The Impact of Combination Antiretroviral Therapy and its Interruption on Anxiety, Stress, Depression and Quality of Life in Thai Patients

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Abstract: Objective: Investigation on anxiety, stress, depression, and quality of life (QoL) within STACCATO, a randomised trial of two treatment strategies: CD4 guided scheduled treatment interruption (STI) compared to continuous treatment (CT).

Participants: Thai patients with HIV-infection enrolled in the STACCATO trial.

Methods: Anxiety, depression assessed by the questionnaires Hospital Anxiety and Depression Scale (HADS) and DASS, stress assessed by the Depression Anxiety Stress Scale (DASS), and QoL evaluated by the HIV Medical Outcome Study (MOS-HIV) guestionnaires. Answers to guestionnaires were evaluated at 4 time-points: baseline, 24 weeks, 48 weeks and at the end of STACCATO.

Results: A total of 251 patients answered the HADS/DASS and 241 answered the MOS-HIV of the 379 Thai patients enrolled into STACCATO (66.2 and 63.6% respectively). At baseline 16.3% and 7.2% of patients reported anxiety and depression using HADS scale. Using the DASS scale, 35.1% reported mild to moderate and 9.6% reported severe anxiety; 8.8% reported mild to moderate and 2.0% reported severe depression; 42.6% reported mild to moderate and 4.8% reported severe stress. We showed a significant improvement of the MHS across time (p=0.001), but no difference between arms (p=0.17). The summarized physical health status score (PHS) did not change during the trial (p=0.15) nor between arm (p=0.45). There was no change of MHS or PHS in the STI arm, taking into account the number of STI cycle (p=0.30 and 0.57) but MHS significant increased across time-points (p=0.007).

Conclusion: Antiretroviral therapy improved mental health and QOL, irrespective of the treatment strategy.

Keywords: HIV infection, mental health, guality of life, treatment interruption.

INTRODUCTION

Combination antiretroviral therapy (cART) has changed HIV from a life threatening into a chronic condition. Anxiety and depression are common features of chronic diseases. Sixteen to 36% of patients with HIV infection have

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underlying depression [1-3]. Since the advent of cART, few studies have focused on the prevalence of anxiety and depression. They remain common findings affecting the mental health, the quality of life (QoL), and response to cART [4]. Patients with less anxiety are, on average, more educated, employed, have a shorter duration of HIV disease, lower viral load, and more likely of female gender [5]. The perspective of never ending pill ingestion is dispiriting to many patients who feel imprisoned by their chronic disease [6-9]. Drug toxicity and especially lipodystrophy is another well recognized complication of cART that may affect quality of life of treated patients [10-13]. One strategy to

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deal with such problems was to reduce overall drug exposure by scheduled treatment interruptions (STI) but since the publication of the SMART trial's results [14], this strategy is not viewed as an alternative care management anymore [15, 16]. Treatment interruption with subsequent increases in viral load may increase anxiety and affect QoL through symptoms related to increased viremia. Nowadays STI are not recommended as an alternative to continuous antiretroviral therapy. Nevertheless the STACCATO trial substudy on mental disorders and QoL offers an important insight on the evolution of mental health and QOL with continuous and interrupted cART in a resource limited setting.

METHODS

The Swiss Thai Australia Treatment Interruption Trial (STACCATO)

STACCATO was a prospective, open-label, randomized, multicentre trial conducted in Thailand, Switzerland and Australia from January 2002 until November 2005 [17]. Patients with chronic HIV-1 infection who were naïve or antiretroviral-experienced and who presented a virological and immunological response to a pre-treatment phase of at least 3 months were recruited. Inclusion criteria were a CD4 count above 350 cells/µL and HIV viral load (VL) <50 copies/ml and no evidence for pre-existing drug resistance, such as detectable VL on prior antiretroviral therapy or history of virological failure. The patients were initially randomized in three groups: one group with continuous treatment (CT arm), one group with CD4 guided treatment interruptions (STI arm) with a CD4 threshold of 350 cells/µL for interruption and re-initiation of antiretroviral therapy (STI:CT ratio 2:1). The third group had a week on therapy followed by a week off therapy. This group was stopped prematurely due to a high frequency of virological failure [18]. For the present study, only patients randomized in the CT and in the STI arms were analysed.

