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# Prediction of long-term mortality by using machine learning models in Chinese patients with connective tissue disease-associated interstitial lung disease

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## Abstract

**Background:** The exact risk assessment is crucial for the management of connective tissue disease-associated interstitial lung disease (CTD-ILD) patients. In the present study, we develop a nomogram to predict 3- and 5-year mortality by using machine learning approach and test the ILD-GAP model in Chinese CTD-ILD patients.

**Methods:** CTD-ILD patients who were diagnosed and treated at the First Affiliated Hospital of Zhengzhou University were enrolled based on a prior well-designed criterion between February 2011 and July 2018. Cox regression with the least absolute shrinkage and selection operator (LASSO) was used to screen out the predictors and generate a nomogram. Internal validation was performed using bootstrap resampling. Then, the nomogram and ILD-GAP model were assessed via likelihood ratio testing, Harrell's C index, integrated discrimination improvement (IDI), the net reclassification improvement (NRI) and decision curve analysis.

**Results:** A total of 675 consecutive CTD-ILD patients were enrolled in this study, during the median follow-up period of 50 (interquartile range, 38–65) months, 158 patients died (mortality rate 23.4%). After feature selection, 9 variables were identified: age, rheumatoid arthritis, lung diffusing capacity for carbon monoxide, right ventricular diameter, right atrial area, honeycombing, immunosuppressive agents, aspartate transaminase and albumin. A predictive nomogram was generated by integrating these variables, which provided better mortality estimates than ILD-GAP model based on the likelihood ratio testing, Harrell's C index (0.767 and 0.652 respectively) and calibration plots. Application of the nomogram resulted in an improved IDI (3- and 5-year, 0.137 and 0.136 respectively) and NRI (3- and 5-year, 0.294 and 0.325 respectively) compared with ILD-GAP model. In addition, the nomogram was more clinically useful revealed by decision curve analysis.

**Conclusions:** The results from our study prove that the ILD-GAP model may exhibit an inapplicable role in predicting mortality risk in Chinese CTD-ILD patients. The nomogram we developed performed well in predicting 3- and 5-year mortality risk of Chinese CTD-ILD patients, but further studies and external validation will be required to determine the clinical usefulness of the nomogram.

**Keywords:** Interstitial lung disease, Connective tissue disease, Nomogram, ILD-GAP, Machine learning, LASSO

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## Background

Connective tissue disease (CTD) which consists of many autoimmune mechanisms is characterized by self-directed inflammation often leading to collagen deposition, tissue damage and ultimately target organs failure [1]. CTD could involve multiple organs and systems, among which interstitial lung disease (ILD) remains a main cause of morbidity and mortality [2]. The median survival time for patients with CTD-associated ILD (CTD-ILD) was reported to be around 6.5 years, and up to 12.4% of patients with CTD-ILD die of ILD [3, 4]. Thus, the exact risk assessment is crucial for the management of CTD-ILD patients.

The risk prediction of CTD-ILD remains challenging, due to the heterogeneity in patient-specific and disease-specific variables. The ILD-gender-age-physiology (ILD-GAP) model is a multidimensional mortality risk prediction model composed by the ILD diagnosis, sex, age, the percent predicted values of forced vital capacity (FVC %Predicted) and the percent predicted values of diffusion capacity of lung for carbon monoxide (DLco %Predicted). Since the ILD-GAP model was firstly established by Christopher J. Ryerson et al. based on North America population, it was widely used to predict mortality across all chronic ILD subtypes, including CTD-ILD [5]. However, the ILD-GAP model has not been validated in Chinese CTD-ILD patients. Therefore, more inclusive studies are needed to validate and improve the prediction accuracy of the existing assessment model.

We performed this study to establish a comprehensive predictive nomogram by using machine learning algorithms, involving demographic characteristics, clinical features, echocardiography, laboratory testing as well as imageological examination. Furthermore, we also validated whether the combination of the nomogram and ILD-GAP model could generate a superior prognostic performance.

## Methods

### Patients

CTD-ILD patients who were diagnosed and treated at the First Affiliated Hospital of Zhengzhou University were enrolled based on a prior well-designed criterion between February 2011 and July 2018. The patients would be included if they met four of the following inclusion criteria: (1) Patients were diagnosed CTD-ILD recommended by the American Rheumatism Association and the American College of Rheumatology [6–12], including polymyositis/dermatomyositis (PM/DM), systemic lupus erythematosus (SLE), systemic sclerosis (SSc), ankylosing spondylitis (AS), sjogren syndrome (SS), mixed connective tissue disease (MCTD), rheumatoid arthritis (RA), undifferentiated connective tissue disease (UCTD) and

overlap syndromes (OCTD). UCTD patients should also followed the diagnostic criteria for UCTD-ILD established by the previous research [13]; (2) having clinical symptoms (dyspnea or cough); (3) having signs suggestive of ILD (endinspiratory bibasilar crepitations); (4) having radiographic signs (honeycombing, ground-glass opacities, nodular or reticulonodular) of ILD confirmed by high-resolution computed tomography (HRCT). The patients would be excluded if they met one of the following exclusion criteria: (1) Age younger than 18 years; (2) pregnancy; (3) lossing to follow-up; (4) incomplete clinical records. This study received the Institutional Review Board approval by the First Affiliated Hospital of Zhengzhou University (2019-KY-116).

### Data collection

Demographic variables were extraction from medical chart review, including age, sex, occupation, smoking history, days of symptoms, medication treatment history, chronic disease history (diabetes and hypertension), CTD types, PFTs, echocardiography, laboratory data (routine inflammatory, hematological and biochemical parameters) and chest HRCT.

The collected PFTs data included FVC %Predicted, the percent predicted values of forced expiratory volume in one second ( $FEV_1$ %Predicted),  $FEV_1/FVC$  and DLco %Predicted.

