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Original Research Paper

# Intranasal delivery of paeoniflorin nanocrystals for brain targeting

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## ARTICLE INFO

### Article history:

Received 12 June 2019

Revised 27 August 2019

Accepted 7 November 2019

Available online 26 November 2019

### Keywords:

Intranasal delivery

Nanocrystals

Paeoniflorin

Brain targeting

Neuroprotective effect

## ABSTRACT

Paeoniflorin (PA) is an anti-Parkinson Chinese medicine with inferior bioavailability and difficulty in delivery to the brain. This research is to develop an efficacious PA nanocrystal formulation (PA-NCs) that is suitable for intranasal administration to treat Parkinson's disease (PD). PA-NCs were fabricated through an antisolvent precipitation method using TPGS as the stabilizer. The rod-shaped PA-NCs had particle size of  $139.6 \pm 1.3$  nm and zeta potential of  $-23.2 \pm 0.529$  mV. A molecular dynamics simulation indicated that van der Waals forces are the primary drivers of interactions between PA and TPGS. In the *ex vivo* nasal mucosa permeation assay, the cumulative drug release at 24 h was  $87.14\% \pm 5.34\%$ , which was significantly higher than that of free PA. PA-NCs exhibited substantially improved cellular uptake as well as permeability on Calu-3 cells as compared to PA alone. FRET imaging analysis demonstrated that intact NCs could be internalized into Calu-3 cells. Moreover, PA-NCs conferred desirable protective effect against MPP<sup>+</sup>-induced SH-SY5Y cellular damage. Pharmacokinetic studies revealed a higher PA concentration in the brain following intranasal delivery of PA-NCs. In summary, the intranasal administration of PA-NCs is a promising treatment strategy for PD.

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## 1. Introduction

Paeoniflorin (PA) is an active constituent of *Paeonia lactiflora* Pall. roots, which have been extensively utilized as a medicine

in China for thousands of years. Numerous studies have revealed the multiple pharmacological activities of PA, such as neuroprotective effects [1,2], anti-thrombotic activity [3], regulation of the immune function [4], and antitumor [5,6]. Recently, its therapeutic applications in Parkinson's disease

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Peer review under responsibility of Shenyang Pharmaceutical University.

<https://doi.org/10.1016/j.ajps.2019.11.002>

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(PD) have been gaining increasing attention. For instance, it was established that PA delayed dopamine-neuronal damage and attenuated the reduction of dopamine and its metabolites in a rat PD model induced by 6-hydroxydopamine (6-OHDA) [1] or 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP) [2]. The neuroprotective effects of PA are mediated by upregulating bcl/bax ratio and reducing the expression of caspase-3 [7]. However, the log *P* value of PA is  $-2.88$ , which leads to poor permeation by passive diffusion and low bioavailability [8]. The apparent volume of distribution after intravenous administration (18 mg/kg) in rats was only  $1.33 \pm 0.24$  l/kg [9]. As a consensus, blood-brain barrier (BBB) preserves the brain against noxious circulating substances as well as drugs [10]. PA was poorly absorbed in MDCK-MDR1 cell monolayers (an *in vitro* BBB model) because its efflux ratio was higher than 2.0 when the treated concentration of PA was between 100 and 800  $\mu\text{g/ml}$  [11].

Different nanomedicine systems have been designed to enhance the permeability of compounds with undesirable bioavailability. Among them, drug nanocrystals (NCs) are made from almost 100% drugs stabilized by surfactants or polymeric steric stabilizers, so that they can carry a higher percentage of drugs than other polymeric carrier systems [12]. Typically, the NCs improve dose-bioavailability proportionality, as well as reduce drug toxicity and side effects [13]. A major concern is that NCs targeting the BBB rather than mononuclear phagocytic system (MPS) needs to escape the immune system first. Also, the *in vivo* circulation time should be shortened to enhance the brain targeting efficiency of NCs to avoid their dissolution in the blood [12]. Consequently, changing the administration route of NCs might be a potential strategy.

Intranasal drug administration is an effective approach for directly targeting the brain via olfactory and trigeminal neural systems bypassing the BBB. Thus, intranasal administration hinders drug loss from the first-pass effect and ensures faster onset of pharmacological activity [14]. When a drug is administered to olfactory mucosa region, it transports either transcellularly or paracellularly through the mucous layer [15]. It was established that the residence time of nanocarriers on nasal mucosa is longer than that of liquid formulations because the latter washed out with mucociliary clearance more easily [16]. Therefore, combining the advantages of NCs and intranasal administration results in significantly amplified contact area with mucosa, extended residence time, superior interaction with tissue or cell surfaces and enhanced drug absorption [17]. Additionally, the intracellular integrity of NCs are incompletely investigated. Accordingly, we entrapped a Förster resonance energy transfer (FRET) pair of fluorophores, DiO (3,3'-dioctadecyloxycarbocyanine perchlorate) as donor and DiI (1,1'-dioctadecyl-3,3,3',3'-tetramethylindocarbocyanine perchlorate) as acceptor into NCs to monitor their intracellular integrity.

Based on the above knowledge, the purpose of the present study was to prepare and describe paeoniflorin nanocrystals (PA-NCs), which will both enhance its permeation through mucosa and evade BBB, so as to increase the brain concentration of PA. In this study, the antisolvent precipitation method was applied to fabricate PA-NCs. The resulting PA-NCs were further explored by physicochemical characterization,

assays of permeation, cellular uptake and transport, FRET imaging analysis, and pharmacological activity studies.

## 2. Materials and methods

### 2.1. Materials

PA (purity > 99%) was sourced from Chengdu Preferred Biological Technology Co., Ltd. (Chengdu, China). d- $\alpha$ -tocopheryl polyethylene glycol 1000 succinate (TPGS), 3-(4,5-dimethylthiazolyl)-2,5-diphenyltetrazolium bromide (MTT) and 1-methyl-4-phenylpyridinium ion (MPP<sup>+</sup>) were acquired from Sigma-Aldrich (USA). Calu-3 and SH-SY5Y cell lines were acquired from the American Type Culture Collection (USA). Minimum essential medium (MEM) and fetal bovine serum (FBS) were prepared from Gibco (USA). High-performance liquid chromatography (HPLC)-grade acetonitrile, phosphoric acid, formic acid, and phosphotungstic acid were from Shanghai Aladdin biochemical Technology Co., Ltd. (Shanghai, China). DiO and DiI were purchased from Beyotime (China).

