Relative importance of individual and social factors in improving adolescent health

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Abstract

Aims: In 2010, the English Department of Health launched a radical new public health strategy, which sees individual factors, such as self-esteem, as the key to improving all aspects of young people's health. This article compares the strength of association between key adolescent health outcomes and a range of individual and social factors

Methods: All participants aged 12–15 in the nationally representative 2008 Healthy Foundations survey were included. Six individual factors related to self-esteem, confidence and personal responsibility, and seven social factors related to family, peers, school and local area were investigated. Single-factor and multivariable logistic regression models were used to calculate the association between these factors and seven health outcomes (self-reported general health, physical activity, healthy eating, weight, smoking, alcohol intake, illicit drug use). Odds ratios were adjusted for gender, age and deprivation.

Results: Individual factors such as self-esteem were associated with general health, physical activity and healthy eating. However, the influence of family, peers, school and local community appear to be equally important for these outcomes and more important for smoking, drug use and healthy weight.

Conclusion: Self-esteem interventions alone are unlikely to be successful in improving adolescent health, particularly in tackling obesity and reducing substance misuse.

INTRODUCTION

The World Health Organization estimates that nearly two-thirds of premature deaths are associated with risk factors predominantly initiated in adolescence¹ and has declared young people's health a global health priority.² Eighty per cent of smokers start before the age of 21,³ half of lifetime mental illness presents by the age of 14⁴ and up to 79% of obese teenagers will be obese as adults.⁵

In the UK and elsewhere, adolescent health has been a growing focus of academic and policy interest over the past decade. 5-7 In 2007, a United Nations Children's Fund (UNICEF) report found that British young people had the least healthy lifestyles of 21 countries studied, reflecting high rates of smoking, alcohol consumption, cannabis use, unprotected sex, poor diet, obesity and violent behaviour. The report Fair Society, Healthy Lives demonstrated the importance of social determinants of health at all ages, using a life course approach. 9

When the UK coalition government was formed in May 2010, the Secretary of State for Health, Andrew Lansley, affirmed its commitment to young people's health. 10 Radical reforms were announced in several relevant policy areas, including public health, well-being, schools, the National Health Service (NHS) and community policy.

The Public Health White Paper¹¹ places a firm focus on improving young people's health and health behaviours through promoting self-esteem, confidence and personal responsibility (p. 6). At the same time it acknowledges the role of wider social environmental factors in promoting good health, such as social norms (section 1.13), relationship with parents (section 1.22), peer influence and school support (section 3.16).

Evidence suggesting that these policy changes will improve health outcomes for young people is inconsistent. There is strong evidence that connection with family and community is protective against a range of poor health outcomes in

adolescents¹² and that social determinants of health are important in adolescence.¹³ However, evidence that promoting self-esteem and personal responsibility will improve young people's health is sparse. Self-esteem can be defined as 'how much value people place on themselves'14 and has been extensively studied using tools such as the Rosenberg Self Esteem Scale. 15 A recent systematic review of such studies found no consistent relationship between higher selfesteem and better health outcomes in adolescence.14 Further, much of the work in this area has been undertaken outside the UK, and it is not clear that findings should be used to guide policy in Britain.

A recent survey of young people's health behaviour and attitudes, the Healthy Foundations study, provides an opportunity to examine whether these new policy initiatives are likely to influence health and health behaviours in British adolescents.16 This study was funded by the English Department of Health as part of a wider survey into health behaviour and motivation across the life course. We used data from the Healthy Foundations study to compare the strength of the relationship between individual and social factors relevant to the new reforms and health outcomes in early adolescence. We identified selfesteem, personal responsibility and confidence as individual factors, and school, peers, family and community connections as social determinants.

METHODS Sampling

Healthy Foundations study data were obtained from the English Department of Health. The study identified respondents using a random population-based sampling methodology. To enable detailed analysis of deprivation effects, there was over-sampling of the most deprived decile of areas, as measured by the Index of Multiple Deprivation (IMD). The Analyses were weighted to be nationally representative. A full description of the sampling and methods can be found in the full report of The Healthy Foundations Research Projects. 16

The final sample included 5,380 people aged 12–74 resident in England interviewed between March and June

2008, representing a net response rate of 55%. Of these, 452 were 12–15 years old, who form the subject of these analyses. The 12–15-year-olds completed a confidential 25-minute questionnaire. Computer-assisted self-interviewing (CASI) was offered to all respondents as this allowed them to answer the questions in private by reading and responding to the questions on a laptop. Parents or guardians completed a separate questionnaire providing additional information on household demographics.

