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The structural, magnetic and optical properties of $\text{TM}_n@(\text{ZnO})_{42}$ (TM = Fe, Co and Ni) hetero-nanostructure

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The magnetic transition-metal (TM) @ oxide nanoparticles have been of great interest due to their wide range of applications, from medical sensors in magnetic resonance imaging to photo-catalysis. Although several studies on small clusters of $\text{TM}@(\text{ZnO})_{42}$ have been reported, the understanding of the physical electronic properties of $\text{TM}_n@(\text{ZnO})_{42}$ is far from sufficient. In this work, the electronic, magnetic and optical properties of $\text{TM}_n@(\text{ZnO})_{42}$ (TM = Fe, Co and Ni) hetero-nanostructure are investigated using the density functional theory (DFT). It has been found that the core-shell nanostructure $\text{Fe}_{13}@(\text{ZnO})_{42}$, $\text{Co}_{15}@(\text{ZnO})_{42}$ and $\text{Ni}_{15}@(\text{ZnO})_{42}$ are the most stable structures. Moreover, it is also predicted that the variation of the magnetic moment and magnetism of Fe, Co and Ni in $\text{TM}_n@(\text{ZnO})_{42}$ hetero-nanostructure mainly stems from effective hybridization between core TM-3d orbitals and shell O-2p orbitals, and a magnetic moment inversion for $\text{Fe}_{15}@(\text{ZnO})_{42}$ is investigated. Finally, optical properties studied by calculations show a red shift phenomenon in the absorption spectrum compared with the case of $(\text{ZnO})_{48}$.

Semiconducting hybrid materials with improved functionalities such as optical, electric and magnetic properties have been considered as potential candidates for a wide range of applications. For example, Rh, Pd and Pt particles supported on oxides, such as CeO_2 and Al_2O_3 , are widely used in catalysis^{1,2}; magnetic iron-oxide nanoparticles have been investigated as contrast agents for magnetic resonance imaging³, which is of important use in cancer therapy. In particular, the physical properties of ZnO doped with ions of transition metal elements have been one of the most intriguing research topics in current materials science⁴⁻⁹. The characteristics of ZnO with Zn being a transition metal enables it to easily dope magnetic transition metal (TM) ions such as Mn^{2+} , Fe^{3+} , Co^{2+} and Ni^{2+} in place of Zn^{2+} in the crystal of ZnO. Dietl *et al.* discovered room temperature ferromagnetism in Mn-doped ZnO thin film, receiving tremendous attention to ZnO based materials¹⁰. Since then several studies have been carried out in ZnO based materials with different combinations of TM ions^{4,11,12}. Some reports revealed the importance of point defects such as oxygen, zinc vacancies and interstitials in magnetic ordering^{13,14}. Mishra and Das¹⁵ studied the optical characteristics of Fe-doped ZnO nanoparticles using FTIR. Sawalha *et al.*¹⁶ investigated the electrical conductivity of pure and doped ZnO ceramic systems. Their experiments indicated that donor concentration, point defects, and adsorption-desorption of oxygen were affected by the Fe doping for ZnO. Moreover, Shi and Duan¹⁷ studied the magnetic properties of TM (Cr, Fe and Ni) doped in ZnO nanowires by first-principles theory. Xiao *et al.*¹⁸ calculated the structural and electronic properties of Fe-doped ZnO nanoparticles, and the results showed that Fe doped ZnO nanoparticles were structurally more stable than the isolated FeO and ZnO phases.

In recent years, core-shell structures in which metals form the core and ZnO constitutes the shell have attracted intense interest due to their significantly high effectiveness in improving the photo-catalytic activity and the synergistic effect among components¹⁹⁻²². The core-shell architecture avoids exposing the inner core to the environment and thus maximizes the interaction between the building blocks. Moreover, the composition,

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size and morphology of the inner core and outer shell are important aspects of structural property and would most probably affect its stability. So far, to the best of our knowledge, investigations on the physical mechanism for the effect of composition, size and morphology of magnetic TM-core/ZnO-shell heterogeneous nanoparticles are very rare. Here, we report the theoretical studies on a series of $\text{TM}_n@(\text{ZnO})_{42}$ (TM = Fe, Co and Ni) hetero-structures by using the density functional theory (DFT). The structural, magnetic and optical properties of such core-shell heterostructures have been investigated. Variation of magnetic moment are studied and stable structures are founded among different models, especially for the moment inversion of $\text{Fe}_{15}@(\text{ZnO})_{42}$. Furthermore, a red shift phenomenon is also obtained for the absorption spectrum of $\text{Fe}_{15}@(\text{ZnO})_{42}$ compared with the case of $(\text{ZnO})_{48}$. We expect that our results for $\text{TM}_n@(\text{ZnO})_{42}$ can help to understand the effects of the encapsulation on the structure, stability, and magnetic properties of TM clusters.

Results and Discussion

The structural properties of $\text{TM}_n@(\text{ZnO})_{42}$ hetero-nanostructure. In simulation, due to the multiplicity and indeterminacy of core-shell hetero-structure, it is always a challenge to optimize the stable structure of metal-oxide heterogeneous with increasing number of atoms. In the following calculations, the $\text{TM}_n@(\text{ZnO})_{42}$ core-shell model is built to investigate stable structure of $\text{TM}_n@(\text{ZnO})_{42}$ with different n ($n = 6-18$). Considering the rationality of the structure, the magic number nanostructure of $(\text{ZnO})_{48}$ with D_{3d} symmetry is firstly chosen to be the initial configurations due to the fact that the $(\text{ZnO})_{48}$ model has the highest binding energy²³. Therefore, six ZnO in the center of relaxed $(\text{ZnO})_{48}$ are removed, and magnetic TM-core TM_n clusters are constructed. The central empty position to put the magnetic TM-core relies on their lowest energy configurations according to the literatures²⁴ with some considerations on the chemical bond length and interatomic interaction. Then, core-shell nanostructures of $\text{TM}_n@(\text{ZnO})_{42}$ which contain TM_n inner core atoms and ZnO outer shell with 42 pairs of Zn-O atoms are built. First, all atoms are fully relaxed by using conjugate gradient algorithm and reach the criteria of the convergence tolerance for energy and maximum force. To further test the thermodynamic stability, we perform first-principles molecular dynamic simulations with a Nose-Hoover thermostat at 500 K in the canonical NVT ensemble. During the whole process of 10 ps simulations, the trajectories are calculated with a chosen time step of 1 fs. We find that there is no structure transform of the $\text{Fe}_n@(\text{ZnO})_{42}$ core-shell phase, except for $\text{Fe}_7@(\text{ZnO})_{42}$ (see the Supporting Information I). Then, we optimize the structure of the annealed $\text{Fe}_7@(\text{ZnO})_{42}$ and replace the original structure. These results suggest that combination of the structure optimization and molecular dynamic simulations is needed for the precise prediction of structure.

