


# Apolipoprotein E (APOE) and Alzheimer's disease risk in a Ugandan population

## A pilot case-control study

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### Abstract

Alzheimer's disease (AD) is a neurodegenerative disorder that is characterized by cognitive decline and progressive functional impairment. The Apolipoprotein E (APOE) gene, particularly its  $\epsilon 2$ ,  $\epsilon 3$ , and  $\epsilon 4$  alleles, plays a crucial role in lipid metabolism, and has been implicated in AD pathogenesis. Although the APOE  $\epsilon 4$  status is associated with an increased risk of AD, its impact varies across populations. This study investigated the prevalence of and association between APOE alleles and AD risk in a Ugandan cohort. This case-control study was conducted in Uganda, and included 87 participants (45 patients with AD and 42 healthy controls). Cognitive assessment was performed using the Montreal Cognitive Assessment (MoCA) and clinical diagnoses were based on the ICD-11 and DSM-5 criteria. Venous blood was collected for APOE genotyping by polymerase chain reaction. Statistical analyses, including logistic regression and generalized additive models (GAMs), were used to assess the association between APOE alleles and AD risk after adjusting for age, education, and sex. This study included 45 patients with AD and 42 healthy controls. The AD group was significantly older than controls (79.6 vs 73.0 years;  $P = .0006$ ). The  $\epsilon 4$  allele was common in both the AD (42.2%) and control groups (44.0%), which was higher than the 1000 Genomes African ancestry data. No significant association was found between the APOE genotype or allele dosage and AD risk after adjusting for age, sex, and education. However, the probability of AD increases with age, particularly among  $\epsilon 4$  carriers with lower educational levels. While APOE  $\epsilon 4$  status was associated with a higher predicted probability of AD in older adults, no statistically significant relationship was observed in the Ugandan cohort. These findings support the need for larger population-specific studies to explore APOE's role of APOE in AD risk across sub-Saharan Africa.

**Abbreviations:** AD = Alzheimer's disease, APOE = Apolipoprotein E, HC = healthy controls, HWE = Hardy-Weinberg Equilibrium, MoCA = Montreal Cognitive Assessment, PCR = polymerase chain reaction, VHT = Village Health Team.

**Keywords:** Alzheimer's disease, APOE, case-control study, Uganda

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# 1. Introduction

## 1.1. Background

Alzheimer's disease (AD), a neurodegenerative disorder affecting over 50 million people worldwide,<sup>[1]</sup> is characterized by the accumulation of amyloid-beta (A $\beta$ ) plaques and neurofibrillary tangles in the brain, leading to cognitive decline, behavioral changes, and progressive functional impairment. The complex etiology of AD is driven by a multifaceted interplay between genetic and environmental factors such as diet, physical activity, exposure to toxins, and cardiovascular health, underscoring the need for a deeper understanding of its genetic underpinnings to develop targeted interventions.<sup>[2]</sup>

Among the genetic factors implicated in AD, the Apolipoprotein E (APOE) is a crucial determinant, particularly because of its role in lipid transport and metabolism in the brain, which is essential for maintaining neuronal function and integrity.<sup>[3–5]</sup> Disruptions in lipid transport can lead to impaired membrane repair, synaptic dysfunction, and increased amyloid-beta accumulation, all of which contribute to AD pathogenesis.<sup>[6]</sup> APOE has three common isoforms,  $\epsilon$ 2,  $\epsilon$ 3, and  $\epsilon$ 4, each of which is associated with different levels of AD risk.<sup>[7]</sup> The  $\epsilon$ 4 allele is linked to an increased risk of AD and an earlier onset of symptoms, likely because of its role in promoting A $\beta$  aggregation and impairing A $\beta$  clearance through its interaction with receptors such as low-density lipoprotein (LDL) receptor-related protein-1 (LRP1), which are involved in A $\beta$  uptake and degradation, as well as affecting cellular pathways that regulate A $\beta$  metabolism.<sup>[8]</sup> Conversely, the  $\epsilon$ 2 allele appears to offer protection against AD, potentially by enhancing A $\beta$  clearance and reducing neuroinflammation.<sup>[9]</sup> The  $\epsilon$ 3 allele, which is often considered neutral, may provide a relatively protective effect compared to  $\epsilon$ 4, meaning that while it does not actively increase AD risk like  $\epsilon$ 4, it does not offer the same level of protection as  $\epsilon$ 2.<sup>[10]</sup>

The pathology of AD in relation to APOE is further complicated by isoform-specific interactions with other pathological proteins such as tau. APOE4 not only influences the deposition and aggregation of A $\beta$  but also exacerbates tau pathology and associated neurodegeneration.<sup>[11,12]</sup> Moreover, APOE4 has been implicated in the dysfunction of several critical brain processes, including synaptic integrity, glucose metabolism, and cerebrovascular function.<sup>[13]</sup> The multifaceted involvement of APOE in AD pathogenesis makes it a pivotal target for therapeutic strategies aimed at delaying or mitigating AD progression.

Emerging research suggests that the differential effects of APOE isoforms on lipid metabolism and receptor interactions in the brain may also contribute to AD pathology. For example, APOE4 interacts with receptors such as LDL receptor-related protein 1 (LRP1) and very-low-density lipoprotein (VLDL) receptors more strongly than APOE2 or APOE3, leading to altered lipid uptake and impaired clearance of amyloid-beta.<sup>[14]</sup> Conversely, APOE2 is associated with enhanced receptor-mediated lipid transport and neuroprotection.<sup>[9]</sup> APOE4's interaction with LDL receptor-related proteins, such as LRP1 and VLDL, alters lipid transport and distribution, potentially exacerbating AD-related processes. Understanding these isoform-specific mechanisms is crucial for developing targeted interventions to modify the disease course.

Although extensive research has been conducted on the effect of APOE  $\epsilon$ 4 allele on AD, its effects vary considerably across populations. In African populations, particularly in sub-Saharan Africa, the association between APOE  $\epsilon$ 4 and AD is less consistent than that in other regions. For example, studies have shown a well-established link between APOE  $\epsilon$ 4 and AD in Africa, but this association is either absent or markedly weaker in sub-Saharan Africa.<sup>[15]</sup> These geographical disparities suggest a complex interplay of gene-environment interactions that may influence AD pathogenesis differently in various regions. For example, environmental factors specific to sub-Saharan Africa,

such as diet, infectious disease prevalence, and limited access to healthcare, may play a significant role in modulating AD risk in these populations.<sup>[16]</sup>

The variability in the impact of APOE  $\epsilon$ 4 on AD across African populations could be attributed to unique genetic variants or environmental factors that modulate AD risk differently than in other global populations.<sup>[17–19]</sup> For instance, earlier studies have suggested a significant correlation between APOE  $\epsilon$ 4 homozygosity and AD in certain populations such as Yoruba.<sup>[20,21]</sup> This diversity underscores the importance of expanding genetic studies in underrepresented populations to uncover novel insights that could provide more effective and personalized treatments for AD.

Despite the significant contribution of the APOE genotype to AD risk, age of onset, and response to treatment, most research has concentrated on European or Asian populations, leaving a substantial knowledge gap regarding APOE's influence of APOE on AD in genetically diverse African populations. This study aimed to bridge this gap by investigating the frequency and impact of the APOE alleles ( $\epsilon$ 2,  $\epsilon$ 3, and  $\epsilon$ 4) in older adults with AD in Uganda, a country with a unique genetic background and environmental context. This study may provide valuable insights into the diverse genetic factors that influence AD risk in African populations. By focusing on an Ugandan cohort, this study aimed to provide valuable insights into the genetic epidemiology of AD in sub-Saharan Africa, with potential implications for the development of targeted interventions for this unique population.

## 2. Methods

### 2.1. Study design and setting

This case-control study was conducted in the rural-urban District of Uganda, a region noted for its diverse demographic composition, encompassing urban, suburban, and rural areas, and housing a population of approximately two million.<sup>[22]</sup> Individuals aged  $\geq 60$  years were enrolled in the study. Recruitment involved community leaders, such as Local Councilors and Village Health Teams, who used their local knowledge to identify and contact potential participants at their residences. The AD group was selected from a previous dementia study cohort of 500 elderly individuals from Nansana and Busukuma, representing both urban and rural areas.<sup>[23]</sup>

### 2.2. Clinical assessment and diagnoses

Cognitive screening was performed by trained interviewers using the Montreal Cognitive Assessment (MoCA). The MoCA cutoff values were set at  $\geq 25$  for normal controls, 18 to 25 for Mild cognitive impairment (MCI), and  $\leq 17$  for dementia.<sup>[24,25]</sup> The clinical evaluation was performed by a psychiatrist who adhered to the ICD-11 and DSM-5 criteria. Participants were classified as normal, mild cognitive impairment (MCI), or AD based on a consensus clinical diagnosis by two psychiatrists and a neuropsychologist.<sup>[26,27]</sup> The diagnostic process began with an initial assessment of the patient's symptoms and concerns, gathering medical history, family mental health history, and previous treatments or diagnoses.

