

REVIEW ARTICLE

# Insights into Nicotinic Receptor Signaling in Nicotine Addiction: Implications for Prevention and Treatment

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**Abstract: Background:** Nicotinic acetylcholine receptors (nAChRs) belong to the Cys-loop ligand-gated ion-channel (LGIC) superfamily, which also includes the GABA, glycine, and serotonin receptors. Many nAChR subunits have been identified and shown to be involved in signal transduction on binding to them of either the neurotransmitter acetylcholine or exogenous ligands such as nicotine. The nAChRs are pentameric assemblies of homologous subunits surrounding a central pore that gates cation flux, and they are expressed at neuromuscular junctions throughout the nervous system.

**Methods and Results:** Because different nAChR subunits assemble into a variety of pharmacologically distinct receptor subtypes, and different nAChRs are implicated in various physiological functions and pathophysiological conditions, nAChRs represent potential molecular targets for drug addiction and medical therapeutic research. This review intends to provide insights into recent advances in nAChR signaling, considering the subtypes and subunits of nAChRs and their roles in nicotinic cholinergic systems, including structure, diversity, functional allosteric modulation, targeted knockout mutations, and rare variations of specific subunits, and the potency and functional effects of mutations by focusing on their effects on nicotine addiction (NA) and smoking cessation (SC). Furthermore, we review the possible mechanisms of action of nAChRs in NA and SC based on our current knowledge.

**Conclusion:** Understanding these cellular and molecular mechanisms will lead to better translational and therapeutic operations and outcomes for the prevention and treatment of NA and other drug addictions, as well as chronic diseases, such as Alzheimer's and Parkinson's. Finally, we put forward some suggestions and recommendations for therapy and treatment of NA and other chronic diseases.

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## 1. INTRODUCTION

Smoking or other tobacco use is the leading cause of preventable death in both developed and developing countries, being responsible for about six million deaths worldwide

each year [1-7]. Tobacco-related diseases are predicted to become the largest health problem worldwide by 2020, resulting in about 8.4 million deaths each year [5, 8]. Despite the well-known harmful consequences associated with tobacco use, only a few smokers attempt to quit smoking each year, [5] and only 3%–5% are successful without nicotine replacement therapies, and no more than one-third are successful even with these therapies [9, 10].

Tobacco is a commonly abused legal substance, in which nicotine is the principle addictive component [11-15]. Although almost all of the toxicity of smoking is attributable to other substances [16-18]. There are mainly the pharmacologic effects of nicotine that lead to NA [11-15, 19]. Nicotine is a tertiary amine alkaloid which binds to diverse subtypes of the nicotinic acetylcholine receptors (nAChRs) that have unique expression patterns in the central nervous system (CNS). The nAChRs are pentameric proteins composed of identical or homologous subunits that belong to the Cys-loop family of ligand-gated ion channels (LGICs), which also include serotonin (5-hydroxytryptamine; 5-HT),  $\gamma$ -aminobutyric acid (GABA), dopamine (DA), and glycine [20-22]. Neuronal nAChRs are expressed during brain development and contribute to neurogenesis, neurite outgrowth, and synaptic maturation [23-26]. These receptors mediate the effects of the conventional neurotransmitter/agonist acetylcholine [14, 27, 28] and are crucial to the normal functioning of the brain, being amenable to various endogenous and exogenous modulating factors. Along with the progress of recent research, emerging evidence suggests that neuronal nAChRs in the mesocorticolimbic–dopamine system mediate both the rewarding effects of nicotine and the development of NA [1].

The nAChRs are excitatory receptors for the endogenous neurotransmitter acetylcholine and are widely expressed in the pre- and post-synaptic sites of the CNS [29, 30] whereas nicotine is membrane-permeable in its uncharged form. It can influence intracellular processes indirectly through nAChRs and directly by entering the cytoplasm. These receptors are distributed in both the peripheral nervous system (PNS) and the CNS areas involved in neuronal transmission and modulation of acetylcholine and the rapid physiological responses to that compound [25, 31-39]. Nicotine diffuses readily into brain tissue and binds to nAChRs. These receptors also can respond to low acetylcholine concentrations and are the target of regionally released acetylcholine and systemically applied pharmacological agents such as nicotine [1, 40]. Activation of brain nAChRs causes enhanced release of various neurotransmitters, including acetylcholine, dopamine, 5-HT, glutamate, and GABA. Recent studies have shown that nicotine-induced addiction, reward, and withdrawal involve a wide range of nAChR subtypes that are expressed in the diverse neural systems, including both neuronal and non-neuronal tissues [1, 41]. Genetic and clinical studies have identified nAChRs and brain membrane-bound ion channels in the mesolimbic–dopamine system as being involved in NA and SC, and their dysfunctions have been implicated in many neurological diseases. Therefore, nAChRs are considered potential molecular targets for curtailing the abuse of nicotine or tobacco, alcohol, and other substances. Furthermore, nAChRs have been implicated in various neuromuscular, neurological, and psychiatric disor-

ders, such as Alzheimer's and Parkinson's diseases, lung cancer, and schizophrenia [42-54]. Various types of neuronal nAChRs have been identified as critical targets for drug discovery for the treatment of those psychiatric disorders. In this review, we intend to provide insights into recent advances in nicotinic receptor signaling, examining the subtypes and subunits of nAChRs and their roles in nicotinic cholinergic systems, including structures, diversity, functional allosteric modulation, targeted knockout (KO) mutations, and rare variants of specific nAChR subunits, as well as the potency and functional effects of mutations, by focusing on their effects on the dependence and cessation of nicotine use. We further review the possible mechanisms of action of nAChRs in NA and SC based on current knowledge. Understanding these mechanisms will lead to a better translational and therapeutic operation and possible methods for the prevention and treatment of NA and related diseases. Finally, we put forward some suggestions and recommendations showing promise for the treatment of NA and other NA-related chronic diseases.

## 2. THE STRUCTURE AND DIVERSITY OF nAChRs

The nAChRs are integral membrane receptors encoded by 17 subunit genes with a single molecular mass of approximately 290 kDa [55-57]. They are of fundamental importance in human disorders such as Alzheimer's disease, Parkinson's disease, schizophrenia, and NA [1, 41, 51, 58]. The homologous nAChR subunits are symmetrically arranged around a central ionic channel and are expressed in muscle, nerve, and sensory cells [59-63]. They are heteropentameric or homo-pentameric with a five-fold axis of pseudo-symmetry. Both the muscle and the neuronal nAChR subunits are composed of an N-terminal extracellular domain (ECD) that contributes to agonist binding, as well as four hydrophobic ion-pored transmembrane domains (TMDs or M1–M4), [20, 54, 64-69] a short extracellular C-terminal ECD, and a large intracellular cytoplasmic loop of various lengths and sequence identities between M3 and M4 [70, 71]. The channel's ion pore is lined by M2 from five co-assembled subunits [72-74] whereas the ECDs carry the acetylcholine binding sites at the boundary between  $\alpha$  and  $\beta$  subunits, and those TMDs delineate an axial cation-specific channel [20, 40]. Each nAChR subunit contains a  $\beta$ -sheet-rich N-terminal extracellular portion of approximately 200 residues, a 4- $\alpha$ -helical transmembrane segment of about 150 residues, and a variable C-terminal intracellular segment that forms contacts with the cytoskeleton [40, 72]. The receptor spans 150Å or so in its longest axis, with 60–70Å of this being attributable to the ECD and 40Å to the TMD [40, 72]. The ion conduction and channel gating function of the nAChR are localized to the TMD, whereas the five subunits form a donut structure around a central pore that conducts ions when it is in the open state [40, 72]. Thus, nAChRs possess a structure that converts the chemical signal of neurotransmitters into an electrical signal by opening the ion channel, such as in the presence of increased extracellular acetylcholine. The acute effect of acetylcholine consists of the fast opening of a cationic channel of nAChRs, lasting from microseconds to milliseconds, that is permeable to  $\text{Na}^+$ ,  $\text{K}^+$  and sometimes  $\text{Ca}^{2+}$  ions.

A total of 17 nAChR subunit genes, coding for subunits  $\alpha 1$ – $\alpha 10$ ,  $\beta 1$ – $\beta 4$ ,  $\gamma$ ,  $\delta$ , and  $\epsilon$ , have been identified in various vertebrate species (Table 1). In mammals, nAChRs are found in both the CNS and the PNS, with nine  $\alpha$ -subunits and three  $\beta$ -subunits being expressed in the brain. Up to now, 16 human nAChR subunits ( $\alpha 1$ – $\alpha 7$ ,  $\alpha 9$ ,  $\alpha 10$ ,  $\beta 1$ – $\beta 4$ ,  $\gamma$ ,  $\delta$ , and  $\epsilon$ ) have been identified [75, 76]. As noted above, nAChR receptors are broadly categorized as either neuronal ( $n = 12$ ) or muscle ( $n = 5$ ) subtypes on the basis of their specific subunit composition and stoichiometry (see Table 1). The  $\alpha 1$ ,  $\beta 1$ ,  $\gamma$ ,  $\delta$ , and  $\epsilon$  subtypes are expressed in muscle, whereas  $\alpha 2$ – $\alpha 7$ ,  $\alpha 9$ ,  $\alpha 10$ , and  $\beta 1$ – $\beta 4$  are expressed widely and are commonly referred to as “neuronal” subunits [75, 76]. Muscle type nAChRs, found in the PNS, are composed of ( $\alpha 1$ ) $2\beta\gamma\delta$  or ( $\alpha 1$ ) $2\beta\epsilon\delta$ , in which the  $\gamma$  subunit originally is embryonic, being replaced by the  $\epsilon$  subunit in adult tissue [40]. The muscular and neuronal nAChRs containing  $\alpha 7$  or  $\alpha 8$  subunits are sensitive to the antagonist  $\alpha$ -bungarotoxin (BTx), whereas the heteropentameric neuronal nAChRs are insensitive [77]. Two neuronal type subunits,  $\alpha 5$  and  $\beta 3$ , are found to be functional homologs of  $\beta 1$  subunits (muscle type) that are able to co-assemble with other subunits as structural subunits. Curiously,  $\alpha 8$  has been only found in chickens.