### 2.2. Preparation of PA-NCs

PA-NCs were fabricated using the anti-solvent precipitation technique [18]. Briefly, 1 ml acetone containing 20 mg/ml PA was rapidly injected into 10 ml H<sub>2</sub>O including 0.5 mg/ml hydroxypropyl methylcellulose E3 (HPMC E3) under 1000 r/min stirring. To investigate the impact of parameters on size distribution and polydispersity index (PDI), PA-NCs were optimized through a single factor test, containing the types (HPMC E3, Poloxamer 188 (F68), TPGS, Polyvinylpyrrolidone (PVP) K30, and sodium dodecyl sulfate (SDS)) and the concentrations (0.1, 0.2, 0.5, 1.0, and 1.5 mg/ml) of stabilizers, the concentrations of PA (10, 20, 30, 40, and 50 mg/ml) and the ratios of anti-solvent-to-solvent (10:1, 20:1, 30:1, 40:1, and 50:1).

### 2.3. Characterization of PA-NCs

#### 2.3.1. Particle size, PDI and zeta potential

The particle dimensions and PDI of PA-NCs were obtained utilizing a Zetasizer Nano ZS90 (Malvern Instruments Ltd., UK) at 25 °C and 90° scattering angle after proper dilution. Zeta potential of PA-NCs was determined with disposable zeta cells using the electrophoretic mobility technique.

#### 2.3.2. Morphology

The morphology of PA-NCs was observed using the transmission electron microscope (TEM) with an H-7650 camera setup (Hitachi Ltd., Tokyo, Japan). In brief, a droplet of PA-NCs was carefully placed on a grid coated with carbon and stained negatively using phosphotungstic acid (2%, w/v) for 30 s. Subsequently, surplus fluid was deleted and the grid surface was air dried prior to being loaded on the microscope.

### 2.4. Study of *in vitro* dissolution

The *in vitro* dissolution of PA-NCs was investigated using dialysis method. In brief, we added 1 ml of PA, PA-NCs, or physical mixture (PA + TPGS, PA-PM) to a 3.5 kDa MWCO

dialysis bag with 50 ml of dialyzing media (phosphate buffer pH 6.8, equal to the pH of nasal fluid). All samples involved the placement in a thermostatic incubator (37 °C) and were shaken at 100 r/min. At defined time points, we removed 1 ml of the dialysis media, with an equal volume of fresh media being added to replace it. After that, PA was detected using HPLC system [19,20]. An Agilent 1100 series HPLC (Santa Clara, USA) workstation was used with a DAD detector, an auto-sampler, and an Ecosil C<sub>18</sub> (4.6 mm × 250 mm, 5 μm) analytical column. Samples (20 μl) were injected into the HPLC system with mobile phase 0.1% phosphoric acid/acetonitrile (86:14) at 1 ml/min and detected at 230 nm.

## 2.5. Molecular dynamics simulation (MDS)

We set up the PA and TPGS molecules according to MMFF94x Force Field parameters [21]. Using Gaussian09 software, atoms were optimized and the partial atomic charges were calculated. After the addition of Na<sup>+</sup>/Cl<sup>-</sup> counterions, the complex was solvated in a cuboid box (10 Å) of TIP3P H<sub>2</sub>O molecules. Subsequently, MDS was carried out using AMBER16. Based on a previous method, energy minimization was conducted in 2 steps [22]. We finally used the CPPTRAJ program to analyze the trajectories.

## 2.6. Ex vivo nasal transmucosal permeation

Porcine nasal mucosa acquired from a slaughterhouse was stored at -80 °C in 10% formalin. Before the test, the mucosa was allowed to attain ambient temperature and placed between the receiver and donor compartments of a Franz diffusion cell [23]. It was stabilized by running fresh dissolution media 10 times at 37 ± 1 °C. PA-NCs or PA (1 ml) were put into each compartment of donor, and the specimens in the receiver compartments were collected at 0, 0.25, 0.5, 1, 2, 4, 8, 12 and 24 h. The PA concentrations in all samples were then determined by means of HPLC described in Section 2.4. The release data were fitted to zero-order, first-order, Peppas and Higuchi release models [24]. Initial equations Eqs. 1–4 for various models were given below:

$$\text{Zero – order model : } Q_t = Q_0 + Kt \quad (1)$$

$$\text{First – order model : } \ln Q_t = \ln Q_0 + Kt \quad (2)$$

$$\text{Korsmeyer – Peppas model : } Q_t/Q_\infty = Kt^n \quad (3)$$

$$\text{Higuchi model : } Q_t = K(t)^{1/2} \quad (4)$$

Where  $Q_t$  is the drug released quantity in time  $t$ ,  $Q_0$  is the primary quantity, and  $K$  is the constant of drug release rate.

## 2.7. In vitro cellular uptake and transport in Calu-3 cells

### 2.7.1. Cell culture

Calu-3 cells were utilized as *in vitro* nasal platforms for the first time to evaluate microparticles and polymer gel for protein delivery [25], and this cell line has been utilized as a nasal epithelium model by more and more researchers

[26]. The Calu-3 cells were cultured in 10% FBS, 100 U/ml penicillin, and 100 μg/ml streptomycin in a humid air with 5% CO<sub>2</sub> at 37 °C. When the cells attained 90% confluence, they were trypsinized by means of 0.25% trypsin and 0.1% ethylenediamine-tetraacetic acid to conduct the subsequent studies, including cytotoxicity assays, cellular uptake and transportation evaluation.

### 2.7.2. Cytotoxicity evaluation

The Calu-3 cells were implanted into a 96-well plate in 100 μl medium (1 × 10<sup>5</sup> cells/ml) and incubated as per the above conditions for 24 h. Then, fresh medium containing different concentrations of PA-NCs or PA replaced the previous medium to acquire the ultimate concentrations of 5, 10, 20, 50, 100 and 200 μM. After an additional 12 h or 24 h, 10 μl MTT was added in phosphate-buffered saline (PBS) and incubated for 4 h at 37 °C. Further, 100 μl DMSO was introduced and the absorbance was evaluated at 490 nm by means of a micro-plate multi-detection instrument. The mean absorbance ( $A$ ) of three wells for each concentration was utilized to measure the viability (%) using the following equation:

$$\text{Viability\%} = \frac{A_{\text{sample}} - A_{\text{blank}}}{A_{\text{control}} - A_{\text{blank}}} \times 100 \quad (5)$$

### 2.7.3. Cellular uptake

The cellular uptake of PA-NCs from the cell lysate was executed to detect an absolute total concentration of PA [27]. In brief, Calu-3 cells were implanted in a 6-well plate (1 × 10<sup>6</sup> cells/well), treated under the conditions as described above for 24 h and further incubated with PA or PA-NCs or PA-PM (equivalent PA concentration: 20 μM) for 2 h. Next, the culture medium was eliminated and cells were washed with cold PBS (pH 7.4) thrice. After incubation with the cell lysis buffer (1 ml) for 30 min, the entire solution was centrifuged with 20 ml phosphoric acid for 10 min at 12000 rpm/min. Finally, 20 μl supernatant was used to measure the PA content by HPLC.