The questionnaire was designed to capture measures on attitudes, beliefs, behaviour and measures of the social and economic environment within which children live. Questions were drawn from existing surveys and additional new questions were added by the research team. The questionnaire was subjected to two stages of piloting. All fieldwork was conducted by fully trained interviewers from GfK NOP, who worked within the standards of the Interviewer Quality Control Scheme.

Policy factors

Selection of policy factors

We mapped questionnaire items onto policy themes. We identified seven items within the category of individual factors that relate to the policy themes of selfesteem (two items), personal responsibility (two items) and confidence (three items). The two items on self-esteem were combined into a single score for self-esteem, making a total of six individual level factors for analysis. We identified nine items that relate to social factors (either more proximal to the individual, e.g. school, family and peers, or more distal community factors) some of which are also part of the government's reforms. The two items relating to parental relationships and the two relating to school environment were also combined, making a total of seven social factors for analysis. Table A1 (Appendix) shows the questionnaire items and the categories to which we assigned them.

The questionnaire items from the Rosenberg Self Esteem Scale (selfesteem) and the Teacher and Classmate Support Scale (school environment) were selected and combined after piloting data demonstrated that they were a valid

proxy for the wider scale. Full details of this process have been published previously. ¹⁶ Respondents were excluded if they did not give a valid response to both questions. Similarly, an average score was created from the two questions about ease of communication with both parents/carers. If the young person was not in contact with one parent/carer, this was scored as 0.5 and averaged with the score for the other parent/carer.

Transformation

Policy factor responses were transformed for analysis as responses differed across the nine questions. Scores were firstly standardised then normalised. In step one, a standardised score from 0 to 1 was created for each variable using the formula: Adjusted score = (score - a) / (b-a), where $a = \min \max score$ and b =maximum score. Each question was thereby scored from 0 to 1, where 1 represented the most positive response and 0 the least positive. Second, a normalised score for each variable was created from the standardised score by dividing each value by the standard deviation for that score. The normalised scores were used to explore associations of policy factors with healthy outcomes, adjusting for age, gender and deprivation.

We derived standardised scales for age and IMD using the formula *Adjusted* score = (score - a) / (b-a). This resulted in scores with the following ranges (Age: 0 = age 12, 1 = age 15; IMD: 0 = most deprived decile, 1 = least deprived decile). Unlike the policy factors, the adjusted scores were not then normalised.

Health outcomes

Data on seven health outcomes were available. For analysis, dichotomous groups were created representing good health / healthy behaviour or the reverse according to standard definitions for smoking, alcohol use or weight, and according to current UK recommendations for physical activity and diet (fruit and vegetable intake). Health outcomes were as follows:

1. Self-reported general health: respondents were asked: 'In general, how

would you say your health is?' Responses were recorded on a five-point Likert scale ('very good' to 'very bad'), which was collapsed to a binary outcome: good health ('very good, good') versus poor health ('very bad, bad, neither good nor bad').

- Physically active: defined as meeting the national recommended levels of physical activity for under 16s (60 minutes physical exercise at least five times per week).
- Healthy eating: defined as following UK government recommendations to eat at least five portions of fruit and vegetables a day.
- 4. Healthy weight: defined as BMI z score for age < 1 on the UK 1990 growth reference. ¹⁸ BMI z score was calculated from self-reported height and weight. A z score of 1 is equivalent to the 85th BMI centile for age and gender.
- 5. Smoking: defined by a positive response to the question: 'Do you smoke nowadays?'
- 6. Alcohol intake: defined as any alcohol drunk within the last week.
- 7. Illicit drug use: defined by a positive response to the question: 'Have you ever taken any illegal drug?'

Analysis

For analysis, data were weighted to compensate for the various design effects introduced by the survey sample design. We examined potential interactions between age, gender, IMD and policy variables. Significant interactions were found between gender, social participation and weekly drinking. Models that included alcohol were therefore stratified by gender, while all other analyses included both sexes and were adjusted for gender.

