# scientific reports



## **OPEN** Application of multiplex amplicon deep-sequencing (MAD-seq) to screen for putative drug resistance markers in the Necator *americanus* isotype-1 $\beta$ -tubulin gene

Santosh George<sup>1</sup>, Peter Suwondo<sup>1</sup>, Jewelna Akorli<sup>2</sup>, Joseph Otchere<sup>2</sup>, Lisa M. Harrison<sup>1</sup>, Kaya Bilguvar<sup>3</sup>, James R. Knight<sup>3</sup>, Debbie Humphries<sup>4</sup>, Michael D. Wilson<sup>2</sup>, Adalgisa Caccone<sup>5</sup> & Michael Cappello<sup>1</sup>

Global control of hookworm infections relies on periodic Mass Drug Administration of benzimidazole drugs to high-risk groups, regardless of infection status. Mutations in the isotype-1  $\beta$ -tubulin gene have been identified in veterinary nematodes, resulting in structural changes and reduced drug-binding. In Ghana, previous studies have demonstrated significant variability in albendazole effectiveness among people infected with the hookworm Necator americanus, although the mechanisms underlying deworming response have not been defined. Using hookworm egg samples from a cross-sectional study in Ghana, we developed a multiplex amplicon deep sequencing (MAD-seq) method to screen genomic regions encapsulating putative drug-resistance markers in N. americanus isotype-1 β-tubulin gene. Three single nucleotide polymorphisms (SNPs) corresponding to resistanceassociated mutations (F167Y, E198A, F200Y) within the coding region of the isotype-1  $\beta$ -tubulin gene were characterized using MAD-seg in 30 matched pre- and post-treatment samples from individuals with persistent infection following therapy. Post-sequence analysis showed that the highest mean alternative nucleotide allele at each PCR amplicon was 0.034% (167 amplicon) and 0.025% (198/200amplicon), suggesting minimal allelic variation. No samples contained the F167Y SNP, while one contained low-frequency reads associated with E198A (3.15%) and F200Y (3.13%). This MADseg method provides a highly sensitive tool to monitor the three putative benzimidazole resistance markers at individual and community levels. Further work is required to understand the association of these polymorphisms to treatment response.

Hookworm infections caused by Ancylostoma duodenale, A. ceylanicum and Necator americanus have a considerable impact on human health<sup>1</sup>, causing as many as 500 million global infections, including 198 million in sub-Saharan Africa<sup>2,3</sup>. Along with the other soil-transmitted helminth (STH) infections, hookworm is associated with malnutrition and anemia, as well as physical and cognitive impairment in children. Chronic disability, particularly among infected children and pregnant women, is associated with global economic losses of up to \$100 billion per year<sup>4</sup>. Control efforts for hookworm and other STH infections rely primarily on mass drug administration (MDA) of benzimidazole anthelminthics, most commonly albendazole and mebendazole. The excellent safety record and low cost of benzimidazoles, particularly when administered through school-based programs, have resulted in the promotion of MDA as an extremely cost-effective global health intervention<sup>5-7</sup>. Dating

<sup>1</sup>Department of Pediatrics, Yale School of Medicine, New Haven, CT 06520, USA. <sup>2</sup>Department of Parasitology, Noquchi Memorial Institute for Medical Research, University of Ghana, Legon, Ghana. <sup>3</sup>Yale Center for Genome Analysis, Yale School of Medicine, New Haven, CT, USA. <sup>4</sup>Department of Chronic Disease Epidemiology, Yale School of Public Health, New Haven, CT, USA. <sup>5</sup>Department of Ecology and Evolutionary Biology, Yale University, New Haven, CT, USA. <sup>⊠</sup>email: santoshqeorqe@aya.yale.edu

back to the 2001 World Health Assembly resolution recommending targeted school-based deworming for all at-risk children, MDA has emerged as one of the largest coordinated global health initiatives ever undertaken<sup>8,9</sup>. Although in recent years there has been a marked decline in STH prevalence in the Americas and Asia, progress toward control and ultimate elimination of infection has been less pronounced in sub-Saharan Africa<sup>10</sup>.

Ghana's most recent national survey confirms that hookworm remains endemic throughout the country, with community prevalence rates ranging between 10 and 60%<sup>11-13</sup>. Previous studies conducted in the Kintampo North Municipality (KNM) have demonstrated variable effectiveness of single dose albendazole against hookworm, as measured by Cure Rate (CR) and Egg Reduction Rate (ERR) following treatment<sup>14–16</sup>. Moreover, using an in vitro egg hatch assay, we have previously shown that exposure to benzimidazole anthelminthics may select for hookworm isolates with reduced drug susceptibility<sup>15</sup>. Although in vitro susceptibility was not shown to correlate with treatment response in this study, the findings raised the possibility that, like veterinary nematodes, continued exposure to benzimidazole anthelminthics could potentially lead to the emergence of genetically mediated resistance in human hookworms.