The collected echocardiography data included right ventricular diameter (RVD), right atrial area (RAA), left ventricular diameter, aortic annulus diameter, left atrial diameter, ascending aortic diameter, pulmonary artery diameter, pulmonary artery systolic pressure (PASP), aortic valve regurgitation peak velocity, tricuspid regurgitant peak velocity and left ventricular ejection fraction (LVEF).

The collected laboratory data included klebs von den Lungen-6, procalcitonin, complement component C4, complement component C3, C-reactive protein (CRP), erythrocyte sedimentation rate, leukocyte count, platelet count, hemoglobin count, erythrocyte count, hematocrit, blood urea nitrogen, B-type natriuretic peptide (BNP), uric acid, creatinine, fasting blood glucose, aspartate transaminase (AST), alanine aminotransferase,  $\gamma$ -Glutamyltranspeptidase (GGT), alkaline phosphatase, total protein, albumin (ALB), globulin, triglyceride, prothrombin time, cholesterol, activated partial thromboplastin time, prothrombin time activity, thrombin time, international normalized ratio, fibrinogen and D-dimer.

HRCT images were reviewed independently by 2 expert thoracic radiologists, who were kept blinded for patients' diagnosis. Images were re-evaluated till reaching a consensus when divergence occurred. The collected HRCT characteristics included honeycombing,

ground-glass opacities, nodular, fine reticular opacities, local pleural thickening, pulmonary bullous, hydrothorax and hydropericardium.

### Follow-up and study outcome

All-cause mortality was the endpoint during follow-up until July 2021. Patients' follow-up were performed by contacting with patients or their family through mobile phone.

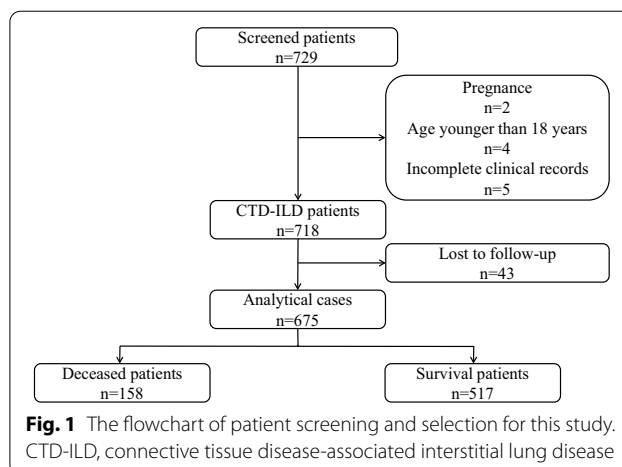
### Statistical analyses

Analyses were performed with the R programming language (R Core Team, online, 2021; version 4.1.0). Mean  $\pm$  standard deviation (SD) was used to present continuous normal distributed variables, median (Interquartile Range, IQR) was used to present non-normal distributed parameters. The student *t*-test was applied to the comparison of normal distribution random variables. Wilcoxon signed-rank test was applied to comparison non-normal distribution variables. Besides, a Chi-square test and fisher exact test were employed for comparing categorical data. First, multiply-imputed by chained equations was conducted to impute covariates by using the "mice" package in R. Second, the method least absolute shrinkage and selection operator (LASSO) was done to avoid overfitting by using the "glmnet" package, and we tuned lambda ( $\lambda$ ) by a tenfold cross-validation (CV) method by using the "cv.glmnet" function from the "glmnet" R package. Then, the Cox regression analysis was used to assess the significance of remained predicted factors in mortality by using the function "coxph" in the R package "survival", and the prognostic nomogram was established by multivariable Cox regression coefficients based on package "rms". Finally, the calibration plot of internal validation was conducted via a bootstrap method with 1000 resamples, by the "rms" R package, specifying the parameter "method="boot", B=1000", from the training set ( $n=1000$ ). The predicted performance of the established nomogram and the ILD-GAP model was compared with Harrell's C index ("survival" R package), likelihood ratio testing ("lrtest" function in R package "lrmtest"), a continuous version of the net reclassification improvement (NRI) and integrated discrimination improvement (IDI) (R package "survC1" and "survIDINRI"). Additionally, the decision curve analysis (DCA) was performed using the source file "stdca.R". *P*-values (*P*) less than 0.050 were considered statistically significant.

## Results

### Patient characteristics

The process of patient screening is illustrated in Fig. 1. After excluding the patients with younger than 18 years



( $n=4$ ), pregnancy ( $n=2$ ), much missing data ( $n=5$ ) and loss of follow-up ( $n=43$ ), a total of 675 patients eventually entered into the study. There were no significant deviations between the enrolled patients and patients were lost to follow-up in age, gender, occupation, smoking history, days of symptoms, medication treatment history, chronic disease history, pulmonary function test (PFTs) and HRCT (all  $P>0.050$ ). Therefore, excluding the patients with loss of follow-up may not affect the overall results in our study (Table 1).

In this study, the mean age of the cases was  $54 \pm 12$  years (23.7% of male and 11.1% of ever smokers), and the median follow-up period was 50 months (interquartile range, 38–65). The disease subtypes comprise mainly polymyositis/dermatomyositis (29.8%), systemic lupus erythematosus (8.3%), systemic sclerosis (13.3%), ankylosing spondylitis (0.1%), sjogren syndrome (14.4%), mixed connective tissue disease (5.9%), rheumatoid arthritis (RA) (7.4%), undifferentiated connective tissue disease (15.3%) and overlap syndromes (5.5%). 158 patients died during the follow-up period, the 3- and 5-year mortality were 17.1% (95% confidence interval (CI) 14.2–19.8%) and 24.5% (95% CI 20.9–28.0%), respectively (Fig. 2). As compared with survival patients, deceased patients were significantly more likely to be older, males, ever smokers, farmers and treated without immunosuppressive drugs (all  $P<0.050$ ). Patients with RA had the highest mortality compared to the other CTD subtypes ( $P<0.001$ ). Deceased patients were also more likely to have lower the percent predicted values of diffusion capacity of lung for carbon monoxide (DLco %Predicted) and left ventricular ejection fraction (LVEF), larger right atrial area (RAA), and higher pulmonary artery systolic pressure (PASP) (all  $P<0.050$ ). In addition, when presenting with honeycombing, fine reticular opacities, local pleural thickening, pulmonary bullous,

