# Nanoscale Advances

## PAPER

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Cite this: Nanoscale Adv., 2022, 4, 1464

Received 3rd May 2021 Accepted 14th January 2022

DOI: 10.1039/d1na00321f

rsc.li/nanoscale-advances

### 1 Introduction

Nanoclusters have received attention because of their unique properties and technological applications. The exceptional structural, electronic, optical, magnetic and catalytic properties<sup>1,2</sup> of clusters facilitate their use in nano-solid state devices. They have potential applications in carbon nanotubes, semiconductor nanowires, chemical catalysts, creation of memory cells, lasers and photonics.3 Small clusters are considered as the building blocks of nanomaterials, and hence, play a vital role in determining the properties of these materials. Consequently, cluster-assembled materials are likely to exhibit unique properties.<sup>4</sup> Recently, transition metal and semiconductor clusters have been studied<sup>5,6</sup> because of their interesting magnetic and optical properties, respectively. Nanostructured transition metal chalcogenides have gained enormous consideration due to their typical chemical stability, electronic properties and remarkable structure.7

Since, clusters exhibit properties of molecule and bulk, hence, they are known as 5th state of matter.<sup>8</sup> The computational convenience of clusters makes them a supplement to

## A DFT study of Se<sub>n</sub>Te<sub>n</sub> clusters†

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First principles calculations have been performed to study the characteristic properties of Se<sub>n</sub>Te<sub>n</sub> (n = 5-10) clusters. The present study reveals that the properties of these small clusters are consistent with the properties of Se-Te glassy systems. Several hundred equilibrium structures obtained from a genetic algorithm based USPEX code are relaxed to their minimum energy using the VASP code. Most of the lowest energy buckled ring-like structures are formed from Se-Te heteropolar bonds. Detailed structural analysis and distance energy plots unveil that many equilibrium structures are close in energy to their global minimum. The computed Raman and IR spectra show the dominance of Se-Te heteropolar bonds, consistent with earlier simulation and experimental findings in  $Se_{1-x}Te_x$  glass materials. Low frequency vibrational modes observed in small clusters are characteristic features of amorphous materials. Non-bonding orbitals (lone pair) are observed in the HOMO, whereas the LUMO is formed from purely antibonding orbitals. The dielectric functions corroborate the bonding mechanism and slightly polar nature of  $Se_nTe_n$  clusters. The energy loss and absorption coefficient indicate the presence of  $\pi$ -plasmons in the UV-visible region. Furthermore, it is ascertained that the use of a hybrid functional (B3LYP) does not affect the properties of small clusters appreciably, except causing a blue shift in the optical spectra. Hence, we find that the small clusters have bearing on the formation of glassy Se-Te systems.

> conventional large systems and thus they are considered as microscopic analogues of bulk systems. Small clusters exhibit size dependent effects not observed in bulk systems. The properties of clusters are expected to converge to their bulk as the cluster size approaches the bulk dimensions. A high value of the ratio of the number of atoms on the surface to that inside the cluster show the properties of a bulk surface without affecting their reactivity. Size dependent effects in small clusters are the origin of the coexistence of liquid-like and solid-like states.9 The size and composition of a cluster can be controlled with atomic precision. Mostly clusters exhibit a large number of isomers, in which atoms are arranged in different configurations/structures. Separation between different structures, i.e., ordered and disordered structures, has been discussed in several ways.<sup>10</sup> Disordered structures are said to exhibit amorphous nature.<sup>10,11</sup> The concept of amorphous or glassy clusters was reported by Rose and Berry.<sup>10</sup> According to them, if a cluster has a large number of inherent disorder structures compared to ordered structures, it can be considered to have a microamorphous (MA) state. The MA state is a small analogue to the bulk, in reference to the properties of bulk glass. For a MA state to exist, clusters must be trappable into solid amorphous structures through realistic experimental processes. The existence of disorder structures as a low energy configuration has been reported<sup>12-15</sup> in the literature. Bulgac and co-workers have reported the existence of small Na<sub>8</sub> glassy clusters.<sup>16</sup> The literature shows the existence of many more glassy clusters9,10,17



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<sup>†</sup> Electronic supplementary information (ESI) available. See DOI: 10.1039/d1na00321f

#### Paper

Most of the surface properties are determined by the nanostructures present on the surface. The formation, growth and dynamics of surfaces are believed to be essential components in device fabrication.<sup>18</sup> Researchers have focused on the use of free clusters for assembling nano-structured thin films.1 Nanostructured films obtained by assembling atomic clusters are of strategic importance for a wide variety of applications.<sup>1,2</sup> Recently, nano-structured thin films were prepared and their structural, optical and electrical properties were studied.<sup>19-23</sup> The formation of small clusters has been noticed<sup>23</sup> in Se-Te thin films. The presence of small structures or defects in thin films has a significant impact on their structural and functional properties.24,25 The synthesis, characterization and different properties of Se-Te nanostructured thin films<sup>26,27</sup> have been reported in the literature. Amorphous nano-structured Se-Te films have shown enhanced and direct band gaps not reported for their counterparts,28,29 crystals. The Raman spectra and photoluminescence study of Te<sub>94</sub>Se<sub>6</sub> nanoparticles thin films have shown their application in optical disk and semiconducting devices.<sup>28</sup> Tripathi et al.<sup>30</sup> have reported the optical properties of nanostructured selenium-tellurium thin films grown by a thermal evaporation technique. Several researchers31-33 have reported the electrical and transport properties of chalcogenide glasses. A structural study of Se-Te glasses using Raman spectroscopy and first principles methods has been recently reported<sup>34</sup> by A. Tverjanovich *et al.* They have simulated open triatomic Se-Te clusters and oligomers of Te to compare their results with experiments. Experimental studies35,36 on Se-Te glasses indicate the presence of chain like structures with a significant contribution of  $Se_n$  and  $Te_n$  fragments. The Raman study<sup>34-36</sup> of Se-Te glasses and triatomic clusters has shown that the Se and Te atoms are randomly distributed in the chain with preference to heteropolar bonds. In view of the isoelectronic nature of Se and Te, it is expected<sup>37</sup> that Te not only enters the chain and rings of Se but also favours the cross linking of rings and chains. The addition of Te to Se results in an increase in structural and compositional disorder.

However, studies on nano-chalcogenides are at the primary stage and the overall features are not explored. The study of nano-chalcogenides is crucial for device fabrication. Free from bonding restrictions, amorphous nanostructures may result in a large range of nanostructures, in contrast to crystalline nanostructures. Control on the nanometer scale in nanostructures could be an important area of research in amorphous semiconductors. Thus, the study of nano-chalcogenides is inevitable for their use in nano-devices<sup>2</sup> like nano-electronic devices, memory devices, optical memory devices, nanophotonic devices, etc. Furthermore, the increasing focus on free cluster assembled thin films demands the study of small clusters. Clusters of S, Se and Te have been synthesized in experiments like gas condensation and laser vaporization in a pulsed-nozzle cluster source. Detailed discussion on Se-Te small structures is still missing. Here, a first principles study of Se<sub>n</sub>Te<sub>n</sub> clusters will help us to understand the molecular origin of the vitreous state of a material. In this way, the present investigation is an attempt to study the properties of a conventional large system through its microscopic analogue. In the present work, we aim to study

the structural, electronic and optical properties of  $Se_nTe_n$  clusters using the first principles method.  $Se_nTe_n$  small clusters of different sizes are obtained using the USPEX code.<sup>38,39</sup> Most of the buckled ring-like structures exhibit properties similar to the properties of Se–Te glass. Hence, our work is relevant to the experimental work on bulk systems, because bulk as well as Se–Te thin films in their properties show the dominance of small clusters.

### 2 Computational details

First principles calculations are performed, using the Vienna ab initio simulation code (VASP),40-42 to examine the structural and optical properties of SenTen clusters. The Perdew-Burke-Ernzerhof (PBE) form of the exchange correlation functional of the generalized gradient approximation (GGA) has been employed<sup>43,44</sup> to perform the simulations. Projected augmented wave (PAW) pseudo-potentials are used to describe the electronion interactions. The outermost valence electrons 4s<sup>2</sup>4p<sup>4</sup> of the Se atom and the  $5s^25p^4$  valence electrons of the Te atom are considered to compute the potential. Initially,  $Se_nTe_n$  clusters are generated using the universal structure prediction evolutionary xtallography (USPEX) code.<sup>38,39</sup> It generates several hundred possible structures along with their symmetries, knowing only the chemical composition of the system. Only a few (50-60) stable structures of each cluster have been considered for examination. Generated clusters are placed at the center of cubic supercells of different sizes, ranging from 15 to 30 Å depending upon the size of the cluster, so that the interaction among the periodic images of clusters separated by vacuum is negligible. The structural optimization of each cluster is carried out with VASP until all the force components become less than 0.005 eV  $\text{\AA}^{-1}$  with a convergence of about  $10^{-4}$ eV Å<sup>-1</sup> in the total energy. The USPEX run provides hundreds of structures, but generally many of them are duplicate structures. To isolate the duplicate structures, the Crystal Fingerprint (crystalfp) code45 has been used. The Crystal Fingerprint approach has been further exploited to generate distance energy (DE) plots for these clusters. Established least energy structures (ground state structure) are considered to compute the IR and Raman spectra, eigenvalue value spectrum and optical properties of Se<sub>n</sub>Te<sub>n</sub> clusters.