Cognitively healthy controls were mostly participants' spouses, to ensure similarity in diet and environment. The research assistants collected the participants' demographic data such as birth date, education level, and past occupation, while the medical histories of diabetes, heart disease, and hypertension were gathered via structured questionnaires. The exclusion criteria for both cases and controls were major psychiatric disorders and significant neurological conditions in addition to dementia. Participants with severe systemic diseases such as chronic kidney disease, sepsis, heart failure, diabetes, and recent antibiotic use within six weeks were also excluded.

Educational attainment was assessed during the participant interviews by recording the highest level of formal education. Participants were asked to report their completed education, which was classified into the following categories: no formal education, primary education, secondary education, and tertiary education (including vocational training, college, or university degrees).

For statistical analyses, education was treated as a continuous variable, representing the total number of years of formal schooling. This variable was included as a covariate in the logistic regression models to adjust for the potential confounding effects of education on cognitive status and APOE genotype associations.

### 2.3. Sample size estimation

The sample size was calculated using the G\*Power software (version 3.1), assuming a chi-square test for independence. We selected an effect size ( $w$ ) of 0.35, representing a moderate association. Prior studies have reported a moderately increased risk of AD associated with the APOE  $\epsilon 4$  allele in African ancestry populations, including African Americans. For example, a study in 2014 reported a significant but moderate association between  $\epsilon 4$  carrier status and incident AD in African Americans, with similar trends observed in other populations of African descent.<sup>[20]</sup> Given the variability in reported effect sizes and the limited data from sub-Saharan African cohorts, we selected an effect size of 0.35, representing a moderate association, to ensure that our sample size was both statistically sound and feasible for this pilot case-control study. This effect size was chosen to reflect a conservative estimate, while remaining feasible for recruitment within the context of this pilot study. The significance level ( $\alpha$ ) was set at 0.05 (two-tailed), with a power ( $1 - \beta$ ) of 0.80, and an allocation ratio of 1:1 between the cases and controls. The

minimum sample size required was estimated to be 80 participants (40 cases and 40 controls), providing sufficient power to detect a moderate association between APOE alleles and AD risk in this population.

### 2.4. Efforts to minimize bias

To reduce potential confounding by environmental factors, controls were primarily selected from the participants' spouses, ensuring greater similarity in lifestyle, diet, and living conditions. Cognitive assessments were performed using the MoCA with established cutoff scores, while clinical diagnoses adhered to the ICD-11 and DSM-5 criteria. This standardized approach minimizes the risk of diagnostic bias.

Recruitment was conducted in partnership with local council leaders and Village Health Teams, leveraging their knowledge of the community to achieve broad representation across urban, suburban, and rural settings, thereby reducing selection bias. To further address the risk of genetic relatedness within and between the case and control groups, the recruitment team actively screened participants to exclude close biological relatives (e.g., siblings, parent-offspring, or first cousins). This was achieved through structured interviews and a careful review of family histories.

### 2.5. Ethical considerations

Informed consent was obtained from all participants directly or from their proxies when necessary, to ensure voluntary participation and understanding of the study's objectives and procedures. All procedures undertaken in this study were conducted in accordance with the ethical guidelines set forth by the research and ethics committee as well as the 1964 Helsinki Declaration and its subsequent amendments and comparable ethical standards.

**Table 1**

**Demographic and baseline characteristics.**

Variable	AD	Controls	P value
n	45	42	
Age (Mean $\pm$ SD)	79.62 $\pm$ 10.25	73.02 $\pm$ 6.64	.0006
Gender, Female (%)	37 (82)	29 (69)	.2363
Education (Median, IQR)	3.0 (0.0–5.0)	4.0 (2.0–7.0)	.1072

**Table 2**

**APOE genotype and allele distributions, HWE P values, and regression results.**

Section	Row_Label	AD	HC	OR_CI	P value	Reference
Sample size		n = 45 cases	n = 42 controls	—	—	—
Genotype distribution	$\epsilon 2/\epsilon 3$	3 (6.7%)	2 (4.8%)	—	—	—
	$\epsilon 2/\epsilon 4$	4 (8.9%)	2 (4.8%)	—	—	—
	$\epsilon 3/\epsilon 3$	3 (6.7%)	3 (7.1%)	—	—	Reference
	$\epsilon 3/\epsilon 4$	35 (77.8%)	35 (83.3%)	—	—	—
Allele distribution	Allele $\epsilon 2$	7 (7.8%)	4 (4.8%)	—	—	—
	Allele $\epsilon 3$	44 (48.9%)	43 (51.2%)	—	—	—
	Allele $\epsilon 4$	39 (43.3%)	37 (44%)	—	—	—
	HWE P value	<.001	<.001	—	—	—
Hardy-Weinberg equilibrium	$\epsilon 2/\epsilon 3$	—	—	0.39 (0.02–5.51)	.49	—
	$\epsilon 2/\epsilon 4$	—	—	0.26 (0.02–3.11)	.293	—
	$\epsilon 3/\epsilon 4$	—	—	0.58 (0.09–3.64)	.547	—
	—	—	—	—	—	—
Regression models						
Logistic regression (APOE*4 dosage)	APOE*4 dosage (0-2 copies)	—	—	0.81 (0.2–3.35)	.763	—
Logistic regression (APOE*2 dosage)	APOE*2 dosage (0-2 copies)	—	—	0.52 (0.11–2.14)	.371	—

P values < .05 were considered statistically significant and are highlighted in red. Logistic regression models were adjusted for age, gender, and education. Genotype  $\epsilon 3/\epsilon 3$  was used as the reference group in all genotype-based regression models. APOE\*4 and APOE\*2 dosage models represent the additive effect of carrying 0, 1, or 2 alleles. HWE = Hardy-Weinberg Equilibrium.

The study protocol was reviewed and approved by the School of Biomedical Sciences Research and Ethics Committee of Makerere University (approval number SBS-2022-256) and Uganda National Council of Science and Technology (approval number HS2930ES).

## 2.6. Sample collection and genotyping

Venous blood (7 mL) was collected from all participants and stored at  $-4^{\circ}\text{C}$  until analysis. Genotyping of APOE alleles was performed using polymerase chain reaction (PCR), as previously described.<sup>[28]</sup>

