

Ferrata Storti Foundation

Haematologica 2021 Volume 106(11):2918-2926

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Received: June 12, 2020.

Accepted: September 2, 2020.

Pre-published: September 10, 2020.

https://doi.org/10.3324/haematol.2020.262659

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CDKN2A deletion is a frequent event associated with poor outcome in patients with peripheral T-cell lymphoma not otherwise specified (PTCL-NOS)

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ABSTRACT

odal peripheral T-cell lymphoma not otherwise specified (PTCL-NOS) remains a diagnosis encompassing a heterogenous group of PTCL cases not fitting criteria for more homogeneous subtypes. They are characterized by a poor clinical outcome when treated with anthracycline-containing regimens. A better understanding of their biology could improve prognostic stratification and foster the development of novel therapeutic approaches. Recent targeted and whole exome sequencing studies have shown recurrent copy number abnormalities (CNA) with prognostic significance. Here, investigating five formalinfixed, paraffin embedded cases of PTCL-NOS by whole genome sequencing, we found a high prevalence of structural variants and complex events, such as chromothripsis likely responsible for the observed CNA. Among them, CDKN2A and PTEN deletions emerged as the most frequent aberration, as confirmed in a final cohort of 143 patients with nodal PTCL. The incidence of CDKN2A and PTEN deletions among PTCL-NOS was 46% and 26%, respectively. Furthermore, we found that co-occurrence of CDKN2A and PTEN deletions is an event associated with PTCL-NOS with absolute specificity. In contrast, these deletions are rare and never co-occur in angioimmunoblastic and anaplastic lymphomas. CDKN2A deletion was associated with shorter overall survival in multivariate analysis corrected by age, International Prognostic Index, transplant eligibility and GATA3 expression (adjusted Hazard Ratio =2.53; 95% Confidence Interval: 1.006-6.3; P=0.048). These data suggest that CDKN2A deletions may be relevant for refining the prognosis of PTCL-NOS and their significance should be evaluated in prospective trials.

Introduction

Within the heterogeneous categories of nodal peripheral T-cell lymphoma (PTCL) subtypes currently recognized by the World Health Organization (WHO) classification system,¹⁻⁴ many subtypes have defining molecular and phenotypic features. For example, within the anaplastic large cell lymphoma (ALCL) subtype, translocations

of the ALK oncogene define a homogeneous subgroup, while ALK-negative cases carry mutations or translocations resulting in the activation of the JAK/STAT pathway.⁵ In angioimmunoblastic T-cell lymphoma (AITL), mutations in DNMT3A, TET2, IDH2 and RHOA have been described in a significant fraction of patients.⁶⁻⁸ These features are increasingly used for diagnostic and prognostic purposes, and may translate into targeted or rationale treatment approaches.^{9,10} A subgroup of PTCL not otherwise specified (PTCL-NOS) carries a T-cell follicular helper (TFH) phenotype and some phenotypic and genetic features of AITL including mutations affecting TET2, DNMT3A, and RHOA genes.^{6,11} Therefore, nodal PTCL with TFH phenotype have been included in the revised WHO classification as a provisional entity.⁴ On the contrary, the vast majority of PTCL-NOS are a large yet ill-defined subgroup that lack defining genetic of phenotypic features.^{6,11-13} They are characterized by a poor clinical outcome when treated with anthracycline-containing regimens and some non-randomized studies suggest that high-dose chemotherapy can offer some survival benefit in young patients.^{14,15} However, a better understanding of their biology could improve prognostic stratification and foster the development of novel therapeutic approaches.

The gene expression profile of PTCL-NOS suggests that two major subtypes can be identified, one characterized by *GATA3* and the other by *TBX21* overexpression, the former carrying worse prognosis.¹⁶⁻¹⁸ More recently, two groups identified recurrent copy-number alterations (CNA) in the tumor suppressors TP53 and CDKN2A with adverse prognostic significance, and generally associated with genomic instability.^{19,20} These efforts have been based on next-generation sequencing (NGS) of targeted gene panels and singlenucleotide polymorphism (SNP) arrays. Contrary to the above studies, whole genome sequencing (WGS) can interrogate the full repertoire of somatic mutations, CNA, structural variants (SV) and even mutational processes involved in cancer pathogenesis.²¹ However, WGS in PTCL have been hampered by the availability of high-quality, tumorrich DNA. On the other end, novel findings must be validated and applicable to everyday diagnostic routine before the benefits of additional information are translated into clinical improvements.

