

FCFDiff-Net: full-conditional feature diffusion embedded network for 3D brain tumor segmentation

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Background: Brain tumor segmentation (BraTS) plays a critical role in medical imaging for early diagnosis and treatment planning. Recently, diffusion models have provided new insights into image segmentation, achieving significant success due to their ability to model nonlinearities. However, existing methods still face challenges, such as false negatives and false positives, caused by image blurring and noise interference, which remain major obstacles. This study aimed to develop a novel neural network approach to address these challenges in three-dimensional (3D) BraTS.

Methods: We propose a novel full-conditional feature diffusion embedded network (FCFDiff-Net) for 3D BraTS. This model enhances segmentation accuracy and robustness, particularly in noisy or ambiguous regions. This model introduces the full-conditional feature embedding (FCFE) module and employs a more comprehensive conditional embedding approach, fully integrating feature information from the original image into the diffusion model. It establishes an effective connection between the decoder side of the denoising network and the encoder side of the diffusion model, thereby improving the model's ability to capture the tumor target region and its boundaries. To further optimize performance and minimize discrepancies between conditional features and the denoising module, we introduce the multi-head attention residual fusion (MHARF) module. The MHARF module integrates features from the FCFE with noisy features generated during the denoising process. Using multi-head attention aligns semantic and noise information refining the segmentation results. This fusion enhances segmentation accuracy and stability by reducing noise impact and ensuring greater consistency across tumor regions.

Results: The BraTS 2020 and BraTS 2021 datasets served as the primary training and evaluation datasets. The proposed architecture was assessed using metrics such as Dice similarity coefficient (DSC), Hausdorff distance at the 95th percentile (HD95), specificity, and false positive rate (FPR). For the BraTS 2020 dataset, the DSC scores for the whole tumor (WT), tumor core (TC), and enhancing tumor (ET) were 0.916, 0.860, and 0.786, respectively. The HD95 values were 1.917, 2.571, and 2.581 mm, whereas specificity values were 0.998, 0.999, and 0.999, and FPR values were 0.002, 0.001, and 0.001, respectively. On the BraTS 2021 dataset, the DSC scores for the same regions were 0.926, 0.903, and 0.869, with HD95 values of 2.156, 1.834, and 1.583 mm, respectively. Specificity and FPR values were 0.999 across the board, and FPR values were consistently low at 0.001. These results demonstrate the model's excellent performance across the three

regions.

Conclusions: The proposed FCFDiff-Net provides an efficient and robust solution for 3D BraTS, outperforming existing models in terms of accuracy and robustness. Future work will focus on exploring the model's generalization capabilities and conducting lightweight experiments to further enhance its applicability.

Keywords: Diffusion models; full-conditional feature embedding (FCFE); multi-head attention fusion; three-dimensional brain tumor image segmentation (3D brain tumor image segmentation)

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Introduction

Brain tumors are diseases caused by the abnormal growth of cells in or near the brain. Since it usually occurs in or near the brain tissue, it can easily affect the brain, which poses a major threat to the life and health of patients. According to statistics, the number of brain tumor cases in China in 2022 alone will be as many as 87,500, and the number of deaths will be as many as 56,600 (1). Consequently, the early identification and management of brain tumors are major clinical priorities. However, the complexity of their location and structure within brain tissue, along with the heterogeneity and variability across different tumors, significantly complicates their diagnosis and treatment (2). Among various imaging techniques, magnetic resonance imaging (MRI) is the main diagnostic method for brain tumors by integrating fluid-attenuated inversion recovery (FLAIR), T1-weighted (T1), post-contrast T1-weighted (T1ce), and T2-weighted (T2) sequences to observe and analyze the progression of the disease. Specifically, brain tumor segmentation (BraTS) requires the identification of key regions such as enhancing tumor (ET), necrosis and non-enhancing tumor (NCR/NET), and peritumoral edema (ED)/infiltration. Figure 1 illustrates a three-dimensional (3D) MRI with multiple modalities for BraTS. Therefore, how to accurately segment the brain tumor region from the MRI data, to allow doctors to accurately analyze the patient's condition and make the correct judgment, is the key point of BraTS. Conventional BraTS not only demands extensive expertise and experience but also is timeconsuming and highly susceptible to subjective factors, which can lead to inconsistencies and reduced accuracy (3,4). So, researchers have tried to solve this problem by using computer-aided diagnosis.

With the rapid development of computer technology,

researchers are increasingly leveraging deep learning techniques to address these challenges, with promising results (5,6). Since the proposal of fully convolutional network (FCN) (7) and U-Net (8), a series of variants of U-Net structure, such as Attention U-Net (9), 3D-UNet (10), V-Net (11), U-Net++ (12), dilated multi-scale residual attention U-net (DMRAU-Net) (13), and DAU-Net (14), have achieved remarkable results in BraTS. SegResNet (15) significantly improves the feature extraction capability of the model by adding reconstruction branches using a variational auto-encoder (VAE). Isensee et al. (16) applied no new net (nnUNet) to BraTS with several targeted processing, which effectively improved the accuracy of BraTS. The success of these models demonstrates convolutional neural networks' (CNNs) power in learning semantic information. However, the limitations of convolutional operations limit the usefulness of spatial dependencies and do not allow for good learning of the connection between global and local information, which is crucial in BraTS (17,18). The Transformer structure has been widely used in image segmentation because of its unique self-attention mechanism and ability to efficiently capture global contextual information (19). TransBTS (20) uses 3D CNN to extract local contextual information and then uses Transformer for global feature modeling. TransUNet (21) combines the advantages of CNN and Transformer to alleviate the limitations of CNN in long-distance dependency modeling. UNEt TRansformers (UNETR) (22) employs a vision transformer (ViT) as the encoder to capture global features, followed by a CNN-based decoder to produce segmentation results. Swin UNETR (23) utilizes a Swin Transformer as the encoder to extract multi-scale features, and similarly, a CNN-based decoder is used to generate the final output. CKD-TransBTS (24) designs two

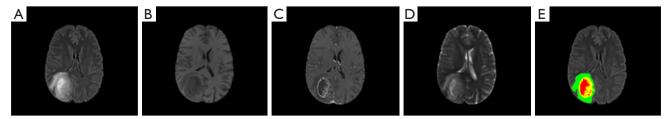


Figure 1 Examples of multimodal MRI used for brain tumor segmentation are shown: (A) T1-weighted, (B) post-contrast T1-weighted, (C) T2-weighted, (D) FLAIR, and (E) ground truth segmentation label provided by domain experts, where green, yellow, and red denote ED, ET, and NCR/NET. ED, edema; ET, enhancing tumor; FLAIR, fluid-attenuated inversion recovery; MRI, magnetic resonance imaging; NCR/NET, necrotic/non-enhancing tumor.

modules to extract multimodal information and fuse the features of CNN and Transformer at the decoder. Although the aforementioned methods have yielded promising results, automatic segmentation remains challenging due to the computational complexity of Transformers and their variants, as well as the inherent heterogeneity of brain tumors in terms of appearance, shape, and histology (25).

