survivin expression is also associated with the development of tumor angiogenesis by participating in the escalation of vascular growth factor VEGF-A production in the tumor microenvironment.<sup>20</sup>

We have previously demonstrated the therapeutic efficacy of a new cancer vaccine (SVX) composed of long synthetic peptides of the survivin protein in different tumor models. This vaccine containing multiple CD4 and CD8 epitopes has shown

immunogenicity *in vitro* in healthy donors and spontaneous responses against the peptides have been observed in patients with different cancers. Immunization of tumor-bearing mice induced specific and memory multifunctional CD8<sup>+</sup> and CD4<sup>+</sup> T cell responses.<sup>21</sup> However, an escape in terms of tumor growth was observed in animals failing to achieve complete tumor regression and optimization strategies need to be considered.

In this study, we thus investigated the optimization of the SVX vaccine in combination with the AAT sunitinib (a tyrosine kinase inhibitor that mainly targets the VEGF-VEGFR pathway) by focusing on the importance of the administration schedule between these two therapies and by studying the immune remodeling induced by this anti-angiogenic molecule.

## Materials and methods

### Mice

Female BALB/cANRj mice from Janvier Labs were used in experiments at 8–10 weeks of age. All mice were housed in the INSERM U970-PARCC animal facility under specific pathogen-free conditions. Experiments were approved by the local Paris-Descartes Ethics Committee for Animal Research (CEEA 19–027).

### Tumor cells

CT26 colorectal carcinoma and Renca renal cortical adenocarcinoma cell lines (from ATCC) were used as previously described.<sup>21</sup>

### Vaccine and adjuvants

SVX vaccine is composed of three long synthetic peptides derived from the native sequence of the human tumor antigen survivin: S1 (17–34), S2 (84–110) and S3 (122–142), purchased from Genosphere Biotechnologies. As previously described,<sup>21</sup> prime immunization consists of a subcutaneous (s.c.) injection with 100 µg of each SVX peptide (S1+ S2+ S3) adjuvanted with 50 µg of CpG oligonucleotides (Litenimod, Oligovax SAS) emulsified in incomplete Freund's adjuvant (IFA, Sigma-Aldrich). A boost is performed 7 days after the first immunization without adjuvant.

### Tumor inoculation, immunizations, and sunitinib treatment

Mice were s.c. inoculated with  $5 \times 10^5$  CT26 cells suspended in 100 µL PBS into the right side of the abdomen. Tumor growth was monitored twice a week using a caliper and tumor volumes were calculated as  $(\text{tumor length} \times \text{tumor width}^2)/2$ . In experiments studying the impact of SVX vaccination on tumor angiogenesis, mice were immunized with SVX + CpG/IFA at day 4 and boosted one week later (d11). In all conditions using anti-angiogenic therapy, 0.8 mg/mice of sunitinib malate powder (Pfizer) was solubilized in a final volume of 100 µL of DMSO:PBS (1:1), corresponding to a treatment of 40 mg/kg/day, and administered by oral gavage for 14 consecutive days.

When testing different administration schedules between SVX vaccine and sunitinib, the treatment protocol started either with vaccination or sunitinib as further detailed in the manuscript. As tumor growth varies over time with each treatment, the mice were separated into two groups at the start of each treatment so that each group contained mice with equal variations in tumor volume.

### VEGF-A dosage

Tumors harvested at different time points were weighed and mechanically dissociated in Bio-Plex Cell Lysis buffer (Bio-Rad) using gentleMACS Dissociator (Miltenyi Biotec) and total proteins were determined by bicinchoninic acid assay (Pierce, Thermo Fisher Scientific). VEGF-A levels in tumor lysates were evaluated by enzyme-linked immunosorbent assay (ELISA) using the manufacturer's protocol on 100 µg of protein (Mouse VEGF DuoSet ELISA, R&D Systems Bio-Techne).

### Immunofluorescence in situ and quantification

To assess tumor hypoxia, mice were intraperitoneally (i.p.) injected with 1.5 mg of pimonidazole hydrochloride (Hypoxyprobe<sup>TM</sup>) one hour prior to sacrifice. Frozen tumor sections (7 µm) were fixed in ice-cold acetone for 5 min, blocked for endogenous biotins (Avidin/Biotin Blocking Kit, Vector Laboratories) and saturated with a 5% bovine serum albumin solution (Sigma-Aldrich) for 30 minutes. Endothelial cells were labeled with a FITC-conjugated rat anti-mouse CD31 antibody (5 µg/mL, BioPharmingen 553372). Pericytes were either detected with biotin-coupled anti- $\alpha$ -sma primary antibody (2 µg/mL, Invitrogen MA5-11544) associated with Streptavidin-Cy3 (6 µg/mL JIR 016–160-084) or with a rabbit anti-NG2 primary antibody (5 µg/mL, Millipore AB5320) associated with Cy5 anti-rabbit antibody (1.5 µg/mL, JIR 711–175-152). Rabbit anti-pimonidazole antisera (1:100, Hypoxyprobe Omni Kit Pab2627) associated with Cy5 anti-rabbit antibody (5 µg/mL, JIR 711–175-152) and rat anti-CD8 primary antibody (2 µg/mL, eBioscience 14–0081-86) associated with AF594 anti-rat IgG (3.75 µg/mL, JIR 112–585-167) were used to analyze tumor hypoxia and CD8<sup>+</sup> T cells respectively. Nuclei were labeled by DAPI staining (1 µg/mL). Stained tumor sections were scanned with a Vectra<sup>®</sup> automated microscope with 8–10 fields examined with InForm<sup>®</sup> Software (Akoya Biosciences). A tissue segmentation algorithm was used to determine either hypoxic areas, endothelial cells or pericytes by analyzing the percentage of positive areas for the associated markers. CD8<sup>+</sup> cell counts were determined using a cell segmentation algorithm combined with phenotyping.

### Isolation of immune cells from tumors and spleens

Spleens were collected and pressed through a 40 µm cell strainer, whereas tumors were chopped into small pieces with a scalpel, mechanically dissociated using gentleMACS Dissociator (Miltenyi Biotec) and filtered through a 70 µm cell strainer.

Red blood cells were lysed in every sample with osmotic lysis buffer (NH<sub>4</sub>Cl 8.3 g/L, KHCO<sub>3</sub> 1 g/L, EDTA 500 mM). Cells were then washed in PBS to obtain a single-cell suspension.

### Luminex

CD8<sup>+</sup> T cells isolated from tumors were enriched by negative selection using EasySep™ Mouse CD8<sup>+</sup> T cell Isolation Kit (STEMCELL Technologies) and 5 × 10<sup>4</sup> cells/well were *in vitro* restimulated with anti-CD3/anti-CD28 beads (Thermo Fisher Scientific) following manufacturer's protocol. At 24 h, supernatants were collected and a bead-based multiplex immunoassay (Luminex) was performed according to manufacturer's indications (Bio-Rad) and analyzed on Bio-Plex 200 (Bio-Rad) to measure the levels of cytokines produced. The analytes concentration was calculated using a standard curve (5-PL regression) with Bio-Plex manager software. Non-detectable or non-relevant cytokines were not presented.

### Flow cytometry

After performing cell viability labeling using the Live/Dead Fixable Aqua Dead Cell Stain Kit (Invitrogen) and FcR blocking with anti-CD16/32 antibody (clone 93), the following antibodies were used for surface staining: anti-mouse CD3 (145-2C11), CD45 (30-F11), MHC-II (M5/114.15.2), CD11c (N418), Ly-6C (HK1.4), CD8 (53-6.7), NKp46 (29A1.4), CD4 (RM4-5), CD11b (M1/70), Ly-6 G (1A8), F4/80 (T45-2342), and PD-1 (J43). For intracellular staining, samples were fixed/permeabilized using the FoxP3/Transcription Factor Staining Buffer Set (Invitrogen) and stained with anti-mouse FoxP3 (FJK-16s), anti-mouse Ki-67 (B56) and anti-human/mouse Granzyme B (GB11). All antibodies were purchased from BD Bioscience, Biolegend and Invitrogen. Acquisitions were performed on BD Fortessa X20 (Becton Dickinson), and data were analyzed with FlowJo Software (BD Biosciences).