There are diverse subtypes of nAChRs, and neuronal nAChRs alone comprise several subtypes, with each having distinctive pharmacological and biophysical properties [78]. Generally, neuronal nAChR subtypes can be divided into the  $\alpha$ Bgtx-sensitive (*i.e.*,  $\alpha 7$ ,  $\alpha 8$ ,  $\alpha 7/\alpha 8$ ,  $\alpha 9$ , and  $\alpha 10$ ) and the heteromeric  $\alpha$ Bgtx-insensitive subtypes, including the combinations of  $\alpha 2$ – $\alpha 6$  with  $\beta 2$ – $\beta 4$  [79]. To date, nearly 30 neuronal nAChR subtypes have been identified in brain tissues. These nAChRs are generated from  $\alpha$  ( $\alpha 2$ – $\alpha 10$ ) and  $\beta$  ( $\beta 2$ – $\beta 4$ ) subunits, [39, 40] and the three most abundant brain nAChR subtypes are composed of  $\alpha 7$ ,  $\alpha 4\beta 2$ , and  $\alpha 3\beta 4$  subunits [80]. There are homopentamers of neuronal nAChRs composed only of  $\alpha 7$  subunits and heteropentamers composed of  $\alpha 4$  and  $\beta 2$  subunits in the form of ( $\alpha 4$ ) $3(\beta 2)$  $2$  (high affinity for agonist) or ( $\alpha 4$ ) $2(\beta 2)$  $3$  (low affinity for agonist) [39]. Rarer combinations of  $\alpha 3\beta 4$ ,  $\alpha 3\beta 2$ , and  $\alpha \beta 2\beta 3$  subunits have been found in specific brain tissues [81]. Among these subtypes, each in the heteromeric neuronal nAChRs has a different

affinity for agonists and antagonists [82, 83]. In contrast, the interfaces of homomeric nAChRs composed of  $\alpha 7$ ,  $\alpha 8$ , or  $\alpha 9$  subunits are identical and express five equivalent agonist-binding sites. Studies indicate significant expression of  $\alpha 3$ ,  $\alpha 5$ , and  $\beta 4$  subunits in the brain [78, 84]. Neuronal nAChRs also are expressed in numerous other cell types and tissues, including endothelial cells, gastrointestinal tissues, glia, immune cells, keratinocytes, urinary bladder tissues, reproductive organs, and respiratory tissues [85–96]. In addition to the acetylcholine binding sites [20, 40] other binding sites on nAChRs, such as the cholesterol-binding sites in M1–M4 segments [74, 97] the  $\alpha$ -neurotoxin-binding sites in the central loop C of the  $\alpha$  subunit, [98] and binding sites for methyllycaconitine and synthetic antagonists [99] have been identified. As a result of their roles in the propagation of action potentials, cognitive function, and diverse central nervous system pathologies, they are hypothetical targets for many drugs and toxins [84, 100].

### 3. THE nAChR SIGNALING IN NA AND WITHDRAWAL DURING SMOKING CESSATION

It is well known that binding of nicotine to nAChRs creates the molecular basis for the reward effects of nicotine and thus the development of NA. Most of the neuronal nAChRs are heteromeric, being composed of different isoforms of alpha ( $\alpha 2$ – $\alpha 9$ ) and beta ( $\beta 1$ – $\beta 4$ ) subunits. The receptors differ in their pharmacological responses according to the particular combination of  $\alpha$  and  $\beta$  receptor subunits [31, 101, 102]. Genetic variations in the genes encoding the heteromeric nAChRs contribute to differences in the risk of a smoker’s developing NA. The most compelling human genetic associations with NA are located in chromosomal region 15q25, which encompasses the  $\alpha 5$ – $\alpha 3$ – $\beta 4$  nAChR subunit gene cluster (*CHRNA5-CHRNA3-CHRNA4*) [103, 104] and on chromosome 8, in the region encompassing the  $\beta 3$ – $\alpha 6$  nAChR subunit gene cluster (*CHRNA3-CHRNA6*) [105]. nAChR subunits also play critical roles in the reinforcing and withdrawal effects of nicotine, whereas chronic nicotine exposure induces neuroadaptations in receptor expression, resulting in either upregulation or downregulation of the nAChRs in different brain regions [106–108]. Some

**Table 1.** A list of nAChR subunits and representative nAChR subtypes.

Heteromeric nAChR subtypes	Muscle type receptors	
	Subunit composition of ligand binding pentamers	Structural subunit
$\alpha 1$ , $\beta$ , $\gamma$ , $\delta$ (embryonic type); $\alpha 1$ , $\beta$ , $\epsilon$ , $\delta$ (adult type)	$\beta$ (also called $\beta 1$ )	
Homomeric nAChR subtypes	Neuronal type receptors	
	Subunit composition of ligand binding pentamers	Structural subunit
	$\alpha 2$ , $\alpha 3$ , $\alpha 4$ , $\alpha 5$ , $\alpha 6$ , $\beta 2$ , $\beta 3$ , $\beta 4$	$\alpha 5$ , $\beta 3$
Representative nAChR subtypes in CNS	Neuronal type receptors	
	$\alpha 7$ , $\alpha 8$ , $\alpha 9$ , $\alpha 10$	
Representative nAChR subtypes in CNS		
$\alpha 2\beta 2$ , $\alpha 3\beta 2$ , $\alpha 3\beta 4$ , $\alpha 3\beta 3\beta 4$ , $\alpha 4\beta 2$ , $\alpha 4\alpha 5\beta 2$ , $\alpha 6\beta 2\beta 3$ , $\alpha 6\alpha 4\beta 2\beta 3$ , $\alpha 7$ .		

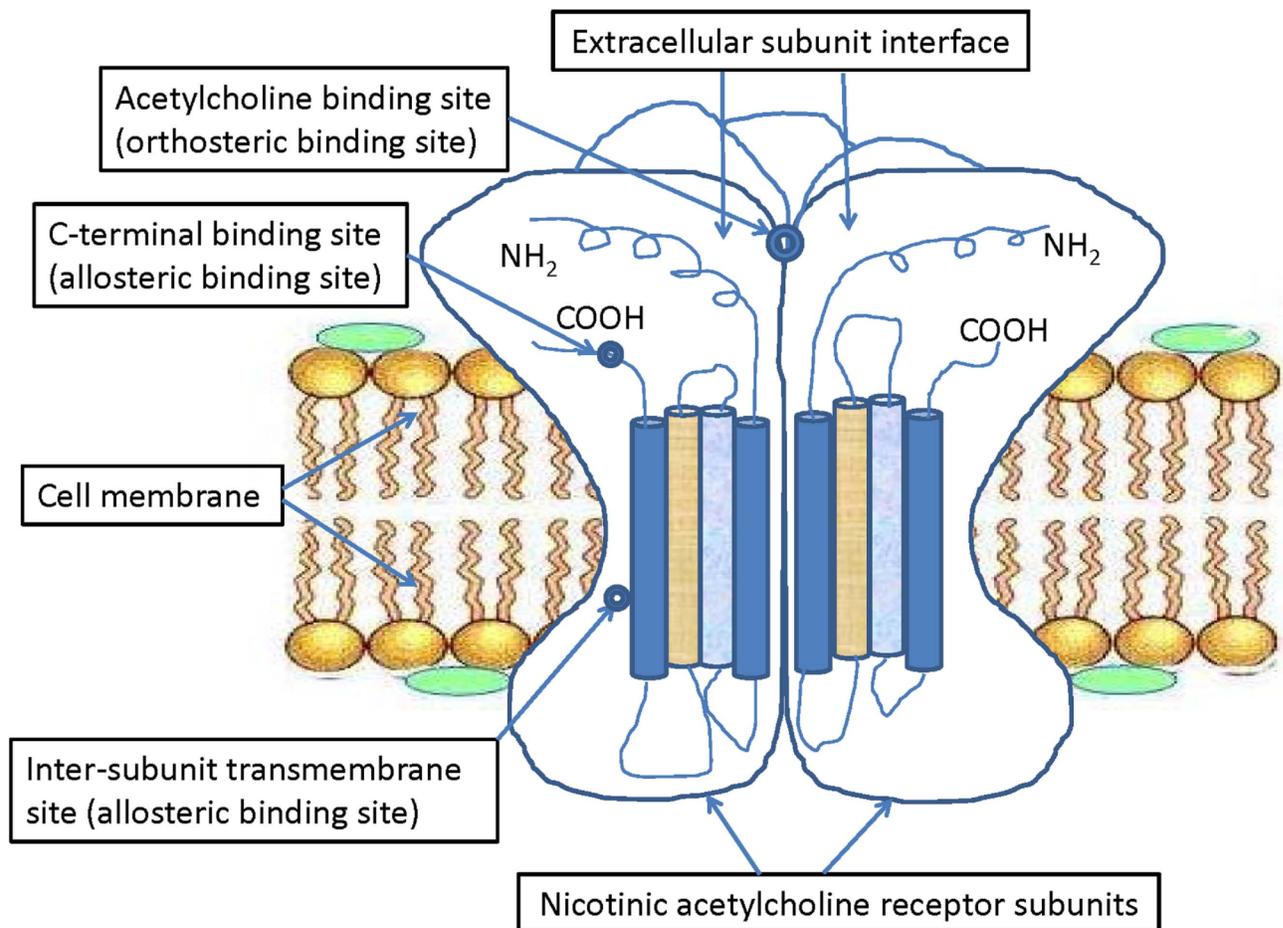
**Notes:** CNS is the abbreviation of central nervous system. The subunit  $\alpha 8$  is currently only found in chick. Those homomeric nAChR subunits, *i.e.*,  $\alpha 10$  and  $\alpha 9$ , may form heteromeric receptors, whereas other three subunits, *i.e.*  $\alpha 5$  and  $\beta 3$  and  $\beta 1$ , are able to co-assemble with other subunits as structural subunits.

nAChR subtypes or subunits also mediate the annoying stresses and affective somatic effects in animals treated with nicotine [109-111]. The development of enhanced therapeutics and treatment of NA will result from the identification of specific nAChR subtypes or subunit compositions that are necessary for the expression of NA and SC.