In veterinary nematodes, mutations in the isotype-1 β-tubulin gene that confer resistance to the benzimidazole anthelminthics result in structural changes associated with reduced drug binding to the target protein<sup>17</sup>. Previous studies aimed at detecting the presence of putative resistance markers in human STH pathogens have used molecular methods to screen genomic DNA extracted from STH eggs or larvae from infected individuals<sup>18–21</sup>. Among the most commonly used approaches to screen for mutations associated with anthelminthic resistance in human STH include, quantitative real-time PCR (qPCR) and pyrosequencing, both of which have limitations. Although qPCR is sensitive, high cycle threshold (Ct) values limit specificity when the quality of template gDNA is poor or the proportion of SNPs is low. In contrast, while pyrosequencing is a highly specific genotyping tool, it may lack the sensitivity to detect mutant alleles present in fewer than 5–10% of the parasite population<sup>22,23</sup>. More recently, amplification-refractory mutation system (ARMS)-PCR and PCR- Restriction Fragment Length Polymorphism (RFLP) has been proposed as a method more suited to screening pooled or individual egg samples for genetically mediated anthelminthic resistance<sup>18,24-27</sup>. These methodologies are not well suited for high throughput screening of large numbers of samples necessary for comprehensive monitoring of human hookworm populations for emerging resistance. Because of the recognized potential for repeated deworming drug exposure to elicit resistance, similar to what has been described in veterinary nematodes<sup>28-30</sup>, new methods are needed for effective monitoring of MDA programs in STH endemic populations. Interestingly, recent studies in veterinary nematodes have shown the potential of next-generation sequencing approaches in assessing anthelmintic resistance, which have not been yet employed in screening human STH<sup>31</sup>

We have developed a multiplex amplicon deep sequencing (MAD-seq) approach to screen human fecal samples for the presence of hookworm (*Necator americanus*) DNA, encapsulating regions containing putative markers of benzimidazole resistance. This method provides a highly sensitive technique to measure the frequency of specific alleles within a heterogeneous population of hookworms. We describe here the application of this method using hookworm eggs collected from infected individuals in Ghana and demonstrate the capacity to identify low-frequency allelic variants within the *N. americanus* isotype-1  $\beta$ -tubulin gene. By using pooled fecal samples from individuals exposed to repeated MDA, this approach is feasible for large scale screening of the three putative markers in endemic communities. More importantly, we propose the Unique Molecular Identifier (UMI)-based MAD-seq approach as a feasible, accurate, sensitive and scalable method that can be applied to other gastrointestinal parasites of both human and veterinary importance, allowing for effective monitoring of the frequency of mutations associated with anthelminthic resistance.

#### Results

**Detection of** *N. americanus*  $\beta$ **-tubulin SNPs using MAD sequencing.** Among the 30 study subjects included in this analysis, single dose albendazole treatment was associated with a reduction in fecal egg counts, from a mean of 700 ± 1026 EPG (pre-treatment) to 126 ± 143 EPG (p=0.003; 2-sided t-test) (Fig. 1). For each of the *N. americanus* positive samples, the targeted regions of the isotype-1  $\beta$ -tubulin gene were successfully sequenced and aligned to a reference strain (accession #EF39285.1). Of the 24 million sequence reads generated, > 98% were used for further in silico analysis and showed complete coverage of the amplified loci. Read depth for all the 30 samples, pre- and post-treatment is included in Tables S1 and S2. Two study subject samples failed to return sequence data for the E198A/F200Y amplicon and were excluded from further analysis. Using UMI-generated molecular tags, each sample generated a mean (± SD) of 40,993 (± 16,089) and 82,459 (± 24,911) unique paired end reads for the 167 (Table S1) and 198/200 amplicons, respectively (Table S2). Among pretreatment samples, there were 38,000 (± 3233) reads for the 167 amplicon and 91,600 (± 3170) reads for 198/200 amplicon, whereas for post-treatment samples, there were 43,500 (± 2955) reads for the 167 amplicon and 79,700 (± 4033) for 198/200 amplicon (Tables S1 and S2).

Principal component analysis<sup>33</sup> of the relative frequencies of highest alternate alleles at each nucleotide position revealed high sequence overlap in pre-and post-treatment samples, suggesting minimal genomic variation (Fig. 2). Most of the frequency variation was captured by the first principal component (PC) (89.7%—F167Y amplicon; 81.6%—E198A/F200Y amplicon) followed by second PC (1.5%—F167Y amplicon; 3%—E198A/F200Y amplicon), as indicated by the eigenvalue graph. The highest mean alternative nucleotide allele frequency calculated for each position within the 167 amplicon was 0.034 (Table S1), while for the 198/200 amplicon it was 0.025, consistent with minimal genomic variation (Table S2).

As shown in Table 1, SNPs representing amino acid substitutions in the coding region of the  $\beta$ -tubulin gene were identified in amplicons from both pre- and post-treatment samples. Three samples (#5004, #7006, #5506) had mutations that resulted in variants (defined by presence of > 0.01% nucleotide substitution compared to the nucleotide in the reference strain (accession #EF39285.1)) within 3 residues of amino acid 167, which could



**Figure 1.** Kato-Katz microscopy was used to determine egg counts in fecal samples from each study subject before and after treatment. Each symbol represents an individual study subject. The dotted horizontal line shows the EPG threshold between light and moderate infection, as defined by WHO. The horizontal line represents the median EPG for each group. The triangles represent samples containing 2 or more amino acid residue changes present across both amplicons. The squares represent the pre- and post-treatment samples from study subject 5803, which contain both E198A and F200Y.



**Figure 2.** Principal component analysis of *N. americanus* isotype-1  $\beta$ -tubulin DNA sequences obtained preand post-treatment. Eigenvalues are shown in lower right-hand corner. The first bar represents PC1, second bar represents PC2, and third bar represents PC3. Most of the values (89.7% and 81.6% for 167aa and 198/200aa, respectively) are aligned in PC1, confirming that most of the data points lie on the same axis plane, representing minimal genomic variation. Alternatively, if there were a treatment related enrichment of a particular genotype, the pre- (red) and post- (blue) treatment would not overlap, and Eigenvalues would be more evenly distributed between PC1, PC2, PC3, etc. The letter "d" represents appropriate effect size for comparison between pre- and post-treatment mean values. d = ((mean alternate allele frequency of post-treatment group)-(mean alternate allelefrequency of pre-treatment group))/Standard Deviation.

potentially impact the drug binding pocket of  $\beta$  tubulin<sup>34</sup>. These same three samples contained variant alleles in the 198/200 amplicon reads. The presence of these mutations was not associated with response to deworming treatment among the 30 study subjects, nor were they found to be increased in post-treatment samples.

