

# Optimal Courses of Chemotherapy Combined with Radiotherapy for Low-Risk Extranodal Natural Killer/T-Cell Lymphoma, Nasal Type: A Propensity Score Matching Analysis

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**Purpose:** This retrospective study compared effectiveness between  $\leq 4$  cycles and  $\geq 5$  cycles of L-asparaginase/pegaspargase-based chemoradiation in newly diagnosed low-risk extranodal natural killer/T-cell lymphoma (ENKTL), nasal type classified according to the Prognostic Index of Natural Killer (PINK) lymphoma model.

**Patients and Methods:** Patients were categorized into  $\leq 4$ -cycle (2–4 chemotherapy cycles,  $n = 166$ ) and  $\geq 5$ -cycle groups (5–6 cycles,  $n = 86$ ). Propensity score matching analysis was used to reduce potential confounding bias between the two groups. Treatment responses, adverse events, and survival outcomes between the two groups were analyzed.

**Results:** No matter before or after matching (65 in the  $\leq 4$ -cycle group, 65 in the  $\geq 5$ -cycle group), response rates and survival outcomes were similar between the  $\leq 4$ -cycle and  $\geq 5$ -cycle groups. Incidences of grade 1–2 anemia and transaminase elevation were higher in the  $\geq 5$ -cycle group. After matching, for stage IE disease, there were no differences in response rates and survival outcomes between the two groups. For stage IIE disease, the complete response rate was higher in the  $\geq 5$ -cycle group (72.4% vs 92.6%,  $p = 0.049$ ), and the 3-year overall survival (65.5% vs 85.2%,  $p = 0.024$ ) and 3-year progression-free survival (58.6% vs 81.5%,  $p = 0.027$ ) rates were significantly extended in the  $\geq 5$ -cycle group.

**Conclusion:** When chemoradiotherapy strategies with L-asparaginase/pegaspargase-based regimens are applied to modern low-risk ENKTL patients classified according to the PINK model, it may be better to moderately extend chemotherapy courses in patients with stage IIE disease.

**Keywords:** extranodal natural killer/T-cell lymphoma, nasal type, chemotherapy courses, low-risk

## Introduction

Extranodal natural killer/T-cell lymphoma (ENKTL), nasal type, is an aggressive subtype of non-Hodgkin lymphoma with a low incidence and is much more prevalent in Asia and Latin America.<sup>1</sup> Approximately 70%-80% of patients exhibit lesions within nasal cavities and adjacent structures of the upper aerodigestive tract,<sup>2</sup> while others present with skin, gastrointestinal tract, pancreas and lung involvement.<sup>3–5</sup> In terms of treatment, chemotherapy combined with radiotherapy is recommended for Ann Arbor stage IE/IIE patients, and stage III/IV patients are mainly treated with systemic chemotherapy.<sup>2</sup> Traditional anthracycline-containing

regimens for lymphoma, such as CHOP (cyclophosphamide, doxorubicin, vincristine, and prednisone) or CHOP-like regimens, are ineffective in ENKTL because P-glycoprotein is expressed on the surface of ENKTL cell membranes and pumps anthracycline out of tumor cells.<sup>6–8</sup> The emergence of L-asparaginase has changed the treatment of ENKTL. The GELOX/P-GEMOX regimen (gemcitabine, oxaliplatin, and L-asparaginase/pegaspargase) showed promising complete response (CR) rates of 60%–88% for newly diagnosed ENKTL<sup>9–12</sup> and 52%–62% for relapsed/refractory patients.<sup>11,13</sup> When the GELOX/P-GEMOX regimen combined with radiotherapy in early-stage patients, CR rates reached 70%–88%.<sup>11,14,15</sup> The SMILE regimen (dexamethasone, methotrexate, ifosfamide, L-asparaginase/pegaspargase, and etoposide) reached CR rates of 45%–80%,<sup>16,17</sup> and the VLP regimen (vincristine, L-asparaginase/pegaspargase, and prednisone) achieved CR rates of 59%–68% and overall response rates (ORR) of 86%–89% in stage IE–IIE patients with combined modality therapy.<sup>18,19</sup>

At present, predicting the prognosis of lymphoma patients mainly relies on risk stratification models. Risk stratification is one of the main strategies for the treatment of lymphoma. Currently, there are three main risk stratification systems to predict the prognosis of ENKTL, including the International Prognostic Index (IPI), Korean Prognostic Index (KPI) and Prognostic Index of Natural Killer lymphoma (PINK)/Prognostic Index of Natural Killer lymphoma-EBV (PINK-E). The IPI was derived from diffuse large B-cell lymphoma (DLBCL) and is not suitable for ENKTL because the molecular phenotype, cytogenetics, clinical presentation, disease development and treatment of ENKTL are very different from those of DLBCL.<sup>20</sup> The KPI was obtained from patients treated with anthracycline-based regimens and thus cannot be applied in L-asparaginase-era patients.<sup>21,22</sup> The PINK/PINK-E model was proposed in 2016 from several non-anthracycline-based retrospective studies and contains the criteria of age >60-year-old, stage III–IV disease, distant lymph node involvement, and non-nasal type disease for the PINK model, with the addition of positive plasma EBV-DNA titer for the PINK-E model.<sup>23</sup> The PINK or PINK-E model categorizes ENKTL into 3 risk groups, low-, intermediate- and high-risk, which have corresponding scores of 0, 1, and  $\geq 2$  for PINK or 0–1, 2, and  $\geq 3$  for PINK-E, respectively, and these groups are correlated with a 3-year overall survival (OS) rates of 81%, 62%, and 25% or 81%, 55%, and 28%, respectively.<sup>23</sup> This model has

been the unique prognostic model recommended by the National Comprehensive Cancer Network (NCCN) guidelines.<sup>24</sup>

The population with low-risk score held around half proportion in the PINK/PINK-E model.<sup>23</sup> Patients in low-risk ENKTL according to the PINK model belonged to Ann Arbor stage IE–IIE. The NCCN guidelines recommend that stage IE–IIE ENKTL requires 2–6 cycles of chemotherapy combined with radiotherapy, and 4–6 cycles with or without radiation are required for the stage III–IV patients.<sup>24</sup> However, few studies have used risk stratification to develop a suitable treatment plan for ENKTL. Hence, we carried out this retrospective study to explore the appropriate chemotherapy course in combination with radiotherapy in low-risk ENKTL according to the PINK model.