Recently, the swift advancement of deep learning techniques has driven significant success in denoising diffusion models, particularly in their application to medical image segmentation and other image processing tasks. The diffusion model added Gaussian noise to the segmentation label and embedded the original image into the network as a condition to guide the segmentation. Through multiple iterations of denoising, the final predicted segmentation label was mapped to the corresponding image space (26,27). For example, Wolleb et al. (28) used a diffusion model to solve the problem of two-dimensional (2D) medical image segmentation by embedding the original image into a diffusion model to guide the network in generating segmentation labels. In contrast, the sampling process uses summation to merge the results of multiple predictions, thus improving the robustness of the segmentation results. Wu et al. (29) proposed MedSegDiff to design dynamic conditional coding to enhance the feature extraction of the denoising process and used the Fourier transform to suppress the influence of high-frequency noise. In the subsequent MedSegDiff-V2 (30), Wu et al. combined the Transformer with a diffusion model and designed several modules to simulate the interactions between noise and semantic features, improving the segmentation performance of the model. Bozorgpour et al. (31) designed DermoSegDiff to improve segmentation performance by introducing a new loss function that allows the model to prioritize learning the boundary information of the region to be segmented

and integrating noise and semantic information within the network through a new U-Net network architecture. Chen et al. (32) introduced BerDiff, which is the first method to utilize Bernoulli noise as the diffusion kernel to boost the segmentation capabilities of the model, generating varied segmentation labels through random sampling to enhance performance. Xing et al. (33) proposed Diff-UNet to integrate the diffusion model into the U-Net architecture to efficiently extract semantic information from multimodal images, while to enhance the robustness of the prediction results of the diffusion model, an uncertainty-based fusion module is used in the sampling stage to merge to obtain the final segmentation results.

Despite the promising performance of diffusion-based approaches in segmentation tasks, their application to medical imaging—particularly BraTS—remains challenging due to fundamental limitations in conditional embedding. As a generative framework, diffusion models incorporate the original image as a conditional prior within the denoising process to guide segmentation label generation. However, medical imaging presents unique challenges, including extreme tumor heterogeneity (e.g., varying sizes, irregular shapes, and indistinct boundaries) and domainspecific noise (e.g., MRI artifacts, intensity inhomogeneity). Traditional conditional embedding techniques, such as direct feature concatenation or global pooling, struggle to retain fine-grained anatomical structures and to effectively separate tumor-relevant features from noise throughout the iterative denoising process. These shortcomings manifest in two major failure modes: (I) false negatives, where small or low-contrast tumors are omitted due to insufficient feature retention; and (II) false positives, where noisy artifacts are misclassified as pathological tissue due to unresolved feature ambiguity. Notably, although diffusion models theoretically learn a robust data distribution, their reliance on suboptimal

conditional priors can hinder accurate feature refinement in the presence of medical image variability. This underscores the necessity for advanced conditional embedding mechanisms specifically designed for medical diffusion models—mechanisms that ensure precise alignment between noisy latent features and diagnostically critical anatomical structures, ultimately enhancing segmentation accuracy and robustness.

To solve the above problems, we propose a novel fullconditional feature diffusion (FCFD) embedded network for 3D BraTS, named FCFDiff-Net. To guide the diffusion model in predicting the true and complete segmentation labels more accurately, we designed the full-conditional feature embedding (FCFE) module. This module uses the original image as a condition to guide the diffusion model in predicting the segmentation labels. In addition, we also perform feature mapping between the decoder side of the FCFE to the encoder side of the denoising module to enhance the network's ability to model the segmentation target and the segmentation boundary. Meanwhile, to further optimize the model performance and reduce the feature differences between the conditional features and denoising module, we designed a multi-head attention residual fusion (MHARF) module. This module integrates the feature information of the FCFE with the information with noise in the denoising network, extracts the context information, and realizes the feature alignment of semantic information and noise information. This design allows the model to generate more robust and accurate segmentation results. Extensive experiments were conducted using the BraTS 2020 and BraTS 2021 multimodal BraTS datasets. Comparisons with several state-of-the-art BraTS models demonstrate that our method outperforms existing stateof-the-art approaches in accurately segmenting brain tumor images.

Our contributions are summarized as follows:

- (I) To solve the problem of medical image segmentation in which the network extracts insufficient features due to problems such as image blurring and noise interference, which makes the segmentation label appear empty and incorrectly segmented, we designed the FCFE method. This method improves the network's feature extraction capabilities by conducting multi-level feature extraction on the original image and thoroughly incorporating conditional feature information, leading to more precise segmentation.
- (II) To reduce the effect of noise in conditional

- embedding and denoising networks, we designed the MHARF module. This module integrates the conditional features from full-conditional embedding with noise features in the denoising network, using a multi-head attention mechanism to capture their correlations. This approach enhances the model's expressive capability and further improves the network's feature extraction performance.
- (III) Comparative experiments were conducted on the BraTS 2020 and BraTS 2021 brain tumor datasets, as well as with multiple state-of-the-art segmentation models, to thoroughly evaluate the performance of our FCFDiff-Net. The results show that our method achieves the highest segmentation accuracy.

We present this article in accordance with the CLEAR reporting checklist (available at https://qims.amegroups.com/article/view/10.21037/qims-24-2300/rc).

Methods

Overall architecture

The overall workflow of our proposed FCFDiff-Net is shown in *Figure 2*. The network is different from traditional medical image segmentation. It takes the original image I, and the noise segmentation mask \mathcal{X}_t as the input of the network, learns the denoising process by training the network, and finally generates a clear segmentation mask x_0' to achieve the goal of image segmentation.

For ease of understanding, we describe the overall flow of the network at t steps. At step t, the segmentation label $x_0 \in \mathbb{R}^{3 \times D \times W \times H}$ can be obtained as a noisy segmentation mask $x_i \in \mathbb{R}^{3 \times D \times W \times H}$ through a forward process, and for the diffusion model to generate a meaningful segmentation mask x'_0 , we need to embed the original image $I \in \mathbb{R}^{4 \times D \times W \times H}$ as a condition to guide the generation in the network. Therefore, we concatenate X_t and the original image I at the channel level to obtain $x_{t,I} = cat(x_t, I)$, and then use $X_{t,I}$ as the input of the denoising network D_{θ} . On the one hand, we input the original image I into the network according to FCFE, which can obtain several segmentation features $F_{decoder}: \left[\mathbb{R}^{\frac{\gamma'}{2}(\frac{D-W-H}{2^{-\gamma'}})}\right]_{i=0}^{4} \text{ at the decoder side. The sum operation}$ of $F_{decoder}$ and the denoising network of $D_{encoder}$ is carried out, that is, $D_{encoder} \oplus F_{decoder}$. Through the above operations, more complete conditional features can be embedded into the denoising network, to better introduce the original

