

1 Differential Performance of CoronaCHEK SARS-CoV-2 Lateral Flow Antibody Assay by  
2 Geographic Origin of Samples  
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29 Running Title: Variation in SARS-CoV-2 antibody test by country

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31 Conflict of Interest: None to declare

32

33 Funding: Support was provided by the Division of Intramural Research, National Institute of

34 Allergy and Infectious Diseases (NIAID), National Institutes of Health (NIH), as well as by

35 extramural support from NIAID UM1-AI068613 for supporting R.E.F.; and NIH Center of

36 Excellence in Influenza Research and Surveillance HHSN272201400007C to R.E.R.

37

38 Acknowledgements: We acknowledge all of the participants who contributed specimens to this

39 study and the study staff without whom this study would not have been possible.

40 **Abstract:**

41 **Background:** We assessed the performance of CoronaCHEK lateral flow assay on samples from  
42 Uganda and Baltimore to determine the impact of geographic origin on assay performance.

43 **Methods:** Serum samples from SARS-CoV-2 PCR+ individuals (Uganda: 78 samples from 78  
44 individuals and Baltimore: 266 samples from 38 individuals) and from pre-pandemic individuals  
45 (Uganda 1077 and Baltimore 532) were evaluated. Prevalence ratios (PR) were calculated to  
46 identify factors associated with a false-positive test.

47 **Results:** After first positive PCR in Ugandan samples the sensitivity was: 45% (95% CI 24,68) at  
48 0-7 days; 79% (95%CI 64,91) 8-14 days; and 76% (95%CI 50,93) >15 days. In samples from  
49 Baltimore, sensitivity was: 39% (95% CI 30, 49) 0-7 days; 86% (95% CI 79,92) 8-14 days; and  
50 100% (95% CI 89,100) 15 days post positive PCR. The specificity of 96.5% (95% CI 97.5,95.2) in  
51 Ugandan samples was significantly lower than samples from Baltimore 99.3% (95% CI  
52 98.1,99.8),  $p < 0.01$ . In Ugandan samples, individuals with a false positive result were more likely  
53 to be male (PR 2.04, 95% CI 1.03,3.69) or individuals who had a fever more than a month prior  
54 to sample acquisition (PR 2.87, 95% CI 1.12,7.35).

55 **Conclusions:** Sensitivity of the CoronaCHEK was similar in samples from Uganda and Baltimore.  
56 The specificity was significantly lower in Ugandan samples than in Baltimore samples. False  
57 positive results in Ugandan samples appear to correlate with a recent history of a febrile illness,  
58 potentially indicative of a cross-reactive immune response in individuals from East Africa.

## 59 INTRODUCTION

60 Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infection causes  
61 coronavirus disease 2019 (COVID-19) (1), which has been detected on all continents and  
62 continues to be a public health emergency globally (2). Critical to public health efforts to  
63 combat the pandemic are accurate serologic assays to differentiate exposed from unexposed  
64 individuals (3). Many studies investigate the performance of these assays on samples from Asia  
65 (4), Western Europe (5), and the United States (6). However, little information is available on  
66 the performance of these assays in an African setting, though initial studies provide evidence of  
67 potential problems (7), particularly among febrile patients infected by other infectious  
68 pathogens (8).

69 Serologic assays used for the detection of antibodies to different viral infections can  
70 vary in performance based on the origin of the samples being tested, as has been seen in HIV  
71 (9), HCV (10), and HSV-2 (11). It is thought that these differences in specificity result from host  
72 genetics of the source population and the frequency and distribution of the infectious agents  
73 exposed to the population (12). We sought to compare the performance of the CoronaCHEK  
74 Lateral Flow Assay (LFA) on samples from Uganda and the United States to assess the impact of  
75 geographic origin on the performance of this assay. Samples from known SARS-CoV-2 infected  
76 individuals with known duration of infection and pre-pandemic samples were tested to  
77 evaluate the sensitivity and specificity of the assay and to identify factors associated with a  
78 false positive result.

79

## 80 METHODS

81 **Ethics statement:**

82           The use of samples from Baltimore was approved by The Johns Hopkins University  
83 School of Medicine Institutional Review Board (IRB00247886, IRB00250798, and IRB00091667).  
84 The use of samples from Uganda was approved by the Uganda Virus Research Institute's  
85 Research Ethics Committee (GC/127/20/04/773, GC/127/13/01/16), Western Institutional  
86 Review Board, protocol 200313317 and the Uganda National Council for Science and  
87 Technology (HS637ES). The parent studies were conducted according to the ethical standards of  
88 the Helsinki Declaration of the World Medical Association, where all subjects provided written  
89 informed consent. All samples were de-identified prior to testing.