### Statistical analysis

Results are expressed as means ± SEM. Statistical comparisons were established using Prism V.9 GraphPad Software (San Diego California, USA). Statistical significance was determined by Mann-Whitney t-test or two-way ANOVA (analysis of variance) using Sidak's multiple comparisons test and Tukey's multiple comparisons test when appropriate. For correlation analysis, a Spearman correlation was performed. P-values lower than 0.05 (\*) were considered significant.

## Results

### SVX therapeutic vaccine modulates tumor angiogenesis by transiently decreasing VEGF-A levels in the tumor

As we already demonstrated in our previous study,<sup>21</sup> the SVX vaccine allows a good control of tumor growth (Suppl. S1, A), but a progressive tumor escape occurred in mice that had not completely eliminated the tumor.

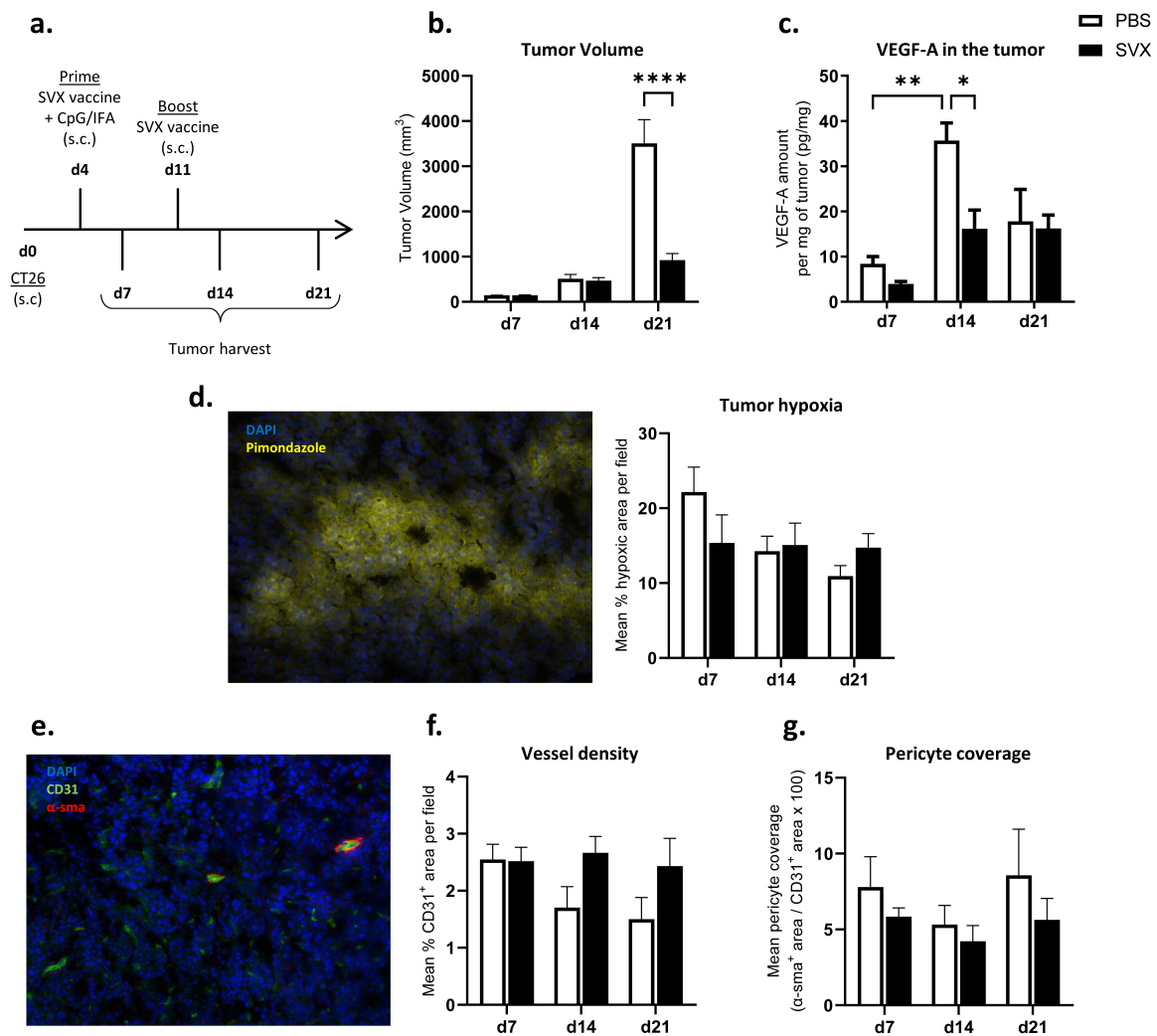
With the overall objective of combining the vaccine with an anti-angiogenic treatment and given the previously demonstrated link between survivin and VEGF-A expression,<sup>20</sup> we studied the impact of SVX vaccine on tumor neoangiogenesis over time. CT26 tumors were therefore harvested at different time after immunization to study VEGF-A levels, tumor hypoxia, microvascular density as well as pericyte coverage (Figure 1a). We observed an increase in the levels of VEGF-A per mg of tumor in control mice at day 14 compared to day 7 (Figure 1c) at a time when tumor volume reached approximately 500 mm<sup>3</sup> (Figure 1b). At the same time, the levels of VEGF-A in the tumor lysate of vaccinated mice were much lower and similar to those observed at day 21 in both groups (Figure 1c).

Tumor hypoxia in vaccinated and control mice was studied by *in situ* immunofluorescence using pimonidazole adduct staining, but no difference in hypoxic areas was observed throughout the experiment (Figure 1d, Suppl. S1, B). We further studied the vessel and pericyte density in these tumors (Figure 1e). No significant difference was observed in microvascular density over time in the control and treated groups (Figure 1f; Suppl. S1, C). Finally, although the SVX vaccine did not seem to affect tumor pericyte density (Suppl. S1, D-E) or pericyte coverage (Figure 1g), a negative correlation between pericyte density and tumor size at sacrifice was observed (Suppl. S1, F).

These results show that SVX vaccine slightly modulates tumor angiogenesis by decreasing VEGF-A levels in the tumor and suggest that it could benefit from a combination with an anti-angiogenic treatment to overcome microenvironment immunosuppression.

### Combination of SVX vaccine and sunitinib shows synergistic effect when vaccine is administered after anti-angiogenic treatment

To assess the potential synergistic effect of combining SVX vaccine with an anti-angiogenic treatment, we selected sunitinib, which is used in the treatment of different cancers<sup>4,22</sup> and which mainly targets the VEGF-VEGFR pathway and is known to modulate immunosuppression.<sup>23,24</sup> We first set up two combination strategies in which the vaccination preceded the sunitinib treatment. The administration of sunitinib was then performed either after vaccine prime (d7) or vaccine boost (d14). When sunitinib was administered from day 7 to day 20, we observed marked control of tumor growth in the group treated with sunitinib alone compared with the control group (DMSO). However, no benefit accrued by adding SVX vaccine prior to sunitinib in this setting (Figure 2a). Importantly, delaying the start of sunitinib treatment gave a worse outcome. When administered alone, its effect on tumor growth control was lost and the addition of vaccination before treatment had no effect. Both the SVX + sunitinib and the sunitinib groups exhibited tumor growth similar to that in the control group (Figure 2b). Although no synergy was observed, these initial experiments demonstrated that early sunitinib administration provided sufficient tumor mass control to consider delaying immunization with the SVX vaccine.