According to our scheme, the stable configurations of $\text{TM}_n@(\text{ZnO})_{42}$ clusters are obtained as shown in Fig. 1. The inner core TM_n and outer shell $(\text{ZnO})_{42}$ configurations separated from the optimized geometry configurations of $\text{TM}_n@(\text{ZnO})_{42}$ are also illustrated in Fig. 1. It is noted that the encapsulated TM_n ($n = 6-7$ for Fe, $n = 6-9$ for Co and Ni) clusters shift towards the $(\text{ZnO})_{42}$ inside surface, indicating the presence of an attractive interaction of the TM_n clusters caused by the $(\text{ZnO})_{42}$ inside surface. However, large TM_n clusters ($n = 8-16$ for Fe, $n = 10-18$ for Co and Ni) are nearly located at the center of the cages due to the inner core TM_n cluster and outer shell cage sizes. The shells of n from 6 to 12 are a cage-like structure while the shells of $n \geq 13$ have a tendency to change into a sphere, which may imply that with the increase of n , the shell is increasingly inclined to become a spherical structure. The exact symmetry for each TM cluster is C_1 except that Ni_{12} is C_2 . The nine kinds of Fe_n ($n = 6-13$ and 15) inner core configurations picked from the optimized geometry configurations of $\text{Fe}_n@(\text{ZnO})_{42}$ are displayed in Fig. 2 for the convenience of comparison with the structure of Fe_n clusters demonstrated in the literature²⁴. It can be seen that large parts of the core structure of $\text{Fe}_n@(\text{ZnO})_{42}$ are not similar to the case of Fe_n , which is mainly due to the TM-oxygen interaction. Furthermore, it is intriguing that, in the TM_n clusters, the TM atom located at the prominent position and the center of TM_n (yellow balls in Fig. 1) have relatively small local magnetic moments. Therefore, there is a strong tendency of the magnetic TM_n clusters for lower symmetry structures, which helps to increase their energy stability due to the splitting of the highest occupied states. From the results of bond lengths (see Fig. 1), the Fe_n clusters are much more non-compact than the Co_n and Ni_n structures, indicating that the core is more close to shell for $\text{Fe}_n@(\text{ZnO})_{42}$. This trend may affect the magnetic moments (see Supporting Information II) of the $\text{TM}_n@(\text{ZnO})_{42}$ systems and induce more abnormal effect. (e.g. the atom with larger local magnetic moments for Fe shows inversion for the Fe atoms close to O atoms).

To investigate the structural stability, second-order differences of total energies (Δ_2E) for $\text{TM}_n@(\text{ZnO})_{42}$ nanostructure are calculated and displayed in Fig. 3. The second-order differences of total energies are calculated by equation:

$$\Delta_2E_n = E_{n-1} + E_{n+1} - 2E_n$$

where E_n and n refer to the total energy of $\text{TM}_n@(\text{ZnO})_{42}$ and the number of TM atoms, respectively.

As shown in Fig. 3, the relatively large peaks of Δ_2E_n are found at $n = 13, 15$ and 15 for TM = Fe, Co and Ni in $\text{TM}_n@(\text{ZnO})_{42}$ core-shell structures, respectively, demonstrating that $\text{Fe}_{13}@(\text{ZnO})_{42}$, $\text{Co}_{15}@(\text{ZnO})_{42}$ and $\text{Ni}_{15}@(\text{ZnO})_{42}$ are the most stable configurations among all the clusters in the size range of the present study. It is also found that clusters of 7, 13 and 15 atoms are particularly stable, and these three sizes for metal cluster are well-known "magic numbers"²⁵. The calculated Zn-O bond lengths and O-Zn-O bond angle of the $(\text{ZnO})_{48}$ and M@ZnO (we use M@ZnO to represent all $\text{TM}_n@(\text{ZnO})_{42}$ for TM = Fe, Co, Ni and $n = 13, 15, 15$ in the following discussion) are listed in Table 1 together with other calculated work²³, from which it can be seen that our results of $(\text{ZnO})_{48}$ reach an agreement with the other studies²³. It is also obvious that there is a contraction behavior for the outer-shell of M@ZnO compared with $(\text{ZnO})_{48}$, indicating that doping at the center with a magnetic TM atom could provide strong bonding among surface atoms, that is, the Zn-O bonding of M@ZnO is stronger than the $(\text{ZnO})_{48}$ cluster due to the interaction of M-O.

