

Heart Failure With Preserved Ejection Fraction: An Opportunity for Reflection

Deepak K. Gupta, MD, MSCI

G linical research is not easy to conduct, and heart failure with preserved ejection fraction (HFpEF) is a difficult syndrome to study. Fortunately for patients, many physicians, scientists, and research teams are committed to investigating this complex and challenging syndrome and are driven by the facts that HFpEF is increasing in prevalence, portends an adverse prognosis with high morbidity and mortality, and lacks efficacious therapies proven in large-scale randomized trials to improve clinical outcomes. A major contributor to the difficulty in reducing the burden of HFpEF has been that the underlying pathophysiologic mechanisms are poorly understood. Thus, when favorable targets for therapeutic intervention are identified, it is with cautious optimism that researchers proceed with pilot and early-phase trials to test the safety and possible efficacy of new therapies.

Reflected Wave Magnitude

A potentially important mechanism for HFpEF is increased late systolic left ventricular afterload imparted by higher magnitude arterial wave reflections due to vascular stiffness.¹ Reflected wave magnitude is the ratio of backward to forward pressure wave amplitudes, which are typically measured by echocardiography and arterial tonometry. Animal models of hypertension induced by aortic constriction implicate increased reflected waves in the pathogenesis of left ventricular hypertrophy, a major risk factor for heart

J Am Heart Assoc. 2017;6:e005595. DOI: 10.1161/JAHA.117.005595.

failure.² Longitudinal data from the Multi-Ethnic Study of Atherosclerosis (MESA) support a strong association between the magnitude of reflected waves and the risk of incident heart failure, independent of traditional cardiovascular risk factors including blood pressure.³ Cross-sectional studies demonstrate greater arterial stiffness and wave reflections in patients with HFpEF compared with hypertensive and healthy controls.⁴ Moreover, blood pressure lowering in hypertensive patients reduces reflective wave magnitude, which is associated with regression of left ventricular hypertrophy.⁵ Together, these data suggest that reduction in reflected wave magnitude may be a promising therapeutic target among patients with HFpEF.

Targeting Reflected Wave Magnitude With Isosorbide Dinitrate and Hydralazine in HFpEF

In this issue of JAHA, Zamani et al report the results of a pilot randomized placebo-controlled double-blind clinical trial designed to test the hypothesis that isosorbide dinitrate with or without hydralazine reduces reflected wave magnitude compared with placebo among patients with HFpEF.⁶ The research team randomized 44 patients (13 to isosorbide dinitrate, 15 to isosorbide dinitrate plus hydralazine, and 16 to placebo). The active treatments were poorly tolerated with ~60% of subjects experiencing side effects such as headache or orthostatic hypotension, such that only 21 subjects (7, 5, and 9 in each of the 3 arms) completed the study to provide data for the primary endpoint of 6-month change in reflected wave magnitude. A number of secondary endpoints were also assessed, including 6-month changes in cardiac MRI-assessed left ventricular mass and extracellular volume, 6-minute walk test distance, diastolic function, N-terminal pro-b type natriuretic peptide (NT-proBNP), and guality-of-life score. With the small sample size and substantial rate of subjects not completing the study, the authors performed within-group analyses. Reflected wave magnitude did not meaningfully change from baseline to 6 months in any of the 3 groups. Left ventricular mass, extracellular volume, diastolic function, NTproBNP, and quality-of-life scores did not substantially change in any of the groups. The 6-minute walk test distance declined in the isosorbide plus hydralazine group, but was unchanged

The opinions expressed in this article are not necessarily those of the editors or of the American Heart Association.

From the Division of Cardiovascular Medicine, Vanderbilt Translational and Clinical Cardiovascular Research Center, Vanderbilt University School of Medicine, Nashville, TN.