### 3 Results and discussion

#### 3.1 Structural and electronic properties

The least energy (ground state) structures of  $\text{Se}_n\text{Te}_n$  clusters, n = 5-10, along with a few isomers are presented in Fig. 1 and 2. The energy of the least energy structure is taken as zero and the energy of its isomers is relative. It is observed that  $\text{Se}_n\text{Te}_n$  clusters acquire closed chain ring type structures exhibited by pristine  $\text{Se}_n$  and  $\text{Te}_n$  clusters,<sup>47</sup> also reported in a Se–Te liquid phase.<sup>48</sup> Most of the examined structures are ring type with two nearest neighbours. However, for n = 5, we have noticed a few high energy structures with three nearest neighbours, reported in the ESI (Fig. S1†).  $\text{Se}_n\text{Te}_n$  clusters adopt an order–disorder pattern, Fig. 1 and 2, noticed in isolated  $\text{Se}_n$  and  $\text{Te}_n$  clusters.<sup>47</sup>

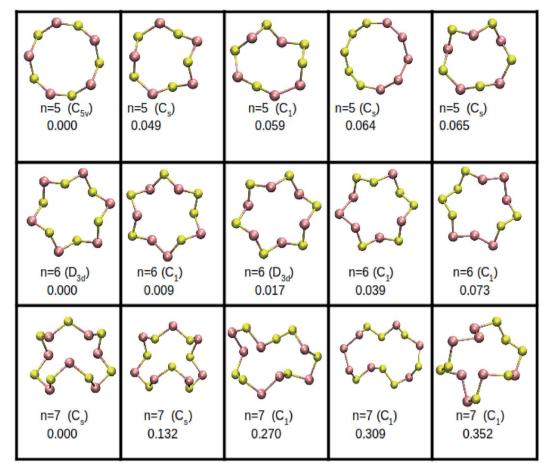


Fig. 1 Lowest energy isomers of Se<sub>n</sub>Te<sub>n</sub> clusters, n = 5-7. Red spheres represent Te atoms and yellow spheres represent Se atoms. Given energy is in eV.

The point symmetries, bond lengths, HOMO–LUMO gap and binding energy per atom are given in Table 1. The values given in the table are our results and superscripts a and b on values show that these values are consistent with the values reported in ref. 35 and 46.

Binding energy per atom is calculated for each  $Se_nTe_n$  cluster from the relationship

$$E_{\rm b} = \frac{(E[{\rm Se}_n {\rm Te}_n] - nE[{\rm Se}] - nE[{\rm Te}])}{2n},\qquad(1)$$

where E[Se], E[Te], and  $E[Se_nTe_n]$  represent the energy of an isolated Se atom, Te atom and  $Se_nTe_n$  clusters, respectively, and 2n is the total number of Se and Te atoms in a  $Se_nTe_n$  cluster. The dynamic stability of least energy structures is examined from their vibrational frequencies. The computed vibrational frequencies of these structures do not possess any imaginary value, which reveals their dynamic stability. The thermodynamic stability of clusters has been ascertained from mixing energy. The energy of mixing is computed from the equation<sup>49</sup>

$$E_{\text{mix}} = \left( E[\text{Se}_m \text{Te}_n] - \frac{m}{N} E[\text{Se}_N] - \frac{n}{N} E[\text{Te}_N] \right), \quad (2)$$

where N = m + n, and *m* and *n* represent the number of atoms in Se<sub>N</sub> and Te<sub>N</sub> clusters, respectively. The obtained energy of

mixing is reported in Table 2 along with the B.E per atom of  $Se_{2n}$  and  $Te_{2n}$  clusters. A negative energy of mixing indicates that the alloy formation is thermodynamically favorable.

It is noticed that with an increase in the number of atoms (*n*), *i.e.* cluster size, the ring gets buckled and elongated. Though the addition of an atom in a cluster does not change the B.E. per atom much, the geometries get modified, Fig. 1 and 2. The deep examination of these structures reveals that the structural parameters, *i.e.*, bond length and bond angle, do not remain the same throughout the structure, Table 1. All ground state (GS) structures consist of heteropolar Se-Te bonds, except Se<sub>9</sub>Te<sub>9</sub>. It has a different geometry consisting of Se-Te, Se-Se and Te-Te bonds. Bonding in these structures shows that Te atoms enter the Se chain and get dissolved in the chain. In some higher energy isomers, the Se-Te-Se-Te-Se bonding pattern is disobeyed and instead, Se-Te-Te-Se, Se-Te-Te-Se, Se-Se-Te-Te-Te-Se, and Se-Te-Te-Te-Se bonding patterns are observed, Fig. 1 and 2. This shows the presence of homopolar bonds along with the heteropolar bonds. The dominance of Se-Te bonds in GS structures is consistent with earlier work,35,46 in the case of open chain structures. Though the bonding arrangements of the isomers are different, the B.E. per atom is very close to the ground state. It differs at the most by 0.35 eV.

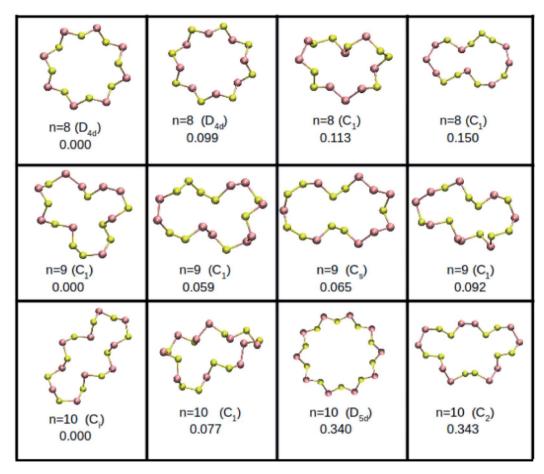


Fig. 2 The same as in Fig. 1 for n = 8-10. Given energy is in eV.

The bond angle  $\alpha$  (*x*–*y*–*z* with *x*, *z* = Se/Te and *y* = Te/Se) has been observed in the range 101° to 111° for all structures. Its value in triatomic clusters<sup>34</sup> lies between 105.5° and 108.5° and in Se–Te glasses the reported average bond angle value is 105°.<sup>35</sup>

To determine the effect of bonding arrangement on ground state structures, we constructed a few structures with 5, 6, 7 and 8 atoms of Se on one side of the ring and of Te on the other side of the ring (not reported here). Structures were relaxed in VASP so as to attain their minimum energy state. It is found that Se and Te atoms do not change their positions, which means that Se–Se and Te–Te homopolar bonds still exist as before relaxation. The B.E. of these relaxed structures is close to the B.E. of the GS structures reported in Fig. 1 and 2. This shows that the B.E per atom of a structure is not affected by the presence of heteropolar/homopolar bonds, a possibility in experiments during film deposition.<sup>51</sup>

The structural disorder and existence of metastable structures with energy very close to the ground state energy indicate the amorphous nature of  $Se_nTe_n$  clusters. To complete the structural analysis, we have examined the distance energy (DE) plots, Fig. 3, of  $Se_nTe_n$  clusters. The DE plot provides necessary information regarding the character of the potential energy surface (PES) and allows us to distinguish between glassy and non-glassy clusters. We have generated the distance energy plots using crystalfp. The fingerprint function (*F*), which characterizes the structure, is defined as  $^{52,53}$ 

$$F_{AB}(R) = \sum_{A_i} \sum_{B_j} \frac{\delta(R - R_{ij})}{4\pi R_{ij}^2 \frac{N_A N_B}{V} \Delta} - 1 = g_{AB}(R) - 1, \quad (3)$$

where the double sum runs over all *i*<sup>th</sup> and *j*<sup>th</sup> atoms of types *A* and *B*, respectively, within a distance  $R_{\max}$ .  $R_{ij}$  is the interatomic distance,  $N_i$  is number of atoms of type *i* and  $\delta(R - R_{ij})$  is the Gaussian-smeared delta function absorbing numerical errors. To be robust against numerical errors and computationally convenient, the  $F_{AB}(R)$  function is discretized over bins of width  $\Delta$ .  $g_{AB}(R)$  is the pair correlation function and subtracting 1 from it makes it short ranged.

The discretization of fingerprints,  $F_{AB}(R)$ , allows it to be represented as a vector. Each structure can thus be uniquely described by a fingerprint vector and the dissimilarity between structures can be calculated using the definition of distance,

$$D = \frac{1}{2} \left( 1 - \frac{\sum_{AB\ k} F_{1,AB}(k) F_{2,AB}(k) w_{AB}}{\sqrt{\sum_{AB\ k} F_{1,AB}(k)^2 w_{AB}} \sqrt{\sum_{AB\ k} F_{2,AB}(k)^2 w_{AB}}} \right).$$
(4)