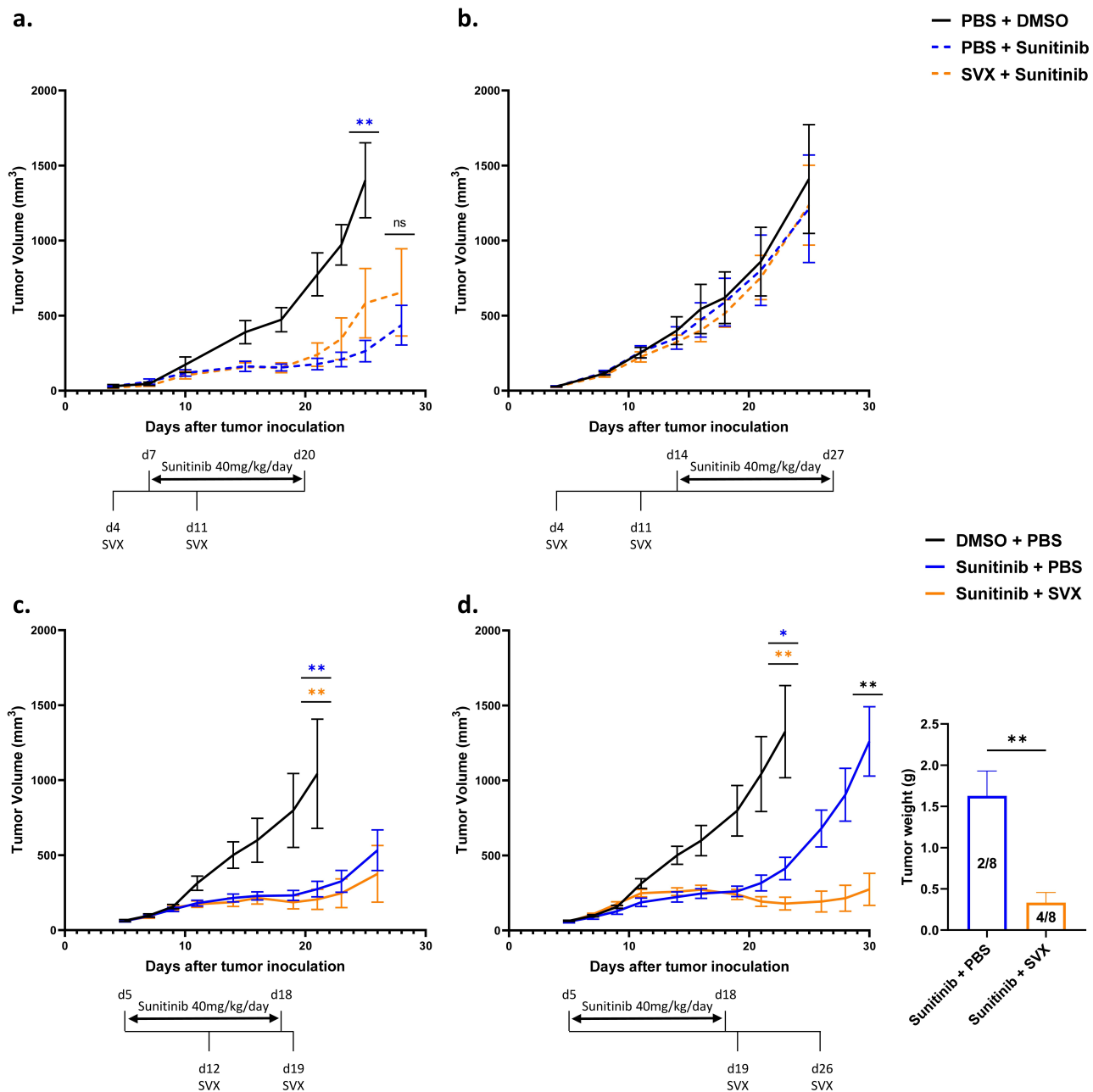
**Figure 1.** SVX vaccine modulates tumor angiogenesis with a transient decrease in VEGF levels in the tumor. As represented in (a), mice were s.c. inoculated with CT26 tumor cells and immunized with SVX vaccine (s.c.) plus adjuvant on day 4 and on day 11 (without adjuvant) or injected with PBS for the control group. Tumors were harvested on days 7, 14, and 21 ( $n = 10\text{--}12$  mice/group/day) to perform tumor lysate or immunofluorescence staining on frozen tissue sections. (b) Histograms represent tumor volume ( $\text{mm}^3$ ) at sacrifice for each group. (c) VEGF-A levels measured by enzyme-linked immunosorbent assay in total tumor lysate per mg of tumor from SVX-treated or control mice at different time points. (d-g) Mice were sacrificed one hour after hypoxyprobe injection (i.p.). (d) Tissues were stained for pimonidazole adducts (Hypoxyprobe, yellow) and hypoxic areas were determined using Inform<sup>®</sup> tissue segmentation analysis and quantified as mean percentage of hypoxic area per field (right). (e) Tumors were also stained for endothelial cells (CD31, green), pericytes ( $\alpha\text{-sma}$ , red) and nucleus (DAPI, blue) and Inform<sup>®</sup> tissue segmentation algorithms were also applied. (f) Microvascular density was assessed as the mean percentage of CD31<sup>+</sup> area per field. (g) Pericyte coverage was calculated as  $[\alpha\text{-sma}^+\text{area}/\text{CD31}^+\text{area} \times 100]$ . Data are shown as means  $\pm$  SEM and are the pool of two independent experiments. Statistical analysis was performed using two-way Anova with Sidak's multiple comparisons test (b, d, f, g) and with Tukey's multiple comparisons test (c). \*  $P < .05$ ; \*\*  $P < .01$ ; \*\*\*\*  $P < .0001$ .

In this context, we set up two other combination strategies to assess the potential benefit of adding SVX vaccine after the initiation of sunitinib treatment (d5 to d18). The SVX vaccine was added after 1 week (d12) or at the end of sunitinib treatment (d19). The combination in which the vaccine was added concomitantly with sunitinib treatment (vaccine at d12) did not provide a beneficial effect. A good control of tumor volume was observed during sunitinib treatment followed by the onset of tumor regrowth after stoppage of anti-angiogenic treatment in both groups (Figure 2c).

In contrast, when the vaccine was added at the end of sunitinib treatment, a synergy between the two therapies was observed (Figure 2d left). In this setting, in the group treated

with sunitinib alone, tumor growth was well controlled throughout sunitinib treatment and then escaped after sunitinib was stopped. The addition of the SVX vaccine at this time enabled a very low tumor mass to be maintained over time and was associated with 50% (4/8) complete tumor regression compared to 25% (2/8) in mice treated with sunitinib alone (Figure 2d right). The therapeutic effect of this combination was also confirmed in a model of renal cortical adenocarcinoma (Suppl. S2).

Altogether, these experiments highlight a lack of synergy in concomitant combination strategies between the vaccine and sunitinib, and a significant benefit of the combination when the vaccine is added subsequently to the anti-angiogenic treatment.