**Table 1** Clinical characteristics of CTD-ILD patients

| Characteristics                          | Lost to follow-up | All         | P* value | Patients survived | Patients died | P# value |
|--|-------------------|-------------|----------|-------------------|---------------|----------|
| No. of patients                          | N = 43            | N = 675     |          | N = 517           | N = 158       |          |
| Age, years                               | 58 ± 11           | 54 ± 12     | 0.07     | 52.7 ± 12.1       | 59.1 ± 12.0   | < 0.001  |
| Male, n (%)                              | 10 (23.3)         | 160 (23.7)  | 1        | 112 (21.7)        | 48 (30.4)     | 0.032    |
| Farmer, n (%)                            | 25 (58.1)         | 360 (53.3)  | 0.649    | 261 (50.5)        | 99 (62.7)     | 0.010    |
| Ever smoker, n (%)                       | 2 (4.7)           | 75 (11.1)   | 0.283    | 48 (9.3)          | 27 (17.1)     | 0.010    |
| CTD types, n (%)                         |                   |             |          |                   |               |          |
| PM/DM                                    | 5 (11.6)          | 201 (29.8)  | 0.017    | 162 (31.3)        | 39 (24.7)     | 0.133    |
| SS                                       | 8 (18.6)          | 97 (14.4)   | 0.590    | 77 (14.9)         | 20 (12.7)     | 0.568    |
| SSc                                      | 7 (16.3)          | 90 (13.3)   | 0.751    | 71 (13.7)         | 19 (12.0)     | 0.675    |
| SLE                                      | 5 (11.6)          | 56 (8.3)    | 0.633    | 40 (7.7)          | 16 (10.1)     | 0.431    |
| RA                                       | 6 (14.0)          | 50 (7.4)    | < 0.001  | 25 (4.8)          | 25 (15.8)     | < 0.001  |
| AS                                       | 0 (0)             | 1 (0.1)     | 1        | 1 (0.2)           | 0 (0)         | 1        |
| MCTD                                     | 0 (0)             | 40 (5.9)    | 0.194    | 31 (6.0)          | 9 (5.7)       | 1        |
| UCTD                                     | 11 (25.6)         | 103 (15.3)  | 0.114    | 81 (15.7)         | 22 (13.9)     | 0.684    |
| OCTD                                     | 1 (2.3)           | 37 (5.5)    | 0.586    | 29 (5.6)          | 8 (5.1)       | 1        |
| Other complications, n (%)               |                   |             |          |                   |               |          |
| Hypertension                             | 11 (25.6)         | 146 (21.6)  | 0.676    | 110 (21.5)        | 36 (22.8)     | 0.770    |
| Diabetes                                 | 2 (4.7)           | 58 (8.6)    | 0.534    | 46 (8.9)          | 12 (7.6)      | 0.727    |
| Days of symptoms, months                 | 6 (2–24)          | 9 (2–36)    | 0.054    | 6 (2–24)          | 9 (2–36)      | 0.054    |
| Baseline lung function                   |                   |             |          |                   |               |          |
| FVC, %Predicted                          | 78.3 ± 15.9       | 80.2 ± 20.6 | 0.363    | 80.5 ± 20.1       | 79.3 ± 22.0   | 0.553    |
| FEV <sub>1</sub> , %Predicted            | 80.0 ± 14.3       | 79.8 ± 21.5 | 0.940    | 80.0 ± 21.1       | 78.8 ± 22.9   | 0.556    |
| FEV <sub>1</sub> /FVC, %                 | 85.2 ± 8.4        | 82.4 ± 10.3 | 0.196    | 82.6 ± 9.4        | 81.7 ± 12.8   | 0.384    |
| DLco, %Predicted                         | 60.7 ± 13.6       | 52.6 ± 20.8 | 0.076    | 54.7 ± 20.2       | 45.9 ± 21.5   | < 0.001  |
| Echocardiography                         |                   |             |          |                   |               |          |
| RAA, cm <sup>2</sup>                     | 12.9 ± 1.8        | 13.2 ± 3.4  | 0.398    | 12.6 ± 2.1        | 15.1 ± 5.4    | < 0.001  |
| LVEF, %                                  | 63.4 ± 2.9        | 63.0 ± 4.0  | 0.366    | 63.3 ± 3.5        | 61.8 ± 5.3    | 0.001    |
| PASP, mmHg                               | 28.6 ± 15.9       | 27.6 ± 11.5 | 0.781    | 26.1 ± 7.7        | 32.4 ± 18.5   | < 0.001  |
| Image charater, n (%)                    |                   |             |          |                   |               |          |
| Honeycombing                             | 3 (7.0)           | 53 (7.9)    | 1        | 30 (6.8)          | 23 (14.6)     | 0.001    |
| Fine reticular opacities                 | 14 (32.6)         | 223 (33.0)  | 1        | 160 (30.9)        | 63 (39.9)     | 0.046    |
| Diffuse bilateral ground-glass opacities | 39 (90.7)         | 623 (92.3)  | 0.932    | 477 (92.3)        | 146 (92.4)    | 1        |
| Local Pleural thickening                 | 24 (55.8)         | 369 (54.7)  | 0.748    | 268 (51.8)        | 101 (63.9)    | 0.010    |
| Pulmonary bullous                        | 9 (20.9)          | 108 (16.0)  | 0.525    | 74 (14.3)         | 34 (21.5)     | 0.042    |
| Hydrothorax                              | 3 (7.0)           | 75 (11.1)   | 0.554    | 47 (9.1)          | 28 (17.7)     | 0.004    |

