

# Molecular mechanism of estrogen–estrogen receptor signaling

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## Abstract

17 $\beta$ -Estradiol (E2), as the main circulating estrogen hormone, regulates many tissue and organ functions in physiology. The effects of E2 on cells are mediated by the transcription factors and estrogen receptor (ER) $\alpha$  and ER $\beta$  that are encoded by distinct genes. Localized at the peri-membrane, mitochondria, and the nucleus of cells that are dependent on estrogen target tissues, the ERs share similar, as well as distinct, regulatory potentials. Different intracellular localizations of the ERs result in dynamically integrated and finely tuned E2 signaling cascades that orchestrate cellular growth, differentiation, and death. The deregulation of E2–ER signaling plays a critical role in the initiation and progression of target tissue malignancies. A better understanding of the complex regulatory mechanisms that underlie ER actions in response to E2 therefore holds a critical trajectory for the development of novel prognostic and therapeutic approaches with substantial impacts on the systemic management of target tissue diseases.

## KEYWORDS

estrogen, estrogen receptor, molecular mechanism, signaling, structure

## 1 | INTRODUCTION

Nuclear hormone receptors (NHRs) are members of a large nuclear receptor family that acts as transcription factors. These are distributed throughout the body and play diverse roles in cellular processes.<sup>1,2</sup> Nuclear hormone receptors include the androgen receptor, glucocorticoid receptor (GR), progesterone receptor, mineralocorticoid receptor, estrogen receptor (ER) $\alpha$ , and ER $\beta$ .<sup>1,2</sup> The activity of NHRs is modulated by steroid hormones that are derived from cholesterol. Due to their hydrophobic nature, steroid hormones diffuse across the plasma membrane, enabling systemic extracellular signals to regulate tissue-specific intracellular events.<sup>1,2</sup>

Estrogens are one class of steroid hormones that includes estrone, estradiol (E2), and estriol.<sup>3,4</sup> 17 $\beta$ -Estradiol, the most potent estrogen hormone in the circulation, is involved in a wide variety of vital physiological functions that range from the development and maintenance of

reproductive organs to the regulation of cardiovascular, musculoskeletal, immune, and central nervous system homeostasis.<sup>3,4</sup> Estradiol also contributes to the initiation and development of target tissue malignancies.<sup>3,4</sup>

The effects of E2 are mediated by ER $\alpha$  (NR3A1) and ER $\beta$  (NR3A2). The dissection of the ER-mediated E2 signaling in estrogen target tissues largely stems from knock-out (KO) animal models.<sup>5–7</sup> Species-specific differences in tissue distribution notwithstanding, it appears that ER $\alpha$  predominates, whereas ER $\beta$  plays a minor role, in the uterus, mammary glands, pituitary gland, skeletal muscle, adipose tissue, and bone. Estrogen receptor  $\beta$ , in contrast, is found to be critical in mediating E2 signaling in the ovary, prostate, lung, cardiovascular and central nervous systems. Even within a single tissue, the expression pattern of each subtype is cell type-specific. In the ovary, for example, ER $\beta$  is expressed in the granulosa cells but ER $\alpha$  is more abundant in the theca cells.<sup>5–7</sup> Reflecting the different ER-subtype distribution patterns, ER $\alpha$ -KO and ER $\beta$ -KO mice show different phenotypes. The ER $\alpha$ -KO female mice are, for example, infertile with a hypotrophic uterus, as well as with anovulatory and hemorrhagic ovaries.<sup>5–7</sup> In contrast, the

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ER $\beta$ -KO female mice are subfertile and display reduced ovulation, probably as a result of a retardation in granulosa cell differentiation.<sup>5-7</sup>

Although significant progress has been made towards understanding the mechanism of ER $\beta$  signaling since its discovery in 1996,<sup>8,9</sup> many aspects of ER $\beta$ 's actions and its role in the physiology and pathophysiology of E2 signaling remain unknown.<sup>5,10,11</sup> This is due to, as indicated by one study,<sup>10</sup> at least in part, because of the lack of established experimental cell models that synthesize ER $\beta$  endogenously and of receptor-specific antibodies. Nevertheless, accumulating evidence from in vitro, in cellula, and in vivo systems has broadened the understanding of both ER subtype actions in E2 signaling. This communication aims to summarize a current state of understanding of E2-ER signaling by pointing out the similarities, as well as the differences, between the receptor subtypes.

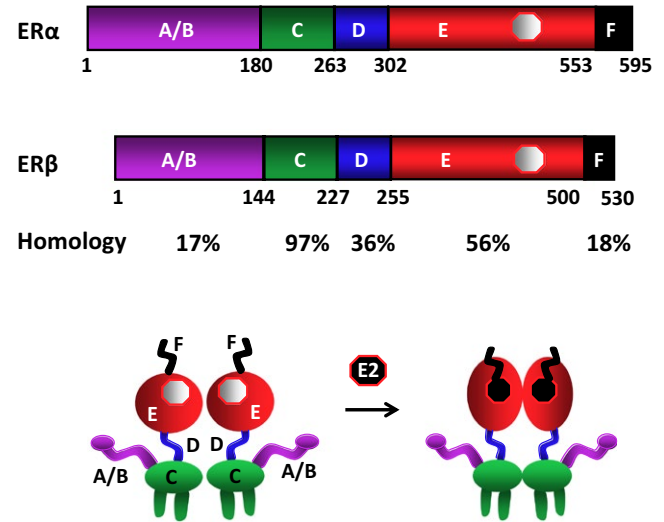
## 2 | ESTROGEN RECEPTOR STRUCTURE

Estrogen receptors, as other members of the NHR family, are modular proteins in that distinct structural region of the receptors that display unique functional features.<sup>12,13</sup> Both ER $\alpha$  and ER $\beta$  are encoded by two distinct genes and are expressed in the same and different tissues at varying levels. The human ER $\alpha$  gene (*ESR1*) is a large genomic segment that spans ~300 kb and is located at q24-q27 of chromosome 6.<sup>14-16</sup> *ESR1* includes eight exons that encode the full-length 66 kDa protein that is composed of 595 amino-acids.<sup>14-16</sup> Similarly, the ER $\beta$  gene (*ESR2*), mapping to q22-24 of chromosome 14, is a large genome segment and spans 254 kb with eight encoding exons.<sup>17</sup> It consists of 530 amino acids, with a molecular mass (MM) of 60 kDa.<sup>18</sup>

Estrogen receptors share structural characteristics that are responsible for similar functional features. Distinct amino-acid compositions at various structural regions also render the receptors with subtype-specific properties in conveying E2 signaling. Estrogen receptors, as other members of the NHRs, are subdivided into six functionally distinct domains.<sup>10-13</sup> The structurally distinct amino-terminal A/B domains share a 17% amino-acid identity between the ERs. The near-identical central C region (97%) is the DNA-binding domain (DBD). The flexible hinge, or D, domain (36%) contains a nuclear localization signal (NLS) and links the C domain to the multifunctional carboxyl-terminal (E) domain. Also called the "ligand-binding domain" (LBD), E shows 56% amino-acid homology between the ERs. The LBD is a globular region that harbors a hormone-binding site, a dimerization interface (homo- and hetero-dimerization), and a ligand-dependent co-regulator interaction function (activation function, AF-2). Sharing an 18% amino-acid identity, the F domain is located at the extreme carboxyl-terminus of the receptors (Fig. 1).

In both the ER $\alpha$  and ER $\beta$  genes, exon 1 encodes the A/B region. The C region, the DBD, is encoded by exons 2 and 3, with an intron located between the two fingers. Exon 4 encodes a part of the C region, all of the D region, and part of the E region. The hormone-binding domain (E/F) is encoded by exons 4-8 (also see Fig. 2).<sup>14,17</sup>

The binding of E2 is the pivotal step in the cellular action of the ERs that are present as dimers at the peri-membrane, mitochondria,



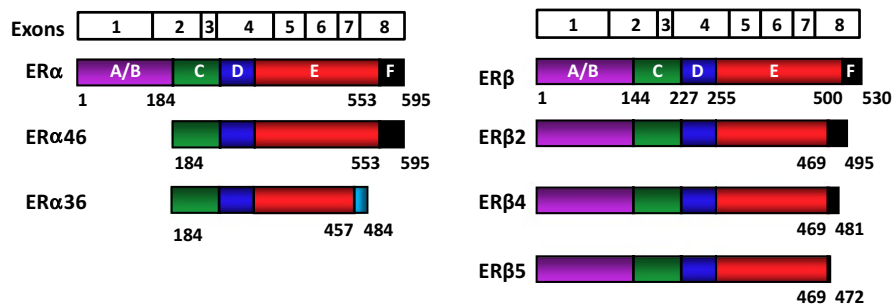
**FIGURE 1** Schematics of the estrogen receptor (ER) $\alpha$  and ER $\beta$  structural regions. Estrogen receptor  $\alpha$  is composed of 595 amino acids, while ER $\beta$  contains 530 amino acids. The structurally distinct amino terminal A/B domains share a 17% amino-acid identity between the ERs. The near-identical central C region (97%) is the DNA-binding domain. The flexible hinge, or D, domain (36%) contains a nuclear localization signal and links the C domain to the multifunctional carboxyl terminal (E) domain, which shows 56% amino-acid homology between the ERs. The carboxyl-terminal F domain shares an 18% amino-acid identity. The ERs are dimers with or without the endogenous ligand, 17 $\beta$ -estradiol, the binding of which induces conformational changes in the receptors. The figure is modified from Muyan, et al<sup>168</sup>

and nucleus.<sup>19-23</sup> Estradiol binding induces a major structural reorganization of the LBD that converts the inactive ER to the functionally active form by generating surfaces for enhanced stability of the ER dimer<sup>24</sup> and of the interacting co-regulatory proteins.<sup>25</sup>