With the hypothesis that WGS may reveal the genomic bases of recurrent genomic alterations in PTCL-NOS, here we decided to test this approach in formalin-fixed, paraffin embedded (FFPE) samples. Furthermore, using an extended cohort of cases, we validate our and published results by fluorescence *in situ* hybridization (FISH) and immunohistochemistry (IHC), and analyze prognostic correlates.

Methods

Sample selection

Eleven PTCL-NOS patients treated at the Department of Hematology, Fondazione IRCCS Istituto Nazionale dei Tumori, Milan, Italy were selected for the WGS analysis (Online Supplementary Table S1). The study protocol was approved by the Institutional Review Board (n. INT 19/13) and was conducted in accordance with the Declaration of Helsinki. The diagnosis was made according to the 2016 WHO classification⁴ and subtype assignment was independently reviewed by two expert hemato-pathologists. Only PTCL-NOS cases were selected. Nodal lymphomas with TFH cell origin, defined according to the 2017 WHO update4 by the association of a CD4+ phenotype with the expression of at least two TFH markers among ICOS, PD1, CXCL13, BCL-6 and CD10 by, were excluded on morphology and immunohistochemical bases. Additionally a comprehensive morphologic assessment was performed evaluating features commonly associated with nodal lymphomas with TFH cell origin such as the presence of large Reed-Sternberg-like, CD30+/CD15+ and PAX5+ cells, Eppstein Bar virus (EBV) positivity without a pabulum and with a growth pattern that mimics either a follicular lymphoma or progressively transformed germinal centers. Samples were chosen according to tumor cellularity and amount of extracted DNA (>500 ng). DNA was extracted from FFPE blocks in ten patients, as previously described.²² For one patient (PD30774a), DNA was extracted from a fresh frozen sample (peripheral blood mononuclear cells harvested during leukemic progression). For one patient, we sequenced two samples: one collected at diagnosis (PD30770a) and one at relapse (PD30770c). Therefore, all but two samples (PD30774a and PD30770c) were collected at diagnosis. DNA from buccal swabs was used as normal match for all samples.

Whole genome sequencing data analysis

WGS libraries were prepared with the TruSeq DNA PCR-Free Library Preparation Kit (Illumina San Diego, CA, USA) from 500 ng of genomic DNA, aiming for an average target insert of 300

Table 1. Demographic and clinical characteristics	of the peripheral	T-cell lymphoma not	otherwise specified	cohort used for sur	vival analysis
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Variable	All patients	CDKN2 deleted	CDKN2 wt	n/e	<i>P</i> -value
Age (range)	59.9 (22-85)	59.5 (22-84)	59.9 (34-85)		0.7
Sex (female)	17/34 (50%)	7/20 (35%)	10/14 (71%)	0	0.07
Bone Marrow infiltration	9/30 (30%)	4/18 (22%)	5/12 (41%)	4	0.4
Extra-nodal disease	12/34 (35%)	9/20 (45%)	3/14 (21%)	0	0.27
IPI >2	14/32 (43%)	7/18 (39%)	8/14 (57%)	2	0.47
SCT eligible	27/34 (79%)	16/20 (80%)	11/14 (78%)	0	1
Anthracycline induction CT	31/34 (91%)	18/20 (90%)	13/14 (9%)	0	0.7
Response to first line1 (CR)	13/33 (39%)	5/20 (25%)	5/13 (38%)	1	0.06
ASCT or AlloSCT	14/34 (41%)	5/20 (25%)	9/14 (64%)	0	0.035
GATA3 >50%	9/34 (26%)	6/20 (30%)	3/14 (21%)	0	0.7

SCT: stem cell transplantation; ASCT: autologous stem cell transplantation; AlloSCT: alogeneic stem cell transplantation; CR: complete remission; PT: partial remission; n/e: not evaluable; IPI: International Prognostic Index; wt: wild-type; CT: chemotherapy.

