



## REVIEW ARTICLE

# Discovery research and development history of the dopamine D<sub>2</sub> receptor partial agonists, aripiprazole and brexpiprazole

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## Abstract

Otsuka Pharmaceutical Co., Ltd. successfully developed the first dopamine D<sub>2</sub> receptor partial agonist approved for schizophrenia, the antipsychotic aripiprazole (Abilify<sup>®</sup>). The drug was approved for this indication in the United States in 2002 and has received approval in the United States, Europe, Japan, and many other countries for several indications including schizophrenia, acute mania, adjunctive treatment of major depressive disorder (MDD), irritability associated with autistic disorder, and Tourette's disorder. Otsuka next developed brexpiprazole (Rexulti<sup>®</sup>), another D<sub>2</sub> receptor partial agonist, which was granted marketing approval in the United States in 2015 as adjunctive therapy in major depressive disorder and for the treatment of schizophrenia. In Japan, brexpiprazole also received approval as a treatment for schizophrenia in 2018. In this review, we describe Otsuka's research history and achievements over the preceding 40 years in the area of antipsychotic drug discovery for dopamine D<sub>2</sub> receptor partial agonists.

## KEYWORDS

aripiprazole, brexpiprazole, dopamine D<sub>2</sub> receptor partial agonist, dopamine-system stabilizer, serotonin-dopamine activity modulator

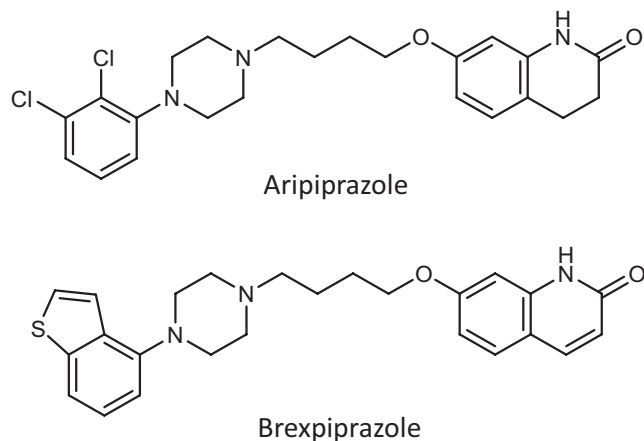
## 1 | INTRODUCTION

Aripiprazole (Abilify<sup>®</sup>, Figure 1) is an antipsychotic agent that contains a carbostyryl skeleton and acts as a partial agonist at dopamine D<sub>2</sub> receptors.<sup>1-4</sup> At the time of its discovery, both its chemical structure and mechanism of action were novel and markedly different from those of existing conventional antipsychotics.<sup>3,4</sup> Aripiprazole was developed by Otsuka Pharmaceutical Co., Ltd. (hereinafter referred to as "Otsuka") in collaboration with Bristol Myers Squibb and approved by the FDA in 2002 for the indication of schizophrenia. The drug was approved for the same indication in Europe in 2004, in Japan in 2006, and in more than 65 countries worldwide. Unlike

conventional antipsychotics (dopamine D<sub>2</sub> receptor antagonists), aripiprazole is characterized by its stabilizing effect on dopamine neurotransmission and is thus described as a dopamine-system stabilizer (DSS).<sup>5-7</sup> A new formulation of aripiprazole, Abilify Maintena<sup>®</sup>, is a prolonged release aqueous suspension for IM injection that can exert a therapeutic effect for 4 weeks after a single dose. It was approved for the treatment of schizophrenia in the United States in 2013, in Europe in 2013 and in Japan in 2015. Altogether, it has been approved in more than 50 countries.<sup>8,9</sup> More recently, Abilify Maintena<sup>®</sup> was also approved for the indication of maintenance treatment of bipolar I disorder in adults. Aripiprazole has not only D<sub>2</sub> receptor partial agonist activity but also has 5-HT<sub>1A</sub> receptor

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**FIGURE 1** Chemical structures of aripiprazole and brexpiprazole

partial agonist and 5-HT<sub>2A</sub> receptor antagonist activities. However, the affinity of aripiprazole for D<sub>2</sub> receptors is one order higher than the affinities for 5-HT<sub>1A</sub> and 5-HT<sub>2A</sub> receptors. Thus, aripiprazole is considered to act as a DSS at clinical doses.

In 1999, Otsuka continued its drug discovery research efforts to find the next generation antipsychotic that combined the favorable characteristics of serotonin-dopamine antagonists (SDAs), as epitomized by risperidone, and those of aripiprazole as a DSS. These efforts succeeded and led to the discovery and development of the novel antipsychotic brexpiprazole (Rexulti<sup>®</sup>, Figure 1). Brexpiprazole, which was developed with Lundbeck, was approved in the United States in 2015 both as adjunctive therapy in major depressive disorder (MDD) and as a treatment for schizophrenia. It was also approved for the indication of schizophrenia in Canada and Australia in 2017, in Japan in 2018, and in Europe in 2018. Recently, it was approved in Canada (2019) as adjunctive treatment in MDD. Brexpiprazole is an antipsychotic that belongs to a new category of drugs and is classified as a serotonin-dopamine activity modulator (SDAM), which has almost equally high affinities for 5-HT<sub>1A</sub>, 5-HT<sub>2A</sub>, and D<sub>2</sub> receptors and functions as a potent partial agonist at serotonin 5-HT<sub>1A</sub> receptors, a potent antagonist at 5-HT<sub>2A</sub> receptors, and a potent partial agonist at dopamine D<sub>2</sub> receptors with lower intrinsic activity compared to aripiprazole.<sup>10,11</sup>

In this review, we describe the research history and achievements of our CNS drug discovery division in the field of antipsychotic drugs.