Mental Health and QOL Scales

To assess mental health and QoL, we used standardized questionnaires which are validated in Thai language: HIV-Medical Outcome Study (MOS-HIV) [19], Hospital Anxiety and Depression Scale (HADS) [20], Depression Anxiety Stress Scale (DASS) [21]. These test are not diagnostic tests but screening instruments and do not replace a assessment by a psychiatrist. Patients were asked to complete the validated Thai version of MOS-HIV, DASS and HADS questionnaires every 24 weeks beginning at baseline visit (randomisation). We will present patients' answers at baseline, week 24, week 48 and at the last visit before restarting cART (in the STI arm), named "last time-point visit" in the text.

The present study was performed in accordance with the approval of the ethical committee of the Thai Ministry of Public Health, and the local ethical committees.

HIV Medical Outcome Study (MOS-HIV)

The MOS-HIV questionnaire measures health related QoL and was derived from the Medical Outcome Study designed to measure QoL in primary care and general patient populations [19, 22, 23]. It is divided in 10 subscores ranging from 0 to 100 (with better quality of the functional area when greater values) which assessed general health, physical functioning, role functioning, social functioning, cognitive functioning, pain, mental health, vitality, health distress, and QoL. In order to simplify data analysis and the interpretation of findings from clinical trials, and across studies, physical and mental health summary scores (PHS and MHS respectively) were calculated. For the PHS score, the physical function, pain, and role function scale scores contributed most strongly. For the MHS score, the mental health, health distress, quality of life, and cognitive function scales contributed most strongly. The vitality, general health and social functioning scales contributed to both factors. The summary scores were transformed to standardized z-scores, with a mean of 50 and a standard deviation of 10 [23]. MOS-HIV has been translated in Thai and used in HIV studies in Thai patients [10, 24, 25]. According to several studies [26, 27] the MOS-HIV questionnaire has good internal consistency, with a Cronbach alpha coefficient [28] reported exceeding 0.75. In the current study, the Cronbach alpha coefficient increased between baseline and the last timepoint visit going from 0.71 to 0.94 (0.39-0.64 for role functioning subscale), depending on the subscale studied.

Hospital Anxiety and Depression Scale (HADS)

The HADS is a short self-assessment questionnaire developed specifically for an internal medicine context in which milder forms of anxiety and depression are more prevalent [29]. The HADS scale is divided in two sections: depression and anxiety. We considered patients to be depressed or anxious when they scored ≥ 11 . Abnormal or borderline abnormal items were considered as "abnormal". According to Savard *et al.* [30] the HADS has good internal consistency, with a Cronbach alpha coefficient [28] reported of 0.89. In the present study, we received only aggregated results which did not allow us to measure the Cronbach alpha coefficient in our study.

Depression Anxiety Stress Scale (DASS)

The DASS is a 42 item self-report inventory that yields 3 factors: depression, anxiety, and stress. This screening and outcome measure reflects the past 7 days, and reliability of the three scales has proven to be adequate [31]. Patients were considered to be depressed, anxious, or stressed when they answered at least one item with "mild" (score ≥ 10 for depression, score ≥ 8 for anxiety and score ≥ 15 for stress). According to Brown *et al.* [31] the DASS has an adequate internal consistency, with a Cronbach alpha coefficient [28] reported of 0.96, 0.89 and 0.93 for depression, anxiety and stress. In the present study, we received only aggregated results which did not allow us to measure the Cronbach alpha coefficient.

Both the DASS and HADS questionnaires are accepted tools to assess anxiety and depression [23]. The Thai version of both questionnaires has been validated for use in the Thai population.

Statistical Analysis

Both treatment arms were compared at baseline on demographic and clinical characteristics, HADS, DASS, mean PHS and mean MHS scores, using chi-square test of independence or Fisher's exact test for categorical variables and Student-*t* tests or Mann-Whitney non-parametric test for continuous variables. Patients who filled out the initial questionnaire but not the last one were compared to those who filled out initial and final questionnaires on demographic and clinical characteristics and QoL scores. McNemar test was performed to assess the paired-proportion for abnormal score using HADS and DASS between baseline and last time-point visit. We considered abnormal scores as a combination of abnormal and borderline scores using HADS scale. For DASS, we stratified abnormal scores in mild to moderate and severe to extremely severe scores.