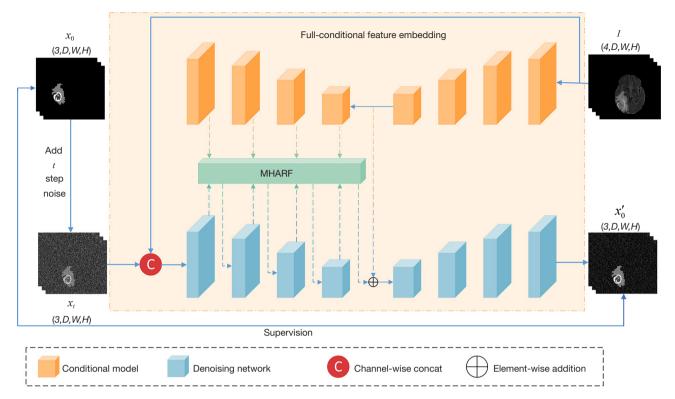


Figure 2 The overview of proposed FCFDiff-Net. MHARF, multi-head attention residual fusion; FCFDiff-Net, full-conditional feature diffusion embedded network for 3D BraTS.

image data, enhance the perception ability of the diffusion model to the segmentation target, and reduce the diffusion variance. On the other hand, when the conditional features are embedded into the denoising network, the presence of Gaussian noise will make a certain semantic gap between them, and this difference will affect the performance of the model. Therefore, we designed the MHARF module, through which the network ignores the semantic gap between them, to reduce the difference between the conditional feature $F_{decoder}$ and the noise in the denoising network. By the above method, the final segmentation label x_0' can be obtained.

The general diffusion model is trained by predicting the noise added before, whereas for diffusion models to complete the image segmentation task better, our FCFDiff-Net directly predicts the final segmentation label x_0' (33). Therefore, the loss functions used during training are binary cross entropy (BCE) loss, mean squared error (MSE) loss, and DICE loss. The total loss L_{total} for model training is defined as:

$$L_{total} = L_{BCE}\left(x_0', x_0\right) + L_{MSE}\left(x_0', x_0\right) + L_{DICE}\left(x_0', x_0\right) \quad [1]$$

FCFE module

Diffusion models, as generative models applied in image segmentation, must be guided appropriately to achieve the purpose of image segmentation. Without effective generation guidance during the training and sampling phases, the generated results may be meaningless (28). To address this issue, researchers have explored various methods to incorporate the original image I into the model as conditional features, guiding the generation of a meaningful segmentation mask \mathbf{X}_0 .

Wolleb *et al.* (28) proposed a method to guide the denoising network to generate the segmentation mask x_0 by treating the noise segmentation mask x_t as an additional channel of the original image I. As shown in *Figure 3A*, this approach introduces original feature information at the channel level but may result in the generation of meaningless segmentation masks since the denoising network does not extract enough feature information. Xing *et al.* (33) designed a feature encoder on their Diff-UNet model to add features from the original image I to the denoising network. They sum up the features extracted by

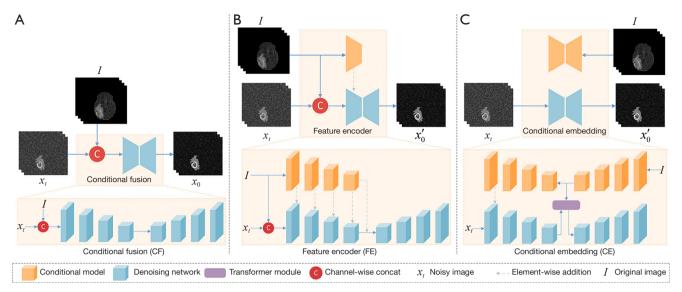


Figure 3 Examples of different feature embedding methods in the diffusion model. (A) The original image I is a conditional fusion with the noisy segmentation mask x_i as input to the denoising network. (B) The original image I uses Feature Encoder to fuse the obtained conditional features with the denoising network. (C) The original image I uses conditional embedding to integrate the obtained conditional features with the denoising network.

the feature encoder with the features of corresponding size in the denoising network, and then add the fused features as inputs to the decoder of the denoising network, thus realizing the effective guidance of the generation process. As shown in Figure 3B, this approach embeds the condition directly into the noisy information of the denoising network, which may cause confusion in the bootstrapping process and lead to the generation of incorrect segmentation masks. However, MedSegDiff-V2 (30), proposed by Wu et al., adopts a novel conditional embedding method, as shown in Figure 3C. They used a standard U-Net as the conditional model to extract image features, which were then input into a designed Transformer module alongside features of matching size from the denoising network. This approach helps to mitigate the disparity between noise and conditional embeddings. This approach effectively guided the generative process of the diffusion model. However, incorporating the Transformer module also increases the model's computational complexity and may be constrained by available computational resources.

However, when faced with smaller or fuzzy segmentation labels, these conditional fusion methods often fail to extract useful information due to limited feature extraction, resulting in empty or wrong segmentation. To solve the above problems, we propose FCFE, which comprehensively improves the embedding of original image features to

effectively guide the denoising network in generating segmentation labels x'_0 . The structure is shown in *Figure 4*.

We combine the above conditional fusion methods by letting the original image I and the noisy segmentation mask \mathcal{X}_t be channel spliced as the input to the denoising network D_{θ} , which gives us $x_{t,I} = cat(x_t, I)$. Meanwhile, the original image I is fed into a standard U-Net structure, and then the obtained features $F_{decoder} = (f_1, f_2, f_3, f_4, f_5)$ at the decoder side and $D_{encoder} = (d_1, d_2, d_3, d_4, d_5)$ at the encoder side of the denoising network D_{θ} are fused, and then the remaining convolutional operation is employed, which is expressed by the formula:

$$F_{decoder} \oplus D_{encoder} = \sum_{i=1}^{5} (f_i \oplus d_i)$$
 [2]

where $d_1 = Conv(x_{i,I}) = Conv(cat(x_i,I))$, $d_{i+1} = Conv(f_i \oplus d_i)$, Conv denotes a series of convolution operations and \oplus denotes a pixel-by-pixel addition operation.

We use this approach to guide the denoising network to correctly generate the segmentation label x'_0 , and the boundary information of the segmentation label x'_0 obtained in this way is more complete.