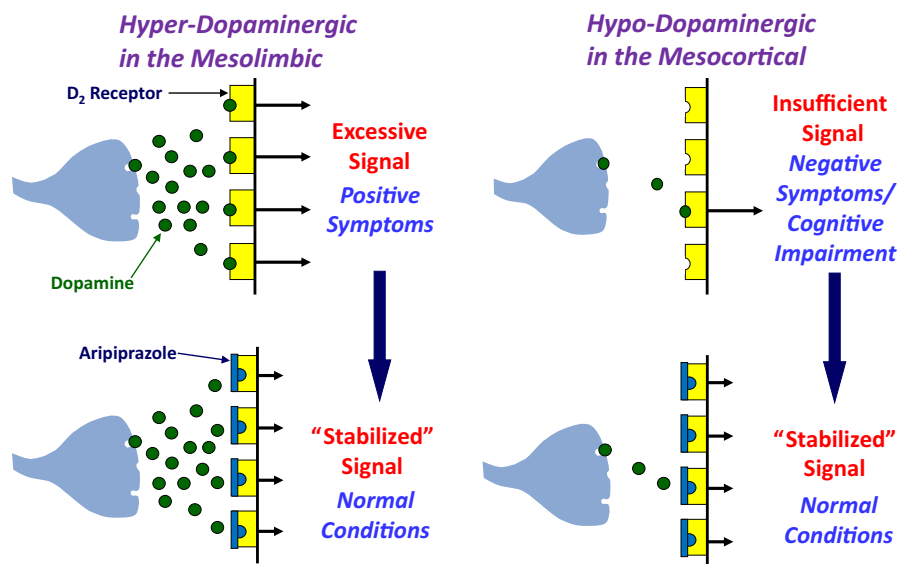
## 2 | ARIPIPRAZOLE

### 2.1 | History of research and development

In the 1950s, chlorpromazine and haloperidol entered the market as antipsychotic drugs. These drugs were commonly referred to as typical antipsychotics or first-generation antipsychotics; they possessed dopamine D<sub>2</sub> receptor antagonist activity and were effective in treating the positive symptoms (eg, hallucinations and delusions)

of schizophrenia. However, they had a number of drawbacks. Most notably, they were only minimally effective in the treatment of negative symptoms (eg, blunted affect, emotional withdrawal, and reduced motor activity) of schizophrenia, they induced extrapyramidal symptoms including akathisia, dystonia, parkinsonian syndrome, and tardive dyskinesia, and they elevated blood prolactin levels. In the 1980s, research on developing drugs that worked on both dopaminergic and serotonergic neurons was initiated. In 1990, clozapine was launched in the United States. Clozapine was in fact an old drug and although it was highly efficacious, it caused agranulocytosis and convulsions, significantly limiting its use. This was followed by the release of the first serotonin-dopamine antagonist (SDA), risperidone, introducing the new class of atypical antipsychotics. Subsequently, other SDAs were approved, including olanzapine, quetiapine, and ziprasidone. These atypical antipsychotics are often referred to as second-generation antipsychotics. Unlike their typical antipsychotic counterparts, atypical antipsychotics are less likely to induce extrapyramidal symptoms. However, each drug is different and each has its own shortcomings (ie, hyperprolactinemia with risperidone; weight gain, dyslipidemia, and sedation with olanzapine and quetiapine; and cardiac QTc prolongation with ziprasidone). Given these considerations, there existed an unmet medical need for new antipsychotics with better tolerability and an improved safety profile.<sup>12,13</sup>

In 1972, Arvid Carlsson, who was awarded the 2000 Nobel Prize in Physiology or Medicine, along with his colleagues, hypothesized that receptors (autoreceptors) which negatively regulate dopamine synthesis/release and firing rate of dopamine neurons exist in the presynaptic region of dopaminergic neurons.<sup>14</sup> The existence of dopamine autoreceptors was subsequently proven. Based on the dopamine hyperactivity theory for schizophrenia, Otsuka began to work on agonists at presynaptic dopamine autoreceptors and eventually discovered OPC-4392, a dopamine autoreceptor agonist, in 1980 on the basis of a hypothesis that a selective dopamine autoreceptor agonist would act as an antipsychotic and have fewer extrapyramidal symptoms.<sup>15</sup> While clinical trials conducted in Japan and Europe demonstrated that OPC-4392 exhibited fewer extrapyramidal symptoms, as expected, and was effective in reducing negative symptoms, its effect on positive symptoms was inadequate. Otsuka then decided to discontinue the development of OPC-4392 due to its lack of therapeutic effects on positive symptoms. The clinical results of OPC-4392 prompted Otsuka to generate a working hypothesis that a compound that combines dopamine autoreceptor agonism, a property possessed by OPC-4392, and potent postsynaptic dopamine D<sub>2</sub> receptor antagonism, a property not possessed by OPC-4392, may be effective in the treatment of both negative and positive symptoms of schizophrenia and less likely to induce extrapyramidal symptoms. Based on this hypothesis, in 1987, Otsuka finally discovered aripiprazole through *in vivo/ex vivo* screening using animal models. At the time, there were no reports available on a screening method capable of simultaneously detecting both presynaptic and postsynaptic pharmacological activities. Otsuka then decided to search for a new target compound by utilizing a range of conventional screening methods. Specifically, Otsuka studied compounds



**FIGURE 2** “Dopamine-system stabilizer (DSS)” activity of aripiprazole

for their anti-apomorphine activity (ie, postsynaptic dopamine  $D_2$  receptor antagonist activity) in mice and identified ones that were as equivalent as possible to haloperidol in terms of potency. Next, using as an index the inhibitory effect on a gamma-butyrolactone- or reserpine-induced increase in dopamine biosynthesis in the mouse forebrain, Otsuka selected compounds with dopamine autoreceptor agonist activity and potency equal to or greater than that of OPC-4392. Other selection criteria included a weak  $\alpha_1$  adrenergic receptor antagonist effect, which is related to cardiovascular side effects, and a low potential to induce catalepsy, which is predictive of extrapyramidal symptoms. These drug discovery efforts resulted in the synthesis of aripiprazole. Aripiprazole is pharmacologically characterized by its ability to act both as a presynaptic dopamine autoreceptor agonist and as a postsynaptic dopamine  $D_2$  receptor antagonist at almost the same dose, a characteristic not shared by conventional antipsychotics at the time.<sup>3,4</sup>