basepairs (bp). Sequencing was performed on the Illumina X10 platform at the Wellcome Sanger Institute (WSI) on a 150 bppaired end protocol to a target depth of 40x for tumor samples and 30x for normal controls. The sequence data described here will be made available from the European Genome-phenome Archive (EGA) repository (EGAS00001002057). FASTQ files were aligned to the reference genome (GRCh37/hg19) using BWAmem and deduplicated aligned BAM files were analyzed using the following published tools available at the WSI: ASCAT and Battenberg to measure clonal and subclonal CNA and to estimate the tumor cell fraction of each sample,²³ Caveman and Pindel for single nucleotide variants (SNV) and small insertion-deletions (indels);^{24,25} BRASS for SV (large inversions and deletions, translocations, internal tandem duplications).²³ Complex events were defined, as previously described.²⁶ TraFIC was used to described the somatic L1 retrotransposition landscape.27

The repertoire of mutational processes operative in PTCL-NOS was analyzed by extracting the corresponding mutational signatures using the 96-class matrix of all possible substitutions in their 5' and 3' context with non-negative matrix factorization (NNMF), as previously described.^{28,29} Mutations were classified as drivers based on the COSMIC census catalogue of cancer genes (http://cancer.sanger.ac.uk/cosmic/).

Validation cohorts

In order to expand our analysis on mutations in driver genes, we included published exomes data from 63 PTCL patients (30 PTCL-NOS ,15 AITL, 23 ALCL ALK neg and 16 EATL-II).^{67,530,31,13} COSMIC census was used to create the catalogue of genes potentially involved by nonsynonymous mutations (https://cancer.sanger.ac.uk/census).

CDKN2A and *PTEN* status was validated by dual-colour FISH on paraffin-embedded selected tumor areas using commercially available DNA probes: for p16 (*CDKN2A*) we used a spectrum orange-labeled locus-specific *CDKN2A* (9p21) probe and spectrum green-labeled chromosome 9 centromeric probe (LSI CDKN2A/CEP 9); for PTEN, a spectrum orange-labeled locusspecific *PTEN* (10q23) probe and a spectrum green-labeled chromosome 10 centromeric probe (LSI *PTEN/CEP* 10) – Vysis Inc., Downers Grove, IL, USA. A *CDKN2A* deletion was defined in the presence of either a homozygous deletion in >10% of cells or a hemizygous deletion in >40% of cells.²⁷ A cutoff or 40% was applied to define the hemizygous *PTEN* loss. CDKN2A/p16 and GATA3 protein expression was tested by IHC, as previously described.¹⁶⁻¹⁸ In order to define GATA3 IHC positivity we used the recently proposed 50% cutoff.¹⁹

For further validation, we included published data from 20 AITL samples with copy number status defined using different NGS approach^{7,31} and 81 PTCL investigated by SNP array.^{32,33} For this last series, we downloaded all the available CEL files from GEO (accession numbers *GSE15842* and *GSE50252*) and then LogR and BAF data were extracted using pennCNV.³⁴ We then applied the ASCAT algorithm to perform segmentation and retrieve allele-specific absolute copy number alterations. The description of entire cohort used to validate *CDKN2A* and *PTEN* status can be found in the *Online Supplementary Table S2*.

All contingency analyses were performed by Fisher's exact test. Estimated progression-free survival (PFS) and overall survival (OS) were calculated with the Kaplan-Meier method and groups were compared with the log-rank test. Multivariate analysis was performed with Cox regression. All the analyses were performed using appropriate functions in the R 3.4.2 software (*www.r-project.org*).

Results

Peripheral T-cell lymphoma not otherwise specified show a complex genomic architecture

We performed WGS on 12 tumors and 11 matched normal samples from 11 patients affected by PTCL-NOS, achieving an average depth of 27x (*Online Supplementary Table S1*). For one patient (PD30770) a diagnosis and a relapse tumor sample were available. As expected, analysis was severely hampered by the nature of samples analyzed, so that samples from six patients did not pass quality control. Causes ranged from insufficient cluster generation due to low quality DNA (one sample), low cancer cell fraction (CCF) (one sample), FFPE-induced artifacts (four samples). More data on quality control, FFPE artifacts and mutational signatures in PTCL-NOS WGS samples can be found in the *Online Supplementary Appendix section*.