#### 4. INSIGHT FROM ALLOSTERIC MODULATION OF NICOTINIC RECEPTOR SIGNALING WITH nAChR SUBUNITS

nAChRs are typical allosteric membrane proteins and historically pharmacological targets for diverse natural drug ligands or chemical compounds, including agonists and antagonists isolated from plants and vertebrate animals. These receptors can mediate signal transduction between particular sites through their conformational transition and equilibrium [28, 112] which makes them the most interesting model for studying the molecular mechanisms of drug-receptor or ligand-receptor interactions [20, 39, 40, 112, 113]. Early studies on functional nAChRs of *Torpedo* pioneered the discovery and subsequent development of a new class of pharmacological agents referred to as “allosteric ligands” that

bind to sites distinct from the classic acetylcholine binding site (*i.e.*, orthosteric sites; see Fig. 1). Most of the common drug molecules and their metabolites interact with the inner ion channel surfaces of nAChRs through a non-competitive inhibition mechanism, whereas the distinct binding of allosteric ligands to outer or inner surfaces of nAChR ECDs plays critical roles in coupling agonists. Previous studies have identified many nAChR-selective ligands, including both agonists and antagonists that link to the same extracellular binding sites of acetylcholine at the interface between nAChR subunits [72, 77, 114-120]. The nAChRs also can be activated by allosteric ligands binding to other transmembrane sites [121, 122]. Some studies suggest that allosteric ligands bind to different regions and recognition sites other than those where acetylcholine binds and affect the function of nAChRs [26, 64, 69, 77, 114, 115, 119, 123-126]. For example, five amino acids, located within the  $\alpha$ -helical transmembrane domains TM1 (S222, A225), TM2 (M253), and TM4 (F455, C459), were identified as the binding sites of allosteric ligands of  $\alpha 7$  nAChRs [127]. When these amino acids were changed by site-directed mutagenesis, the potentiation of  $\alpha 7$  nAChRs was significantly reduced after treatment with two allosteric ligands (PNU-120596 and LY-



**Fig. (1).** Simplified schematic illustration of the orthosteric and allosteric binding sites of nAChR subunits. A simplified schematic representation showing both the orthosteric and allosteric binding sites of nAChR subunits, including acetylcholine binding sites (orthosteric binding sites) located between the extracellular subunit interfaces, and the c-terminal binding sites and inter-subunit transmembrane sites (allosteric binding sites).

2087101) [127]. Another study revealed that the N-terminal ECD of  $\alpha 7$  nAChR plays a critical role in the modulation of nAChRs activity by allosteric ligand NS-1738, whereas  $\alpha 7$ -5HT(3) chimeras harboring an ECD M2-M3 segment show spontaneous activity in response to NS-1738 [128]. Furthermore, amino acid mutations in the receptor transmembrane cavity are regarded as the binding site for allosteric ligands of  $\alpha 7$  nAChRs [123, 129, 130]. These data illustrate the existence of distinct allosteric recognition and binding sites and the critical role of the nAChR extracellular transmembrane domain in receptor gating function. Other than direct agonists and antagonists that exert their actions at the conventional agonist binding sites at the interface of two subunits [72, 77, 130] many newly identified allosteric modulating drugs act elsewhere on functional nAChRs [69, 114-116, 123, 124, 131].

The concepts of an allosteric modulator (*i.e.*, ligand) and allosteric site describe a particular allosteric ligand and nAChR ligand-binding site distinct from the conventional agonist (acetylcholine) and its binding sites [64]. Although allosteric modulators usually have no intrinsic activity and merely modulate the effects of agonists or ligands, the response and activity of nAChRs (*i.e.*, activation and desensitization) to non-modulatory orthosteric agonists are largely transformed by allosteric modulators into the binding of modulating molecules to specific allosteric sites on nAChRs, whereas the allosteric sites were recently reported to be distinct from the extracellular orthosteric sites for acetylcholine (Fig. 1), with some modulatory binding sites being located in the transmembrane domain [64, 69, 132]. Furthermore, some allosteric modulators display a mixed activity profile, as they both enhance the potency of endogenous orthosteric agonists and directly activate the receptor by binding to specific orthosteric or non-orthosteric sites [69, 121, 133]. Other allosteric ligands do not exhibit any detectable intrinsic efficacy for receptor activation. These allosteric modulators produce conformational changes in the receptor that positively increase or negatively decrease the activation potency of orthosteric agonists by binding to and acting on allosteric sites [64, 69, 121]. As to the effects on nAChRs, these allosteric modulators generally are classified as positive allosteric modulators (PAMs), negative allosteric modulators (NAMs), silent allosteric modulators (SAMs), or other novel compounds (neutral allosteric ligands) activating or desensitizing nAChRs *via* allosteric sites [64, 69, 134]. As for neuronal nAChRs, the endogenous neurotransmitter acetylcholine acts as a conventional agonist and binds the receptor's extracellular domain at the interface between two nAChR subunits [77, 135]. In contrast, some allosteric modulators potentiate/activate the nAChR conformation and agonist-activation (PAMs) or inhibit/desensitize the nAChR conformation and non-competitive agonist-activation (NAMs). Yet other non-competitive allosteric modulating antagonists (SAMs) are capable of blocking channel pores and inhibiting agonist-evoked responses through distinct binding sites other than those used by PAMs and NAMs [134]. Among these modulators, PAMs often potentiate the effect of agonist binding and thus are called "co-agonists" or "non-competitive agonists" [64, 69, 132]. In the case of  $\alpha 7$  nAChRs, these compounds usually are further subdivided functionally into type I PAMs, with minimal to no effects on the receptor's desensi-

tization, and type II PAMs, with some but reduced effects on the receptor's desensitization [64, 69, 125, 132, 134, 136]. Furthermore, structurally similar allosteric modulators exhibit diverse pharmacological effects on  $\alpha 7$  nAChRs [116, 125, 134]. For instance, nondesensitizing activation (allosteric agonists), which enhance the agonist-induced activation without altering desensitization kinetics (type I PAMs), stabilize the receptor's open state with slow desensitization (type II PAMs), noncompetitive antagonism (NAMs), and blocking allosteric modulation without effects on orthosteric agonists (SAMs) [134]. There also are a few allosteric modulators that exhibit features of both these subclasses; *i.e.*, they possess PAM effects on some nAChR subtypes and NAM effects on other subtypes. In addition to synthetic compounds, several endogenous compounds, including neurosteroids and some fatty acids, have NAM effects [90, 137, 138]. In contrast, NAMs can stabilize non-conducting conformations of the receptor, decrease agonist affinity, or increase the receptor's desensitization rate [139]. Both PAMs and NAMs exert effects at a variety of locations, including non-canonical intersubunit interfaces in the ECDs [130, 140] the coupling region between ECDs and TMDs [141] and within the TMD helices [127, 142]. It has been suggested that large molecules act as PAMs by binding at an intersubunit transmembrane site and preventing the transition from the open to the closed states [64, 71]. These various binding sites differ in chemistry across different nAChR subunits, leading to variable sensitivities to modulation by allosteric modulators, whereas the intrasubunit TMD sites are the most prominent and conserved ones [64, 69, 143]. Furthermore, PAMs can potentiate lower agonist concentration selectively by facilitating the agonist binding and can change the efficacy of agonists by reducing or increasing the energy barrier between the closed and open states of nAChR subunits [125, 134, 144]. Moreover, the combined usage of therapeutic orthosteric agonists and PAMs might be reasonable because of the ubiquitous expression of nAChRs [26, 121, 144]. In animal models, behavioral studies with  $\alpha 7$  nAChR PAMs, such as PNU-120596, have revealed their ability to influence cognitive function directly, and those studies with  $\alpha 4\beta 2$  nAChR PAMs focused mainly on reducing pain and improving cognitive function [26]. In addition, the functional expression and degree of activity of nAChRs decline only in a subunit- or tissue-specific manner but do not vanish in the age-, disease- or trauma-related pathological states [121]. Therefore, PAMs are proposed as promising functional allosteric ligands to act as therapeutic orthosteric agonists of nAChRs.