In addition to the three putative drug resistance markers at amino acid codons 167, 198 and 200, we also analyzed the sequence data for additional SNPs within the translated sequence corresponding to the  $\beta$  tubulin amplicons (amino acid codons 133–199; 176–229) (Table 1). SNPs within the exonic region of the F167Y amplicon included a total of 19 point mutations, of which there were 2 nonsense mutations, 7 missense mutations and 10 silent mutations. The coding sequence within the E198A/F200Y amplicon contained a total of 12 point mutations, of which 9 were missense and 3 were silent. In total there were 21 amino acid variants identified across the 2 amplicons studied using this approach.

No samples contained detectable levels of the F167Y resistance allele, but one sample (Sample ID 5803; Table S2) contained reads associated with the previously reported pair of resistance associated SNPs E198A

Sample #	Amino acid variant			
	Amplicon 167 (N=7)		Amplicon 198/200 (N=13)	
	Mutation	Frequency	Mutation	Frequency
0108			(D197Y)	1.28%
0305	(S138Y)	1.37%		
0504			(Y208H)	0.54%
0804	(V170I)	0.56%		
1204	(R156Q)	0.95%		
5004	(L150F)	0.69%	(A185S)	0.63%
5506	(S168P)	20.23%	(T196I) (L207W)	0.53% 25.9%
5803			(E198A) (F200Y)	3.15% 3.13%
5909			(Y183C)	0.99%
6801	(G148V)	67.17%		
7006	(S165P)	1.13%	(S188P) (Y208C)	0.79% 0.25%

**Table 1.** Allelic variants corresponding to amino acid changes within the isotype-1  $\beta$ -tubulin gene of *Necator americanus*.

(3.15%) and F200Y  $(3.13\%)^{35}$ . Further sequence analysis of this sample showed that 99.3% of the sequence reads containing the aa198 GAG  $\rightarrow$  GCG SNP also contained the TTC  $\rightarrow$  TAC mutation at aa200 (Fig. 3), similar to what has previously been observed in *N. americanus* egg DNA samples collected from Kintampo North Municipality in the Bono East Region of Ghana<sup>35</sup>.

#### Discussion

Despite expanded distribution of deworming drugs across sub-Saharan Africa, questions remain about the longterm impact of MDA and its effectiveness at reducing the worldwide burden of hookworm and other STHs<sup>36–38</sup>. Among concerns raised about the sustainability of MDA as a viable control measure is the potential for repeated exposure to variably effective benzimidazole drugs to accelerate emergence of genetically mediated drug resistance in communities where STH infections remain prevalent<sup>39–42</sup>. Much of the concern has been driven by the example of veterinary nematodes, which routinely develop resistance to various classes of anthelminthic following repeated exposure<sup>23,43–45</sup>. Although evidence of widespread drug resistance in human STH is lacking, at present there is no universally accepted standard for assessing or monitoring for resistance in endemic populations. In addition, countries that utilize MDA for STH control typically lack access to the technology and resources needed to implement programs that can detect drug resistance as it emerges.

Data from the Kintampo North Municipality (KNM) in the Bono East Region of Ghana, where governmentsponsored MDA has been in place since 2007, highlight the challenges of hookworm control in sub-Saharan Africa. Numerous cross-sectional epidemiological studies in this region have documented variable effectiveness of albendazole treatment, based on standard metrics of egg reduction rate (ERR) and cure rate (CR)<sup>15,16,46</sup>.

The veterinary nematode experience suggests that the expansion of MDA across human populations could lead to emerging resistance, thereby affirming the need to monitor for known and potentially novel mutations in drug targets from hookworm and other STHs. We have developed a highly sensitive targeted approach to analyze genetic variation of three putative markers within the hookworm (*Necator americanus*) isotype-1  $\beta$ -tubulin gene, the molecular target of benzimidazole anthelminthics. This MAD-seq method was used to analyze pre- and post-treatment hookworm egg samples from 30 study subjects using a UMI-based approach. The advantage of this method compared to other techniques is the capacity to analyze pooled eggs collected from multiple individuals in a limited number of sequencing reactions, making it amenable to screening large numbers of samples at once. The approach is also capable of detecting very low levels of allelic variants, as shown here. More importantly, we demonstrate that the MAD-seq method can simultaneously perform in-depth genomic analysis of multiple targets. This method, therefore, could also be modified to assess putative resistance markers identified in the future by simply designing new PCR primers.

Principle Component Analysis of sequence data from the Kpandai samples revealed minimal difference between the pre- and post-treatment samples, suggesting that the single dose of albendazole did not enrich for a specific hookworm genotype, based on the limited sequence of the isotype-1  $\beta$ -tubulin gene that was amplified. However, the method was sensitive enough to detect low level heterogeneity across the two amplicons, with mean alternative allele frequencies of 0.034% (PCR amplicon containing aa167) and 0.025% (PCR amplicon containing aa198/200). We also found low mean alternative allele frequencies for the 3 putative markers of drug resistance: F167Y (0.031%), E198A (0.021%) and F200Y (0.023%). To prevent the introduction of any amplification bias introduced by PCR and sequencing, similar to the work reported by Avramenko et al.<sup>31</sup> we used a conservative approach. This involved using an in silico method to identify sequencing reads that vary > 0.01% at each nucleotide position in comparison to the reference genome and then focusing on those reads that exhibited > 0.5%