Correspondence to: Deepak K. Gupta, MD, MSCI, Division of Cardiovascular Medicine, Vanderbilt Translational and Clinical Cardiovascular Research Center, Vanderbilt University School of Medicine, 2525 West End Ave, Suite 300, Nashville, TN 37203. E-mail: d.gupta@vanderbilt.edu

^{© 2017} The Authors. Published on behalf of the American Heart Association, Inc., by Wiley Blackwell. This is an open access article under the terms of the Creative Commons Attribution-NonCommercial License, which permits use, distribution and reproduction in any medium, provided the original work is properly cited and is not used for commercial purposes.

in the other 2. The interpretation of these results is challenging given the sample size and rate of attrition. However, this study does provide an opportunity for reflection regarding clinical research in HFpEF, including the utility of pilot studies, choice of endpoints, being patient centered, and aligning patients with appropriate therapies.

Utility of Pilot Data

Rather than provide formal evidence of benefit, the goals of pilot studies are often to provide estimates of the range of possible responses and to garner sufficient evidence that a larger definitive trial be undertaken.⁷ In this regard, in the isosorbide dinitrate group Zamani et al observed an average reduction in reflective wave magnitude of 0.02 with a standard deviation of 0.09.6 The corresponding 95% confidence interval indicates that isosorbide dinitrate use could be associated with anywhere between a 0.09 reduction to a 0.05 increase in reflective wave magnitude in HFpEF patients over a 6-month follow-up. Similarly, the results for the isosorbide dinitrate plus hydralazine group also spanned a range indicating potential improvement or worsening in reflective wave magnitude. These data do not support moving forward with a larger clinical trial of these medications for the purpose of reducing reflective wave magnitude among HFpEF patients. Nevertheless, valuable information is provided by the placebo group, which provides an estimate of the natural variation in reflected wave magnitude among HFpEF patients over a 6month period. These placebo group data could be used for power and sample size calculations for future studies in HFpEF that seek to utilize reflected wave magnitude as a primary endpoint.

Endpoints in HFpEF

The study by Zamani et al does raise the question of whether reflective wave magnitude is an appropriate primary endpoint for future clinical trials in HFpEF. Surrogate endpoints should reliably predict clinically relevant patient-centered outcomes. Prior literature suggests that reflected wave magnitude may be inversely associated with exercise tolerance among patients with HFpEF.⁸ However, exercise capacity is readily and directly obtained by 6-minute walk test distance, exercise treadmill test, or cardiopulmonary exercise testing compared with measuring and calculating reflective wave magnitude. In addition, patients would much rather feel improvements in exercise capacity or quality of life than know that their reflected wave magnitude declined, particularly in the absence of robust data that reflected wave magnitude is associated with hard outcomes among HFpEF patients, such as heart failure hospitalizations or death. Therefore, reflective

wave magnitude may be better suited as a secondary mechanistic endpoint rather than as a primary endpoint, at least for now. In this light the secondary endpoint finding that 6-minute walk test distance substantially declined among patients randomized to isosorbide dinitrate plus hydralazine is informative and of potential clinical relevance.⁶

Patient Centered: First Do No Harm

HFpEF patients are quite symptomatic such that interventions that cause non-life-threatening, but limiting, side effects are often not acceptable to many patients. Indeed, in this study by Zamani et al, half of the patients who dropped out after randomization did so due to side effects, and ~60% overall reported some side effect or adverse event while on active therapy.⁶ In addition, HFpEF patients often have impaired cardiac reserve, such that a decline in exercise capacity is guite pronounced.⁹ For example, the average 6-minute walk test distance in this study was around 350 m, which is well below even the lowest 10th percentile (~450-475 m) for healthy men and women aged 60 to 69 years old.¹⁰ A decline in 6-minute walk test distance of 66 m (~20%) in the isosorbide dinitrate plus hydralazine group is substantial, such that the average distance walked after 6 months of therapy in this group was around 250 m, consistent with New York Heart Association class III to IV limitation.¹⁰ It is not clear whether this decline was unique to hydralazine because findings from the Nitrate's Effect on Activity Tolerance in Heart Failure with Preserved Ejection Fraction (NEAT-HFpEF) Trial in which HFpEF patients received isosorbide mononitrate for 6 weeks also showed a decline in physical activity measured by accelerometer but not by 6-minute walk test.¹¹ In any case, the poor tolerance of isosorbide dinitrate with or without hydralazine and the potential worsening of exercise capacity in the hydralazineadded group provide a signal, even from this small pilot study, and an important reminder to clinicians to first do no harm.