MHARF module

During diffusion models training, we utilize the denoising network to predict the added noise and integrate the image

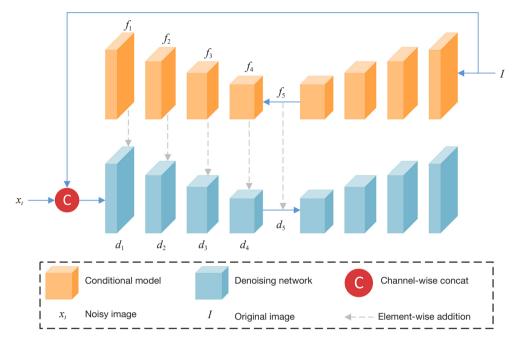


Figure 4 FCFE structure. FCFE, full-conditional feature embedding.

information into the model through FCFE to guide it in generating accurate segmentation labels. However, a mismatch between the semantic information embedded in the features and the noise in the denoising network can disrupt the model's training process, potentially impacting the network's segmentation performance (30). Therefore, our main goal was to explore how to effectively minimize the negative impact of this discrepancy on network performance.

To address this issue, our main goal was to explore strategies for minimizing the negative impact of such discrepancies on network performance. During the training of the denoising network, it is crucial not only to predict the noise distribution but also to accurately capture the feature distribution of the segmentation target. Given the multihead attention mechanism's effectiveness in processing multi-feature information in transformer architectures, we developed a multi-head attention residual fusion (MHARF) module. This module fuses the feature $F_{decoder}$ of the original image with the denoising feature $D_{encoder}$ of the denoising network D_{θ} as input. The overall structure is shown in Figure 5.

We planned to utilize a multi-head attention mechanism to fit the conditional features and the noise so that the network sees both as a unified overall feature. Specifically, the fused feature $D_{encoder} \oplus F_{decoder}$ is first passed through three convolutional layers of different scales to extract local information gradually, and then batch normalization and rectified linear unit (ReLU) activation functions are used to enhance the expressive power of the feature, which can be expressed as follows: $\sum_{i=1}^{3} Conv_i (F_{decoder} \oplus D_{encoder})$. Then, the multi-head attention mechanism is used to integrate these processed features. This helps to reduce the feature difference between $F_{decoder}$ and $D_{encoder}$, and extract higher-level semantic information, which can be expressed as: $\sum_{i=1}^{3} MHSA_i(Conv_i(F_{decoder} \oplus D_{encoder}))$. Finally, these features are summed with the original input features to prevent the gradient vanishing problem. In summary, it is expressed in Eq. [3] as:

$$F_{decoder} \oplus D_{encoder} + \sum\nolimits_{i=1}^{3} MHSA_{i} \left(Conv_{i} \left(F_{decoder} \oplus D_{encoder} \right) \right) \end{cases} \end{cases} \begin{subarray}{l} \end{subarray} \end{subarray} \begin{subarray}{l} \end{subarray} \end{subarray} \end{subarray} \end{subarray} \begin{subarray}{l} \end{subarray} \end{subarray} \begin{subarray}{l} \end{subarray} \end{subarray} \begin{subarray}{l} \end{subarray} \begin{subarr$$

Each of these *Conv* operations contains batch normalization and ReLU activation functions. The MHARF module integrates the features of the original image with the noise in the denoising network, allowing the network to disregard the differences between them and thus enhancing its performance in segmentation tasks. In addition, the final output features also contain more advanced semantic information, which can better guide the denoising network to generate more accurate segmentation labels.

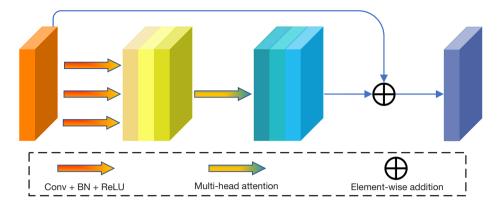


Figure 5 MHARF Structure. BN, batch normalization; Conv, convolution; MHARF, multi-head attention residual fusion; ReLU, rectified linear unit.

Experiments

Datasets

In this experiment, we used two datasets. The first dataset used for the experiment was provided by the BraTS 2020 Challenge (34-36). Since the validation and test data of this dataset are not visible, we split its training set (369 3D MRIs) into a train set, validation set, and test set with a ratio of 7:1:2. Each MRI sample consists of four modes, namely T1, T2, T1ce, and FLAIR. The label consisted of Gd-ET (label 4), peritumoral edema (label 2), necrotic tumor core (TC, label 1), and background (label 0). These four labels can be clustered into three easily segmentable regions for performance evaluation, namely TC (labels 1 and 4), ET (label 4), and whole tumor (WT, labels 1, 2, and 4). Another dataset is from the BraTS 2021 Challenge (34,35,37). Its train set consists of 1,251 3D MRIs. The two datasets (BraTS 2020 and BraTS 2021) are identical except for the number of samples.

Evaluation metrics

We used the Dice similarity coefficient (DSC), Hausdorff distance at the 95th percentile (HD95), specificity, and false positive rate (FPR) to comprehensively evaluate the segmentation performance of the network. Eq. [4] represents the spatial overlap between the true segmentation label x_0 and the predicted segmentation label x_0' , defined as the DSC value:

$$DSC(x'_0, x_0) = \frac{2|x'_0 \cap x_0|}{|x'_0| + |x_0|}$$
 [4]

where $|x'_0 \cap x_0|$ denotes the number of overlapping pixels between the prediction and ground truth, and $|x'_0|$, $|x_0|$ are

the total pixels in the predicted and true labels, respectively.

The Hausdorff distance (HD) is represented by Eq. [5], which measures the shape similarity between the true segmentation label \mathcal{X}_0 and the predicted segmentation label \mathcal{X}_0' by computing the boundary distance.

$$HD(x'_{0}, x_{0}) = \max \left\{ \max_{a \in x'_{0}} \min_{b \in x_{0}} \left\{ a, b \right\}, \max_{b \in x_{0}} \min_{a \in x'_{0}} \left\{ a, b \right\} \right\}$$
 [5]

where a and b denote boundary pixels of x'_0 and x_0 , respectively. To mitigate sensitivity to outliers. we report the HD95.

Additionally, specificity and FPR were computed to assess the model's robustness in avoiding false positives. Specificity measures the proportion of true negative pixels correctly identified:

Specificity
$$(x'_0, x_0) = \frac{\left| -x'_0 \cap -x_0 \right|}{\left| -x_0 \right|}$$
 [6]

where $\neg x'_0$ represents the complement of the true label, and $|\neg x'_0 \cap \neg x_0|$ denotes the true negative pixels. Conversely, the FPR reflects the rate of background pixels misclassified as positive:

$$FPR(x_0', x_0) = \frac{|x_0' \cap \neg x_0|}{|\neg x_0|}$$
 [7]

These metrics provide complementary insights: DSC and HD95 emphasize spatial overlap and boundary accuracy, whereas specificity and FPR evaluate the model's precision in preserving true negatives and suppressing false activations.