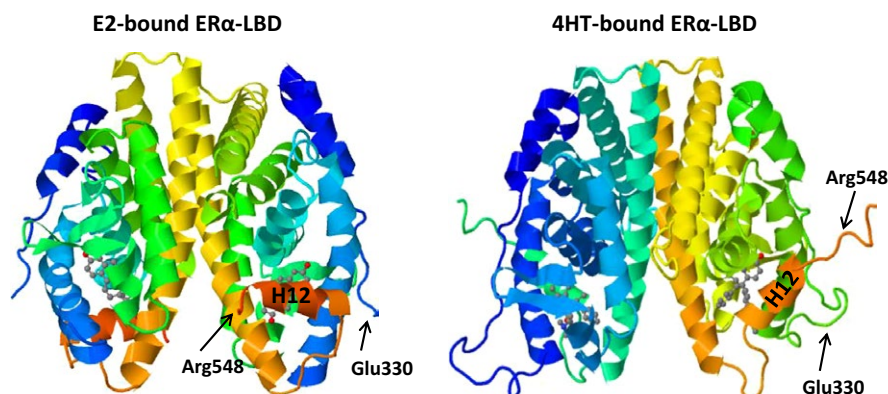
Due to the central importance of ERs in the physiology and pathophysiology of estrogen target tissues, a short review of the structural features of the receptors could provide the critical prelude for a better understanding of E2 signal transmission to cells that results in dramatic alterations in phenotypic features. The practical consequences of this understanding would be the development of new research modalities that uncover the mechanisms of E2-ER actions in order to design function-specific steroidal drugs for therapeutic use.

### 2.1 | Structure of the estrogen receptor-ligand-binding domains

The LBDs of NHRs display a three-layered antiparallel  $\alpha$ -helical fold.<sup>26,27</sup> This fold is universal within the receptor superfamily and is formed with 10-12 helices, depending on the receptor species, with the same numbering scheme used for all NHRs.<sup>26,27</sup> The ER $\alpha$ -LBD has 12 helices (Fig. 3). The antiparallel  $\alpha$ -helical fold, comprising a central core layer of three helices (H5/6, H9, and H10), is sandwiched between two additional layers of helices (H1-4 and H7, H8, and H11). This helical arrangement creates a scaffold that maintains a ligand-binding cavity. The remaining secondary structural elements, a small two-stranded antiparallel  $\beta$ -sheet and the dynamically mobile H12,<sup>28,29</sup> flank the main



**FIGURE 2** Schematic representation of the estrogen receptor (ER) isoforms. The ERs are encoded by eight exons. The exon boundaries (lines) correspond to the regions of the ERs that are depicted with colored and labeled (A-F) structural domains. Estrogen receptor  $\alpha$  is 595 amino acids long, whereas ER $\beta$  is composed of 530 amino acids. Estrogen receptor  $\alpha$ 46, which is generated by an alternative splicing event, lacks the amino-terminal A/B region and acts as a competitive inhibitor of ER $\alpha$ . Estrogen receptor  $\alpha$ 36 is generated from a promoter in the first intron of the ER $\alpha$  gene, together with alternative splicing events that result in a truncated protein with a unique 27 amino-acid carboxyl-terminus (light blue) that replaces the last 138 amino acids that are encoded by exons 7 and 8 of wild-type (WT)-ER $\alpha$ . Estrogen receptor  $\alpha$ 36 lacks both activation function (AF)1 and AF-2. Palmitoylated ER $\alpha$ 36 localizes to the plasma membrane and cytoplasm, plays a role in the membrane-initiated 17 $\beta$ -estradiol (E2) signaling and adversely affects WT-ER $\alpha$ -mediated events. The ER $\beta$  isoforms are formed from alternative splicing of exon 8, resulting in carboxyl-terminally truncated ER $\beta$ 2, ER $\beta$ 4, and ER $\beta$ 5 variants with varying molecular masses. These variants cannot bind ligand and lack AF-2, but they could adversely affect E2 signaling by heterodimerizing with WT-ER $\alpha$  or WT-ER $\beta$  when co-synthesized



**FIGURE 3** Tertiary structure of the estrogen receptor (ER) $\alpha$ -ligand-binding domain (LBD) dimer that is bound to 17 $\beta$ -estradiol (E2) or 4-hydroxytamoxifen (4HT). The binding of E2 induces a conformational change in the LBD that positions the dynamically mobile H12 over the ligand-binding cavity. This positioning generates a surface for the interactions with co-activators to establish a competent activation function 2 (Protein Data Bank [PDB] identification [ID]: 1ERE; Brzozowski, et al.<sup>26</sup>). The binding of 4-HT, a selective estrogen receptor modulator, prevents H12 from docking in agonist conformation, effectively preventing co-activator binding and transcription activation (PDB ID: 3ERT; Shiau, et al.<sup>38</sup>). H12 and the residues at the amino and carboxyl-termini of the tertiary structures are indicated for comparison

three-layered motif.<sup>26,27</sup> The overall structure of the LBD of ER $\beta$  shows a close similarity to that of ER $\alpha$ .<sup>30</sup> Both ERs also contain a relatively unstructured carboxyl-terminal extension, or F domain. The secondary structure of the ER $\alpha$ -F domain appears to contain an  $\alpha$ -helical region and an extended  $\beta$ -strand separated by regions of random coil, with a short extended region near the extreme carboxyl-terminus.<sup>31</sup> On the other hand, the F domain of ER $\beta$  exhibits a random coil, with only a very short extended region near the extreme carboxyl-terminus of the protein.<sup>31</sup> Although the role of ER $\beta$ -F is unclear, the F domain of ER $\alpha$  appears to modulate the transcriptional activity, co-activator interactions, dimerization, and stability of the receptor.<sup>32-34</sup>

Dimer formation is essential for ER $\alpha$  function, as mutations that interfere with dimerization render the receptor transcriptionally inactive.<sup>35</sup> Although the DBD of each monomer also contributes to

the dimerization of ER $\alpha$ , the predominant dimerization interface is formed by the H11 helices of each ER $\alpha$ -LBD monomer.<sup>26,27,36</sup> The LBDs interact via a stretch of conserved hydrophobic residue at their amino-terminal ends, with additional dimer interactions provided by the residues of H8 and the loop between H9 and H10.<sup>26,27</sup> Ligand recognition is achieved through hydrogen bonds and the complementarity of the hydrophobic residues that line the cavity to the non-polar nature of the ER ligands.<sup>26,27,37</sup> It appears that E2 binding positions the dynamically mobile H12 over the cavity perpendicular to the dimerization interface and is packed against H3, H5/6, and H11, forming a lid on the binding cavity (Fig. 3).<sup>26-29</sup> This positioning of H12 is a prerequisite for transcriptional activation as it generates a competent ligand-dependent activation function (AF)-2 that is capable of interacting with the co-activators.<sup>26,27,37</sup> In this conformation, the E2-bound

LBD can accept a short helical segment, the LXXLL motif (where “L” is leucine and “X” is any residue) from a variety of co-activator proteins, which is exemplified by the members of the p160 steroid receptor co-activator (SRC) family, including SRC1-3.<sup>25,38,39</sup>

Estrogen receptors also bind to various molecules with agonist, mixed agonist-antagonist, or full antagonist properties.<sup>40,41</sup> Mixed agonist-antagonists, also called “selective estrogen receptor modulators” (SERMs), display distinct pharmacological effects, depending on the estrogen target tissue. Tamoxifen, for example, has been used widely for clinical treatment of breast cancers as an antagonist, yet it acts as an agonist in most estrogen target tissues. Raloxifene, in contrast, has protective effects on bone and displays antiproliferative effects on breast cancer cells. Pure antagonists of estrogenic compounds, exemplified by fulvestrant, also referred to as the “selective estrogen receptor down-regulators” (SERDs), act as complete antagonists. Although most of the key amino acids in the ligand-binding cavity that are responsible for binding SERMs or SERDs are identical, a large side chain emanating from the core of the ligand prevents the H12 of ER $\alpha$  from docking in agonist conformation (Fig. 3).<sup>26,38</sup> This conformational shift in H12 leads to the occupation of the LXXLL-binding cleft, thereby preventing co-activator binding and transcription activation.<sup>26,38</sup> Independent of intracellular locations, the AF-2 of the ER-LBD is indispensable in receptor actions. An AF-2 mutant knock-in (KI) mouse model bearing point mutations or deletions in the AF-2 region to disrupt the AF-2-mediated transactivation ability of ER $\alpha$  is shown to display female and male phenotypes that are indistinguishable from those of the ER $\alpha$ -KO mouse model.<sup>42,43</sup>