HADS, DASS and MOS scores were analyzed from baseline to last time-point visit. A mixed between-within subjects analysis of variance (ANOVA) was used to test the impact of the arm of randomization on PHS and MHS across four time points (0, 24, 48 weeks and last time-point visit).

All analyses were done with SPSS version 11.0 (SPSS Inc., Chicago, Illinois, USA). Two-sided p-value <0.05 was considered statistically significant.

RESULTS

A total of 379 Thai patients were enrolled in STACCATO from January 2002, and 251 were included in

the present substudy from March 2003. Among them, all patients had answered the HADS and DASS questionnaires at baseline, and 207 (82.5%) at the last time-point visit. For MOS-HIV, 241 (96%) patients answered the questionnaire at baseline and 133 patients at the last time-point visit (55.2%).

Baseline characteristics of patients who answered to HADS/DASS and MOS-HIV questionnaires are shown in Table 1.

Patients who only filled out the initial questionnaire on HADS and DASS (n=43, 17.1%) were compared to those who filled out both initial and final questionnaires (n=208). They were distributed equally in both treatment arms (p=0.46), had similar demographical characteristics (age p=0.86, sex p=0.99) and clinical characteristics (CDC stage p=0.69, proportion of MSM p=0.19, proportion of intravenous drug users p=0.82, proportion of heterosexual risks p=0.25, mean HIV-RNA before cART p=0.86, mean CD4 count before cART p=0.57). Regarding distribution of anxiety, depression or stress from DASS scale, both groups had similar distributions (p=0.59, 0.99 and 0.68 respectively) at baseline. Distribution of depression and anxiety using HADS scale at baseline were also similar between both groups (p=0.99 and 0.13 respectively).

Patients who filled out the initial questionnaire on MOS-HIV (n=51, 21.2%) were compared to those who filled out both initial and final questionnaires (n=190). They were distributed equally in both treatment arms (p=0.43), had similar demographical characteristics (age p=0.59, sex

Table 1. Baseline Characteristics of Patients Assessed with DASS/HADS and MOS-HIV Questionnaires

	HA	MOS-HIV (N=241)				
	CT Arm N=76	STI Arm N=175	p-Value	CT Arm N=82	STI Arm N=159	p-Value
Male gender (%)	25 (32.9)	77 (44.0)	0.10	50 (61.0)	79 (49.7)	0.10
Route of HIV infection (%)			0.33			0.56
Heterosexual	67 (88.2)	154 (88.0)		72 (87.8)	131 (82.4)	
MSM	7 (9.2)	18 (10.3)		9 (11.0)	25 (15.7)	
IVDU	1 (1.3)	0		1 (1.2)	0	
Naïve patient (%)	52 (68.4)	115 (65.7)	0.63	50 (61.0)	93 (58.5)	0.71
Mean duration of HIV, months (±SD)	31.9 (±24.1)	33.1 (±28.6)	0.76**	30.2 (±20.9)	35.4 (±30.1)	0.66**
Age, years (±SD)	35.5 (±8.1)	35.4 (±8.0)	0.91*	36.6 (±7.6)	35.3 (±7.7)	0.22*
CD4 count, cells/mm3 (±SD)	254 (±113)	265 (±88)	0.46**	491 (±120)	491 (±150)	0.98*
Viral load, log10 cp/mL (±SD)	4.7 (±0.7)	4.68 (±0.7)	0.88**	4.7 (±0.7)	4.7 (±0.6)	0.90
Number of STI cycles (%)	-					
1		72 (41.1)			62 (39.0)	
2		65 (37.1)			51 (32.1)	
>=3		38 (21.7)			46 (28.9)	
N° who answered questionnaire (%)						
Baseline	76 (100)	175 (100)	-	82 (100)	159 (100)	-
Week 24	60 (78.9)	145 (82.9)	0.46	69 (84.1)	129 (81.1)	0.56
Week 48	53 (69.7)	111 (63.4)	0.33	60 (73.2)	120 (75.5)	0.70
Last time-point visit	65 (85.5)	142 (81.1)	0.40	46 (56.1)	87 (54.7)	0.84
Mean participation, months (±SD)	14.5 (±5.6)	14.4 (±5.9)	0.91**	18.1 (±7.5)	16.9 (±6.9)	0.21

* Mann-Whitney test because equality of variance not assumed. ** Student-t test.

p=0.16) and clinical characteristics (CDC stage p=0.90, proportion of MSM tends to higher among patients who filled both periods p=0.06, proportion of intra-venous drug users p=0.99, proportion of heterosexual risks p=0.19, mean HIV-RNA before cART p=0.24, mean CD4 count before cART p=0.53). Regarding mean PHS and MHS scores at baseline, there was no significant difference (p=0.27 and 0.72 respectively).