So far, the hypothetical mechanism of conformational equilibrium and functional switch of nAChRs into high activable affinity receptors is accepted as modulatable by both endogenous and exogenous compounds [68, 69, 131, 145] which makes nAChRs prime targets for pharmaceuticals for the treatment of neurological diseases [64, 84, 144-148]. In particular,  $\alpha 7$  nAChRs selectively bind the II PAM PNU-120596 type, which slows desensitization in a cavity formed by the  $\alpha$ -helices of M1, M2, and M4 [127]. PNU-120596 is a highly selective potentiator or PAM of  $\alpha 7$  nAChRs [144, 149, 150] and it significantly reduces cerebral infarct volume and neurological deficits in a middle cerebral artery occlusion model of ischemic stroke in rats [150, 151]. Recently, a

significant therapeutic potential of PNU-120596 after traumatic brain injury in rats has been reported [144, 150] and more representative nAChR ligands with multifunctional activities and effects can be found in a recent review [152]. These trials will provide helpful guidance for the practical design of  $\alpha 7$  nAChR antagonists and shed new light on the antagonistic mechanism. Additionally, the core question in therapeutic drug development is how drug ligands will affect the endogenous signaling that is mediated by natural activators, including both agonists and antagonists. Long-term exposure to nicotine leads to an activity increase, amount upregulation, or both of nicotine binding sites in the brain of smokers [153, 154] and rodents subjected to repeated nicotine administration [155, 156]. It has been suggested that receptor desensitization is a major contributor to the upregulation of nAChR subunits that is observed in chronic users of nicotine-containing products [154, 157]. Because of the relatively conserved receptor binding sites of nAChRs for conventional agonists such as acetylcholine, [143] the subtype selectivity of allosteric modulators may be achieved easily by designing drug ligands that bind to various allosteric sites in diverse nAChR subunits [116, 123, 124, 158-165].

## 5. INSIGHT FROM KNOCKOUT OF nAChR SUBUNITS IN TRANSGENIC MICE

Although NA is a complex condition influenced by multiple factors, including both genetic and environmental elements, several specific subtypes and subunits of nAChRs mediate the bothersome somatic stresses and affective symptoms associated with NA and withdrawal in SC of nicotine-treated animals [109, 166, 167]. Furthermore, a crucial goal

of therapeutic drug discovery for NA is the identification or design of receptor ligands that bind to highly selected nAChR subtypes. Studies on NA have revealed that many nAChR subtypes and subunits are involved in the processes of self-administration, reward behavior, and withdrawal effects of nicotine [167-170]. These effects include genetic deletions of known nAChR subunits in the brain, targeted knockout (KO) mice, and knockin (KI) mutations yielding specific transgenic gain-of-function receptor phenotypes of nAChR subunits [171]. The subtypes and subunits of nAChRs can mediate the unpleasant somatic stresses and affective effects associated with NA and SC. Among the nAChR subunits,  $\beta 2$ ,  $\alpha 4$ , and  $\alpha 7$  account for many of the brain nicotine-binding sites. In general, genetic KO of  $\beta 2$ ,  $\beta 3$ ,  $\beta 4$ ,  $\alpha 2$ ,  $\alpha 4$ ,  $\alpha 5$ ,  $\alpha 6$ , or  $\alpha 7$  subunits generally attenuate or weaken somatic signs of nicotine withdrawal in nicotine-dependent mice compared with their wild-type counterparts [109, 172-174]. Moreover, recent reports of transgenic mice with specific KO of subunits of nAChRs have provided important information about both the function of neuronal nAChRs and the mediation of addiction-related behavior [4, 5, 175]. These KO animal models with mutant nAChR subunits are helpful to identify and evaluate the nAChR subtype selectivity associated with many symptoms of nicotine addiction, reward, and withdrawal (Table 2).

### 5.1. The $\alpha 3^*$ , $\beta 4^*$ , and $\alpha 5^*$ nAChRs

The  $\beta 4$ ,  $\alpha 3$ , and  $\alpha 5$  nAChR subunits (\* indicates the presence of additional subunits) are densely expressed in the medial habenula (MHb) and the interpeduncular nucleus (IPN) [176]. They are encoded by the *CHRNA5-CHRNA3-*

**Table 2. Main findings in nAChR mutant KO mice.**

Deleted Gene	Critical Phenotype in nAChR Null Mutant Mice	Refs.
<i>CHRNA2</i>	Increased self-administration; no somatic signs and hyperalgesia with conditioned CPA; context-dependent nicotine abstinence	[174, 210, 211]
<i>CHRNA4</i>	More hypersensitive to nicotine than the wild-type; increased basal anxiety (EPM); blunted basal level and nicotine-stimulated DA release.	[184, 197-200]
<i>CHRNA5</i>	Increased nicotine intake and self-administration; enhanced anxiety during nicotine withdrawal; reduced somatic symptoms; no signs of hyperalgesia or anhedonia.	[109, 174, 176-179]
<i>CHRNA6</i>	Blocked nicotine withdrawal-induced CPA and anxiety; nicotine self-administration blocked; blunted nicotine-stimulated DA release; reduced anxiety and aversion in pharmacological blockade.	[186, 189-193]
<i>CHRNA7</i>	Unaffected anxiety-like behavior; unaffected nicotine self-administration; increased nicotine-stimulated DA release; blunted nicotine self-administration; delayed onset of nicotine withdrawal-induced anhedonia-like behavior; significantly reduced chronic oral nicotine intake and nicotine withdrawal-induced somatic symptoms.	[109, 182, 213-216]
<i>CHRNB2</i>	No somatic signs and abstinence-induced hyperalgesia; no nicotine-stimulated DAergic neuron firing; no anxiety or anxiety-related behavior (EPM); blocked nicotine-evoked DA release; blocked nicotine self-administration and conditioned reinforcement.	[109, 110, 201-206]
<i>CHRNB3</i>	Decreased anxiety (EPM); altered hypothalamic pituitary–adrenal axis responses; altered locomotor activity, prepulse inhibition, and other behaviors.	[195, 196]
<i>CHRNB4</i>	Decreased anxiety (EPM); dose-dependent tolerance development; reduced somatic symptoms and hyperalgesia in nicotine withdrawal; delayed onset of nicotine withdrawal-induced behaviors; decreased somatic signs of nicotine withdrawal-induced symptoms; highly resistant to nicotine-induced seizures.	[109, 172, 181-186, 213, 216, 253]

*CHRNA4* cluster, which was revealed in association with the behavior of smoking addiction and NA. The  $\alpha 5^*$  nAChRs were found to be diffusely expressed in brain tissues (e.g., VTA, MHb, and IPN) and implicated in the effects of nicotine addiction [177]. Importantly, MHb and IPN were suggested as the locations of action of  $\alpha 5^*$  nAChRs in mediating physical behaviors and symptoms of NA and SC [174]. Nevertheless,  $\alpha 5$  subunit KO mice display no obvious physical behaviors linked to mecamylamine-induced nicotine addiction [174] or somatic symptoms related to nicotine withdrawal-elicited hyperalgesia [109]. Other observations suggested that  $\alpha 5^*$  nAChRs do not influence anhedonia [109, 178]. Similarly,  $\alpha 5$  null mutant mice display no uncomfortable symptoms such as nicotine withdrawal-induced conditioned place aversion (CPA) and increased anxiety during NA and SC [109]. Study of *CHRNA5* KO mice indicated a null mutation in *CHRNA5* markedly increased nicotine intake but no disassociation between reinforcing and aversive properties of such intake [179]. Notably,  $\alpha 5$  subunit null mutation in MHb did not alter the rewarding effects of nicotine but did abolish the inhibitory effects of higher nicotine doses, suggesting a critical role for the  $\alpha 5$  subunit in the aversion to nicotine intake [179]. It was suggested that nicotine activates the habenulo-interpeduncular pathway through  $\alpha 5^*$  nAChRs, triggering an inhibitory motivational signal that limits nicotine intake [179]. However, there is no further evidence of KO mice exhibiting physical effects or somatic symptoms associated with  $\alpha 5^*$  nAChRs for NA and SC.

Because of developmental abnormalities,  $\alpha 3$  subunit-null mutant mice exhibit impaired growth and a higher perinatal mortality rate, so there are few data on  $\alpha 3$  subunit KO mice [180] whereas clinical studies suggest that nAChRs containing the  $\alpha 3$  and  $\beta 4$  subunits influence both the nicotine reward and the somatic symptoms of NA and SC [109, 181].

Early study observed a significant role for  $\beta 4^*$  nAChRs in nicotine withdrawal-induced symptoms [181] and there are fewer or less-intense signs of nicotine withdrawal in  $\beta 4$  subunit-null KO mice [181]. The KO mice lacking either  $\beta 4$  or  $\alpha 7$  subunits showed a delayed onset of nicotine withdrawal-induced anhedonia-like behavior or state [182]. Furthermore, neuronal  $\alpha 4$ ,  $\alpha 5$ , and  $\alpha 7$  nAChR subunits are all involved in nicotine-induced seizures in KO mice [183] whereas  $\alpha 3\beta 4^*$  nAChRs mediate nicotine reward and physical nicotine withdrawal signs independently of the  $\alpha 5$  nAChR subunit [181]. In addition,  $\beta 4$ -null mutant mice and  $\beta 4/\alpha 5$ -deleted KO mice (lacking both  $\alpha 5$  and  $\beta 4$  subunits) are highly resistant to nicotine-induced seizures [183, 184]. Therefore, animal aversion to nicotine probably is regulated by the balanced activity of  $\beta 4$  and  $\alpha 5$  nicotinic subunits in the medial habenula [184]. Although  $\beta 4$  KO mice showed few somatic signs of nicotine withdrawal or hyperalgesia after nicotine withdrawal [109, 172, 182] other studies reported that different  $\beta 4^*$  nAChR subtypes are involved in NA and SC, and  $\alpha 3\beta 4^*$  nAChRs are postulated to be the main contributors to tolerance [178, 181]. Moreover,  $\beta 4^*$  nAChR KO mice display a dose-dependent tolerance during chronic nicotine treatment [185]. In particular,  $\beta 4$ -null mutant ( $\beta 4^-$ ) mice develop more significant tolerance than either  $\beta 4$  wild-type ( $\beta 4^{+/+}$ ) or  $\beta 4$  heterozygote ( $\beta 4^{+/-}$ ) mice [185]. These data indicate that  $\beta 4^*$  nAChRs are the critical response

mediators and are resistant to both acute and chronic nicotine administration [185].