Implementation details

Our FCFDiff-Net is implemented using PyTorch and

Table 1 Quantitative comparison on the BraTS 2020 dataset

Mathanda		WT		TC	ET		Average	
Methods	DSC↑	HD95↓ (mm)	DSC↑	HD95↓ (mm)	DSC↑	HD95↓ (mm)	DSC↑	HD95↓ (mm)
Attention-UNet (9)	0.845	15.174	0.782	16.380	0.716	9.095	0.781	13.549
DAUnet (14)	0.898	5.400	0.830	9.800	0.786	27.600	0.838	14.267
SegResNet (15)	0.915	3.275	0.836	3.769	0.730	3.486	0.827	3.494
nnUNet (16)	0.912	3.781	0.842	7.771	0.765	18.230	0.840	9.927
TransBTS (20)	0.911	3.360	0.836	2.986	0.740	3.403	0.829	3.249
TransUNet (21)	0.892	3.146	0.825	2.891	0.758	3.621	0.825	3.219
UNETR (22)	0.902	4.305	0.813	5.740	0.732	4.643	0.816	4.896
SwinUNETR (23)	0.917*	2.856	0.826	4.314	0.749	4.503	0.830	3.891
SwinBTS (38)	0.891	8.560	0.804	15.780	0.774	26.840	0.823	17.060
CKD-TransBTS (24)	0.898	2.419	0.841	3.447	0.770	3.018	0.836	2.961
FDiff-Fusion (39)	0.905	2.207	0.844	3.311	0.776	2.714	0.842	2.473
FCFDiff-Net	0.916	1.917*	0.860*	2.571*	0.786*	2.581*	0.854*	2.356*

Higher DSC scores (†) indicate better segmentation, whereas lower HD95 values (↓) indicate better performance. The top result is marked with asterisk (*). BraTS, brain tumor segmentation; DSC, Dice similarity coefficient; ET, enhancing tumor; HD95, Hausdorff distance at the 95th percentile; TC, tumor core; WT, whole tumor.

MONAI on 2×40 G Nvidia A100 GPUs (Nvidia, Santa Clara, CA, USA), each with a memory capacity of 40 G. During training, we adopt BCE Loss, MSE Loss, and DICE Loss as the total loss function. The optimization is performed using the AdamW optimizer with a weight decay of 10^{-5} , whereas the learning rate follows a cosine annealing schedule. The batch size is set to 4, and the model is trained for 300 epochs.

The original resolution of BraTS scans is $240 \times 240 \times 155$. Instead of resizing, we adopt a patch-based training strategy, where n patches of $96 \times 96 \times 96$ are randomly sampled from the full volume. This approach ensures that the original aspect ratio is maintained while allowing the model to capture diverse brain regions across different training iterations. To enhance data diversity and improve generalization, we apply random flips (horizontal, vertical, and depth-wise), random rotations (within $\pm 15^\circ$), intensity scaling (0.9–1.1), and intensity shifts (–0.1 to 0.1) for data augmentation.

During inference, we set the number of denoising diffusion implicit models (DDIMs) sampling steps to 10, and each generated sample has a size of 96×96×96. A sliding window strategy with an overlap rate of 0.5 is employed to ensure full-volume prediction, preventing the loss of critical

brain structures.

The study was conducted in accordance with the Declaration of Helsinki and its subsequent amendments.

Results

To validate the effectiveness of FCFDiff-Net in 3D brain tumor image segmentation, we compared it with the current state-of-the-art models on the BraTS 2020 and BraTS 2021 datasets. These segmentation models include CNN-based methods such as Attention-UNet (9), DAUnet (14), SegResNet (15) and nnUNet (16), Transformer-based methods such as TransBTS (20), TransUNet (21), UNETR (22), SwinUNETR (23), SwinBTS (38), and CKD-TransBTS (24), and the diffusion model-based method FDiff-Fusion (39). To ensure the fairness of the comparison, all models involved, including our FCFDiff-Net, were trained and tested under the same computer hardware and dataset conditions. The quantitative results of the experiments are presented in *Tables 1-4*.

On the BraTS 2020 dataset, as shown in *Table 1*, FCFDiff-Net achieves state-of-the-art performance, with an average DSC score of 0.854 and an HD95 of 2.356 mm, outperforming all competing methods across all tumor

Table 2 Quantitative comparison of specificity and FPR on the BraTS 2020 dataset

Methods	WT		TC		ET		Average	
Wethous	Specificity↑	FPR↓	Specificity [↑]	FPR↓	Specificity [↑]	FPR↓	Specificity [↑]	FPR↓
Attention-UNet (9)	0.985	0.015	0.980	0.020	0.970	0.030	0.978	0.022
DAUnet (14)	0.987	0.013	0.980	0.020	0.975	0.025	0.981	0.020
SegResNet (15)	0.990	0.010	0.985	0.015	0.980	0.020	0.985	0.015
nnUNet (16)	0.988	0.012	0.982	0.018	0.976	0.024	0.982	0.018
TransBTS (20)	0.991	0.009	0.985	0.015	0.978	0.022	0.985	0.015
TransUNet (21)	0.990	0.010	0.985	0.015	0.977	0.023	0.984	0.016
UNETR (22)	0.985	0.015	0.980	0.020	0.973	0.027	0.979	0.021
SwinUNETR (23)	0.992	0.008	0.990	0.010	0.985	0.015	0.989	0.011
SwinBTS (38)	0.987	0.013	0.980	0.020	0.974	0.026	0.980	0.020
CKD-TransBTS (24)	0.990	0.010	0.985	0.015	0.978	0.022	0.984	0.016
FDiff-Fusion (39)	0.992	0.008	0.990	0.010	0.985	0.015	0.989	0.011
FCFDiff-Net	0.998*	0.002*	0.999*	0.001*	0.999*	0.001*	0.999*	0.001*

Higher specificity scores (†) and lower FPR (↓) indicate better performance. The top result is marked with asterisk (*). BraTS, brain tumor segmentation; ET, enhancing tumor; FPR, false positive rate; TC, tumor core; WT, whole tumor.