In other NHRs, antagonist binding locates H12 to a position outside the AF-2 region, leading to an interaction with the corepressor/nuclear receptor (CoRNR) consensus motif (LIL; where “L” is leucine, “I” is isoleucine, and “X” is any residue) of the corepressor proteins.<sup>44</sup> Unlike most NHRs, however, the importance of NR corepressors in ER signaling remains unclear. Nevertheless, studies have indicated that both agonist- and antagonist-bound ERs are able to recruit a variety of proteins that can repress receptor activity.<sup>45</sup> A search for a mechanism identified a previously unrecognized internal CoRNR motif within H12.<sup>46</sup> This motif is able to compete with corepressors to bind to the AF-2 surface, thereby reducing or preventing the ability of ER $\alpha$  to directly interact with the corepressors. This suggests that corepressor proteins might not require CoRNR motifs for recruitment to the antagonist-bound ER $\alpha$ .<sup>46</sup> Furthermore, dynamic modeling of tamoxifen-occupied ER $\alpha$  suggests that, in the presence of tamoxifen, the ER $\alpha$ -LBD assumes flexible conformations that fluctuate between agonist and antagonist confirmation.<sup>47</sup> These fluctuating conformations could underlie the mixed agonist-antagonist property of the compound.<sup>47</sup> In addition to blocking ER-cofactor interactions,<sup>48</sup> fulvestrant (as an effective SERD) prevents the binding of ER $\alpha$  to DNA by altering the stability, turnover, and intra-nuclear location of the receptor.<sup>49-53</sup>

Although the structural features of the LBDs of ER $\alpha$  and ER $\beta$  largely overlap, the ligand-binding pocket of ER $\beta$  differs from that of ER $\alpha$ , with only two amino-acid positions. This, together with distinct residues outside of the LBD, generates differences in the size of the pockets that allow the binding of a ligand to receptors in a subtype-specific manner, exemplified by ER $\beta$ -specific agonist diarylpropionitrile.<sup>54</sup>

Studies using subtype-selective agonists and antagonists have been critical in determining the biological actions that are specific to ER $\alpha$  or ER $\beta$ , extending the findings from ER-KO animal models.

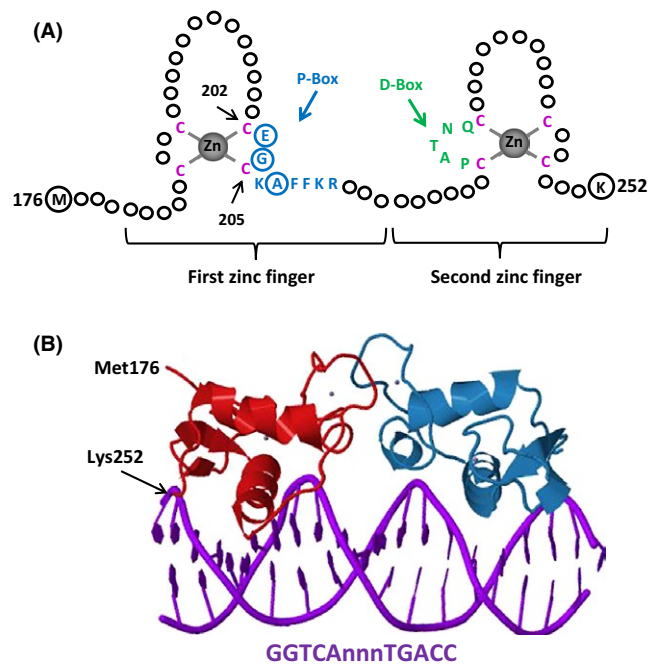
## 2.2 | Structure of the estrogen receptor-DNA-binding domain

The nuclear ERs interact with chromatin target sites through two distinct modes: estrogen response element (ERE)-dependent and ERE-independent pathways. The EREs are permutations of the 5'-GGTCAnnnTGACC-3' DNA palindrome, wherein 'n' denotes a non-specific three-nucleotide spacer, located at various distances from the transcription start site and/or within a gene locus.<sup>20,55</sup> The regulation of gene expression by the binding of E2-ER to the EREs is referred to as the “ER-dependent signaling pathway.”<sup>19,56-60</sup> On the other hand, the transcriptional modulation of target genes through the interactions of E2-ER $\alpha$  with transcription factors, exemplified by stimulatory protein (SP) 1 and activator protein (AP) 1, bound to their cognate regulatory elements on DNA, denotes the ERE-independent signaling pathway.<sup>57-60</sup> The underlying mechanism of the ERE-independent signaling pathway is unclear. However, the ER has been suggested to establish direct or indirect, via co-regulatory proteins, interactions with transcription factors through regions that also encompass the DBD, while the integrated effects of the amino- and carboxyl-termini are responsible for the regulation of transcription.<sup>19,56-60</sup>

The DBDs mediate the ability of ERs to bind to EREs. The centrally positioned DBDs, which are highly conserved among NHRs,<sup>28</sup> share the same three-dimensional structure (Fig. 4). The DBD of ER $\alpha$  contains two zinc-binding motifs and each motif contains an  $\alpha$ -helix that is nucleated at its amino-terminus through binding a zinc ion.<sup>61</sup> Two helices are oriented perpendicularly to each other and cross at their midpoints.<sup>61</sup> The DBD makes phosphate contacts on both sides of the major groove.<sup>61</sup> Each DBD of the ER $\alpha$  dimer makes analogous contacts with one of the inverted motifs, resulting in a rotationally symmetrical structure.<sup>61</sup> Two monomers of the DBD bind to adjacent major grooves from one side of the DNA double helix. Distinct residues in a region of the first zinc-finger module of DBD, the P-box, particularly Glu203, Gly204, and Ala207, determine the DNA-binding specificity that is critical for sequence discrimination<sup>12,62,63</sup> and binding to the ERE.<sup>64</sup> The residues in the second zinc finger-like module, the D-box, are involved in the discrimination of half-site spacing through a protein-protein interaction between two ER monomers.<sup>12,62,63</sup>

## 2.3 | Structure of the estrogen receptor amino-terminus

The highly divergent amino-terminal domain of many members of the NHR family contains an AF-1 region.<sup>65</sup> Studies have indicated that the AF-1 of ER $\alpha$  functions independently of the AF-2-containing carboxyl region in yeast and chicken cells in a ligand- and promoter-dependent manner. However, AF-1 is ineffective in altering transcription in mammalian cells when separated from the carboxyl-terminus.<sup>66-69</sup> The function of the AF-1 domain of ER $\alpha$  is therefore dependent on the structural integrity of the hormone-binding domain, agonist nature of



**FIGURE 4** A, The DNA-binding domain (DBD) of estrogen receptors (ERs). Schematized is the ER $\alpha$ -DBD. The DBD of ER $\alpha$  contains two zinc (Zn)-binding motifs that are formed by a Zn ion (grey) that is coordinated by four cysteine residues (red). A region of the first Zn-finger module, the P-box, which contains amino acids (blue), particularly glutamic acid (E), glycine (G), and alanine (A) at positions 203, 204, and 207, respectively (circularized blue), determine the DNA-binding specificity that is critical for sequence discrimination and binding to the estrogen response element. The residues (green) in the second Zn-finger module, the D-box, are involved in the discrimination of half-site spacing. B, The tertiary structure of ER $\alpha$ -DBD (residues Met176–Lys252) as dimer-bound to the consensus DNA sequence, GGTCAnnnTGACC (estrogen response element), with three non-specific (n) intervening bases (Protein Data Bank identification: 1HCQ; Schwabe, et al<sup>61</sup>)

the ligand, and the cellular-context.<sup>66–69</sup> Studies have further shown that the functional integration of both AF-1 and AF-2 is required for the full activity of the receptor.<sup>69–72</sup> These results have been confirmed by the findings derived from mouse KI models.<sup>42,43</sup>