## 2.2 | Dopamine $D_2$ receptor partial agonist activity

Analysis of the mechanism of aripiprazole's presynaptic dopamine autoreceptor agonist activity and postsynaptic dopamine  $D_2$  receptor antagonist activity revealed that aripiprazole was a dopamine  $D_2$  receptor partial agonist with a relatively low level of intrinsic activity.<sup>1</sup> In the presence of dopamine, aripiprazole decreased dopamine  $D_2$  receptor-mediated transmission but did not result in full blockade. In the absence of dopamine, aripiprazole produced small increases in dopamine  $D_2$  receptor-mediated transmission consistent with its intrinsic activity. Studies also demonstrated that aripiprazole exerted greater agonist activity at presynaptic dopamine  $D_2$  autoreceptors than at postsynaptic dopamine  $D_2$  receptors on the basis that the receptor reserve of presynaptic dopamine  $D_2$  autoreceptors was greater than that of postsynaptic dopamine  $D_2$  receptors.<sup>1</sup>

The concept of receptor partial agonism is not new. Rather, it is one that has long been used to explain receptor-mediated

responses. In short, a  $D_2$  receptor partial agonist is defined as a substance with affinity for  $D_2$  receptors, but one that possesses less intrinsic activity than that of the endogenous full agonist dopamine (ie, a substance that binds to a  $D_2$  receptor and produces the same qualitative response as dopamine; however, while this response is smaller than that produced by dopamine, it is not 0.). A  $D_2$  receptor partial agonist can reduce dopamine  $D_2$  receptor neurotransmission to the level of its own agonist activity (intrinsic activity), and this property was demonstrated for aripiprazole. In contrast, it can increase neurotransmission mediated by the  $D_2$  receptors to the level of its intrinsic activity by itself, and this property also was demonstrated for aripiprazole. Unlike conventional antipsychotics (ie,  $D_2$  receptor antagonists), aripiprazole acts as a DSS; it stabilizes the  $D_2$  receptor-mediated neurotransmission to the level of its own intrinsic activity.<sup>1,2,5</sup> The mechanism of  $D_2$  receptor partial agonist action on dopaminergic neurotransmission is thus quite different from that of  $D_2$  receptor antagonists. The dopamine hyperactivity hypothesis of schizophrenia has identified dopamine as the culprit, but this substance is an important neurotransmitter closely linked to normal mental, motor, and hormonal regulation. It is no surprise that conventional antipsychotics (ie,  $D_2$  receptor antagonists) are riddled with side effects, as they completely block  $D_2$  receptor-mediated physiological response to dopamine at higher-than-expected blood drug levels resulting from dose increase or due to an individual difference in drug absorption and metabolism. Therefore, conventional antipsychotics, which completely block the  $D_2$  receptor-mediated physiological response to dopamine, may not be the optimal option for treating this condition. As a DSS, aripiprazole should possess a clinically preferable mechanism of action. For patients with schizophrenia, the onset of symptoms appears to be associated with increased activity of mesolimbic dopaminergic neurons (ie, onset of positive symptoms) as well as decreased mesocortical activity (ie, onset of negative symptoms and cognitive impairment)<sup>16</sup> and aripiprazole can work as a functional antagonist and as a functional agonist in areas of overactivity and underactivity, respectively<sup>5,17</sup> (Figure 2).

## 2.3 | Clinical benefits based on dopamine D<sub>2</sub> receptor partial agonist activity

This section summarizes how the D<sub>2</sub> receptor partial agonist properties of aripiprazole are associated with its clinical utility. Conventional antipsychotics exert their therapeutic effects by blocking dopamine D<sub>2</sub> receptors in the brain; however, they also cause side effects due to their potent antagonist action. These side effects include (a) extrapyramidal symptoms due to excessive blockade of nigrostriatal dopamine D<sub>2</sub> receptors,<sup>16</sup> (b) depression and dysphoria due to excessive blockade of accumbal dopamine D<sub>2</sub> receptors,<sup>18</sup> and (c) lactation, gynecomastia, menstrual abnormality, and sexual dysfunction associated with hyperprolactinemia due to excessive blockade of anterior pituitary dopamine D<sub>2</sub> receptors.<sup>16,19</sup> These problems may contribute to poor tolerability and low drug adherence.<sup>12</sup> Aripiprazole causes extrapyramidal symptoms and hyperprolactinemia less frequently than conventional antipsychotics (ie, D<sub>2</sub> receptor antagonists)<sup>6,7</sup> which may contribute to the perceived improvement in drug adherence. In fact, while it has often been observed that patients treated with antipsychotics associated with higher occupancy of brain dopamine D<sub>2</sub>/D<sub>3</sub> receptors have lower levels of subjective well-being, patients who switched their medication from risperidone or olanzapine to aripiprazole tablets (10-30 mg/d) reported that their subjective sense of well-being improved.<sup>20</sup> This was despite aripiprazole's high occupancy of brain dopamine D<sub>2</sub>/D<sub>3</sub> receptors (82%-92%) and this benefit lasted over a 6-month treatment period with aripiprazole tablets.<sup>20</sup> In a 28-week randomized open-label trial to directly compare aripiprazole prolonged release injectable suspension (400 mg/4 weeks) and paliperidone palmitate, aripiprazole prolonged release injectable suspension was associated with a greater improvement in total scores for both subjective well-being and the quality of life scale at Week 28 from baseline.<sup>21</sup> Aripiprazole's intrinsic activity, which does not cause excessive blockade of dopamine D<sub>2</sub> receptor-mediated signaling, may explain its favorable tolerability and safety profile as mentioned above.