Table 3 Quantitative comparison on the BraTS 2021 dataset

NA - II I-		WT		TC	TC		Α	verage
Methods -	DSC↑	HD95↓ (mm)						
Attention-UNet (9)	0.910	8.990	0.869	6.572	0.841	5.302	0.873	6.952
DAUnet (14)	0.899	6.700	0.844	6.600	0.776	14.400	0.839	9.233
SegResNet (15)	0.917	6.113	0.896	5.790	0.861	5.423	0.891	5.781
nnUNet (16)	0.926	3.550	0.874	10.560	0.837	22.440	0.879	12.183
TransBTS (20)	0.920	4.980	0.882	4.860	0.795	16.320	0.866	8.720
TransUNet (21)	0.919	6.162	0.877	7.340	0.818	13.090	0.872	8.860
UNETR (22)	0.890	14.423	0.847	10.221	0.825	8.785	0.854	11.142
SwinUNETR (23)	0.926	5.831	0.885	3.770	0.858	6.016	0.890	5.206
SwinBTS (38)	0.918	3.650	0.848	14.510	0.832	16.030	0.866	11.397
CKD-TransBTS (24)	0.923	4.230	0.881	4.390	0.848	3.160	0.884	3.927
FCFDiff-Net	0.926*	2.156*	0.903*	1.834*	0.869*	1.583*	0.899*	1.858*

Higher DSC scores (↑) indicate better segmentation, while lower HD95 values (↓) indicate better performance. The top result is marked with asterisk (*). BraTS, brain tumor segmentation; DSC, Dice similarity coefficient; ET, enhancing tumor; HD95, Hausdorff distance at the 95th percentile; TC, tumor core; WT, whole tumor.

sub-regions. In the particularly challenging ET region, our model surpassed the second-best FDiff-Fusion by improving the DSC score from 0.776 to 0.786 while also

reducing HD95 from 2.714 to 2.581 mm. Additionally, the exceptional specificity scores presented in *Table 2* (with an average of 0.999) and the minimal FPRs (with an average

Table 4 Quantitative comparison of specificity and FPR on the BraTS 2021 dataset

Mathada	WT		TC		ET		Average	
Methods -	Specificity↑	FPR↓	Specificity [↑]	FPR↓	Specificity [↑]	FPR↓	Specificity [↑]	FPR↓
Attention-UNet (9)	0.980	0.020	0.975	0.025	0.970	0.030	0.975	0.025
DAUnet (14)	0.985	0.015	0.980	0.020	0.975	0.025	0.980	0.020
SegResNet (15)	0.990	0.010	0.985	0.015	0.980	0.020	0.985	0.015
nnUNet (16)	0.989	0.011	0.986	0.014	0.980	0.020	0.985	0.015
TransBTS (20)	0.991	0.009	0.986	0.014	0.982	0.018	0.986	0.014
TransUNet (21)	0.990	0.010	0.987	0.013	0.981	0.019	0.986	0.014
UNETR (22)	0.988	0.012	0.983	0.017	0.975	0.025	0.982	0.018
SwinUNETR (23)	0.992	0.008	0.990	0.010	0.985	0.015	0.989	0.011
SwinBTS (38)	0.989	0.011	0.982	0.018	0.978	0.022	0.983	0.017
CKD-TransBTS (24)	0.993	0.007	0.988	0.012	0.986	0.014	0.989	0.011
FCFDiff-Net	0.999*	0.001*	0.999*	0.001*	0.999*	0.001*	0.999*	0.001*

Higher specificity scores (↑) and lower false positive rates (↓) indicate better performance. The top result is marked with asterisk (*). BraTS, brain tumor segmentation; ET, enhancing tumor; FPR, false positive rates; FPR, false positive rate; TC, tumor core; WT, whole tumor.

FPR of 0.001) confirm FCFDiff-Net's reliability in clinical applications by effectively avoiding erroneous lesion detection.

The advantage of FCFDiff-Net becomes even more evident on the BraTS 2021 benchmark (*Tables 3,4*), where it establishes new state-of-the-art results with an average DSC score of 0.899 and an HD95 of 1.858 mm. Notably, our model maintains strong consistency across all tumor sub-regions, achieving the best HD95 values in every category (WT: 2.156 mm, TC: 1.834 mm, ET: 1.583 mm), demonstrating a significant reduction in segmentation error compared to the second-best CKD-TransBTS, particularly in the ET region. Furthermore, its near-perfect specificity (0.999) and negligible FPR (0.001) across all tumor regions (*Table 4*) further validate its precision in tumor boundary delineation for clinical applications.

Compared to CNN-based approaches, FCFDiff-Net achieves a noticeably higher average DSC score than SegResNet on BraTS 2020 while also significantly reducing HD95. When compared to Transformer architectures, our model demonstrates superior HD95 performance over SwinUNETR while maintaining comparable DSC scores. Moreover, FCFDiff-Net outperforms the existing diffusion-based FDiff-Fusion, achieving both higher DSC scores and lower HD95 values on BraTS 2020. These results collectively highlight the effectiveness of our method in delivering superior segmentation accuracy and precise

tumor boundary delineation.

Figure 6 provides us with an intuitive view of the segmentation effect of FCFDiff-Net on the BraTS dataset in comparison to several other segmentation models. We chose the flair image as the background of the segmentation to observe the segmentation effect more clearly. In the first and second rows, the segmentation results of FCFDiff-Net significantly reduce the erroneous segmentation regions compared to other models.

Specifically, the segmentation boundaries of FCFDiff-Net are closer to the boundaries of Ground Truth, reducing the occurrence of false positives. In the third row, all models showed some degree of false positive results, namely, incorrectly identifying non-tumor regions as tumor regions. However, FCFDiff-Net has significantly fewer false-positive results, indicating that it has higher accuracy in distinguishing tumor from non-tumor regions. In the fourth row, the segmentation results generated by FCFDiff-Net are closer to the Ground Truth through its unique FCFE method and MHARF module, whereas other methods have a certain degree of false negative, that is, the tumor region is wrongly identified as a non-tumor region. Moreover, the noise is also significantly reduced, reducing the possible errors in the segmentation process.

Figure 7 compares the 3D stereo segmentation results of FCFDiff-Net and the Ground Truth. It can be seen from the figure that the segmentation results of FCFDiff-Net are

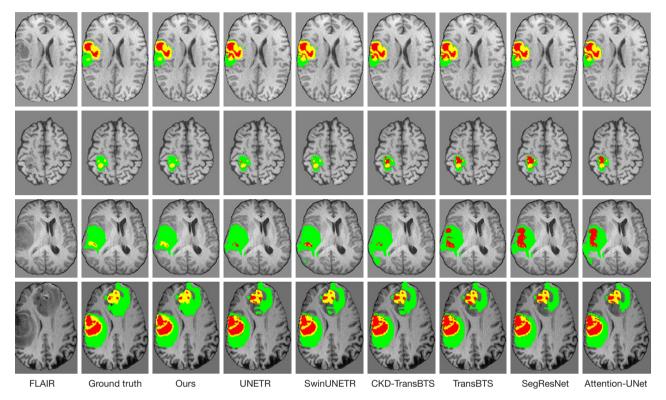


Figure 6 Visualization of quantitative comparison of state-of-the-art methods on the BraTS dataset. The green region represents the WT, yellow represents the TC, and red represents the ET. The four cases shown in the figure are all from the BraTS 2021 dataset, with subject IDs 220, 222, 656, and 674, respectively. WT, whole tumor; TC, tumor core; ET, enhancing tumor; FLAIR, fluid-attenuated inversion recovery; BraTS, brain tumor segmentation.