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| Table 1 | Calculated | structural and | l electronic | properties | of Se <sub>n</sub> Te <sub>n</sub> clusters |
|---------|------------|----------------|--------------|------------|---|
|---------|------------|----------------|--------------|------------|---|

| n<br>Se <sub>5</sub> Te <sub>5</sub> | Point sym.  | Se–Se                           | Te-Te                           | Se-Te                      | (eV) | (-11)   |  |
|--------------------------------------|-------------|---------------------------------|---------------------------------|----------------------------|------|---------|--|
| Se <sub>5</sub> Te <sub>5</sub>      | $C_{ m s}$  |                                 |                                 |                            |      | (eV)    |  |
|                                      | $C_{ m s}$  |                                 |                                 | 2.55 <sup><i>a,b</i></sup> | 1.80 | -3.11   |  |
|                                      |             |                                 |                                 | $2.54 - 2.56^{a,b}$        | 1.65 | -3.10   |  |
|                                      | $C_1$       | $2.39^{a,b}$                    | $2.71^{a,b}$                    | $2.52 - 2.59^{a,b}$        | 1.69 | -3.10   |  |
|                                      | Cs          | $2.36 - 2.37^{a,b}$             | $2.73 - 2.74^{a,b}$             | $2.55^{a,b}$               | 1.87 | -3.09   |  |
|                                      | $C_{\rm s}$ |                                 |                                 | $2.54 - 2.57^{a,b}$        | 1.65 | -3.09   |  |
| Se <sub>6</sub> Te <sub>6</sub>      | $D_{3d}$    |                                 |                                 | $2.55^{a,b}$               | 1.94 | -3.11   |  |
|                                      | $C_1$       |                                 |                                 | $2.53 - 2.58^{a,b}$        | 1.61 | -3.11   |  |
|                                      | $D_{3d}$    |                                 |                                 | $2.55^{a,b}$               | 1.86 | -3.11   |  |
|                                      | $C_1$       | $2.38 - 2.39^{a,b}$             | $2.73 - 2.74^{a,b}$             | $2.53 - 2.56^{a,b}$        | 1.90 | -3.11   |  |
|                                      | $C_1$       | 2.35-2.37 <sup><i>a,b</i></sup> | $2.74^{a,b}$                    | $2.55^{a,b}$               | 1.96 | -3.10   |  |
| Se <sub>7</sub> Te <sub>7</sub>      | $C_{\rm s}$ |                                 |                                 | $2.53 - 2.61^{a,b}$        | 1.54 | -3.12   |  |
|                                      | $C_{\rm s}$ |                                 |                                 | $2.54 - 2.56^{a,b}$        | 1.73 | -3.12   |  |
|                                      | $C_1$       | $2.36 - 2.37^{a,b}$             | 2.67-2.75 <sup><i>a,b</i></sup> | $2.51 - 2.67^{a,b}$        | 1.48 | -3.10   |  |
|                                      | $C_1$       | $2.36 - 2.39^{a,b}$             | $2.72 - 2.76^{a,b}$             | $2.52 - 2.57^{a,b}$        | 1.52 | -3.10   |  |
|                                      | $C_1$       | $2.37 - 2.38^{a,b}$             | $2.69 - 2.82^{a,b}$             | 2.52 - 2.65                | 1.44 | -3.09   |  |
| Se <sub>8</sub> Te <sub>8</sub>      | $D_{ m 4d}$ |                                 |                                 | $2.55^{a,b}$               | 1.68 | -3.12   |  |
|                                      | $D_{4d}$    |                                 |                                 | $2.55^{a,b}$               | 1.62 | -3.1089 |  |
|                                      | $C_1$       | $2.37 - 2.39^{a,b}$             | $2.71 - 2.74^{a,b}$             | $2.53 - 2.57^{a,b}$        | 1.65 | -3.11   |  |
|                                      | $C_1$       | $2.34 - 2.41^{a,b}$             | $2.71 - 2.77^{a,b}$             | $2.52 - 2.59^{a,b}$        | 1.63 | -3.11   |  |
| Se <sub>9</sub> Te <sub>9</sub>      | $C_1$       | $2.39^{a,b}$                    | $2.69 - 2.72^{a,b}$             | $2.52 - 2.62^{a,b}$        | 1.53 | -3.11   |  |
|                                      | $C_1$       | $2.35 - 2.36^{a,b}$             | $2.69 - 2.73^{a,b}$             | 2.51-2.62                  | 1.62 | -3.10   |  |
|                                      | $C_{s}$     | $2.38 - 2.40^{a,b}$             | $2.73 - 2.74^{a,b}$             | $2.53 - 2.56^{a,b}$        | 1.75 | -3.10   |  |
|                                      | $C_1$       | $2.39 - 2.40^{a,b}$             | $2.65 - 2.71^{a,b}$             | 2.50-2.63                  | 1.70 | -3.10   |  |
| Se <sub>10</sub> Te <sub>10</sub>    | $C_{i}$     |                                 |                                 | $2.53 - 2.58^{a,b}$        | 1.45 | -3.12   |  |
|                                      | $C_1$       | $2.38 - 2.39^{a,b}$             | $2.72 - 2.73^{a,b}$             | $2.52 – 2.58^{a,b}$        | 1.58 | -3.11   |  |
|                                      | $D_{5d}$    |                                 |                                 | $2.55^{a,b}$               | 1.40 | -3.10   |  |
|                                      | $C_2$       | $2.37 - 2.39^{a,b}$             | 2.73-2.75 <sup><i>a,b</i></sup> | $2.54 - 2.56^{a,b}$        | 1.55 | -3.09   |  |

Table 2 Energy of mixing for Se–Te clusters and BE per atom of  $Se_{2n}$  and  $Te_{2n}$  clusters

configurations in high dimensional configuration space. The normalized Euclidean distance

|                    | B.E. per atom (eV)                          |  |  |
|--------------------|---|--|--|
| $E_{\rm mix}$ (eV) | Te <sub>2n</sub>                            | Se <sub>2n</sub>   |  |
| -0.40              | -2.82                                       | -3.47  |  |
| -0.48              | -2.83                                       | -3.47  |  |
| -0.49              | -2.84                                       | -3.47  |  |
| -0.72              | -2.84                                       | -3.47  |  |
| -0.81              | -2.84                                       | -3.46  |  |
| -0.60              | -2.83                                       | -3.46  |  |
|                    | $-0.40 \\ -0.48 \\ -0.49 \\ -0.72 \\ -0.81$ | $E_{mix} (eV) 	Te_{2n}$ $-0.40 	-2.82 	-0.48 	-2.83 	-0.49 	-2.84 	-0.72 	-2.84 	-0.81 	-2.84$ |  |

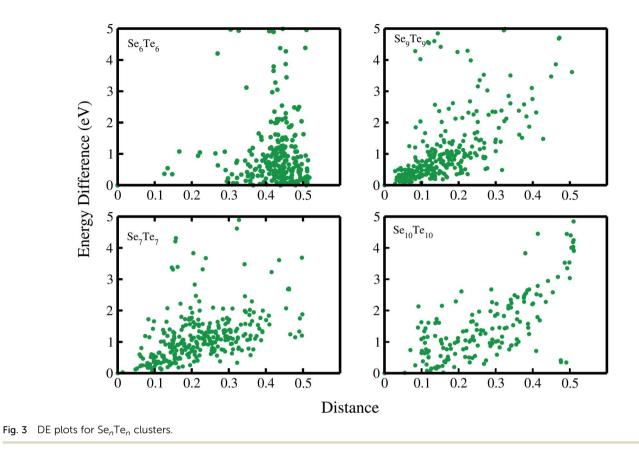
 $F_{i,AB}(k)$  represents the fingerprint of component *i* in the  $k^{th}$  bin and

$$w_{AB} = \frac{N_A N_B}{\sum N_A N_B},\tag{5}$$

is the weight factor of each fingerprint component. To characterize the molecular structures, configurational fingerprint vectors have been introduced.<sup>53</sup> They are the counterparts of the quantities used to identify the structures experimentally. The Euclidean distance between the configurational fingerprint vectors satisfies the properties of a metric and can therefore safely be used to measure dissimilarities between

$$\Delta D(p,q) = \frac{1}{\sqrt{N}} \|\mathbf{F}^p - \mathbf{F}^q\|,\tag{6}$$

measures the dissimilarly between structures p and q with no need to superimpose them. F is a symmetric  $N \times N$  matrix whose elements depend only on the interatomic distances  $r_{ij}$  =  $\|\mathbf{r}_i - \mathbf{r}_i\|$  of an n-atom configuration. Vectors **F** containing eigenvalues of such a matrix form a configurational fingerprint, which allows the identification of a structure. In order to make  $\Delta D$  independent of the atomic indices, the elements of each F are sorted in an ascending order. Computing the distance between two structures one quantifies the difference between them. Detailed discussion about fingerprint calculations is given in ref. 52 and 53. In DE plots, Fig. 3, the obtained distance of isomers from the ground state is taken along the *x* axis and the corresponding energy with respect to the global minima is taken along the y axis. Such DE plots, in particular, have been used to infer<sup>17</sup> the glassy nature of clusters. In the present case, clusters are 1D ring-like structures and do reveal interesting features. Se<sub>7</sub>Te<sub>7</sub> and Se<sub>10</sub>Te<sub>10</sub> clusters show the presence of many low energy structures at small distances. It means that the structures are similar and very close to the ground state. In Se<sub>6</sub>Te<sub>6</sub> and Se<sub>9</sub>Te<sub>9</sub> however bunching of structures is seen. It implies that there are so many low energy structures, which are



energetically close but structurally different than the ground state. From Fig. 3, it is evident that enough configurations of a cluster exhibit local minima very close to the global minima, a distinct feature of glassy systems.<sup>17</sup> Thus, there exist enough geometries of similar energies separated by a barrier of different heights. Cooling through room temperature may leave any of these structures in the ground state. Any system having such metastable geometries are said to possess glassy nature. Such clusters may lead to the formation of amorphous solids *via* rapid cooling<sup>10</sup> of these liquid clusters. The formation of such clusters in bulk alloys as well as on the surface of thin films<sup>23</sup> will make them to behave as amorphous materials. The amorphous nature of the Se–Te alloy has been well established in the experimental studies.