Table 2 presents the prevalence of anxiety or depression using HADS and the prevalence of mild-moderate and severe to extremely severe anxiety, depression and stress using DASS in each arm, at baseline; there is no difference between arms (all p-value>0.05). We show that 2.6% of patients randomized in the CT arm and 0.6% in the STI arm had a combination of anxiety and depression at baseline with the HADS scale (p=0.22). With the DASS scale, 3.9% of patients included in the CT arm and 1.2% of patients included in the STI arm had a combination of mild to moderate anxiety and depression (p=0.16); 2.6% of patients randomized in the CT arm versus none in the STI arm had a combination of severe anxiety and depression at baseline (p=0.09).

Table 3 shows the comparison between arms of anxiety, depression and stress from baseline to last time-point visit (65 patients in the CT arm and 142 in the STI arm). We found a significantly lower proportion of mild to moderate stress between both time-points in the STI arm (p=0.004) and a significantly lower proportion of severe to extremely severe anxiety between both time-points in the STI arm (p=0.008). The comparison of abnormal values regarding anxiety, depression and stress between baseline and last

time-point visit was similar inside CT arm whatever HADS or DASS scales; nonetheless there was a tendency for higher severe stress in the CT arm at last time-point visit compared to baseline (p=0.07). We found a significant higher proportion of depression at last time-point visit compared to baseline in the STI arm using the HADS scale (McNemar test, p=0.004) while the difference was not statistically different using DASS scale. With the HADS, we found that anxiety was the same between baseline and last time-point visit in the STI arm while with the DASS, the proportion of severe anxiety was significantly decreased in the STI arm at last time-point visit (p=0.008) but not for mild to moderate anxiety (p=0.75). Regarding stress, the proportion of patients who were mildly to moderately stressed at baseline decreased significantly at last time-point visit in the STI arm (p=0.04) but the proportion of severely stressed patients was similar between both time-points within the STI arm (p=0.32).

In the MOS-HIV questionnaire exploring the QoL at baseline, the mean MHS score was significantly lower in the STI arm compared to CT arm (49.1±8.3 versus 51.7±7.6, p=0.02) and the PHS score was similar between arms (54.4±6.3 versus 53.8±6.6, p=0.53). The variation of the PHS and MHS scores from baseline to last time-point visit (with their 95% confidence interval) is presented in Fig. (1). Regarding the PHS, mixed between-within subjects ANOVA showed that there was no significant interaction between the four time-points and the randomization arm (p=0.74; partial eta squared =0.01). There was neither change of PHS across the four time-points (p=0.15) nor between the two arms of randomization (p=0.45) (Fig. 1b). Regarding the MHS, we

Table 2.	Proportion of Anxiety,	, Depression and Stre	ss Using HADS and	I DASS Scales between	n CT and STI Arms at Baseline
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	CT Arm (N=76)		STI Arm (N=175)		p-Value	
	N	%	Ν	%	p / ulue	
HADS scale						
Anxiety	12	15.8	29	16.6	0.88	
Depression	8	10.5	10	5.7	0.17	
Combination of anxiety and depression	2	2.6	1	0.6	0.22*	
DASS scale						
Anxiety						
Mild-moderate	25	32.9	63	36.0	0.64	
Severe-extremely severe	5	6.6	19	10.6	0.29	
Depression						
Mild-moderate	7	9.2	15	8.6	0.87	
Severe-extremely severe	3	3.9	2	1.1	0.16	
Combination of anxiety and depression						
Mild-moderate	3	3.9	2	1.2	0.16*	
Severe-extremely severe	2	2.6	-	-	0.09*	
Stress						
Mild-moderate	33	43.4	74	42.3	0.87	
Severe-extremely severe	4	5.3	8	4.6	0.76*	

* Fisher's exact test.