E198A F200Y
76226 reads
Ref: ATACAGATGAGACCTTCTGTATTGATAATG
Read: ATACAGATGAGACCTTCTGTATTGATAATG
Ref:
Read:
2557 mode
2557 reads
Ref: ATACAGATGAGACCTTCTGTATTGATAATG
Read: ATACAGATGCGACCTACTGTATTGATAATG
Ref:
Read:CA
19 reads
Ref: ATACAGATGAGACCTTCTGTATTGATAATG
Read: ATACAGATGCGACCTTCTGTATTGATAATG
Ref:
Read:
2
2 reads
Ref: ATACAGATGAGACCTTCTGTATTGATAATG
Read: ATACAGATGTGACCTACTGTATTGATAATG
Ref:
Read:

**Figure 3.** For study sample #5803, 3.2% of the total amplicon reads contained both E198A and F200Y resistance associated SNPs of the *Necator americanus* isotype-1  $\beta$  -tubulin gene. Of these, 99.3% of the E198A reads also contained F200Y.

nucleotide variation. The ability of MAD-seq to delineate subtle differences across relatively short reads demonstrates the potential of this method as a tool to monitor changes in hookworm genotypes over time and across different geographic regions, as well as assess changes in hookworm populations resulting from repeated deworming drug exposure.

Further analysis of sequence data identified low-frequency SNPs in the DNA sequences corresponding to amino acid residues 133–199 and 176–229 of the *N. americanus*  $\beta$ -tubulin protein. Across the two amplicons, 35 SNP mutations corresponding to 20 amino acid substitutions were detected in a total of 12 study subject samples. The amino acid substitutions identified in amplicon 1 (aa167) occurred in seven individual samples, while 4 samples contained multiple SNPs in amplicon 2 (aa198/200). Three study subject samples contained amino acid substitution mutations in both amplicons. Although no samples contained detectable levels of the F167Y resistance allele, one sample contained reads with the E198A (3.15%) and F200Y (3.13%) mutations in tandem. The combination of these two resistance associated SNPs was previously identified in hookworm egg samples from Kintampo North, Ghana<sup>35</sup>. The degree to which co-segregation of these two SNPs impacts benzimidazole effectiveness is unclear, although point mutations in 2 of the 3 amino acid residues critical for the binding of drug to hookworm isotype-1  $\beta$ -tubulin could potentially alter protein structure and reduce albendazole susceptibility<sup>17</sup>. Importantly, detection of these SNPs in hookworm parasites collected from geographically distinct regions of Ghana (Kintampo North and Kpandai) warrants further investigation to understand the association of these polymorphism to treatment response and highlights the need for monitoring endemic communities for potential resistance-associated genotypes.

Despite the fact that school age children living in the study area have been subjected to more than a decade of repeated rounds of government sponsored MDA with albendazole<sup>47</sup>, the overall response to drug treatment was favorable (Fig. 1), which is consistent with the low frequency of resistance-associated SNPs identified in this study. With an ERR of 89% across the study population, the data from Kpandai differ from prior studies conducted in Kintampo North Municipality, where albendazole appears to be less effective against hookworm<sup>14–16</sup>. Interestingly, prior studies in Kintampo North using the SNP-specific qPCR method demonstrated the presence of F167Y and E198A/F200Y resistance-associated mutations at much higher frequencies than reported here in

Kpandai<sup>35</sup>. Taken together, the data from these two studies suggest that within Ghana there is heterogeneity in both the effectiveness of deworming drug treatment and the effect of drug exposure on the prevalence of putative resistance markers among *N. americanus* hookworms.

Over the past decade, the reach of MDA programs for STH control has expanded due to renewed commitments from global pharmaceutical companies, NGOs, foundations, and government Ministries of Health. In addition, there have been recommendations to expand the number of groups eligible for targeted deworming, such as pre-school age children and pregnant women<sup>48</sup>. More recently, it has been suggested that elimination of hookworm may not be possible without community-wide MDA, which by including adult populations would significantly increase the number of individuals exposed to benzimidazoles within endemic communities, as well as globally<sup>48</sup>. The sustainability of MDA as a control or elimination strategy must address the potential for emerging drug resistance, which has been well documented in veterinary helminths but to date has not been identified as a cause of reduced deworming drug effectiveness in humans.

Ideally, effective monitoring of communities subjected to MDA with benzimidazole anthelminthics that are at risk for emerging resistance would include the capacity to detect low frequency alleles associated with reduced drug susceptibility prior to the loss of clinical effectiveness. To be cost-effective in resource-limited settings, screening methods must be sensitive enough to identify variants in pooled samples that are representative of a household, village or larger community, aiding to improve critical surveillance capabilities needed to guide policy decisions. Future work will be aimed at defining the accuracy and cost-effectiveness of this method as a tool to monitor for emerging benzimidazole resistance in Ghana and other hookworm endemic communities.

At present there are no routinely available field-adapted methods for detecting putative benzimidazole resistance markers that are both sensitive and scalable. The MAD-seq protocol reported here could feasibly, and with modest additional cost, be adapted to analyze hundreds of pooled samples in a relatively small number of reactions, thereby facilitating the screening of putative resistance markers at the community level. Importantly, this method could also be adapted to screen for allelic variants in other STHs, including *Ascaris lumbricoides* and *Trichuris trichiura*, providing access to valuable information that can be used to inform neglected tropical disease control program policies and practice.