Despite the important functions of the amino-terminus in ER activity, the biochemical and structural features of the underlying mechanism of AF-1 action are incomplete. This is because the amino-termini of NHRs, including ER $\alpha$ , are intrinsically disordered.<sup>65,73–75</sup> It has been proposed that this intrinsic disorder leads to the formation of a large collection of rapidly inter-converting receptor conformations.<sup>65,73–75</sup> An intrinsic disorder allows the amino-terminus to rapidly and reversibly adopt various configurations. These conformational changes are controlled by allosteric cooperativity between different domains and interactions with proteins and post-translational modifications, particularly phosphorylation.<sup>76</sup> For example, the TATA box-binding protein was shown to interact with and induce a more ordered structure in the amino-terminus of ER $\alpha$ .<sup>75</sup> Similarly, the phosphorylation of serine 118 in the amino-terminus of ER $\alpha$  that was bound to E2 or SERM (tamoxifen) by growth factor signaling resulted in the recruitment of the

peptidyl prolyl cis/trans isomerase, Pin1, that isomerizes the serine 118–proline 119 bond from a cis to a trans isomer. This isomerization appears to lead to a local conformational change that promotes the ligand-independent and agonist- or SERM-inducible activity of ER $\alpha$ .<sup>76</sup> These protein interaction-mediated conformational changes are critical for stable interactions with other co-regulatory proteins in order to establish an effective transcription.<sup>65,73,74</sup>

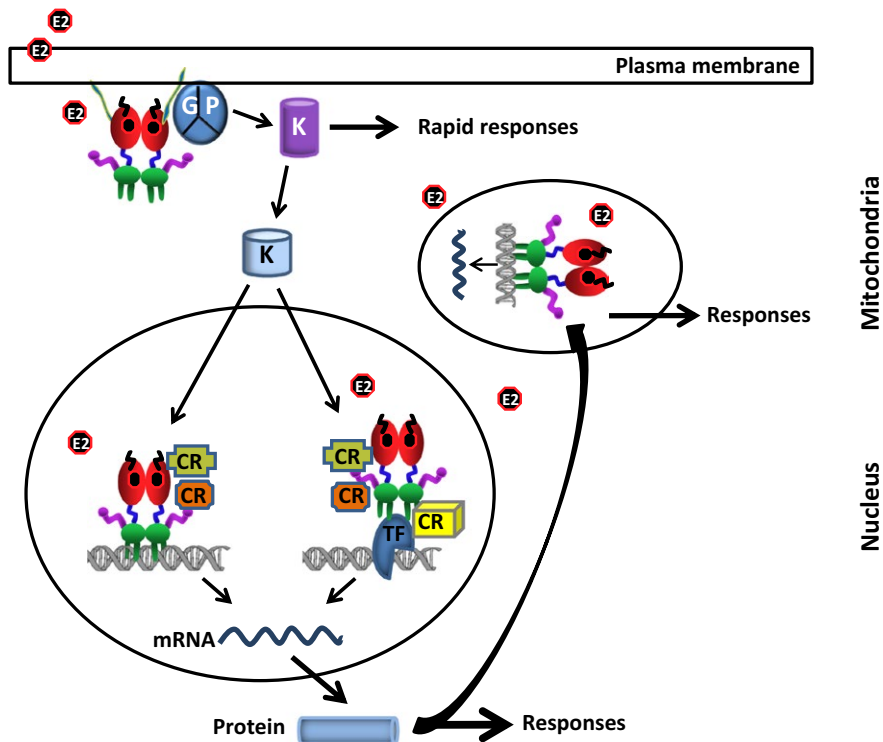
In contrast to ER $\alpha$ , the amino-terminus of ER $\beta$  impairs the receptor ERE interactions,<sup>77</sup> does not contain an AF-1,<sup>19,72,78–80</sup> and does not interact with the carboxyl-terminus.<sup>72</sup>

### 3 | 17 $\beta$ -ESTRADIOL-ESTROGEN RECEPTOR SIGNALING

A plethora of factors, including the amount and type of ERs and/or complementary proteins that are required for receptor actions in a particular cell, is ultimately responsible for the manifestation of E2-mediated cellular changes. However, the presence of ERs in various locations in cells implies that the exertion of E2 effects on cellular phenotypes involves dynamically integrated and finely tuned ER-mediated signaling cascades. For example, the so-called “extra-nuclear” or “membrane-initiated” E2 signaling not only mediates the second-to-minute (or rapid) transcription-independent effects of ERs but also post-translationally modulates the actions of nuclear ERs, transcription factors, co-regulatory proteins, and chromatin complexes. It is therefore imperative that E2 signaling from intracellular locations is viewed as integrated, rather than discrete, alternative events (Fig. 5). Nevertheless, a dissected review of the relative contribution of these compartmentalized ER locations to E2 signaling is a necessary prelude in order to provide a current short story of the mechanism of ER actions at various levels, for which there exist excellent reviews.<sup>10,40,81–89</sup>

#### 3.1 | Estrogen receptor-mediated membrane signaling

The exposure of target tissue cells, including pituitary, uterus, ovary, vascular epithelium, bone, and breast, to E2 can rapidly induce ion fluxes and the activation of many protein kinases across the plasma membrane, independently of protein synthesis. These observations have led to the recognition of a membrane-associated ER signaling pathway. The role of various ER isoforms and the G protein-coupled estrogen receptor (or GPR30, which is a member of the G-protein-coupled receptor 1 family and localizes to the membrane endoplasmic reticulum) in rapid E2 signaling notwithstanding,<sup>90–92</sup> membrane ERs have been established to be the same protein products of the genes that encode nuclear ERs.<sup>21,82,93</sup> The palmitoylation of the Cys447 residue of ER $\alpha$ -LBD and the Cys399 residue of ER $\beta$ -LBD, with the aid of heat shock protein 27, appears to result in the interaction of ERs with the caveolin-1 protein that serves as the transporter of ERs to caveolae rafts within the cell membrane.<sup>21,94–98</sup> The palmitoylated ERs are translocated to the membrane as monomers and the dimerization of the membrane ERs occurs within seconds of E2 exposure,



**FIGURE 5** Integrated model of 17 $\beta$ -estradiol (E2)-estrogen receptor (ER)-mediated signaling. In the membrane-initiated signaling, the E2-bound and palmitoylated (green) ER interacts with a G protein (GP) that results in the activation of kinases, which in turn phosphorylate substrates, including membrane-based ion channels and secondary messenger systems, leading to rapid cellular responses. The activated kinases also phosphorylate the protein components of the nuclear E2 signaling, including ERs, co-regulatory proteins, transcription factors (TFs), and chromatin proteins, that result in alterations in responsive gene expression. In the mitochondria, E2-ER alters the mitochondrial functions by mediating gene expression through a direct interaction with the mtDNA, as well as increasing manganese superoxide dismutase. The mitochondrial functions also are modulated by the nuclear E2-ERs through the expression of genes, whose protein products are involved in mitochondrial functions. In the nuclear signaling, the ER mediates E2 action with two distinct modes: estrogen response element (ERE)-dependent and ERE-independent pathways. The ERE-dependent signaling route involves the interactions of E2-ER with EREs on DNA and the subsequent regulation of gene expression. The ERE-independent signaling pathway entails the modulation of responsive gene expression by a direct or indirect, through co-regulatory proteins (CRs), interaction of E2-ER with transcription factors that are bound to their cognate responsive elements on DNA

which results in the activation of G $\alpha$  and G $\beta\gamma$  proteins in a cell-type dependent manner.<sup>99,100</sup> This leads to rapid E2 signaling.<sup>94-98</sup> The E2-dependent depalmitoylation of, at least, ER $\alpha$  decreases receptor-caveolin-1 association. This allows ER redistribution and its association with adaptors and/or signaling proteins, including the proline-, glutamic acid-, and leucine-rich protein 1, modulator of non-genomic activity of ERs (PELP1/MNAR), tyrosine kinase src, and tyrosine kinase receptors.<sup>94,100-102</sup> This, in turn, contributes to the activation of the ERK/MAPK and PI3K/AKT signaling cascades, impacting cellular proliferation, migration, and many other processes.<sup>100-102</sup>

Despite the well-established protective role of E2 in the cardiovascular system, the mechanism by which E2 mediates its effect has been largely unclear. Recent studies have used a novel selective ER modulator, termed the “estrogen dendrimer conjugate,” or EDC,<sup>103</sup> in a mouse model. The findings revealed that the membrane-initiated ER signaling regulates processes that could be central to cardiovascular health and disease.<sup>99</sup> The EDC, which possesses a minimal capacity to induce genomic activities because of its size and charge, stimulates endothelial cells, but not other cell types, and their proliferation and migration by

inducing ER $\alpha$ -G protein interaction.<sup>99</sup> This interaction leads to the activation of endothelial nitric oxide synthase and nitric oxide production.<sup>99</sup>

The development of mouse models that synthesize the ligand-binding domain (E) of ER $\alpha$  in order to target the domain exclusively to the cell membrane<sup>104</sup> or the mutation of the Cys451 residue to Ala<sup>105,106</sup> in order to prevent palmitoylation, and hence the trafficking of the receptor to the membrane, are supportive of E2-mediated membrane signaling. Disjoining the nuclear ER-mediated transcriptional events, these in vivo models display infertility, abnormal ovaries, abnormal pituitary hormone regulation, stunted mammary gland ductal development, and altered vascular events.<sup>105,106</sup> Importantly, the cells that were isolated from the affected organs or tissues of these mice showed profoundly affected membrane signaling in response to E2.<sup>105,106</sup>