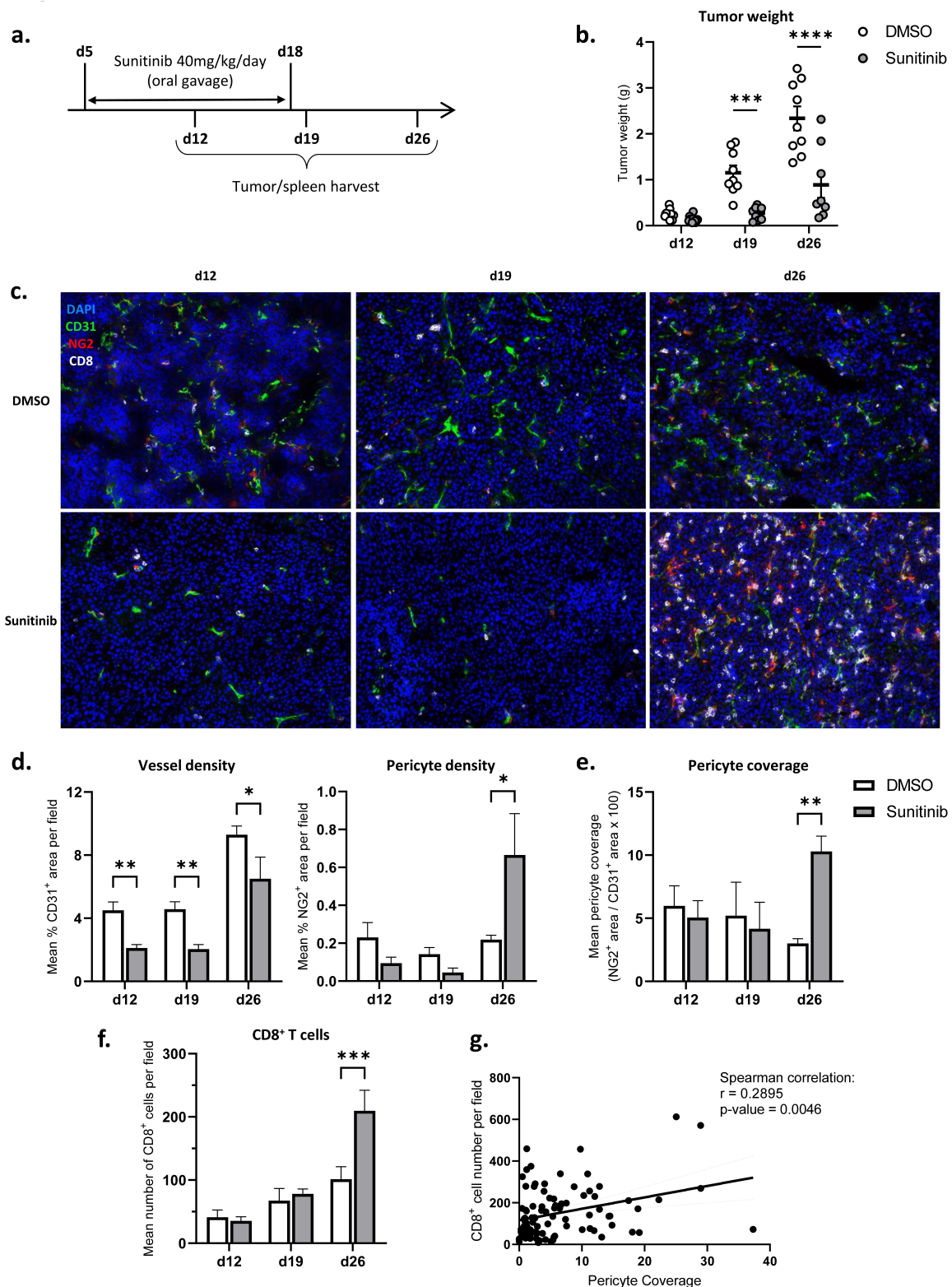


**Figure 2.** Strong therapeutic efficacy of the combination when the vaccination is initiated at the end of sunitinib treatment. Mice ( $n = 8\text{--}10/\text{group}$ ) were s.c. inoculated with CT26 tumor cells. In a first experimental setting (a/b), mice were immunized on day 4 (with adjuvants) and on day 11 (without adjuvant) (SVX). Sunitinib treatment was started, daily by oral gavage either on day 7 (a) or on day 14 (b) for a period of 14 consecutive days at a dosing equivalent to 40 mg/kg. In a second experimental setting (c/d), sunitinib treatment was started 5 days after tumor inoculation and mice were immunized for the first time either on day 12 (c) or on day 19 (d) with a second immunization one week after. In every setting, the combination group was compared to a sunitinib-treated group and a control group (DMSO). Data are presented as mean tumor volume (mm<sup>3</sup>) calculated as  $[\text{length} \cdot (\text{width}^2)/2] \pm \text{SEM}$  and as tumor weight at sacrifice (gram)  $\pm \text{SEM}$  with the number of complete regressions indicated. Colored stars represent statistical significance between the control group and either the sunitinib-treated group (blue) or combined sunitinib + vaccine group (orange). Black stars represent statistical significance between the sunitinib-treated group and combined sunitinib + vaccine group. Statistical analysis was performed using two-way Anova with Sidak's multiple comparisons for differences in tumor volume and with the Mann-Whitney t-test for differences in tumor mass (d, right). \*  $P < .05$ ; \*\*  $P < .01$ .

### **A marked increase in pericyte coverage and CD8<sup>+</sup> T cell infiltration is observed only after sunitinib treatment is stopped**

In order to understand the remodeling parameters induced by sunitinib on the tumor microenvironment and to explain the synergy of action observed when the SVX vaccine is added at the end of the anti-angiogenic treatment, we studied the impact of sunitinib over time on tumor angiogenesis and the immune infiltrate.

Tumor-bearing mice were therefore treated with sunitinib for 14 days starting d5 to d18 and tumors of control or treated mice were harvested at different times of the treatment, i.e. halfway through the treatment on d12, at the end of the treatment (d19) and one week after treatment was completed (d26) (Figure 3a). The therapeutic efficacy of sunitinib in terms of tumor mass control was significantly observed starting from d19, after 2 weeks of treatment (Figure 3b). We assessed the impact of



**Figure 3.** Pericyte coverage and CD8<sup>+</sup> T cell infiltration increase one week after the interruption of sunitinib. As represented in (a), mice were s.c. inoculated with CT26 tumor cells and daily treated with 40 mg/kg of sunitinib by oral gavage starting on day 5 for a period of 14 days. Tumors and spleens were harvested either after one week of sunitinib treatment (d12), at the end of sunitinib treatment (d19) or one week after stopping the treatment (d26). Tumors were frozen to perform immunofluorescence *in situ* analysis of tumor vasculature (n = 8–10 mice/group/day). (b) Tumor mass (gram) of treated or control mice at different time points of sacrifice. (c) Representative fields of control and treated tumors at d12, d19, and d26 stained for endothelial cells (CD31, green), pericytes (NG2, red), CD8<sup>+</sup> T cells (CD8, white) and nucleus (DAPI, blue). (d) InForm tissue segmentation algorithms were used to determine the CD31<sup>+</sup> and NG2<sup>+</sup> areas representative of vascular density (left) and pericyte density (right) respectively. (e) Pericyte coverage was calculated as [NG2<sup>+</sup>area/CD31<sup>+</sup> area × 100]. (f) InForm cell segmentation and phenotyping algorithm was used to determine the number of CD8<sup>+</sup> cells per field. (g) Correlation between the number of CD8<sup>+</sup> cells and pericyte coverage per field at day 26 independently of treatment. Spearman correlation was used with a simple linear regression represented with the 95% confidence bands of the best-fit line. Data are shown as means ± SEM. Statistical analysis was performed using two-way Anova with Sidak's multiple comparisons test (b, d, e, f). \*  $P < .05$ ; \*\*  $P < .01$ ; \*\*\*  $P < .001$ ; \*\*\*\*  $P < .0001$ .

sunitinib on microvascular density, pericyte coverage and CD8<sup>+</sup> T cell infiltrate by *in situ* immunofluorescence (Figure 3c and Suppl. S3).

We observed an impact of sunitinib on microvascular density, during (d12 and d19) and after sunitinib treatment (d26), with a significant decrease in CD31<sup>+</sup> areas in treated compared to non-treated mice (Figure 3d left). However, one week after the end of treatment (d26), the density of pericytes in the tumors was markedly increased in treated mice (Figure 3d right), leading to enhanced pericyte coverage at this time point (Figure 3e).