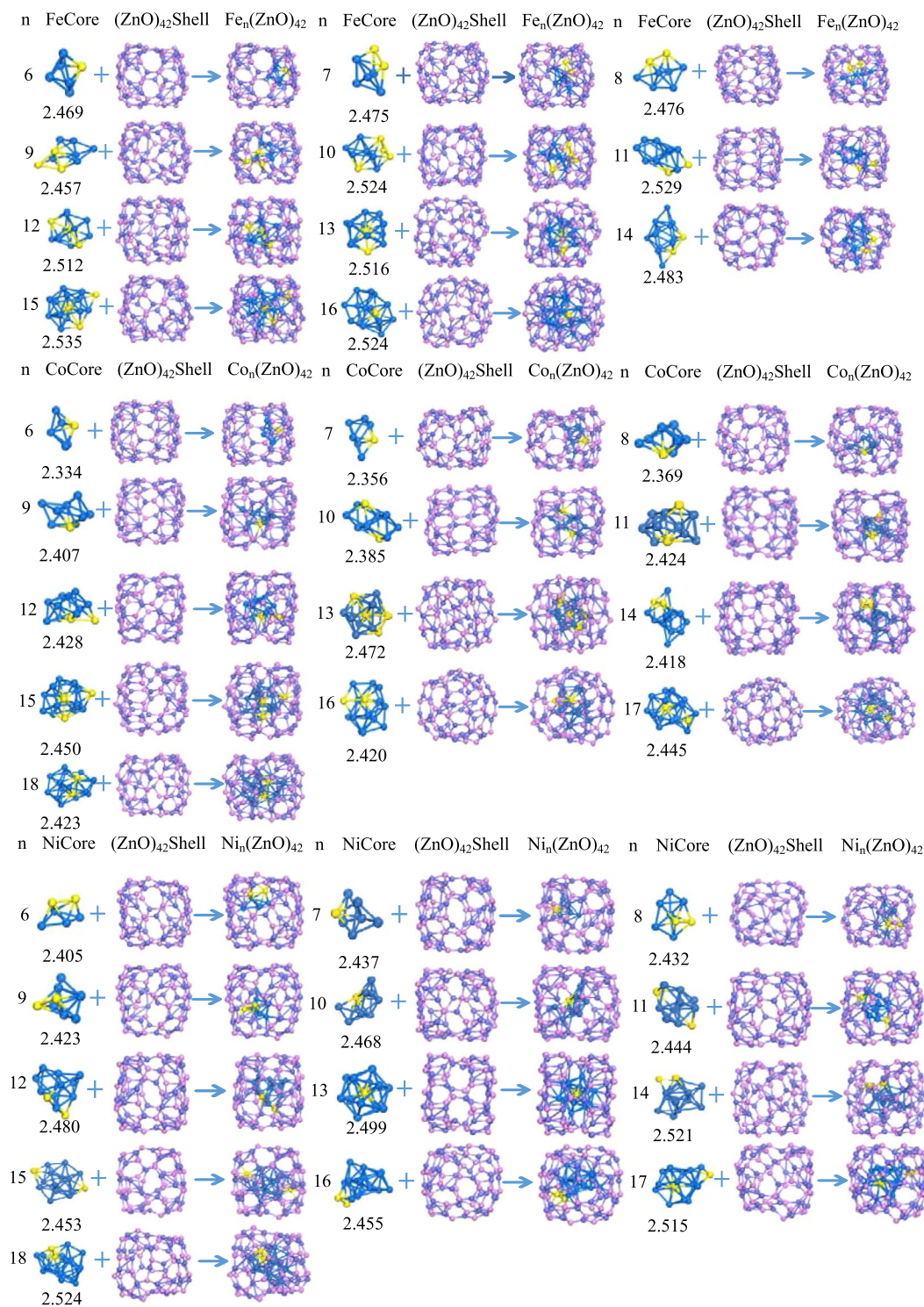


Figure 1. The optimized geometries of TM_n@(ZnO)₄₂ core-shell nanostructure. The pink, purple and blue balls show the positions of O, Zn and TM atoms, respectively. The small or abnormal magnetic moment of TM atoms are shown by yellow balls. The numbers below the inner core configurations indicate the average bond lengths (Å) within 3.00 Å (see Supporting Information III and supporting information I for enlarged picture of core-shell structures).

The magnetic and electronic structure properties of TM_n@(ZnO)₄₂ hetero-nanostructure. The magnetic properties of encapsulated TM_n (TM = Fe, Co and Ni) clusters inside (ZnO)₄₂ are calculated based on the stable geometries discussed above. All of the transition metal atom magnetic moments of the TM_n@(ZnO)₄₂ core-shell nanostructure are shown in Tables 2–4. More details of magnetic moments are described in

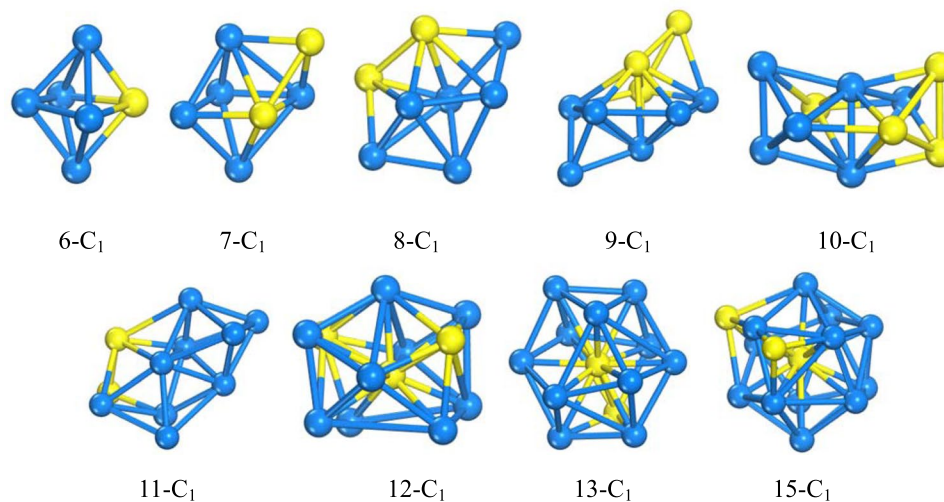


Figure 2. The optimized geometries of Fe inner-core of each size ($n = 6$ – 13 and 15).

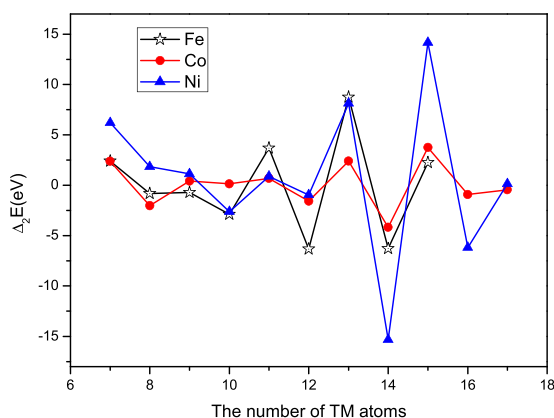


Figure 3. The second-order differences of total energies $\Delta_2 E_n$ of $\text{TM}_n@(\text{ZnO})_{42}$ nanostructure. It is noted that the largest $\Delta_2 E_n$ are found at $n = 13$, 15 and 15 for $\text{TM} = \text{Fe}$, Co and Ni in $\text{TM}_n@(\text{ZnO})_{42}$ core-shell structures, respectively, indicating that $\text{Fe}_{13}@(\text{ZnO})_{42}$, $\text{Co}_{15}@(\text{ZnO})_{42}$ and $\text{Ni}_{15}@(\text{ZnO})_{42}$ are the most stable structure.