### 3.2 | Estrogen receptor-mediated mitochondrial events

The mitochondria are essential for adenosine triphosphate (ATP) production, heme biosynthesis,  $\beta$ -oxidation, the metabolism of certain

amino acids, and steroid synthesis.<sup>84</sup> The mitochondria also are involved in the control and mediation of apoptosis that is induced by several stimuli, including those that increase reactive oxygen species (ROS).<sup>84</sup>

Accumulating evidence suggests that the mitochondria are important targets of E2 actions, which inhibit the early stages of apoptosis.<sup>81,107</sup> Both ER $\alpha$  and ER $\beta$  are shown to localize to the mitochondria in various tissue and cell types that include the uterus, ovary,<sup>108</sup> cardiomyocytes,<sup>22</sup> breast adenocarcinoma-derived MCF-7 cells, and endothelial cells<sup>23</sup> in a cell- and ER subtype-dependent manner. It appears, for example, that although both ERs are localized primarily to the nucleus, ER $\beta$  is highly enriched in the mitochondria of MCF-7 cells, whereas ER $\alpha$  resides in the mitochondria of endothelial cells at higher amounts, compared to ER $\beta$ , as both ERs are also present in the nucleus.<sup>23</sup> Derived from the same genes encoding nuclear ERs,<sup>23</sup> the presence of ERs in the mitochondria in the cells of various tissues suggests that mitochondrial ERs could directly mediate the effects of E2 within the mitochondria. However, the mechanism by which ERs are translocated into the mitochondria is unclear. The nuclear-encoded mitochondrial proteins contain signal sequences that target them to the mitochondria through chaperone proteins.<sup>109,110</sup> The translocation of some mitochondrial proteins also occurs co-translationally, such that mitochondrial proteins that are synthesized on cytosolic ribosomes are imported to the organelle.<sup>109,110</sup> Although ERs lack a sequence that targets them to the mitochondria, co-translational translocation of ERs is a plausible mechanism for mitochondrial residency of the receptors.

Mitochondrial DNA (mtDNA) is a 16.5 kb circular genome that encodes 13 mRNAs, two rRNAs, and 22 tRNAs.<sup>111,112</sup> Thirteen of the 80 proteins of the electron transport chain (ETC) complexes I, II, III, IV, and V are encoded by mtDNA.<sup>111,112</sup> The remaining subunits of the ETC, as well as other proteins that are involved in mtDNA metabolism and function, are nuclear-encoded.<sup>111,112</sup> The mtDNA transcription is initiated at two promoters (PL and PH) that are located in the D-loop regulatory region through binding of the mitochondrial RNA polymerase and the mitochondrial transcription factors (TFAMs) (Transcription Factor A, Mitochondrial DNA Maintenance Factor), TFB1M and TFB2M (transcription factors b1 and b2, mitochondrial).<sup>111,112</sup> The TFAMs, TFB1M, and TFB2M are nuclear-encoded genes whose transcription is regulated by Nuclear Respiratory Factor (NRF)-1.<sup>111,112</sup>

17 $\beta$ -Estradiol is shown to augment the mitochondrial DNA-encoded mRNAs, including the mitochondrial ATP synthase subunit E, COVII, and a number of other genes.<sup>111</sup> These, together with the observations that ER $\alpha$  and ER $\beta$  bind to ERE-like sequences that are present in the D-loop of mouse and human mtDNA,<sup>113</sup> suggest that the effects of E2 in the mitochondria are mediated through ER-regulated transcriptions. Moreover, it has been shown that E2-ER $\alpha$ , but not E2-ER $\beta$ , induces *NRF-1* expression through a direct interaction with the DNA in the nucleus, resulting in an increased transcription of *TFAM*, *TFB1*, and *TFB2*, as well as the MRC genes in the cell models of breast and lung carcinomas.<sup>114</sup> Based on these observations, it was suggested that, in addition to the transcriptional regulation of some of the mitochondrial genes through nuclear E2-ER signaling, the protein products of NRF-1-regulated genes enter into the mitochondria

in order to increase the expression of the mtDNA-encoded genes, mitochondrial biogenesis, and oxidative phosphorylation.<sup>81,114</sup> This leads to increased ATP and ROS production. It should be noted that the superoxide of ROS that is generated by the mitochondrial respiratory chain is normally detoxified by mitochondrial antioxidant systems, including manganese superoxide dismutase (MnSOD). As E2-ER also induces MnSOD expression and activity,<sup>23</sup> the increased superoxide generation by E2 signaling can be detoxified by the increased MnSOD activity, thereby preventing apoptosis.

Moreover, recently it was reported that the accumulation of proteins in the inter-membrane space (IMS) of the mitochondria in a breast adenocarcinoma cell model activates a distinct unfolded protein response.<sup>115,116</sup> On IMS stress, overproduction of ROS and phosphorylation of AKT kinase activates the nuclear ER $\alpha$  through the phosphorylation of Ser167.<sup>115,116</sup> This activated ER $\alpha$  is suggested to further augment the transcription of *NRF-1*, as well as the expression of IMS protease HtrA serine peptidase 2 (HTRA2) in order to overcome mitochondrial dysfunction and to maintain cellular integrity.<sup>115,116</sup>

### 3.3 | Estrogen receptor-mediated nuclear signaling

The integration of ER signaling that is generated from various cellular locations appears to be critical in the regulation of cellular proliferation, differentiation, motility, and death, dependent on the estrogen target tissue. However, the nuclear ERs are clearly the dominant players in the manifestation of cellular responses to E2. The NLS that is located in the D region is required for the translocation of the ER to the nucleus. Although the mechanism by which the ER is translocated to the nucleus remains unclear, the import of nuclear hormone receptors to the nucleus is controlled by a multimeric chaperone machinery.<sup>117</sup> The interaction of NLS with importins and microtubule-associated molecular motor proteins appears to mediate NHR transport to the nucleus.<sup>117</sup> It also was reported that ER $\alpha$  contains a leucine-rich nuclear export sequence (NES) in the LBD.<sup>118,119</sup> The NES, through binding to an exportin, was suggested to modulate the nucleocytoplasmic shuttling of ER $\alpha$ .<sup>118,119</sup>

#### 3.3.1 | Estrogen response element-dependent signaling pathway

The nuclear unliganded ERs are highly mobile molecules that are dynamically partitioned between target sites on chromatin and nuclear matrix.<sup>51,53</sup> As mentioned, ERs mediate E2 action in the nucleus with two distinct modes: ERE-dependent and ERE-independent signaling pathways. In the ERE-dependent signaling route, ERs interact with a 5'-GGTCAnnnTGACC-3' DNA palindrome sequence, the consensus ERE. Estrogen-responsive genes, however, contain single or multiple copies of EREs that deviate from the consensus by one or more nucleotides.<sup>20,48,120</sup> Although these EREs confer estrogen responsiveness that is mediated by the ER, they are less potent regulators of transcription than the consensus ERE.<sup>20,48,120</sup> This is related to the ERE-induced conformational change in the DBD of ER $\alpha$ .<sup>48,121,122</sup> A single nucleotide change in the consensus ERE, for example, requires

the formation of new interconnected hydrogen bonds between the response element and the DBD of ER $\alpha$ , thereby altering the conformation of the region.<sup>123</sup> The unliganded ERs associate with the EREs.<sup>77,124,125</sup> Kinetic studies using a well-characterized estrogen-responsive gene, Trefoil Factor 1 (*TFF1*, or *pS2*) promoter, as a model indicate that the engagement of the unliganded ER $\alpha$  with ERE occurs cyclically, with short periods requiring both activating and repressing epigenetic processes.<sup>126–128</sup> The unliganded ER $\alpha$  through its amino- and carboxyl-termini interacts, albeit inefficiently,<sup>48</sup> with highly mobile heterogeneous co-regulator complexes.<sup>51</sup> These complexes include protein and chromatin modifiers that contain histone acetyl transferase (HAT), histone methyl transferase (HMT), and/or ATP-dependent remodeling activities.<sup>122–125</sup> However, in the absence of a ligand, RNA polymerase II is not recruited to the promoter and, consequently, the transcription cannot begin. Further protein alterations, encompassing the ubiquitination of ER $\alpha$  and associated co-regulators, disassemble the transcription complex,<sup>122–125</sup> followed by promoter remodeling through the association of modifiers with basal transcription factors. This oscillating promoter restructuring is suggested to provide a mechanism that enables a rapid adaptation of transcription to the E2 signal.<sup>122–125</sup> Kinetic studies further indicate that the interaction of ER $\alpha$  on binding to E2 initiates a series of interdependent events that result in an extended periodicity of cyclic engagement.<sup>122–125</sup> An interconnected ensemble of multisubunit transcription factor complexes governs transcriptional activation. The ERE binding of the E2-ER $\alpha$  complex is followed immediately by the recruitment of the Switch-Sucrose Non-fermentable chromatin remodeling complex that locks the nucleosomes into a stable orientation. This is followed by the recruitment of HMT and HATs to modify histones. The E2-ER $\alpha$  recruits members of the p160 co-activator family that includes SRC-1, transcription intermediary factor-2, and amplified in breast cancer-1. The AF-2 domain of ER $\alpha$  interacts with an amphipathic  $\alpha$ -helix that contains the sequence LXXLL, in the so-called “nuclear receptor interacting domains” (NRIDs) of a co-factor. These NRIDs serve as signal input domains by anchoring the members of the p160 family co-activators to the promoter and connecting these proteins with the upstream end of the signaling pathway. The p160 family co-activators are also HATs that acetylate the chromosomal histone proteins. This results in destabilization of the histone-DNA contacts and chromatin decompaction in order to allow the positional phasing of the nucleosomes. These ER $\alpha$ -associated co-activators subsequently serve as a platform for the recruitment of p300, a co-integrator with HAT activity. The recruitment of p300 coincides with an increased level of histone acetylation and with the recruitment of the initiation-competent (unphosphorylated) form of RNA polymerase II and subsequent transcription initiation. Also, p300 appears to participate in the initiation of transcription.<sup>122–125</sup> This recruitment of p300 is thought to catalyze chromatin modifications that prime the promoter for multiple rounds of transcription.<sup>122–125</sup> The subsequent phosphorylation of the RNA polymerase II by a component of the basal transcription complex converts the polymerase to an elongation-competent form.<sup>122–125</sup> Following these events, the dissociation of p300 from, and the subsequent binding of, cAMP