A strong increase in CD8<sup>+</sup> T cell density was also highlighted after anti-angiogenic treatment discontinuation in the treated group (Figure 3f) and a positive correlation was observed between CD8<sup>+</sup> T cell infiltration and pericyte coverage of the tumors at d26, regardless of the group (Figure 3g).

### **Sunitinib treatment globally reduces the myeloid population in the spleen while transiently increasing polymorphonuclear MDSCs infiltrating the tumor**

To further understand the remodeling induced by sunitinib during and after treatment we studied, by flow cytometry, the impact of the anti-angiogenic treatment on the different immune cell populations present in the tumor and in the spleen. First of all, the global immune infiltrate of the tumors was impacted by sunitinib treatment with a massive increase in the overall infiltration of CD45<sup>+</sup> immune cells per gram of tumor at d26 in mice previously treated with sunitinib (Figure 4a). Given these important variations in terms of immune cell number between the different groups, we then studied the distribution of the different populations within this immune infiltrate, starting with the different myeloid populations.

Different studies have previously demonstrated that sunitinib could have an impact on immunosuppressive populations, in particular on the myeloid-derived suppressor cell (MDSC) population in the spleen.<sup>25,26</sup> According to the literature<sup>27,28</sup> and following the gating strategy presented in Suppl. S4, A, we studied the proportion of monocytic MDSCs (M-MDSCs) and polymorphonuclear MDSCs (PMN-MDSCs) among the immune cells in the spleen and tumor of both sunitinib-treated and non-treated mice over time, as well as the proportion of macrophages and dendritic cells.

Regarding the impact of sunitinib treatment on myeloid cells in the periphery, we observed a decrease in both PMN-MDSC and M-MDSC populations in the spleen of sunitinib-treated mice (Figure 4b), as previously described.<sup>25,26</sup> Over time, MDSC populations, in particular PMN-MDSC populations, represented an increasing proportion of the immune cells in the spleen of control mice while remaining low in sunitinib-treated mice at all time points of the experiment (Figure 4c). In addition to this decrease in MDSCs in the spleen, a reduction in macrophages was also observed after two weeks of sunitinib treatment (d19) (Figure 4d) as well as a reduction of dendritic cells during the anti-angiogenic treatment (Suppl. S5. A).

Although an accumulation of PMN-MDSCs overtime was also detected in the tumors of control mice, the impact of sunitinib on this infiltrating population was different than in the periphery. Indeed, an increase in the PMN-MDSC population infiltrating the tumors of treated animals was observed during sunitinib treatment, significantly at d19, followed by a decrease of this population after sunitinib treatment was stopped (d26) compared to control mice (Figure 4e left). On the other hand, no change in the proportion of M-MDSCs infiltrating tumors was observed over time in treated or control animals (Figure 4e right).

Finally, sunitinib treatment also impacted tumor-infiltrating macrophages, with a decrease in their proportion throughout the entire study which was statistically significant at d12 and d19 (Figure 4f). Alongside this decrease in macrophage populations, their phenotype also appears to be influenced by anti-angiogenic treatment with an increase in MHC class II expressing macrophages (Suppl. S5, B-C).

These results thus show a similar impact of sunitinib on macrophages in the spleen and the tumor, but also highlight differences in the modulation of PMN-MDSCs between the tumor and the periphery.

### **Substantial increase in NK cell population and proliferation one week after sunitinib treatment was stopped**

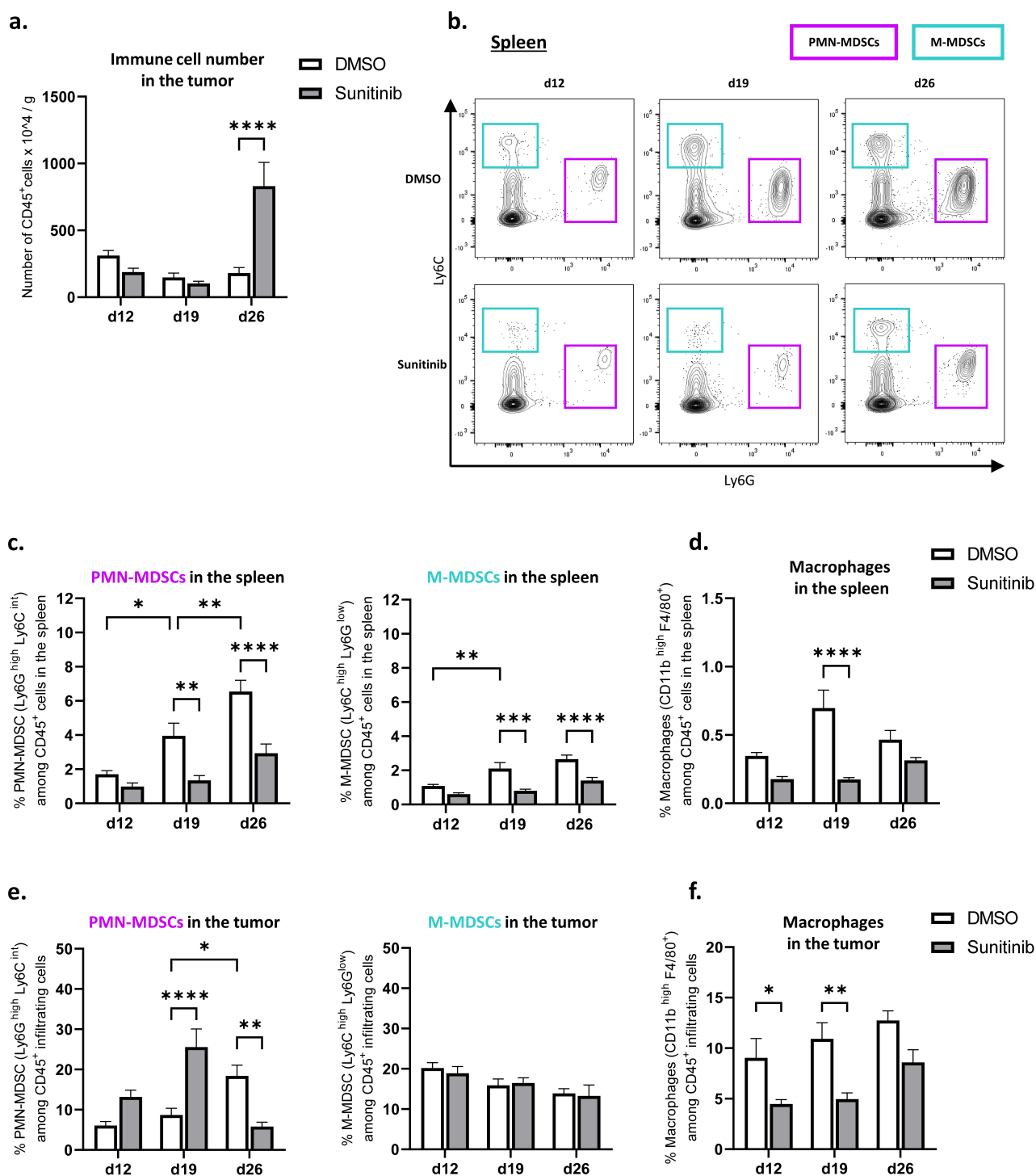
We studied the NK cell populations in the spleen and infiltrating the tumor and overall reported a similar impact of sunitinib on this population in both organs. In the spleen, the proportion of NK cells in control mice was relatively constant over time. In treated mice, a decrease in NK cell percentage was observed during sunitinib treatment, significantly at d12, followed by an increase of this population one week after the anti-angiogenic treatment was stopped, compared to control mice (Figure 5a, left). In the tumor, the percentage of NK cells decreased over time in control mice and, as for the spleen, the proportion of NK cells decreased at day 12 in treated animals but increased strikingly at day 26, compared to controls (Figure 5a, right).