Shape analysis of these clusters has been done using the shape deformation parameter  $\varepsilon_{\rm d} = \frac{2Q_x}{Q_y + Q_z}$ , where  $Q_x \ge Q_y \ge Q_z$  are the eigenvalues of the quadrupole tensor  $Q_{ij} = \sum_i R_{ii} R_{ij}$ . Here  $R_{Ii}$  is the *i*<sup>th</sup> coordinate of ion I relative to the centre of mass.<sup>54,55</sup> The eigenvalues of quadrupole tensors  $Q_x$ ,  $Q_y$ , and  $Q_z$ , as a function of cluster size are shown in Fig. 4. There is a significant change in the shape along the *x* direction compared to that in the *y* and *z* directions. It means that with an increase in the size of the cluster, it gets elongated  $(Q_x > Q_y)$ . The variation in  $Q_x$ ,  $Q_y$  and  $Q_z$  shows a considerable change in the *x* and *y* directions, whereas along the *z* direction it is negligibly small. In the histogram, Fig. 4, for n = 5, 6 and 8,  $Q_x \simeq Q_y$ , which implies their circular shape, see Fig. 1.

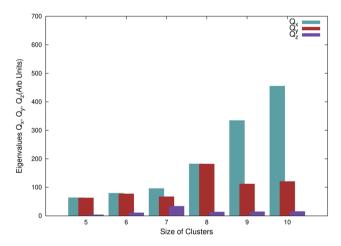


Fig. 4 Shape parameters  $Q_{x'}$ ,  $Q_{y'}$  and  $Q_z$  as a function of sizes of clusters.

#### 3.2 Raman and IR spectra

Vibrational spectroscopy is a commonly and widely used technique for the structure elucidation and identification of sustained impurities in a given material. The Raman spectra for the ground state geometries of  $\text{Se}_n\text{Te}_n$  clusters, n = 5-10, are shown in Fig. 5(a). The Raman intensities of phonon modes have been calculated from the derivative of a macroscopic dielectric tensor with respect to the normal mode coordinate.<sup>56</sup> The observed Raman bands along with the assigned modes are presented in

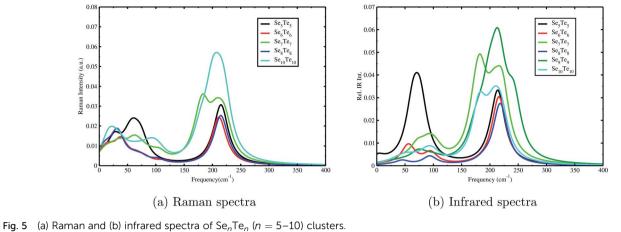


Table 3. The values given in the table are our results and superscripts a and b on values show that these values are consistent with the values reported in ref. 50 and 34. The occurrence of Se–Te bands is consistent with their structural arrangement, Fig. 1. The band at 214.7 cm<sup>-1</sup> in the Se<sub>5</sub>Te<sub>5</sub> geometry is ascribed to stretching vibration and the bands at 72.2 cm<sup>-1</sup> and 59.3 cm<sup>-1</sup> are assigned to the bending vibrations of Se–Te bonds. In the Se<sub>6</sub>Te<sub>6</sub> cluster, Raman bands are

 Table 3
 Raman and IR bands in Se<sub>n</sub>Te<sub>n</sub> clusters

| lusters                        | Raman $\omega$ (cm <sup>-1</sup> ) | Mode               | $\frac{\text{IR }\omega}{(\text{cm}^{-1})}$ | Mode               |
|--------------------------------|------------------------------------|--------------------|---|--------------------|
|                                | h                                  | (                  |   | (                  |
| e <sub>5</sub> Te <sub>5</sub> | $214.7^{b}$                        | Se-Te (stretching) | 213.9                                       | Se–Te (stretching) |
|                                | 72.2                               | Se-Te (bending)    | 77.5 <sup><i>a</i></sup>                    | Se–Te (bending)    |
|                                | 59.3                               | Se-Te (bending)    | 64.5  | Se–Te (bending)    |
| e <sub>6</sub> Te <sub>6</sub> | $211^b$                            | Se–Te (stretching) | $216.5^{a}$                                 | Se–Te (stretching) |
|                                | 39                                 | Te–Te (bending)    | 55.9  | Se–Te (bending)    |
| e <sub>7</sub> Te <sub>7</sub> | 222.9                              | Se–Te (stretching) | $222.9^{a}$                                 | Se–Te (stretching) |
|                                | 221.5                              | Se–Te (stretching) | $221.5^{a}$                                 | Se–Te (stretching) |
|                                | $207.7^{b}$                        | Se–Te (stretching) | $221.4^{a}$                                 | Se–Te (stretching) |
|                                | $182.1^{b}$                        | Te–Te (stretching) | 182.5                                       | Te–Te (stretching) |
|                                | $173.4^{b}$                        | Te–Te (stretching) | 182.1                                       | Te–Te (stretching) |
|                                | 106.3                              | Se–Se (bending)    | $173.4^{a}$                                 | Te–Te (stretching) |
|                                | 74.8                               | Se–Te (bending)    | $98.4^{a}$                                  | Se–Se (bending)    |
|                                | 59.1                               | Se-Te (bending)    | $72.2^{a}$                                  | Se-Te (bending)    |
| e <sub>8</sub> Te <sub>8</sub> | $214.4^{a}$                        | Se-Te (stretching) | $218.6^{a}$                                 | Se-Te (stretching) |
|                                | 32.2                               |                    | 93.7 <sup><i>a</i></sup>                    | Se–Se (bending)    |
| e <sub>9</sub> Te <sub>9</sub> | $242.9^{a,b}$                      | Se–Se (stretching) | $242.9^{a}$                                 | Se-Se (stretching) |
|                                | $242^{a,b}$                        | Se-Se (stretching) | $242^a$                                     | Se–Se (stretching) |
|                                | $232.9^{a}$                        | Se-Se (stretching) | $215.4^{a}$                                 | Se-Te (stretching) |
|                                | 224.3                              | Se-Te (stretching) | 214.3                                       | Se-Te (stretching) |
|                                | $217.6^{b}$                        | Se-Te (stretching) | 210.9                                       | Se-Te (stretching) |
|                                | $210.9^{b}$                        | Se-Te (stretching) | 202.6                                       | Se-Te (stretching) |
|                                | $200^a$                            | Se-Te (stretching) | 197   | Se-Te (stretching) |
|                                | 197 <sup><i>a</i></sup>            | Se-Te (stretching) | 196.6                                       | Se-Te (stretching) |
|                                | 108.4                              | Se-Se (bending)    |   |                    |
| $e_{10}Te_{10}$                | 223.9                              | Se-Te (stretching) | $223.9^{a}$                                 | Se-Te (stretching) |
| 10 10                          | 220.1                              | Se-Te (stretching) | $220.1^{a}$                                 | Se-Te (stretching) |
|                                | 219.3                              | Se-Te (stretching) | 219.3                                       | Se–Te (stretching) |
|                                | $216.6^{b}$                        | Se-Te (stretching) | 210.7                                       | Se–Te (stretching) |
|                                | $201.1^{a}$                        | Se-Te (stretching) | 205.7                                       | Se-Te (stretching) |
|                                | $194.1^{a}$                        | Se-Te (stretching) | 180.7                                       | Te-Te (stretching) |
|                                | $178.5^{b}$                        | Te-Te (stretching) | 100.7<br>$105.2^{a}$                        | Se–Se (bending)    |
|                                | 99.7                               | Se-Se (bending)    | 99.7 <sup><i>a</i></sup>                    | Se–Se (bending)    |
|                                | 36.7                               | be be (bending)    | 99.7<br>91.5 <sup>a</sup>                   | Se-Se (bending)    |

<sup>a</sup> Ref. 50. <sup>b</sup> Ref. 34.

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observed at 211  $\text{cm}^{-1}$  and 39  $\text{cm}^{-1}$ . The band at 211  $\text{cm}^{-1}$ corresponds to stretching vibration in the Se-Te bond, whereas the band at 39 cm<sup>-1</sup> shows the presence of a Te-Te bond not observed in the ring structure. The Raman spectra of Se<sub>7</sub>Te<sub>7</sub>, Se<sub>9</sub>Te<sub>9</sub>, and Se<sub>10</sub>Te<sub>10</sub> clusters unveil the occurrence of Se-Se, Te-Te and Se-Te stretching and bending modes, Table 3. The Raman stretching modes observed in the spectral ranges 242-243, 197–233 and 173–194  $\text{cm}^{-1}$  correspond to the stretching vibration of Se-Se, Se-Te and Te-Te bonds, respectively. The difference in the positions of the occurrence of Raman peaks may be ascribed to the difference in the structural parameters<sup>51</sup> of these clusters. The emergence of Se-Se stretching and bending modes in Se<sub>9</sub>Te<sub>9</sub> may be understood from its ring structure. Raman bands depicting the presence of homopolar bonds is a consequence of buckling in a ring structure that causes interaction between the nearest atoms. Bending Raman modes are noticed in the spectral ranges 100-108 and 60-75 cm<sup>-1</sup> less than 50 cm<sup>-1</sup> for Se-Se, Se-Te and Te-Te bonds, respectively. The noticed Raman bands are consistent with the Raman bands observed in a-Se, a-Te and Se-Te glass.<sup>34,50,57-62</sup>

Stretching Se–Se, Se–Te and Te–Te bands in  $Se_xTe_{1-x}$  glasses are observed<sup>34,57–62</sup> at 250, 210 and 175 cm<sup>-1</sup>, respectively. Meanwhile in a DFT study<sup>34</sup> of triatomic clusters, these bands were calculated to be in the spectral ranges 264–270, 218–230 and 180–183 cm<sup>-1</sup>, respectively. Our values for the Se–Se band are red shifted, whereas for Se–Te and Te–Te bands they are blue shifted in reference to experimental results. This difference may have been due to the absence of inter cluster interaction discussed in ref. 34. We have recorded the Raman spectra of individual clusters, whereas in glass they exist as a bunch of clusters. Our values are in good agreement with experimental values for Se–Te glasses, which shows that the Se–Te alloy, in the bulk form or in thin films, consists of ring structures.<sup>23,34,37</sup>