Aligning HFpEF Patients With Appropriate Therapies

Aligning individual patients with appropriate therapies that maximize benefit and minimize harm is a fundamental goal of all clinicians. Zamani et al enrolled mostly black patients who had previously been shown to have a relative deficiency in the nitric oxide pathway and more vascular dysfunction.¹² Thus, it was reasonable to expect that this group may benefit the most from isosorbide dinitrate with or without hydralazine. This approach was successful in the African-American Heart Failure Trial (A-HeFT) in which 1050 black patients with heart failure and reduced ejection fraction were randomized to combination isosorbide dinitrate plus hydralazine or

placebo.¹³ In that trial, combination therapy significantly improved quality of life while reducing the risk of heart failure hospitalization or death.¹³ Although we cannot draw definitive conclusions regarding the efficacy of isosorbide dinitrate with or without hydralazine for HFpEF patients from Zamani's study, it is intriguing that yet another therapy with demonstrated benefit in heart failure with reduced ejection fraction may not work as well in HFpEF, as has been seen with angiotensin receptor blockers, ACE inhibitors, β -blockers, and to some extent aldosterone antagonists.¹⁴⁻¹⁸ This highlights the pressing need for more research in HFpEF, to understand the heterogeneous phenotypes, elucidate mechanisms, identify targets for intervention, and develop novel therapies that improve clinically important outcomes without making patients feel worse.

The study by Zamani et al provides an opportunity for reflection regarding clinical research in HFpEF, including the utility of pilot studies, choice of endpoints, being patient centered, and aligning patients with appropriate therapies. Their work also reflects a deep commitment to HFpEF patients to understand this complex syndrome and attempt to find therapies that will reduce morbidity and mortality. For those of us who care for and study HFpEF patients, we must continue to confront this challenge together if we hope to reduce the magnitude of the HFpEF problem.

Disclosures

None.

References

- Chirinos JA, Segers P, Gillebert TC, Gupta AK, De Buyzere ML, De Bacquer D, St John-Sutton M, Rietzschel ER; Asklepios Investigators. Arterial properties as determinants of time-varying myocardial stress in humans. *Hypertension*. 2012;60:64–70.
- Kobayashi S, Yano M, Kohno M, Obayashi M, Hisamatsu Y, Ryoke T, Ohkusa T, Yamakawa K, Matsuzaki M. Influence of aortic impedance on the development of pressure-overload left ventricular hypertrophy in rats. *Circulation*. 1996;94:3362–3368.
- Chirinos JA, Kips JG, Jacobs DR Jr, Brumback L, Duprez DA, Kronmal R, Bluemke DA, Townsend RR, Vermeersch S, Segers P. Arterial wave reflections and incident cardiovascular events and heart failure: MESA (Multiethnic Study of Atherosclerosis). J Am Coll Cardiol. 2012;60:2170–2177.
- Desai AS, Mitchell GF, Fang JC, Creager MA. Central aortic stiffness is increased in patients with heart failure and preserved ejection fraction. J Card Fail. 2009;15:658–664.
- Hashimoto J, Westerhof BE, Westerhof N, Imai Y, O'Rourke MF. Different role of wave reflection magnitude and timing on left ventricular mass

reduction during antihypertensive treatment. J Hypertens. 2008;26:1017-1024.