### 2.7.4. Transport experiment

Calu-3 cells were seeded (1 × 10<sup>5</sup> cells/ml) onto collagen-coated transparent PET Transwell membranes (0.4 μm, 12 mm), and 1.5 ml medium was introduced into the basolateral chamber. The medium on the upper surface was removed on the fifth day and the transepithelial electrical resistance (TEER) was investigated. The medium in the basolateral chamber was changed every other day. When the cells reached maximum confluence and the TEER values amplified to 500 Ω cm<sup>2</sup>, polarized cell monolayers were formed. After removing the medium, the cells were washed thrice with Hank's balanced salt solution (HBSS) and balanced at 37 °C for 30 min. PA or PA-PM or PA-NCs suspension (500 μl; containing 20 μM PA) and HBSS (1.5 ml) were placed onto the apical (A) and basolateral (B) sides to estimate the A→B transport. Two hours later, 500 μl samples were obtained from the B side and the quantity of PA transported was measured using HPLC. The apparent permeability coefficient ( $P_{\text{app}}$ ) for PA was estimated based on the following equation:

$$P_{\text{app}} = \frac{(dQ/dt)}{CS} \quad (6)$$

where  $dQ/dt$  denotes the rate of apparent appearance of PA in the receptor side;  $C$  denotes the PA concentration in the chamber of donor;  $S$  denotes the membrane surface area.

## 2.8. Examination of FRET imaging

The intracellular integrity of NCs in Calu-3 cells was examined by means of FRET imaging. DiO and DiI was entrapped into PA-NCs (DiO/DiI/PA-NCs) employing the same method of PA-NCs preparation. The FRET signals of DiO/DiI/PA-NCs diluted in acetone and water are evaluated at 420 nm excitation wavelength and 485–650 nm emission wavelengths. Calu-3 cells were treated with DiO/DiI/PA-NCs at 20  $\mu$ M PA at 37 °C for two different incubation times (0.5 and 1 h). After incubation, the culture medium was eliminated, and the cells were washed twice with cold PBS. They were then stabilized in 4% paraformaldehyde and investigated by confocal laser scanning microscopy.

## 2.9. PA-NCs protection against MPP<sup>+</sup>-mediated cytotoxicity

### 2.9.1. Cell culture

SH-SY5Y cells were grown in medium consisting of 10% FBS, penicillin (100 U/ml) and streptomycin (100  $\mu$ g/ml) at 37 °C in an atmosphere of 5% CO<sub>2</sub> and 95% relative humidity.

### 2.9.2. Cell viability assay

The cells were implanted in a 96-well plate (5000 cells/cm<sup>2</sup>) and left for 24 h to attach. They were pretreated with PA-NCs (5, 10 and 30  $\mu$ M) and an equal dose of PA or 0.1% DMSO for 2 h, incubated with 2 mM MPP<sup>+</sup> for 36 h, and further incubated with 10% (v/v) MTT solution (5 mg/ml) for 4 h. Intracellular formazan was solubilized using DMSO and the absorbance was acquired at 570 nm.

### 2.9.3. Measuring intracellular ATP level

Intracellular ATP of SH-SY5Y cells was quantified by means of an ATP Assay Kit (Beyotime Biotechnology). The cells were pretreated with PA-NCs (5, 10 and 30  $\mu$ M) and an equal dose of PA or 0.1% DMSO for 2 h, then incubated with 2 mM MPP<sup>+</sup> for 36 h, after which they were washed and lysed with 200  $\mu$ l of lysis buffer. The ATP concentration was measured by means of a standard curve (1 nM to 10  $\mu$ M) and normalized to protein concentrations by means of the BCA Protein Assay Kit (Beyotime Biotechnology).

### 2.9.4. Measuring mitochondrial membrane potential (MMP)

MMP was characterized utilizing JC-1 MMP assay kit (Beyotime Biotechnology). In summary, the cells were pretreated with PA-NCs (5, 10 and 30  $\mu$ M) and an equal dose of PA or 0.1% DMSO for 2 h, incubated with 2 mM MPP<sup>+</sup> for 36 h. Then, the cells were washed and treated with the pre-prepared JC-1 solution based on the manufacturer's instructions.

## 2.10. Pharmacokinetic analysis of PA-NCs in rats

The protocol of animal for rats was accepted by the Animal Ethics Committee of Guangzhou University of Chinese

Medicine based on the national regulatory principles. Male Sprague-Dawley (SD) rats with age of 6–8 weeks were sourced from the Guangdong Medical Laboratory Animal Centre. They were kept in a temperature-, humidity- and light-controlled environment, under 12-h night/12-h dark situations with free access to food and water. The rats were divided into two groups: Intravenous administration (IV) group and intranasal administration (IN) group, and each group contained 6 rats for the plasma pharmacokinetic study. PA-NCs (dose 1.2 mg/kg) were administered intravenously or intranasally to each group, respectively. For IN group, 105  $\pm$  3  $\mu$ l of PA-NCs was pipetted into the nasal cavity of the left nostril (single nostril) over 4 min to make sure that PA-NCs was delivered into the nasal cavity. The blood and brain specimens of treated rats were gathered individually. Blood samples were collected (300  $\mu$ l each) via posterior orbital venous plexus at specific time intervals (before and at 0.083, 0.25, 0.5, 1, 2, 3, 4, 6, and 8 h after administration) and were centrifuged at 5000 rpm for 10 min. 200  $\mu$ l of the serum supernatant were blended with 190  $\mu$ l of the acetonitrile and spiked with 10  $\mu$ l of the acetonitrile including 1  $\mu$ g/ml of geniposide (an internal standard).