Dopamine supersensitive psychosis (DSP) that occurs after chronic use of high-dose antipsychotics is considered to result from a compensatory increase in dopamine D<sub>2</sub> receptors (ie, up-regulation) in response to excessive blockade of dopamine D<sub>2</sub> receptors.<sup>22,23</sup> Since aripiprazole has an intrinsic activity and it does not cause excessive blockade of dopamine D<sub>2</sub> receptors, it is unlikely to increase dopamine D<sub>2</sub> receptors, suggesting that the risk of inducing DSP is low. Reports from animal experiments show that aripiprazole does not increase the number of dopamine D<sub>2</sub> receptors<sup>24</sup> and therefore is less likely to enhance dopamine sensitivity. Interestingly, enhanced dopamine sensitivity induced by chronic treatment with haloperidol was reversed in rats after chronic treatment with aripiprazole.<sup>24</sup>

Considering that blood concentrations of antipsychotics vary substantially among different individuals, a reasonable approach is to determine a dose range that accommodates individual variability.

The aripiprazole tablet label specifies the range of effective doses (6-30 mg/d in Japan, 10-30 mg/d in the United States) to be used. The incidence of adverse events in schizophrenia, except somnolence, in patients receiving aripiprazole tablets did not increase dose-dependently within the effective dose range, showing the drug's favorable tolerability and safety profile.<sup>25</sup> While conventional antipsychotics (ie, D<sub>2</sub> receptor antagonists) usually have dose-dependent side effects due to blockade of dopamine D<sub>2</sub> receptors, aripiprazole may minimize such problems, probably because it does not cause excessive blockade of dopamine D<sub>2</sub> receptor-mediated signaling due to its intrinsic activity. In addition, aripiprazole also has relatively low affinities, compared to D<sub>2</sub> receptors, for other receptor families (eg, adrenergic  $\alpha_1$  receptors, histamine H<sub>1</sub> receptors, and muscarinic M<sub>1</sub> receptors) which may further contribute to reducing the incidence or severity of some side effects (eg, orthostatic hypotension, oversedation, weight gain, and anticholinergic side effects).<sup>26</sup>

## 3 | BREXPIPRAZOLE

### 3.1 | History of research and development

In 1999, Otsuka's CNS drug discovery division, in conjunction with their clinical development colleagues in the United States and Japan, initiated an effort to determine what effects of aripiprazole could be improved in a second-generation molecule. Although aripiprazole demonstrated a favorable tolerability and safety profile compared to other atypical antipsychotics such as risperidone, olanzapine and quetiapine, we were informed that in clinical practice aripiprazole was associated with higher incidences of adverse events such as akathisia, restlessness, and insomnia. By reviewing the clinical data and the feedback Otsuka received from practicing psychiatrists, a hypothesis emerged within Otsuka that adding a more potent effect on the serotonin system, a feature of SDAs, to a DSS could result in the development of an antipsychotic with a superior safety profile including reduced risks of the kinds of side effects (eg, akathisia, restlessness, and insomnia) that were frequently reported in the early period of aripiprazole therapy. Our drug discovery efforts focused on two points. First, it was postulated that increasing the potency of antagonism at serotonin 5-HT<sub>2A</sub> receptors could reduce insomnia and other sleep disturbances, based on reports that serotonin 5-HT<sub>2A</sub> receptor antagonists are able to ameliorate insomnia by enhancing slow-wave sleep during the night.<sup>27,28</sup> Thus, we hypothesized that more potent antagonism at serotonin 5-HT<sub>2A</sub> receptors may ameliorate insomnia induced by aripiprazole in clinical practice. In addition, it was hypothesized within Otsuka that the potent 5-HT<sub>2A</sub> receptor antagonist activity might ameliorate aripiprazole-induced akathisia, especially in adjunctive treatment of MDD.<sup>29,30</sup> Moreover, it was thought that increasing the agonist potency at serotonin 5-HT<sub>1A</sub> receptors might further improve the symptoms of depression and anxiety. At that time, tandospirone, a



selective 5-HT<sub>1A</sub> receptor partial agonist, was approved in Japan for the indication of depressed mood in neurotic patients (ie, dysthymia, DSM-5; persistent depressive disorder) and a cluster analysis of the Hamilton Anxiety Scale in the latter study demonstrated the superiority of tandospirone over diazepam in the treatment of depressed mood.<sup>31</sup> In addition, it was reported that adjunctive treatment with tandospirone improved memory function in patients with schizophrenia who were receiving ongoing treatment with typical antipsychotics.<sup>32</sup> Second, it was postulated that the observation of akathisia, insomnia and restlessness with aripiprazole could be ameliorated by reducing the intrinsic activity at dopamine D<sub>2</sub> receptors. This hypothesis was supported by a report on patients with schizophrenia in whom akathisia, irritability, and excitement were observed when receiving L-DOPA treatment and which disappeared with a reduction in the L-DOPA dose or increase in the dose of antipsychotics.<sup>33</sup> With this in mind, research was initiated to uncover a new partial agonist. The results of the research based on both of these hypotheses led to the discovery of brexpiprazole.