## Methods

### Patients

We enrolled ENKTL patients between 2010 and 2018 from the Hunan Cancer Hospital, the Xiangya Hospital of Central South University and the Second Xiangya Hospital of Central South University. The entry criteria are as follows: (1) a diagnosis of ENKTL by two pathologists according to the current WHO classification of mature B cell, T cell, and NK cell neoplasms,<sup>25,26</sup> (2) newly diagnosed ENKTL; (3) low-risk ENKTL according to the PINK model, which meant that the patient's clinical characteristics must have met the four conditions of age  $\leq 60$ -year-old, stage IE/IIE disease, no distant lymph node involvement, and nasal type disease; (5) first-line treatment with chemotherapy and radiotherapy; (6) chemotherapy with L-asparaginase/pegaspargase without anthracycline; and (7) receipt of 2–6 cycles of chemotherapy according to the NCCN guidelines' recommendation.

All enrolled patients were tested with physical examinations, blood routine, blood chemistry, electrocardiogram, bone marrow biopsies and imaging examination. The endoscopy examination included a conchoscope and a nasopharyngolaryngoscope. The imaging examination included enhanced computer tomography (CT) of the neck, chest, abdomen and pelvic cavity, enhanced magnetic resonance imaging (MRI) of the involved sites or whole-body positron emission tomography (PET-CT). Information on sex, primary tumor location, ECOG score, Ann Arbor stage, lactate dehydrogenase (LDH) level, plasma EBV DNA titer, B symptoms, primary

tumor invasion (PTI), chemoradiotherapy pattern, chemotherapy regimen, the number of chemotherapy cycles, radiation dose, date of diagnosis, and date of first disease progression or death was collected.

Primary tumor location referred to the initial site of the main symptoms at the time of diagnosis. Nasal site indicated that the primary tumor location was the nasal cavity, with or without other sites or organ involvement. Extranasal site indicated that the primary tumor location involved the upper aerodigestive tract, except for the nasal cavity. PTI was defined as lesions invading adjacent structures or tissues (such as bone or skin) or single or multiple anatomical sites of the upper aerodigestive tract (such as the paranasal sinus, nasopharynx, oropharynx, laryngopharynx, tonsil, and larynx).

This study was approved by the Ethics Committees of Hunan Cancer Hospital, Xiangya Hospital of Central South University and Second Xiangya Hospital of Central South University. All patients' clinical data were anonymized. All participants signed informed consent. This research abided by the 2008 Declaration of Helsinki.

## Treatment, Response and Toxicity Criteria

The selected patients received three chemotherapy regimens containing GELOX, SMILE and VLP. The drugs and dosages of the regimens are listed in Table 1. Radiotherapy was given in a “sequential” pattern – at the initial of treatment, following the completion of chemotherapy, or in a “sandwich” pattern – between cycles of chemotherapy. Patients who received 2–4 cycles of chemotherapy were categorized into the  $\leq 4$ -cycle group, and patients who received 5–6 cycles were categorized into the  $\geq 5$ -cycle group. Efficacy evaluation with enhanced CT, enhanced

MRI or whole-body PET-CT was conducted after every 2 cycles of chemotherapy, before and after radiotherapy, and after the completion of chemoradiotherapy. The response criteria were evaluated in accordance with the Lugano Response Criteria for non-Hodgkin's Lymphoma.<sup>27</sup>

Treatment toxicities were evaluated according to the National Cancer Institute's Common Toxicity Criteria (version 3).<sup>28</sup>

## Statistical Analysis

The differences in baseline clinical features and adverse events between the  $\leq 4$ -cycle group and the  $\geq 5$ -cycle group were assessed by the chi-squared test or the Kruskal–Wallis test for categorical variables and two-sided t-tests for continuous variables. Continuous variables were displayed as mean  $\pm$  standard deviation. Propensity score matching (PSM) analysis was used to effectively balance confounding bias between the  $\leq 4$ -cycle group and the  $\geq 5$ -cycle group. PSM accounted for age, sex, ECOG score, primary tumor location, Ann Arbor stage, LDH level, B symptoms, PTI, radiation dose, chemotherapy regimen and chemoradiotherapy pattern. A nearest-neighbor matching method with a caliper width of 0.10 to generate a ratio of 1:1 matching.

OS was defined as the period from the date of initial diagnosis to the date of follow-up or death. Progression-free survival (PFS) was defined as the interval from the date of initial diagnosis to the date of first progression, first recurrence, or death. The associations between clinical features or chemotherapy cycles and OS and PFS were evaluated by the Kaplan–Meier method and a Log rank test. Univariate and multivariate analyses of prognostic factors for OS and PFS were conducted by Cox regression analysis.

**Table 1** Chemotherapy Regimens

Regimen	Drugs	Dosage
GELOX/P-GEMOX	Gemcitabine Oxaliplatin L-asparaginase/pegaspargase	800 mg/m <sup>2</sup> , d1 and d8 85 mg/m <sup>2</sup> , d1 5000 U/m <sup>2</sup> , d1-7/2500 IU/m <sup>2</sup> , d1
SMILE	Dexamethasone Methotrexate Ifosfamide L-asparaginase/pegaspargase Etoposide	15mg, d1-7 60mg/m <sup>2</sup> , d1 1.5g/m <sup>2</sup> , d2–4 5000 U/m <sup>2</sup> , d1-7/2500 IU/m <sup>2</sup> , d1 100mg/m <sup>2</sup> , d2-4
VLP	Vincristine L-asparaginase/pegaspargase Prednisone	2mg, d1 5000 U/m <sup>2</sup> , d1-7/2500 IU/m <sup>2</sup> , d1 100mg/d, d1-5

All statistical analyses were calculated by SPSS 24.0 software (IBM Corp., New York, NY, USA).  $P < 0.05$  was designated as the level of significance.