Table 3.	Comparison of Depression, Anxiety and Stress Prevalence between Baseline and Last Time-Point Visit Scored by HADS
	or DASS between Both Randomization Arms

Arms	Anx	riety	Depression		Stress	
	CT (n=65)	STI (n=142)	CT (n=65)	STI (n=142)	CT (n=65)	STI (n=142)
HADS scale (%)						
Abnormal values*						
Baseline	12 (18.5)	23 (16.2)	8 (12.3)	6 (4.2)	-	-
Last time-point visit	13 (20.0)	28 (19.7)	8 (12.3)	18 (12.7)	-	-
p-value	0.99	0.49	0.99	0.004	-	-
DASS scale (%)						
Mild to moderate						
Baseline	22 (33.8)	48 (33.8)	7 (10.8)	9 (6.3)	20 (30.8)	42 (29.6)
Last time-point visit	20 (30.8)	46 (32.4)	5 (7.7)	8 (5.6)	12 (18.5)	29 (20.4)
p-value	0.67	0.75	0.53	0.78	0.07	0.04
Severe to extremely severe						
Baseline	5 (7.7)	15 (10.6)	1 (1.5)	1 (0.7)	2 (3.1)	3 (2.1)
Last time-point visit	2 (3.1)	5 (3.5)	0	0	4 (6.2)	5 (3.5)
p-value	0.26	0.008	0.32	0.32	0.32	0.32

showed that there was no significant interaction between the four time-points and the randomization arm (p=0.43). There was a substantial main effect across time-points (p=0.001, partial eta squared = 0.128 corresponding to a moderate to high effect size) showing an increase of mental health across the 4 time-points. The main effect comparing the randomization arm was not significant (p=0.17, partial eta squared = 0.014), suggesting no difference in the evaluation of mental health between both randomization arms (Fig. 1a). When we restricted our analysis to STI arm taking into account the number of STI cycles (divided in three categories: 1, 2 or \geq 3 STI cycles), mixed between-within subjects ANOVA assessing physical health showed that there was no significant interaction between the four timepoints and the number of STI cycles (p=0.71), PHS was stable across the four time-points (p=0.64) and there was no significant change regarding the number of STI cycles (p=0.27). The model that assessed mental health showed that there was no significant interaction between time and the number of STI cycles (p=0.57) but that there was a significant increase of MHS across the four time-points (p=0.007) without a difference regarding the number of STI cycles (p=0.30).

We used a multivariate linear model to explore the association between PHS and MHS scores at baseline and final visit with various covariates. At baseline, MHS was greater among patients over 44 years (p=0.08), among patients infected through heterosexual intercourse (p=0.8), when CD4 count was above 500 cells/ μ L (p=0.009) and increased with weight (p=0.09). PHS at baseline was lower among patients infected through heterosexual intercourse (p=0.04) and among patients screened for HIV for more than 6 months (p=0.12). For the last visit, MHS was lower among patients infected through heterosexual intercourse (p=0.01), among patients randomized in the CD4 guided group (p=0.04) and among patients who lost more than 5 kg from baseline (p=0.001). For PHS, the score was lower in women (p=0.06), if patients had triglycerides above 200 mg/dl (p=0.05), if LDL-cholesterol was greater than 140 mg/dl (p=0.09) and if patients lost more than 5 kg from baseline (p=0.06). Globally, multivariate linear models did not fit perfectly with adjusted covariates ($R^2 < 0.10$ for the 4 models).

DISCUSSION

Since the advent of cART, morbidity and mortality of HIV-infections have drastically decreased in industrialized countries [32]. HIV infection has become a chronic disease with a quasi normal life expectancy. In the cART era, QoL now represents a challenge in the care management of HIVinfected patients. Stigmatizing side effects such as lipodystrophy [33] have to be taken into account choosing antiretroviral drugs. The strategy of STI was proposed as an alternative to continuous cART in patients with moderate immunosuppression (CD4 count above 350 cells/µL) but since the publication of the SMART trial's results, it is not recommended at a patient-population level [14]. Indeed with few exceptions [34, 35], the results of STI on QoL were disappointing [36-38]. In some studies its impact on general health perception was even negative [14, 39]. In accordance, our results showed no benefit of STI on mental health and QoL. But what appeared is that cART by itself has a positive effect on mental health. Even in patients randomized to the STI arm, and who for unknown reasons had MHS scores which were significantly lower at baseline, a significant increase of MHS scores across study time-points was seen. This suggests that they have corrected their MHS score during STACCATO trial while on continuous treatment. At last time-point visit they reached the same scores as patients randomized in the CT arm at baseline. We also showed a tendency to lower proportions of anxiety and stress between baseline and last time-point visit among patients randomized