## 5.2. $\alpha 6^*$ and $\beta 3^*$ nAChRs

Current research suggests that  $\beta 3^*$  and  $\alpha 6^*$  nAChRs are involved in the unpleasant phenotypes of NA and SC [186]. The transgenic mouse model overexpressing  $\alpha 6\beta 4^*$  and  $\alpha 3\beta 4^*$  nAChRs displayed altered nicotine consumption and addiction and nicotine conditioned place aversion (CPA) [181, 184, 187]. A significant role was also recorded for  $\alpha 6^*$  nAChRs with nicotine induced CPA in NA and SC [188]. Moreover, the  $\alpha 6$  nAChR subunit plays crucial roles in the syndromes of NA and SC, as DA release is regulated in part by  $\alpha 6^*$  nAChRs after nicotine administration [189-192] whereas  $\alpha 6$  KO mice demonstrated an important role of the  $\alpha 6$  nAChR subunit in nicotine-affected DA neurons [193]. Inhibition of [ $^3$ H]epibatidine binding to striatal membranes revealed the absence of  $\alpha$ -CtxMII (a toxin inhibiting nicotine-induced DA release)-sensitive and cytosine-resistant [ $^3$ H]epibatidine binding sites in  $\alpha 6^{-/-}$  mice [193]. By modulating DA release in the nucleus accumbens (NAc) and modulating GABA release onto the DAergic neurons in the ventral tegmental area (VTA),  $\alpha 6^*$  nAChRs may play important roles in nicotine reward and addiction [193] as well as in the selective preventive treatment of Parkinson's disease in the nigrostriatal DAergic system (NDS) [194]. In addition, comparison of previously reported tolerance development in  $\beta 2$ -null mutant mice (less tolerance) with that of  $\beta 4$ -null mutant mice (more tolerance) supports a differential role for the  $\alpha 6\beta 2^*$  nAChR subtypes in regulating tolerance after chronic nicotine treatment [188]. The intracerebral infusion of  $\alpha 6\beta 2^*$  nAChR-selective antagonists blocks CPA and nicotine-elicited anxiety in the elevated plus maze (EPM), whereas there is no effect on the somatic symptoms in SC [105, 188]. In contrast, conditionally blocked  $\alpha 6^*$  nAChRs weaken the nicotine-induced anxiety and aversion of KO mice in SC [105, 188].

Similar to the situation in  $\alpha 5$ -null mutant mice [173]  $\beta 3$ -subunit KO mice exhibit lower baseline anxiety-related behavior, and  $\beta 3$ -null mutant mice show altered hypothalamic pituitary-adrenal axis responses [195]. Changes also were observed in locomotor activity, prepulse inhibition, and some behavior that is controlled partly by nigrostriatal and mesolimbic dopaminergic activity [196].

## 5.3. $\alpha 4^*$ and $\beta 2^*$ nAChRs

Previous studies of KO mice suggested that  $\alpha 4/\beta 2^*$  nAChRs are critical for nicotine-related reward behavior [197, 198] and the  $\alpha 4$  nAChR subunit usually assembles with the  $\beta 2$  subunit in major heteromeric nAChRs. In the  $\alpha 4$ -subunit-KO animal line, the behavior of mutant mice in the elevated plus-maze assay is consistent with greater basal anxiety relative to the phenotype of wild-type mice [197]. In response to nicotine, wild-type mice exhibit early reductions in a number of behavioral topographies in any habitat, whereas increased behavioral topographies in unhabituated mutant mice are reduced by nicotine [197]. Similarly,  $\alpha 4$ -subunit-KI mice have  $\alpha 4^*$  receptors that are hypersensitive to nicotine [198-200]. Actually, selective activation of  $\alpha 4^*$  nAChRs with low doses of agonist recapitulates the nicotine

effects proved to be important in NA, including reinforced responses to nicotine administration, tolerance, and sensitization to SC induced by chronic nicotine administration [198-200]. These data indicate that the activation of  $\alpha 4^*$  nAChRs is sufficient for nicotine-elicited reward, tolerance, and sensitization.

The KO mice lacking the  $\beta 2$  subunit do not exhibit any nicotine-associated responses in SC, with absence of nicotine-elicited DA release and the raised firing rate of DA neurons in the dorsal striatum (DS) and ventral striatum (VS) [110, 201]. The  $\beta 2$ -KO mice display more somatic signs of nicotine withdrawal and the abstinence-induced hyperalgesia than do wild-type mice [172] whereas the mutant mice do not display anxiety-related behavior that normally is associated with NA and SC in animals having chronic nicotine exposure [109]. Furthermore, the upregulation of nAChRs *in vivo* requires the presence of  $\beta 2$  subunit to modulate the animal's adaptation to nicotine exposure, and  $\beta 2^{+/+}$  mice develop dose-dependent tolerance with upregulation of [ $^3$ H]epibatidine-binding sites [202]. Moreover, null-mutant analysis of  $\beta 2$ -KO mice revealed that deletion of this subunit, but not the  $\alpha 7$  subunit, reduced sensitivity to nicotine-induced locomotor depression and hypothermia [203]. Also, the  $\beta 2$  nAChR subunit mediates to some extent the dose-dependent effects of nicotine on locomotor activity and body temperature after drug injection [203]. In other reports, the systemic administration of Dh $\beta$ E (a  $\alpha 4\beta 2^*$  nAChR antagonist) in the VTA increased the intracranial self-stimulation (ICSS) thresholds of nicotine-dependent animals [204-206] whereas  $\alpha 6\beta 2^*$  nAChRs are expressed in both the VTA and the VS [204-206]. Additionally, KO mouse studies targeting the  $\beta 6$  subunit indicated that  $\beta 6\beta 2^*$  nAChRs might play a critical role in nicotine-induced behavior [207]. These  $\beta 2^*$  nAChRs therefore might be targets for drugs to treat the affective and somatic effects of NA and SC. In practice, varenicline is a non-nicotine pharmaceutical that can act as a partial agonist at  $\alpha 6\beta 2^*$  nAChRs, and it is currently approved for the treatment of NA and SC [208, 209].

#### 5.4. $\alpha 2^*$ and $\alpha 7^*$ nAChRs

$\alpha 2$ -subunit KO mice self-administer higher doses of nicotine than wild-type control animals [210] and  $\alpha 2$ -null mutant mice show many somatic symptoms of NA and SC in a new habitat but few somatic signs in a familiar habitat [210]. Furthermore,  $\alpha 2$ -subunit deletions or null mutations abolish the somatic deficits and symptoms of NA and SC in KO mice [174, 178]. Variants in *CHRNA2* have proved to be strongly associated with the results of the Fagerström Test for Nicotine Dependence (FTND) in a genome-wide association analysis (GWAS) of European-Americans and African-Americans [211].

In another report, the hyperalgesia symptoms emerging during mecamylamine-elicited nicotine withdrawal were reduced significantly in  $\alpha 7$ -subunit-KO mice [212]. Compared with wild-type mice,  $\alpha 7$ -null mutant mice displayed no elevated ICSS thresholds during 3–6 h after nicotine exposure [109, 182]. It appeared that KO mice lacking  $\alpha 7$  subunits might have a delay in the somatic symptoms induced by NA and SC [109, 182]. Moreover, genetic deletion of the adenosine A2A receptor prevents nicotine-induced

upregulation of  $\alpha 7$  in the brain [213] whereas  $\alpha 7$ -null mice exhibit significantly decreased chronic oral nicotine intake [214] and somatic signs of nicotine-induced symptoms of nicotine abstinence [215] but displayed unaffected nicotine self-administration and anxiety-like behaviors after nicotine withdrawal [215, 216]. However, no definite role of the  $\beta 7$  nAChR subunit in nicotine addiction and reward was detectable or in conditioning observed in KO mice [175] despite the wide distribution of  $\beta 7$  nAChR subunits in the brain, especially in the mesocorticolimbic system.