## Results

### Characteristics of the Original Patients

A total of 252 ENKTL patients of low-risk group with PINK model were enrolled in this retrospective study from May 2009 to June 2018, including 166 patients allocated

to the  $\leq 4$ -cycle group and 86 to the  $\geq 5$ -cycle group. The patient baseline features are listed in Table 2. The median age of all patients was 41-year-old (range 13–60-year-old). The male: female ratio was 2:1. One-hundred and twenty-nine patients were tested with plasma EBV DNA titer at the time of diagnosis, involving 88 in the  $\leq 4$ -cycle group (27 patients were positive for plasma EBV DNA titer) and 41 in the  $\geq 5$ -cycle group (22 patients were positive). All these patients belonged to PINK-E low-risk group.

**Table 2** Patient Characteristics Before and After Propensity Score Matching

Characteristics	Before Propensity Score Matching				After Propensity Score Matching			
	$\leq 4$ -Cycle Group (%)	$\geq 5$ -Cycle Group (%)	<i>p</i>	N (%)	$\leq 4$ -Cycle Group (%)	$\geq 5$ -Cycle Group (%)	<i>p</i>	N (%)
Age (years)	41.0 $\pm$ 10.4	36.2 $\pm$ 11.6	<b>0.001</b>		36.9 $\pm$ 10.2	36.8 $\pm$ 11.4	0.976	
Radiation dose (Gy)	54.8 $\pm$ 2.8	54.8 $\pm$ 2.9	0.928		54.6 $\pm$ 2.9	55.0 $\pm$ 3.0	0.435	
Sex			0.347				1.000	
Male	108 (65.1)	61 (70.9)		169 (67.1)	49 (68.1)	49 (68.1)		98 (68.1)
Female	58 (34.9)	25 (29.1)		83 (32.9)	23 (31.9)	23 (31.9)		46 (31.9)
ECOG			0.977				0.316	
0–1	164 (98.8)	85 (98.8)		249 (98.8)	72 (100.0)	71 (98.6)		143 (99.3)
2–4	2 (1.2)	1 (1.2)		3 (1.2)	0 (0.0)	1 (1.4)		1 (0.7)
Primary tumor location			0.498				0.839	
Nasal	128 (77.1)	63 (73.3)		191 (75.8)	56 (77.8)	57 (79.2)		113 (78.5)
Extranasal	38 (22.9)	23 (26.7)		61 (24.2)	16 (22.2)	15 (20.8)		31 (21.5)
Ann Arbor stage			<b>0.031</b>				0.732	
IE	124 (74.7)	53 (61.6)		177 (70.2)	43 (59.7)	45 (62.5)		88 (61.1)
IIE	42 (25.3)	33 (38.4)		75 (29.8)	29 (40.3)	27 (37.5)		56 (38.9)
LDH			<b>0.031</b>				0.543	
Elevated	32 (19.3)	27 (31.4)		59 (23.4)	14 (19.4)	17 (23.6)		31 (21.5)
Normal	134 (80.7)	59 (68.8)		193 (76.6)	58 (80.6)	55 (76.4)		113 (78.5)
B symptoms			0.050				1.000	
Present	58 (34.9)	41 (47.7)		99 (39.3)	31 (43.1)	31 (43.1)		62 (43.1)
Absent	108 (65.1)	45 (52.3)		153 (60.7)	41 (56.9)	41 (56.9)		82 (56.9)
PTI			0.300				1.000	
Present	91 (54.8)	53 (61.6)		144 (57.1)	45 (62.5)	45 (62.5)		90 (62.5)
Absent	75 (45.2)	33 (38.4)		108 (42.9)	27 (37.5)	27 (37.5)		54 (37.5)
Chemotherapy regimen			0.112				0.469	
GELOX/P-GEMOX	115 (69.3)	66 (76.7)		181 (71.8)	53 (73.6)	56 (77.8)		109 (75.7)
SMILE	15 (9.0)	12 (14.0)		27 (10.7)	6 (8.3)	8 (11.1)		14 (9.7)
VLP	36 (21.7)	8 (9.3)		44 (17.5)	13 (18.1)	8 (11.1)		21 (14.6)
Chemoradiotherapy pattern			<b>&lt;0.001</b>				0.412	
Sequential	92 (55.4)	13 (15.1)		105 (41.7)	17 (23.6)	13 (18.1)		30 (20.8)
Sandwich	74 (44.6)	73 (84.9)		147 (58.3)	55 (76.4)	59 (81.9)		114 (79.2)

**Note:** The bold meant  $p < 0.05$ .

Seventy-six, 19, and 4 patients received PET-CT before, during and at the end of treatment, respectively.

The primary tumor location was more common in nasal cavity, including 191 patients presented with nasal cavity and 61 with extranasal site (nasopharynx n = 43, larynx n = 6, oropharynx n = 5, paranasal sinus n = 4, tonsil n = 3). Patients in the ≤4-cycle group were slightly older (41.0 ± 10.4-year-old vs 36.2 ± 11.6-year-old, p = 0.001) and were much more in sequential pattern (55.4% vs 15.1%, p < 0.001) than those in the ≥5-cycle group. Moreover, compared with the ≤4-cycle group, patients in the ≥5-cycle group presented with more unfavorable prognostic features, including stage IIE (25.3% vs 38.4%, p = 0.031), elevated LDH (19.3% vs 31.4%, p = 0.031), and B symptoms (34.9% vs 47.7%, p = 0.05)

Three different chemotherapy regimens (GELOX, SMILE and VLP) were given to the entire cohort. The median number of chemotherapy cycles was 4 (range 2–6 cycles). In the ≤4-cycle group, 115 patients were assigned to the GELOX regimen, 15 were assigned to the SMILE regimen and 36 were assigned to the VLP regimen. Twenty-eight patients underwent 2 cycles, 29 patients underwent 3 cycles, and 109 patients underwent 4 cycles. In the ≥5-cycle group, 66 patients were allocated to the GELOX regimen, 12 were allocated to the SMILE regimen, and 8 were allocated to the VLP regimen. Twenty patients underwent 5 cycles, and 66 underwent 6 cycles. The median radiation dose was 55 Gy (range 40.0–62.7 Gy).