Associations between policy variables and health outcomes were first examined in logistic regression models adjusted for age, gender and IMD. Policy factors significant (p < .05) in single-variable models were entered into a multi-variable models for each health outcome. The proportion of variance explained was calculated for each model (using Nagelkerke pseudo R^2). Analyses were undertaken in SPSS, version 18.

Ethics

No ethical approval was needed for this secondary analysis of anonymised, previously published data.

RESULTS

In total, 452 young people (237 females (52%)) participated in the survey. The mean age was 13.5 years (SD = 1.1); 212 (46.9%) participants lived in the most deprived decile of postcodes, with approximately equal representation of all other deciles.

Mean scores for each health outcome by gender are presented in Table A2 (Appendix).

Table 1 presents the adjusted odds ratios for general health, physical activity, healthy eating and healthy weight related to individual and social policy factors. Table 2 presents the same for substance misuse, with alcohol data presented separately by gender.

Single-factor models

A summary of significant associations in the single-factor models is shown in Figure 1. Good general health was associated with higher scores for the majority of individual and social factors. Individual factors were linked to physical activity and healthy eating but not consistently linked to healthy weight and substance misuse. Positive social factors were associated with better outcomes in all areas except alcohol consumption.

Multi-variable models

In the multi-variable model, better general health was associated with the individual factors of self-esteem, involvement in health and living in a safe neighbourhood. Physical activity was similarly associated with self-esteem and involvement in health, but it was associated with social participation in terms of social factors. Healthy eating was only associated with the individual factor confidence, with a trend for an association with school environment. Being a healthy weight was not associated with any physical factors, and was only associated with safe neighbourhood in terms of social factors.

Being a non-smoker was associated only with social factors, including parental smoking, ability to talk with parents, living in a safe neighbourhood and school environment. Being a non-drinker was associated with involvement in health for males, but with social participation for females. Drug use was associated with drug use at home and friends being concerned about health.

DISCUSSION

Main findings of this study

These data suggest that the strong focus on self-esteem and personal agency seen in current government policy is likely to influence a subset of adolescent health outcomes only. We found that self-esteem, confidence and personal responsibility for health were associated with good general health and with health-promoting behaviours such as healthy eating and physical activity. However, in our data set, these individual factors were not linked with protection against key health risk behaviours such as smoking, drinking or drug use.

In contrast, we found that social factors related to home, peers, school and neighbourhood were strongly associated with substance use as well as other health outcomes. The strongest correlate of smoking was the presence of a smoker at home, followed by the school environment, perceived safety of their area and difficulty talking to parents. Lower rates of drug use were associated with the absence of a drug user in the household, health-conscious friends, school environment and greater deprivation. We found that few of the factors measured influenced alcohol use, although greater personal responsibility was associated with more frequent alcohol use in boys and higher social participation was associated with more frequent alcohol use in girls. Greater social participation and feeling safe within a neighbourhood as well as a positive school environment were associated with a wide range of positive health outcomes.

What is already known on this topic?

Previous studies have shown that liking school is a protective factor against risky sexual behaviour and substance misuse. 19-23 Since the Education Bill was