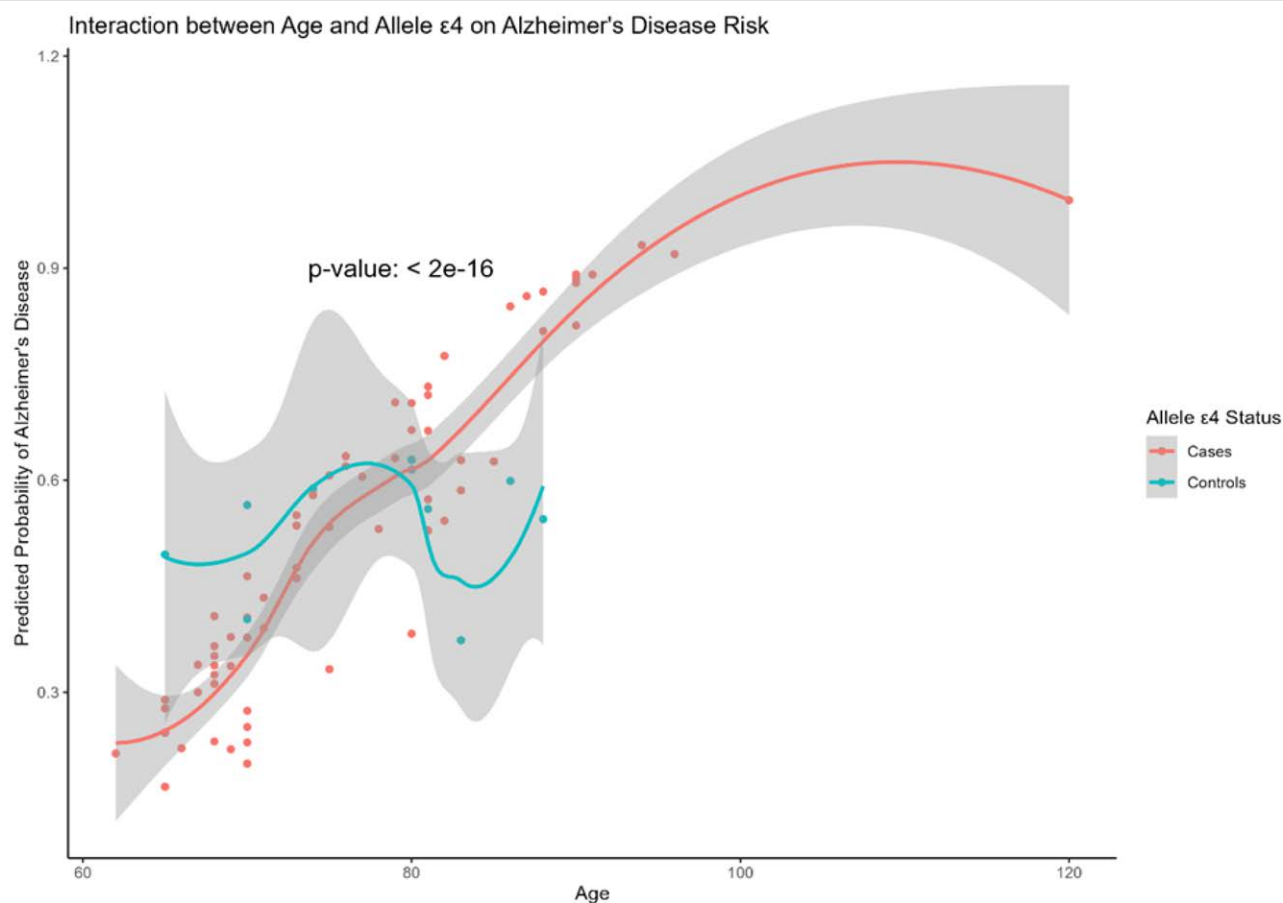
## 2.7. Human genomic DNA

Genomic DNA was extracted from human whole blood samples using the QIAamp DNA Blood Mini Kit (Qiagen, Hilden, Germany), according to the manufacturer's protocol. This method employs a highly efficient silica membrane-based spin column for the rapid purification of DNA from 0.2 mL of blood within a remarkably short time span. The quality and quantity of the resulting DNA samples were evaluated using Nanodrop spectrophotometer (Thermo Fisher Scientific, Waltham) and agarose gel electrophoresis, respectively. Notably, the extracted DNA had an average A260/A280 ratio of 1.8, confirming its purity, with an impressive yield of 6.5  $\mu\text{g}$  per sample. To preserve

the integrity of the genetic material, the DNA specimens were preserved at  $-80^{\circ}\text{C}$ , pending subsequent analyses of greater significance and depth.

## 2.8. Nested PCR amplification for targeted analysis of specific codons in human DNA sequences

Two amplifications were performed by nested PCR. The first amplification targeted a common outer region of the human genome. For the first run, a Master Mix of 25  $\mu\text{L}$  was prepared from the following: 2.5 mM magnesium chloride ( $\text{MgCl}_2$ ; ThermoScientific), 10 mM of each deoxynucleotide triphosphate (dNTP), 2.5 units of DreamTaq DNA polymerase, 10X DreamTaq buffer (Thermoscientific) and nuclease-free water to make 25  $\mu\text{L}$ . 20 ng sample DNA was used, and 10 pmol of each forward (5-ACTGACCCCGGTGGCGGAGGA-3) and reverse (5-CAGGCGTATCTGCTGGGCCTGCTC-3) primer (Inqaba Biotec East Africa Ltd., Kampala, Uganda).<sup>[28]</sup> PCR was performed using the Applied Biosystems SimpliAmp Thermocycler (Thermo Scientific) under the following conditions: 35 cycles of denaturation at  $95^{\circ}\text{C}$  for 30 seconds, annealing at  $64^{\circ}\text{C}$  for 30 seconds, and extension at  $72^{\circ}\text{C}$  for 30 seconds. To ensure complete amplification of all products, a final extension step at  $72^{\circ}\text{C}$  for 7 minutes was performed. The PCR product (5  $\mu\text{L}$ ) was run on a 2% agarose gel and viewed under a transilluminator to look for a 514 bp band.



Interaction between age and APOE  $\epsilon 4$  carrier status and AD risk.

**Figure 1.** The interaction effect between age and APOE  $\epsilon 4$  on the predicted probability of developing Alzheimer's disease (AD) risk and the interaction effect between age and APOE  $\epsilon 4$  carrier status on the predicted probability of developing AD. The x-axis represents age, and the y-axis indicates the predicted probability of AD. The solid line represents  $\epsilon 4$  carriers (cases), whereas the dashed line represents non-carriers (controls). The shaded areas around the lines indicate 95% confidence intervals.



The second PCR run targeted codons 112 and 158 of the first amplicon. 5  $\mu$ L (Could add concentration here, people may be more interested in that than the volume of the internal forward PCR) and used as-template to for the second PCR using 2.5  $\mu$ L of 10 pMol of each of the internal forward primers (5'-GGCGCGGACATGGAGGACGgGC-3') and reverse primers (5'-GCGGTACTGCACCAGGCGGCCTCA-3') for the 112 codon and the internal forward primers (5'-CGATGCCGATGACCTGCAGAcGC-3') and reverse primers (5'-CCCGGCCTGGTACACTGCCAGtCA-3') (Table 1).<sup>[28]</sup> During the run, 35 cycles of amplification were performed under the following conditions: initial denaturation at 95 °C for 5 min, followed by denaturation at 94°C for 30 seconds, annealing at 62°C for 30 seconds, extension at 72°C for 30 seconds, and a final extension at 72°C for 10 minutes. Five microliters of the final PCR product (5  $\mu$ L) were loaded onto a 2% agarose gel ethidium bromide (1  $\mu$ g/mL) and electrophoresed at 120 V for 90 minutes. Positive reactions yielded amplicons of 115, 253, 307, and 444 bp (Table 2), which were easily visualized using a UV transilluminator. Nuclease-free cells were used as negative controls.