We have also examined the IR spectra of these clusters. IR intensities are computed from the eigenvectors and the Born effective-charge tensors. The Born effective charge tensor and the phonon eigenvectors are calculated from density functional perturbation theory (DFPT) implemented in the VASP code.<sup>56,63</sup> Bands observed in IR spectra are also given in Table 3. Our results show the presence of Se–Se, Te–Te and Se–Te stretching and bending modes in the IR spectra in concurrence with Raman spectra. Se–Se, Te–Te and Se–Te IR stretching bands are ascertained in the spectral ranges 242.9–242, 173.4–182.5 and 196.6–222.9 cm<sup>-1</sup>, respectively. IR bands at lower frequencies, *i.e.*, in the ranges 98.4–105.2, 45–49 and 55.7–99.7 cm<sup>-1</sup>, are assigned to the bending vibrations of Se–Se, Te–Te and Se–Te respectively. Our results are in agreement with the values reported for a– Se and Se<sub>x</sub>Te<sub>1-x</sub> glasses or films.<sup>50,62</sup>

It is noticed that even the ground state structures of  $Se_7Te_7$ and  $Se_{10}Te_{10}$  clusters do not contain any homopolar bond, *i.e.*, Se–Se or Te–Te bond, but Raman and IR spectra show the presence of Se–Se and Te–Te stretching and bending modes. The origin of these modes is the buckling in the ring structures. At the buckling point, a Se–Te–Se or Te–Se–Te trimer is formed. Depending upon the angle, the distance between two adjacent atoms may reduce and the Se/Te atom in one trimer starts to interact with the Se/Te atom of the second trimer. The occurrence of different bands at different wave numbers is ascribed to the difference in the bond length and bond angle.<sup>51</sup> From Table 3, it is observed that IR active vibrational bands are also Raman active and surfaced more or less at the same wave number. Surfaced vibrational bands in the low frequency region, <100 cm<sup>-1</sup>, represent boson peaks. The appearance of boson peaks is a universal characteristic of glassy materials and they exist in all amorphous solids.<sup>60</sup>

Raman, IR and configurational studies reveal that the structural properties of  $Se_nTe_n$  clusters are consistent with Se-Te glass. It means that Se-Te glass is an ensemble of small clusters, each of which is in a configurationally disordered state. From the above discussion, we can say that  $Se_nTe_n$  clusters represent the microamorphous states of the bulk Se-Te system, because they can be realized experimentally. The structural parameters of  $Se_nTe_n$  clusters may differ from those of the Se-Te conventional system due to the fact that the experimentally acquired conventional amorphous system is not a true binary system.<sup>37</sup>

#### 3.3 Energetics

The energy of the highest occupied molecular orbital (HOMO) and the lowest unoccupied molecular orbital (LUMO) for the least energy isomers (ground state) has been examined for each cluster. The difference in energy between the HOMO and LUMO refers to the chemical stability of clusters, Fig. 6(a). Clusters having a high value of the HOMO–LUMO gap (HLG) are considered more stable compared to other clusters.

Binding energy per atom for ground state  $Se_nTe_n$  clusters as a function of cluster sizes is reported in Fig. 6(b). The maximum variation in B.E per atom is about 0.02 eV. In our previous work, we have studied pristine  $Se_n$  and  $Te_n$  clusters<sup>47</sup> and observed that the values of the HL gap and BE per atom of  $Se_nTe_n$  clusters lie in between the values of pristine  $Se_n$  and  $Te_n$  clusters. This indicates that Se–Te clusters have intermediate behaviour between pure Se and Te clusters also observed in bulk Se–Te systems.<sup>27</sup>

The stability of  $Se_nTe_n$  clusters is also discussed in terms of ionization potential (IP) and electron affinity (EA). The ionization potential measures the energy difference between the ground state of the neutral and its cationic cluster, whereas the electron affinity is the energy difference between the ground state of the neutral and its anionic cluster. The IP and EA for the ground state structures are reported in Table 4. A high IP refer to more stability and less reactivity, whereas a high EA indicates high reactivity. The computed IP of these clusters lies between 4.89 and 5.61 eV, Table 4, which is lower than the IP of a-Se,64 9.75 eV, and a-Te,64 9 eV. Meanwhile the EA values are comparable to the values reported for Se,<sup>65</sup> 2.02 eV, and Te,<sup>66</sup> 1.97 eV. To investigate the effect of addition and removal of electrons on the charge distribution in a cluster, we have computed the charge density difference between the neutral and charged clusters. The charge density difference profile of the charged systems reveals that the electron and hole charge does not spill over to the vacuum region but is localized on the cluster (see Fig. S2, ESI<sup>†</sup>).

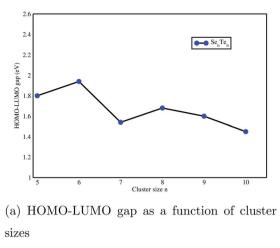
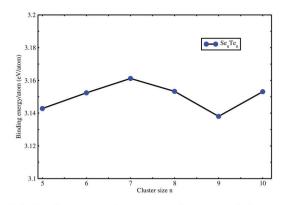


Fig. 6 (a) HOMO-LUMO gap and (b) BE per atom of  $Se_nTe_n$  clusters.

Table 4 Ionization potentials (IPs), electron affinities (EAs) and compositions of the HOMO and LUMO in  $Se_nTe_n$  clusters

|                                 | IP (eV) | EA (eV) | НОМО               | LUMO                |
|---------------------------------|---------|---------|--------------------|---------------------|
| Se <sub>5</sub> Te <sub>5</sub> | 5.61    | 2.69    | 0.20% s + 98.23% p | 8.95% s + 87.05% p  |
| Se <sub>6</sub> Te <sub>6</sub> | 5.42    | 2.49    | 98.82% p           | 10.02% s + 85.77% p |
| Se <sub>7</sub> Te <sub>7</sub> | 5.47    | 2.75    | 2.47% s + 95.82% p | 8.83% s + 87.14% p  |
| Se <sub>8</sub> Te <sub>8</sub> | 5.28    | 2.82    | 98.84% p           | 9.72% s + 86.11% p  |
| Se <sub>9</sub> Te <sub>9</sub> | 4.89    | 2.59    | 0.38% s + 98.11% p | 10.02% s + 85.27% p |
| $\mathrm{Se_{10}Te_{10}}$       | 5.01    | 2.77    | 98.26% p           | 10.33% s + 85.19% p |
|                                 |         |         |                    |                     |

The nature of eigenvalues as a function of cluster size can be analysed from the energy eigenvalue spectrum. We have plotted the energy eigenvalue spectrum in Fig. 7. The black (blue) part of the spectrum is identified as occupied (unoccupied) orbitals. The reference energy for each cluster is with respect to the vacuum energy, which is zero. The occupied part of the spectrum consists of three well separated groups bunched together, similar to pristine  $Se_n$  and  $Te_n$  eigenvalue spectra.<sup>47</sup> A splitting of energy levels in the lower group, except for n = 9, as well as in the upper group is observed. Band energy along with site projected s and p characters for n = 5 clusters is given in Table 5. Due to quasi-degeneracy, Table 5, the number of energy levels in a particular group is not clearly distinguishable. The nearly degenerate levels are reflected in the broadening of the line in the spectrum. The angular momentum character of the states can be analysed by examining the partial density of states (PDOS). The angular momentum projected density of states, a typical case for n = 5, is shown in ESI, Fig. S3.<sup>†</sup> The analysis of orbital electronic occupancies for different ground state structures discloses that the lowest group is mainly formed from atomic s orbitals and is well separated by an energy gap of  $\geq$ 4.50 eV from the p group. The upper occupied group is formed mainly from pure p orbitals. All three  $p_x$ ,  $p_y$  and  $p_z$  orbitals are found to contribute to the formation of the upper group. It means that the lowest filled group is a result of pure s orbitals, whereas the upper group is a mixture of  $p_x$ ,  $p_y$  and  $p_z$  orbitals. The composition analysis of HOMO and LUMO levels, Table 4,



(b) Bindingenergy/atom as a function of cluster sizes

shows that the contribution of the s component is about 0 to 3% in the HOMO orbital and 8 to 10% in the LUMO orbital. This indicates the least possibility of mixing of s and p orbitals, meaning no sp hybridization. The LUMO is separated at most by  $\sim$ 2 eV from the HOMO, Fig. 7, consistent with our earlier results.<sup>47</sup> The HOMO–LUMO gap determines the optical properties of a material. The HL gap of the investigated geometries concurs with the optical band gap of the amorphous Se<sub>(1-x)</sub>Te<sub>x</sub> system.<sup>26,67</sup> Furthermore, our values of the HL gap, Table 1, are close to the reported energy gap of a-Se, 1.99 eV, and higher than that of a-Te, 0.5 eV.<sup>37</sup>

#### 3.4 Chemical bonding

Most of the properties of a material are determined by the nature of bonding in the material. The unusual stability of the clusters can be ascertained from the formation of different types of covalent bonds. We have examined the partial charge densities of individual MOs of ground state structures to understand the nature of bonding in Se<sub>n</sub>Te<sub>n</sub> clusters. The different ways in which orbitals overlap to form a covalent bond is the origin of the unusual stability of ring structures. Since s and p energy levels are far apart, there is no possibility of mixing of these energy levels. It shows that the bonds will have a dominant s or p character. The orientation of the Cartesian axis has been inserted in Fig. 8. The contributions of  $p_x$ ,  $p_y$  and  $p_z$  orbitals are provided in Table 5 for n = 5. It may be noted that the *z*-axis is perpendicular to the plane of the ring.