Moreover, a decrease in the proliferation of infiltrating NK cells over time was observed in untreated mice. In contrast, a significant increase in Ki67 expressing NK cells was detected at d26 in sunitinib-treated mice compared to controls (Figure 5b).

Considering the phenotype of these NK cells and precisely their expression of CD11b, a marker associated with NK cells maturation, we could observe a decrease in CD11b expression in the untreated group at d19, while the proportion of CD11b<sup>+</sup> NK cells remained constant over time in mice treated with sunitinib (Figure 5c-d).

### **Sunitinib treatment induces an increase in total T lymphocytes and a decrease in Treg population and proliferation in the spleen but not in the tumor**

In addition to its impact on myeloid populations, sunitinib has been reported to decrease the immunosuppressive regulatory T cell (Treg) population and proliferation.<sup>26,29</sup> We

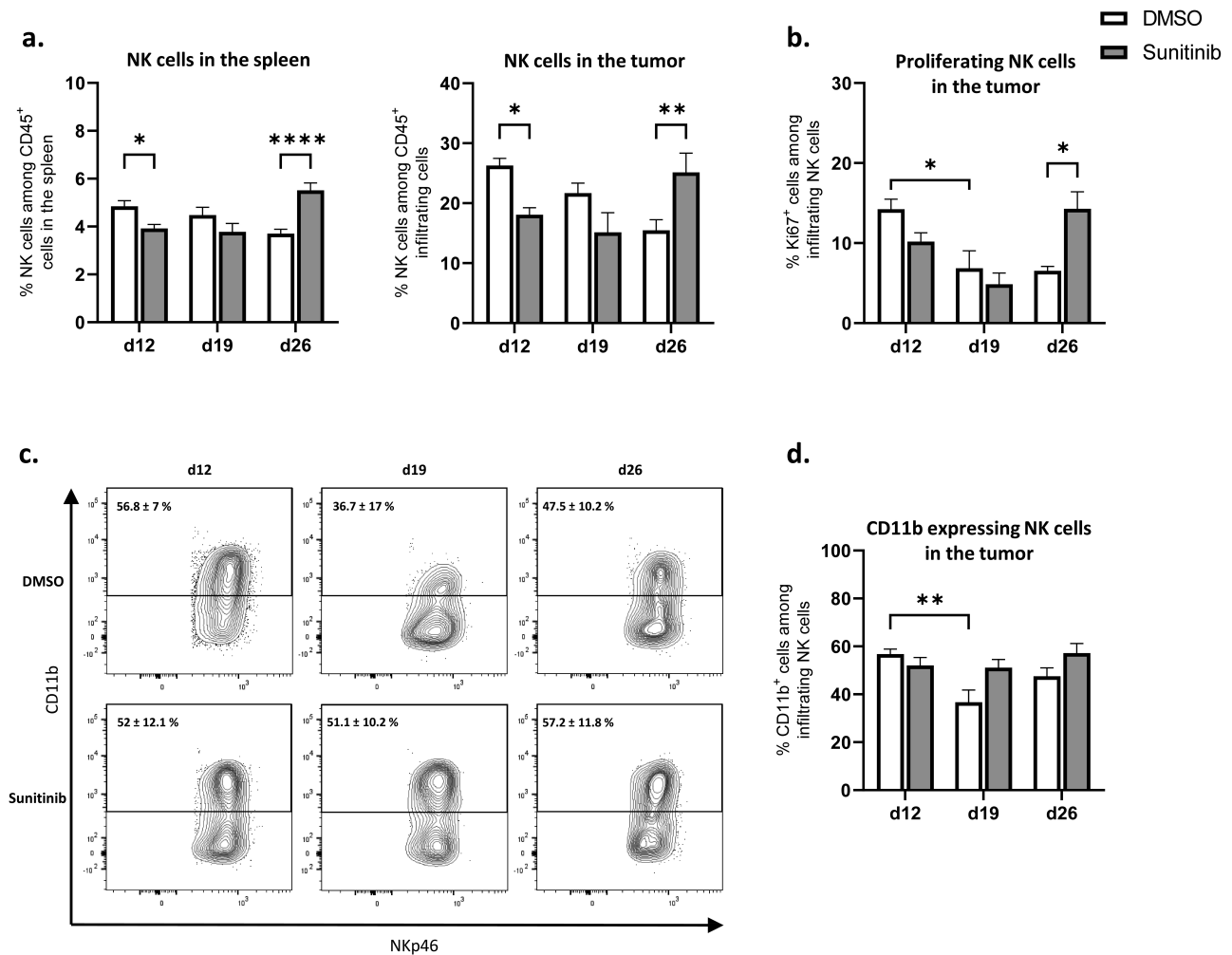


**Figure 4.** Sunitinib decreases myeloid populations in the spleen over time but transiently increases PMN-MDSCs infiltrating the tumor. In the same experimental settings as described before, CT26-bearing mice were treated or not with sunitinib (d5 to d18). Tumors and spleens were harvested at d12, d19 or d26 to perform phenotyping analysis by flow cytometry ( $n = 14-18$  mice/group/day). (a) Number of infiltrating CD45<sup>+</sup> immune cells represented per gram of tumor. (b) Representative flow plots showing polymorphonuclear (Ly6C<sup>high</sup> Ly6C<sup>int</sup>, violet) and monocytic (Ly6C<sup>high</sup> Ly6C<sup>neg</sup>, blue-green) MDSC populations among CD45<sup>+</sup> cells in the spleen at d12, d19, and d26 in treated or control animals. (c-f) Percentage of different myeloid population among CD45<sup>+</sup> cells (see gating strategy in Suppl. S4, A) in the spleen (c, d) and the tumor (e, f) with (c, e) polymorphonuclear MDSCs (Ly6C<sup>high</sup> Ly6C<sup>int</sup>, left) and monocytic MDSCs (Ly6C<sup>high</sup> Ly6C<sup>neg</sup>, right) and (d, f) Macrophages (CD11b<sup>high</sup> F4/80<sup>+</sup>). Data are shown as means  $\pm$  SEM and are the pool of two independent experiments. Statistical analysis was performed using two-way Anova with Sidak's multiple comparisons test (a, d, f) and with Tukey's multiple comparisons test (c, e). \*  $P < .05$ ; \*\*  $P < .01$ ; \*\*\*  $P < .001$ ; \*\*\*\*  $P < .0001$ .

therefore studied the impact of the treatment over time on spleen and tumor-infiltrating lymphocyte populations in the same experimental settings (Suppl. S4, B).

Sunitinib led to an early increase in total T lymphocytes among immune cells in the spleen and this effect was maintained throughout the time points studied (Figure 6a top). On





**Figure 5.** NK populations are decreased during sunitinib treatment and increased after the cessation of treatment in both tumor and spleen. Briefly, tumors and spleens of CT26-bearing mice treated or not with sunitinib (d5 to d18) were harvested at d12, d19, or d26 ( $n = 14\text{--}18$  mice/group/day for a and d;  $n = 6\text{--}8$  mice/group/day for b) to perform phenotypic analysis by flow cytometry. (a) Percentages of NK cells (NKp46<sup>+</sup>CD3<sup>-</sup>) among CD45<sup>+</sup> immune cells in the spleen (left) and in the tumor (right). (b) Percentage of proliferating (Ki67<sup>+</sup>) NK cells in the tumor. (c) Representative dot plots showing CD11b expression by infiltrating NK cells in the tumor of control or sunitinib-treated mice at d12, d19 or d26. (d) Percentage of CD11b expressing NK cells. Data are shown as means  $\pm$  SEM and are the pool of two independent experiments (for a and d). Statistical analysis was performed using two-way Anova with Sidak's multiple comparisons test (a) and with Tukey's multiple comparisons test (b, d). \*  $P < .05$ ; \*\*  $P < .01$ ; \*\*\*\*  $P < .0001$ .

d19 and d26, a decrease in the proportion of regulatory T cells among total lymphocytes was observed in the spleen of sunitinib-treated mice compared to control mice (Figure 6b top). In parallel, a decrease in the proliferation of these cells was observed in the spleen of sunitinib-treated mice as early as the first week of treatment (d12) (Figure 6c top). Contrary to what was observed in the spleen, no significant difference was observed between sunitinib-treated and non-treated mice in the total T cell infiltrate and the proportion and the proliferation of regulatory T cells infiltrating the tumor in sunitinib-treated mice (Figure 6a-c bottom and Suppl. S5, D).