	$(\text{ZnO})_{48}$	$\text{Fe}_{13}@(\text{ZnO})_{42}$	$\text{Co}_{15}@(\text{ZnO})_{42}$	$\text{Ni}_{15}@(\text{ZnO})_{42}$
Zn-O bond length (Å)	1.875–2.201 (1.842–2.206)	1.869–2.137	1.852–2.177	1.859–2.184
O-Zn-O bond angle (°)	92.3–174.3 (90.2–172.2)	89.612–161.336	88.257–157.0524	88.857–157.152

Table 1. The bond length and bond angle of both the $(\text{ZnO})_{48}$ and $\text{M}@(\text{ZnO})_{42}$ core-shell nanostructures, and the values in parenthesis are from the calculations of literature²⁹.

the Supporting Information II. The following trends can be observed: (i) Except for a few cases, the magnetic moments decrease from outside to inside for core transition metal atoms. For example, for relatively stable structure $\text{Fe}_{15}@(\text{ZnO})_{42}$, $\text{Co}_{15}@(\text{ZnO})_{42}$, and $\text{Ni}_{13}@(\text{ZnO})_{42}$, the center Fe, Co, Ni atoms have the magnetic moments 1.996, 1.167, and $0.226 \mu_B/\text{atom}$, which are significantly smaller than the other magnetic moments such as 2.64, 1.78, and $0.68 \mu_B/\text{atom}$, the average value for Fe, Co and Ni, respectively. (ii) As is presented in Table 5, a general feature is that local magnetic moments tend to have some relationship with the TM-O distance and the small distance corresponds to a small magnetic moment. Especially for several $\text{Fe}_n@(\text{ZnO})_{42}$ systems, e.g., $\text{Fe}_{15}@(\text{ZnO})_{42}$, it is found that some Fe local magnetic solutions change from ferromagnetic to antiferromagnetic phases (e.g. $-2.176 \mu_B/\text{atom}$) with the Fe-O distance decreased. A similar phenomenon can also be found in the $\text{TM}@(\text{Mg}_{12}\text{O}_{12})_{26}$ and $\text{TM}_m@(\text{C}_n)^{27}$. (iii) For most of the systems, we observed a large number of atomic configurations with slightly different magnetic moments. These results indicate that one of the magnetic configurations might be more favorable or a wide range of magnetic configurations might exist at real experimental conditions, and experimental techniques might access only the average results.

	n = 6	n = 7	n = 8	n = 9	n = 10	n = 11	n = 12	n = 13	n = 14	n = 15	n = 16
Fe1	2.800	-0.820	2.717	2.745	2.919	2.713	2.850	2.758	2.897	2.676	2.668
Fe2	2.772	2.622	2.763	2.532	2.791	2.542	2.559	2.619	2.712	2.603	2.317
Fe3	2.631	2.800	2.717	2.832	-0.336	2.626	1.535	2.748	2.695	2.710	2.638
Fe4	2.748	2.283	2.778	2.707	-2.554	2.734	-2.506	2.815	2.707	2.619	2.841
Fe5	2.284	2.399	2.560	2.826	2.724	2.694	2.750	2.563	-1.027	1.996	1.767
Fe6	2.529	2.601	2.536	2.718	-0.636	2.731	2.862	2.813	2.814	2.616	2.458
Fe7		2.676	2.674	2.599	0.335	2.678	2.898	1.537	2.863	2.772	2.355
Fe8			2.827	2.789	2.149	2.653	2.770	2.665	2.761	2.332	2.366
Fe9				-0.023	2.468	2.782	2.652	2.786	2.599	-2.176	2.508
Fe10					2.780	2.545	2.801	2.459	2.800	2.778	2.996
Fe11						2.693	2.494	2.770	2.637	2.784	2.807
Fe12							-2.522	2.816	2.473	2.862	2.514
Fe13								2.544	2.789	2.340	2.571
Fe14									2.831	-0.767	2.568
Fe15										2.577	2.699
Fe16											2.742

Table 2. Atoms magnetic moments (μ_B) for $\text{Fe}_n@ZnO_{42}$ (LDA).

	n = 6	n = 7	n = 8	n = 9	n = 10	n = 11	n = 12	n = 13	n = 14	n = 15	n = 16
Fe1	2.961	3.219	3.209	3.089	3.169	3.020	3.219	3.103	3.140	3.143	3.103
Fe2	2.967	3.264	3.088	2.810	3.191	3.051	2.902	3.049	3.131	2.884	2.896
Fe3	3.083	3.100	3.140	3.244	3.201	3.070	2.272	3.067	1.030	2.976	3.047
Fe4	3.105	3.065	3.115	3.195	2.987	3.019	3.101	3.051	3.025	3.020	3.220
Fe5	2.805	3.130	3.133	3.075	3.041	3.131	3.156	3.062	3.239	2.718	2.565
Fe6	3.200	3.218	3.224	3.174	3.188	3.169	3.166	3.093	3.140	3.079	3.118
Fe7		3.164	3.047	3.027	3.137	3.155	3.193	2.352	3.266	3.194	3.049
Fe8			2.986	3.165	2.844	2.866	3.191	3.107	3.069	3.073	2.857
Fe9				3.187	3.016	3.142	3.055	3.188	3.120	3.093	2.994
Fe10					3.245	3.075	3.183	2.967	3.208	3.174	3.389
Fe11						2.993	3.030	3.093	3.204	3.058	3.035
Fe12							3.164	3.160	2.849	3.221	3.074
Fe13								3.021	3.199	2.996	3.061
Fe14									3.062	3.093	3.081
Fe15										3.123	3.115
Fe16											3.173

Table 3. Atoms magnetic moments (μ_B) for $\text{Fe}_n@ZnO_{42}$ (LDA + U).

To obtain a better understanding for the origin of TM magnetic moments difference, we take relatively stable compound mentioned above as examples to present the charge density difference and investigate the p-O and d-TM projected DOS (see Fig. 4 and Fig. 5). Charge transfer data of the typical atom have been marked out in Fig. 4, demonstrating that, apart from the transition metal atoms neighboring oxygen atoms, the charge transfer numbers increase from outside to inside for core transition metal atoms. For instance, the Fe, Co, Ni atoms at the center of core have the charge transfer numbers 0.1431, 0.2181 and 0.2155, which are much larger than the numbers of other transition metal atoms and correspond to smaller magnetic moments as discussed previously. More intriguingly, because of the interaction with O atoms, transition metal atoms near O atoms have a large charge transfer, leading to smaller magnetic moment and even magnetization reversal has been found.

Figure 5 shows the PDOS of the representative atoms for up (\uparrow) and down (\downarrow) spins, demonstrating differences in the shape of PDOS among transition metal atoms at different position. This shape differences are mainly a shift to high energy or low energy, which can be explained by the decrease or increase of the effective hybridization between core TM-3d orbitals and the shell O-2p orbitals, resulting in the charge transfer from core TM to shell O. The charge density difference is demonstrated in Fig. 4. Near to the Fermi level (E_F), a large overlap between O 2p and TM 3d is clearly seen for atoms with minimal TM-O distance, showing a strong hybridization between O and TM atoms. This also explains why all the core-shell clusters have relative large core-shell interaction energy.