Unlike aripiprazole, brexpiprazole has almost equally high affinities for 5-HT<sub>1A</sub>, 5-HT<sub>2A</sub>, and D<sub>2</sub> receptors and exhibits potent partial agonist activity at 5-HT<sub>1A</sub> receptors, potent antagonist activity at 5-HT<sub>2A</sub> receptors, and potent partial agonist activity at D<sub>2</sub> receptors with a reduced level of intrinsic activity. Based on this profile, brexpiprazole has been classified as an SDAM, a novel class of antipsychotics.<sup>10,11</sup>

## 3.2 | Pharmacology of brexpiprazole

### 3.2.1 | Receptor binding affinity

Table 1 shows the binding affinities of brexpiprazole and other antipsychotics for human receptors *in vitro*.<sup>10,34,35</sup> Brexpiprazole is characterized by high affinity for 5-HT<sub>1A</sub> and 5-HT<sub>2A</sub> receptors ( $K_i = 0.12$  and  $0.47$  nmol/L, respectively) as well as for D<sub>2L</sub> receptors ( $0.30$  nmol/L). The affinity of brexpiprazole for D<sub>2L</sub> receptors is comparable to that of aripiprazole ( $0.34$  nmol/L), and the affinities of brexpiprazole for 5-HT<sub>1A</sub> and 5-HT<sub>2A</sub> receptors are approximately 10-fold

higher than those of aripiprazole ( $1.7$  and  $3.4$  nmol/L, respectively). Unlike aripiprazole, brexpiprazole has almost equally high affinities for not only D<sub>2L</sub> receptors but also 5-HT<sub>1A</sub> and 5-HT<sub>2A</sub> receptors. In addition, brexpiprazole shows moderate affinities for histamine H<sub>1</sub> receptors ( $19$  nmol/L) and adrenaline  $\alpha_{1A}$  receptors ( $3.8$  nmol/L).<sup>10</sup> Although not shown in Table 1, brexpiprazole has higher affinity for  $\alpha_{1B}$  ( $K_i = 0.17$  nmol/L) and  $\alpha_{2C}$  ( $K_i = 0.59$  nmol/L) receptors<sup>10</sup> than aripiprazole ( $\alpha_{1B}$ :  $K_i = 35$  nmol/L,  $\alpha_{2C}$ :  $K_i = 38$  nmol/L).<sup>26</sup>

### 3.2.2 | Partial agonism at 5-HT<sub>1A</sub> receptors

The functional effects of brexpiprazole were investigated using guanosine 5'-O-(3-[<sup>35</sup>S]thio)-triphosphate ([<sup>35</sup>S] GTP $\gamma$ S) binding to recombinant human 5-HT<sub>1A</sub> receptor-expressing cells. Brexpiprazole partially increased GTP $\gamma$ S binding ( $EC_{50} = 0.49$  nmol/L,  $E_{max} = 60\%$ ) and was thus shown to be a 5-HT<sub>1A</sub> receptor partial agonist with higher potency and slightly lower intrinsic activity than aripiprazole ( $EC_{50} = 2.1$  nmol/L,  $E_{max} = 73\%$ ). The potency of brexpiprazole is also higher than serotonin ( $EC_{50} = 5.1$  nmol/L). The antianxiety drug buspirone also showed 5-HT<sub>1A</sub> receptor partial agonist activity ( $EC_{50} = 24$  nmol/L,  $E_{max} = 78\%$ ). The potency and intrinsic activity of brexpiprazole are much higher and slightly lower than buspirone, respectively.<sup>10</sup>

### 3.2.3 | Antagonism at 5-HT<sub>2A</sub> receptors

Antagonism at 5-HT<sub>2A</sub> receptors, a major pharmacological action of atypical antipsychotics, is the central tenet of the serotonin hypothesis proposed by Meltzer et al.<sup>36</sup> The functional effects of brexpiprazole on receptors were explored using recombinant human 5-HT<sub>2A</sub> receptor-expressing cells, with production of inositol monophosphate as an index. Brexpiprazole inhibited the effect of serotonin in a concentration-dependent manner (corrected  $IC_{50} = 6.5$  nmol/L).<sup>10</sup> The effect of brexpiprazole on 5-HT<sub>2A</sub> receptor agonist ( $\pm$ )-2,5-dimethoxy-4-iodoamphetamine-induced head twitches in rats was also evaluated. This *in vivo* study demonstrated that brexpiprazole had a

**TABLE 1** Binding affinities of antipsychotics for human receptors ( $K_i$ , nmol/L)

Receptors	Brexpiprazole	Aripiprazole	Olanzapine	Quetiapine	Risperidone	Haloperidol
D <sub>2</sub>	0.30	0.34	20	180	2.2	1.4
D <sub>3</sub>	1.1	0.8	50	940	9.6	2.5
5-HT <sub>1A</sub>	0.12	1.7	2100	230	210	3600
5-HT <sub>2A</sub>	0.47	3.4	3.3	220	0.29	120
5-HT <sub>2C</sub>	34	96	10	1400	10	4700
5-HT <sub>7</sub>	3.7	39	250	1800	3.0	1100
$\alpha_1$	3.8 <sup>a</sup>	52 <sup>a</sup>	54	15	1.4	4.7
H <sub>1</sub>	19	61	2.8	8.7	19	440
M <sub>1</sub>	>1000	6800	4.7	100	2800	1600

<sup>a</sup> $\alpha_{1A}$  receptor, the  $K_i$  values are quoted from Maeda et al,<sup>10</sup> Citrome et al,<sup>34</sup> and Miyamoto et al.<sup>35</sup>

TABLE 2 Pharmacological effects of brexpiprazole and aripiprazole

Item	ED <sub>50</sub> values (mg/kg, po)		D <sub>2</sub> antagonism/antipsychotic-like effect		Conditioned avoidance response (Rat)(c)	5-HT <sub>2A</sub> antagonism		EPS		Cataleptogenic liability		
	APO-induced hyperlocomotion (Rat)(a)	APO-induced stereotyped behavior (Rat)(b)	APO-induced stereotyped behavior (Rat)(b)	D <sub>2</sub> antagonism/antipsychotic-like effect		DOI-induced head twitch (Rat)	DOI-induced head twitch (Rat)	Cataleptogenic effect (Rat)(d)	Cataleptogenic effect (Rat)(d)	d/a ratio	d/b ratio	d/c ratio
Drugs												
Brexpiprazole	2.3	2.9	2.9	2.9	6.0	4.7	20	20	8.7	6.9	3.3	3.3
Aripiprazole	3.2	6.1	6.1	6.1	23	21	42	42	13.1	6.9	1.8	1.8