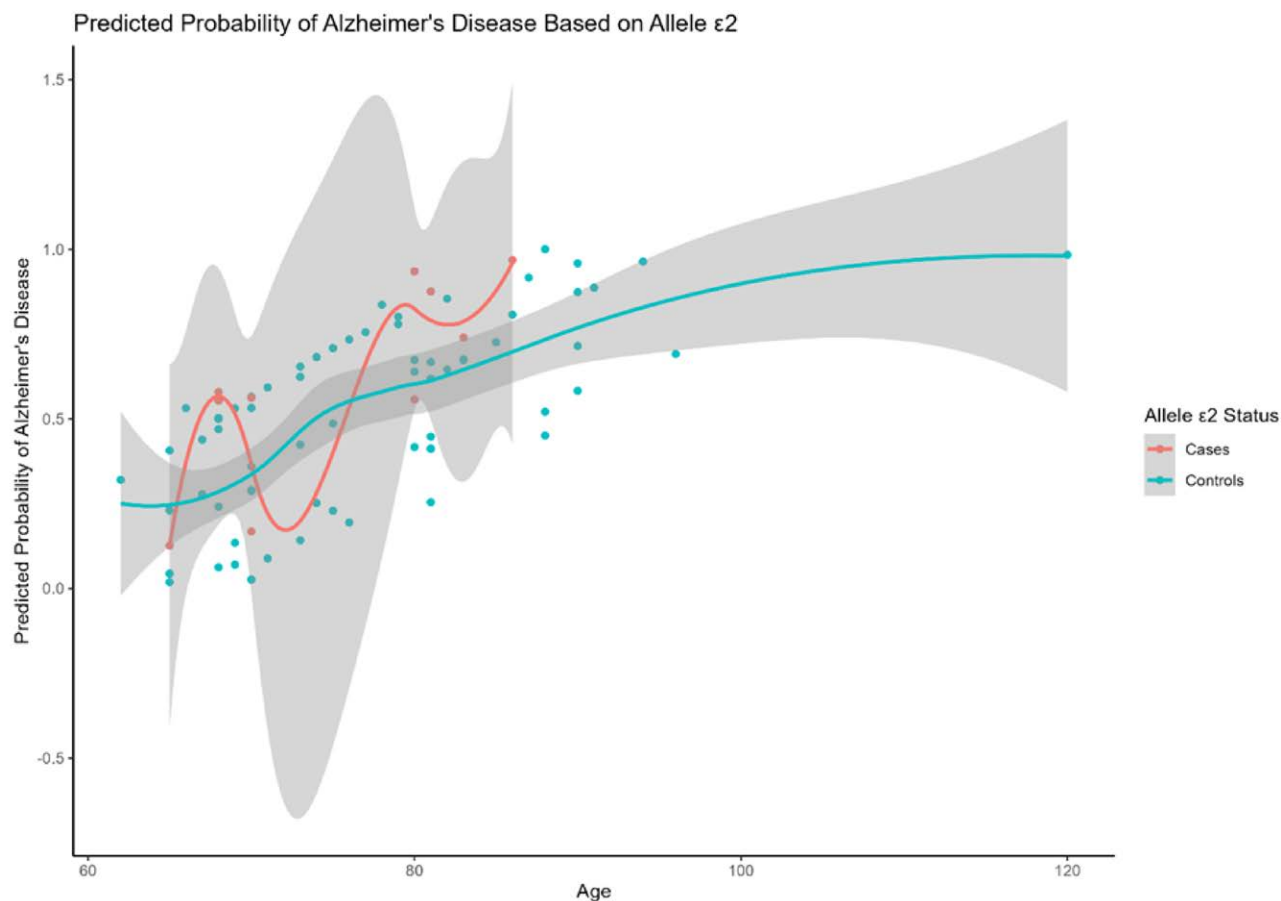
## 2.9. Genotyping and quality control

Genotyping of the APOE alleles was performed using PCR-based methods, followed by gel electrophoresis for allele

identification. Several quality control (QC) measures have been implemented to ensure data quality. These included duplicate genotyping of a random 10% subset of the samples, which yielded > 99% concordance. Negative controls (no-template reactions) were included in each PCR run to monitor for contamination. In addition, samples with ambiguous or missing genotype calls were excluded from downstream analysis. No batch effects or technical artifacts were observed across the different runs and allele calling was independently verified by two laboratory personnel.

## 2.10. Data analysis

All statistical analyses were conducted using the R software (version 4.3.3) to ensure reproducibility and the use of advanced statistical methods suitable for epidemiological data. Descriptive statistics were used to summarize demographic characteristics, employing means and standard deviations for continuous variables and frequencies and percentages for categorical variables to provide an overview of the population. Independent sample *t* tests, Mann–Whitney *U* tests, and chi-square tests were employed to compare demographic variables between AD and healthy control (HC) groups, allowing for appropriate statistical testing based on variable type and distribution, thus ensuring valid group comparisons.



*Predicted probability of Alzheimer's disease (AD) based on age and APOE  $\epsilon$ 2 allele status*

**Figure 2.** Predicted probability of Alzheimer's disease (AD) based on APOE  $\epsilon$ 2 carrier status. This graph shows the predicted probability of developing AD in relation to age and APOE  $\epsilon$ 2 carrier status. The x-axis represents age, whereas the y-axis represents the predicted probability of AD. The solid curve represents individuals carrying the APOE  $\epsilon$ 2 allele (cases), whereas the dashed curve represents non-carriers (controls). The shaded areas around the curves indicate 95% confidence intervals for the predicted probabilities.

Logistic regression models were used to assess the association between APOE alleles and AD risk after adjusting for age, education, and sex as covariates. This method was chosen to control for potential confounding factors and quantify the effect of APOE alleles on AD risk. Generalized Additive Models were fitted to explore the nonlinear relationships among age, APOE  $\epsilon 4$  status, AD risk, and interaction effects. Generalized Additive Models provide flexibility in modeling complex relationships without assuming linearity, making them ideal for capturing the dynamic effects of age and potential interactions with APOE status and education on AD risk.

Missing data were handled using multiple imputations, which allowed for the estimation of missing values based on observed data patterns, thereby reducing bias and preserving the statistical power. Sensitivity analyses were conducted to compare the results with and without imputed data to ensure the robustness of the findings.

The predicted probabilities of AD were plotted to visualize the relationships, providing an intuitive understanding of how AD risk varies across age groups stratified by APOE allele status and education level. Matching was performed for age and sex to minimize confounding, ensuring that comparisons between the groups were as fair as possible. Analyses were conducted with the significance level set at  $P < .05$ , providing a conventional threshold for determining statistically significant associations. The results were interpreted by considering model uncertainty,

particularly in older age groups with wider confidence intervals, to appropriately account for variability and ensure cautious conclusions.

## 2.11. Genotype distribution and Hardy-Weinberg Equilibrium testing

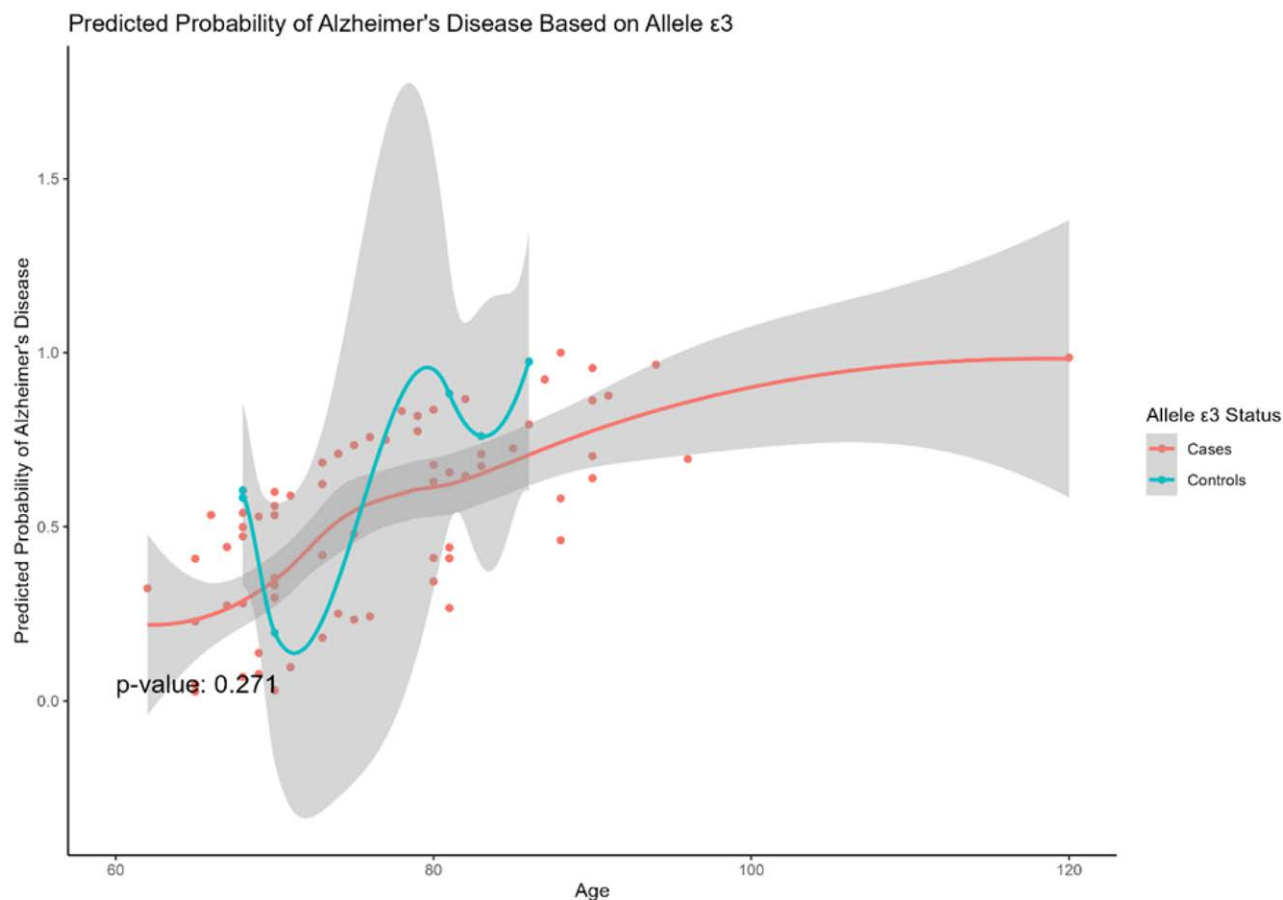
Hardy-Weinberg Equilibrium (HWE) was assessed separately for AD cases and cognitively normal controls to evaluate whether genotype frequencies conformed to the expected proportions under equilibrium. APOE genotypes were first summarized within each group, and HWE was tested under the assumption of a tri-allelic system ( $\epsilon 2$ ,  $\epsilon 3$ , and  $\epsilon 4$ ).

The exact test for HWE was performed using the Hardy-Weinberg R package (version 4.3.3), applying a G-test for multi-allelic loci. Deviations from HWE were considered significant at a threshold of  $P < .05$ . Genotype counts and p-values are reported for each group.