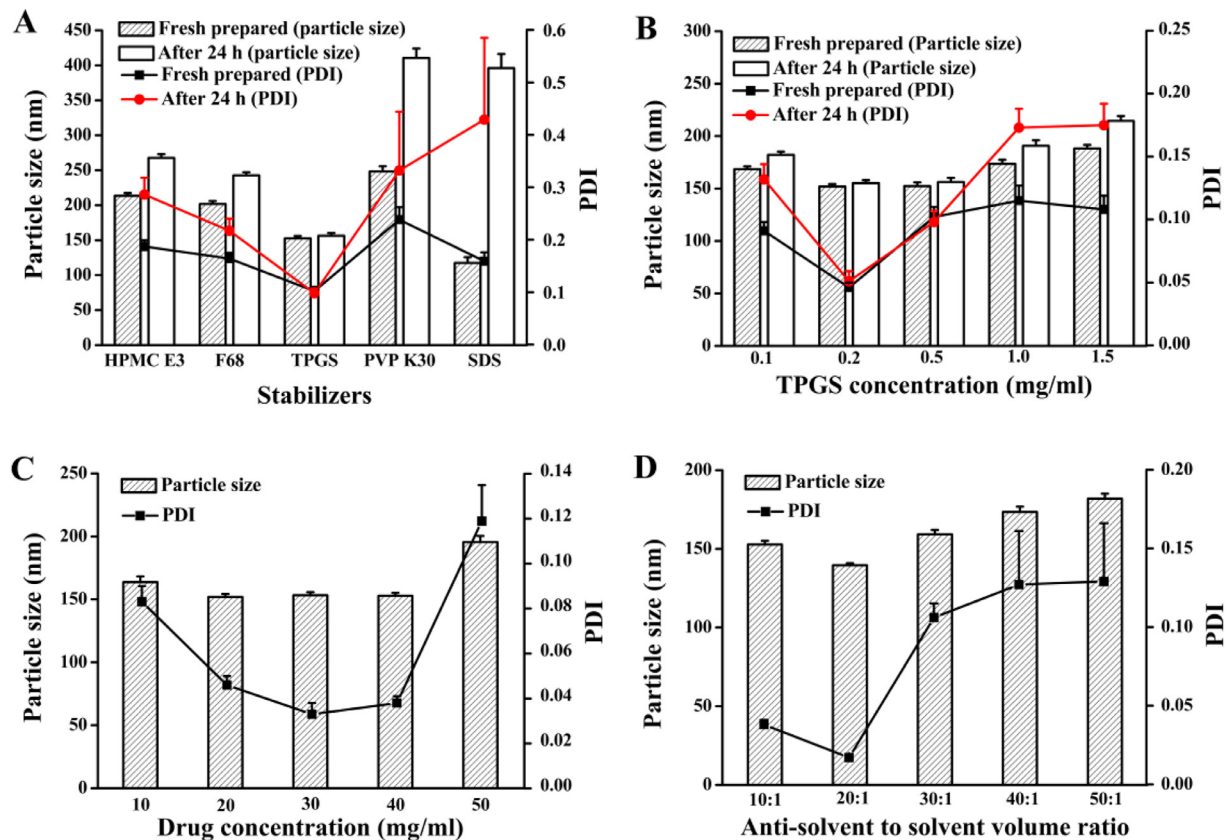
In the brain pharmacokinetic study, four rats were included in the IV group and the IN group, respectively. After administration, the rats were killed by cervical dislocation at various time intervals as mentioned above. Subsequently, cardiac perfusion was carried out with 30 ml normal saline. Ultimately, the rat was beheaded, and the entire brain was eliminated, weighed, and homogenized in pre-cooled saline at a ratio of 1:2 (w/v). 200  $\mu$ l of the homogenized brain samples were blended with 190  $\mu$ l of the acetonitrile and spiked with 10  $\mu$ l of the acetonitrile including 1  $\mu$ g/ml of bifendate.

The concentrations of PA in the plasma and brain were evaluated using a Q-Trap 4000 MS/MS system (Applied AB Sciex, USA) coupled to an Agilent 1100 series HPLC system. The chromatographic purification was performed using an Ecosil C18 analytical column. The mobile phase was acetonitrile and 5 mM ammonium acetate buffer consisting of 0.05% formic acid (20:80, v/v), at 200  $\mu$ l/min with 5.0 min per specimen. The MS/MS was detected by controlling the fragmentation of  $m/z$  498.2  $\rightarrow$  179.1 for PA and 406.2  $\rightarrow$  227.1 for the internal standard geniposide. The maximum concentration ( $C_{max}$ ), the time to attain the maximum concentration ( $T_{max}$ ) and area under the curve (AUC) of PA in plasma or in the brain were calculated utilizing Drug and Statistics software version 2.0. The pharmacokinetic profiles were constructed by plotting drug concentration versus time curves. Furthermore, the PA-NCs targeting efficiency (DTE) and direct transport percentage (DTP) were estimated using the following equation [23]:

$$DTE\% = \frac{[AUC_{Brain}/AUC_{Blood}]^{(IN)}}{[AUC_{Brain}/AUC_{Blood}]^{(IV)}} \times 100 \quad (7)$$

$$DTP\% = \frac{B_{IN} - B_x}{B_{IN}} \times 100 \quad (8)$$

in which  $B_x = (B_{IV}/P_{IV}) \times P_{IN}$ ,  $B_x$  denotes the brain AUC traction from circulation through BBB after intranasal delivery,  $B_{IV}$  denotes the  $AUC_{0-8h}$  (brain) after intravenous delivery,  $P_{IV}$  denotes the  $AUC_{0-8h}$  (blood) after intravenous delivery,  $B_{IN}$



**Fig. 1 – Optimal parameters based on particle size and PDI of PA-NCs ( $n = 3$ ). (A) Stabilizers (at the concentration of 0.5 mg/ml); (B) TPGS concentration; (C) drug concentration; (D) antisolvent to solvent volume ratio.**

denotes the  $AUC_{0-8h}$  (brain) after intranasal delivery and  $P_{IN}$  denotes the  $AUC_{0-8h}$  (blood) after intranasal delivery.

### 2.11. Statistical analysis

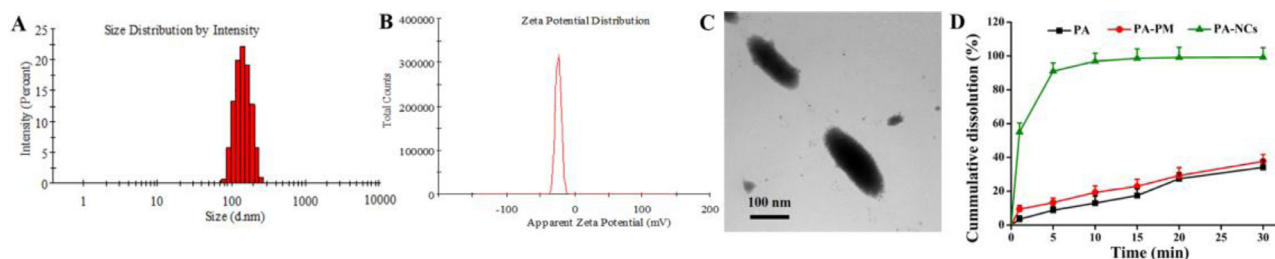
The statistical differences between two groups and more than two groups were analyzed by two-tailed independent sample t-test and one-way analysis of variance (ANOVA), respectively. All results are presented as means  $\pm$  SD. A probability of  $P$  less than 0.05 was considered statistically significant.