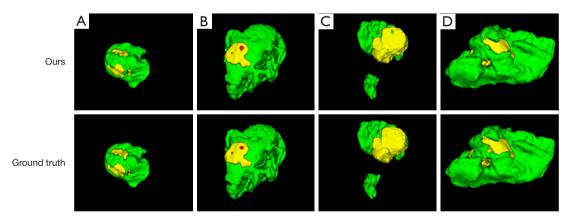


Figure 7 3D visualization of our tumor segmentation results is presented as follows: the first row displays our segmentation results; the second row shows the ground truth. The green region represents the WT, yellow represents the TC, and red represents the ET. Four representative cases (A-D) from the BraTS 2021 dataset were selected for analysis. Case A corresponds to subject ID 228, Case B to 663, Case C to 1153, and Case D to 1371. 3D, three-dimensional; ET, enhancing tumor; TC, tumor core; WT, whole tumor.

Table 5 Ablation study of FCFE and MHARF on the BraTS 2020 dataset

Methods -	WT			TC		ET Average		
	DSC↑	HD95↓ (mm)						
Basic	0.915	1.866	0.847	3.018	0.783	2.800	0.848	2.561
Basic + FCFE	0.914	1.910	0.854	2.727	0.782	2.912	0.850	2.516
Basic + MHARF	0.915	1.883	0.848	2.961	0.784	2.756	0.849	2.533
FCFDiff-Net	0.916*	1.917	0.860*	2.571*	0.786*	2.581*	0.854*	2.356*

Higher DSC scores (↑) indicate better segmentation, whereas lower HD95 values (↓) indicate better performance. The top result is marked with asterisk (*). BraTS, brain tumor segmentation; DSC, Dice similarity coefficient; ET, enhancing tumor; FCFE, full-conditional feature embedding; HD95, Hausdorff distance at the 95th percentile; MHARF, multi-head attention residual fusion; TC, tumor core; WT, whole tumor.

Table 6 Ablation study of FCFE and MHARF on the BraTS 2021 dataset

Methods		WT		TC		ET		Average	
	DSC↑	HD95↓ (mm)							
Basic	0.923	2.740	0.892	1.890	0.861	1.645	0.892	2.092	
Basic + FCFE	0.925	2.591	0.900	1.779	0.867	1.589	0.897	1.986	
Basic + MHARF	0.924	2.451	0.898	1.772	0.860	1.628	0.894	1.950	
FCFDiff-Net	0.926*	2.156*	0.903*	1.835	0.868*	1.583*	0.899*	1.858*	

Higher DSC scores (†) indicate better segmentation, while lower HD95 values (↓) indicate better performance. The top result is marked with asterisk (*). BraTS, brain tumor segmentation; DSC, Dice similarity coefficient; ET, enhancing tumor; FCFE, full-conditional feature embedding; HD95, Hausdorff distance at the 95th percentile; MHARF, multi-head attention residual fusion; TC, tumor core; WT, whole tumor.

very close to the Ground Truth, which further verifies its ability to segment brain tumors in 3D space.

Experiments show that FCFDiff-Net obtains more accurate segmentation results regarding segmentation boundaries and details. Compared with other segmentation models, FCFDiff-Net can identify and segment the tumor region more effectively, significantly reducing the appearance of false positives and false negatives and providing clearer and more accurate segmentation results.

Discussion

Ablation experiments on major modules

To gain a deeper understanding of the role of the FCFE and the MHARF module in FCFDiff-Net, we performed ablation experiments. The quantitative results of these experiments are displayed in *Tables 5*, 6, respectively. In particular, we note that the conditional embedding adopted by the "basic" model is in *Figure 3B*.

In these quantitative experiments, we can see that "basic + FCFE" significantly outperforms "basic" in terms of

average DSC and average HD95 values on all three regions, WT, TC, and ET. It shows that our FCFE method, as a feature embedding approach, can introduce more image information into the diffusion model, thus improving the segmentation performance of the model.

Meanwhile, the experimental results of "basic + MHARF" were also better than "basic", which indicates that the MHARF module can not only effectively make up for the difference in information between feature embedding and denoising network, but also capture more information about the image and further improve the segmentation performance. This shows that the MHARF module can not only effectively compensate for the difference between feature embedding and denoising network but also capture more image information and further improve the segmentation performance. Meanwhile, the experimental results of "basic + MHARF" were also better than "basic", which indicates that the MHARF module can not only effectively compensate for the noise difference between feature embedding and denoising network, but also capture more image information and further improve the

Methods	WT			TC		ET		Average	
	DSC↑	HD95↓ (mm)	DSC↑	HD95↓ (mm)	DSC↑	HD95↓ (mm)	DSC↑	HD95↓ (mm)	
Conditional fusion	0.912	3.190	0.840	5.611	0.780	4.014	0.844	4.272	
Feature encoder	0.915*	1.866*	0.847	3.018	0.783*	2.800*	0.848	2.561	
Conditional embedding	0.910	2.040	0.850	2.816	0.780	2.772	0.847	2.543	

Table 7 Performance of different conditional embedding methods on the BraTS 2020 dataset

1.910

Higher DSC scores (↑) indicate better segmentation, while lower HD95 values (↓) indicate better performance. The top result is marked with asterisk (*). BraTS, brain tumor segmentation; DSC, Dice similarity coefficient; ET, enhancing tumor; FCFDiff-Net, full-conditional feature diffusion embedded network; HD95, Hausdorff distance at the 95th percentile; TC, tumor core; WT, whole tumor.

2.727*

0.782

0.854*

segmentation performance.

FCFDiff-Net

This shows that the MHARF module not only can effectively compensate for the noise difference between feature embedding and denoising network but also can capture more image information and further improve the segmentation performance. When both modules are applied together, this is our FCFDiff-Net. Its average DSC and average HD95 values were significantly better than all other combination methods. This shows that by combining these two modules, our method can embed more image information into the denoising network and thus segment the target region more accurately, significantly improving the segmentation accuracy of the segmentation network.

0.914

Ablation experiments with conditional embedding

To enable diffusion models to perform image segmentation tasks, researchers have explored various approaches. Among them, the three most widely used conditional embedding methods are (I) conditional fusion; (II) feature encoder; and (III) conditional embedding, as illustrated in *Figure 3*. To compare the performance difference between these three methods and our proposed FCFE, we conducted experiments on the BraTS 2020 dataset. The quantitative results of these experiments are presented in *Table 7*.

The data in *Table* 7 show that the average DSC and HD95 values of methods feature encoder, conditional embedding, and our proposed FCFE are significantly better than those of method conditional fusion across the three tumor regions of WT, TC, and ET. This shows that the conditional embedding method can capture more image information than simple feature fusion, thereby improving segmentation accuracy. Furthermore, our proposed FCFE outperformed methods feature encoder and conditional embedding in all evaluation metrics, demonstrating that our

full-conditional feature embedding approach captures richer feature information for better segmentation performance.