response element-binding (CREB) protein and p300/CBP-associated factor (pCAF) to the complex take place. The CREB protein alone or together with pCAF further modifies chromatin through histone acetylation and/or methylation. These extensive alterations in the chromatin architecture provide the necessary scaffold for the ER complexes to enhance transcription through multiple rounds of transcription re-initiation.<sup>126–129</sup> Studies also suggest that DNA methylation, particularly of CpG dinucleotides, occurs during the initial phase of every productive cycle and is associated with the recruitment of methyl CpG-binding protein 2 and DNA (cytosine-5-)-methyltransferase 1 to the promoter, which coincides with the recruitment of the remodeling complex, nucleosome remodeling deacetylase.<sup>130–132</sup> Moreover, E2-ER $\alpha$ -mediated restructuring and transcriptional competence of the responsive gene promoter appear to require the generation of a DNA double-stranded DNA break that is promoted by topoisomerase II.<sup>133</sup> Thus, ER $\alpha$ -mediated transcriptional events are tightly associated with induced local structural changes in chromatin. These changes encompass the positional phasing of nucleosomes and post-translational modification of nucleosomes, the methylation status of CpG dinucleotides, and the formation of DNA breaks. The CREB protein also appears to be involved in the termination of transactivation by acetylating the acetyltransferases. The acetylation of the p160 proteins by the CREB protein leads to the disruption of the p160 co-regulator-receptor complex.<sup>122–125</sup> This results in the termination of transcription and the remodeling of chromatin for recycling for transcription and/or proteasomal degradation.<sup>134</sup>

Although the events that are associated with the initiation and termination of ERE-dependent genomic signaling could be similar between ER $\alpha$  and ER $\beta$ , the mode and extent of transcription that are mediated by the ERs through the ERE-dependent signaling pathway differ significantly.<sup>135,136</sup> Comparative studies using heterologous reporter systems that emulate the ERE-dependent signaling pathway and endogenous ERE-driven gene responses<sup>72,78,137,138</sup> indicate that ER $\beta$ , in response to E2, displays considerably less potency than ER $\alpha$  in inducing transcription in the ERE-dependent genomic signaling pathway. Estrogen receptor  $\alpha$ -AF-1, as discussed above, operates in cooperation with the carboxyl-terminus in a cell and promoter context-dependent manner.<sup>48,70,139,140</sup> It appears that the ability of the A/B domain to recruit<sup>72,141,142</sup> and exchange<sup>143</sup> co-regulatory proteins is critical not only for AF-1, but also for the functional integration of both AF-1 and AF-2 of ER $\alpha$  to mediate transcription at full capacity in response to E2 in a tissue-specific manner.<sup>70–72,142</sup> Consistent with these studies, mouse KI models suggest that, although AF-1 of ER $\alpha$  is dispensable for the vasculoprotective effects of E2, including the acceleration of the re-endothelialization process and the prevention of atheroma, both AF-1 and AF-2 of ER $\alpha$  are necessary for uterine physiology.<sup>42,43</sup> In contrast to ER $\alpha$ , the amino-terminus of human ER $\beta$  impairs the receptor-ERE interactions,<sup>77</sup> lacks an activation function,<sup>19,72,78–80</sup> and is incapable of interacting with the carboxyl-terminus.<sup>72</sup> Therefore, this indicates that the distinct amino-termini of ERs define the differences in the magnitude of transcriptional responses that are mediated through the ERE-dependent E2-ER signaling pathway. Nevertheless, the ability of ER $\beta$  to bind to an ERE with a lower affinity than ER $\alpha$ <sup>48,144</sup>



and to interact with a different set of proteins<sup>48,145</sup> also contributes to distinct ER actions in the ERE-dependent signaling pathway.

### 3.3.2 | Estrogen response element-independent signaling pathway

The ability of E2-ER to mediate gene expression by functional interactions with, for example AP-1 and Sp-1, transcription factors bound to their cognate element on DNA, constitutes the ERE-independent signaling pathway.<sup>19,56–60</sup> This pathway is dependent on the receptor subtype, nature of the ER ligand, and the cell context.<sup>19,56–60</sup>

The AP-1 transcription factor consists of members of the Jun, Fos, activating transcription factor, and musculoaponeurotic fibrosarcoma basic region leucine zipper motif protein families. The leucine zipper domain allows the dimerization of the Jun-Jun and Jun-Fos members to regulate gene expression. Once dimerized, their basic regions interact with the consensus TGAGTCA sequence, known as 12-O-tetradecanoylphorbol-13-acetate (TPA), -response elements (TREs).<sup>146</sup> Specificity protein 1, on the other hand, belongs to the Sp/KLF zinc-finger transcription factor family that binds to the consensus (G/T)GGGCGG(G/A)(G/A)(C/T) sequence, referred to as the "GC box element."<sup>146,147</sup> Both the AP-1 and SP-1 proteins play critical roles in cellular proliferation, differentiation, and death.<sup>146,147</sup>

Studies have indicated that AP-1 activity can be induced by E2 treatment and reduced by anti-estrogens without increasing in c-Fos and c-Jun expression.<sup>148</sup> Subsequent studies further showed that ER $\alpha$ <sup>60,149</sup> or ER $\beta$ <sup>60</sup> does not bind directly to TREs, but the receptors are recruited by protein–protein interactions to c-Jun through a region encompassing the ER-DBD. It appears that ER $\alpha$ -mediated transcription is dependent on the AF-1 and AF-2 functions of ER $\alpha$  as the receptor that lacks AF-1 or AF-2 fails to modulate the transcription from a TRE site.<sup>60,150,151</sup> Although ER $\alpha$  and AP-1 proteins use similar co-regulators, as exemplified with the p160 proteins and CREB protein,<sup>60,150,151</sup> in transcription at the ERE and TRE sites, the different combinatorial assembly of co-regulatory proteins appears to be critical for ER $\alpha$ -mediated signaling events through the TRE-dependent pathway. Indeed, the observations that SERMs and SERDs can activate, rather than repress, the transcriptional responses that are mediated by ER $\alpha$ , but not ER $\beta$ , at a TRE site<sup>60,150,151</sup> suggest that the altered pharmacology of ER ligands could be explained by differences in the amount and/or type of the co-regulatory proteins, which show variations in cells from different tissues of origin.<sup>152</sup>

Moreover, ER $\alpha$ , but not ER $\beta$ , in response to E2 cross-talks with the SP-1 transcription factor to modulate the transcription of a variety of estrogen-responsive genes.<sup>58,153,154</sup> This interaction is mediated by the tethering of ER $\alpha$  to the GC box response element-bound SP-1 protein.<sup>58,153,154</sup> Moreover, it appears that the amino-terminal of region ER $\alpha$  is critical for responses from GC box element-bearing promoters.<sup>58,153,154</sup>