### Characteristics of the Matched Patients

PSM analysis was conducted between the ≤4-cycle and ≥5-cycle groups to balance the potential confounding bias. After matching, a total of 144 patients were matched (72 in the ≤4-cycle group, 72 in the ≥5-cycle group). All baseline clinical characteristics between the ≤4-cycle and ≥5-cycle groups were well balanced (Table 2).

### Adverse Events and Response to Treatment Before and After Matching

All patients tolerated treatment-related adverse events, and no one died due to treatment toxicities. Adverse events were classified as hematologic and nonhematologic (Table 3). The most common adverse event was neutropenia and radio-mucositis in the hematologic and nonhematologic categories, respectively. In the original samples, incidences of grade 1–2 anemia and transaminase elevation were higher in the ≥5-cycle group (33.3% vs 61.0%, p < 0.001; 27.1% vs 51.9%, p < 0.001, respectively). After matching,

Table 3 Adverse Events Before and After Propensity Score Matching

Adverse Events	Before Propensity Score Matching						After Propensity Score Matching					
	Grade 1–2			Grade 3–4			Grade 1–2			Grade 3–4		
	≤4-cycle (%)	≥5-cycle (%)	p	≤4-cycle (%)	≥5-cycle (%)	p	≤4-cycle (%)	≥5-cycle (%)	p	≤4-cycle (%)	≥5-cycle (%)	p
Hematologic												
Neutropenia	45 (34.9)	37 (48.1)	0.062	25 (19.4)	23 (29.9)	0.085	22 (41.5)	29 (45.3)	0.680	13 (24.5)	21 (32.8)	0.326
Anemia	43 (33.3)	47 (61.0)	<0.001	2 (1.6)	1 (1.3)	0.884	16 (30.2)	38 (59.4)	0.002	1 (1.9)	1 (1.6)	0.893
Thrombocytopenia	18 (14.0)	11 (14.3)	0.947	3 (3.8)	11 (8.5)	0.194	7 (13.2)	11 (17.2)	0.553	3 (4.6)	7 (13.2)	0.096
Non-hematologic												
Nausea/Vomiting	40 (31.0)	34 (44.2)	0.057	11 (8.5)	3 (3.9)	0.201	20 (37.7)	27 (42.2)	0.625	5 (9.4)	3 (4.7)	0.311
Transaminase elevation	35 (27.1)	40 (51.9)	<0.001	4 (3.1)	1 (1.3)	0.416	13 (24.5)	33 (51.6)	0.003	1 (1.9)	1 (1.6)	0.893
Radio-mucositis	45 (34.9)	31 (41.6)	0.338	15 (11.6)	7 (9.1)	0.568	19 (35.8)	24 (37.5)	0.854	6 (11.3)	7 (10.9)	0.948
Radiodermatitis	27 (20.9)	21 (27.3)	0.298	4 (3.1)	0 (0.0)	0.119	12 (22.6)	16 (25.0)	0.766	1 (1.9)	0 (0.0)	0.270

Note: The bold meant p<0.05.

higher rate of grade 1–2 anemia and transaminase elevation were also observed in the  $\geq 5$ -cycle group (30.2% vs 59.4%,  $p = 0.002$ ; 24.5% vs 51.6%,  $p = 0.003$ , respectively).

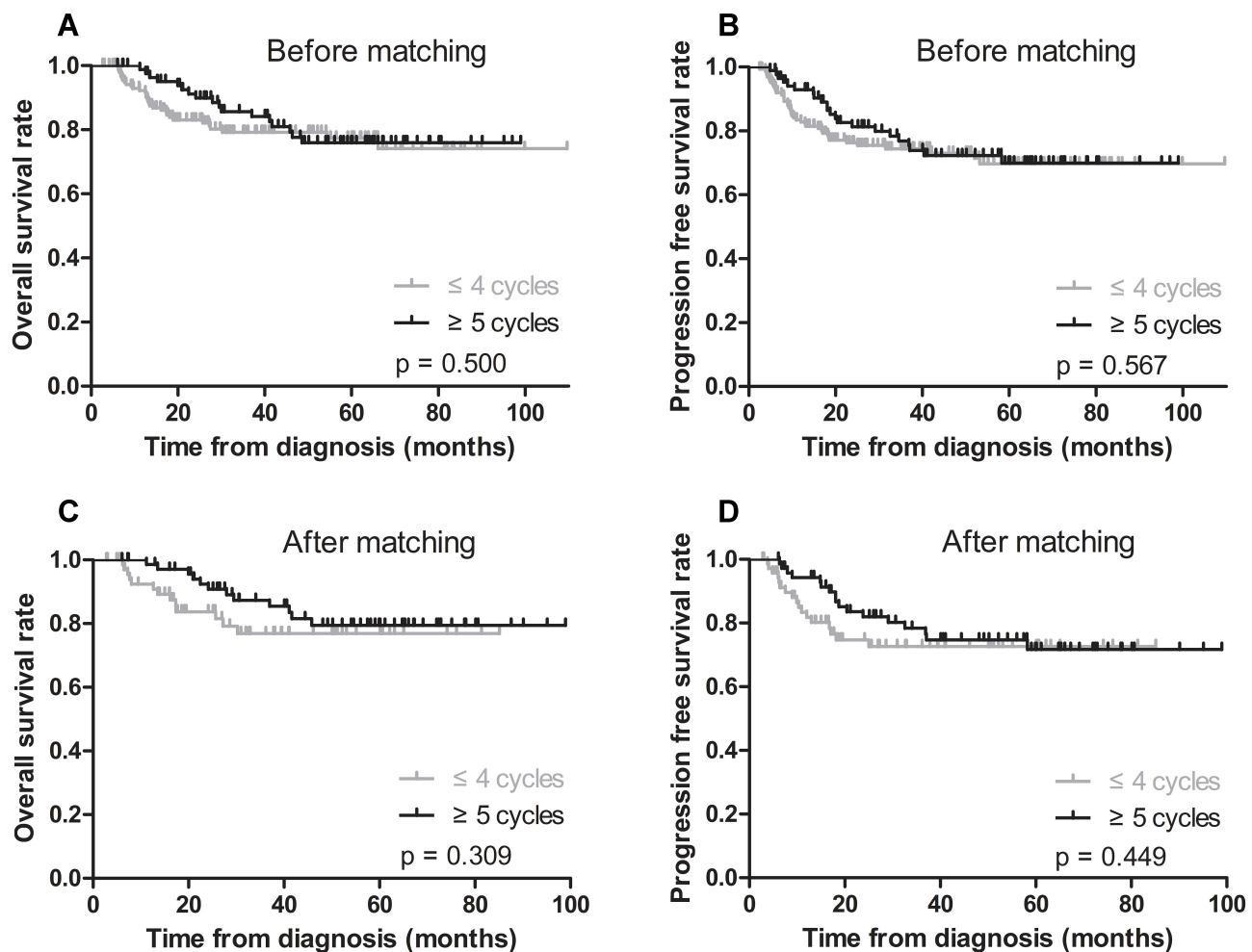
In the original patient samples, after the completion of chemoradiotherapy, a CR was observed in 227 (90.1%) patients, a partial response (PR) was observed in 9 (3.6%) patients, and progressive disease (PD) was observed in 16 (6.3%) patients. The CR rate was slightly higher in the  $\geq 5$ -cycle group than that in the  $\leq 4$ -cycle group (87.3% vs 95.4%,  $p = 0.044$ ). After matching, the CR rate was higher in the  $\geq 5$ -cycle group (84.7% vs 95.8%,  $p = 0.024$ ).