In the remaining five patients, no recurrent point mutations or indels in onco-driver genes were identified, in line with recent observations.^{6,11} The rare involvement of oncodriver gene in PTCL-NOS compared to other PTCL subtypes was confirmed in additional 84 published WES cases⁵⁻ ^{7,30,31} (Online Supplementary Figure S1-2). We therefore focused the investigation on structural variants (SV: defined as inversions, translocations, internal tandem duplications [ITD] and deletions) and CNA, which could be investigated in depth in our five WGS samples. Three hundred and seventy-two SV were extracted with a median of 74 per sample (range, 56-86) (Figure 1A). Intriguingly, at least one complex event was observed in all but one patient, including five chromothripsis events in three patients (Figure 1B).³ Importantly, several cancer genes were affected either by SV directly or by CNA caused by SV and complex events (Figure 1C). For example, sample PD30771a was characterized by three different chromothripsis events on multiple chromosomes, including one causing a homozygous deletion of CDKN2A (Figure 1C). The genomic landscape was even more complex in relapse samples: PD30774a showed a whole genome duplication, and a large chromothripsis event was responsible for disruption of several known oncogenes resulting, for instance, in ARID1B and ARID2 losses (Figure 1C); analysis of serial diagnosis and relapse samples in patient PD30770 showed acquisition of numerous SV and CNA, and a complex event in chromosome 16 (Online Supplementary Figure S3). Overall, this confirms how driver events in PTCL-NOS may often go beyond coding gene mutations and involve complex structural events that can only be investigated by whole genome sequencing.

CDKN2A and **PTEN** are frequently co-deleted in peripheral T-cell lymphoma not otherwise specified, but not in other peripheral T-cell lymphoma

Most of CNA and SV breakpoints were not recurrent, but we found the tumor suppressor *CDKN2A* deleted in four out of five patients. Collectively, we found a simple homozygous deletion (HD), and a second HD caused by chromothripsis. In two further cases, breakpoints around the copy-number loss could not be resolved by a distinct SV event, so the cause remains unknown (Figure 1C). Interestingly, *PTEN* loss was observed in two out of four *CDKN2A*-deleted patients. FISH analysis on sections from the five cases analyzed by WGS confirmed the *CDKN2A* and the *PTEN* deletion in all cases (Figure 2A), and the diploid status of the genes in the ones where no deletions were found by NGS. The high prevalence of these deletions in our small dataset confirmed recently published data and prompted us to extend our observations. To this end, we evaluated by FISH 37 PTCL archive cases from our institution (30 PTCL-NOS, five ALK negative ALCL and two AITL cases, *Online Supplementary Table S2*). The prevalence of *CDKN2A* deletions among PTCL-NOS was 50%, and HD accounted for two thirds of cases. Overall, 31% of cases showed *PTEN* deletions.

In order to compare the prevalence of *CDKN2A* and *PTEN* deletion across the main PTCL histologies, we included 101 cases from published datasets^{7,31-33} for a total of



Figure 1. Peripheral T-cell lymphoma not otherwise specified structural variants and complex events. Prevalence of structural variants (A) and complex events (B) among peripheral T-cell lymphoma not otherwise specified (PTCL-NOS). (C) Heatmap summarizing the oncogenes whose disruption was caused by at least one structural variants. The heatmap color highlighted the type of structural variant (SV) involved. Yellow: not determinable, i.e., the oncogene loss could not be mapped to a specific SV. For three events, the chromothripsis event responsible for the oncogene loss is drawn on the right. The horizontal black line indicates total copy number; the orange line minor copy number. Vertical lines represent SV breakpoints for deletion (red), inversion (blue), tandem-duplication (green) and translocation (black).

143 PTCL of which 63 PTCL-NOS (*Online Supplementary Table S2*; *Online Supplementary Figure S4*). *CDKN2A* was deleted in 29 of 63 (46%) PTCL-NOS cases, including 19 of 29 (65%) HD. This was significantly more frequent than what was found in other histologies (Figure 2B and C). *PTEN* was deleted in 17 of 63 (26%) PTCL-NOS cases, 13 (76%) of which also carried a *CDKN2A* loss. Importantly, the co-occurrence of *CDKN2A* and *PTEN* was specific for PTCL-NOS (Figure 2B and C), representing the first genetic abnormality of such kind.