In the case of $\text{Fe}_{15}@(ZnO)_{42}$, as the interaction of TM-O increases, spin-split becomes significant for the d-projected DOS, resulting in a large magnetic moment change from the center of the core to the edge of the core. In Fig. 4, we take Fe5, Fe9 and Fe14 as an example. Fe5 is in the center of the core with a magnetic moment $1.996 \mu_B/\text{atom}$. Fe9 and Fe14 are located on the edge of the core with a moment $-2.714 \mu_B/\text{atom}$ and $-0.767 \mu_B/\text{atom}$,

	Co _n @ZnO ₄₂				Ni _n @ZnO ₄₂					
	n = 12	n = 13	n = 14	n = 15	n = 8	n = 13	n = 14	n = 15	n = 16	n = 17
M1	1.631	1.879	1.808	1.861	0.454	0.750	0.527	0.563	0.541	0.612
M2	1.737	1.811	1.816	1.704	0.277	0.742	0.635	0.507	0.618	0.532
M3	1.768	0.638	1.991	1.852	0.561	0.226	0.690	0.713	0.537	0.307
M4	1.797	1.772	1.802	1.745	0.376	0.664	0.536	0.439	0.529	0.655
M5	1.833	1.803	1.825	1.790	0.381	0.663	0.609	0.511	0.281	0.683
M6	1.801	1.800	1.889	1.792	0.485	0.649	0.593	0.511	0.582	0.677
M7	1.804	1.753	1.805	1.700	0.566	0.664	0.498	0.381	0.638	0.624
M8	1.722	1.803	1.899	1.167	0.205	0.651	0.639	0.557	0.482	0.522
M9	1.746	1.802	1.790	1.802		0.663	0.458	0.580	0.504	0.548
M10	1.674	1.770	1.963	1.816		0.655	0.730	0.574	0.455	0.280
M11	1.658	1.810	1.792	1.701		0.741	0.620	0.517	0.652	0.544
M12	1.830	1.753	1.828	1.761		0.655	0.606	0.618	0.380	0.543
M13		1.878	1.843	1.798		0.750	0.705	0.423	0.703	0.391
M14			1.859	1.785			0.523	0.594	0.535	0.495
M15				1.800				0.623	0.577	0.318
M16									0.678	0.385
M17										0.537

Table 4. Atoms magnetic moments (μ_B) for TM_n@ZnO₄₂ (M = Co, Ni).

	Fe ₁₅ @ZnO ₄₂		Co ₁₅ @ZnO ₄₂		Ni ₁₃ @ZnO ₄₂	
	magnetic moments (μ_B)	Fe-O distance	magnetic moments (μ_B)	Co-O distance	magnetic moments (μ_B)	Ni-O distance
M1	2.676	1.997	1.861	4.021	0.750	2.04
M2	2.603	3.219	1.704	1.969	0.742	1.975
M3	2.710	3.086	1.852	1.916	0.226	–
M4	2.619	1.915	1.745	1.945	0.664	3.364
M5	1.996	—	1.790	2.009	0.663	1.964
M6	2.616	1.975	1.792	1.973	0.649	1.955
M7	2.772	1.986	1.700	1.922	0.664	1.963
M8	2.332	2.91	1.167	—	0.651	1.955
M9	–2.176	1.818	1.802	1.99	0.663	3.824
M10	2.778	1.973	1.816	3.670	0.655	2.14
M11	2.784	3.181	1.701	3.442	0.741	1.974
M12	2.862	1.995	1.761	1.936	0.655	2.139
M13	2.340	3.650	1.798	3.327	0.750	2.039
M14	–0.767	1.845	1.785	2.002		
M15	2.577	1.965	1.800	1.961		

Table 5. TM-O distance for TM_n@ZnO₄₂ (TM = Fe, Co, Ni).

indicating an inverse direction compared with other moments. It can be seen that the O atoms neighboring Fe9 and Fe14 are O33 and O31 respectively. At the same time, these two O atoms have the largest two negative magnetic moments ($-0.108 \mu_B/\text{atom}$ and $-0.064 \mu_B/\text{atom}$) and they have a very large charge transfer at the same time. So the moment inversion could be interpreted by the strong interaction between Fe-O atoms, which could also be utilized to understand the magnetic moment difference for different core atoms. Similar spin-split can also be seen in Co₁₅@(ZnO)₄₂ and Ni₁₃@(ZnO)₄₂, where the spin-up and spin-down DOS become asymmetric. For Co and Ni at more outer positions or with smaller TM-O distances, this asymmetry is more significant. For Co₁₅@(ZnO)₄₂ and Ni₁₃@(ZnO)₄₂, spin-split becomes less obvious because of the weaker TM-O interaction than Fe₁₅@(ZnO)₄₂, resulting in the less magnetic moment change. For instance, magnetic moment for Co₁₅@(ZnO)₄₂ is $1.167 \mu_B/\text{atom}$ for Co8 at the center of core, $1.861 \mu_B/\text{atom}$ (Co1) for atom at the edge of core but far from O atom. And $1.700 \mu_B/\text{atom}$ (Co7) for atom at the edge is close to O (O17) atom. At the same time, see Figs 4 and 5, the moment of O (O17) close to Co (Co7) is $0.059 \mu_B/\text{atom}$ and the moment of O (O29) near Ni (Ni6) is $0.027 \mu_B/\text{atom}$, which is not large enough and leads to less TM moment changes. Moreover, in Fig. 4, less charge transfer from core Co or Ni to shell O also shows weaker interaction than Fe₁₅@(ZnO)₄₂.