## Treatment Failure and Survival Before and After Matching

In the overall study population, as of July 2019, the median follow-up time was 34.6 months (range 2.7–109.6

months). Up to the follow-up time, 48 patients died. Forty-four patients eventually relapsed, of which 13 patients had local recurrence, and 31 patients had systemic recurrence. The 3-year OS rate, 3-year PFS rate and 3-year cumulative recurrence rate were 84.1%, 77.8% and 14.7%, respectively. The 3-year OS rate (82.5% vs 87.2%,  $p = 0.500$ ) and 3-year PFS rate (77.1% vs 79.1%,  $p = 0.567$ ) were not significantly different in the  $\leq 4$ -cycle group and the  $\geq 5$ -cycle group (Figure 1A and B). The 3-year cumulative recurrence rate was 13.4% and 16.3% in the  $\leq 4$ -cycle group and the  $\geq 5$ -cycle group, respectively ( $p = 0.850$ ).

After matching, the 3-year cumulative recurrence rate (13.9% vs 15.3%,  $p = 0.886$ ), 3-year OS rate (81.9% vs 88.9%,  $p = 0.309$ ) and 3-year PFS rate (76.4% vs 80.6%,  $p = 0.449$ ) were similar in the



**Figure 1** Kaplan-Meier survival curves in patients treated with  $\leq 4$  cycles and  $\geq 5$  cycles of chemotherapy before and after matching. (A) Before matching, the 3-year OS rates between the  $\leq 4$  cycles and  $\geq 5$  cycles groups were 82.5% and 87.2% ( $p = 0.500$ ). (B) Before matching, the 3-year PFS rates between the  $\leq 4$  cycles and  $\geq 5$  cycles groups were 77.1% and 79.1% ( $p = 0.567$ ). (C) After matching, the 3-year OS rates between the  $\leq 4$  cycles and  $\geq 5$  cycles groups were 81.9% and 88.9% ( $p = 0.309$ ). (D) After matching, the 3-year PFS rates between the  $\leq 4$  cycles and  $\geq 5$  cycles groups were 76.4% and 80.6% ( $p = 0.449$ ).

$\leq 4$ -cycle group and the  $\geq 5$ -cycle group (Figure 1C and D).

## Cox Regression Analyses Before and After Matching

For the entire cohort, in the multivariate analysis, a primary tumor location in an extranasal site (OS: HR 1.811, 95% CI 1.008–3.252,  $p = 0.047$ ; PFS: HR 1.953, 95% CI 1.174–3.250,  $p = 0.010$ , respectively), Ann Arbor stage IIE (OS: HR 1.879, 95% CI 1.053–3.352,  $p = 0.033$ ; PFS: HR 1.723, 95% CI 1.037–2.860,  $p = 0.036$ , respectively) and PTI (OS: HR 2.628, 95% CI 1.356–5.091,  $p = 0.004$ ; PFS: HR 2.609, 95% CI 1.472–4.624,  $p = 0.001$ , respectively) were correlated with decreased OS and PFS (Table 4). After matching, PTI independent adversely affected OS (HR 8.362, 95% CI 1.953–35.808,  $p = 0.004$ ). A primary tumor location in an extranasal site (HR 2.223, 95% CI 1.096–4.507,  $p = 0.027$ ) and PTI (HR 4.270, 95% CI 1.644–11.089,  $p = 0.003$ ) were negative predictor factors for PFS (Table 4).

## Subgroup Analysis of Ann Arbor Stage After Matching

To examine the response rate and survival outcome between chemotherapy courses and Ann Arbor stage, we further assessed CR rates and Kaplan–Meier curves according to the number of chemotherapy courses stratified by the status of stage. For stage IE ENKTL patients, the CR rate (93.0% vs 97.8%,  $p = 0.284$ ), 3-year cumulative recurrence rate (9.3% vs 15.6%,  $p = 0.240$ ), 3-year OS rate (93.0% vs 91.1%,  $p = 0.336$ ) and 3-year PFS rate (88.4% vs 80.0%,  $p = 0.220$ ) were similar in the  $\leq 4$ -cycle group and the  $\geq 5$ -cycle group (Figure 2A and B). For patients with stage IIE disease, the 3-year cumulative recurrence rate (20.7% vs 14.8%,  $p = 0.284$ ) was similar in the two groups. While the CR rate was higher in the  $\geq 5$ -cycle group than in the  $\leq 4$ -cycle group (72.4% vs 92.6%,  $p = 0.049$ ). Moreover, the 3-year OS and 3-year PFS rates were significantly extended in the  $\geq 5$ -cycle group (OS: 65.5% vs 85.2%,  $p = 0.024$ ; PFS: 58.6% vs 81.5%,  $p = 0.027$ ) (Figure 2C and D).