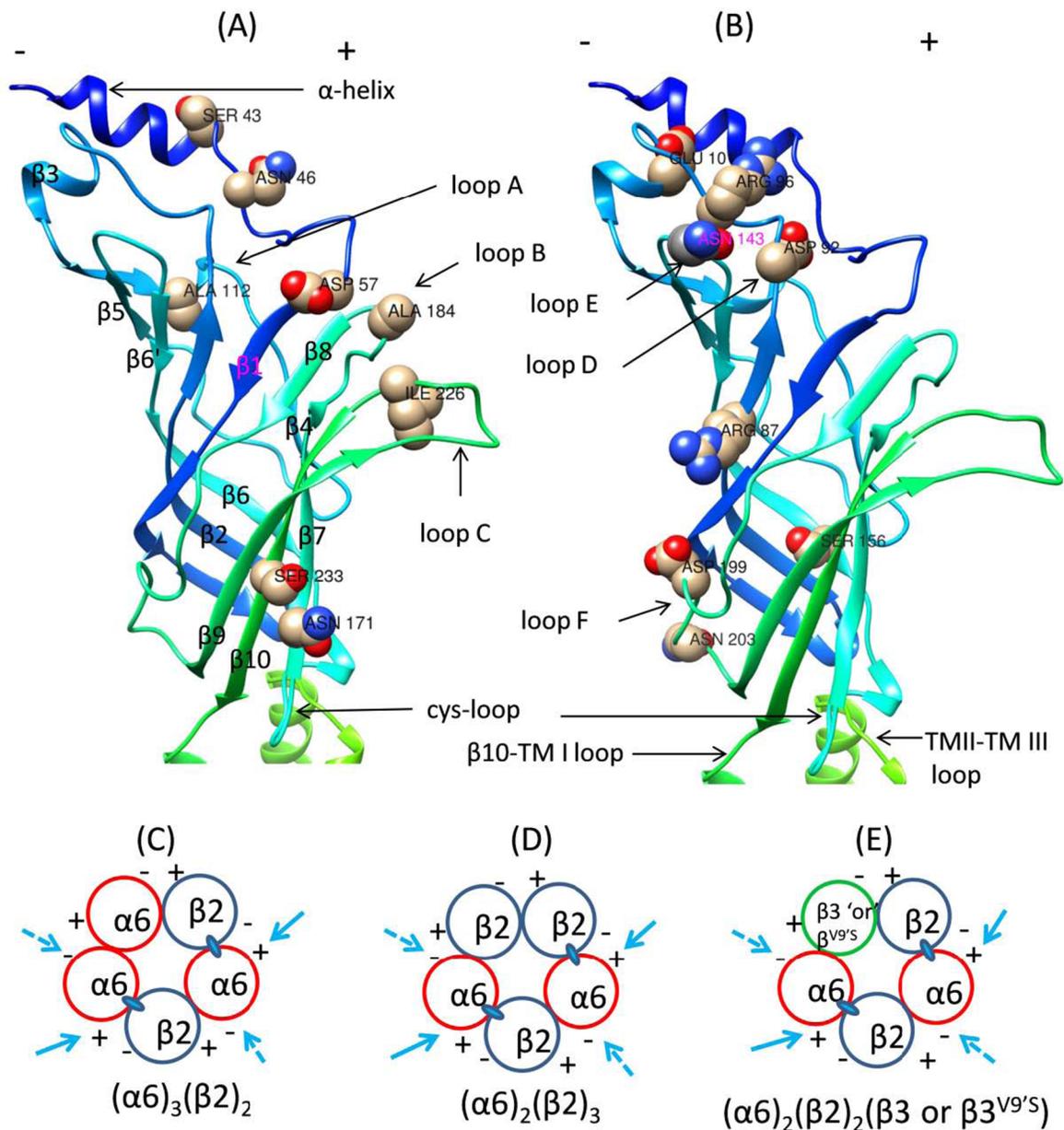
Because of many research issues, there are few preclinical or clinical data available on the null mutation effects of  $\beta 3$ -,  $\alpha 3$ -, and  $\alpha 5$ -KO on NA- and SC-related behaviors in mice. Nevertheless, these studies suggest that many subunits may be useful in understanding the mechanisms of NA and SC effects in nAChR-targeted KO mice. Those unpleasant physical signs and symptoms associated with NA and SC may be mediated by nAChRs containing the  $\beta 2$ ,  $\beta 4$ ,  $\beta 6$ ,  $\alpha 4$ ,  $\alpha 6$ , or  $\alpha 7$  subunits. Studies of transgenic KO mice have revealed some genetic regulatory roles of nAChR subunits in NA and SC involving several neuronal subtypes of nAChRs and the relevant neurobiological mechanisms. These studies also have demonstrated that mutations in the nAChR subunits of KO mice increase the risk of NA and SC and decrease the functional response of nAChRs.

## 6. INSIGHT FROM SPECIFIC RARE VARIATIONS OF nAChR SUBUNITS

Human genetic association studies with robust tests have identified significant rare variants contributing to nicotine addiction and their location, structure, and risk information in several nAChR subunit genes [103, 105]. At present, the roles of those rare variants in nicotine-induced risks have not been well characterized. However, using the genetic data, together with advanced biotechnology methods, we can evaluate the functional effective subunits (*e.g.*, the human  $\alpha 6$  nAChR subunit shown in Fig. 2) and critical amino acid sites to explore possible mechanisms. Meanwhile, there have been some molecular studies related to nicotine addiction probing these rare variants of nAChR subunit genes with site-directed mutagenesis and transient transfection and other methods in artificial gene expression systems (Table 3). This part of the review focuses on the genetic effects of specific rare variants on the functional expression and sensitivity to nicotine-induced receptor upregulation and desensitization of nAChR subunits and subtypes.

### 6.1. $\alpha 2$ nAChR Subunit

To date, several low-frequency or rare variants; *e.g.*, rs2472553, rs2043063, and rs104894063, have been identified in the  $\alpha 2$  nAChR subunit gene and proved to be associated with drug dependence (including nicotine) and physiological disorders [217-220]. In particular, rs2472553 was found to be strongly associated with NA. It encodes a functional variant in the N-terminal ECD signal peptide, in which a thymidine missense mutation (C→T) leads to a threonine-to-isoleucine substitution at residue 22 (T22I or Thr22Ile). This changes the nicotine sensitivity of  $\alpha 2\beta 4^*$  nAChRs [220-222]. The critical mutant effect of T22I was indicated to favor the formation of low-sensitivity  $\alpha 2^*$  nAChRs in two-



**Fig. (2).** Ribbon diagrams of amino acid variation localizations to secondary structures and interfaces of human  $\alpha 6$  nAChR subunit. (A) and (B) illustrate the amino acid residues undergoing variation in a 3D model of the secondary structures of the  $\alpha 6$  nAChR subunit. (C-E) illustrate the interfaces contributed by  $\alpha 6$  and other subunits to formation of  $\alpha 6^*$  nAChRs. Adapted from Fig. 2 of Dash and Li (2014) [244].

electrode voltage clamp analysis [222]. Meanwhile, two rare variants (rs141072985: D478N and rs563447740: D478E) were found in the putative cytoplasmic amphipathic  $\alpha$ -helices of the  $\alpha 2$  nAChR subunit [223]. These variants were identified as a result of mutations in the 1st (G $\rightarrow$ A: rs141072985) and 3rd (C $\rightarrow$ A: rs563447740) nucleotide of its 478th triplet codon (GAC) [223, 224]. These two variants affect primarily the agonist-induced peak current responses of the  $\alpha 2\beta 2^*$  and  $\alpha 2\beta 4^*$  nAChRs [223]. In particular, an agonist (acetylcholine/nicotine) induced peak  $I_{\max}$  current responses of  $\alpha 2\beta 2^*$  nAChRs, and those of  $\alpha 2\beta 4^*$  nAChRs were increased 1.3–4.7 fold, whereas mutant  $\alpha 2$  subunit with the D478N variant increased the  $I_{\max}$  of IS about 2 fold or HS 1.4–2.1 fold in  $\alpha 2\beta 2^*$  nAChRs [223].

## 6.2. $\alpha 4$ nAChR Subunit

nAChRs containing  $\alpha 4$  and  $\beta 2$  subunits are the most abundant subtypes in the mammalian brain, and cumulative experimental evidence shows that these nAChRs can mediate nicotine-elicited rewarding and reinforcing effects [76, 201, 225, 226]. Three rare missense mutations (*i.e.*, R336C, P451L, and R487Q) of the  $\alpha 4$  subunit render the expression and function of high-affinity  $\alpha 4\beta 2$  nAChR desensitized or upregulated after chronic nicotine exposure, resulting in altered functional receptors most sensitive to the drug [226]. Significant mutant effects of  $\alpha 4$  subunit variants also were observed on the subcellular expression and functional sensitivity of  $\alpha 4\beta 2$  nAChRs to nicotine-induced receptor upregu-

**Table 3. Representative rare variants in nAChR subunits.**

Subunit	Critical Substitution of nAChR Amino Acid Residue	Refs.
Alpha 2	T22I (rs2472553), D478N (rs141072985), D478E (rs563447740)	[222, 223]
Alpha 4	R336C, P451L, R487Q, S438D, S469A, Y576A, S589A, S516D, T536A, S247F, S252L, 776ins3, T529A	[199, 225-229]
Alpha 5	N143D, M145V, D398N (rs16969968)	[154, 233, 234, 239]
Alpha 6	S43P, N46K, D57N, R87C, D92E, R96H, E101K, A112V, S156R, N171K, A184D, D199Y, N203T, I226T, S233C.	[244-246]
Alpha 7	$\alpha 7_{345-348A}$ , D44A	[259-261]
Beta 2	V286L, V337G, V287L, V287M.	[199, 229-231]
Beta 3	V273S	[248, 255, 258]
Beta 4	R349C	[262]

lation [225]. Similar mutations of 11 residues (S364, T417, S438, S469, S471, S490, S504, S516, T536, Y576, and S589) in the  $\alpha 4$  subunit M3-M4 cytoplasmic loop decreased the receptor activation for major mutations of  $\alpha 4\beta 2$  nAChRs with acetylcholine as agonist, whereas four mutations (S438D, S469A, Y576A, and S589A) caused statistically significant hypersensitivity to the agonist nicotine [227]. Furthermore, the disruption of specific interactions at putative consensus sites for protein kinase C (PKC) rendered  $\alpha 4\beta 2$  nAChRs almost completely insensitive to nicotine [227] and these PKC consensus sites of the  $\alpha 4$  nAChR subunit are potential targets for SC-related drugs acting on  $\alpha 4\beta 2$  nAChRs. Interestingly, the upregulated  $\alpha 4^*$  nAChRs in VTA GABAergic neurons increases murine sensitivity to nicotine, and these nAChRs might be targets for SC therapeutics [200]. Additionally, KI mice with a  $\alpha 4$  subunit mutation (T529A in *CHRNA4* A529) exhibited altered sensitivity of their nAChRs to nicotine [228]. Similar  $\alpha 4$  subunit mutations also were reported in the channel-lining M2 domain (S247F, S252L, and 776INS3) [199] and in the intracellular cytoplasmic loop C2 (R336H) [229]. nAChRs formed by mutant  $\alpha 3$  and  $\alpha 4$  and wild-type  $\beta 4$  nAChR subunits displayed altered affinity for nicotine and reduced nicotine-activated current and desensitization [226].