Note: Cataleptogenic liability = (ED<sub>50</sub> for induction of catalepsy)/(ED<sub>50</sub> for inhibition of APO-induced behavior) or (ED<sub>50</sub> for inhibition of conditioned avoidance response). The data are quoted from Maeda et al.<sup>10,11</sup>

Abbreviations: APO, apomorphine; DOI, (±)-2,5-dimethoxy-4-iodoamphetamine; EPS, extrapyramidal symptoms.

more potent antagonist effect on 5-HT<sub>2A</sub> receptors than aripiprazole (Table 2).<sup>10</sup>

### 3.2.4 | Partial agonism at D<sub>2</sub>/D<sub>3</sub> receptors

The functional effects of brexpiprazole on receptors were explored using recombinant human D<sub>2L</sub> receptor-expressing cells, with forskolin-induced cyclic adenosine monophosphate (cAMP) accumulation as an index. Brexpiprazole partially inhibited cAMP accumulation (EC<sub>50</sub> = 4.0 nmol/L, E<sub>max</sub> = 43%) and was thus shown to act as a D<sub>2</sub> receptor partial agonist with almost the same potency and lower intrinsic activity compared to aripiprazole (EC<sub>50</sub> = 5.6 nmol/L, E<sub>max</sub> = 61%) (Figure 3).<sup>10</sup> Brexpiprazole was also shown to act as a human D<sub>3</sub> receptor partial agonist with similar potency and lower intrinsic activity (EC<sub>50</sub> = 2.8 nmol/L, E<sub>max</sub> = 15%) than aripiprazole (EC<sub>50</sub> = 5.9 nmol/L, E<sub>max</sub> = 28%).<sup>10</sup>

### 3.2.5 | Anti-apomorphine effect

In order to evaluate the functional D<sub>2</sub> receptor antagonism of brexpiprazole in vivo, which may play a key role in improving positive symptoms, the effect of brexpiprazole on abnormal behavior induced by the D<sub>2</sub> receptor agonist apomorphine was evaluated in rats. Brexpiprazole dose-dependently and significantly inhibited hyperlocomotion and stereotyped behavior with ED<sub>50</sub> values of 2.3 and 2.9 mg/kg, po, respectively; these inhibitory potencies were greater than those of aripiprazole (Table 2). Brexpiprazole also dose-dependently reduced apomorphine-induced blinking frequency in monkeys with an ED<sub>50</sub> value of 0.03 mg/kg, po.<sup>11</sup>

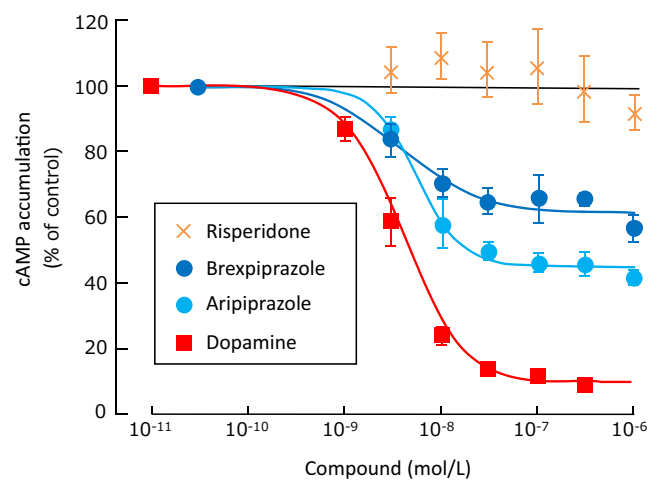
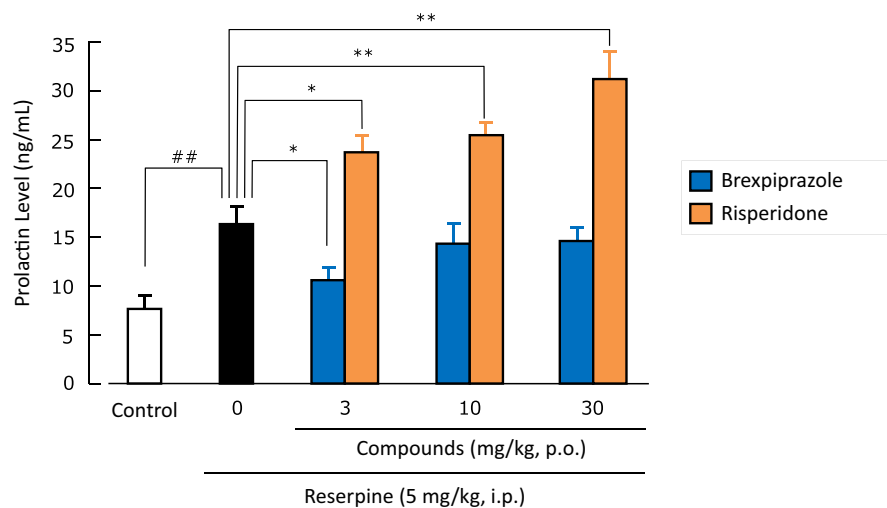


FIGURE 3 Dopamine D<sub>2L</sub> receptor partial agonist activity. Data are mean ± SD. Concentration-response curves are shown for each compounds on forskolin-induced cAMP accumulation in human D<sub>2L</sub> receptor-expressing cells. Cyclic AMP accumulation was normalized to the percentage of forskolin-induced cAMP accumulation (set at 100%). It is adapted from Maeda et al.<sup>10</sup>