#### Methods

**Sample selection and hookworm egg DNA preparation.** In a cross-sectional field study of hookworm infection carried out in Kpandai District, Ghana, between June and August 2016, a total of 111 individuals were found to be hookworm positive at baseline using Kato-Katz microscopy, for an initial prevalence of 19.6%. Among the 97 who were tested following single dose oral albendazole (400 mg) treatment, 34 (35%) remained infected. Matched pre- and post-treatment hookworm egg samples were available for subsequent analysis from 30/34 subjects (88%) who were not cured. Pretreatment egg counts in 28 of the 30 study subjects (93%) were classified as "light" intensity (1–1999 hookworm eggs per gram (EPG) of feces) according to WHO guidelines<sup>49</sup>, while two samples contained moderate intensity infection (2000–3999 EPG). All post-treatment samples were classified as light infections. Prior to enrolling subjects, ethical clearance for the field study was approved by the Yale University Human Investigation Committee (Protocol #1,304,011,926) and Noguchi Memorial Institute for Medical Research (NMIMR) Institutional Review Board (Study #115/15–16). The hookworm eggs isolated from faecal samples were de-identified prior to being shipped to Yale School of Medicine, USA from Noguchi Memorial Institute of Medical Research, Ghana where consent was obtained from study participants.

Genomic DNA was extracted from hookworm eggs collected from those 30 subjects who continued to show persistent infection following treatment. Hookworm eggs were purified from each fecal sample on the day of collection by sequential sedimentation, flotation and filtration as previously described<sup>15,50</sup>. Egg samples were stored in RNAlater (Invitrogen) solution and kept frozen until further analysis. Genomic DNA was isolated from purified egg samples using the QIAamp DNA stool mini kit (QIAGEN) according to the manufacturer's protocol and stored at – 20 °C.

Hookworm genomic DNA was combined with forward and reverse primers and KAPA HiFi HotStart Ready Mix (Roche Molecular Systems). Thermocycling conditions (95 °C for 5 m; 12 cycles of 95 °C for 30 s, 65 °C for 30 s, 72 °C for 30 s; 33 cycles of 95 °C for 30 s, 56 °C for 30 s, 72 °C for 30 s; 1 cycle of 60 °C for 30 m) were optimized prior to purification of DNA using paramagnetic beads. The PCR products were quantified, and size verified (167amplicon: 226 bp; 198/200amplicon: 262 bp). Nextera Index Barcodes were then added to both amplicons of a matched set for the 30 pre- and post-treatment gDNA samples in a brief PCR reaction (95 °C for



Sequencing using MiSeq 500 cycle, 250bp paired end reads



**Figure 4.** (**A**) Workflow illustrating UMI based methods for amplifying individual strands of genomic DNA within pooled samples of hookworm eggs. (**B**) Workflow illustrating in silico analysis of next generation sequencing data representing pre- and post-treatment hookworm egg samples.

3 m; 8 cycles of 95 °C for 30 s, 55 °C for 30 s, 72 °C for 30 s; 72 °C for 5 m). A step-by-step schematic for amplifying, barcoding and pooling is shown in Fig. 4A.

**Pooling and sequencing of libraries.** The DNA concentration of each final Index PCR product was quantified using a fluorometric method (Qubit 3.0, ThermoFisher), and samples were diluted to a final concentration of 10 nM each before pooling. The pooled library was sequenced using a MiSeq Illumina desktop sequencer and 500-cycle paired-end reagent kit (MiSeq Reagent Kit v2; Catalog #MS-102-2003; final concentration of 15 pM). The PhiX control v3 (15%; Illumina, FC-110-3001) was added due to low diversity created by targeted amplicon sequencing. Collected sequencing data were uploaded and made available from EMBL Nucleotide Sequence Database repository (SRA: PRJNA753088).

**Sequence analysis.** An in silico process was used to analyze the sequencing data obtained from matched pre- and post-treatment egg DNA samples (Fig. 4B). PEAR v. 0.9.8 software was first used to merge the forward and reverse reads for each paired-end read in order to generate contiguous FASTQ sequences for subsequent analysis<sup>54</sup>. Following this initial step, AmpUMI<sup>55</sup> was used to extract the UMI's from each merged read, to group the reads by UMI sequence, and to select the most common read found for each UMI. BWA-MEM software was then used to align each of the selected reads to the reference (accession #EF39285.1) sequence<sup>56</sup>, and SAMtools was used to convert the alignments into a BAM file<sup>57</sup>. SAMtools Mpileup<sup>58</sup> was then used to count the read

В

alignments at each nucleotide location of the reference gene, and an in-house script was designed to define the counts and highest alternate nucleotide percentage of each base at the respective reference location. In addition, a custom script was designed to merge the Mpileup results across all samples, generating the final alternate allele percentage for each sample at every reference location.

**Statistical analysis.** Differences between groups were analyzed using a 2 tailed t-test. Principal component analysis (PCA) was performed using R studio (Version 1.2.5019; Package 'Ade4'). We transformed the highest alternate allele percentages at each nucleotide, which represents a set of correlated variables, into a substantially smaller set of uncorrelated variables. This PCA-based approach allowed us to consider all the data from both pre- and post-treatment samples from 167 amplicon and 198/200 amplicon.

#### Data availability

The sequencing raw reads generated and analyzed in the current study are available in EMBL Nucleotide Sequence Database repository (SRA: PRJNA753088).