(a)





Fig. (1). Line graphs of mean scores with their 95% confidence intervals for the Mental Health summary (MHS) (**1a**) and Physical Health Summary (PHS) (**1b**) by arm and across the four time-points. MHS and PHS are T-scored with a mean of 50 and a standard deviation of 10. Last visit corresponds to last time-point visit.

in the STI arm. Regarding depression, we found contradictory results. A higher rate of depression at the last time-point visit compared to baseline among patients randomized in the STI arm, but not in the continuous arm.

For our investigation we used the well-known and validated questionnaires HADS, DASS and MOS-HIV. MOS-HIV also measures fatigue, a important variable affecting QOL in HIV-HCV co-infection [40]. These questionnaires are in fact measurement tools that have

coefficients of variation comparable to CD4 counts [41, 42]. We showed that the number of patients who answered the questionnaires was different and we explained this disparity by the fact that in Thailand the STACCATO trial was organized in seven centres with at least seven clinical investigators who did not always adhere to the protocol. The focus was on mental health, anxiety, stress and depression in particular. Most of our patients lived in the Bangkok metropolitan area with a lifetime prevalence of anxiety

disorders in the general population of 10.2%, and 19.9% depression [43]. In the literature prevalence of depression and anxiety among people living with HIV-infection and treated with antiretroviral therapy varies from 4% to 51% [44-49]. This wide range is due to the multiplicity of settings evaluated, questionnaires used and to the diversity of mental disorders studied, from mild to severe. In our study prevalence of severe depression measured at baseline by DASS was 2.0%, which is low. If mild and moderate forms are included, depressive symptoms were seen in 8.8% and anxiety in 35.1%. Mental health and QoL were found similar in the STI arm compared with CT. The number of STI did not modify the results at the different questionnaires as we could expect.

We should address some limitations to our study. First only 63.6-66.2% of all enrolled Thai patients answered the MOS-HIV and HADS/DASS questionnaires, which is few. To rule out biases, we compared patients who only filled out the initial questionnaire on HADS and DASS to those who filled out both initial and final questionnaires. They were distributed equally within both arms and had similar characteristics making a selection unlikely. We should admit a potential selection bias because all participants were volunteers. This could explain the relatively low prevalence of anxiety, depression or stress at baseline. Probably they are not representative of the entire HIV-infected population in whom mental disorders are more frequent [1-3]. Also it must be emphasized that the screening tests we used are not equivalent to an assessment by a psychiatrist. Finally time within randomized phase of STACCATO was between 14.4 and 16.5 months and most of the patients had less than 2 treatment interruptions which could also explained the relatively low prevalence of mental health disorders emerging during the study. But even in this motivated group the positive effect of cART was evident.

In a previous publication, we showed that CD4 guided STI led to less drug toxicity, particularly neuropathy, but also to more HIV-associated symptoms like oral candidiasis or acute retroviral syndrome [17]. Most of the drug toxicity was associated with stavudine, a drug still widely used in resource limited settings. Even with this relatively toxic regimen, QoL improved with continuous cART, not with STI. Further, HIV infection is known to affect the central nervous system [50]. Thus uncontrolled viremia can have an impact on mental health. The vast majority of our patients started antiretroviral therapy approximately 6 months before enrollment into STACCATO. This relatively short duration of treatment must be taken into account when interpreting our findings, as treatment fatigue might be less pronounced than in other trials with longer duration of cART. On the other hand, trials with a longer previous time on cART failed to show a benefit of STI on QoL as well [14, 36-39].

In summary, continuous cART has a beneficial effect on mental health in Thai patients with chronic HIV infection.

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TRIAL REGISTRATION

The STACCATO trial is registered at ClinicalTrials.gov with the identifier NCT00113126.

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