## 3. Results

### 3.1. Demographic and baseline characteristics

A total of 87 participants were included in the study, comprising 45 individuals diagnosed with AD and 42 HC (Table 1). The mean age of the participants in the AD group was 79.62



*Predicted probability of Alzheimer's disease (AD) in relation to age and APOE  $\epsilon 3$  allele status*

**Figure 3.** Predicted probability of Alzheimer's disease (AD) based on APOE  $\epsilon 3$  carrier status. This graph depicts the predicted probability of developing AD in relation to age and APOE  $\epsilon 3$  carrier status. The x-axis represents age, whereas the y-axis represents the predicted probability of AD. The solid curve represents individuals carrying the APOE  $\epsilon 3$  allele (cases), whereas the dashed curve represents non-carriers (controls). The shaded areas around the curves indicate 95% confidence intervals for the predicted probability estimates.

years (SD = 10.25), which was significantly higher than that of the HC group, with a mean age of 73.02 years (SD = 6.64), with a  $P$  value of .0006, indicating a statistically significant difference. The proportion of female participants was higher in the AD group (82%) than in the HC group (69%), although the difference was not statistically significant ( $P = .2363$ ). Median years of education were lower in the AD group (3.0 years, IQR: 0.0–5.0) compared to the HC group (4.0 years, IQR: 2.0–7.0), but this difference was not statistically significant ( $P = .1072$ ).

### 3.2. APOE genotype, allele frequencies, and association with AD

The APOE genotype and allele distributions among patients (AD) cases ( $n = 45$ ) and controls ( $n = 42$ ) are summarized in Table 2. The most common genotype in both groups was  $\epsilon 3/\epsilon 4$ , which was present in 77.8% of cases and 83.3% of controls. The frequencies of  $\epsilon 2/\epsilon 3$ ,  $\epsilon 2/\epsilon 4$ , and  $\epsilon 3/\epsilon 3$  genotypes were lower and comparable between the groups. The allele frequencies showed that the  $\epsilon 3$  allele was the most prevalent, followed by the  $\epsilon 4$  and  $\epsilon 2$  alleles.

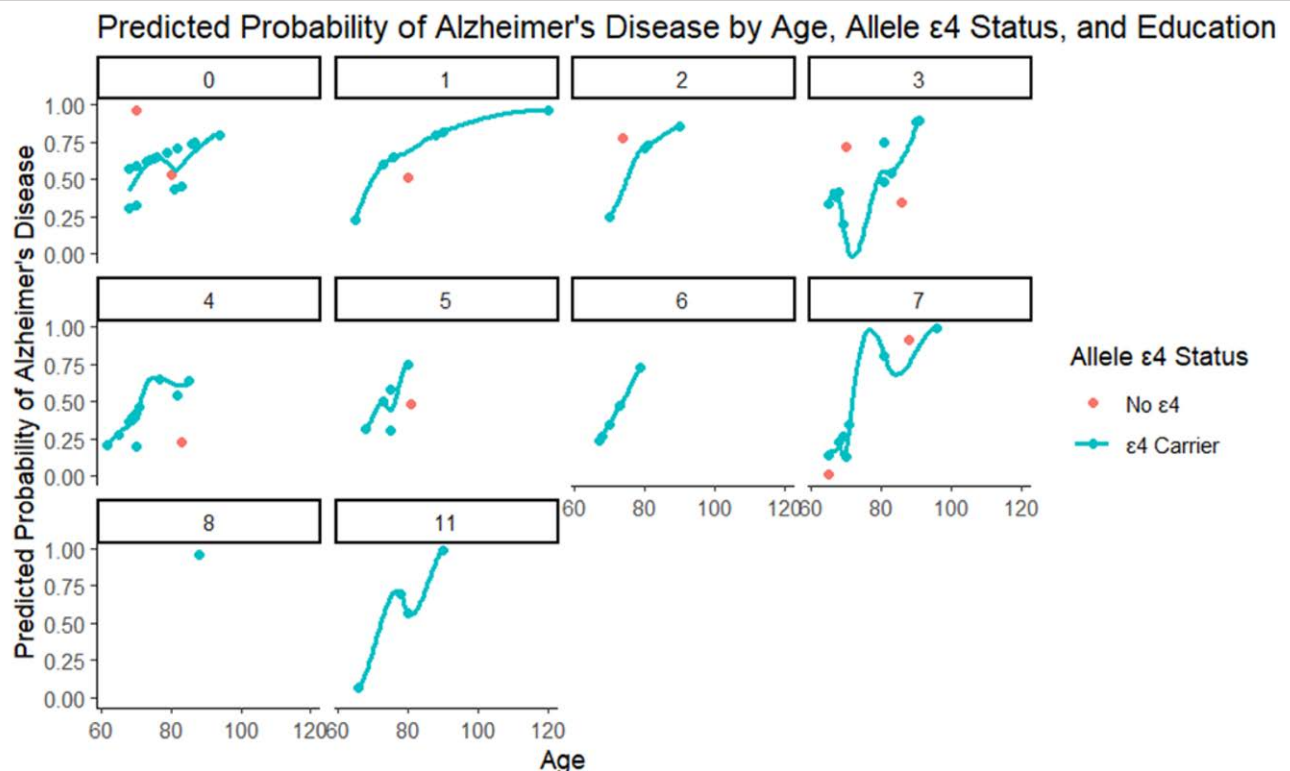
Both the AD and control groups showed significant deviations from Hardy-Weinberg Equilibrium ( $P < .001$ ). No statistically significant associations were observed between APOE genotypes and AD status in the logistic regression models adjusted for age, sex, and education. Compared to the  $\epsilon 3/\epsilon 3$  reference group, none of the genotypes ( $\epsilon 2/\epsilon 3$ ,  $\epsilon 2/\epsilon 4$ , or  $\epsilon 3/\epsilon 4$ ) was associated with altered odds of AD (all  $P > .05$ ). Similarly, the APOE4 and APOE2 allele dosage models (reflecting 0–2 copies) did not show significant associations with AD risk.

### 3.3. Comparison of APOE allele frequencies with 1000 genomes African ancestry data

Compared to the 1000 Genomes Project African ancestry data, the APOE allele frequencies in both the AD (CA) and control (CO) groups demonstrated elevated  $\epsilon 4$  frequencies. The  $\epsilon 4$  allele was observed in 42.2% of the AD group and 44.0% of the control group, which is considerably higher than the reported  $\epsilon 4$  frequencies in African ancestry populations from the 1000 Genomes Project (typically 10–15%). Similarly, the  $\epsilon 3$  allele frequency was lower in both groups (50.0% in AD and 51.2% in controls) than in the reference (~80%). The  $\epsilon 2$  allele was detected at 7.8% in the AD group and 4.8% in the control group, slightly below the reference frequency (~9%).

### 3.4. Interaction between age and APOE $\epsilon 4$ carrier status and AD risk

The interaction between age and APOE  $\epsilon 4$  allele status on the predicted probability of Alzheimer's is shown in Figure 1. The red line represents individuals carrying the  $\epsilon 4$  allele among the cases, whereas the blue line represents controls without the  $\epsilon 4$  allele. The predicted probability of Alzheimer's increases with age, with a notably higher probability in  $\epsilon 4$  carriers. The curve for  $\epsilon 4$  carriers showed a consistent upward trend, reaching a probability close to 1 in older individuals, indicating a strong association between advancing age and increased risk of AD in this group. In contrast, the control group showed more variation, with a relatively lower predicted probability of AD across the age spectrum. The shaded regions represent confidence intervals, and the  $P$  value for the interaction was highly significant ( $P < .05$ ), suggesting a significant effect of the interaction among age, APOE  $\epsilon 4$  carrier status, and AD risk.



Predicted probability of Alzheimer's disease (AD) as a function of age, APOE  $\epsilon 4$  carrier status, and education level

**Figure 4.** Predicted probability of Alzheimer's disease (AD) by age, APOE  $\epsilon 4$  status, and education level: This illustrating the predicted probability of developing AD based on age, APOE  $\epsilon 4$  status, and education level. The x-axis represents age, and the y-axis indicates the predicted probability of AD. Each panel represents a different educational level (0, 1, 2, 3, 4, 5, 6, 7, 8, and 11 years), highlighting the effect of education on AD risk.