We have examined the individual MOs of  $\text{Se}_5\text{Te}_5$  clusters, Fig. 9, to understand the significant features of bonding. For analysis, we have considered  $1/6^{\text{th}}$  to  $1/8^{\text{th}}$  iso-surface values to the maximum value. The  $1^{\text{st}}$  molecular orbital of  $\text{Se}_5\text{Te}_5$  is formed from pure s orbitals with the formation of delocalized s $\sigma$  bonds, Fig. 9(a). The  $11^{\text{th}}$  MO is formed from purely p orbitals. Here, only the  $p_x$  and  $p_y$  components participate in the bonding, which forms a  $\sigma$  bond along the line joining the atoms, Fig. 9(f). The delocalization of charge is evident in the  $1^{\text{st}}$ and  $11^{\text{th}}$  MOs. As we go for higher MOs, the participation of  $p_y$ and  $p_z$  components in the bond formation is realised. The  $15^{\text{th}}$ 

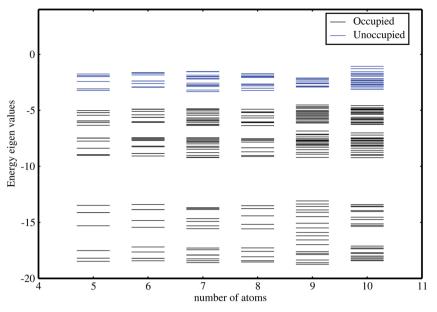


Fig. 7 Eigenvalue spectrum for Se<sub>n</sub>Te<sub>n</sub> clusters.

Table 5 Band energy and s and p characters of molecular orbitals for n = 5

| Band | Energy (eV) | S (%) | p <sub>y</sub> (%) | $\mathbf{p}_{z}$ (%) | $\mathbf{p}_{x}$ (%) | Band | Energy (eV) | S (%) | р <sub>у</sub> (%) | $\mathbf{p}_{z}$ (%) | p <sub>x</sub> (%) |
|------|-------------|-------|--------------------|----------------------|----------------------|------|-------------|-------|--------------------|----------------------|--------------------|
| S1   | -18.490     | 96.74 | 0.42               | 2.12                 | 0.42                 | P1   | -9.014      | 0     | 48.24              | 0                    | 48.58              |
| S2   | -18.211     | 96.07 | 0.84               | 1.83                 | 0.84                 | P2   | -8.973      | 4.13  | 54.04              | 22.44                | 17.59              |
| S3   | -18.207     | 96.07 | 0.84               | 1.69                 | 0.98                 | P3   | -8.960      | 4.13  | 16.88              | 22.8                 | 54.4               |
| S4   | -17.530     | 94.54 | 1.91               | 0.82                 | 2.05                 | P4   | -8.383      | 8.79  | 22.71              | 44.51                | 23.26              |
| S5   | -17.528     | 94.54 | 2.05               | 0.82                 | 2.05                 | P5   | -8.383      | 8.81  | 22.75              | 44.4                 | 23.3               |
| S6   | -15.304     | 91.05 | 3.92               | 0.42                 | 3.92                 | P6   | -7.747      | 3.18  | 44.16              | 8.28                 | 43.52              |
| S7   | -15.303     | 91.05 | 3.92               | 0.42                 | 3.92                 | P7   | -7.483      | 7.51  | 19.96              | 44.87                | 26.74              |
| S8   | -14.122     | 93.42 | 3.49               | 0.81                 | 1.88                 | P8   | -7.481      | 7.82  | 21.64              | 47.64                | 22.18              |
| S9   | -14.116     | 93.56 | 1.74               | 0.67                 | 3.49                 | P9   | -7.474      | 4.36  | 27.13              | 21.78                | 45.74              |
| S10  | -13.479     | 96.83 | 0.13               | 2.64                 | 0.13                 | P10  | -7.469      | 3.99  | 51.9               | 19.16                | 23.95              |
|      |             |       |                    |                      |                      | P11  | -6.326      | 5.45  | 10.48              | 68.76                | 11.32              |
|      |             |       |                    |                      |                      | P12  | -6.079      | 0.79  | 45.04              | 10.71                | 42.06              |
|      |             |       |                    |                      |                      | P13  | -6.077      | 0.79  | 44.05              | 10.71                | 43.06              |
|      |             |       |                    |                      |                      | P14  | -5.947      | 3.13  | 29.1               | 64.64                | 1.29               |
|      |             |       |                    |                      |                      | P15  | -5.933      | 3.13  | 0.92               | 65.19                | 28.91              |
|      |             |       |                    |                      |                      | P16  | -5.450      | 1.96  | 1.96               | 90.96                | 3.14               |
|      |             |       |                    |                      |                      | P17  | -5.220      | 3.55  | 5.72               | 39.25                | 48.52              |
|      |             |       |                    |                      |                      | P18  | -5.213      | 3.54  | 48.62              | 38.98                | 5.91               |
|      |             |       |                    |                      |                      | P19  | -5.026      | 0.2   | 47.15              | 4.72                 | 46.56              |
|      |             |       |                    |                      |                      | P20  | -5.024      | 0.2   | 47.15              | 4.72                 | 46.37              |
|      |             |       |                    |                      |                      | P21  | -3.220      | 8.95  | 36.19              | 24.95                | 25.9               |
|      |             |       |                    |                      |                      | P22  | -3.208      | 8.94  | 26.81              | 24.33                | 35.93              |
|      |             |       |                    |                      |                      | P23  | -3.078      | 6.76  | 36.87              | 17.18                | 36.68              |
|      |             |       |                    |                      |                      | P24  | -2.420      | 9.49  | 22.08              | 41.61                | 22.08              |
|      |             |       |                    |                      |                      | P25  | -2.310      | 8.46  | 18.27              | 35.38                | 32.31              |
|      |             |       |                    |                      |                      | P26  | -1.995      | 3.86  | 47                 | 11.59                | 28.33              |

MO, Fig. 9(i), indicates the formation of three centred  $\pi$  orbitals, originating from buckling in the ring, along with an adjacent  $p_{\sigma}$  bond.<sup>68</sup> The formation of such three centred  $\pi$  orbitals facilitates distortion in the ring, necessary for the stability of one dimensional structures. Thus, bending or buckling is facilitated by the formation of  $p\pi$  orbitals due to mixing of  $p_x$ ,  $p_y$  and  $p_z$  orbitals. In higher MOs, the

delocalization nature of orbitals is not seen. The 30<sup>th</sup> and 31<sup>st</sup> MOs, *i.e.* HOMO and LUMO, consist of nonbonding and antibonding orbitals, Fig. 9(m) and (n). The HOMO along with the antibonding orbitals consists of nonbonding orbitals (lone pairs). The presence of lone pairs in a material facilitates the formation of amorphous structures. Furthermore, the 33<sup>rd</sup> MO consists of purely antibonding orbitals, Fig. 9(o). Since we have

Fig. 8 Different geometries, (a)–(c), of  $Se_nTe_n$  cluster with the orientation of Cartesian axes.

plotted the charge density of MOs, it is not possible to show the sign of the lobes, which makes the identification of bonding and anti-bonding MOs difficult. However, in some cases it is possible to identify the bonding and anti-bonding character of MOs from the nature of the iso-surface. The 1<sup>st</sup>, 2<sup>nd</sup>, and 3<sup>rd</sup> MOs in Fig. 9 reveal the bonding nature, whereas the 8<sup>th</sup> and 10<sup>th</sup> MOs show the anti-bonding nature of s MOs. The 11<sup>th</sup>, 12<sup>th</sup>, and 13<sup>th</sup> MOs represent  $p\sigma$  orbitals, the 15<sup>th</sup>, 16<sup>th</sup>, and 26<sup>th</sup> MOs represent  $p\pi$  orbitals and the 29<sup>th</sup>, 30<sup>th</sup>, 31<sup>st</sup> and 33<sup>rd</sup> MOs reveal the presence of non-bonding and anti-bonding MOs.

As noticed by Akola and Jones, the geometrical constraints imposed by closed ring structures may lead to an overlap of lone pairs with the nearest neighbour orbitals. So, it is instructive to show the manifestation of such an overlap by examining the charge density of some MOs, Fig. 9. We have shown the partial charge density iso-surface of a few MOs. The 15<sup>th</sup> MO, Fig. 9, reveals the occurrence of three and two centred bonds. The formation of two half rings one above and the other below the line joining the atoms can be clearly seen. It shows an interesting formation, X, of a bent  $p\sigma$  bond aided by the  $p_z$  of Te and  $p_r$  and  $p_v$  orbitals of Se. Note that all three atoms, 2 atoms of Se and 1 atom of Te, participate in bonding. A similar formation, Y, is seen between the 2 atoms of Te and 1 atom of Se on the other side. The 16<sup>th</sup> MO shows the formation of an inner ring, displaying the presence of a multi-centred bond by the overlap of inner lobes of p orbitals, typically consisting of  $p_x$  and  $p_y$ orbitals. All the orbitals involved are perpendicular to the line joining the atoms. In other words, radially inward Te orbitals overlap and aid the formation of a bond between two nearest neighbour Se atoms. Since the orbitals are perpendicular to the bond axes, it is a  $\pi$  orbital formation.<sup>59</sup> In the 26<sup>th</sup> MO, a peculiar orbital formation is observed. It shows a multi-centred  $\pi$ ring, in which alternate top and bottom lobes of p<sub>z</sub> orbitals of Se and Te overlap and give rise to a central ring. The nearest neighbour overlap comes from  $p_z$  lobes on different sides (up and down). Although weak, the formation of yet another  $\pi$ orbital is revealed. The existence of such  $\pi$  orbitals has been noticed and discussed in the literature.59,69-75 The MO analysis of other clusters, n = 6-10, depicts the same features as observed in Se<sub>5</sub>Te<sub>5</sub>.