## 3. Result and discussion

### 3.1. Preparation and characterization of PA-NCs

The particle size and PDI of the PA-NCs prepared with TPGS as the stabilizer were  $152.4 \pm 3.6$  nm and  $0.102 \pm 0.008$ , respectively (Fig. 1A). It was observed that PA-NCs exhibited the smallest size and PDI when stabilized by TPGS. After 24 h, the particle size and PDI were  $156.3 \pm 3.9$  nm and  $0.098 \pm 0.009$ , respectively, indicating that the PA-NCs have neither dissociated nor aggregated. These results showed that TPGS could make PA-NCs more stable than the other excipients when the stirring speed, drug concentration and the antisolvent to solvent volume ratio were the same. To

illustrate the impact of the stabilizer on the fixation of the NCs, the solubility of PA with each stabilizer (HPMC E3, F68, TPGS, PVP K30, or SDS) has been examined. The solubility of PA was not increased when utilizing HPMC E3, F68, PVP K30, or SDS as stabilizer. However, when utilizing TPGS as a stabilizer, the aqueous solubility of PA was improved at less 3.6-fold in comparison to the solubility of the raw PA. The minimum particle size, lowest PDI and greatest stability were expressed when the concentration of TPGS was 0.2 mg/ml (Fig. 1B). Using 0.2 mg/ml TPGS with 1000 rpm stirring speed at ambient temperature, as the concentration of PA were 20, 30, 40 mg/ml, the particle size of PA-NCs were  $151.9 \pm 2.4$  nm,  $153.2 \pm 2.5$  nm,  $152.8 \pm 2.3$  nm, respectively and the PDI were  $0.046 \pm 0.004$ ,  $0.033 \pm 0.005$ ,  $0.038 \pm 0.003$ , respectively. There was no remarkable difference between the three concentrations (Fig. 1C). In consideration of maximum drug in this formulation, 40 mg/ml PA was chosen as the optimal drug concentration. Finally, the various ratios of anti-solvent to solvent volume was compared based on particle size and PDI under the above determined situations (Fig. 1D). The optimal conditions for the preparation of the PA-NCs include injecting 40 mg/ml PA in acetone (solvent) into 0.2 mg/ml TPGS in water (antisolvent) at 20:1 antisolvent/solvent volume ratio while stirring at 1000 rpm. The particle size, PDI and zeta potential of the resulting PA-NCs were  $139.6 \pm 1.3$  nm,  $0.013 \pm 0.002$  and  $-23.2 \pm 0.529$  mV, respectively (Fig. 2A and 2B). The PA-NCs



**Fig. 2 – Characterization of PA-NCs. (A) Particle size. (B) Zeta potential. (C) TEM. (D) Dissolution profiles of PA powder, physical mixture of PA and TPGS (PA-PM) and PA-NCs in phosphate buffer (pH 6.8,  $n = 3$ ).**

were stable for at least 2 weeks with the mean particle size and distribution remaining unchanged (Table S1). As shown in Fig. 2C, using a transmission electron microscope (TEM), the PA-NCs were rod-shaped with a length of 90–150 nm, which was accordant with the average diameter achieved from dynamic light scattering (DLS). The dissolution profiles of PA-NCs, physical mixture of PA and TPGS (PA-PM) and PA powder were compared (Fig. 2D). It was observed that more than 90% of PA was quickly released from NCs within 5 min. However, less than 40% of PA was released after 30 min from the PA powder or the PA-PM. The enhanced rate of dissolution was possibly due to the decrease of the particle size and the increase of surface area of the PA-NCs as explained by the Noyes–Whitney equation [12].

### 3.2. Molecular dynamics simulation (MDS)

MDS of PA and TPGS in water was carried out to investigate the binding interactions and energy. Fig. 3A shows the conformations of PA and TPGS at 0, 12.5, 24 and 25.5 ns. The distances time evolution revealed unplanned interaction between PA and TPGS starting from  $\sim 11$  ns (Fig. 3B). After the interaction, they stayed in a constant complex until  $\sim 23.5$  ns. A brief break in the interaction between PA and TPGS was detected from  $\sim 24$  ns to  $\sim 24.5$  ns of the simulation, and then the interaction was reestablished and remained stable until the end. The interaction energy time evolution between the PA and TPGS is shown in Fig. 3C and the mean interaction energy was  $\sim -56.16$  kJ/mol from 11 to 40 ns. The contribution to the binding free energy ( $\Delta G_{\text{total}}$ ) from the vdW and electrostatic interactions was denoted by  $\Delta E_{\text{vdw}}$  and  $\Delta E_{\text{elec}}$ , whereas the polar and nonpolar solvation energies were denoted by  $\Delta G_{\text{polar}}$  and  $\Delta G_{\text{nonpolar}}$ , respectively. The PA-TPGS binding mainly involved hydrophobic interactions, with  $\Delta E_{\text{vdw}}$  being the greatest contributor.  $\Delta G_{\text{polar}}$  was inadequate for the binding, while  $\Delta G_{\text{nonpolar}}$  was favorable, which resulted in favorable binding energy.  $\Delta G_{\text{total}}$  calculated using the MM-PBSA method from 11 ns to 40 ns was found to be  $-35.729 \pm 0.521$  kJ/mol (Table 1).

### 3.3. Ex vivo nasal mucosa permeation

Ex vivo investigations were performed to assess the permeation of the PA-NCs across the porcine nasal mucosa. The curves illustrated that PA-NCs permeated faster than

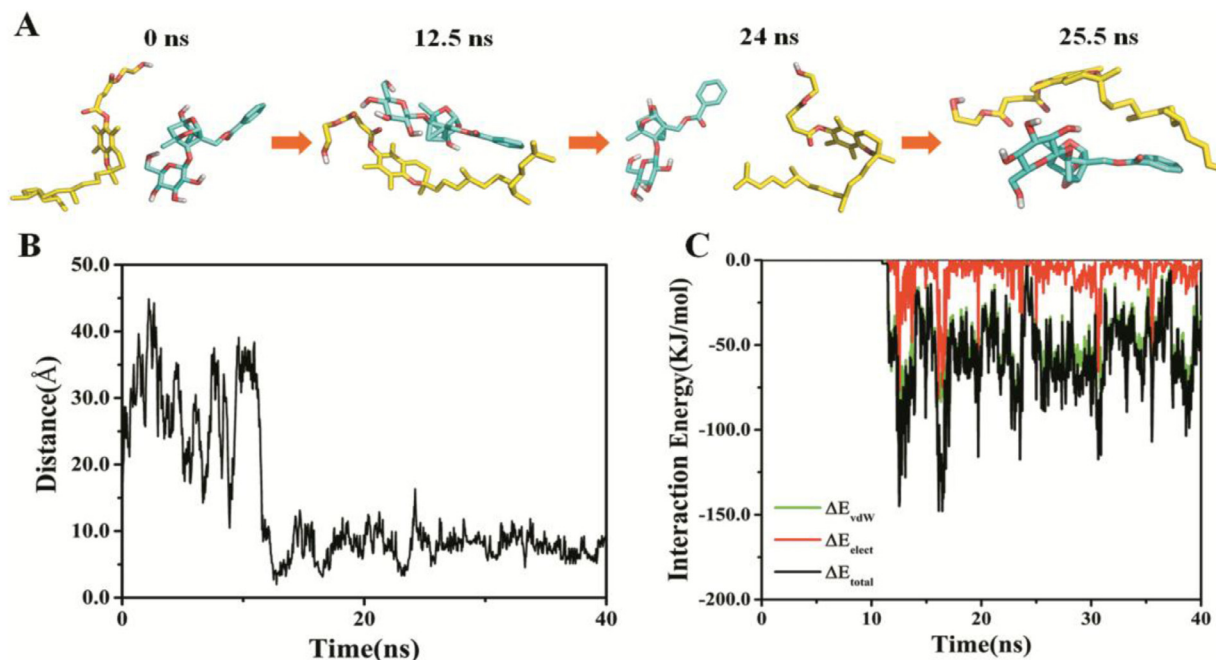
**Table 1 – Energies of the PA-TPGS complex from the MM-PBSA calculations. Data are acquired from three various simulations and exhibited as means  $\pm$  SD ( $n = 3$ ).**