Received: 7 March 2022; Accepted: 28 June 2022 Published online: 06 July 2022

#### References

- 1. WHO. Fact sheet: soil-transmitted helminth infections, <a href="http://www.who.int/mediacentre/factsheets/fs366/en/">http://www.who.int/mediacentre/factsheets/fs366/en/</a> (2017).
- Hotez, P. J. & Kamath, A. Neglected tropical diseases in sub-Saharan Africa: review of their prevalence, distribution, and disease burden. PLoS Negl. Trop. Dis. 3, e412 (2009).
- 3. Loukas, A. et al. Hookworm infection. Nat. Rev. Dis. Prim. 2, 16088 (2016).
- 4. Bartsch, S. M. *et al.* The global economic and health burden of human hookworm infection. *PLoS Negl. Trop. Dis.* **10**, e0004922 (2016).
- 5. Garg, N. J. Global health: neglected diseases and access to medicines. Infect. Dis. Clin. North Am. 25, 639-651 (2011).
- Webster, J. P., Molyneux, D. H., Hotez, P. J. & Fenwick, A. The contribution of mass drug administration to global health: past, present and future. *Philos. Trans. R. Soc. Lond. Ser. B Biol. Sci.* 369, 20130434. https://doi.org/10.1098/rstb.2013.0434 (2014).
- 7. Turner, H. C. *et al.* Are current preventive chemotherapy strategies for controlling and eliminating neglected tropical diseases cost-effective?. *BMJ Glob. Health* **6**, e005456. https://doi.org/10.1136/bmjgh-2021-005456 (2021).
- 8. Webster, J. P., Molyneux, D. H., Hotez, P. J. & Fenwick, A. The contribution of mass drug administration to global health: past, present and future. *Philos. Trans. R. Soc. B* **369**, 20130434 (2014).
- 9. Montresor, A. *et al.* The global progress of soil-transmitted helminthiases control in 2020 and World Health Organization targets for 2030. *PLoS Negl. Trop. Dis.* 14, e0008505. https://doi.org/10.1371/journal.pntd.0008505 (2020).
- Sartorius, B. et al. Prevalence and intensity of soil-transmitted helminth infections of children in sub-Saharan Africa, 2000–18: a geospatial analysis. Lancet Glob Health 9, e52–e60. https://doi.org/10.1016/S2214-109X(20)30398-3 (2021).
- 11. AfricaWHO-RHOf. Progress of the LF elimination programme by district in Ghana 2001-2010. (2010).
- Ahiadorme, M. & Morhe, E. Soil transmitted Helminth infections in Ghana: a ten year review. Pan Afr. Med. J. 35, 131. https:// doi.org/10.11604/pamj.2020.35.131.21069 (2020).
- Adu-Gyasi, D. et al. Epidemiology of soil transmitted Helminth infections in the middle-belt of Ghana, Africa. Parasite Epidemiol. Control 3, e00071. https://doi.org/10.1016/j.parepi.2018.e00071 (2018).
- Humphries, D. *et al.* Effectiveness of albendazole for hookworm varies widely by community and correlates with nutritional factors: a cross-sectional study of school-age children in Ghana. *Am. J. Trop. Med. Hyg.* 96, 347–354. https://doi.org/10.4269/ajtmh. 16-0682 (2017).
- Humphries, D. et al. Hookworm infection among school age children in Kintampo north municipality, Ghana: nutritional risk factors and response to albendazole treatment. Am. J. Trop. Med. Hyg. 89, 540–548. https://doi.org/10.4269/ajtmh.12-0605 (2013).
- Humphries, D. *et al.* Epidemiology of hookworm infection in Kintampo North Municipality, Ghana: patterns of malaria coinfection, anemia, and albendazole treatment failure. *Am. J. Trop. Med. Hyg.* 84, 792–800. https://doi.org/10.4269/ajtmh.2011.11-0003 (2011).
- Aguayo-Ortiz, R. et al. Molecular basis for benzimidazole resistance from a novel beta-tubulin binding site model. J. Mol. Gr. Model. 45, 26–37. https://doi.org/10.1016/j.jmgm.2013.07.008 (2013).
- Diawara, A. et al. Association between response to albendazole treatment and beta-tubulin genotype frequencies in soil-transmitted helminths. PLoS Negl. Trop. Dis. 7, e2247. https://doi.org/10.1371/journal.pntd.0002247 (2013).
- Diawara, A., Schwenkenbecher, J. M., Kaplan, R. M. & Prichard, R. K. Molecular and biological diagnostic tests for monitoring benzimidazole resistance in human soil-transmitted helminths. *Am. J. Trop. Med. Hyg.* 88, 1052–1061. https://doi.org/10.4269/ ajtmh.12-0484 (2013).
- Albonico, M., Wright, V. & Bickle, Q. Molecular analysis of the beta-tubulin gene of human hookworms as a basis for possible benzimidazole resistance on Pemba Island. *Mol. Biochem. Parasitol.* 134, 281–284. https://doi.org/10.1016/j.molbiopara.2003.12. 008 (2004).
- Schwenkenbecher, J. M., Albonico, M., Bickle, Q. & Kaplan, R. M. Characterization of beta-tubulin genes in hookworms and investigation of resistance-associated mutations using real-time PCR. *Mol. Biochem. Parasitol.* 156, 167–174. https://doi.org/10. 1016/j.molbiopara.2007.07.019 (2007).
- 22. Ramunke, S. *et al.* Benzimidazole resistance survey for Haemonchus, Teladorsagia and Trichostrongylus in three European countries using pyrosequencing including the development of new assays for Trichostrongylus. *Int. J. Parasitol. Drugs Drug Resist.* **6**, 230–240. https://doi.org/10.1016/j.ijpddr.2016.10.002 (2016).
- Chaudhry, U., Redman, E. M., Raman, M. & Gilleard, J. S. Genetic evidence for the spread of a benzimidazole resistance mutation across southern India from a single origin in the parasitic nematode *Haemonchus contortus*. Int. J. Parasitol. 45, 721–728. https:// doi.org/10.1016/j.ijpara.2015.04.007 (2015).
- Zuccherato, L. W., Furtado, L. F., Medeiros, C. D. S., Pinheiro, C. D. S. & Rabelo, E. M. PCR-RFLP screening of polymorphisms associated with benzimidazole resistance in *Necator americanus* and *Ascaris lumbricoides* from different geographical regions in Brazil. *PLoS Negl. Trop. Dis.* 12, e0006766. https://doi.org/10.1371/journal.pntd.0006766 (2018).
- Schwenkenbecher, J. M. & Kaplan, R. M. Real-time PCR assays for monitoring benzimidazole resistance-associated mutations in Ancylostoma caninum. Exp. Parasitol. 122, 6–10. https://doi.org/10.1016/j.exppara.2009.01.006 (2009).
- Furtado, L. F. V., dos Santos, T. R., de Oliveira, V. N. G. M. & Rabelo, É. M. L. Genotypic profile of benzimidazole resistance associated with SNP F167Y in the beta-tubulin gene of *Necator americanus* helminths obtained from Brazilian populations. *Infect. Genet. Evol.* https://doi.org/10.1016/j.meegid.2020.104594 (2020).