In cellula and in vivo studies have attempted to understand the importance of the ERE-independent pathway in E2-ER signaling by dissecting nuclear ER signaling pathways. Studies, as discussed, indicated that Glu203, Gly204, and Ala207 residues, of the P-box in

the DNA-binding helix of human ER $\alpha$ , determine the DNA-binding specificity that is critical for sequence discrimination<sup>12,62,63</sup> and binding to ERE.<sup>64</sup> Changing Glu203 and Gly204 residues to Ala in the DNA-binding helix of the human<sup>155</sup> and the corresponding residues of the mouse<sup>149</sup> ER $\alpha$  generates a mutant receptor that is capable of mediating E2 signaling only through the ERE-independent pathway. Analogous mutations in the DBD of the human ER $\beta$ <sup>59,156</sup> also render the receptor functional only in the ERE-independent signaling pathway. Studies with a mouse KI model of the P-box in the DNA-binding helix of mouse ER $\alpha$  (ER $\alpha^{AA}$ ) provide compelling support for the importance of the ERE-independent pathway in the regulation of various tissue functions, albeit in a tissue-specific manner.<sup>157–159</sup> On the other hand, in an attempt to correlate the genomic responses from the ERE-independent signaling pathway to alterations in cellular phenotypes, the authors found that changing Glu203Ala and Gly204Ala human ER $\alpha$  reduces, but does not prevent, the functional features of ER $\alpha$  in the ERE-dependent signaling pathway.<sup>64</sup> Moreover, Glu203Ala and Gly204Ala mutations could alter the response element specificity of ER $\alpha$ , as indicated by studies using ER $\alpha^{AA}$  mouse uteri, which showed that the ER $\alpha^{AA}$  mutant binds to hormone-responsive motifs that are normally occupied by the progesterone receptor, leading to E2 regulation of uterine transcripts that are normally progesterone-responsive.<sup>160</sup>

Previous studies indicated that a network of protein–DNA hydrogen bonds confers the binding specificity and stability of the human ER $\alpha$  to DNA.<sup>61,161</sup> For the consensus ERE, the network involves residues Glu203, Lys207, Lys210, and Arg211.<sup>161</sup> Although the recognition of a non-consensus ERE is achieved by a rearrangement of the side chains of various residues of ER $\alpha$ -DBD, particularly Lys207 and Lys210, the interactions of Glu203 and Arg211 with DNA remain unaltered.<sup>161</sup> Based on these observations, the replacement of positively charged Arg211, which is a conserved residue among NHRs, with the negatively charged Glu residue in the ER $\alpha$ 203/204 mutant generated an ERE-binding defective ER $\alpha$  mutant (or ER $\alpha_{EBD}$ ) that abolished the in vitro and in cellula ability of ER $\alpha_{EBD}$  to interact with and to modulate transcription from an ERE while retaining the functionality at simulated ERE-independent signaling pathways in various cell lines.<sup>64</sup> Furthermore, the ER $\alpha_{EBD}$  in response to E2 mediated a subset of estrogen-responsive genes in a manner that was similar to E2-ER $\alpha$ , but it was insufficient to alter the phenotypic features of the cell models, in contrast to E2-ER $\alpha$ .<sup>64</sup> Identical results were observed with an ERE-binding mutant of ER $\beta$ .<sup>156</sup> This suggests that the genomic responses from the ERE-independent signaling pathway can be dissociated from the induction of phenotypic alterations. These findings also imply that the ERE-dependent pathway is a required signaling route for E2-ERs to induce cellular responses. This conclusion is supported by the observations that were derived from a mutant KI mouse (ER $\alpha^{EAAE}$ ) model bearing mutations at the DBD that synthesize an ERE-binding defective ER $\alpha$  mutant that is incapable of modulating transcription from the ERE-dependent signaling pathway but that is effectively regulating gene expression at the ERE-independent signaling route.<sup>162</sup> Displaying hypoplastic uteri, hemorrhagic ovaries, impaired mammary gland development, and liver function, the phenotypic features of the ER $\alpha^{EAAE}$

mouse<sup>162</sup> resembled the general loss-of-function phenotype of the ER $\alpha$ -KO mouse models.

The critical importance of the ERE-dependent signaling pathway in inducing cellular alterations is also supported by experimental studies that used oligonucleotide decoys, ER-specific electrophilic agents, or designer transcription factors.<sup>163-165</sup> Short sequences of DNA containing a response element for a transcription factor have been used as “decoys” to bind the cognate transcription factor in cellula or in vivo. The binding of a transcription factor to decoy DNA sequesters the transcription factor away from the endogenous binding sites. This renders the transcription factor ineffective to regulate target gene expression in a variety of systems. The use of a synthetic consensus ERE as the decoy in transfected ER-positive breast cancer cell models was shown to prevent the growth of the cells in response to E2.<sup>163</sup> Similarly, the prevention of an ER-ERE interaction by ER-specific electrophilic agents that preferentially disrupted the zinc fingers of ER $\alpha$  effectively suppressed the E2-mediated growth of ER-positive breast cancer cell models in cellula and in vivo.<sup>164,165</sup> Moreover, the authors previously have shown that the intrinsic specificity of the DNA-binding domain of ER $\alpha$  to interact with ERE sequences can be exploited in order to engineer a monomeric ERE-binding module by co-joining two DNA binding domains with the hinge domain.<sup>166</sup> The integration of strong transcription activation domains from other transcription factors into the ERE-binding module generated monomeric transcription factors, or monotransregulators, with constitutive activity at ERE-driven gene promoters.<sup>77,167,168</sup> These monotransregulators, but not the ERE-binding defective counterparts, altered the cellular phenotypes by mimicking the effects of E2-ER $\alpha$  on the gene transcriptions that required ERE interactions.

## 4 | INCREASING THE REPERTOIRE OF ESTROGEN RECEPTOR ACTIONS

### 4.1 | Estrogen receptor $\alpha\beta$ heterodimer

Due to the shared and distinct regulatory potentials of ER $\alpha$  and ER $\beta$ , the repertoire of ER activity in response to E2 is expected to expand through the heterodimerization of ERs in cells that synthesize both subtypes. Early studies showed that ER $\alpha$  and ER $\beta$ , when co-synthesized through transient transfection in mammalian cells, form the ER $\alpha\beta$  heterodimer, the extent of which depends on the relative amount of each ER subtype.<sup>24,137,169-171</sup> The ER $\alpha\beta$  heterodimer interacts with DNA and modulates gene transcription in reporter systems, as well as in the chromatin context.<sup>24,137,169-171</sup> As ER subtypes are not functionally equivalent, deciphering the role of the heterodimer, ER $\alpha\beta$ , in E2 signaling is difficult because of the presence of ER homodimers. In order to address this issue, studies introduced DNA-binding specificity-altered ER mutants<sup>172</sup> and single-chain ER<sup>171</sup> approaches. The ER mutants with an alteration in the DNA-binding specificity were based on the observations that the ERE-binding specificity of ER $\alpha$  (conversely, of GR) can be converted to glucocorticoid-responsive element (GRE or conversely to ERE) by changing the Glu204, Gly204 and Ala207 residues of the ER $\alpha$  P-box to those of

the GR P-box.<sup>62,63</sup> The co-expression of a wild-type (WT)-ER $\beta$ , for example, with the GRE-binding ER $\alpha$  (ER $\alpha_{\text{GRE}}$ ) allows for the measurement of the transcriptional properties of the ER $\beta$ -ER $\alpha_{\text{GRE}}$  heterodimer from a hybrid response element that is composed of an ERE and a GRE half-site without interference from the ER homodimers. The single-chain ER approach, in contrast, used a genetic fusion strategy to generate a homogeneous population of a homodimer or the heterodimer of ERs by the joining of ER $\alpha$  and/or ER $\beta$  cDNAs to produce single-chain ER proteins in order to simulate an ER homodimer or the heterodimer protein.<sup>171</sup> As ER $\alpha$  and ER $\beta$  are present on the same polypeptide chain, thereby circumventing the pivotal dimerization step in receptor action, the approach allows the generation of only the ER $\alpha\beta$  single-chain in heterodimer configuration without contaminating the ER $\alpha$  and ER $\beta$  homodimers. These studies have suggested that although ER $\alpha$  is the dominant partner in the ER $\alpha\beta$  heterodimer, ER $\alpha\beta$  also contributes new attributes to E2 signaling by combining distinct functional properties of both contributing partners.<sup>171,172</sup>

Although studies have highlighted the overlapping and distinct functional features of ERs, addressing the roles of endogenous ER dimers in the physiology and pathophysiology of E2 signaling has been hampered by the absence of appropriate in cellula and in vivo models. Nevertheless, adenoviral infections, stable transfections, as well as engineered cell systems that allow the synthesis of one or both ER subtype(s), have expanded the previous findings to indicate a dynamic interplay among ER dimers. The findings indicate that ER dimers generate similar, as well as unique, genome-wide expression profiles through mechanisms that involve shared chromatin-binding sites and also alterations in their chromatin binding as a result of competition, restriction, and site shifting that are dependent on the nature of the ER ligands.<sup>173-178</sup>

### 4.2 | Estrogen receptor variants

Alterations in the expression of ER subtypes by epigenetic events, as well as by the generation of ER-variant proteins through alternative splicing, are important elements that alter the dynamic regulation of tissue functions and also contribute to the initiation and/or the development of malignancies (Fig. 2).