CDKN2A genetic status does not correlate with p16 nor with GATA3 expression

We next evaluated *CDKN2A* expression (p16) by IHC in 31 PTCL-NOS samples for whose archival material was available. Overall, the average percentage of tumor cells expressing p16 in WT cases was 23.44% (range, 0-90%), while it was 6.21% (range, 0-30%) in deleted cases, either mono- or bi-allelic. This difference was significant (P=0.01, Student *t*-test) (Figure 3A and C). Using the previously described cutoff of 20% of tumor cells to define a categorical present/absent p16 expression status, only one case was p16 positive when *CDKN2A* was deleted, and no cases of bi-allelic deletions showed p16 positivity. However, nine of 14 cases were p16 negative in spite of a wild-type (WT) *CDKN2A* locus (Figure 3A). This suggests that reduced expression of p16 can stem from non-genetic events, including epigenetic or post-transcriptional mechanisms as reported in other cancer types.^{36,37}

Next, we correlated the genetic status of *CDKN2A* and GATA3 expression. Again, the picture was far from clear: the average percentage of GATA3-expressing cells was 23.12% in *CDKN2A* WT cases, and 33.72% in *CDKN2A* deleted cases. This difference was not significant (P=0.01, Student *t*-test) (Figure 3D). Even after setting the threshold for GATA3 expression at 50%,¹⁸ the number of GATA3 positive cases did not differ between *CDKN2A* WT and deleted cases.

Finally, taking advantage of the combined WGS-SNP array cohort of 33 PTCL-NOS cases, we explored additional structural events in this subgroup. We observed 17p13 (*TP53*) deletions in 11 patients (33%), with partial overlap with *CDKN2A* deletions (*Online Supplementary Figure S5*).

CDKN2A deletions carry prognostic significance

Clinical data were available for all PTCL-NOS patients



Figure 2. Prevalence of CDKN2A and PTEN deletions among different peripheral T-cell lymphoma subtypes. (A) Heatmap showing the prevalence of CDKN2A and PTEN deletions across the main peripheral T-cell lymphoma (PTCL) subtypes. (B) representative fluorescence *in situ* hybridization (FISH) pictures of PTEN (top) and CDKN2A (bottom) deletions. (C) Frequency table of CDKN2A deletions, mono- and bi-allelic, and co-occurrence with PTEN deletions across PTCL subtypes. All statistical comparisons were performed by Fisher's exact test. Peripheral T-cell lymphoma not otherwise specified (PTCL-NOS) showed a significant enrichment for these three genomic aberrations compared to all the other PTCL histologies (P<0.01). ALCL: anaplastic large cell lymphoma; AITL: angioimmunoblastic T-cell lymphoma

from our WGS and FISH cohort except one that was not treated as a PTCL-NOS due to an initial diagnostic misclassification. The median age of the cohort was 59 years (range, 22-85), with 79% (27 of 34) transplant eligible and 43% (14 of 32) with an International Prognostic Index (IPI) >2. Most of the patients (n=31, 94.4%) received an induction therapy with an anthracycline. During the course of the disease, 14 patients (41%) received autologous stem cell transplantation (SCT) and/or allogeneic SCT (Table 1).^{88,14}

After a median follow-up of 70 months, 11 patients (32%) were still alive. We thus evaluated whether *CDKN2A* and *PTEN* genomic status affected the response to therapy and outcome. *PTEN* deletion did not impact the clinical outcome in terms of both PFS and OS. In contrast, *CDKN2A* deleted cases were more frequent in primary refractory than WT cases (15 of 20 [75%] vs. five of 13 [38%]; *P*=0.06). Furthermore, 16 of 20 (80%) *CDKN2A* deleted patients died in disease progression already within the first year of diagnosis. Consequently, PTCL-NOS patients carrying *CDKN2A* deletions had a significantly shorter PFS compared to WT patients (5-year PFS: 7.5% [95% Confidence Interval (CI): 1.3-42] vs. 24%, [95% CI: 9-63.5]; *P*=0.048) and OS (5-year OS: 22.5% [95% CI: 9.4-54] vs. 52% [95%CI: 30-90]; *P*=0.039) (Figure 4A and B). The

association between short survival and *CDKN2A* deletion retained its significance after multivariate correction by age, IPI, transplant eligibility and GATA3 IHC expression (Figure 4C and D).