**Table 1** (continued)

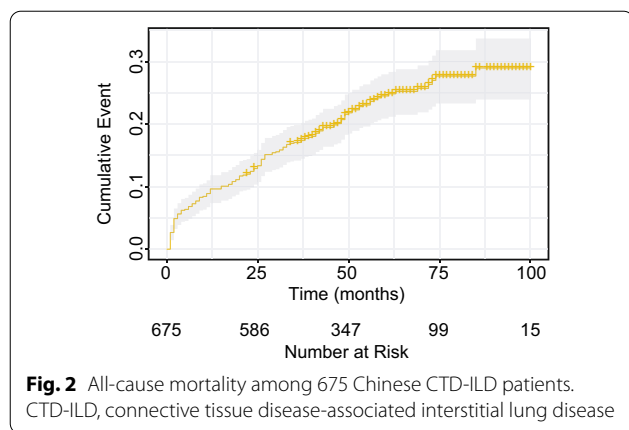
| Characteristics          | Lost to follow-up | All        | P* value | Patients survived | Patients died | P# value |
|--------------------------|-------------------|------------|----------|-------------------|---------------|----------|
| Hydropericardium         | 3 (7.0)           | 82 (12.1)  | 0.439    | 52 (10.1)         | 30 (19.0)     | 0.004    |
| Small pulmonary nodules  | 5 (11.6)          | 137 (20.3) | 0.289    | 111 (21.5)        | 26 (16.5)     | 0.208    |
| Treatment, n (%)         |                   |            |          |                   |               |          |
| Immunosuppressive agents | 20 (46.5)         | 364 (53.9) | 0.431    | 303 (58.6)        | 61 (38.6)     | < 0.001  |
| Glucocorticoids          | 41 (95.3)         | 608 (90.1) | 0.384    | 464 (89.7)        | 144 (91.1)    | 0.719    |
| Pirfenidone              | 0 (0.0)           | 61 (9.0)   | 0.075    | 48 (9.3)          | 13 (8.2)      | 0.805    |
| Follow-up time, months   |                   | 50 (38–65) |          | 56 (44–69)        | 20.5 (4–38)   | < 0.001  |

CTD connective tissue disease, PM/DM polymyositis/dermatomyositis, SS sjogren syndrome, SSC systemic sclerosis, RA rheumatoid arthritis, SLE systemic lupus erythematosus, AS ankylosing spondylitis, MCTD mixed connective tissue disease, UCTD undifferentiated connective tissue disease, OSTD overlap syndromes, FVC forced vital capacity, FEV<sub>1</sub> forced expiratory volume in one second, DLco carbon monoxide diffusion capacity, RAA right atrial area, LVEF left ventricular ejection fraction, PASP pulmonary artery systolic pressure

\*Comparison of the performance of lost to follow-up patients and all analytical patients for clinical characteristics

# Comparison of the performance of survival patients and deceased patients for clinical characteristics

Data are presented as means ± SD, numbers (%) or median (Interquartile Range)



hydrothorax and hydropericardium on chest HRCT, most CTD-ILD patients are more exposed to the risk of dying (all  $P < 0.050$ ) (Table 1).

### Model derivation

A total of 74 prognostic indicators were included in this study. First, we reduced the dimension and picked the most meaningful prognostic indicators by LASSO Cox regression penalty. Subsequently, a tenfold cross-validation of the lasso model was performed for tuning parameter selection via the minimum criteria (Fig. 3A). The trajectory of each prognostic indicators coefficient was observed in the LASSO coefficient profiles with the changing of the log-transformed lambda in LASSO algorithm (Fig. 3B).

Finally, the optimal lambda value was 0.052 (log (lambda) was  $-2.950$ ) by using the LASSO algorithm and 14 variables were selected as potential prognosis-related indicators, including age, RA, Dlco %Predicted, right ventricular diameter (RVD), RAA, PASP, LVEF, honeycombing, C-reactive protein (CRP), B-type natriuretic peptide (BNP), aspartate transaminase (AST),  $\gamma$ -Glutamyltranspeptidase (GGT), albumin (ALB) and immunosuppressive agents. Univariable analysis showed that increased age (hazard ratio (HR) 1.041, 95% CI 1.027–1.055), RVD (HR 1.027, 95% CI 1.017–1.038), RAA (HR 1.122, 95% CI 1.093–1.151), PASP (HR 1.025, 95% CI 1.017–1.034), CRP (HR 1.005, 95% CI 1.002–1.008), BNP (HR 1.000, 95% CI 1.000–1.000), AST (HR 1.003, 95% CI 1.002–1.1005), GGT (HR 1.002, 95% CI 1.001–1.1003) and a lower DLCO %Predicted (HR 0.982, 95% CI 0.975–0.990), LVEF (HR 0.949, 95% CI 0.926–0.973), ALB levels (HR 0.936, 95% CI 0.914–0.959) correlated with increased mortality (all  $P < 0.001$ ). Patients with RA (HR 2.292, 95% CI 1.539–3.413,  $P < 0.001$ ) and honeycombing (HR 2.167, 95% CI 1.392–3.373,  $P = 0.001$ ) also had higher mortality. In addition, mortality declined in those patients receiving

immunosuppressive agents therapy (HR 0.506, 95% CI 0.367–0.697,  $P < 0.001$ ) (Table 2). Significant variables ( $P$  value  $< 0.050$ ) of the univariate analysis were entered into a multivariate Cox model, and showed that age, RA, Dlco %Predicted, RVD, RAA, honeycombing, immunosuppressive agents, AST, ALB affected overall mortality significantly (all  $P < 0.050$ ) (Table 2). According to multivariable Cox regression analysis, 9 independent variables were enrolled in nomogram for prognostic assessment (Fig. 4).

