

Since January 2020 Elsevier has created a COVID-19 resource centre with free information in English and Mandarin on the novel coronavirus COVID-19. The COVID-19 resource centre is hosted on Elsevier Connect, the company's public news and information website.

Elsevier hereby grants permission to make all its COVID-19-related research that is available on the COVID-19 resource centre - including this research content - immediately available in PubMed Central and other publicly funded repositories, such as the WHO COVID database with rights for unrestricted research re-use and analyses in any form or by any means with acknowledgement of the original source. These permissions are granted for free by Elsevier for as long as the COVID-19 resource centre remains active.

Breakthrough Infection shapes humoral immunity against SARS-CoV-2 Omicron Variant

Yuwei Zhang, Shanshan Han, Mingxiao Yao, Xingyu Guo, Lianxiang Zhao, Wenkui Sun, Shuang Wang, Bo Pang, Shu Zhang, Jianxing Wang, Ming Fang, Xiaolin Liu, Zengqiang Kou, Xiaolin Jiang

 PII:
 S0163-4453(22)00620-X

 DOI:
 https://doi.org/10.1016/j.jinf.2022.10.021

 Reference:
 YJINF 5743



To appear in: Journal of Infection

Accepted date: 16 October 2022

Please cite this article as: Yuwei Zhang, Shanshan Han, Mingxiao Yao, Xingyu Guo, Shu Zhang, Lianxiang Zhao, Wenkui Sun, Shuang Wang, Bo Pang, Jianxing Wang, Xiaolin Jiang, Breakthrough Infection shapes Zengqiang Kou, Ming Fang, Xiaolin Liu, humoral immunity against SARS-CoV-2 Omicron Variant, Journal of Infection (2022), doi: https://doi.org/10.1016/j.jinf.2022.10.021

This is a PDF file of an article that has undergone enhancements after acceptance, such as the addition of a cover page and metadata, and formatting for readability, but it is not yet the definitive version of record. This version will undergo additional copyediting, typesetting and review before it is published in its final form, but we are providing this version to give early visibility of the article. Please note that, during the production process, errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

© 2022 Published by Elsevier Ltd on behalf of The British Infection Association.

Breakthrough Infection shapes humoral immunity against SARS-CoV-2 Omicron Variant

Yuwei Zhang^{2*}, Shanshan Han^{3*}, Mingxiao Yao^{2*}, Xingyu Guo^{3*}, Lianxiang Zhao⁴, Wenkui Sun², Shuang Wang², Bo Pang², Shu Zhang², Jianxing Wang², Ming Fang², Xiaolin Liu², Zengqiang Kou², Xiaolin Jiang^{1,3,4}

¹Shandong Provincial Key Laboratory of Infectious Disease Control and Prevention, Shandong Center for Disease Control and Prevention, Jinan, China.

²Infectious Disease Prevention and Control Section, Shandong Center for Disease Control and Prevention, Jinan, Shandong Province, China

³School of Public Health and Health Management, Shandong First Medical University & Shandong Academy of Medical Sciences, Jinan, Shandong Province, China

⁴School of Public Health and Management, Binzhou Medical University, Yantai, Shandong Province, China

Corresponding author: Professor Xiaolin Jiang, Shandong Center for Disease Control and Prevention, 16992 Jingshi Road, Jinan, Shandong, 250014, P.R. China, Tel: 0531-82679767, Email: jx1198607@126.com

^{*}These two authors contributed equally to this work.

Dear Editor,

The rapid development of safe and effective COVID-19 vaccines was once thought to be the beginning of the end of the pandemic(1). However, with the decline of vaccine efficacy and the emergence of variants of concern (VOCs), massive breakthrough infections have occurred in vaccinated populations(2, 3). Recent articles in this journal mentioned that breakthrough infections caused by VOCs can induce higher immune responses than original infections and simple vaccination(4, 5). The evaluation of neutralization capacity against omicron induced by the "hybrid immunity" elicited by different vaccines and infections is crucial to the future vaccination strategy and the development of new vaccines.

In this study, we recruited 60 participants from 3 locally clustered COVID-19 outbreaks in Rizhao, Jinan, and Weihai, Shandong Province, China in October 2021, May and June 2022. They were all confirmed cases of COVID-19 with positive PCR tests, and the SARS-CoV-2 sequence of most participants has been confirmed by whole genome sequencing on Miseq. We examined neutralising activity against the wild-type SARS-CoV-2 and omicron BA.2.3 sub-variant from convalescent individuals with AY.126, P.1.15 or BA.2 breakthrough infections through live virus neutralization assay. The indirect ELISA kit (Vazyme, China) based on spike protein were used to quantify S-binding IgG antibodies against SARS-CoV-2. The design of this study and the spike protein mutations of the VOCs involved are shown in Figure 1A-B. See supplementary materials for detailed participant characteristics and methods.

Notably, the neutralization ability against BA.2.3 of all breakthrough infection

patients was 3.18-8 times lower than wild-type. In breakthrough infections following two doses of vaccine, the geometric mean titre (GMT) against wild-type and BA.2.3 in the 6 individuals who breakthrough by AY.126 were 3.32-times(from 1952.12 to 587.37) and 2.62-times(from 232.59 to 88.61) higher than individuals with BA.2 breakthrough infections; 3 patients who breakthrough by P.1.15 had 1.58-times(from 930.37 to 587.37) and 3.31-times(from 293.05 to 88.61) higher GMT than individuals who breakthrough by BA.2(Figure 2A). After three doses of the inactivated vaccine, patients with P.1.15 breakthrough infection also had a 1.83-times(from 1254.14 to 686.06) and 1.37-times(from 156.77 to 114.07) higher titre against wild-type and BA.2.3 compared with individuals who breakthrough by BA.2(Figure 2B).