## Analysis of GELOX/P-GEMOX Patients After Matching

The analysis was separately done for GELOX/P-GEMOX group as this constituted the largest group of patients. The baseline characteristics were comparable between the

$\leq 4$ -cycle and  $\geq 5$ -cycle groups (data not shown). The CR rate was higher in the  $\geq 5$ -cycle group than that in the  $\leq 4$ -cycle group (88.7% vs 98.2%,  $p = 0.042$ ). The 3-year cumulative recurrence rate (15.1% vs 16.1%,  $p = 0.972$ ), 3-year OS rate (81.1% vs 89.3%,  $p = 0.336$ ) and 3-year PFS rate (77.4% vs 80.4%,  $p = 0.613$ ) were similar in the  $\leq 4$ -cycle group and the  $\geq 5$ -cycle group. For stage IE ENKTL patients, the CR rate (94.1% vs 97.2%,  $p = 0.522$ ), 3-year cumulative recurrence rate (11.8% vs 16.7%,  $p = 0.428$ ), 3-year OS rate (91.2% vs 91.7%,  $p = 0.586$ ) and 3-year PFS rate (85.3% vs 77.8%,  $p = 0.375$ ) were similar between the two groups. For patients with stage IIE disease, the 3-year cumulative recurrence rate (21.1% vs 15.0%,  $p = 0.372$ ) was similar in the two groups. While the CR rate was higher in the  $\geq 5$ -cycle group than in the  $\leq 4$ -cycle group (78.9% vs 100.0%,  $p = 0.030$ ). Moreover, the 3-year OS rate was significantly prolonged in the  $\geq 5$ -cycle group (63.2% vs 85.0%,  $p = 0.046$ ). There was an extension trend of the 3-year PFS rate in the  $\geq 5$ -cycle group (63.2% vs 85.0%,  $p = 0.077$ ).

## Discussion

The optimal courses of chemotherapy for low-risk ENKTL patients who received combined modality therapy have not been well defined. In this multicenter retrospective study, we demonstrated that no matter before or after matching, there were no significant differences in response rates, recurrence rates, and survival outcomes between the  $\leq 4$ -cycle and the  $\geq 5$ -cycle groups of low-risk ENKTL patients classified according to the PINK model. After matching, long courses of chemotherapy showed better survival in patients with stage IIE disease than short courses.

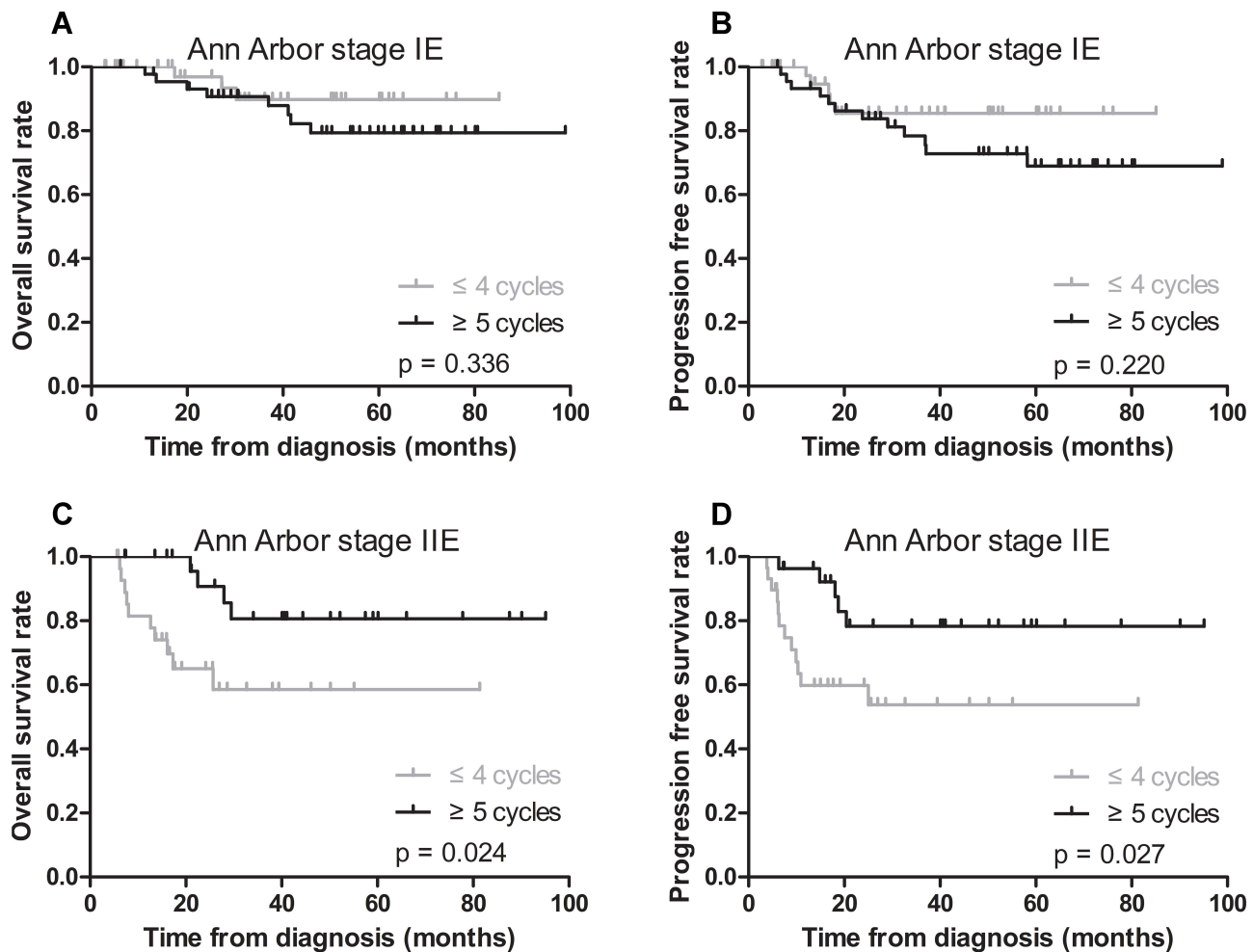
Patients in low-risk ENKTL according to the PINK model belonged to stage IE-IIE. Yong Yang et al<sup>29</sup> proposed a prognostic model for previously untreated early-stage ENKTL patients in low- and high-risk groups based on 5 factors, including Ann Arbor stage, age, ECOG score, LDH level and presence of PTI. Yong Yang et al developed a risk-adapted therapy model including radiotherapy alone for the low-risk group and radiotherapy followed by chemotherapy for the high-risk group. Another retrospective multicenter study explored radiotherapy for early-stage ENKTL in elderly patients based on the age-adjusted prognostic model (4 risk factors including Ann Arbor stage II, ECOG score  $\geq 2$ , elevated LDH, and presence of PTI) from the study of Yong Yang et al.<sup>30</sup> After receiving radiotherapy, regardless of if patients were