### 3.5. Predicted probability of AD based on age and APOE $\epsilon 2$ allele status

The predicted probability of AD based on age and APOE  $\epsilon 2$  allele status is depicted in Figure 2. The red line represents individuals with AD carrying the  $\epsilon 2$  allele, whereas the blue line represents healthy controls carrying the  $\epsilon 2$  allele. The predicted probability of AD showed notable fluctuations across age among  $\epsilon 2$  carriers in the AD group with no clear upward trend. Conversely, the control group demonstrated a more stable pattern, with a relatively lower probability of AD across the age spectrum. Overall, the presence of the  $\epsilon 2$  allele appeared to be associated with a more variable and potentially protective effect on AD risk than the other alleles, as indicated by the lower predicted probability in the control group. The shaded regions indicate confidence intervals, reflecting the degree of uncertainty in the model's predictions, which is particularly pronounced for the AD group across certain age ranges.

### 3.6. Predicted probability of AD in relation to age and APOE $\epsilon 3$ allele status

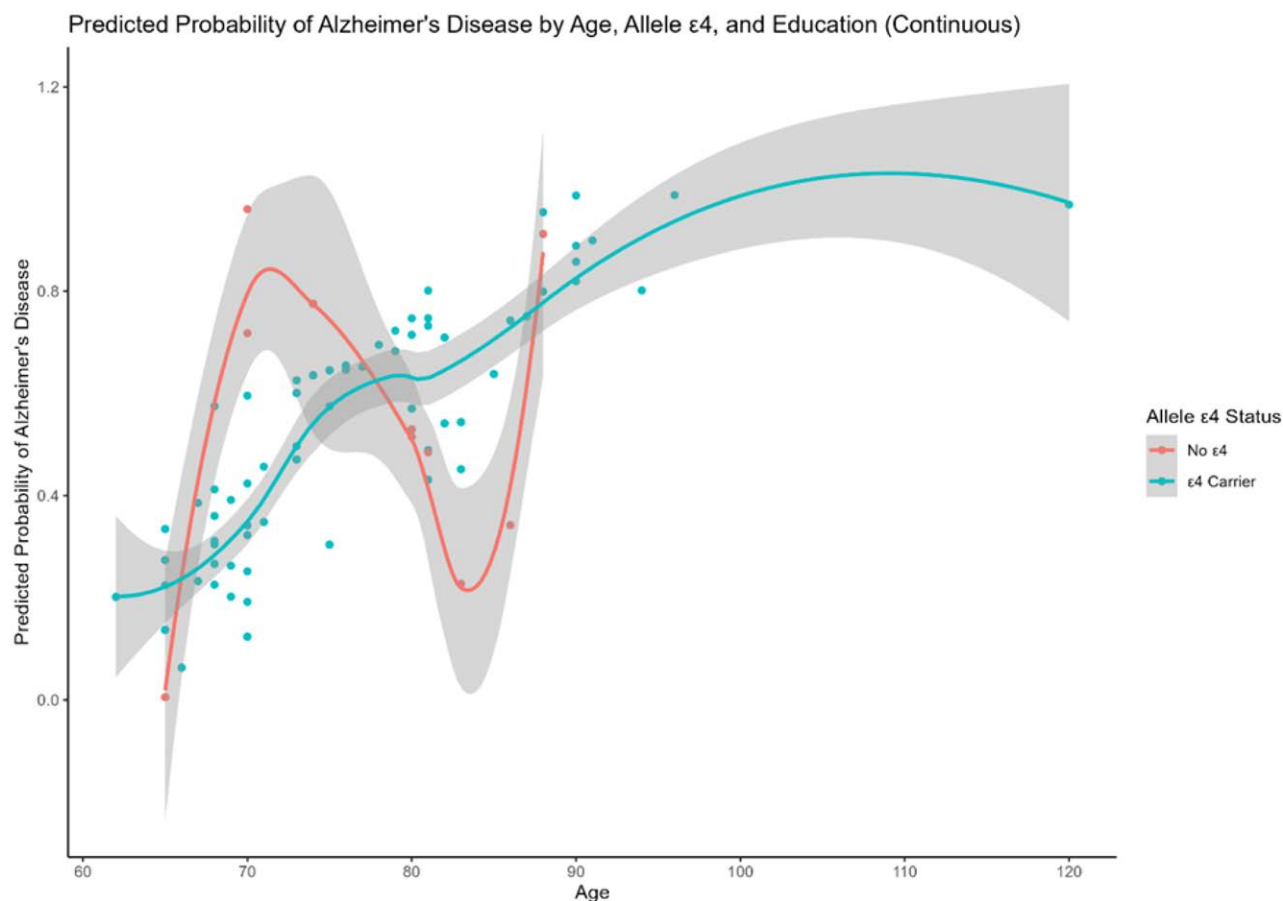
The predicted probability of AD in relation to age and APOE  $\epsilon 3$  allele status is shown in Figure 3. The results indicated that the predicted probability of AD among  $\epsilon 3$  carriers did not show a significant difference between cases and controls, as indicated by a  $P$  value of .271. For both groups, the probability trends

remained stable across the age range with some fluctuations, particularly in the control group. The wide shaded region representing the confidence intervals suggests variability and a lack of definitive relationship between  $\epsilon 3$  carrier status and AD risk. Overall, these findings suggest that the presence of the  $\epsilon 3$  allele is not significantly associated with an increased risk of AD and no clear age-dependent effect was observed for this allele.

### 3.7. Predicted probability of AD as a function of age, APOE $\epsilon 4$ carrier status, and education level

The predicted probabilities of AD as a function of age, APOE  $\epsilon 4$  carrier status, and education level are shown in Figure 4. The figure includes individual panels representing different levels of education ranging from 0 to 11 years. Within each panel, the blue line represents individuals who are  $\epsilon 4$  carriers, while red dots indicate individuals without the  $\epsilon 4$  allele. The predicted probability of AD generally increased with age across most educational levels, particularly among  $\epsilon 4$  carriers. This figure suggests a positive interaction between age and  $\epsilon 4$  carrier status in predicting AD risk, with higher predicted probabilities of AD observed among  $\epsilon 4$  carriers, particularly at older ages and lower educational levels.

The effect of education appears inconsistent across panels, with fluctuations depending on the age and  $\epsilon 4$  status. For individuals with higher education (e.g., 8 or more years), the



*Predicted probability of Alzheimer's disease (AD) as a function of age*

**Figure 5.** Predicted probability of Alzheimer's disease (AD) by Age, APOE  $\epsilon 4$  Status, and education level (continuous): This graph illustrates the relationship between age, APOE  $\epsilon 4$  status, and the predicted probability of developing AD, with education level treated as a continuous variable. The x-axis represents age, whereas the y-axis represents the predicted probability of AD. The solid curve represents individuals without the APOE  $\epsilon 4$  allele (non-carriers), whereas the dashed curve represents  $\epsilon 4$  carriers. The shaded regions around each curve indicate 95% confidence intervals for the predicted probabilities.



predicted probability of AD remains lower than that for those with minimal education, suggesting a possible protective effect of education against AD. These findings indicate that APOE  $\epsilon 4$  carrier status, age, and education level interact to influence the probability of AD, with  $\epsilon 4$  carriers and individuals with lower education levels being at a higher risk.