- Zuccherato, L. W., Furtado, L. F., Medeiros, C. D. S., Pinheiro, C. D. S. & Rabelo, É. M. PCR-RFLP screening of polymorphisms associated with benzimidazole resistance in *Necator americanus* and *Ascaris lumbricoides* from different geographical regions in Brazil. *PLoS Negl. Trop. Dis.* 12, e0006766. https://doi.org/10.1371/journal.pntd.0006766 (2018).
- Kaplan, R. M. Biology, epidemiology, diagnosis, and management of anthelmintic resistance in gastrointestinal nematodes of livestock. Vet. Clin. N. Am. Food Anim. Pract. 36, 17–30. https://doi.org/10.1016/j.cvfa.2019.12.001 (2020).
- Bordes, L. et al. First report of multiple resistance to eprinomectin and benzimidazole in Haemonchus contortus on a dairy goat farm in France. Parasitol. Int. 76, 102063. https://doi.org/10.1016/j.parint.2020.102063 (2020).
- Ploeger, H. W. & Everts, R. R. Alarming levels of anthelmintic resistance against gastrointestinal nematodes in sheep in the Netherlands. Vet. Parasitol. 262, 11–15. https://doi.org/10.1016/j.vetpar.2018.09.007 (2018).
- Avramenko, R. W., Redman, E. M., Windeyer, C. & Gilleard, J. S. Assessing anthelmintic resistance risk in the post-genomic era: a proof-of-concept study assessing the potential for widespread benzimidazole-resistant gastrointestinal nematodes in North American cattle and bison. *Parasitology* 147, 897–906. https://doi.org/10.1017/S0031182020000426 (2020).
- Melville, L. A. *et al.* Large scale screening for benzimidazole resistance mutations in *Nematodirus battus*, using both pyrosequence genotyping and deep amplicon sequencing, indicates the early emergence of resistance on UK sheep farms. *Int. J. Parasitol. Drugs Drug Resist.* 12, 68–76. https://doi.org/10.1016/j.ijpddr.2020.03.001 (2020).
- Jolliffe, I. T. & Cadima, J. Principal component analysis: a review and recent developments. *Philos. Trans. A Math. Phys. Eng. Sci.* 374, 20150202. https://doi.org/10.1098/rsta.2015.0202 (2016).
- Ranjan, P., Kumar, S. P., Kari, V. & Jha, P. C. Exploration of interaction zones of beta-tubulin colchicine binding domain of helminths and binding mechanism of anthelmintics. *Comput. Biol. Chem.* 68, 78–91. https://doi.org/10.1016/j.compbiolchem.2017.02.008 (2017).
- Orr, A. R. et al. Genetic markers of benzimidazole resistance among human hookworms (*Necator americanus*) in Kintampo North Municipality, Ghana. Am. J. Trop. Med. Hyg. 100, 351–356. https://doi.org/10.4269/ajtmh.18-0727 (2019).
- Taylor-Robinson, D. C., Maayan, N., Donegan, S., Chaplin, M. & Garner, P. Public health deworming programmes for soiltransmitted helminths in children living in endemic areas. *Cochrane Database Syst. Rev.* 9, CD000371. https://doi.org/10.1002/ 14651858.CD000371.pub7 (2019).
- John, D. & Issac, A. Do mass deworming efforts improve the developmental health and well-being of children in low-and middleincome countries? Summary of the evidence and implications for public health programmes. *Clin. Epidemiol. Glob. Health* 6, 220–224. https://doi.org/10.1016/j.cegh.2018.09.004 (2018).
- Schulz, J. D., Moser, W., Hurlimann, E. & Keiser, J. Preventive chemotherapy in the fight against soil-transmitted helminthiasis: achievements and limitations. *Trends Parasitol.* 34, 590–602. https://doi.org/10.1016/j.pt.2018.04.008 (2018).
- Vercruysse, J. et al. Is anthelmintic resistance a concern for the control of human soil-transmitted helminths?. Int. J. Parasitol. Drugs Drug Resist. 1, 14–27. https://doi.org/10.1016/j.ijpddr.2011.09.002 (2011).
- Vercruysse, J. et al. Assessment of the anthelmintic efficacy of albendazole in school children in seven countries where soiltransmitted helminths are endemic. PLoS Negl. Trop. Dis. 5, e948. https://doi.org/10.1371/journal.pntd.0000948 (2011).
- Soukhathammavong, P. A. et al. Low efficacy of single-dose albendazole and mebendazole against hookworm and effect on concomitant helminth infection in Lao PDR. PLoS Negl. Trop. Dis. 6, e1417 (2012).
- 42. Albonico, M., Engels, D. & Savioli, L. Monitoring drug efficacy and early detection of drug resistance in human soil-transmitted nematodes: a pressing public health agenda for helminth control. *Int. J. Parasitol.* **34**, 1205–1210. https://doi.org/10.1016/j.ijpara. 2004.08.001 (2004).
- 43. Ali, Q. *et al.* Emergence and the spread of the F200Y benzimidazole resistance mutation in *Haemonchus contortus* and *Haemonchus placei* from buffalo and cattle. *Vet. Parasitol.* **265**, 48–54. https://doi.org/10.1016/j.vetpar.2018.12.001 (2019).
- Knapp-Lawitzke, F., Krucken, J., Ramunke, S., von Samson-Himmelstjerna, G. & Demeler, J. Rapid selection for beta-tubulin alleles in codon 200 conferring benzimidazole resistance in an Ostertagia ostertagi isolate on pasture. Vet. Parasitol. 209, 84–92. https:// doi.org/10.1016/j.vetpar.2015.02.005 (2015).
- 45. Drogemuller, M., Failing, K., Schnieder, T. & von Samson-Himmelstjerna, G. Effect of repeated benzimidazole treatments with increasing dosages on the phenotype of resistance and the beta-tubulin codon 200 genotype distribution in a benzimidazole-resistant cyathostomin population. *Vet. Parasitol.* **123**, 201–213. https://doi.org/10.1016/j.vetpar.2004.06.019S0304-4017(04) 00277-8[pii] (2004).
- Humphries, D. et al. Effectiveness of albendazole for hookworm varies widely by community and correlates with nutritional factors: a cross-sectional study of school-age children in Ghana. Am. J. Trop. Med. Hyg. 96, 347–354 (2017).
- 47. UNICEF. 4.5 million children across Ghana to be dewormed, <a href="https://www.unicef.org/media\_38248.html">https://www.unicef.org/media\_38248.html</a>> (2007).
- Werkman, M. et al. The impact of community-wide, mass drug administration on aggregation of soil-transmitted helminth infection in human host populations. Parasit. Vectors 13, 290. https://doi.org/10.1186/s13071-020-04149-4 (2020).
- 49. WHO. Prevention and control of schistosomiasis and soil-transmitted helminthiasis: a report of a WHO expert committee (Geneva, 2002).
- Reiss, D., Harrison, L. M., Bungiro, R. & Cappello, M. Short report: an agar plate method for culturing hookworm larvae: analysis
  of growth kinetics and infectivity compared with standard coproculture techniques. Am. J. Trop. Med. Hyg. 77, 1087–1090 (2007).
- Esteban-Ballesteros, M. et al. Quantification of resistant alleles in the beta-tubulin gene of field strains of gastrointestinal nematodes and their relation with the faecal egg count reduction test. BMC Vet. Res. 13, 71. https://doi.org/10.1186/s12917-017-0992-9 (2017).
- Zhang, Z. *et al.* Two benzimidazole resistance-associated SNPs in the isotype-1 beta-tubulin gene predominate in *Haemonchus contortus* populations from eight regions in China. *Int. J. Parasitol. Drugs Drug Resist.* 6, 199–206. https://doi.org/10.1016/j.ijpddr. 2016.10.001 (2016).
- Lacey, E. The role of the cytoskeletal protein, tubulin, in the mode of action and mechanism of drug resistance to benzimidazoles. Int. J. Parasitol. 18, 885–936. https://doi.org/10.1016/0020-7519(88)90175-0 (1988).
- Zhang, J., Kobert, K., Flouri, T. & Stamatakis, A. PEAR: a fast and accurate Illumina Paired-End reAd mergeR. *Bioinformatics* 30, 614–620. https://doi.org/10.1093/bioinformatics/btt593 (2014).
- Clement, K., Farouni, R., Bauer, D. E. & Pinello, L. AmpUMI: design and analysis of unique molecular identifiers for deep amplicon sequencing. *Bioinformatics* 34, i202–i210. https://doi.org/10.1093/bioinformatics/bty264 (2018).
- 56. Li, H. & Durbin, R. Fast and accurate long-read alignment with Burrows-Wheeler transform. *Bioinformatics* 26, 589–595. https://doi.org/10.1093/bioinformatics/btp698 (2010).
- Li, H. et al. The sequence alignment/map format and SAMtools. Bioinformatics 25, 2078–2079. https://doi.org/10.1093/bioinforma tics/btp352 (2009).
- Li, H. A statistical framework for SNP calling, mutation discovery, association mapping and population genetical parameter estimation from sequencing data. *Bioinformatics* 27, 2987–2993. https://doi.org/10.1093/bioinformatics/btr509 (2011).