**FIGURE 4** Effects of brexpiprazole and risperidone on reserpine-induced hyperprolactinemia in rats. Data are mean  $\pm$  SEM ( $n = 8$ ). ## $P < .01$ ; \* $P < .05$ , \*\* $P < .01$ . It is quoted from Maeda et al.<sup>10</sup>

### 3.2.6 | Inhibitory effect on conditioned avoidance response

The effect of brexpiprazole on the conditioned avoidance response in rats, a test model for predicting the effect of a drug on positive symptoms of schizophrenia, was evaluated. Brexpiprazole dose-dependently and significantly inhibited an electric shock-induced conditioned avoidance response with an  $ED_{50}$  value of 6.0 mg/kg, po; the inhibitory potency was greater than that of aripiprazole (Table 2).<sup>11</sup>

### 3.2.7 | Cataleptogenic effect

The cataleptogenic effect of brexpiprazole as an index of extrapyramidal symptoms was evaluated in rats. The cataleptogenic potency of brexpiprazole was greater than that of aripiprazole. However, the  $ED_{50}$  ratios for the cataleptogenic effect vs anti-apomorphine effects or inhibitory effect on conditioned avoidance response of brexpiprazole were similar to those of aripiprazole (Table 2).<sup>11</sup>

### 3.2.8 | Effect on prolactin

It was reported that aripiprazole acts as a partial agonist on  $D_2$  receptors on the lactotroph cells in the isolated anterior pituitary gland of rats<sup>37</sup> and on both human  $D_{2S}$  and  $D_{2L}$  receptors expressed in GH4CL cells, which is a rat pituitary cell line.<sup>38</sup> In addition, aripiprazole decreased reserpine-induced hyperprolactinemia in rats and the effect was antagonized by haloperidol, which suggests that aripiprazole acts as an agonist on  $D_2$  receptors on the lactotroph cells in the anterior pituitary gland when the inhibitory tone exerted by endogenous dopamine in the hypophyseal portal blood weakens.<sup>37</sup> The effect of brexpiprazole on reserpine-induced hyperprolactinemia was evaluated in rats. Brexpiprazole at a dose of 3 mg/kg, po significantly decreased hyperprolactinemia, and doses

of 10 and 30 mg/kg, po, which are approximately 3-10 times higher than the doses that showed the anti-apomorphine effects (ie,  $D_2$  receptor antagonist activity), did not significantly change prolactin levels, whereas the  $D_2$  receptor antagonist risperidone (3-30 mg/kg, po) dose-dependently and significantly increased hyperprolactinemia (Figure 4).<sup>10</sup>

### 3.2.9 | Postsynaptic dopamine $D_2$ receptor sensitizing effect

The postsynaptic dopamine  $D_2$  receptor sensitizing effect of brexpiprazole and haloperidol was investigated by evaluating low-dose apomorphine-induced stereotyped behavior in rats following 3 weeks of oral treatment with the drugs. Haloperidol (1 mg/kg, po) significantly augmented apomorphine-induced stereotyped behavior 3 and 5 days after completion of 3-week treatment, whereas the 3-week treatment with brexpiprazole (6 mg/kg, po; equivalent potency to 1 mg/kg of haloperidol in rats) did not significantly augment stereotyped behavior.<sup>39</sup>

### 3.2.10 | Novel object recognition test

A novel object recognition test was conducted in rats subchronically treated with phencyclidine (subPCP), a psychedelic compound which induces a behavioral syndrome in rodents that bears close similarities to some of the core symptoms observed in schizophrenic patients, including cognitive deficit. Brexpiprazole completely reversed a subPCP-induced decrease in the discrimination index at doses (1 and 3 mg/kg, po) that are almost equivalent to the doses at which brexpiprazole exerts its anti-apomorphine effects. This effect of brexpiprazole was attenuated by the 5-HT<sub>1A</sub> receptor antagonist WAY-100635 and the 5-HT<sub>2A</sub> receptor antagonist M100907 alone partially reversed subPCP-induced impairment, thus suggesting that both 5-HT<sub>1A</sub> receptor partial agonist and 5-HT<sub>2A</sub> receptor antagonist activities may contribute to the beneficial effects of brexpiprazole.<sup>11</sup>



## 4 | CONCLUSION

This article provides a summary of the discovery research and development history, pharmacological properties, and clinical use of aripiprazole and brexpiprazole. Aripiprazole and brexpiprazole are  $D_2$  receptor partial agonists in contrast to conventional antipsychotics, which are  $D_2$  receptor antagonists.  $D_2$  receptor antagonists cause excessive blockade of dopamine  $D_2$  receptors and thereby induce side effects related to  $D_2$  receptor blockade. On the other hand, aripiprazole and brexpiprazole have fewer  $D_2$  receptor blockade-related side effects because they have intrinsic activity and do not cause excessive blockade of  $D_2$  receptor-mediated signaling. The  $D_2$  receptor partial agonists aripiprazole and brexpiprazole appear to have a physiologically sound mechanism of action in patients as they do not abolish the intrinsic physiological function of dopamine and can stabilize neurotransmission at  $D_2$  receptors.