In addition, it is indicated from Table 5 and Supporting Information II that the magnetic moment of transition metal is related to the coordination number, the average TM-TM bond length and the distance of TM-O, e.g. large coordination number usually lead to small magnetic moment; and a small bond length of TM-TM or TM-O also

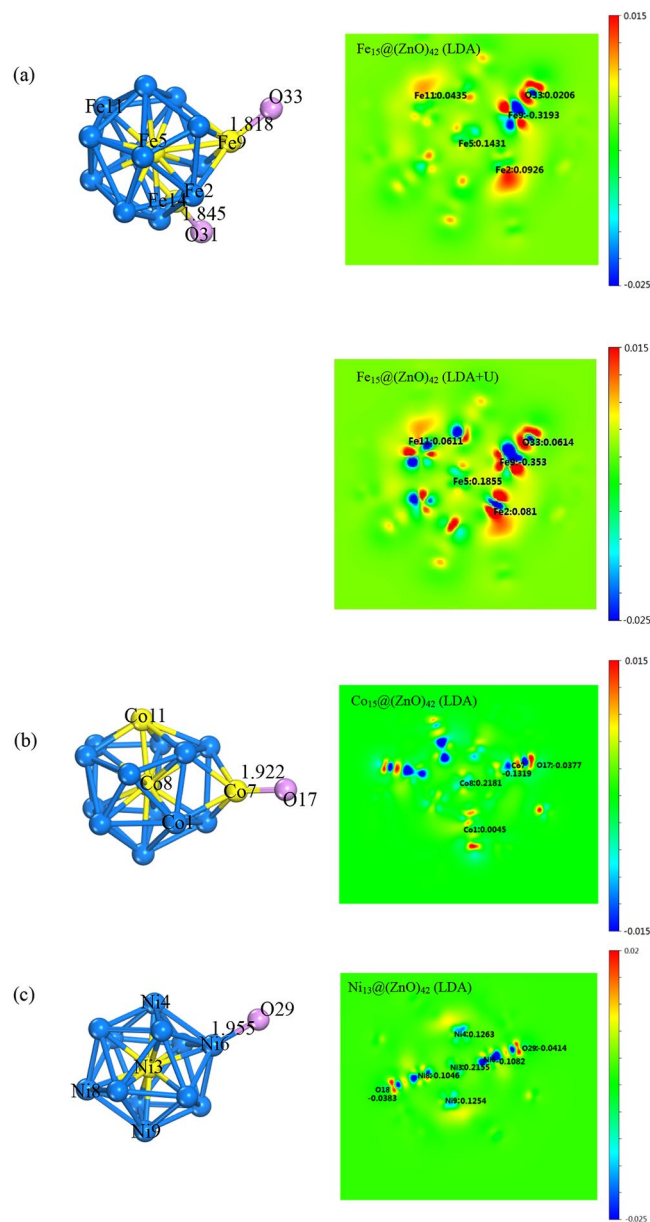


Figure 4. Plot of the 2D electron density difference and geometry configuration for (a) $\text{Fe}_{15}@(\text{ZnO})_{42}$; (b) $\text{Co}_{15}@(\text{ZnO})_{42}$ and (c) $\text{Ni}_{13}@(\text{ZnO})_{42}$. The atom numbers which overlap with corresponding atom and the bond length (\AA) are depicted on geometry configuration.

tends to result in a small moment. The variation moments of TM atom may arise from the contribution of the synergistic effect of the coordination number and bond length. For Co, Ni atoms at the center of the core, their moments are small due to the largest coordination number among all the core atoms. Although Co1 has a larger coordination number compared with Co11, the average bond length between Co1 and neighbor Co atoms (2.458 \AA) is larger than the case of Co11 with adjacent Co atoms (2.448 \AA). At the same time, the distance of Co-O atoms is largest for Co1-O. As a result, the final moment of Co1 is strongest in $\text{Co}_{15}@(\text{ZnO})_{42}$, indicating that the magnetic moment of atoms are deeply related to the geometry configuration of each atoms, which is consistent with the result from charge transfer.

Indeed, although the $3d$ orbitals are usually spatially extended and the delocalization is even more enhanced by hybridization with oxygen orbitals in $\text{TM}_n@(\text{ZnO})_{42}$, electron correlations can still play an important role in $3d$ systems, especially for $\text{Fe}_n@(\text{ZnO})_{42}$ where the electronic configuration maximizes the correlation effects due to the delicate balance of charge states in $\text{Fe}_n@(\text{ZnO})_{42}$. Thus, we then focus on the results obtained at Coulomb energy $U = 4.5 \text{ eV}$ and exchange parameter $J = 0.89 \text{ eV}$ for all Fe ions.

As given in Tables 2 and 3, a general feature is that inclusion of U leads to local magnetic moments increased. Comparing the results for the magnetic state with and without U , for example, for relatively stable structure $\text{Fe}_{15}@(\text{ZnO})_{42}$, we find that U will lead to different correlation behaviors with various Fe-O distances: (1) The center Fe atom shows the magnetic moments $2.718 \mu_B/\text{atom}$, which are smaller than the other values ($3.056 \mu_B/\text{atom}$),