### 3.8. Predicted probability of AD as a function of age

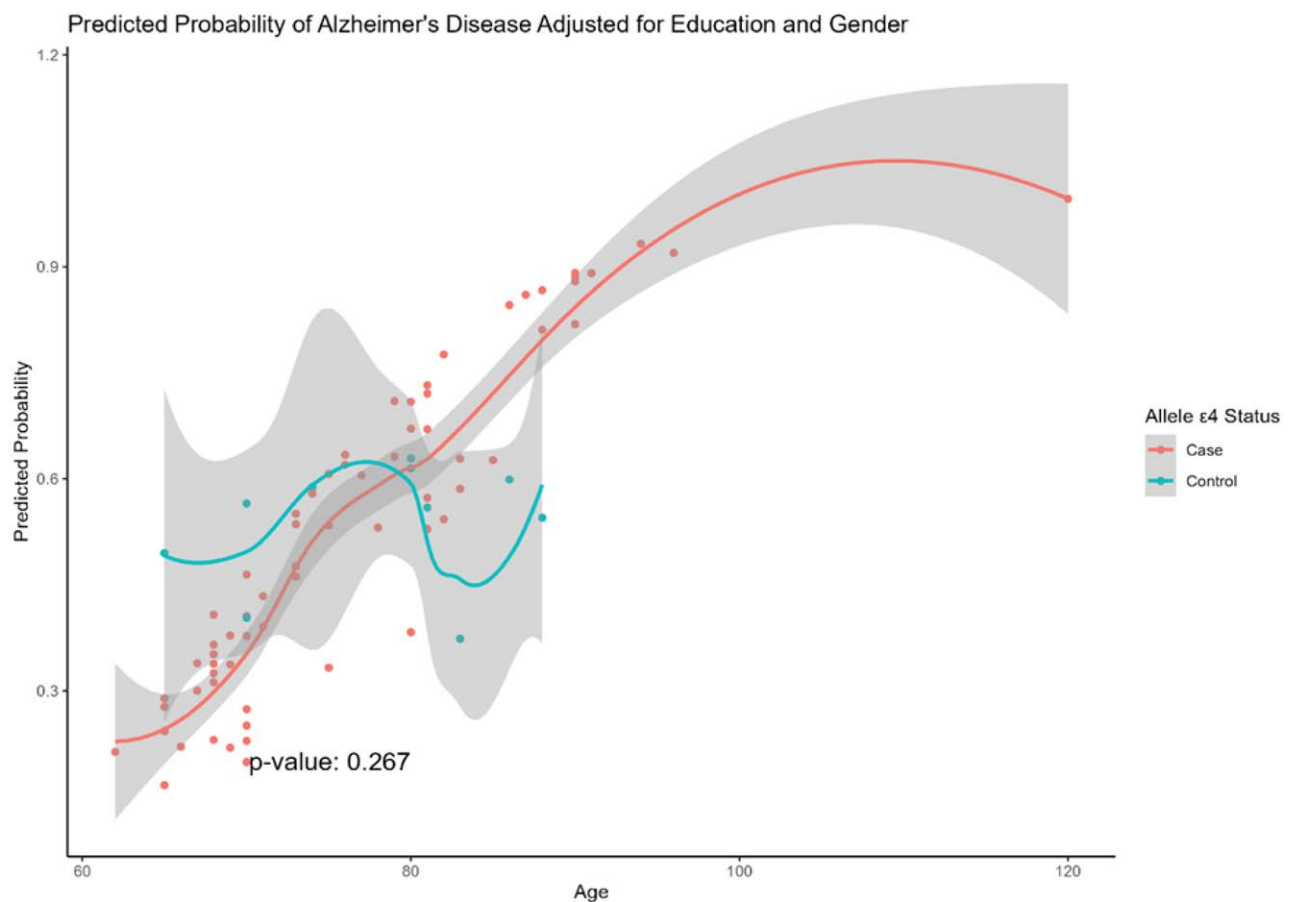
The predicted probability of AD as a function of age, APOE  $\epsilon 4$  carrier status, and education level (treated as a continuous variable) is shown in Figure 5. The red line represents individuals who did not carry the  $\epsilon 4$  allele, whereas the blue line represents  $\epsilon 4$  carriers. The shaded regions denote the confidence intervals for the predictions. The figure shows that the predicted probability of AD increased with age in both  $\epsilon 4$  carriers and non-carriers, although the patterns differed between the two groups. For  $\epsilon 4$  carriers, the predicted probability gradually increases with age, particularly after the age of 80. In contrast, the predicted probability for non-carriers exhibits more pronounced fluctuations, with a notable increase between ages 70 and 80, followed by a leveling off.

The confidence intervals were wider for older age groups, indicating greater uncertainty in the predictions for these age ranges. The influence of  $\epsilon 4$  carrier status on AD risk appears

to be less prominent at younger ages but becomes more pronounced with advancing age, as evidenced by the divergence of the curves. These results suggest that age is a significant risk factor for AD, with  $\epsilon 4$  carriers demonstrating a higher probability of developing AD with increasing age. The continuous representation of education did not show a clear protective effect in this interaction but highlighted variability in the risk of AD among different age groups and APOE  $\epsilon 4$  status.

### 3.9. Predicted probability of AD as a function of age, adjusted for education and sex, and stratified by APOE $\epsilon 4$ allele status

The predicted probability of AD as a function of age, adjusted for education and sex, and stratified by APOE  $\epsilon 4$  allele status is shown in Figure 6. The red line represents individuals with AD (cases), whereas the blue line represents the healthy controls. The figure shows that the predicted probability of AD increased with age in both groups, with a more pronounced increase observed in the AD group. The predicted probability of AD among patients starts to increase more rapidly around the age of 75 years and continues to increase steadily with age, reaching approximately 1.0. In contrast, controls exhibited a less consistent pattern, with a moderate increase in AD



*Predicted probability of Alzheimer's disease (AD) as a function of age, adjusted for education and gender, and stratified by APOE  $\epsilon 4$  allele status.*

**Figure 6.** Predicted probability of Alzheimer's disease (AD) adjusted for education and sex. This graph represents the predicted probability of AD in relation to age and APOE  $\epsilon 4$  carrier status, adjusted for education and sex. The x-axis indicates age, and the y-axis shows the predicted probability of AD. The solid curve represents individuals carrying the APOE  $\epsilon 4$  allele (AD), whereas the dashed curve represents non-carriers (controls). The shaded regions around the curves represent 95% confidence intervals for the predicted probabilities.

probability and some fluctuations, particularly between 70 and 90 years of age.

A  $P$  value of .267 suggested that the interaction between age and  $\epsilon 4$  carrier status, adjusted for education and sex, was not statistically significant. This indicates that, while age remains a strong predictor of AD risk, the additional effect of  $\epsilon 4$  status is less evident in this adjusted model. The shaded regions representing the confidence intervals were wider at older ages, reflecting greater variability and uncertainty in the predicted probabilities, particularly in the AD group.

### 3.10. Predicted probability of AD as a function of age adjusted for education and sex

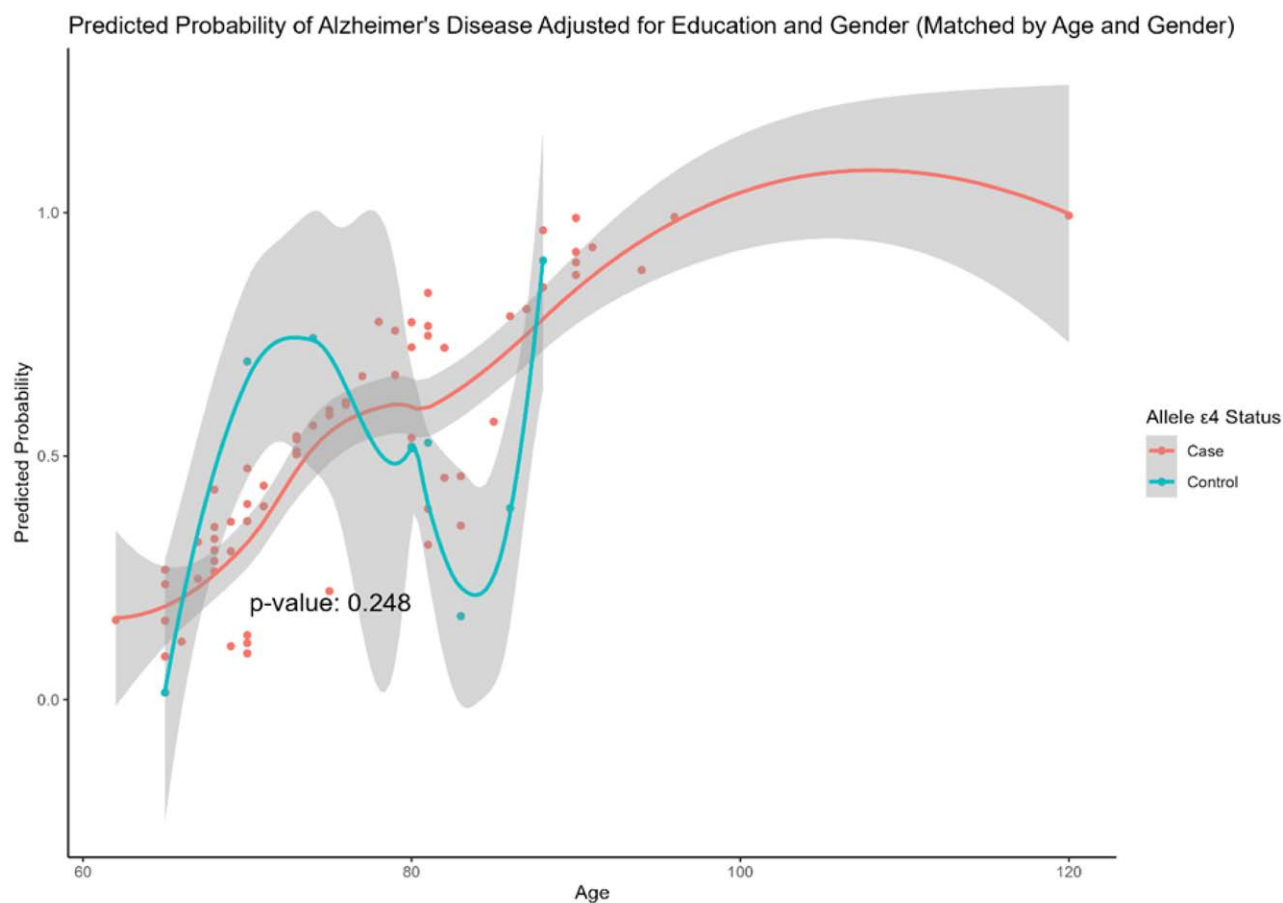
The predicted probability of AD as a function of age, adjusted for education and sex, with the analysis matched by age and sex, is shown in Figure 7. The red line represents individuals with AD (cases), whereas the blue line represents the healthy controls. The shaded regions indicate the confidence intervals for each group. The figure demonstrates an increasing predicted probability of AD with age for both groups, with AD cases generally showing a higher predicted probability than the controls. The control group exhibited greater fluctuations in predicted probability, particularly between the ages of 70 and 90 years, whereas the AD group showed a relatively steady increase, particularly after the age of 80 years. The

divergence between the two groups became more apparent as age advanced.