		-	y eating and h	,			
	Single-factor model (adjusted for gender, age, IMD)			Multi-variable model (adjusted for all significant factors, age, gender, IMD)			
	OR	95% CI	р	OR	95% CI	р	
General health							
Individual							
Self-esteem	1.51	1.17–1.95	.002	1.37	1.02-1.84	.038	
Involvement in health	1.81	1.41-2.33	< .001	1.85	1.32-2.60	< .001	
What I personally do	1.32	1.03-1.70	.028	1.01	0.74-1.38	.960	
Be myself	1.12		.390				
Achievement	1.67	1.29–2.15	< .001	1.12		.501	
Confidence	1.20		.212				
Microsystem							
Can talk to parents	1.10		.482				
Friends care about health	1.36	1.04–1.76	.023	0.79		.200	
Social participation	1.39	1.06–1.81	.017	1.18		.306	
Safe neighbourhood	1.50	1.15–1.96	.003	1.40	1.02-1.93	.040	
School connectedness	1.35	1.05–1.75	.022	1.34	0.94-1.92	.106	
School environment	1.56	1.19–2.03	.001	1.19		.319	
Age				1.16	0.49–2.77	.738	
Gender				0.91	0.46-1.79	.779	
IMD				1.18	0.42-3.34	.755	
Nagelkerke value				.20			
Physically active							
Individual							
Self-esteem	1.69	1.26–2.26	< .001	1.52	1.11–2.07	.009	
Involvement in health	1.56	1.17-2.09	.002	1.44	1.06–1.94	.018	
What I personally do	1.19		.160				
Be myself	1.36	1.06–1.76	.016	1.14		.322	
Achievement	0.982		.877				
Confidence	1.06		.609				
Microsystem							
Can talk to parents	0.97		.787				
Friends care about health	1.19		.159				
Social participation	2.07	1.53–2.79	< .001	1.94	1.42-2.66	< .001	
Safe neighbourhood	1.06		.619				
School connectedness	1.18		.169				
School environment	0.81		.068				



	Single-factor model (adjusted for gender, age, IMD)			Multi-variable model (adjusted for all significant factors, age, gender, IMD)			
	OR	95% CI	p	OR	95% CI	p	
Age				1.60	0.81–3.18	.176	
Gender				0.46	0.28-0.76	.002	
IMD				1.17	0.54–2.57	.689	
Nagelkerke value				0.22			
Healthy eating							
Individual							
Self-esteem	1.09		.389				
Involvement in health	1.31	1.06–1.62	.013	1.27	1.00-1.62	.055	
What I personally do	1.08		.475				
Be myself	0.99		.940				
Achievement	1.29	1.05–1.60	.017	1.13	0.88–1.44	.322	
Confidence	1.29	1.05–1.58	.015	1.36	1.11–1.68	.004	
Microsystem							
Can talk to parents	1.07		.528				
Friends care about health	1.24	1.00-1.52	.046	1.07		.572	
Social participation	1.10		.349				
Safe neighbourhood	1.08		.459				
School connectedness	1.11		.329				
School environment	1.29	1.05–1.59	.018	1.25	1.00–1.57	.050	
Age				0.78	0.44-1.36	.376	
Gender				0.89		.589	
IMD				2.34	1.20–4.55	.012	
Nagelkerke value				0.11			
Healthy weight							
Individual							
Self-esteem	1.20		.108				
Involvement in health	1.12		.308				
What I personally do	1.05		.650				
Be myself	1.09		.451				
Achievement	0.80	0.63-1.01	.059				
	(0.60) F	(0.39–0.92) F	(.018) F				
Confidence	1.08		.491				
Microsystem							
Can talk to parents	1.14		.249				
Friends care about health	1.05		.650				



Table 1 (continued)								
	Single-factor model (adjusted for gender, age, IMD)			Multi-variable model (adjusted for all significant factors, age, gender, IMD)				
	OR	95% CI	р	OR	95% CI	р		
Social participation	1.13		.264					
Safe neighbourhood	1.33	1.05-1.69	.017	1.33	1.05–1.69	.017		
School connectedness	1.09		.433					
School environment	1.12		.317					
Age				1.69		.080		
Gender				1.46		.101		
IMD				1.39		.368		
Nagelkerke value				0.04				

Notes: Odds ratios are presented for the association between 12 policy factors (six individual factors and six microsystem factors) and seven health outcomes.

An odds ratio greater than 1 indicates that the odds of health or healthy behaviour increase with higher self-esteem, school-connectedness, etc.

For demographic factors, an odds ratio greater than 1 indicates that the odds of health or healthy behaviour increase with age and higher socio-economic status and are higher for females compared to males.

Two models are presented for each health outcome. The left-hand column shows the adjusted odds ratios for each policy factor score, adjusted for age, gender and IMD. These were named as single-factor models. The right-hand column then shows a multivariable model, which includes all policy factors significant (p < .05) at the partially adjusted stage.