### 6.3. $\beta 2$ nAChR Subunit

The rare variants of the  $\beta 2$  subunit gene (*CHRNA2*) are found in smokers. *In situ* hybridization indicates that transgenic rats having  $\beta 2^*$  nAChRs with a V286L mutation express the protein to a greater degree in the cortex, hippocampus, and cerebellum of V286L-TG (V286L-transgenic) than did wild-type (non-transgenic) rats [230]. In comparison with wild-type littermates, V286L-TG rats display nicotine-induced abnormal motor activity, including seizures, whereas the response time for seizures after nicotine administration is shorter in V286L-TG rats than in the wild type [230]. Recently, it was discovered that a  $\beta 2$  mutant subunit ( $\beta 2V287L$ ) suppresses low-sensitivity expression of  $\alpha 4\beta 2^*$  nAChRs in KI mice and that  $\alpha 4\beta 2^*$  nAChRs might regulate nicotine addiction and brain reward and other behaviors [199, 231]. The  $\beta 2V287L$  mutation reduces the EC50 values

of high and low sensitivity of  $\alpha 4\beta 2$  nAChRs to acetylcholine and suppresses low-sensitivity  $\alpha 4\beta 2$  expression by cognate agonists [231]. Similar  $\beta 2$  subunit mutant effects were reported for two mutations (V287L and V287M) in the channel-lining M2 domain [199] and two mutations in the intracellular cytoplasmic loop C2 (V337G) [229].

### 6.4. $\alpha 5$ nAChR Subunit

When human (h) and mouse (m) chimeric  $\alpha 5^*$  nAChRs were jointly expressed in *Xenopus* oocytes, the mutant chimeric  $h\alpha 5/h\beta 3^{V9/S}$  nAChR allowed the agonist-activated function of  $h\alpha 6/h\beta 4^*$  or chimeric nAChR ( $m\alpha 6/h\beta 4^*$  nAChR) to be expressed [232, 233]. These chimeric  $h\alpha 6/h\alpha 3$  subunits were more sensitive to agonists and exhibited much higher functional activity when coexpressed with mutant  $h\alpha 5^{V9/S}$  or chimeric  $h\alpha 5/h\beta 3^{V9/S}$  subunits [233]. Mutations in the N-terminal domain of the  $h\alpha 6$  subunit (N143D and M145V) could enable  $h\alpha 5/h\beta 3^{V9/S}$  subunits to have gain-of-function effects on  $h\alpha 6/h\beta 2^*$  nAChRs [233, 234]. Furthermore, the  $\alpha 5$  subunit might play a crucial modulatory role in the expression of functional nAChRs, regulating their activation and desensitization kinetics [235, 236]. Inserting the  $\alpha 5$  subunit into  $\alpha 4\beta 2$ ,  $\alpha 3\beta 2$ , or  $\alpha 3\beta 4$  combined nAChRs increases the receptor's desensitization in response to nicotine [237, 238]. Interestingly, the substitution D398N (rs16969968) of  $\alpha 5^*$  nAChRs also influences the receptor's maximum response to agonist nicotine [239]. Moreover, mutations in the stretch region of the  $\alpha 5$  nAChR subunit membrane can influence the function of nAChRs [236]. An *in vitro* study found that ( $\alpha 4\beta 2$ ) $\alpha 5$  receptors containing a mutant  $\alpha 5$  subunit with the high-risk allele of rs16969968 display less response to nicotine agonist compared with those containing the low genetic-risk mutant allele [239]. Further functional studies demonstrated that nAChRs harboring amino acids encoded by a minor risk allele (N398) of rs16969968 show reduced or unaltered responses to nicotinic agonists [239-242]. Human carriers of the minor allele N398 (Asp398Asn) of rs16969968 also display decreased intrinsic resting connectivity strength in the dorsal anterior cingulate-ventral striatum/extended amygdala circuit [154] whereas an adjacent mutation (S435R) in the  $\beta 4$  subunit abolishes the specific activity of  $\alpha 3\beta 4^*$  nAChRs [184]. Additional studies showed

similar effects of mutations causing amino acid changes on the function of other nAChRs containing the  $\alpha 5$  subunit, such as  $(\alpha 4\beta 2)_2\alpha 5$  [240] and  $(\alpha 3\beta 4)_2\alpha 5$  [243].

### 6.5. $\alpha 6$ nAChR Subunit

The specific residues of N-terminal ECDs of the human  $\alpha 6$  nAChR subunit were investigated with fifteen rare variants in a site-directed mutagenesis analysis (Fig. 2) [244]. One variant in the N-terminal  $\alpha$ -helix (Asp<sup>57</sup>), two variants in the complementary face/inner  $\beta$  fold (Arg<sup>87</sup> or Asp<sup>92</sup> residues), and two variants in the principal face/outer  $\beta$  fold (Ser<sup>156</sup> or Asn<sup>171</sup> residues) are crucial for the functional expression of human  $\alpha 6^*$  nAChRs [244]. Variations at residues Ser<sup>43</sup> or Asn<sup>46</sup> (N-terminal  $\alpha$ -helix) reduce the functional activity of human  $\alpha 6\beta 2^*$  nAChRs, whereas those at residues Arg<sup>96</sup> ( $\beta 2$ - $\beta 3$  loop), Asp<sup>199</sup> (loop F), or Ser<sup>233</sup> ( $\beta 10$ -strand) increase the function activity of human  $\alpha 6\beta 2^*$  nAChRs [244]. Interestingly, mammalian  $\alpha 6^*$  nAChRs exist naturally in combination with  $\beta 2$  and  $\beta 4$  or other subunits [245, 246]. Besides well-known formations of  $\alpha 6\beta 2^*$  and  $\alpha 6\beta 4^*$  nAChRs [232, 247-249] the combinations of  $\alpha 4$ ,  $\beta 3$ , or  $\alpha 5$  nAChR subunits could yield more complex  $\alpha 6^*$  nAChRs [232, 248, 250, 251].

### 6.6. $\beta 3$ nAChR Subunit

The  $\beta 3$  or  $\alpha 5$  nAChR subunits were once classified as “accessory subunits” in dopaminergic regions. However, integration of the  $\beta 3$  nAChR subunit in the accessory positions was suggested to be a critical final step in the assembly and stability of mature  $\alpha 6\beta 3^*$  nAChRs [196, 252]. The integration of the  $\beta 3$  nAChR subunit into the mature process of nAChRs also is crucial for the formation of  $\alpha 6^*$  nAChRs,  $\alpha 5^*$  nAChRs, and other functional nAChRs [177, 240, 244, 253-258]. Particularly, h and m chimeric  $\alpha 6^*$  nAChRs containing a mutant  $\beta 3$  subunit (V273S) (or  $\beta 3^{\text{V95S}}$ ) yield highly functional nAChRs in *Xenopus* oocytes [258] whereas hybrid  $\text{ma}\alpha 6\text{m}\beta 4\text{h}\beta 3$ - (about 5-8 fold) or wild-type  $\text{ma}\alpha 6\text{m}\beta 4\text{m}\beta 3$ -nAChRs (about 2 fold) yield higher functional activity than  $\text{ma}\alpha 6\text{m}\beta 4$ -nAChRs [258]. Although agonist potency is markedly increased, the coexpression of  $\alpha 6$  and  $\beta 4$  subunits with the mutant  $\beta 3$  subunit (V273S) blocks the spontaneous channel opening with an atropine sensitivity [248]. Moreover, coexpression with wild-type  $\beta 3$  subunits abolishes the typical function observed for all h or m  $\alpha 6\beta 4^*$  nAChRs [248]. Additionally, negative effects are seen when h mutant  $\beta 3^{\text{V95S}}$  subunits are incorporated into functional  $\alpha 2\beta 2^*$ ,  $\alpha 2\beta 4^*$ ,  $\alpha 3\beta 2^*$ ,  $\alpha 3\beta 4^*$ ,  $\alpha 4\beta 2^*$ , or  $\alpha 4\beta 4^*$  nAChRs, [255] although a positive role for the  $\beta 3$  subunit in functional  $\alpha 6^*$  nAChRs has been reported in KO animals [196]. Incorporation of wild-type  $\beta 3$  subunits generally (except for  $\alpha 3^*$  nAChRs) reduces the magnitude of agonist-induced currents by 20%–50%, an effect mediated by  $\beta 2^*$  or  $\beta 4^*$  nAChRs, and modestly affects the agonist potency (less than 2 fold) and other agonist-specific effects [255]. Co-expression with mutant  $\beta 3^{\text{V95S}}$  subunits generally (except for  $\alpha 4\beta 2^*$  nAChRs) increases agonist potencies [255].