#### Acknowledgements

This work was presented in part at the 2019 annual meeting of the American Society of Tropical Medicine and Hygiene in Washington, D.C. The authors thank the people of Kpandai District, Northern Region, Ghana for their participation in this study, as well as technical support from the Noguchi Memorial Institute for Medical Research and the Ghana Health Service. We also thank Carol Mariani and Siyang Xia for help in analyzing the

data. The work was funded by USA National Institutes of Health grants AI099623 (MDW), AI129916 (MDW), AI132452 (MC) and AI162826 (MC), an Early Career Award from the Thrasher Research Fund (SG), a Lindsay Fellowship for Research in Africa from the Whitney and Betty MacMillan Center for International and Area Studies at Yale University (PS), and the Ralph Skolnik Award from the Yale School of Public Health (PS). No authors have a commercial or other association that might pose a conflict of interest.

#### Author contributions

M.C., S.G., K.B., A.C.: Conceived and planned the experiments; S.G.: Carried out the experiment and analysis; S.G., M.C.: Wrote the manuscript; P.S., J.A., J.O., M.W.: Carried out the fieldwork and sample collection; J.K.: Performed the in silico analysis; All authors contributed to the final version of the manuscript and provided critical feedback; M.C., M.W.: Supervised the project.

#### **Competing interests**

The authors declare no competing interests.

### Additional information

Supplementary Information The online version contains supplementary material available at https://doi.org/ 10.1038/s41598-022-15718-1.

**Correspondence** and requests for materials should be addressed to S.G.

#### Reprints and permissions information is available at www.nature.com/reprints.

**Publisher's note** Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

**Open Access** This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit http://creativecommons.org/licenses/by/4.0/.

© The Author(s) 2022