Discussion

In this paper we performed the first WGS experiment on PTCL-NOS samples. Despite the limited series, WGS showed ubiquitous instances of complex rearrangements and prevalent inactivation of classical tumor suppressor genes by SV and CNA. Chromothripsis in particular, a common mechanism underlying complex rearrangements, was never described in PTCL-NOS and frequently seen in our samples thanks to the comprehensive analysis of the genome allowed by WGS. This observation likely provides the mechanistic bases of the frequent CNA observed in PTCL-NOS cases in larger cohorts, including CDKN2A deletions.^{19,20} In our study, we could also observe how CDKN2A deletions were often focal and bi-allelic. This inactivation pattern is highly specific for driver tumor suppressors, and has been described for CDKN2A in other hematological malignancies.^{39–41} We went on to confirm this



Figure 3. CDKN2A protein expression in peripheral T-cell lymphoma not otherwise specified. (A) Expression of CDKN2A/p16 by immunohistochemistry (IHC). (B and C) Representative examples of CDKN2/p16 IHC results in cases with normal (B) and deleted (C) alleles. (D) GATA3 protein expression evaluated by IHC in CDKN2A deleted (del) and wild-type (wt) cases. P-value was estimated using a Wilcoxon test.





observation in a large validation cohort, showing how other PTCL subtypes did not share these abnormalities, pointing at a specific driver role of *CDKN2A* in PTCL-NOS pathogenesis. What was most striking though, was that *PTEN* and *CDKN2A* co-deletion had a 100% positive predictive value for the diagnosis of PTCL-NOS, providing the first genetic abnormality that provides absolute specificity for this PTCL subgroup. The integration of these genomic aberrations with point mutations recently reported in distinct subtypes might allow the generation of an accurate diagnostic flow based on NGS as described for other malignancies,⁴²⁻⁴⁴ potentially able to overcome historical classification difficulties in PTCL.

Importantly, deletions of *PTEN*, a negative regulator of the PI3K pathway were also enriched in PTCL-NOS. From a mechanistic point of view, this suggests a possible epistatic relationship between the two pathways, and in fact the role of *PTEN* in T lymphomagenesis has been postulated in vivo. 45

A distinction between PTCL-NOS cases has recently been proposed, as some cases would show a more complex genomic architecture with frequent CNA involving tumor suppressor genes and worse prognosis, while others would show fewer abnormalities and carry a better prognosis.^{19,20} A correlation between the former group and higher GATA3 expression has also been postulated by some groups,¹⁹ but not confirmed by others.²⁰ High GATA3 expression is itself an adverse prognostic marker in PTCL-NOS.¹⁷ However, in our series, allelic status of *CDKN2A* did not correlate with GATA3 expression by IHC. Furthermore, we found that p16 expression by IHC was a poor predictor of *CDKN2A* genetic status, likely suggesting that, similarly to other cancers,³⁷ non-genetic mechanisms converge towards p16 down-regulation as a universal driver of PTCL-NOS. Given the high heterogeneity of the PTCL-NOS subgroup, and the variability of IHC staining of different proteins, further studies will be needed to validate these findings. However, our multivariate analysis of survival confirmed that *CDKN2A* allelic status was a significant predictor of inferior PFS and OS in PTCL-NOS. Importantly, this was independent of age, IPI, transplant eligibility and GATA3 expression by multivariate analysis. Future studies on larger number of patients will be required to confirm our findings.

While the molecular categorization of PTCL-NOS still remains controversial, our study confirms the utility of WGS in the study of malignancies where recurrent gene mutations are lacking and the classification itself is often ambiguous. Moving from the complexities of WGS, we also show that an old-fashioned FISH test can be of relevance in PTCL-NOS. In the future, the diagnostic value of *CDKN2A* and *PTEN* co-deletion, and the prognostic value of *CDKN2A* deletions will need to be assessed in prospective studies.

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Disclosures

No conflicts of interest to disclose.

Contributions

PC, FM designed the study, collected and analyzed the data and wrote the paper; DL, AD, CC, NB analyzed the data and wrote the paper; BRM, JT, JZ, FA, AB, PJC, BD, and IM analyzed the data; AT, AC, MF and GP performed the IHC and FISH validations; GB, MM and AC collected the data.

Funding

This work is supported by AIRC (Associazione Italiana Ricerca sul Cancro) and AIL (Associazione Italiana Contro le Leucemie-Linfomi e Mieloma ONLUS). This work is supported by the Memorial Sloan Kettering Cancer Center NCI core grant (P30 CA 008748). FM is supported by the American Society of Hematology, the International Myeloma Foundation and The Society of Memorial Sloan Kettering Cancer Center.

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