A  $P$  value of .248 indicated no statistically significant difference between the case and control groups in the interaction between age and APOE  $\epsilon 4$  carrier status, after adjusting for education and sex. The wide confidence intervals at older ages reflected the variability and uncertainty in the model's predictions, particularly for the control group. Overall, this trend suggests that APOE  $\epsilon 4$  carrier status, combined with older age, may be associated with an elevated risk of AD, although this difference was not statistically significant in the adjusted and matched models.

## 4. Discussion

The findings of this study provide further insights into the relationship between apolipoprotein E (APOE) allele status and AD risk in the Ugandan population, highlighting both common and unique patterns compared to other regions. Notably, the APOE  $\epsilon 4$  allele, commonly associated with an elevated risk of AD, was observed at a similar frequency in both groups, accounting for 43.3% of the alleles among AD cases and 44% among controls. This lack of a statistically significant difference aligns with previous research in sub-Saharan African populations, where the association between  $\epsilon 4$  and AD risk varied. These findings suggest that the effect of APOE  $\epsilon 4$  status on AD risk



*Predicted probability of Alzheimer's disease (AD) as a function of age adjusted for education and sex*

**Figure 7.** Predicted probability of Alzheimer's disease (AD) by age, adjusted for education and sex (matched by age and sex). This graph shows the predicted probability of AD as a function of age, adjusted for education and sex, with matching by age and sex to minimize confounding effects. The x-axis represents age, and the y-axis indicates the predicted probability of AD. The solid line represents individuals carrying the APOE  $\epsilon 4$  allele (AD), whereas the dashed line represents non-carriers (controls). The shaded regions around each line represent 95% confidence intervals for the predicted probabilities.

may be modulated by additional factors in African populations, including genetic background, lifestyle, and environmental exposure.<sup>[20,29]</sup> These results underscore the importance of population-specific investigations in interpreting the role of APOE in AD pathogenesis.

Interestingly, we observed that the  $\epsilon 2$  allele, generally regarded as protective against AD, was slightly more frequent among AD cases (7.8%) than in healthy controls (4.8%). While this observation diverges from the typical pattern reported in many non-African populations, where  $\epsilon 2$  is often underrepresented among AD cases, it highlights the complex and potentially population-specific role of APOE  $\epsilon 2$  in AD pathogenesis.<sup>[9,30]</sup> Despite its modest frequency difference, the presence of  $\epsilon 2$  did not show a statistically significant protective effect in our logistic regression analysis, possibly due to the limited sample size or the influence of other confounding factors, such as genetic admixture, lifestyle differences, or comorbid health conditions. Mechanistically, APOE  $\epsilon 2$  has been proposed to facilitate A $\beta$  clearance and reduce neuroinflammation; however, such protective effects may vary across populations. Although age emerged as a significant predictor of AD risk in our study, the interaction between age and APOE  $\epsilon 4$  carrier status was not significant after adjusting for sex and education. This suggests that the influence of APOE  $\epsilon 4$  status on AD risk may be less pronounced in this Ugandan cohort than in other populations. Larger studies are needed to confirm these findings and explore the interplay between APOE variants and other population-specific factors.

The predicted probability of AD increases with age in both  $\epsilon 4$  carriers and non-carriers, with  $\epsilon 4$  carriers generally exhibiting a higher probability of AD. This trend was particularly evident among individuals with lower education levels, suggesting that education may play a role in modulating AD risk, potentially through cognitive reserve mechanisms.<sup>[31,32]</sup> Cognitive reserve refers to the resilience of the brain against neuropathological damage. Higher education levels may enhance cognitive reserve, allowing individuals to better compensate for the effects of AD-related changes.<sup>[31]</sup> Therefore, APOE  $\epsilon 4$  carriers with higher cognitive reserves may experience delayed onset or reduced symptom severity compared with those with lower cognitive reserves. However, the effect of education on AD risk appeared inconsistent, with fluctuations observed across different age groups and APOE statuses. This inconsistency could be attributed to factors such as varying quality of education, socio-economic disparities, and differences in access to healthcare.<sup>[33,34]</sup> Future studies should address these variables using more detailed measures of educational quality and socioeconomic status to better understand their influence on AD risk. The variability in the impact of education and lack of significant protective effects among those with higher education levels warrant further investigation to better understand the socio-environmental factors at play.

Our study emphasizes the importance of expanding genetic research to underrepresented populations, as the variability in APOE allele impact across African populations highlights the complex interplay between genetics and environment in AD pathogenesis.<sup>[35,36]</sup> Specific environmental factors, such as diet, infectious disease burden, physical activity, and socioeconomic conditions, may interact with genetic predispositions to influence AD risk in these populations.<sup>[37]</sup> While most research on APOE and AD has focused on European or Asian populations, our findings provide valuable insights into the genetic epidemiology of AD in sub-Saharan Africa. These insights are crucial for developing targeted interventions that are culturally and genetically appropriate for the African population. Further studies are required to elucidate the role of additional genetic variants, such as ABCA7, SORL1, and TREM2, and their environmental influences, including diet, physical activity, and chronic stress, which may contribute to the risk of AD in this population.

Overall, this study highlights the critical need for future studies with larger sample sizes to better understand the relationship between the APOE  $\epsilon 4$  status and AD. The lack of strong association observed in this cohort may be due to the limited sample size. A larger cohort would enable more robust analyses, allowing for the examination of interactions between genetic factors, such as APOE  $\epsilon 4$ , and other modulating factors, such as education and age. Future studies with larger sample sizes are essential to validate these findings and to provide a clearer understanding of the genetic and environmental contributions to AD risk.

#### 4.1. Strengths of the study

This study investigated APOE allele frequencies and their impact on AD in the understudied Ugandan population using advanced statistical methods to adjust for confounders. These findings suggest that cognitive reserve, influenced by education, may modulate AD risk, offering valuable insights into genetic and socio-environmental factors in sub-Saharan Africa.

#### 4.2. Limitations

The relatively small sample size limits the statistical power and restricts the generalizability of our findings to the broader Ugandan and sub-Saharan African population. Additionally, the case-control design precludes causal inferences regarding the relationship between APOE alleles and AD risk. Although we excluded closely related individuals based on their family history, formal genotypic assessments for cryptic relatedness were not performed, leaving the possibility of distant relatedness within the sample. The use of self-reported data introduces potential recall bias, and residual confounding from unmeasured factors such as socioeconomic status, comorbidities, or lifestyle may have influenced the results.

Another limitation is the deviation from the Hardy-Weinberg Equilibrium observed in both the case and control groups. While deviation in the AD group could reflect a true genetic association, deviation in the control group suggests the presence of a population substructure, sample stratification, or technical artifacts. This may limit the interpretability and generalizability of the genetic findings. Future studies should recruit larger and more diverse cohorts, apply longitudinal designs, and incorporate genotyping to adjust for population stratification and relatedness with validation in independent datasets.

#### 4.3. Generalizability of the study results

The generalizability of this study is limited by the specific characteristics of the Ugandan population and the rural-urban setting. Although the diverse demographic composition improves applicability to similar sub-Saharan African populations, the small sample size (87 participants) and selection of controls from participants' spouses may limit the broader relevance. Comparable frequencies of the APOE  $\epsilon 4$  allele between AD cases and controls suggest that the impact of APOE  $\epsilon 4$  may differ in this population, possibly due to unique genetic and environmental factors. The inconsistent effects of education on AD risk also limit generalizability. Larger and more diverse studies are needed to confirm these findings.

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