#### 3.5 Optical properties

The real and imaginary parts of the dielectric function have been computed for most stable structures. The calculation of the dielectric function involves the electronic transitions between filled and empty states. The imaginary part of the dielectric function,  $\varepsilon^{''}_{\alpha\beta}(\omega)$ , is computed from the momentum matrix element between the filled and empty states using the relationship<sup>76-82</sup>

$$\varepsilon_{\alpha\beta}^{"}(\omega) = \frac{e^2\hbar^2}{\pi\omega^2 m^2} \sum_{\nu,c} |\langle\psi_c|\hat{\mathbf{e}}_{j}.\mathbf{p}|\psi_{\nu}|^2 \delta(E_c - E_{\nu} - \hbar\omega), \qquad (7)$$

where the indices  $\alpha$  and  $\beta$  are the Cartesian components,  $\hat{\mathbf{e}}_i$  is the unit vector along the direction of the electromagnetic field of energy  $\hbar\omega$ , **p** is the momentum operator, e and m are the charge and mass of the bare electron,  $\psi_{\nu}$  and  $\psi_{c}$  are the filled and empty state eigenfunctions of the system and  $E_{\nu}$  and  $E_{c}$  are their corresponding energies. The momentum matrix is evaluated between filled and empty states using the corresponding eigenfunctions of each filled and empty state. Depending upon the size of the cluster, we have considered a minimum of 60 to 120 empty states to calculate  $\varepsilon''_{\alpha\beta}(\omega)$ . We have varied the number of empty states to ascertain that the spectrum does not change appreciably with the number of empty states. We have plotted  $\varepsilon'_{\alpha\beta}(\omega)$  and  $\varepsilon'_{\alpha\beta}(\omega)$  in Fig. S4 and S5<sup>†</sup> by varying the empty states for n = 5 and 10. It is observed that the increase or decrease in the number of empty states does not affect the spectra, remarkably. We found that the dielectric functions are converged with respect to the number of empty states for the entire energy window, Fig. S4 and S5.† Optical properties are reported to have functional dependence. To probe the functional dependence of optical properties, we have computed the dielectric functions using the B3LYP hybrid functional, Fig. 12 and 13. It is noted that the spectra obtained from the two functionals are consistent. The real part of the dielectric tensor  $\varepsilon'$  can be obtained from the famous Kramers-Kronig transformation,

$$\varepsilon_{\alpha\beta}'(w) = 1 + \frac{2}{\pi} P \int_0^\infty \frac{w' \varepsilon''_{\alpha\beta}(w')}{w'^2 - w^2} dw'$$
(8)

where *P* denotes the principal value of the integral. Only interband transitions between valence and conduction states are taken into consideration.

The imaginary part of the dielectric function,  $\varepsilon_{\alpha\beta}^{"}(\omega)$ , for both in-plane polarization (E  $\perp$  C) and out-of-plane polarization (E||C), is shown in Fig. 10.  $\varepsilon_{\alpha\beta}^{"}(\omega)$  consists of sharp peaks for both in-plane and out-of-plane polarization. Peaks are characteristic features of discrete electronic states of clusters. The gap in the dielectric function (*i.e.* interval for which  $\varepsilon_{\alpha\beta}^{"}(\omega)$  is zero) is about 1.6 eV in (E  $\perp$  C) and 2.71 eV in (E||C) and matches the

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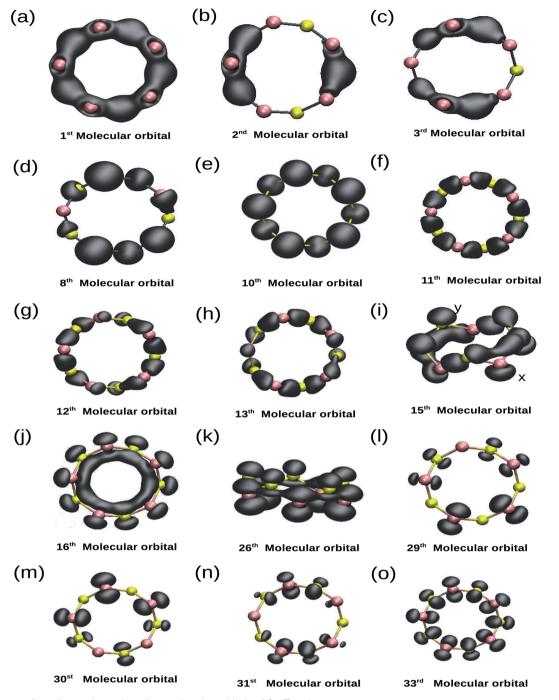


Fig. 9 Bonding, antibonding and non-bonding molecular orbitals of  $Se_5Te_5$  clusters.

obtained HL gap of the clusters. It discloses the semiconducting behaviour of mixed clusters in analogy to pristine Se<sub>n</sub> and Te<sub>n</sub> clusters. Furthermore,  $\varepsilon_{\alpha\beta}^{"}(\omega)$  reveals the pronounced absorption peaks between 2 and 8 eV. It is remarkable to point out that the optical response of the clusters along different orthogonal directions is not the same, and hence, clusters are no longer isotropic. The real part of the dielectric function  $\varepsilon_{\alpha\beta}^{'}(\omega)$  is plotted in Fig. 11. It reveals the ability of a material to allow the passage of an electromagnetic field through it. The nature of bonding in a material has a significant impact on its electronic

polarizability (optical dielectric constant,  $\varepsilon_{\infty}$ ) and phonon polarizability (static dielectric constant,  $\varepsilon_0$ ). These quantities can be ascertained from the real dielectric function,  $\varepsilon'_{\alpha\beta}(\omega)$ . The bonding mechanism in amorphous materials<sup>83</sup> can also be extracted from the static dielectric constant.  $\varepsilon'_{\alpha\beta}(0)$ , for clusters with n = 5, 8, and 10, has been obtained by extrapolating  $\varepsilon'_{\alpha\beta}(\omega)$ down to zero frequency. Its maximum values, 1.5, along  $E \perp C$ and 1.2, along  $E \parallel C$ , are in agreement with earlier reported values<sup>84</sup> and indicate their feebly polar nature. The contribution of electronic polarizability ( $\varepsilon_{\infty}$ ) is small compared to phonon E. Img

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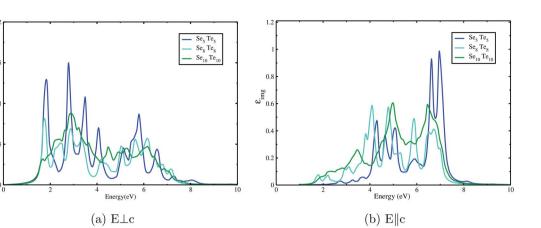


Fig. 10 Imaginary part of the dielectric function of clusters for polarization: (a)  $E \perp C$  and (b)  $E \parallel C$ .

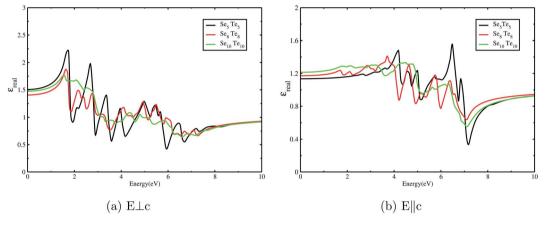


Fig. 11 Real part of the dielectric function of clusters for polarization: (a)  $E \perp C$  and (b)  $E \parallel C$ .

polarizability.<sup>83,85</sup> The dominance of  $\varepsilon'_{\alpha\beta}(\omega)$  divulges the presence of IR active phonons, corroborated by FTIR spectra. The small values of  $\varepsilon'_{\alpha\beta}(0)$  can be unequivocally attributed to the small difference (18%) in the electronegativity<sup>37</sup> value of Se and Te. Thus, the dielectric study of Se<sub>n</sub>Te<sub>n</sub> clusters substantiates the covalent bonding, as discussed in the section Chemical bonding, and weak polarization in these clusters.<sup>47</sup>

The electron energy loss (EEL) function is computed from the real and imaginary parts of the dielectric function as

$$\operatorname{Im}\left\{\frac{1}{\varepsilon_{\alpha\beta}(\omega)}\right\} = \frac{\varepsilon^{''}{}_{\alpha\beta}}{\varepsilon^{''}{}_{\alpha\beta}{}^{2} + \varepsilon^{'}{}_{\alpha\beta}{}^{2}}$$
(9)

The electron energy loss spectra (EELS) and absorption coefficient for the studied clusters are shown in Fig. 14. We have also ascertained that the variation in the number of empty states does not change the EELS substantially. In Fig. S6† we have plotted the EELS by varying the empty states for n = 5 and 10. An increase or decrease in the number of empty states does not affect the spectra, remarkably. We found that the EELS are converged with respect to the number of empty states for the entire energy window, Fig. S6.† Both spectra show prominent peaks in the range 2–8 eV. Resonant peaks in the EELS with

fragmentation towards low as well as high energy represent the main plasma peaks.<sup>86</sup> The fragmentation of peaks reveals<sup>87</sup> the inhomogeneity in surface electron density. Peaks in the absorption coefficient reveal interband transitions between the HOMO and LUMO. The observed low energy excitations, below 8 eV, correspond to  $\pi$  band transitions. Because the electrons in the  $\pi$  orbital are loosely bound than the electrons in the  $\sigma$ orbital. The resonant peaks in the spectra  $E \perp C$  (E||C) correspond to the  $\pi$  plasmon. Peak positions identify the plasmon frequency arising from the collective motion of  $\pi$  electrons. With an increase in the size of the cluster, a little shift and broadening in the resonant peaks is observed, also noticed in the EELS. It may be due to the fact that increase in size implies increase in polarizability and decrease in plasmon frequency.87 Also, the cluster size determines the surface plasmon energy,<sup>87</sup> which decreases with increase in the size of the cluster. Furthermore,  $\pi$  plasmons are located in the ultraviolet (UV)visible region. Strong absorption peaks in  $Se_nTe_n$  clusters, like Si<sub>n</sub> clusters,<sup>6</sup> lie in the UV-visible region, whereas Se-Te alloys show high absorption in the visible reason.37 The intensity and position of absorption bands in UV-visible spectra are strongly influenced by the particle size, shape, concentration, composition and dielectric properties of clusters.<sup>88</sup> Thus, the clusters