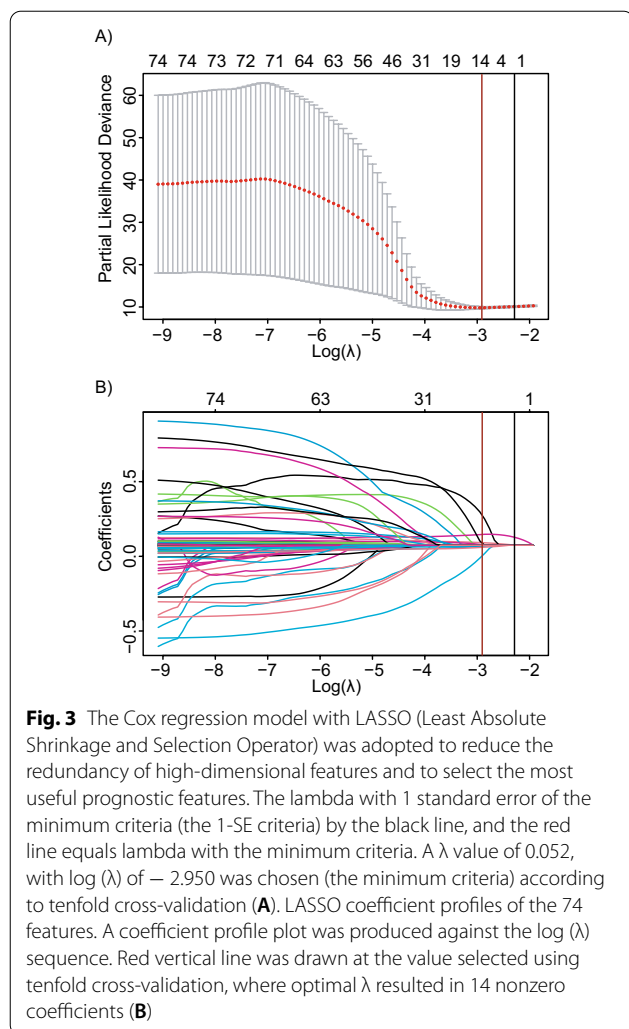
### Model validation

The ILD-GAP model exhibited increasing mortality rates in patients with higher scores by univariate variable Cox regression (HR 1.413, 95% CI 1.285–1.554,  $P < 0.001$ ; Table 2). However, the ILD-GAP model did not perform well in predicting mortality (Harrell's C index 0.652), and calibration plots showed that 3- and 5-year predicted survival rates were overestimated (Fig. 5A, B).

The nomogram exhibited a better prognostic performance (Harrell's C index 0.767) compared with the ILD-GAP model, because likelihood-ratio test indicated that there was a statistically significant improvement after the inclusion of nomogram in the ILD-GAP model ( $P < 0.001$ ), but no statistical difference after the inclusion of the ILD-GAP model in nomogram ( $P = 0.455$ ) (Table 3). Calibration plots for nomogram predicted 3- and 5-year overall survival showed good agreement with actual observations (Fig. 5C, D). The nomogram also improved the ability of discriminate 3-year (0.137 and 0.294, IDI and NRI respectively, all  $P < 0.001$ ) and 5-year (0.136 and 0.325, IDI and NRI respectively, all  $P < 0.001$ ) mortality rates compared to ILD-GAP model (Table 4). To substantiate the utility of the both models, we performed decision curve analysis. For the optimal decision threshold  $> 0\%$ , the nomogram showed a better net benefit than the ILD-GAP model for clinical intervention (Fig. 6A, B). In internal validation, the average Harrell's C index for the prediction models developed in the bootstrap sample was 0.876, and the estimate of optimism was  $-0.108$ .

### Discussion

The ILD-GAP model was derived and validated in a Western cohort but has not been validated in Chinese population to date, its ability to accurately define disease stage is partly debated [14–16]. In order to eliminate potentially racial bias from the ILD-GAP model, we developed a nomogram for predicting 3- and 5-year mortality of Chinese CTD-ILD patients by using a machine learning approach and tested whether the combination of the nomogram and ILD-GAP model could generate a superior prognostic performance.



Multivariable analysis demonstrated that older age, RA, honeycombing, lower Dlco %Predicted and ALB, increased RVD, RAA and AST associated with higher mortality, but receiving immunosuppressive agents therapy correlated with reduced mortality. These independent risk factors can be supported by previous studies and theories. Age has been demonstrated to be an independent predictor of mortality in CTD-ILD by previous study, because older patients generally have more comorbidities and worse health status [16]. Among ILD, presenting usual interstitial pneumonia (UIP) on chest HRCT has a poor response to corticosteroids and a worse prognosis than other subtypes [17, 18]. Honeycombing occurs in up to 90% of UIP cases, and it is the most specific finding of UIP on chest HRCT [19]. Therefore, honeycombing is correlated with the prognosis of CTD-ILD patients to some extent. Gas exchange impairment is a common pathophysiological change at early stage of ILD, it typically presents as

reduction of Dlco [20]. Qiang Fu et al. reported that the percent predicted values of Dlco  $< 45\%$  is a risk factor for CTD-ILD prognosis [21]. A serum AST elevation and abnormal ALB can be caused by impaired heart, liver and kidney function due to CTD-ILD [22]. Long-term monitoring of serum AST and ALB can be an early warning signal before organ dysfunction occurs. Furthermore, the abnormal increase in AST and hypoalbuminemia have been shown to increase mortality in CTD-ILD patients [23–25]. Long-term hypoxia caused by gas exchange impairment may lead to an increase in pulmonary artery pressure and right ventricular afterload [26]. Right heart enlargement due to persistently increased afterload is a common cause of mortality in patients with ILD which is characterized by the increase of RVD and RAA [27]. In addition, glucocorticoid and immunosuppressive therapy are essential choices for CTD-ILD patients, and mortality can be reduced by the appropriate use immunosuppressive agents [2, 28–30]. ILD can complicate RA and it is associated with an excess in mortality [31]. Research has shown that nearly 10% of RA patient deaths were attributable to ILD. RA patients are more likely to die due to ILD compared to other CTD patients [2, 32].