Component	Energy (kJ/mol)
$\Delta E_{\text{vdw}}$	$-49.655 \pm 0.664$
$\Delta E_{\text{elec}}$	$-5.499 \pm 0.451$
$\Delta G_{\text{polar}}$	$25.619 \pm 0.591$
$\Delta G_{\text{nonpolar}}$	$-6.186 \pm 0.087$
$\Delta G_{\text{total}}$	$-35.729 \pm 0.521$

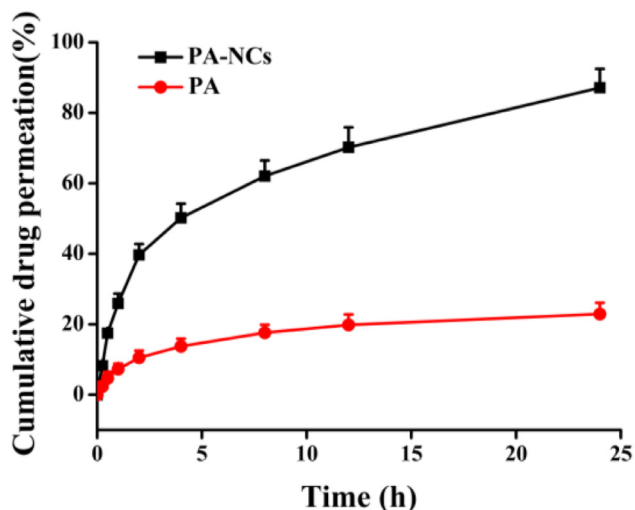
PA from 5 min to 24 h. The cumulative drug permeation of PA-NCs at 24 h was  $87.14\% \pm 5.34\%$ , while less than 25% of PA permeated from the PA powder (Fig. 4). The different permeation characteristics indicated that PA-NCs could penetrate the mucosal barrier more easily than free PA. It may be attributed to the amphipathic nature of TPGS which makes it a bioavailability enhancer [28]. The parameters of permeation data fitted to various mathematics models are shown in Fig. S1 and Table S2. The model with the determination of coefficient ( $R^2$ ) closest to 1 was regarded as the drug release model [29]. As was evident from Table 2, the release of PA-NCs significantly correlated with first-order and Higuchi models whose  $R^2$  values were 0.95528 and 0.95168, respectively. The release of PA inclined to Higuchi model since its  $R^2$  value was 0.93718. As the 'n' values of PA-NCs and PA (PA-NCs: 0.48402; PA: 0.47885) were between 0.5 and 1, we concluded that the release mechanism of PA-NCs and PA was anomalous transport (non-Fickian model) based on Peppas' theory [29,30].

### 3.4. Cellular uptake and permeation evaluation

Calu-3 cells were chosen as the *in vitro* model of nasal mucosa since they were used to investigate nasal drug transportation [31]. An appropriate concentration of PA-NCs for cell transportation and uptake studies was selected via the *in vitro* cytotoxicity assay. The results showed that there was no obvious toxicity on Calu-3 cells incubated for 12 h and 24 h with the concentrations of PA or PA-NCs from 5 to 200  $\mu\text{M}$  (Fig. S2). Calu-3 cells were incubated with 20  $\mu\text{M}$  PA-NCs or PA-PM or PA for 2 h. The  $P_{\text{app}}$  (A  $\rightarrow$  B) of PA-NCs was  $2.48 \pm 0.21 \times 10^{-5}$  cm/s, which was



**Fig. 3** – MDS of PA and TPGS in the presence of H<sub>2</sub>O molecules. (A) PA and TPGS structures of at 0, 12.5, 24 and 25.5 ns. TPGS is illustrated in yellow, while PA is illustrated in cyan. (B) Distances between centers of mass of GA and TPGS over time. (C) Time evolution of interaction energy between PA and TPGS. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)



**Fig. 4** – Ex vivo nasal mucosa permeation profile of PA-NCs and PA ( $n = 3$ ).

higher than that of PA and PA-PM ( $0.47 \pm 0.09 \times 10^{-5}$  and  $0.53 \pm 0.07 \times 10^{-5}$  cm/sec, respectively). In the PA-NCs group, there was  $2.56 \pm 0.19 \mu\text{g}$  PA/mg protein of Calu-3 cells, which was the highest among the three treatment groups, followed by PA-PM ( $1.27 \pm 0.14 \mu\text{g}$ /mg protein). PA whose concentration in Calu-3 cells was only  $1.03 \pm 0.16 \mu\text{g}$ /mg protein entered Calu-3 cells less than the other two groups. The results illustrated that TPGS had little benefit on PA transportation across polarized monolayers of Calu-3 cells if it had not been prepared into NCs with PA.

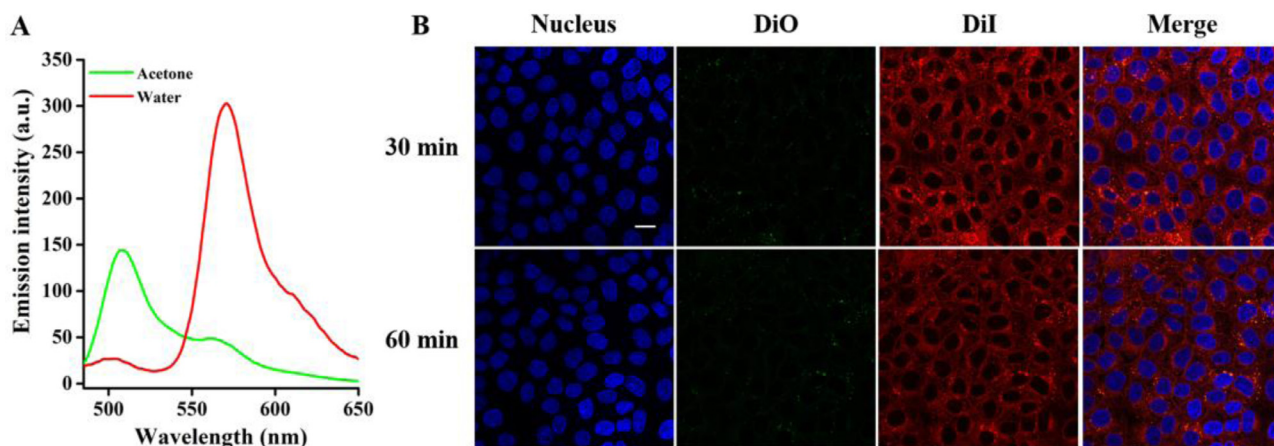
**Table 2** – Pharmacokinetic parameters after administration of PA-NCs at 1.2 mg/kg. Data are represented as means  $\pm$  SD.