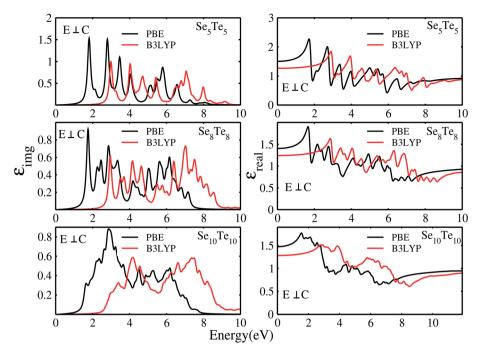


Fig. 12 Dielectric function with PBE and B3LYP hybrid functionals for  $E \perp C$ .

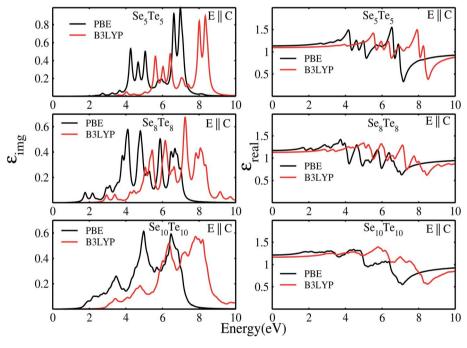


Fig. 13 Dielectric function with PBE and B3LYP hybrid functionals for E||C.

with tunable optical properties can be designed simply by changing their size and composition. Absorption in the UV-visible region implies their use in the development of optoelectronic devices. Within the DFT framework, our results indicate that  $Se_nTe_n$  clusters may be potential candidates for the development of optoelectronic devices. The calculated value of the static refractive index for the studied clusters (n = 5-10) in E⊥C (E||C) is 1.19(1.04), 1.15(1.07), 1.14(1.11), 1.16(1.06), 1.20(1.04), and 1.19(1.08). Our values of the static refractive index are close to the values reported for Se–Te glasses.<sup>89</sup> The computed dielectric functions, EELS and absorption coefficient, using B3LYP and PBE functionals, are shown in Fig. 15 and 16. Their comparison shows some interesting features.  $\varepsilon''_{\alpha\beta}(\omega)$  and  $\varepsilon'_{\alpha\beta}(\omega)$  computed from the B3LYP functional do not show any

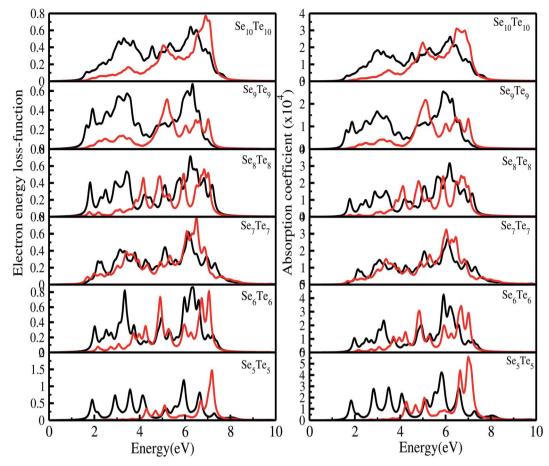


Fig. 14 Electron energy loss spectra and absorption coefficient of  $Se_nTe_n$  clusters (E $\perp$ C (black) and E||C (red)).

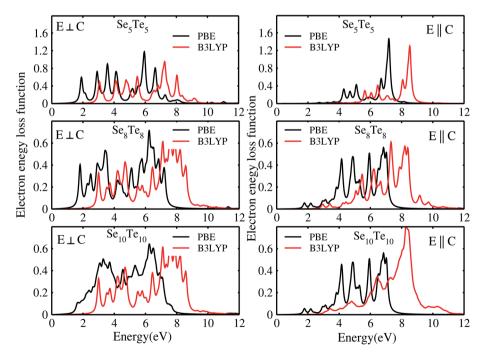


Fig. 15 Electron energy loss function with PBE and B3LYP hybrid functionals.

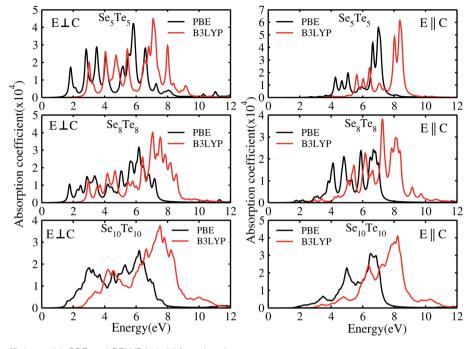


Fig. 16 Absorption coefficient with PBE and B3LYP hybrid functionals.

change in the spectra except that the spectral peaks get shifted to higher energy with decrease in intensity. For the B3LYP functional, it is observed that peaks at lower energy occur with low intensity, whereas peaks at higher energy occur with high intensity in all spectra. B3LYP  $E \perp C$  EELS spectra for  $Se_{10}Te_{10}$ reveal two more peaks of low intensity not observed in PBE spectra. The effect in  $E \parallel C$  is much pronounced; the first three peaks are suppressed and the main peak is enhanced by about 1.5. The B3LYP absorption coefficient for  $Se_{10}Te_{10}$  is consistent with PBE spectra but the main peak is enhanced by about 1.5 times in both  $E \perp C$  and  $E \parallel C$  spectra. In all B3LYP spectra, the maximum shift in energy is about 1.35 eV. It is noteworthy that the B3LYP functional induces a blue shift in the optical properties of  $Se_nTe_n$  clusters. Experimental verification for the use of this functional is needed.

### 4 Summary and conclusion

We have presented the structural, electronic and optical properties of  $\text{Se}_n\text{Te}_n$  (n = 5-10) clusters. The initial geometries are obtained from a genetic algorithm based USPEX code. Structures were relaxed using the VASP code to their minima. About 50–60 structures of each cluster were examined to study their properties. The resulting structures are closed chain structures in concurrence with pristine  $\text{Se}_n$  and  $\text{Te}_n$  structures. The values of structural parameters, *i.e.*, bond length and bond angle, correspond to the values reported for Se–Te glass. Ground state structures are purely formed from heteropolar Se–Te bonds, observed in previous simulations and experimental studies. The crystalfp code is employed to create distance energy plots. The DE plots reveal the presence of a large number of isomers having local minima close to their global minima. Raman and IR spectra describe the presence of Se–Te, Se–Se and Se–Te bonds in the ring structures, observed in Se–Te glass. Low frequency bands that surfaced in Raman and IR spectra are attributed to the presence of bosonic peaks, a universal feature of amorphous solids. The energy eigenvalue spectrum and nature of bonding in these clusters are consistent with Se<sub>n</sub> and Te<sub>n</sub> isolated clusters. The eigenvalue spectrum of ground state clusters shows a clear separation between the s and p orbitals. The nature of bonding has been analysed from the partial charge densities of individual molecular orbitals. The partial charge density of iso-surfaces reveals the presence of p $\sigma$  and p $\pi$  orbitals. From the study of partial charge densities, it observed that the HOMO and LUMO of the investigated clusters consist of non-bonding and anti-bonding orbitals, respectively.

Optical properties are examined in terms of the dielectric constant, electron energy loss spectra (EELS) and absorption coefficient. A small value of the static dielectric constant establishes the covalent bonding and feebly polarizable nature of the clusters. The HL gap, originating from optical properties, matches well with the optical energy gap obtained from the imaginary part of the dielectric function. The energy loss spectra and absorption coefficient indicate the  $\pi$ -band transition that leads to the creation of  $\pi$  plasmons. Sharp peaks, between 2 and 8 eV, in  $\varepsilon''_{\alpha\beta}(\omega)$  correspond to absorption peaks in the absorption coefficient. The plasmon frequency  $(\omega_{\rm p})$ decreases with increase in the size of the cluster, as observed in EEL spectra. The use of a hybrid functional (B3LYP) does not appreciably affect the form of the optical spectra. Absorption in the UV-visible region is unlike the absorption in Se-Te glasses. The present study shows that the properties of Se-Te glasses

concur with the properties of  $Se_nTe_n$  clusters. Thus,  $Se_nTe_n$  clusters can be considered as a microamorphous state of the Se-Te alloy.

### Author contributions

In the manuscript preparation all authors have contributed significantly. D. G. Kanhere was involved in supervision, conceptualization and acquiring resources. Raman Sharma has taken care of software, formal analysis and project administration. Tamanna Sharma has carried out the work. She contributed to data curation, formal analysis, validation and investigation.

### Conflicts of interest

There is no conflict of interest to declare.

### Acknowledgements

The authors acknowledge the HPC cluster facility created in the Department of Physics, Himachal Pradesh University, Summer Hill, Shimla, from the UGC FIST grant. Raman Sharma also acknowledges the help and discussion about distance energy plots with Balasaheb J. Nagare.

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