We developed a nomogram by these independent mortality risk factors based on the multivariable analysis. In this nomogram, we assessed the association between predictor variables and time-to-event outcomes by LASSO-Cox method. Lasso is a machine learning algorithm that utilizes regularization to improve the estimation accuracy, it incorporates an L1-penalization term into the loss function forcing, which can shrink coefficients towards zero. Recently, LASSO-Cox method is popular by researchers, it could minimize overfitting and select predictors of nomogram [33].

In our cohort, the nomogram for Chinese CTD-ILD patients showed better discriminative ability, calibration and clinical net benefit compared with the ILD-GAP model. Despite the combination of the nomogram and ILD-GAP model was found to improve prognostic performance compared with the ILD-GAP model, it could not improve prognostic performance compared with the nomogram. Specifically, Harrell's C index and calibration curve of the nomogram showed a good concordance for prediction and actual mortality risk. The nomogram also improved the ability of discriminating mortality compared to ILD-GAP model confirmed by integrated discrimination improvement and net reclassification improvement. For decision threshold  $> 0\%$ , the nomogram showed a higher net benefit than the ILD-GAP model for clinical intervention in decision curve analysis. There are two results might explain why the ILD-GAP model is inferior to the nomogram in predicting prognosis of Chinese CTD-ILD patients. First, the

**Table 2** Risk factors for all-cause mortality in CTD-ILD

| Variables                | Unadjusted hazard ratio |         | Multivariable analysis |         |
|--------------------------|-------------------------|---------|------------------------|---------|
|                          | Hazard ratio (95% CI)   | P-value | Hazard ratio (95% CI)  | P-value |
| Age, years               | 1.041 (1.027–1.055)     | < 0.001 | 1.035 (1.020–1.050)    | < 0.001 |
| Subtypes of CTD          |                         |         |                        |         |
| RA                       | 2.292 (1.539–3.413)     | < 0.001 | 1.788 (1.162–2.750)    | 0.008   |
| Baseline lung function   |                         |         |                        |         |
| DLco, %Predicted         | 0.982 (0.975–0.990)     | < 0.001 | 0.984 (0.977–0.992)    | < 0.001 |
| Echocardiography         |                         |         |                        |         |
| RVD, mm                  | 1.027 (1.017–1.038)     | < 0.001 | 1.026 (1.012–1.039)    | < 0.001 |
| RAA, cm <sup>2</sup>     | 1.122 (1.093–1.151)     | < 0.001 | 1.058 (1.015–1.102)    | 0.007   |
| PASP, mmHg               | 1.025 (1.017–1.034)     | < 0.001 | 1.008 (0.994–1.023)    | 0.275   |
| LVEF, %                  | 0.949 (0.926–0.973)     | < 0.001 | 0.971 (0.943–1.001)    | 0.054   |
| Image charatern          |                         |         |                        |         |
| Honeycombing             | 2.167 (1.392–3.373)     | 0.001   | 1.847 (1.158–2.947)    | 0.010   |
| Treatment                |                         |         |                        |         |
| Immunosuppressive agents | 0.506 (0.367–0.697)     | < 0.001 | 0.631 (0.450–0.885)    | 0.008   |
| Serologic test           |                         |         |                        |         |
| CRP, mg/l                | 1.005 (1.002–1.008)     | < 0.001 | 1.002 (0.998–1.006)    | 0.231   |
| BNP, pg/ml               | 1.000 (1.000–1.000)     | < 0.001 | 1.000 (1.000–1.000)    | 0.792   |
| AST, U/l                 | 1.003 (1.002–1.005)     | < 0.001 | 1.003 (1.002–1.005)    | < 0.001 |
| GGT, U/l                 | 1.002 (1.001–1.003)     | < 0.001 | 1.001 (1.000–1.002)    | 0.129   |
| ALB, g/l                 | 0.936 (0.914–0.959)     | < 0.001 | 0.959 (0.932–0.987)    | 0.004   |
| ILD-GAP model            | 1.413 (1.285–1.554)     | < 0.001 |                        |         |