### 6.7. $\alpha 7$ nAChR Subunit

There are a few reports concerning mutations of  $\alpha 7$  nAChRs [259-261]. A single mutation (D44A) in the ECD of

the receptor can reduce the permeability of  $\alpha 7$  nAChR to calcium [259-261] whereas a mutation ( $\alpha 7_{345-348A}$ ) of the G protein-binding cluster in the M3-M4 loop of the receptor significantly attenuates the  $\alpha 7$  nAChR-induced G $\alpha_q$  calcium signaling response [259, 260]. In *Xenopus* oocytes, the expressions of 14 SNPs of  $\alpha 7$  nAChRs revealed many interesting functional activities, including six nonfunctional mutants, four mutants reducing current expression, one mutant altering the efficacy of acetylcholine and nicotine in opposite directions, and another mutant slightly reduces agonist sensitivity [150]. Interestingly, the function of most of these nonfunctional mutants can be rescued by the  $\alpha 7$  nAChR-positive allosteric modulator PNU-120596 and agonist-PAM 4BP-TQS [150]. Therefore, these mutant changes of  $\alpha 7$  nAChR properties could have an impact on  $\alpha 7$  nAChR-mediated cholinergic synaptic transmission and anti-inflammatory effects in human SNP carriers, whereas rescuing the nonfunctional mutants could provide a novel way to treat related disorders [150].

### 6.8. Other nAChR Subunits

When coexpressed with wild-type  $\alpha 3$  or  $\alpha 4$  subunits, the  $\beta 4R349C$  (R349C) subunit, a mutant nAChR subunit found in some patients, independently reduces the potency of acetylcholine/nicotine and decreases the density of whole-cell current evoked by the transmitter concentrations [262]. In contrast, none of the functional activities examined showed differences between  $\alpha 4\beta 4^*$  and  $\alpha 4R487Q\beta 4^*$  nAChRs [262]. In particular, there was no function change when  $\beta 2$  subunits were expressed alone or in the presence of wild-type or mutant  $\beta 3$  subunits [248, 263]. It was revealed that  $\beta 3$  point mutation resulted in a lower current decay rate and increased acetylcholine agonist potency of  $\alpha 6^*$  nAChRs [263]. These studies illuminated the structural bases and genetic variants for the effects of  $\beta 3$  subunits on  $\alpha 6^*$  nAChR function and also characterized those unique nAChR subunit interfaces involving the complementary rather than the primary face of  $\alpha 6$  subunits.

In brief, these studies present a novel insight into the assembly, structure, and function of functional nAChR subtypes, which could be exploited for developing new ligands to affect drug dependence (including NA). Almost all  $\beta 2$  or  $\beta 4$  subunits can form functional nAChRs with the  $\alpha 2$ ,  $\alpha 3$ , or  $\alpha 4$  subunits *in vitro*, whereas other subunits are more likely to be the additional third subunit in heteromeric nAChRs with combinations of  $\alpha$  and  $\beta$  subunits [264-266]. However,  $\alpha 4^*$ ,  $\alpha 5^*$ ,  $\alpha 6^*$ ,  $\alpha 7^*$ , and  $\beta 3^*$  nAChRs, but not  $\alpha 2^*$  nAChRs, may be most physiologically relevant. Furthermore, the rare-variant hypothesis suggests that a significant proportion of human inherited susceptibility to common chronic diseases is attributable mostly to the effects of low-frequency dominant variants of particular genes [267, 268]. Subsequently, each gene confers a moderate but readily detectable increase in the relative risk of chronic human diseases, and thus these rare variants will be relatively population specific because of the founder effects resulting from genetic drift. Additionally, the above data indicate that rare genetic variants in the nAChR subunits may increase the risk of NA and can decrease the functional responses of nAChRs.

## CONCLUSIONS AND REMARKS

To date, nAChRs and other homologous ion channels are recognized as important mediators of cellular functions and crucial drug targets for treatment of a variety of diseases. Indeed, a diversity of neuronal nAChR subtypes and subunits may provide novel targets for assisting smokers to quit permanently. In particular, the modulating mechanisms such as allosteric modulation are involved mainly in the upregulation or desensitization of the quantity or activity of nAChR subtypes or subunits. Unlike the effect of other drugs of abuse, chronic use of nicotine usually leads to increased expression or upregulation of nAChRs, a hallmark of nicotine addiction, or is a major contributor to the upregulation observed in chronic users of nicotine-containing products [68, 154, 157]. Furthermore, long-term exposure to nicotine usually leads to an activity increase, or amount upregulation, of nicotine-binding sites in the brains of smokers [153, 154] and rodents [155, 156] subjected to repeated nicotine administration. Although some nAChRs render the receptor complexes more prone to be upregulated, desensitization is a prominent mechanism that contributes to this upregulation [269]. Because desensitized receptor conformations have a higher affinity for an agonist, nAChRs will increasingly adopt desensitized conformations in response to chronic nicotine exposure [270]. Consequently, those receptors undergo transitions between different conformations in response to ligand binding and dissociation [68] whereas agonists tend to stabilize particular conformations. Notably, several neuronal nAChR subtypes offer promising targets for the treatment of disorders such as NA, Alzheimer's and Parkinson's diseases, schizophrenia, depression, and other cognitive deficits [42-54]. Eventually, the development of enhanced therapeutics and treatment promoting SC will result from the identification of particular nAChR subtypes or subunit compositions that are necessary for the expression of NA and SC symptoms.

One of the goals of drug discovery is the identification and selection of receptor ligands with high-affinity nAChR subtypes. Fortunately, several neuronal subtypes of nAChRs (e.g.,  $\alpha 6\beta 2^*$ ,  $\alpha 6\beta 4^*$ ,  $\alpha 4\beta 2^*$ ,  $\alpha 3\beta 4^*$ , and  $\alpha 7$ ) offer promising targets for the treatment of nicotine-elicited disorders. In particular,  $\alpha 7$  and  $\alpha 4\beta 2$  subtype-selective nAChR agonists and partial agonists have been developed as potential candidates for the treatment of schizophrenia and some cognitive disorders. Therefore, the selectivity of nAChR subtypes might be achieved more readily by designing ligands that bind to allosteric sites rather than to the conventional orthosteric binding sites. For instance, the low intrinsic activity of PAMs of  $\alpha 7$  nAChRs may be useful in reducing toxicity and off-target effects in comparison with agonists. Those characteristics may be advantageous because they can enable retention of the spatial and temporal pattern of signaling of endogenous acetylcholine-evoked responses [124, 271, 272]. Furthermore, exploration and analysis of the nAChR-targeted KO mice containing mutant subtypes and subunits will be crucial to understanding the unpleasant effects and specific behaviors and the underlying mechanisms of NA and SC. Moreover, there are many rare variations of nAChR subunits displaying normal activation and remarkably

changed sensitivity to nicotine. These functional variants may be used as critical genetic markers to design effective therapeutic drugs or pharmacochemical ligands. A critical step in translating these genetic findings to clinical practice is identifying the genetic factors affecting NA and withdrawal and specific receptor interaction sites of nAChRs in order to enhance current SC treatments. Although upregulation and desensitization of nAChRs are two critical aspects in understanding the physiological observation of chronic nicotine exposure and the pharmacological interaction of both orthosteric and allosteric ligands in drug design, the behavioral consequences of NA and how it relates to the syndromes of SC are largely unknown.

Cigarette smoking is highly addictive, and recent research has identified a number of robust rare genetic variants that affect nicotine addiction. Some rare nAChR variants have proved to be significantly associated with nicotine-induced risks, and there are already studies on the effects of specific rare variants on the functional expression and sensitivity to nicotine-induced receptor upregulation or desensitization of nAChR subunits and subtypes. These mutations or rare variants can be used as markers in preclinical and clinical studies. They also are great references for the design of effective agonists, competitive antagonists, or allosteric modulators for the treatment of the syndromes of NA and SC. The genetic association between these mutations and nicotine addiction differs, with many potential moderators and influencing factors for genetic risks, such as impact on nicotine addiction, ethanol-nicotine co-use and co-abuse, therapeutic medication development for SC, and relevant environmental risk factors. Clearly, additional studies are needed to provide a better understanding of the mechanisms that are involved in NA and SC, ethanol-nicotine co-use and co-abuse, and other environmental risk factors. Importantly, emerging evidence indicates that SNPs within genes encoding nAChR subunits are associated with phenotypes of NA and SC, although further systematic studies and much work are needed to understand how these SNPs modulate the effects of nicotine on nAChRs in animal models of NA and SC.

Additionally, the challenges and limitations associated with SC and the development of medications for treating NA might be unlike those for other CNS disorders, and the chances for success have been relatively low. According to previous studies on SC and NA, it is found that lack of knowledge about the harmful effects of tobacco smoking and some misconceptions on smoking hazards might contribute to the low SC rate. Another important fact is that pre-clinical and clinical studies on SC and relevant effective measures remain inadequate in the field.

## CONSENT FOR PUBLICATION

Not applicable.

## CONFLICT OF INTEREST

The authors declare no conflict of interest, financial or otherwise.

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