Adjusted odds ratios for substance misuse by policy factor									
	Single-factor model (adjusted for gender, age, IMD)			Multi-variable model (adjusted for all significant factors, age, gender, IMD)					
	OR	95% CI	p	OR	95% CI	р			
Non-smoker									
Individual									
Self-esteem	1.11		.581						
Involvement in health	1.30		.132						
What I personally do	0.90		.598						
Be myself	1.12		.573						
Achievement	1.49	1.06–2.08	.022	1.17		.497			
Confidence	0.92		.673						
Microsystem									
Can talk to parents	1.50	1.07-2.11	.020	1.63	1.07-2.48	.024			
No smokers at home	6.65	2.71–16.31	< .001	14.9	4.5–49.4	< .00			
Friends care about health	1.33		.121						
Social participation	1.26		.221						
Safe neighbourhood	1.71	1.18-2.48	.005	1.70	1.06-2.70	.027			
School connectedness	1.26		.217						
School environment	2.25	1.53-3.30	< .001	2.26	1.35–3.78	.002			
						(continu			



	Single-factor model (adjusted for gender, age, IMD)			Multi-variable model (adjusted for all significant factors, age, gender, IMD)			
	OR	95% CI	p	OR	95% CI	p	
Age				0.04	0.01-0.24	< .001	
Gender				1.4		.52	
IMD				0.32		.162	
Nagelkerke value				0.39			
Male non-drinker							
Individual							
Self-esteem	1.02		.935				
Involvement in health	0.44	0.24-0.81	.009	0.44	0.24-0.81	.009	
What I personally do	0.66		.126				
Be myself	1.27		.252				
Achievement	0.78		.267				
Confidence	0.83		.413				
Microsystem							
Can talk to parents	1.39		.126				
No heavy drinkers at home	1.69		.345				
Friends care about health	1.19		.453				
Social participation	1.46		.067				
Safe neighbourhood	0.75		.321				
School connectedness	1.48	0.99–2.22	.053				
School environment	1.23		.380				
Age				0.04	0.01–0.20	< .001	
IMD				1.20		.804	
Nagelkerke value				0.23			
Female non-drinker							
Individual							
Self-esteem	1.24		.298				
Involvement in health	1.11		.609				
What I personally do	1.05		.833				
Be myself	1.33		.189				
Achievement	0.67		.172				
Confidence	0.96		.865				
Microsystem			.300				
Can talk to parents	1.22		.329				
No heavy drinkers at home	2.71		.13				
Friends care about health	0.96		.863				
Social participation	0.48	0.28–0.81	.007	0.48	0.28–0.81	.007	
Safe neighbourhood	0.72	3.20 0.01	.212	0.10	0.20 0.01	.501	
School connectedness	1.31		.223				
School environment	1.37		.148				



	Single-factage, IMD)	Single-factor model (adjusted for gender, age, IMD)			Multi-variable model (adjusted for all significant factors, age, gender, IMD)			
	OR	95% CI	p	OR	95% CI	р		
Age				0.08	0.02-0.35	.001		
IMD				0.62		.509		
Nagelkerke value				0.18				
Never taken drugs								
Individual								
Self-esteem	1.43		.063					
Involvement in health	1.33		.14					
What I personally do	0.94		.769					
Be myself	0.80		.364					
Achievement	1.29		.177					
Confidence	0.83		.397					
Microsystem								
Can talk to parents	0.82		.428					
No drugs used at home	146	3.8–5670	.008	395	9–16918	.002		
Friends care about health	2.15	1.49–3.11	< .001	2.59	1.69–3.96	< .00		
Social participation	1.39	0.94–2.05	.095					
Safe neighbourhood	1.39		.106					
School connectedness	0.83		.44					
School environment	1.52	1.01-2.30	.047	1.31	0.82-2.07	.256		
Age				0.42		.226		
Gender				1.98		.195		
IMD				6.88	1.34–35.4	.021		
Nagelkerke value				0.31				

Notes: Odds ratios are presented for the association between 13 policy factors (six individual factors and seven microsystem factors) and seven health outcomes.

Interactions were detected between social participation, gender and weekly drinking. Male and female data on weekly drinking are therefore presented separately. Data for all other outcomes are aggregated to maximise statistical power.