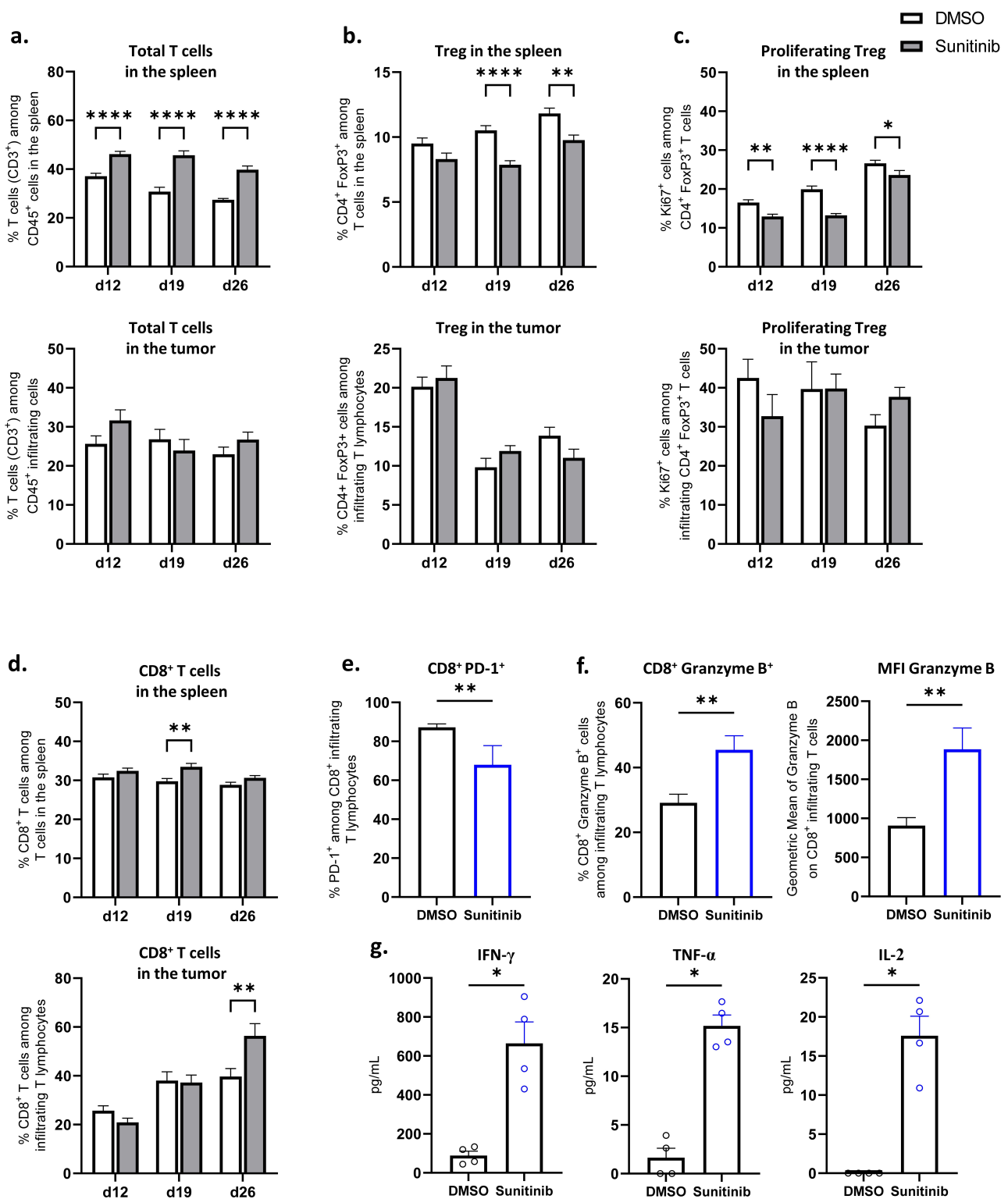
### Strong increase in the proportion and function of CD8<sup>+</sup> T cells in the tumor after sunitinib treatment

Finally, we studied the impact of the anti-angiogenic treatment on CD8<sup>+</sup> T cells. While little impact was observed on the proportion of CD8<sup>+</sup> T cells in the spleen (Figure 6d top), a marked increase in CD8<sup>+</sup> T cells infiltrating the tumor was detected one

week after sunitinib treatment was stopped (d26) in treated mice compared to controls (Figure 6d bottom), in line with the data obtained by immunofluorescence *in situ* (Figure 3f). To further analyze the modification on CD8<sup>+</sup> infiltrating T cells at this time point, we assessed their phenotype and functionality at d26. Sunitinib led to a reduced expression of the immune checkpoint molecule PD-1 by CD8<sup>+</sup> T cells in mice treated with sunitinib compared to controls (Figure 6e). Additionally, the treatment induced an increase in CD8<sup>+</sup> T cell functionality as evidenced by an increased expression of the cytotoxicity molecule Granzyme B in percentage as well as in mean fluorescence intensity (Figure 6f) and an enhanced production of the Th1-type cytokines IFN- $\gamma$ , TNF- $\alpha$  and IL-2 (Figure 6g).

### Discussion

Therapeutic vaccines when used as monotherapy have led so far to disappointing outcomes.<sup>12,30</sup> But their association with other immunomodulatory treatments remains a very relevant



**Figure 6.** Sunitinib treatment decreases Treg in the spleen and strongly increases CD8<sup>+</sup> T cells population and function in the tumor. (a-d) Briefly, spleens and tumors of CT26-bearing mice treated or not with sunitinib (d5 to d18) were harvested at d12, d19, or d26 (n = 14–18 mice/group/day). (a-b, d) Lymphocyte populations were assessed by flow cytometry in the spleen (top) and the tumor (bottom) as the percentage of total T cells among CD45<sup>+</sup> cells (a), regulatory T cells (CD4<sup>+</sup>FoxP3<sup>+</sup>) among T lymphocytes (b), and CD8<sup>+</sup> T cells among T lymphocytes (d). (c) Percentage of proliferating (Ki67<sup>+</sup>) regulatory T cells in the spleen (top) and the tumor (bottom). (e-g) Tumors of CT26-bearing mice treated or not with sunitinib (d5 to d18) were harvested at d26. (e) Percentage of PD-1<sup>+</sup> cells among CD8<sup>+</sup> infiltrating T lymphocytes (n = 5 mice/group). (f) Percentage of Granzyme B<sup>+</sup> CD8<sup>+</sup> cells among infiltrating T lymphocytes (left) and Geometric Mean of Granzyme B in CD8<sup>+</sup> infiltrating T cells (right) (n = 8–9 mice/group). (g) CD8<sup>+</sup> infiltrating lymphocytes were enriched by magnetic beads. 5 × 10<sup>4</sup> cells (representing a pool of 2 tumors) were *in vitro* restimulated with anti-CD3/anti-CD28 beads. Cytokine production was measured by Luminex assay performed on the supernatant after 24 h of culture (n = 4 pool of 2 mice/group). Data are shown as means ± SEM and (a-d) are the pool of two independent experiments. Statistical analysis was performed using two-way Anova with Sidak's multiple comparisons test (a-d) and Mann-Whitney t-test (e-g). \* P < .05; \*\* P < .01; \*\*\*\* P < .0001.

field of research.<sup>31–33</sup> A major question raised by these therapeutic combinations is the administration protocol of each therapy.