**Table 4** Univariate and Multivariate Analyses for Factors Affecting Survival Outcomes Before and After Propensity Score Matching

Variable	Before Propensity Score Matching						After Propensity Score Matching							
	Overall Survival			Progression-Free Survival			Overall Survival			Progression-Free Survival				
	Univariate	Multivariate		Univariate	Multivariate		Univariate	Multivariate		Univariate	Multivariate			
HR (95% CI)	p	HR (95% CI)	p	HR (95% CI)	p	HR (95% CI)	p	HR (95% CI)	p	HR (95% CI)	p	HR (95% CI)	p	
Age (years)	Reference							Reference						
<18														
18–40	0.545 (0.074–4.020)	0.552	0.753 (0.181–3.134)	0.697		0.620 (0.081–4.774)	0.646	0.827 (0.190–3.598)	0.800					
>40	0.960 (0.540–1.707)	0.891	0.871 (0.524–1.447)	0.593		0.844 (0.379–1.878)	0.677	0.783 (0.392–1.566)	0.489					
Sex (male vs female)	0.546 (0.272–1.095)	0.088	0.580 (0.320–1.051)	0.072		0.411 (0.141–1.197)	0.103	0.445 (0.184–1.076)	0.072					
ECOG (2–4 vs 0–1)	0.504 (0.070–3.660)	0.499	1.484 (0.777–2.832)	0.232		2.200 (0.756–6.405)	0.148	2.001 (0.818–4.898)	0.129					
Primary tumor location (nasal vs extranasal)	1.915 (1.066–3.439)	0.030	1.811 (1.008–3.252)	0.047	1.953 (1.174–3.250)	0.010	1.779 (0.766–4.132)	0.180	2.234 (1.102–4.529)	0.026	2.223 (1.096–4.507)	0.027		
Ann Arbor stage (IE vs IIE)	2.266 (1.278–4.018)	0.005	1.879 (1.053–3.352)	0.033	1.723 (1.037–2.860)	0.036	2.635 (1.191–5.828)	0.017	2.132 (0.959–4.738)	0.063	1.737 (0.881–3.427)	0.111		
LDH (elevated vs normal)	1.055 (0.538–2.069)	0.876	1.109 (0.612–2.009)	0.733		1.326 (0.455–3.868)	0.605	1.272 (0.526–3.072)	0.593					
B symptoms (present vs absent)	1.028 (0.576–1.834)	0.926	0.949 (0.575–1.568)	0.839		1.177 (0.529–2.622)	0.689	1.023 (0.520–2.014)	0.947					
PTI (absent vs present)	2.928 (1.520–5.639)	0.001	2.628 (1.356–5.091)	0.004	2.609 (1.472–4.624)	0.001	9.328 (2.192–39.698)	0.003	8.362 (1.953–35.808)	0.004	4.270 (1.644–11.089)	0.003		
Radiation dose (<55Gy vs ≥55Gy)	0.960 (0.507–1.818)	0.901	1.043 (0.591–1.841)	0.884		1.981 (0.679–5.778)	0.211	2.247 (0.869–5.811)	0.095					







**Figure 2** Subgroup analyses of low-risk stage IE and IIE patients about chemotherapy courses with OS and PFS after matching. **(A)** The 3-year OS rates between the  $\leq 4$  cycles and  $\geq 5$  cycles groups were 93.0% and 91.1% ( $p = 0.336$ ) in stage IE. **(B)** The 3-year PFS rates between the  $\leq 4$  cycles and  $\geq 5$  cycles groups were 88.4% and 80.0% ( $p = 0.220$ ) in stage IE. **(C)** The 3-year OS rates between the  $\leq 4$  cycles and  $\geq 5$  cycles groups were 65.5% and 85.2% ( $p = 0.024$ ) in stage IIE. **(D)** The 3-year PFS rates between the  $\leq 4$  cycles and  $\geq 5$  cycles groups were 58.6% and 81.5% ( $p = 0.027$ ) in stage IIE.

Furthermore, the study cohort included stage III–IV patients, and the treatment manners covered chemoradiotherapy, radiotherapy alone and palliative therapy. Another retrospective study cohort of ENKTL patients was grouped into  $>8$  courses ( $n=37$ ), 6–8 courses ( $n=18$ ) and  $<6$  courses ( $n=14$ ) of chemotherapy, the corresponding 5-year OS rate was 63.5%, 45.1% and 22.9%, respectively ( $p = 0.030$ ).<sup>38</sup> The early-stage patients in this study received combined modality therapy. While the majority of patients were given CHOP or CHOP-like regimens, and advanced stage patients consisted the proportion of 15%.