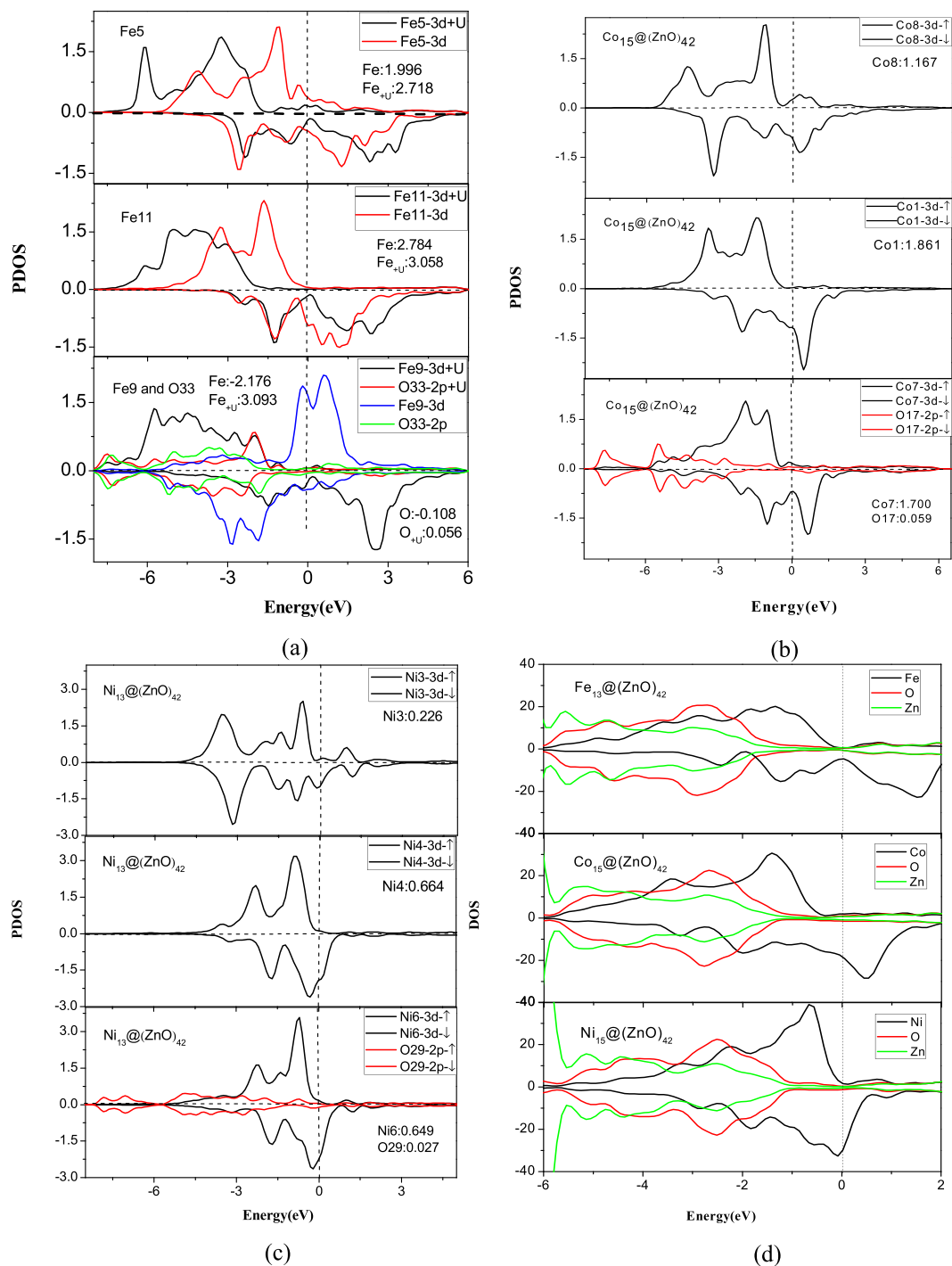


Figure 5. The partial DOS of (a) $Fe_{15}@(ZnO)_{42}$, (b) $Co_{15}@(ZnO)_{42}$ and (c) $Ni_{13}@(ZnO)_{42}$; and the total DOS of (d) $M@ZnO$; The dotted lines refer to the Fermi level. The unit is electrons/eV. The magnetic moment of some corresponding atom is also depicted inside each DOS and the unit is μ_B /atom.

which is consistent with the LDA results. (II) For the medium Fe-O distance, Fe correspond to an intermediately correlated by U and magnetic moment tend to around $3 \mu_B$ /atom, which consistent with the literature value²⁸. (III) Our LDA + U calculations finding a significant role of electron-electron correlations in small Fe-O distance atoms. Especially for the two nearest distances, it is found that the Fe local magnetic solutions change from antiferromagnetic ($-2.176, -0.767$) to ferromagnetic ($3.093, 3.093$) phases. From this point of view, stronger interaction of Fe-O makes the magnetic value and ordering more sensitive to correlation effects. And a similar phenomenon can also be found in the other $Fe_n@(ZnO)_{42}$ compounds.

This can also be observed by comparing the results from LDA and LDA+U, given in the Fig. 5(a). In $Fe_{15}@(ZnO)_{42}$, as the interaction of TM-O increases, spin-split become more obvious, resulting in a

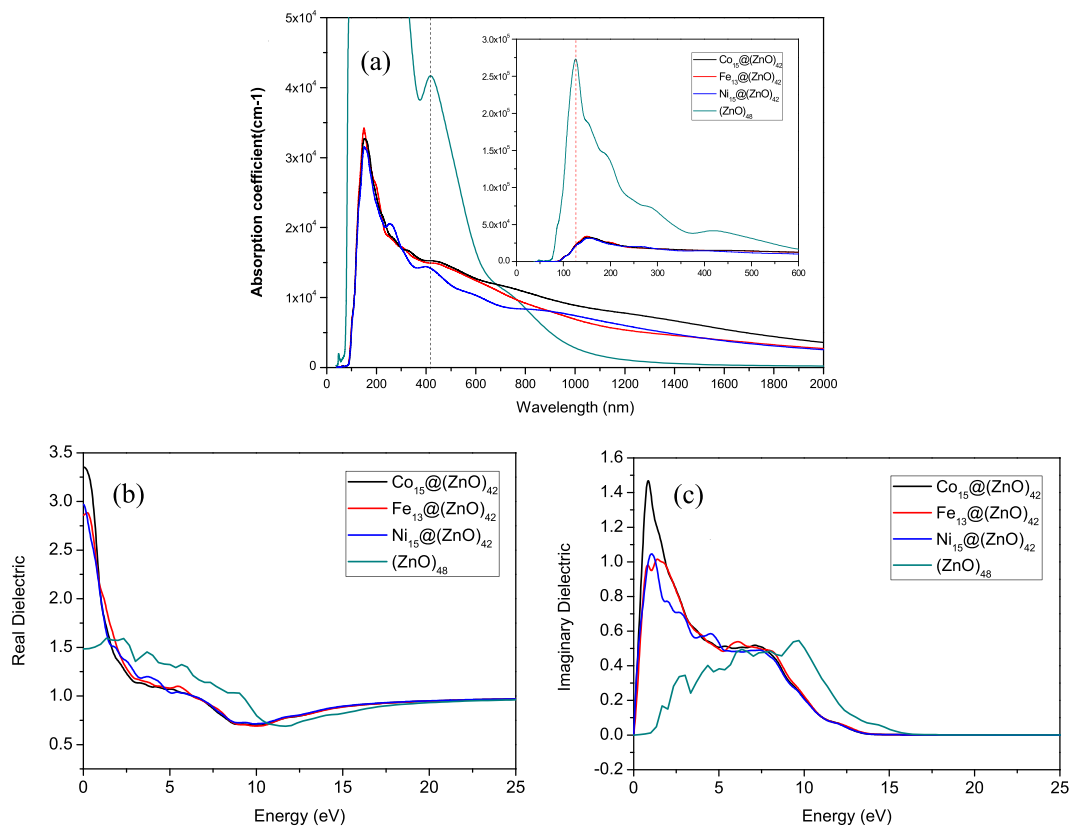


Figure 6. The calculated optical absorption (a), and dielectric function: real part (b) and imaginary part (c) of $M@ZnO$ ($M = Fe, Co, Ni$) core-shell structure and $(ZnO)_{48}$.

large magnetic moment change as compared with LDA result. For Fe9, with the strong interaction between Fe-O atom, the DOS spin-up shifted to lower energy and spin-down pushed towards higher energy more significantly. And inclusion of U leads to a transition of the magnetic ordering, coinciding with the above analyses. At the same time, charge density difference also reflects the same tendency (see Fig. 4(a)).