90

91 **Sample sets:**

92           To assess sensitivity, samples from subjects known to be SARS-CoV-2 PCR+ from Uganda  
93 and the United States with known duration from first PCR+ date were evaluated. Samples from  
94 78 PCR+ individuals at different time intervals were identified at the Uganda Virus Research  
95 Institute in Entebbe, and Makerere University in Kampala, Uganda. None of the Ugandan  
96 individuals were hospitalized and all had mild disease. Samples (n=266) from the United States  
97 were from 38 hospitalized COVID-19 patients, attending the Johns Hopkins Hospital in  
98 Baltimore, Maryland in the United States (13).

99           To assess the specificity of the assay, pre-pandemic samples were tested. This included  
100 1077 stored samples from the Rakai Community Cohort Study, collected between 2011 and  
101 2013 (14). The Ugandan samples included 543 individuals who reported having been febrile  
102 within the month prior to sample acquisition and 534 individuals who did not report a febrile

103 illness, matched by age and gender. The 532 pre-pandemic samples from the US were remnant  
104 CBC samples collected from Johns Hopkins Hospital Emergency Department (JHH ED) patients  
105 collected between December 2015 and January 2016 (15).

106

#### 107 **Laboratory Testing and Statistical Analysis:**

108 All samples were analyzed with the CoronaCHEK LFA (Hangzhou Biotest Biotech Co Ltd)  
109 according to the manufacturer's protocol. Sensitivity by duration of infection and specificity  
110 among pre-pandemic samples were assessed for the presence of either IgM or IgG bands for  
111 any reactivity. Statistical analysis was performed with STATA 14.2 (Statacorp College Station,  
112 Texas, USA), and 95% confidence intervals (95% CI) for sensitivity and specificity were  
113 calculated with the Clopper-Pearson exact method. Bivariate Poisson regression models were  
114 used to calculate prevalence ratios (PR) for factors associated with a false-positive test among  
115 pre-pandemic samples.

116

#### 117 **RESULTS**

118 There were significant differences in the performance for the CoronaCHEK LFA between  
119 samples from Uganda and Baltimore (**Table 1**). When comparing any reactivity (IgM or IgG)  
120 there was no significant difference in reactivity by duration of infection. Though 100% of  
121 samples from Baltimore were seropositive by 14 days after their first time point, this was not  
122 the case for the Ugandan samples. Specificity, when considering any reactive band as a false  
123 positive result, was significantly lower in Ugandan samples at 96.9% (CI 95.2, 97.5) than in those  
124 from Baltimore, 99.3% (CI 98.1, 99.8),  $p < 0.01$ . When limited to Ugandan samples collected

125 from individuals with no reported febrile illness in the month prior to sample collection  
126 (n=500), the specificity was still significantly lower 96.8% (CI 95.0,98.1) than in those samples  
127 from Baltimore,  $p < 0.05$ .

128         There were four and 38 false positive results in Baltimore pre-pandemic samples and  
129 Ugandan samples, respectively. All four from Baltimore were all faint IgM bands while 82%  
130 (31/38) of the false positive samples from Uganda had only reactive IgM bands. Of the seven  
131 pre-pandemic Ugandan samples that were IgG reactive, two were also reactive for IgM.  
132 Ugandan samples were significantly more likely to misclassify if they came from men (PR 2.04,  
133 95% CI 1.03, 3.69,  $p = 0.04$ ) or the individual had reported fever more than a month prior to  
134 sample collection (PR 2.87, 95% CI 1.12, 7.35,  $p = 0.028$ ). There was a trend to test positive if  
135 they had reported pneumonia-like symptoms (PR 2.34, 95% CI 0.98, 5.59,  $p = 0.056$ ). Other  
136 factors not associated with a false positive result included age, community type, and HIV status  
137 (**Table 2**). There were too few misclassified samples from Baltimore to assess factors  
138 associated with misclassification within this population.

139

## 140 **DISCUSSION**

141         This study demonstrates differential performance of the CoronaCHEK LFA on samples  
142 collected from Uganda compared to those collected from Baltimore. Though sensitivity for both  
143 IgG and IgM in samples from Baltimore was 100% by 14 days after the subjects first PCR+ date,  
144 unlike samples from Uganda, this difference was not significantly different. Specificity was  
145 significantly lower in the Ugandan pre-pandemic samples compared to those from Baltimore,  
146 though this difference was all associated with the IgM band. False positive results in Ugandan

147 samples were higher among men and those who had reported a febrile episode more than a  
148 month prior to sample acquisition. Of the false positive results detected, the vast majority  
149 were IgM reactivity.

150         These results demonstrate that the performance characteristics of serological assays for  
151 SARS-CoV-2 antibody detection cannot be extrapolated to different populations without  
152 adequate validation studies. This study supports the need for validation studies on SARS-CoV-2  
153 serologic assays in Africa, an area where little data exists (16). Though a lower specificity was  
154 found in Ugandan samples than those from Baltimore, the specificity of 96.5% was much  
155 greater than the 85% found for the Euroimmun IgG S1 ELISA in pre-pandemic samples from  
156 Benin (8). As shown in the study by Mboumba Bouassa (7), our study demonstrated that the  
157 main cause for false positive results was a reactive IgM test. If one ignores the presence of an  
158 IgM band, the specificity of the CoronaCHEK increased to 99.4% (95% CI 98.7, 99.7) for Ugandan  
159 samples and 100% (95% CI 99.3, 100) in Baltimore samples, with no loss of sensitivity at 14 days  
160 post first positive PCR for SARS-CoV-2.