Parameters	Intravenous	Intranasal
Plasma		
$T_{1/2}$ (h)	$0.099 \pm 0.016$	$0.33 \pm 0.09^{**}$
$T_{max}$ (h)	$0.083 \pm 0$	$0.33 \pm 0.13$
$C_{max}$ (ng/ml)	$169.58 \pm 20.72$	$29.68 \pm 3.05^{**}$
$AUC_{0-t}$ (ng h/ml)	$77.44 \pm 8.38$	$44.65 \pm 5.17^{**}$
$MRT_{0-t}$ (h)	$1.78 \pm 0.16$	$1.65 \pm 0.12^*$
Brain		
$T_{1/2}$ (h)	$1.55 \pm 0.24$	$1.16 \pm 0.18$
$T_{max}$ (h)	$0.38 \pm 0.14$	$0.67 \pm 0.29^*$
$C_{max}$ (ng/g)	$47.19 \pm 5.69$	$108.47 \pm 9.5^{**}$
$AUC_{0-t}$ (ng h/g)	$94.11 \pm 10.37$	$230.86 \pm 18.56^{**}$
$MRT_{0-t}$ (h)	$2.71 \pm 0.11$	$2.67 \pm 0.13$
Brain/plasma ratio		
DTE	416.60%	
DTP	76.50%	

$n = 6$  or  $4$ ,  $^*P < 0.05$  and  $^{**}P < 0.01$  vs intravenous group.

### 3.5. FRET imaging analysis

DiO and DiI were embedded inside PA-NCs to yield DiO/DiI/PA-NCs. DiO/DiI/PA-NCs were fabricated by mixing DiO and DiI at a ratio of 1:1 (0.5% of each to PA), to determine the intracellular integrity. The average particle size of DiO/DiI/PA-NCs was  $140.8 \pm 1.6$  nm with a PDI of  $0.017 \pm 0.005$ . The DiO/DiI/PA-NCs were regarded as intact NC if the FRET signal was seen, illustrating that DiO and DiI are available in the same NC. On the contrary, breakdown or release of NCs led to

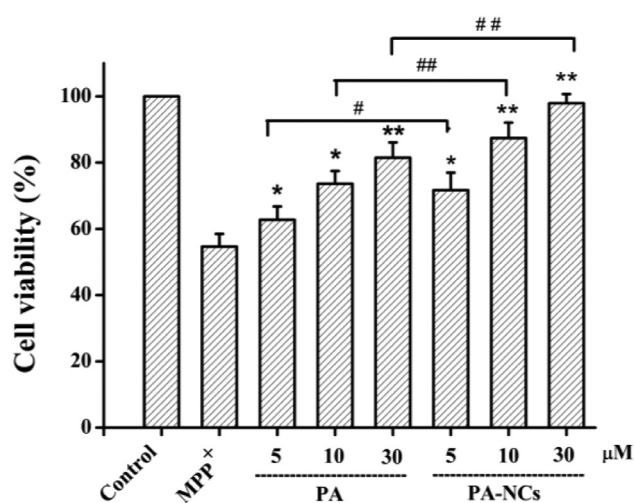


**Fig. 5 – Intracellular integrity monitoring by FRET. (A)** Green curve represents the fluorescence spectrum of DiO/DiI/PA-NCs diluted in acetone, whereas red curve indicates the DiO/DiI/PA-NCs spectrum diluted in water. **(B)** Cellular uptake of DiO/DiI/PA-NCs at two different incubation times (0.5 and 1 h). Scale bar: 20  $\mu\text{m}$ . (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

an absence in the FRET signal because DiO and DiI were separated. As shown in Fig. 5A (red curve), after dispersing the DiO/DiI/PA-NCs in water, a high severity of DiI signal (red fluorescence, FRET ratio of  $0.92 \pm 0.02$ ) were observed. These findings were probably because of the short interval between DiI and DiO. The peak was changed from 565 to 505 nm, after dissolving DiO/DiI/PA-NCs in acetone, offering that DiI and DiO may be isolated by acetone (Fig. 5A, green curve). To study the intracellular integrity of NCs, Calu-3 cells were treated with DiO/DiI/PA-NCs at 20  $\mu\text{M}$  PA. Importantly, a strong DiI signal and a very low DiO signal (green fluorescence) were observed (Fig. 5B), representing the intracellular integrity of NCs during the treatment. It has been stated that particles with sizes less than 200 nm enter into the cells via clathrin-mediated endocytosis [32]. Therefore, PA-NCs with particle size of  $139.6 \pm 1.3$  nm are taken up into cells via endocytosis mediated by clathrin.

### 3.6. PA-NCs protection against MPP<sup>+</sup>-mediated cytotoxicity

MTT analysis revealed 45.34% cell death in SH-SY5Y cells incubated with 2 mM MPP<sup>+</sup>. The treatment with 5, 10 and 30  $\mu\text{M}$  of PA-NCs considerably enhanced the viability (71.67%, 87.36% and 97.94%, respectively) of MPP<sup>+</sup>-treated cells in a manner based on the dose (Fig. 6). Importantly, PA-NCs displayed more potent neuroprotective activity in reversing MPP<sup>+</sup>-induced cytotoxicity than that of PA. Furthermore, the intracellular ATP levels were analyzed to assess the effect of PA-NCs on the energy metabolism of SH-SY5Y cells. As shown in Fig. 7A, treatment with 5, 10 and 30  $\mu\text{M}$  of PA-NCs notably inhibited MPP<sup>+</sup>-induced energy deficiency in a dose-dependent mode, from 42.36% to 69.44%, 81.73%, and 95.79%, respectively. Likewise, PA-NCs inhibited MPP<sup>+</sup>-induced MMP loss dose-dependently, from 47.51% to 73.52%, 86.71%, and 96.88%, respectively (Fig. 7B).