The optical properties of $M@ZnO$ and $(ZnO)_{48}$. In order to investigate the influence of magnetic TM inner-core on the optical properties of ZnO shell cage, the dielectric function of $M@ZnO$ and pure $(ZnO)_{48}$ nanostructures are all calculated for comparison and the optical absorption of core-shell structure and pure $(ZnO)_{48}$ is illustrated in Fig. 6. Compared with the $(ZnO)_{48}$, the optical absorption peaks of core-shell structure $Fe_{13}@ZnO_{42}$, $Co_{15}@ZnO_{42}$ and $Ni_{15}@ZnO_{42}$ have an obvious red shift at 147.56 nm, compared with pure $(ZnO)_{48}$ at 123.95 nm, which is due to the effect of Fe, Co, Ni core. The spectral line of the $Ni_{15}@ZnO_{42}$ appeared to have a smaller peak at about 255.84 nm while both $Fe_{13}@ZnO_{42}$ and $Co_{15}@ZnO_{42}$ have no peak there. According to the DOS of $Ni_{15}@ZnO_{42}$ (shown in Fig. 5(d)), we found that this smaller peak originates from the stronger interaction between Ni-O atoms and more abundant charge transfer of O-Zn atom (see Supporting Information II). We contrast the DOS of $M@ZnO$ (Fig. 5(d)) and conclude that the influence on Zn-O interaction for the case of introducing Ni atoms is weaker than the case of Co, Fe atoms, particularly at <3 eV. Moreover, it is noted that, at around the 400 nm (in the visible light), all the core-shell structures and $(ZnO)_{48}$ have a distinct peak, although with some differences in the height of the peak, coming from the contribution of electrons transfer of O-Zn atom at shell.

Figure 6 also exhibits the imaginary part and real part of dielectric function of $M@ZnO$ and pure $(ZnO)_{48}$. For the real part of dielectric function of $Fe_{13}@ZnO_{42}$, $Co_{15}@ZnO_{42}$ and $Ni_{15}@ZnO_{42}$, it is found that there are no obvious differences among them. Moreover, both of the real parts of dielectric functions of $(ZnO)_{48}$ and $M@ZnO$ are all positive. Unlike the $M@ZnO$, in the lower energy region (<3.2 eV), $(ZnO)_{48}$ does not have a major peak and is quite smooth but in the higher energy region (>10 eV), all of the tendency of curves become consistent with each other. In addition, as the DOS presented in Fig. 5(d), $M@ZnO$ shows a typical half-metallic behavior from spin majority and minority components, which is in keeping with the result of the real part of dielectric function. In addition, the spin polarization of Fe, Co and Ni is the major contribution for DOS around the Fermi level.

Furthermore, the imaginary part of dielectric function shows that the curve of $(ZnO)_{48}$ has no distinct peak while $M@ZnO$ appears to have a larger peak at around 0.85–1.47 eV, which is mainly due to the contribution of Co, Fe, Ni atoms in the core. It indicates that there is an evident absorptive action in the infrared region and the margin of visible light, especially in the case of $Fe_{15}@ZnO_{42}$, whose peak of absorption is closer to the visible

light region. Finally, due to the interaction between O atom in shell and metal atom in core, the peak at 9.68 eV of $(\text{ZnO})_{48}$ vanishes and the curve decreases to zero rapidly.

Conclusions

The structural, magnetic and optical properties of $\text{TM}_n@(\text{ZnO})_{42}$ (TM = Fe, Co and Ni) core-shell nanostructures are studied by the First-principles calculations. Our results indicate that $\text{Fe}_{13}@(\text{ZnO})_{42}$, $\text{Co}_{15}@(\text{ZnO})_{42}$ and $\text{Ni}_{15}@(\text{ZnO})_{42}$ core-shell nanostructure are the most stable configurations. Compared with $(\text{ZnO})_{48}$ value, the Zn-O bonding of $\text{M}@(\text{ZnO})$ is stronger due to the interaction of TM-O. The special magnetism mainly effect by O atoms and TM atoms, which can be attributed to the strong TM-O hybridization and charge transfer. It is also found that this strong interaction induces some magnetic moment inversion for $\text{Fe}_{13}@(\text{ZnO})_{42}$. Furthermore, the optical properties of $\text{M}@(\text{ZnO})$ are systematically investigated based on absorption coefficient. Compared with the absorption spectrum of the $(\text{ZnO})_{48}$, we find that an obvious red shift has occurred, and it is in accordance with the behavior of the calculated electronic structure.

Methods

All calculations in this paper are performed in the VASP codes^{29,30} based on density functional theory (DFT)^{31,32} within the projector augmented wave (PAW)³³. The exchange and correlation potential is treated with the generalized gradient approximation (GGA) methods as described by Perdew–Burke–Ernzerhof (PBE)³⁴. The electron wave functions are expanded in plane wave with a cutoff energy of 480 eV. All atoms are fully relaxed and the convergence tolerance for energy and maximum force are set to 1.0×10^{-5} eV and -5×10^{-3} eV/Å. For k-point sampling, we use a single Γ point for the geometry optimizations in the first Brillouin zone. Spin-polarization is taken into account in this work. In a second step we supplement the LDA calculations by including a Coulomb energy $U = 4.5$ eV³⁵ and exchange parameter $J = 0.89$ eV³⁶ on Fe 3d orbitals within the LDA + U scheme. In the calculations, the free $\text{TM}_n@(\text{ZnO})_{42}$ is located in a rectangular supercell with a size of $30 \times 30 \times 30$ Å³. The interaction between periodic images could be neglected on this size.

In order to predict the stable structures, we perform ab initio molecular dynamics (AIMD) simulations. The initial configuration of the $\text{Fe}_n@(\text{ZnO})_{42}$ is annealed at 500 K. MD simulations are carried out in the NVT ensemble with a time step of 1 fs for a total time of 10 ps. The temperature is controlled by using the Nosé–Hoover method³⁷.

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Author Contributions

Y.W. Hu, C.T. Ji and Q. Liu performed the DFT calculation. Y.W. Hu, X.X. Wang and J.R. Huo wrote the manuscript. Y.P. Song gave instruction on this work.

Additional Information

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