Among the 13 P.1.15-infected patients, 10 patients received three doses of vaccine had 1.35-times(from 1254.14 to 930.37) higher titre against wild-type than patients who received two doses, but no improvement for BA.2.3(Figure 2C). Of the 41 BA.2-infected patients, 5 unvaccinated patients didn't develop high neutralizing antibodies by virtue of only once infection. For individuals with inactivated vaccines, the GMT against wild-type and BA.2.3 increased from 256.00, 41.93 to 686.06, 114.07, respectively, with the increase of pre-infection doses. In patients who vaccinated with three doses, the ZF2001 vaccine induced 1.45-times(from 991.79 to 686.06) and 1.64-times(from 187.04 to 114.07) higher titre against wild-type and BA.2.3 than the inactivated vaccine (Figure 2D).

The change trend of S-binding IgG antibody level in each group was similar to that of neutralizing antibody. The correlation results between neutralizing titers against

wild-type SARS-CoV-2, and BA.2.3, and S-binding IgG titer were R=0.64 (p < 0.0001), R=0.54 (p < 0.0001), and R=0.54 (p < 0.0001), and showing a moderate significant positive correlation between antibodies(Figure S1-2). Similar to a recent study(6), we also found that the ELISA assays could reflect the humoral immune response partly.

Consistent with previous studies(7, 8), the immune evasion ability of the omicron shouldn't be underestimated. Under the same vaccination conditions, the resistance to wild-type and BA.2.3 after BA.2 breakthrough infection was weaker than that of AY.126 and P.1.15 breakthrough infection. It suggests that the heterologous "hybrid immunity" could mediate stronger humoral immunity, whereas the omicron variant is less immunogenic in comparison(9). Although breakthrough infections may still occur after vaccination, vaccinated individuals could trigger stronger immune recall after infection than unvaccinated individuals(10). A limitation of this study is based on a small number of individuals. But this real-world antibody results from breakthrough infections, optimizing vaccination and developing new vaccines regimens the key to combating VOCs and reducing the incidence of COVID-19.

Funding

This work was supported by the Major Scientific and Technological Innovation Project in Shandong Province (grant numbers: 2020SFXGFY02-1), Key Research and Development plan of Shandong Province(grant numbers: 2021RZA01021) and Natural Science Foundation of Shandong Province(grant numbers:

ZR202112040005).

Declaration of competing interest

The authors have declared that no conflicts of interest exist.

References

1. Sharma O, Sultan AA, Ding H, Triggle CR. A Review of the Progress and Challenges of Developing a Vaccine for COVID-19. Frontiers in immunology. 2020;11:585354.

2. Alcendor DJ, Matthews-Juarez P, Smoot D, Hildreth JEK, Lamar K, Tabatabai M, et al. Breakthrough COVID-19 Infections in the US: Implications for Prolonging the Pandemic. Vaccines. 2022;10(5).

3. Gupta RK, Topol EJ. COVID-19 vaccine breakthrough infections. Science. 2021;374(6575):1561-2.

4. Yadav PD, Sapkal GN, Sahay RR, Patil DY, Deshpande GR, Jain R, et al. Elevated neutralization of Omicron with sera of COVID-19 recovered and breakthrough cases vaccinated with Covaxin than two dose naive vaccinees. The Journal of infection. 2022;84(6):834-72.

5. YangYang, Gong X, Yang L, Li J, Zhang J, Wei L, et al. Regular and booster vaccination with inactivated vaccines enhance the neutralizing activity against Omicron variant both in the breakthrough infections and vaccinees. The Journal of infection. 2022;84(4):579-613.

Ferre VM, Lebourgeois S, Chenane HR, Menidjel R, Masson C, Collin G, et al.
 Vaccine Ab neutralization against Omicron and SARS-CoV-2 variants using

neutralization and specific ELISA assays. The Journal of infection. 2022;84(6):834-72.

 Dejnirattisai W, Huo J, Zhou D, Zahradnik J, Supasa P, Liu C, et al. SARS-CoV-2 Omicron-B.1.1.529 leads to widespread escape from neutralizing antibody responses. Cell. 2022;185(3):467-84 e15.

8. Cao Y, Wang J, Jian F, Xiao T, Song W, Yisimayi A, et al. Omicron escapes the majority of existing SARS-CoV-2 neutralizing antibodies. Nature. 2022;602(7898):657-63.

9. Servellita V, Syed AM, Morris MK, Brazer N, Saldhi P, Garcia-Knight M, et al. Neutralizing immunity in vaccine breakthrough infections from the SARS-CoV-2 Omicron and Delta variants. Cell. 2022;185(9):1539-48 e5.

10. Richardson SI, Madzorera VS, Spencer H, Manamela NP, van der Mescht MA, Lambson BE, et al. SARS-CoV-2 Omicron triggers cross-reactive neutralization and Fc effector functions in previously vaccinated, but not unvaccinated, individuals. Cell host & microbe. 2022;30(6):880-6 e4.

Legend

Figure 1. (A) The details of this study design. (B) The spike protein mutations of SARS-CoV-2 in breakthrough-infected individuals and live virus neutralization assays.



Figure 2. Neutralizing Antibodies against wild-type SARS-CoV-2 and omicron BA.2.3 sub-variant from breakthrough-infected individuals. Box violin plots show differences in neutralizing antibody titers against wild-type SARS-CoV-2 and Omicron BA.2.3 from AY.126, P.1.15 and BA.2 breakthrough-infected individuals after two doses of vaccine(C), P.1.15 and BA.2 breakthrough-infected individuals after three doses of vaccine(D), 13 P.1.15 breakthrough-infected individuals(E), and 41 BA.2 breakthrough-infected individuals(F). The geomatic mean titers (GMTs) are shown above each column.