There were several limitations in this study. Firstly, this was a retrospective study to provide relatively lower power evidence than a prospective study. Secondly, the study population scale was comparatively small. The patients' data were not collected from multicenter in a practical sense, which

meant the institutions from different provinces and countries. Thirdly, the chemotherapy regimens and radiation doses in the study were heterogeneous. Lastly, PET-CT scan was not used as a regular examination method to assess the baseline lesions and response efficacy.

## Conclusion

When chemoradiotherapy strategies with L-asparaginase/pegaspargase-based regimens are applied to modern low-risk ENKTL patients classified according to the PINK model, it may be better to moderately extend chemotherapy courses in patients with stage IIE disease. Prospective clinical studies and larger retrospective studies are required to further determine applicable early-stage risk stratification models and the appropriate number of cycles of chemotherapy for low-risk ENKTL patients.

**Table 5** Chemoradiotherapy for Stage IE-IIe Extranodal NK/T Cell Lymphoma, Nasal Type

	Study (Reference)	Study Type	N	Chemoradiotherapy Pattern	CR	PFS	OS
≤4 cycles	Xuejun Ma et al <sup>39</sup>	Phase II	38	2-4*CEOP + RT (50Gy)	94.4%	2-year 69.4%	2-year 77.4%
	Jieun Lee et al <sup>35</sup>	Retrospective	27	CCRT (4*cisplatin, 44–54 Gy) ± 1–4*chemotherapy (VIPD, L-EID, SMILE)	70.0%	3-year 41.0%	3-year 59.0%
	Seok Jin Kim et al <sup>40</sup>	Phase II	30	CCRT (4*cisplatin, 36–44 Gy) + 2*VIDL ± ASCT	86.7%	5-year 60.0%	5-year 73.0%
	Q-H Ke et al <sup>41</sup>	Phase II	32	CCRT (4*cisplatin, 56 Gy) + 3*GDP	84.4%	3-year 84.4%	3-year 87.5%
	Dongryul Oh et al <sup>42</sup>	Phase II +retrospective	62	CCRT (4*cisplatin, 40–45 Gy) + chemotherapy (3*VIPD, 2*VIDL, 2*MIDDLE)	90.3%	3-year 77.1%, 5-year 69.9%	3-year 83.1%, 5-year 80.1%
	Jean-Marie Michot et al <sup>43</sup>	Retrospective	13	CCRT (2*ESHAP, 40 Gy) + 2–3*ESHAP	92.0%	—	2-year 72.0%
	Dok Hyun Yoon et al <sup>44</sup>	Phase II	28	CCRT (cisplatin + L-Asp, 36–44 Gy) + 2*MIDDLE	82.1%	3-year 74.1%	3-year 81.5%
	Li-Hua Dong et al <sup>45</sup>	Retrospective	33	4*L-DICE + RT (45 Gy)	90.9%	5-year 82.9%	5-year 89.2%
	Ming Jiang et al <sup>46</sup>	Phase II	66	2*LVDP + CCRT (2*cisplatin, 56 Gy) + 2*LVDP	83.3%	3-year 67.4%	3-year 70.1%
≥5 cycles	Fei Qi et al <sup>47</sup>	Phase II	40	RT + 4*GDP	95.0%	2-year 84.7%, 5-year 79.4%	2-year 89.9%, 5-year 82.1%
	Fei Qi et al <sup>48</sup>	Retrospective	45	RT + 4*GDP	93.3%	5-year 81.6%	5-year 83.9%
	Keun-wook Lee et al <sup>49</sup>	Prospective	16	6*IMEP + RT	79.0%	—	3-year 80.4%
	Ningjing Lin et al <sup>50</sup>	Phase II	31	6–8*L-CHOP + sequential RT (40–60 Gy)	81.6%	2-year 81.0%	2-year 80.1%
	Agustin Avile's et al <sup>51</sup>	Retrospective	202	RT (55 Gy) + 6*CMED	91.0%	5-year 91.0%	5-year 86.0%
Hong-qiang Guo et al <sup>9</sup>	Retrospective	45	RT+ ≥4*GELOXD	55.6%	1-year 87.0%	1-year 98.0%	
Jian Zang et al <sup>36</sup>	Retrospective	64	8–12*L-CHOP/SMILE + RT (sequential or sandwich, 26–60 Gy)	64.1%	3-year 64.7%	3-year 69.9%	
Wen Zheng et al <sup>52</sup>	Prospective	21	6*L-CHOP+RT (sequential or sandwich)	90.5%	—	1-year 100.0%, 2-year 90.6%, 3-year 80.5%	

**Abbreviations:** RT, radiotherapy; CCRT, concurrent radiotherapy; ASCT, autologous stem cell transplantation; CEOP, cyclophosphamide, epirubicin, vincristine, and prednisone; VIPD, etoposide, ifosfamide, cisplatin, and dexamethasone; L-EID, etoposide, ifosfamide, dexamethasone, and L-asparaginase; SMILE, dexamethasone, methotrexate, ifosfamide, L-asparaginase, and etoposide; VIDL, etoposide, ifosfamide, dexamethasone, and L-asparaginase; GDP, gemcitabine, dexamethasone, cisplatin; MIDDLE, methotrexate, etoposide, ifosfamide, mesna and L-asparaginase; ESHAP, etoposide, steroid, high-dose Ara-C and platinum; L-DICE, dexamethasone, cisplatin, etoposide, ifosfamide, and L-asparaginase; LVDP, L-asparaginase, etoposide, cisplatin, and dexamethasone; IMEP, ifosfamide, methotrexate, etoposide and prednisolone; L-CHOP, L-asparaginase, cyclophosphamide, vincristine, doxorubicin and dexamethasone; CMED, cyclophosphamide, methotrexate, etoposide and dexamethasone; GELOXD, gemcitabine, oxaliplatin, L-asparaginase and dexamethasone.

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## Disclosure

The authors have no conflicts of interest to declare.

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