**Fig. 6 – Protective effect of PA-NCs towards MPP<sup>+</sup>-induced SH-SY5Y cell death. \*P < 0.05 and \*\*P < 0.01 vs MPP<sup>+</sup> group. #P < 0.05 and ##P < 0.01 vs PA treatment group.**

### 3.7. Pharmacokinetic study

The curves of the concentrations of PA in plasma and brain versus time after intranasal (IN) or intravenous administration (IV) of PA-NCs are shown in Fig. 8. The PA concentrations of IN in plasma were lower than that of IV at different time points as illustrated on Fig. 8A. On the contrary, the PA concentrations of IN in the brain were higher than that of IV from 0.5 to 8 h after administration (Fig. 8B), which indicated that most PA-NCs directly enter the brain via olfactory and trigeminal neural pathways rather than blood circulation after IN. After IV, PA-NCs continuously dissolved in the blood and less PA distributed to the brain due to the BBB. The pharmacokinetic parameters of plasma and brain are listed in Table 2. The  $T_{1/2}$ ,  $T_{max}$  and  $C_{max}$  after IN in plasma were significantly lower



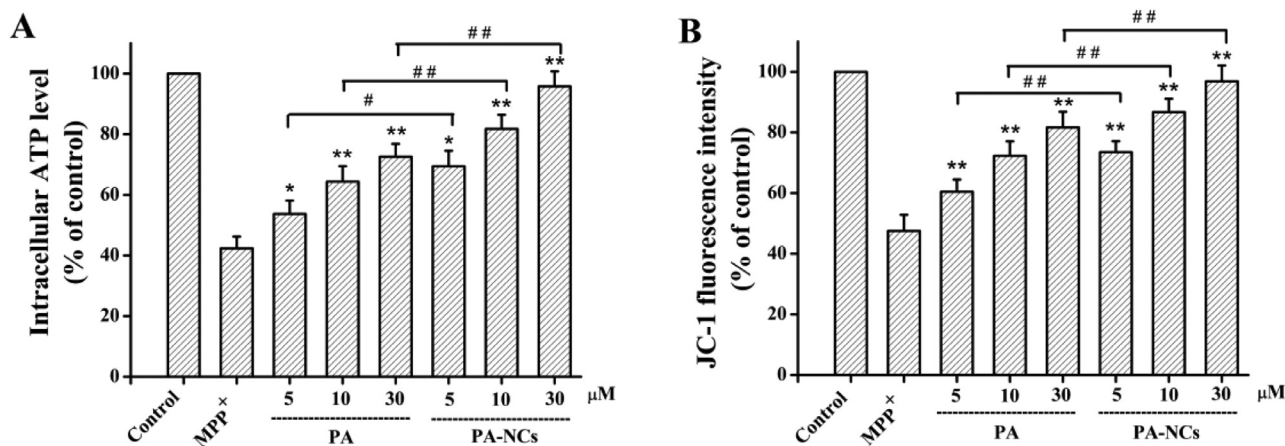


Fig. 7 – Effect of PA-NCs on MPP<sup>+</sup>-induced (A) ATP deficiency and (B) mitochondrial membrane potential loss. \**P* < 0.05 and \*\**P* < 0.01 vs. MPP<sup>+</sup> group. #*P* < 0.05 and ##*P* < 0.01 vs. PA treatment group.

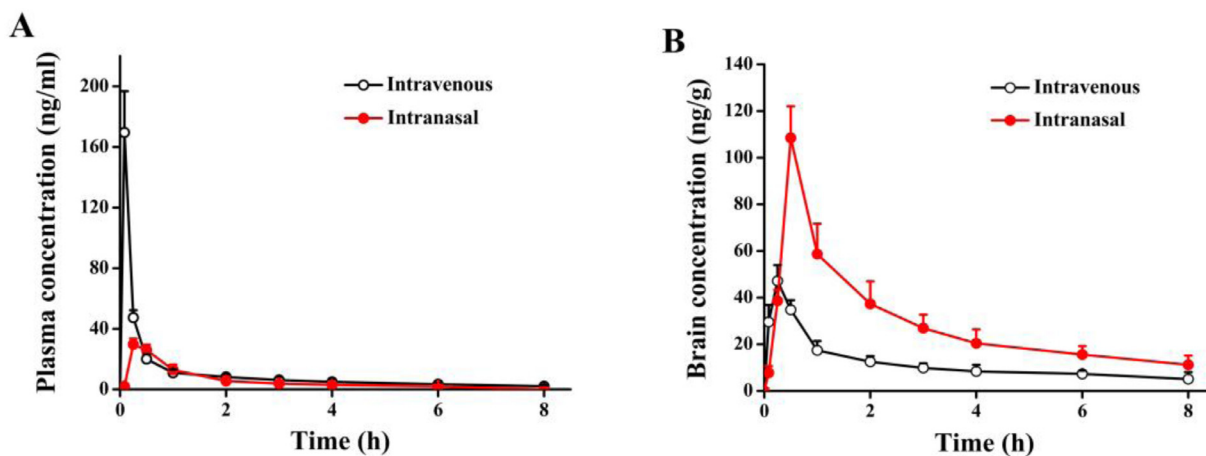


Fig. 8 – Plasma and brain concentrations after IV and IN administration at different time points. (A) Plasma concentration and (B) brain concentration.

than those after IV ( $P < 0.01$ ), whereas the  $C_{max}$  after IN in the brain ( $108.47 \pm 9.51$  ng/g) was far higher than that after IV ( $47.19 \pm 5.69$  ng/g).  $AUC_{0-t}$  is another important parameter that should be considered. While comparing  $AUC_{0-8h}$  (IN) to  $AUC_{0-8h}$  (IV) in the brain, the former ( $230.86 \pm 18.56$  ng.h/g) was larger than the latter ( $94.11 \pm 10.37$  ng.h/g). These results suggested that IN allows more drugs to bypass BBB into brain without entering the blood circulation. All these results showed that PA-NCs with DTE% and DTP% values of 416.60% and 76.50% could efficiently deliver PA to the brain [33].

#### 4. Conclusions

In this study, stable PA-NCs suitable for intranasal administration were successfully developed with TPGS as the stabilizer using antisolvent precipitation method. MDS revealed that PA and TPGS molecules displayed spontaneous binding in the presence of water molecules, and their bonding mainly depended on van der Waals forces and hydrophobicity. Ex vivo permeation studies illustrated that the solubility in

physiological solution and biological penetrability of PA-NCs were superior to that of free PA. In addition, PA-NCs obviously enhanced the uptake and transportation of PA in Calu-3 cells. Meanwhile, the intact NCs could be internalized into Calu-3 cells. The higher PA content in the brain validated the targeting efficiency of intranasally delivered NCs. Overall, intranasal administration of PA-NCs can promote the concentration of PA in the brain to a large extent, which might be a promising treatment strategy for PD in the future.

#### Conflict of interest

The authors report no conflicts of interest.

#### Acknowledgments

This work was supported by the Guangdong Provincial Natural Science Foundation of China (2018A030310623), the Guangdong Provincial Medical Scientific Research Foundation

of China (A2019027) and the Guangzhou Science Technology and Innovation Commission Technology Research Projects (201805010005).

## Supplementary materials

Supplementary material associated with this article can be found, in the online version, at doi:10.1016/j.ajps.2019.11.002.

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