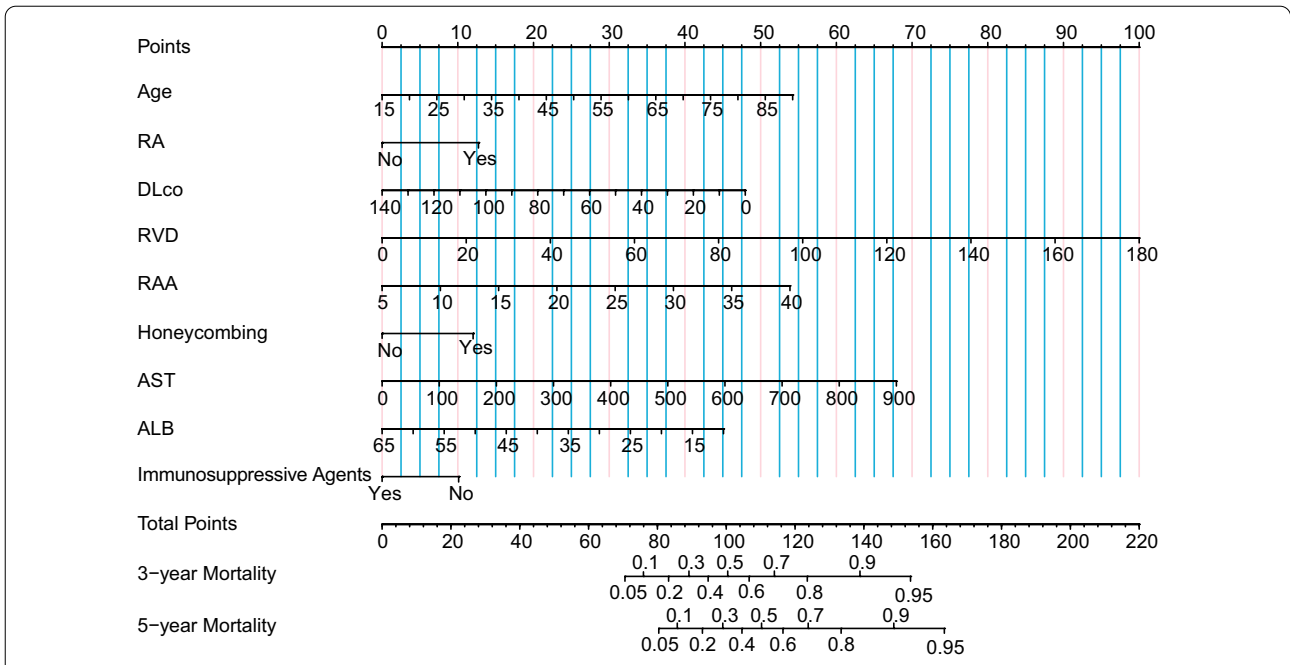
CTD connective tissue disease, RA rheumatoid arthritis, DLco carbon monoxide diffusion capacity, RVD right ventricular diameter, RAA right atrial area, PASP pulmonary artery systolic pressure, LVEF left ventricular ejection fraction, CRP C-reactive protein, BNP B-type natriuretic peptide, AST aspartate transaminase, GGT  $\gamma$ -Glutamyltranspeptidase, ALB albumin

ILD-GAP model was derived and validated in a Western cohort, there was no Chinese population involved. Thus, the risk of bias incurred from ethnic differences should also be considered. Second, the GAP risk prediction model was specifically developed for idiopathic pulmonary fibrosis (IPF) patients to prognosis prediction, from which the ILD-GAP model derived. However, the median survival time of IPF was much shorter compared to CTD-ILD [5, 34]. It is undeniable that the ILD-GAP model can provide important value for the treatment of CTD-ILD patients. To achieve the better predictable results, complex model seems necessary [35–37]. The clinical indicators included in this nomogram were routine and easily acquired data for most hospital which makes it applicable for daily clinical use. We strongly believe that the nomogram could be widely clinical referenced after cross-sectional and longitudinal validation and improvement.

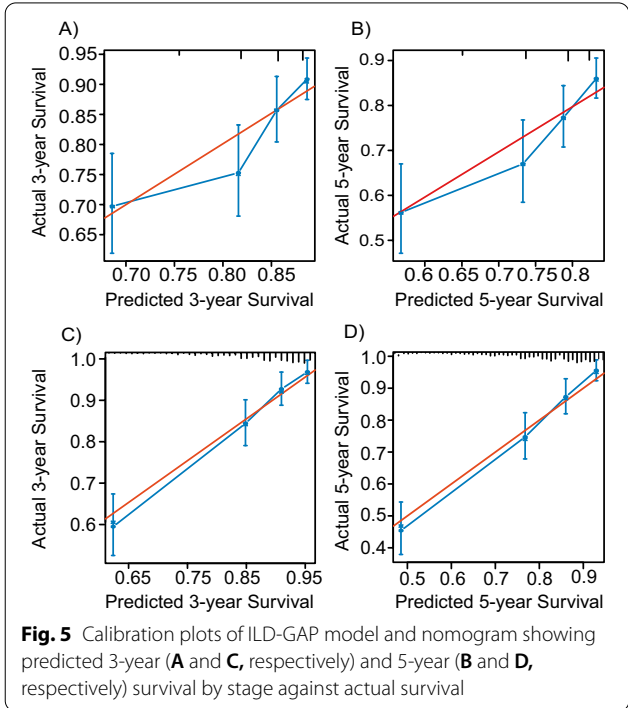
Our study featured some limitations. First, the nomogram was not subjected to external validation, therefore

caution is advised when employing it in a clinical framework. To the best of our knowledge, this is the first predictive model developed for predicting all-cause mortality of the Chinese population with CTD-ILD, we believe that an early report is urgent to provide a basis for future studies. Second, the disease categories were included as predictors in the nomogram instead of the serologic autoantibodies, because of the risk of collinearity. Third, The median survival time for CTD-ILD patients was reported to be around 6.5 years, but the median follow-up period was 50 (interquartile range, 38–65) months in our cohort. However, our study had a greater sample size and longer follow-up period than most of previous studies. Fourth, the nomogram and the ILD-GAP model were established by baseline characteristics, and longitudinal disease activity was not considered. Thus, omitted risk-associated trajectories of disease would likely have led to an underestimate of the true relation between CTD-ILD and mortality by the two above-mentioned models.





**Fig. 4** Nomogram predicting CTD-ILD mortality at 3 and 5 years. The nomogram was developed in the primary cohort, with age, rheumatoid arthritis (RA), the percent predicted values of diffusion capacity of lung for carbon monoxide (DLco %Predicted), right ventricular diameter (RVD), right atrial area (RAA), honeycombing, aspartate transaminase (AST), albumin (ALB) and immunosuppressive agents incorporated. The predicted mortality at 3 and 5 years is then obtained from each scale by referring to the corresponding value



**Fig. 5** Calibration plots of ILD-GAP model and nomogram showing predicted 3-year (A and C, respectively) and 5-year (B and D, respectively) survival by stage against actual survival

**Table 3** Comparison of nomogram and the ILD-GAP model

|                  | Nomogram | ILD-GAP model | Nomogram + ILD-GAPmodel |
|------------------|----------|---------------|-------------------------|
| Likelihood ratio | 156.78   | 48.39         | 157.34                  |
| P-value          | 0.455*   | < 0.001#      |                         |