161         There are a number of limitations of our study. First, the samples from Uganda of SARS-  
162 CoV-2 infected patients were limited, with only six samples within the first week post first PCR  
163 positive test and no serial samples for a given individual. Additionally, these samples from  
164 known infected Ugandan individuals had limited symptoms, while the Baltimore samples from  
165 known SARS-CoV-2 positive individuals were all hospitalized subjects. The pre-pandemic  
166 samples from Baltimore were not matched to those from Uganda based on symptomology,  
167 though historically, individuals attending the ED in the United States have a high prevalence of  
168 fever and viral infections (17). Samples from the JHH ED do have a high burden of chronic viral



169 infections, as demonstrated by a seroprevalence of 6%, 12% and 50% for HIV, HCV and HSV-2  
170 respectively (18).

171 In summary, the geographical origin of the samples appeared to impact the  
172 performance of the CoronaCHEK LFA. IgM reactivity was the main cause for the false positive  
173 results. Given that IgM responses generally appear a couple days before IgG, it may be useful  
174 not to measure IgM at all in serological studies given the improvement in specificity. Further  
175 evaluations of serologic assays are needed to find appropriate tools for sero-surveillance in an  
176 African setting.

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- 251

**Table 1.** Sensitivity and Specificity of CoronaCHEK Lateral Flow Point of Care Assay for the Detection of IgM and IgG Antibodies to SARS-CoV-2

<b>Sensitivity</b>	<b>Performance</b>		
	IgM % (95% CI)	IgG % (95% CI)	IgM or IgG % (95% CI)
<b>Uganda</b>			
≤ 7 days (n=22)	41% (21 - 64)	41% (21 - 64)	45% (24 - 68)
>7 to 14 days (n=39)	74% (58 - 87)	49% (32 - 87)	79% (64 - 91)
>14 – 28 days (n=17)	41% (18 - 67)	65% (38 - 86)	76% (50 - 93)
<b>Baltimore</b>			
≤ 7 days (n=102)	34% (25 – 44)	21% (13 – 30)	39% (30 – 49)
>7 to 14 days (n=132)	82% (74 – 88)	75% (67 – 82)	86% (79 – 92)
>14 – 28 days (n=32)	100% (89 – 100)	100% (89 – 100)	100% (89 – 100)
<b>Specificity</b>			
Uganda (n=1077)	96.9% (95.7 - 97.9)	99.4% (98.7 - 99.7)	96.5% (95.2 - 97.5)
Baltimore (n=532)	99.3% (98.1 – 99.8)	100% (99.3 - 100)	99.3% (98.1 - 99.8)

**Table 2.** Factors associated with a false positive SARS-CoV-2 antibody response in samples from Uganda.

Defining Category	Outcome: SAR-CoV-2 Antibody Positive	
	% (n/N)	PR (95% CI)
<b><i>Categorical variables</i></b>		
Sex		
<i>Female</i>	2.7% (20/737)	Ref.
<i>Male</i>	5.3% (18/340)	2.06 (1.03, 3.69)
Age		
<i>18-24</i>	3.1% (10/327)	Ref.
<i>25-34</i>	4.3% (19/439)	1.42 (0.66, 3.04)
<i>35-44</i>	2.7% (7/260)	0.88 (0.34, 2.31)
<i>45-54</i>	3.9% (2/61)	1.28 (0.28, 5.85)
Community Type		
<i>Agrarian</i>	3.2% (14/436)	Ref.
<i>Fishing</i>	5.1% (19/372)	1.59 (0.80, 3.17)
<i>Trading</i>	1.9% (5/269)	0.58 (0.21, 1.61)
Pregnancy (no males in analysis)		
<i>Not pregnant</i>	2.5% (8/318)	Ref.
<i>Pregnant</i>	2.9% (12/419)	1.14 (0.47, 2.78)
Fever < 1 mo		
<i>No</i>	3.2% (17/534)	Ref.
<i>Yes</i>	3.9% (21/543)	1.21 (0.64, 2.30)
Fever > 1 mo		
<i>No</i>	3.2% (33/1,023)	Ref.
<i>Yes</i>	9.3% (5/54)	2.87 (1.12, 7.35)
Cough		
<i>No</i>	3.3% (27/825)	Ref.
<i>Yes</i>	4.4% (11/252)	1.33 (0.66, 2.69)
Pneumonia		
<i>No</i>	3.2% (32/997)	Ref.
<i>Yes</i>	7.5% (6/80)	2.34 (0.98, 5.59)
HIV Status		
<i>Negative</i>	3.4% (21/618)	Ref.
<i>Positive</i>	3.7% (17/459)	1.09 (0.58, 2.07)