Two models are presented for each health outcome. The left-hand column shows the adjusted odds ratios for each policy factor score, adjusted for age, gender and IMD. These were named as single-factor models. The right-hand column then shows a multivariable model, which includes all policy factors significant (p < .05) at the partially adjusted stage.

passed in November 2011, there has been debate about whether reduced central regulation will have adverse consequences for children's health.²⁴ Our data illustrate the importance of the school environment for a range of health indicators and suggest that the health impact of school reforms must be carefully monitored. These data also reinforce the important role played by clubs and organisations in keeping young people active, highlighting the risks of policies

under which 20% of youth services are predicted to close within the next year.²⁵

Volunteering and community engagement are known to have a wide range of health benefits. 26,27 Wilson 28 suggests that this may be particularly important in adolescence, reviewing extensive evidence that alienation and disengagement lie at the heart of much risky behaviour in this age group. Interventions aim to promote the belief that 'I'm a valued member of my school and community' and

have been effective in improving outcomes from increased school attainment to reduced teenage pregnancy and violent behaviour. Prequency of participation in clubs and organisations does not reflect all aspects of community engagement but clearly has significant health benefits, particularly in providing opportunities for sports and other physical exercise. Similarly, young people are the most common victims of street crime. Ensuring that they feel safe

Associations between individual and social factors and health outcomes in English adolescents General **Physical** Healthy Healthy Never used eating health activity weight Non-smoker Non-drinker druas Individual factors Higher self-esteem Greater personal responsibility Male Confidence items Social factors Talk easily with parents User in the home N/A Friends care about health 1 Female Social participation Feel safe in neighbourhood

Notes: Arrows indicate a significant association in single-factor models, adjusting for age, gender and deprivation. For example, higher self-esteem is significantly associated with better general health. The presence of a smoker at home is associated with a lower chance of being a non-smoker.

in their local area must be a priority in any efforts to promote community engagement.

What this study adds

Connection with school

Better school environment

Our data are recent, representative of the English population and show clearly how factors related to the proposed policies are associated with key public health indicators.

For policy purposes, the main strengths of the study were the population (a contemporary, nationally representative, random sample drawn from households rather than schools) and the wide scope of the questionnaire. Data collection across many domains allowed associations to be directly compared and interactions analysed.

Our findings are consistent with previous studies that have shown an association between high self-esteem and some aspects of health, particularly lower rates of bulimia and increased happiness, but no consistent link between high self-esteem and reduced rates of substance misuse. 14,31 In contrast, we found that

social factors are strongly linked to the majority of health outcomes.

One area that would benefit from further research is influences on alcohol intake. Our data show that girls who attended a club regularly and boys who felt involved with their health were more likely to report drinking alcohol within the last week. However, around half of those drinkers were aged 15, for whom the Chief Medical Officer recommends that some alcohol intake is safe.32 Interestingly, sub-group analysis of our data showed that among those who had drunk alcohol within the last week, those with high social participation drank less than those with low social participation (mean 2.0 vs 11.3 units, p < .01). Previous research has shown that membership of a team or youth club can be protective against frequent or problem drinking,33,34 although other authors have found a link between higher social selfefficacy and problematic drinking among adolescents.35 Clearly, further exploration is needed before these findings should influence policy.

Limitations of the study

In common with all cross-sectional surveys, the data allow inferences to be drawn about association but not causation. The breadth of the study also meant that it was not possible to investigate each factor in depth. For example, previous studies have used longer self-esteem scales to investigate different domains of self-esteem, whereas our study uses only two questionnaire items to represent global self-esteem.

This survey has a smaller sample size than some studies and may therefore lack sufficient power to detect weak associations. In many cases, these weak associations may be of academic interest but less relevant for national policy decisions. However, the sample size means that extensive sub-group analysis is not possible.

CONCLUSION

A focus on self-esteem and personal agency, prominent in the Public Health White Paper, is partially supported by our

findings on general health, physical activity and healthy eating. However, the influence of family, peers, school and local community appear to be equally important for these outcomes and more important for smoking, drug use and healthy weight.

Self-esteem interventions alone are unlikely to be successful in improving adolescent health, particularly in tackling obesity and reducing substance misuse. A successful public health strategy must take a holistic approach to tackling determinants of young people's health.

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CONFLICTS OF INTEREST

We declare no conflicts of interest.

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