In this study, we aimed to investigate the combination of the SVX therapeutic vaccine and the AAT sunitinib. The important connection between survivin expression and tumor angiogenesis<sup>20</sup> as well as the impact of the SVX vaccine on intra-tumor VEGF production shown in this study makes it a highly relevant target for this particular approach. Therefore, we studied in mice different administration schemes between the vaccine and the AAT in order to determine the protocol providing the best synergy of action between the two therapies. From this work, we have highlighted that only the protocol in which therapeutic vaccination was added at the end of sunitinib treatment resulted in a better tumor growth control than sunitinib treatment alone and was associated with 50% complete tumor regression. In the other protocols considered, whether vaccination was started before or after sunitinib treatment, totally or partially concomitant administration of these two therapies failed to show any therapeutic benefit.

These results are particularly interesting in view of the administration protocols that have been applied in clinical trials in which no benefit of the combination of AAT and vaccine was observed.<sup>34</sup> For example, in trials combining a therapeutic vaccine with sunitinib, patients were treated with the anti-angiogenic agent in 6-week cycles consisting of 4 weeks of treatment interspersed with 2 weeks off treatment and the immunization protocol was initiated after several cycles of anti-angiogenic treatment at the beginning of a subsequent cycle, thus after some remodeling of the tumor microenvironment by sunitinib, but always in cases where the two therapies overlap.<sup>35,36</sup>

Different studies have already been carried out in mice to investigate this question of administration schedule between therapeutic vaccination and sunitinib treatment.<sup>37–40</sup> However, their conclusions are conflicting and the experimental designs differ greatly in the type of therapeutic vaccine used, the number of immunizations and the mode and duration of sunitinib administration. Nonetheless, one study also suggested, as we do, that an initial remodeling of the tumor microenvironment by sunitinib was necessary to improve the efficacy of therapeutic vaccination<sup>38</sup> and another study shows, as in our case, an important synergy of action between the two therapies when the administration scheme consisted of sunitinib treatment followed by immunization.<sup>40</sup>

In order to better understand why, in our model, only this staggered association of sunitinib and SVX vaccine resulted in a therapeutic combination outcome, we were interested in understanding the remodeling parameters resulting from sunitinib treatment.

Looking at tumor vascularization we observed, as expected, a decrease in vessel density during the two weeks of sunitinib treatment, but this was not associated with a better pericyte coverage and the total immune infiltrate remained low during sunitinib treatment. In contrast, treatment discontinuation was accompanied by a large increase in pericyte coverage of tumor vessels, associated with massive infiltration of total immune cells, particularly NK cells and CD8<sup>+</sup> T cells. This increase of

pericyte coverage is interpreted in the literature as a sign of tumor vessel normalization, which is one of the proposed mechanisms of action of AAT allowing better recruitment of effector immune cells or drug delivery inside the tumor.<sup>22,39,41</sup> We therefore hypothesize that AAT leads to a remodeling of the tumor vasculature resulting in an increased CD8<sup>+</sup> T cell recruitment. Importantly, not only the percentage of infiltrating CD8<sup>+</sup> T cells increases after sunitinib treatment but they also exhibit higher functional capacity. PD-1 expression in CD8<sup>+</sup> T cells is reduced after sunitinib treatment, as we have already shown,<sup>42</sup> and is associated with an increased expression of Granzyme B. Moreover, CD8<sup>+</sup> infiltrating T cells from sunitinib-treated mice produce cytokines associated with an anti-tumoral Th1 response. Altogether, this profound remodeling of tumor vascularization and Th1 immune infiltration may provide a favorable ground for subsequent therapeutic vaccination.

Sunitinib has also been described to impact immunosuppressive cells in patients and in mice. A decrease in regulatory T cells and MDSCs has been observed in the blood of sunitinib-treated patients,<sup>43,44</sup> with a described link between these two populations.<sup>45–47</sup> In mice, decreases in Treg and MDSC populations were also reported in the spleen after sunitinib treatment.<sup>25,26,29</sup> However, its impact on tumor infiltrating cells has been less documented and the results are more inconsistent across studies with some highlighting a decrease in tumor-infiltrating MDSC populations after sunitinib treatment,<sup>25,26,40</sup> an absence of modification<sup>38</sup> or even an increase in tumor-infiltrating MDSCs, associated with an alteration of their phenotypes.<sup>48</sup> The reasons for these differences are numerous and may be due to the tumor model<sup>25</sup> and the dose of sunitinib used,<sup>40</sup> which is particularly important given the strong link between hypoxia and MDSCs<sup>49,50</sup> and may also explain the variations in the impact of sunitinib on tumor-infiltrating Tregs.<sup>40</sup> In this context, we observed, in agreement with the literature, a decrease in Treg and MDSC populations as well as a decrease in Treg proliferation in spleens of sunitinib-treated mice, and this even one week after treatment discontinuation (d26). However, no impact on Treg populations was observed within the tumor.

Interestingly, we observed a decrease in total MDSC populations in the tumor one week after sunitinib treatment was stopped (d26), while during treatment (d12 and 19) an increase in tumor-infiltrating PMN-MDSCs was observed. The different behavior of M-MDSCs and PMN-MDSCs under such sunitinib treatment inside the tumor may partly be due to their differential chemokines/chemokine receptors expression as it has been reported during the course of anti-VEGFR-2 therapy.<sup>51,52</sup> This transient increase in tumor-infiltrating PMN-MDSCs could favor immunosuppression and consequently limit vaccine efficacy, justifying the absence of any benefit when sunitinib and therapeutic vaccination are combined in a concomitant manner. On the other hand, studies have suggested that PMN-MDSC populations may have less immunosuppressive capacity than M-MDSCs,<sup>53</sup> therefore additional functional analysis of these populations are needed in our model to confirm this hypothesis. In addition, we demonstrated a novel impact of sunitinib on other myeloid populations and specifically macrophages, both in the spleen and in the tumor. Although very interesting, this

observation needs to be combined with further phenotypic and functional analysis in order to judge the significance of this effect.

Very interestingly, a transient decrease in tumor-infiltrating NK populations was also observed during treatment. Although a few studies, mainly *in vitro*, have shown an impact of tyrosine kinase inhibitors on NK cells,<sup>54</sup> this impact of sunitinib on tumor-infiltrating NKs has never been described before. A thorough analysis of the potential pro- or anti-tumoral role of this population needs to be carried out in order to draw conclusions concerning these changes.

In conclusion, this work has highlighted different remodeling parameters induced by sunitinib treatment, resulting in the promotion of an immune favorable tumor microenvironment. We hypothesize that the beneficial immune balance created by sunitinib treatment prior to SVX vaccination would magnify the vaccine-mediated immune responses. In this regard, the timely addition of the vaccination would have the potential to increase the survivin-specific population, long term memory and functionality of CD8<sup>+</sup> T cells.

These results are of great importance in understanding the failures observed in clinical trials and provide new perspectives in terms of combination strategies and immune remodeling. The careful study of the impact of each of the therapies is necessary to understand and predict the next therapeutic combinations in cancer treatment in order to benefit the greatest number of patients.

## Acknowledgments

We thank the members of the animal facility as well as the cytometry and histology platforms of the PARCC-HEGP INSERM U970.

## Author contribution

AM and CT designed the experiments. AM, FM, TT, YA, IGF, CK, EM and PU performed the experiments. AM, FM, YA, CK, EM and CT interpreted data. AM, MT, ET and CT wrote and critically revised the manuscript. All authors read and agreed on the final version of the submitted manuscript.

## Disclosure statement

The authors report no conflict of interest

## Funding

This work was supported by the “Ligue contre le cancer” foundation and the French Ministry of Research.

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## Data Availability Statement

The data that support the findings of this study are available from the corresponding author, CT, upon reasonable request.

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