Ablation experiments of the MHARF MODULEs in different conditional embedding

2.912

0.850*

2.516*

To investigate the ability of the MHARF module to deal with the difference between condition information and noise under different conditional embedding ways, we conducted experiments on the BraTS 2020 dataset. Specifically, we integrated the MHARH module into Figure 3B method feature encoder and method conditional embedding, respectively, and conducted experimental comparisons on these improved models. Table 8 displays the quantitative results of the experiments.

By analyzing these data, we can see that the average DSC, as well as the average HD95 values on the three regions of WT, TC, and ET, were improved after the addition of the MHARF module for both methods feature encoder and conditional embedding compared to the preaddition period. This suggests that the MHARF module can effectively compensate for the difference between the noise in the conditional embedding and denoising network, thus capturing more semantic information and improving the model's segmentation performance.

Ablation experiments for robustness to noise and outliers

In this experiment, we evaluated the performance of the model under different types of noise to assess its robustness. The experiments were conducted using the BraTS 2020 dataset, which was divided into training, validation, and testing sets in a 7:1:2 ratio. The training and validation sets were used to train the model on clean data, whereas the model's performance was evaluated on a test set under

Table 8 Performance of MHARF with different conditional embedding methods on the BraTS 2020 dataset

Methods	WT		TC		ET		Average	
Metriods	DSC↑	HD95↓ (mm)	DSC↑	HD95↓ (mm)	DSC↑	HD95↓ (mm)	DSC↑	HD95↓ (mm)
Feature encoder + MHARF	0.915	1.883*	0.848	2.961	0.784	2.756	0.849	2.533
Conditional embedding + MHARF	0.911	2.130	0.854	2.730	0.783	2.719	0.849	2.526
FCFDiff-Net	0.916*	1.917	0.860*	2.571*	0.786*	2.581*	0.854*	2.356*

Higher DSC scores (↑) indicate better segmentation, while lower HD95 values (↓) indicate better performance. The top result is marked with asterisk (*). BraTS, brain tumor segmentation; DSC, Dice similarity coefficient; ET, enhancing tumor; FCFDiff-Net, full-conditional feature diffusion embedded network; HD95, Hausdorff distance at the 95th percentile; MHARF, multi-head attention residual fusion; TC, tumor core; WT, whole tumor.

Table 9 Segmentation performance of the model under different noise conditions on the BraTS 2020 dataset

Dataset		WT		TC		ET	Average	
Dataset	DSC↑	HD95↓ (mm)	DSC↑	HD95↓ (mm)	DSC↑	HD95↓ (mm)	DSC↑	HD95↓ (mm)
(I)	0.916	1.917	0.860	2.571	0.786	2.581	0.854	2.356
(II)	0.899	4.233	0.827	4.979	0.770	3.960	0.832	4.391
(III)	0.905	2.291	0.838	3.729	0.759	4.389	0.834	3.470
(IV)	0.878	5.419	0.812	6.740	0.733	5.903	0.808	6.021

Higher DSC scores (↑) indicate better segmentation, while lower HD95 values (↓) indicate better performance. (I) Clean images; (II) images with 0.01 saltand-pepper noise; (III) images with 0.01 Gaussian noise; and (IV) images with 0.05 Gaussian noise. BraTS, brain tumor segmentation; DSC, Dice similarity coefficient; ET, enhancing tumor; HD95, Hausdorff distance at the 95th percentile; TC, tumor core; WT, whole tumor.

different noise conditions.

The testing set was subjected to four different noise conditions: (I) clean images; (II) images with 0.01 saltand-pepper noise; (III) images with 0.01 Gaussian noise; and (IV) images with 0.05 Gaussian noise. The purpose of this experiment was to evaluate how well the model could generalize to noisy data, especially under the presence of Gaussian and salt-and-pepper noise.

The results presented in *Table 9* show the model's performance (in terms of accuracy, DSC coefficient, and other relevant metrics) under each noise condition. It is shown that although noise and outliers adversely affect model performance, the model's robustness improves with increasing noise levels, particularly when exposed to both salt-and-pepper and Gaussian noise.

As shown in *Table 9*, the model's performance decreased when subjected to noisy test sets. However, the decrease in performance was relatively small in the case of Gaussian noise with a standard deviation of 0.01, indicating that the model maintained its ability to generalize to moderate

noise. The performance drop became more noticeable when the Gaussian noise level increased to 0.05, demonstrating that higher levels of noise negatively impacted the model's robustness. Overall, the experiments suggest that the model is relatively robust to noise and outliers, especially under mild noise conditions.

Conclusions

This paper proposes a full-conditional feature diffusion embedded network for 3D BraTS called FCFDiff-Net. This network aims to solve the problems of brain tumor image segmentation in which false positives (misdetection of non-tumor regions) and false negatives (omission of tumor regions) occur due to the uncertainty of segmentation boundaries and image blurring. We introduce the FCFE technique, which extracts features from the original image using a U-Net network and thoroughly integrates these features into the model. This approach greatly enhances the model's capability to handle uncertain boundaries and fuzzy

regions, effectively reducing both false positives and false negatives. We also designed the MHARF module to reduce the difference between the conditional feature information and the noise by fusing the feature information processed by multiple convolutions and multi-head attention mechanisms. This not only increases the nonlinear capability of the model but also improves the robustness of the model to noise and outliers. The experimental results on two publicly available BraTS datasets, BraTS 2020 and BraTS 2021, showed that FCFDiff-Net outperformed existing state-of-the-art methods in segmentation performance. This demonstrates that our method provides a more effective solution for 3D brain tumor image segmentation.

Although FCFDiff-Net has achieved remarkable success in the task of 3D brain tumor image segmentation, we are aware of some limitations. On the one hand, our method necessitates a substantial amount of high-quality labeled data for the training process. The performance of the model may be affected when data is scarce and data quality is low. On the other hand, if we use a complex diffusion model, FCFDiff-Net requires high computational resources in the training and sampling phases. This may limit the performance of the model when computational resources are limited. To overcome these challenges, we plan to increase the generalizability of the model in future research, enabling it to be applied to a wider range of medical image segmentation tasks. Additionally, we will investigate lightweight modifications to the model to ensure it performs well in environments with limited computational resources without compromising accuracy.

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Footnote

Reporting Checklist: The authors have completed the CLEAR reporting checklist. Available at https://qims.amegroups.com/article/view/10.21037/qims-24-2300/rc

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Conflicts of Interest: All authors have completed the ICMJE uniform disclosure form (available at https://qims.amegroups.com/article/view/10.21037/qims-24-2300/coif). The authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. This study was conducted using the publicly available BraTS 2020 and BraTS 2021 datasets, which contain de-identified MRI scans of glioma patients. The datasets were provided as part of the BraTS challenges, which adhere to ethical guidelines for data sharing and anonymization. No additional patient data collection nor human subject involvement was required for this study. The study was conducted in accordance with the Declaration of Helsinki and its subsequent amendments.

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