- 6. Zamani P, Akers S, Soto-Calderon H, Beraun M, Koppula MR, Varakantam S, Rawat D, Shiva-Kumar P, Haines PG, Chittams J, Townsend RR, Witschey WR, Segers P, Chirinos JA. Isosorbide dinitrate, with or without hydralazine, does not reduce wave reflections, left ventricular hypertrophy, or myocardial fibrosis in patients with heart failure with preserved ejection fraction. J Am Heart Assoc. 2017;6:e004262. DOI: 10.1161/JAHA.116.004262.
- Lee EC, Whitehead AL, Jacques RM, Julious SA. The statistical interpretation of pilot trials: should significance thresholds be reconsidered? *BMC Med Res Methodol.* 2014;14:41.
- Kitzman DW, Herrington DM, Brubaker PH, Moore JB, Eggebeen J, Haykowsky MJ. Carotid arterial stiffness and its relationship to exercise intolerance in older patients with heart failure and preserved ejection fraction. *Hypertension*. 2013;61:112–119.
- Borlaug BA, Olson TP, Lam CS, Flood KS, Lerman A, Johnson BD, Redfield MM. Global cardiovascular reserve dysfunction in heart failure with preserved ejection fraction. J Am Coll Cardiol. 2010;56:845–854.
- Casanova C, Celli BR, Barria P, Casas A, Cote C, de Torres JP, Jardim J, Lopez MV, Marin JM, Montes de Oca M, Pinto-Plata V, Aguirre-Jaime A; Six Minute Walk Distance Project. The 6-min walk distance in healthy subjects: reference standards from seven countries. *Eur Respir J*. 2011;37:150–156.
- 11. Redfield MM, Anstrom KJ, Levine JA, Koepp GA, Borlaug BA, Chen HH, LeWinter MM, Joseph SM, Shah SJ, Semigran MJ, Felker GM, Cole RT, Reeves GR, Tedford RJ, Tang WH, McNulty SE, Velazquez EJ, Shah MR, Braunwald E; NHLBI Heart Failure Clinical Research Network. Isosorbide mononitrate in heart failure with preserved ejection fraction. *N Engl J Med*. 2015;373:2314– 2324.
- Cardillo C, Kilcoyne CM, Cannon RO III, Panza JA. Attenuation of cyclic nucleotide-mediated smooth muscle relaxation in blacks as a cause of racial differences in vasodilator function. *Circulation*. 1999;99:90–95.
- Taylor AL, Ziesche S, Yancy C, Carson P, D'Agostino R Jr, Ferdinand K, Taylor M, Adams K, Sabolinski M, Worcel M, Cohn JN; African-American Heart Failure Trial Investigators. Combination of isosorbide dinitrate and hydralazine in blacks with heart failure. N Engl J Med. 2004;351:2049–2057.
- Yusuf S, Pfeffer MA, Swedberg K, Granger CB, Held P, McMurray JJ, Michelson EL, Olofsson B, Ostergren J; CHARM Investigators and Committees. Effects of candesartan in patients with chronic heart failure and preserved leftventricular ejection fraction: the CHARM-Preserved Trial. *Lancet*. 2003;362:777–781.
- Massie BM, Carson PE, McMurray JJ, Komajda M, McKelvie R, Zile MR, Anderson S, Donovan M, Iverson E, Staiger C, Ptaszynska A; I-PRESERVE Investigators. Irbesartan in patients with heart failure and preserved ejection fraction. N Engl J Med. 2008;359:2456–2467.
- Cleland JG, Tendera M, Adamus J, Freemantle N, Polonski L, Taylor J; PEP-CHF Investigators. The perindopril in elderly people with chronic heart failure (PEP-CHF) study. *Eur Heart J*. 2006;27:2338–2345.
- 17. van Veldhuisen DJ, Cohen-Solal A, Bohm M, Anker SD, Babalis D, Roughton M, Coats AJ, Poole-Wilson PA, Flather MD; SENIORS Investigators. Beta-blockade with nebivolol in elderly heart failure patients with impaired and preserved left ventricular ejection fraction: data from SENIORS (study of effects of nebivolol intervention on outcomes and rehospitalization in seniors with heart failure). J Am Coll Cardiol. 2009;53:2150–2158.
- Pitt B, Pfeffer MA, Assmann SF, Boineau R, Anand IS, Claggett B, Clausell N, Desai AS, Diaz R, Fleg JL, Gordeev I, Harty B, Heitner JF, Kenwood CT, Lewis EF, O'Meara E, Probstfield JL, Shaburishvili T, Shah SJ, Solomon SD, Sweitzer NK, Yang S, McKinlay SM; TOPCAT Investigators. Spironolactone for heart failure with preserved ejection fraction. N Engl J Med. 2014;370:1383–1392.

Key Words: Editorials • arterial stiffness • exercise capacity • heart failure