Seven different promoters that are located upstream of the first coding exon are involved in the transcription of the ER $\alpha$  gene.<sup>14-16</sup> The detection of distinct ER $\alpha$  transcripts in different tissues suggests that the composition of regulatory promoter elements is critical for tissue-specific expression of the ER $\alpha$  gene. Although the different promoter usage gives rise to ER $\alpha$  transcript variants that differ in their 5'-untranslated region, all the transcript variants encode the full-length 66 kDa protein. Similarly, the promoter regions of the ER $\beta$  gene contain various regulatory elements<sup>179-181</sup> that allow a versatile use of regulatory signals that are critical for tissue-specific expression.<sup>15,17</sup> It appears that differential splicing of the 5'-untranslated regions of the ER $\beta$  gene generates at least seven ER $\beta$  transcripts with various sizes of untranslated 5' exonic sequences.<sup>17</sup>

The promoter regions of the ERs are also GC-rich, implying a susceptibility to change in methylation status, an event that is associated

with altered gene expression and an increased risk of disease.<sup>15,17</sup> Both the ER $\alpha$  and ER $\beta$  genes undergo changes in promoter methylation during development and under normal and pathological conditions. For example, methylation of the ER $\alpha$  gene promoter is reported to occur in vascular tissue and might play a role in atherogenesis and aging of the vascular system.<sup>182</sup> Epigenetic dysregulation of ER $\beta$  gene expression is also suggested to contribute to the development of atherosclerosis and the aging of the vascular system, wherein ER $\beta$  plays a critical physiological role.<sup>183</sup> Changes in the expression of ER $\alpha$  and ER $\beta$  also are reported to be associated with the progression of numerous types of cancerous tissues, including breast and lung. Up to one-third of breast cancers that initially express ER $\alpha$  lose ER $\alpha$  expression during tumor progression as a result of methylation-mediated ER $\alpha$  gene silencing.<sup>184</sup> Similarly, studies suggest that a decrease in ER $\beta$  gene expression could be associated with breast tumorigenesis and that DNA methylation is an important mechanism for ER $\beta$  gene silencing in breast cancer.<sup>185</sup> In prostate cancer, in contrast, the ER $\beta$  promoter is hyper-methylated, resulting in decreased expression of the ER $\beta$  gene.<sup>181</sup>

Alternative splicing events and distinct translation initiation sites generate ER $\alpha$  and ER $\beta$  variants. These variant ERs are found to be present in both normal and neoplastic estrogen target tissues, adding further complexity to the biological responses to estrogens, as they can form homodimers or heterodimers with the WT-ERs.<sup>88,186-188</sup> Despite the presence of a great variety of ER $\alpha$  mRNA splice forms in various estrogen target tissues, the function of ER $\alpha$  isoforms is derived primarily from experimental studies. For example, the removal of exon 4 results in an in-frame deletion mutant ER $\alpha$  lacking the nuclear localization signal and part of the hormone-binding domain, resulting in an ER $\alpha$  variant with a MM of 55 kDa. The resulting mutant lacks the DNA- and hormone-binding abilities, transactivation function, as well as the ability to interfere with the activity of WT-ER $\alpha$ .<sup>189</sup> Whereas, the deletion of exon 5 results in the introduction of a new stop codon within the hormone-binding domain, giving rise to an ER $\alpha$  variant protein with a MM of 52 kDa.<sup>190</sup> This carboxyl-terminally truncated ER $\alpha$  lacks the hormone-binding function but retains the DNA-binding function. The ER $\alpha$  exon 5-deleted mutant is reported to show a constitutive activity in some breast cancer cell lines.<sup>190-193</sup> Likewise, the splice variant of exon 7 expresses an ER $\alpha$  in the normal breast tissue that lacks both transactivation and hormone-binding functions. This variant binds to DNA and behaves like a dominant negative isoform for both ER $\alpha$  and ER $\beta$  and thus regulates estrogen responsiveness.<sup>190,193</sup>

Evidence for the existence of endogenous ER $\alpha$  variant proteins, on the other hand, is limited to a few. For example, an ER $\alpha$  variant with a MM of 46 kDa (ER $\alpha$ 46) is found to be present in human primary osteoblasts,<sup>194</sup> an analog of which is also present in the bone of the original ER $\alpha$ -KO mice model.<sup>194,195</sup> This isoform, expressed at a level similar to WT-ER $\alpha$ , is generated by an alternative splicing of the ER $\alpha$  gene, which results in exon 1 being skipped, with a start codon in exon 2 being used to initiate translation of the protein. Consequently, the AF-1 of this ER $\alpha$  isoform is absent. Functional analyses suggest that this amino-terminally truncated ER $\alpha$  is able to heterodimerize with WT-ER $\alpha$  and also with WT-ER $\beta$ . ER $\alpha$ 46 is a strong inhibitor of WT-ER $\alpha$

when co-synthesized and represses cellular proliferation in response to E2.<sup>194,195</sup> ER $\alpha$ 36 is generated from a promoter in the first intron of the ER $\alpha$  gene and continues from exon 2 to exon 6 and skips exons 7 and 8.<sup>196,197</sup> This results in a unique carboxyl-terminus of 27 amino acids that replaces the last 138 amino acids of full-length ER $\alpha$ .<sup>196,197</sup> ER $\alpha$ 36 is localized mainly in the cytoplasm and the plasma membrane. Palmitoylation of ER $\alpha$ 36 could contribute to the membrane localization of the variant. It appears that ER $\alpha$ 36 mediates membrane-initiated E2 signaling and adversely affects the events that are mediated by both ER $\alpha$  and ER $\beta$ .<sup>196,197</sup>

Multiple ER $\beta$  transcripts exist as a result of the alternative usage of untranslated exons in the 5' of the gene, alternative splicing of the last coding exons, or deletion of one or more coding exons. For example, although very rare among the population,<sup>198</sup> an ER $\beta$  testis cDNA that encodes an amino-terminally extended ER $\beta$  isoform was reported.<sup>199</sup> This variant ER $\beta$  results from the presence of an additional A-T base pair in the 5'-untranslated region of the ER $\beta$  gene that generates an early ATG initiation codon that extends the amino-terminus of ER $\beta$  by 18 additional amino acids; hence, it is referred to as "ER $\beta$ 548."<sup>199</sup> Interestingly, ER $\beta$ 548 displays a more robust activity than WT-ER $\beta$  in inducing transcription in an ERE-dependent reporter system. Moreover, tamoxifen and raloxifene appear to act as agonists for ER $\beta$ 548, in contrast with their action as antagonists for WT-ER $\beta$ .<sup>199</sup> In addition, many ER $\beta$  variants that have resulted from alternative splicing events also have been reported in normal and pathological estrogen target tissues. Although the importance of these ER $\beta$  splice variants remains unclear, several major variants have been described to alter E2-ER signaling in experimental systems.<sup>200-203</sup> Of these, ER $\beta$ 2, 4, and 5, which contain exons 1-7 of the human ER $\beta$  gene, followed by alternatively spliced exon 8, have been studied in detail. The studies indicate that although these carboxyl-terminally truncated variants cannot bind ligand and lack co-activator-recruiting helix 12, they can heterodimerize with WT-ER $\beta$ , as well as with WT-ER $\alpha$ , and modulate estrogen-mediated transcriptional activities of the receptors, raising the possibility that when co-synthesized, ER $\beta$  isoforms could adversely alter ER $\alpha$  and ER $\beta$  signaling.<sup>200-203</sup>

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Despite a large number of experimental studies indicating that ER $\alpha$  and ER $\beta$  show similar, as well as distinct, regulatory potentials in cells of different estrogen target tissues, the physiological role of ER $\beta$  in E2-mediated signaling remains elusive. However, one consensus is that rather the subtype, the relative level of synthesis of ERs and ER variants, particularly in cases wherein both subtypes are synthesized, can have profound effects on the dynamic and integrated network of cellular events in both the physiology and pathophysiology of target tissues. Although beyond the scope of this paper, and there are many excellent reviews,<sup>204-210</sup> one important integrated network involves the cross-talk of E2-ER with growth factor signaling pathways (GFSPs). These GFSPs modify, and are modified by, E2-ER

signaling.<sup>201,202</sup> Adding further complexity to E2-ER signaling, are phosphorylation, glycosylation, ubiquitination, and acetylation events that not only modulate unliganded or liganded ER functions at every level but also alter the ligand pharmacology.<sup>204-210</sup> The deregulation of growth factor signaling appears to play a vital role in ER-driven neoplastic processes and also the development of endocrine resistance in the treatment of estrogen target tissue malignancies, exemplified by breast cancers.<sup>204-210</sup> Consequently, a better understanding of the complex regulatory mechanisms that underlie ER actions holds considerable promise for the development of novel biomarkers and predictors, as well as therapeutic approaches that could have a substantial impact on the systemic management of estrogen target tissue malignancies.

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## DISCLOSURE

**Conflict of interest:** The authors declare no conflict of interest. **Human and animal studies:** This article does not contain any study with humans or animals that was performed by any of the authors.

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