Brexpiprazole has lower intrinsic activity at  $D_2$  receptors compared with aripiprazole. However, a study of cataleptogenic activity suggests that both brexpiprazole and aripiprazole have a wide safe dose range based on the therapeutic dose of  $D_2$  receptor antagonists and the dose that can cause the onset of extrapyramidal side effects. In addition, rat experiments demonstrate that brexpiprazole is associated with a low risk for hyperprolactinemia and no significant postsynaptic dopamine  $D_2$  receptor sensitizing effect after repeated administration, as has also been observed with aripiprazole.<sup>24,37,38</sup> These findings strongly suggest that even though the intrinsic activity of brexpiprazole at  $D_2$  receptors is reduced compared to aripiprazole, brexpiprazole still retains the benefit of a  $D_2$  receptor partial agonist (ie, does not cause excessive blockade of  $D_2$  receptor-mediated signaling sufficient to induce adverse side effects). The lower intrinsic activity of brexpiprazole at  $D_2$  receptors relative to aripiprazole indicates a lower likelihood of inducing side effects that are considered to be associated with aripiprazole-induced stimulating activity at  $D_2$  receptors. Indeed, clinical trials conducted both outside<sup>40-43</sup> and in Japan<sup>44,45</sup> demonstrated low incidences of adverse events especially akathisia, restlessness, and insomnia (activating adverse effects<sup>43</sup>). Brexpiprazole had little or no significant effect on blood prolactin levels in schizophrenia<sup>42,44,46</sup> although aripiprazole was associated with decreases in blood prolactin levels.<sup>6,7,25</sup> This can possibly be attributed to the lower intrinsic activity of brexpiprazole. With all of the above taken into consideration, the antipsychotic brexpiprazole appears to be a  $D_2$  receptor partial agonist that is therapeutically more beneficial with fewer side effects compared to aripiprazole.

Compared to aripiprazole, brexpiprazole has a higher affinity for 5-HT<sub>1A</sub> and 5-HT<sub>2A</sub> receptors, and accordingly, it functions as a more potent partial agonist at 5-HT<sub>1A</sub> receptors and as a more potent antagonist at 5-HT<sub>2A</sub> receptors than aripiprazole. It has been hypothesized that partial agonism at 5-HT<sub>1A</sub> receptors may play a role in reducing depression and anxiety<sup>31</sup> and improving cognitive function,<sup>32</sup> while also being associated with a more favorable side effect profile compared to other antipsychotics.<sup>36</sup> Brexpiprazole showed improvement in phencyclidine-induced cognitive deficit in the novel

objective recognition test in rats, and the effect was attenuated by the selective 5-HT<sub>1A</sub> receptor antagonist WAY-100635. In addition, brexpiprazole also had improving effects in other models of cognitive deficits, and the effects were almost completely antagonized by WAY-100635<sup>47,48</sup> suggesting that the 5-HT<sub>1A</sub> receptor partial agonist activity has an important role in improving cognitive deficits in animals. It was reported that aripiprazole improves phencyclidine-induced impairment of recognition memory in mice, and the effect is antagonized by WAY-100635.<sup>49</sup> However, in our study, which used rats instead of mice, aripiprazole did not improve phencyclidine-induced cognitive deficit.<sup>11</sup> It has recently been reported that co-treatment with buspirone, a 5-HT<sub>1A</sub> receptor partial agonist, and atypical antipsychotic drugs outperformed atypical antipsychotic drugs alone in improving cognitive deficit and reducing the burden on the families of patients with schizophrenia.<sup>50</sup> It has been proposed that a potent antagonist effect on 5-HT<sub>2A</sub> receptors is involved in improving insomnia and other sleep disturbances,<sup>27,28</sup> as well as akathisia,<sup>29,30</sup> psychotic symptoms, depression, anxiety, and reducing extrapyramidal symptoms in patients with schizophrenia.<sup>36</sup> The potent antagonism of brexpiprazole at the 5-HT<sub>2A</sub> receptors may contribute to its favorable tolerability/safety profile in clinical practice.

Brexpiprazole also has high affinity for  $\alpha_{1B}$  and  $\alpha_{2C}$  receptors and acts as an antagonist at both receptors.<sup>10</sup> The implications of the high affinities of brexpiprazole for  $\alpha_{1B}$  and  $\alpha_{2C}$  receptors as antagonist effects are difficult to predict in clinical practice. A study using knockout mice suggests that  $\alpha_{1B}$  receptor antagonism might contribute to antipsychotic-like activity and effects on psychostimulant- or opiate-induced reward.<sup>51</sup> It was reported that prazosin, an  $\alpha_1$  receptor antagonist, is effective in the treatment of post-traumatic stress disorder (PTSD).<sup>52</sup> Although the degree to which  $\alpha_{1B}$  receptor antagonism is effective in the treatment of PTSD is not fully known, it has been reported that adjunctive treatment with brexpiprazole and escitalopram reduces behavioral stress responses in a rat model of PTSD-like symptoms<sup>53</sup> and that brexpiprazole blocks PTSD-like memory while promoting normal fear memory in a model that precisely recapitulates the two memory components of PTSD in mice.<sup>54</sup> Interestingly, it has been recently reported that adjunctive treatment with brexpiprazole improves treatment-resistant complex PTSD in domestic family violence victims.<sup>55</sup> In addition, some studies have reported that  $\alpha_{2C}$  receptor antagonism might contribute to antipsychotic-like activity,<sup>56-59</sup> antidepressant-like activity,<sup>56,59</sup> and procognitive activity<sup>57,59,60</sup> in animals. Although brexpiprazole has high affinity for both  $\alpha_{1B}$  and  $\alpha_{2C}$  receptors, cardiovascular adverse events such as orthostatic hypotension do not appear to be a significant problem in clinical practice.<sup>40,41,44,45</sup>

In conclusion, brexpiprazole is a promising novel antipsychotic indicated for the treatment of schizophrenia and other disorders with a unique mechanism of action associated with its pharmacological properties as an SDAM.

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### CONFLICT OF INTEREST

Tetsuro Kikuchi, Kenji Maeda, Mikio Suzuki, Tsuyoshi Hirose and Takashi Futamura are full-time employees of Otsuka Pharmaceutical Co., Ltd., and Robert D. McQuade is a full-time employee of Otsuka Pharmaceutical Development & Commercialization, Inc.

### AUTHOR CONTRIBUTIONS

All authors contributed to the writing of this manuscript.

### DATA AVAILABILITY STATEMENT

Data sharing is not applicable to this article as no new data were created or analyzed in this study.

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