\*Comparison of the performance of predicting overall mortality by using nomogram only and the combination of the nomogram and ILD-GAP model  
 # Comparison of the performance of predicting overall mortality by using ILD-GAP model only and the combination of the nomogram and ILD-GAP model

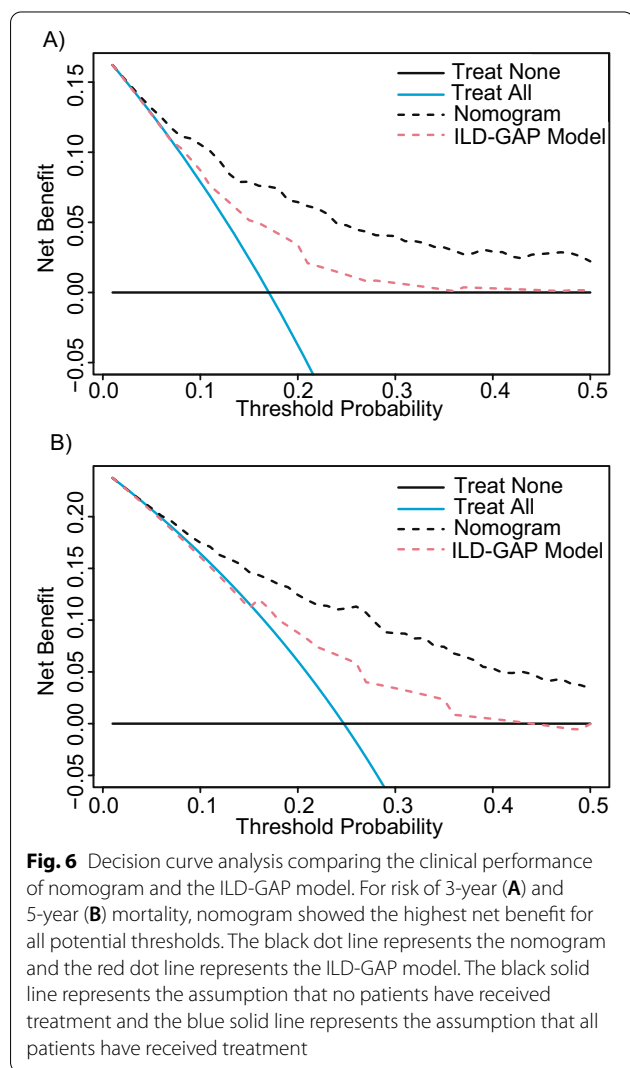
**Conclusions**

In conclusion, the ILD-GAP model performed poorly in predicted mortality of the Chinese patients with CTD-ILD. Our study developed a nomogram for predicting 3- and 5-year mortality of Chinese CTD-ILD patients by using a machine learning approach and performed well in predicting mortality risk.

**Table 4** Prediction improvement with nomogram compared to ILD-GAP model

|                  | IDI (95% CI)        | P-value | NRI-continuous (95% CI) | P-value |
|------------------|---------------------|---------|-------------------------|---------|
| 3-year mortality | 0.137 (0.092–0.184) | < 0.001 | 0.294 (0.174–0.417)     | < 0.001 |
| 5-year mortality | 0.136 (0.091–0.182) | < 0.001 | 0.325 (0.201–0.431)     | < 0.001 |

IDI integrated discrimination improvement, NRI net reclassification improvement



**Fig. 6** Decision curve analysis comparing the clinical performance of nomogram and the ILD-GAP model. For risk of 3-year (A) and 5-year (B) mortality, nomogram showed the highest net benefit for all potential thresholds. The black dot line represents the nomogram and the red dot line represents the ILD-GAP model. The black solid line represents the assumption that no patients have received treatment and the blue solid line represents the assumption that all patients have received treatment

**Abbreviations**

CTD: Connective tissue disease; ILD: Interstitial lung disease; CTD-ILD: Connective tissue disease-associated interstitial lung disease; PFTs: Pulmonary function test; PM/DM: Polymyositis/Dermatomyositis; SLE: Systemic lupus erythematosus; SSc: Systemic sclerosis; AS: Ankylosing spondylitis; SS: Sjogren syndrome; MCTD: Mixed connective tissue disease; RA: Rheumatoid arthritis; UCTD: Undifferentiated connective tissue disease; OCTD: Overlap syndromes; HRCT: High-resolution computed tomography; FVC %Predicted: Percent predicted values of forced vital capacity; FEV1%Predicted: Percent predicted values of forced expiratory volume in one second; DLco %Predicted: Percent predicted values of diffusion capacity of lung for carbon monoxide; RVD:

Right ventricular diameter; RAA: Right atrial area; PASP: Pulmonary artery systolic pressure; LVEF: Left ventricular ejection fraction; BNP: B-type natriuretic peptide; AST: Aspartate transaminase; GGT: γ-Glutamyltranspeptidase; ALB: Albumin; SD: Standard deviation; IQR: Interquartile range; LASSO: Least absolute shrinkage and selection operator; NRI: Net reclassification improvement; IDI: Integrated discrimination improvement; DCA: Decision curve analysis.

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**Authors' contributions**

DS, YW, QL, TT-W, PF-L, TC-J, LL-D, LQ-J and WJ-Z selected the patients and acquired the data; DS analyzed, interpreted the data and completed the writing. YW was substantially involved in revising the article. ZC had full access to all the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis. All authors read and approved the final manuscript.

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**Availability of data and materials**

The datasets used and/or analysed during the current study are available from the corresponding author on reasonable request.

**Declarations**

**Ethics approval and consent to participate**

Ethical approval for this study was obtained from the Institution Review Board of the First Affiliated Hospital of Zhengzhou University (2019-KY-116).

**Consent for publication**

Not applicable.

**Competing interests**

The authors declare that they have no competing interests.

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