

## The T-type calcium channel isoform Ca<sub>v</sub>3.1 is a target for the hypnotic effect of the anaesthetic neurosteroid (3β,5β,17β)-3-hydroxyandrostane-17-carbonitrile

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### Abstract

**Background:** The mechanisms underlying the role of T-type calcium channels (T-channels) in thalamocortical excitability and oscillations *in vivo* during neurosteroid-induced hypnosis are largely unknown.

**Methods:** We used patch-clamp electrophysiological recordings from acute brain slices *ex vivo*, recordings of local field potentials (LFPs) from the central medial thalamic nucleus *in vivo*, and wild-type (WT) and Ca<sub>v</sub>3.1 knock-out mice to investigate the molecular mechanisms of hypnosis induced by the neurosteroid analogue (3β,5β,17β)-3-hydroxyandrostane-17-carbonitrile (3β-OH).

**Results:** Patch-clamp recordings showed that 3β-OH inhibited isolated T-currents but had no effect on phasic or tonic γ-aminobutyric acid A currents. Also in acute brain slices, 3β-OH inhibited the spike firing mode more profoundly in WT than in Ca<sub>v</sub>3.1 knockout mice. Furthermore, 3β-OH significantly hyperpolarised neurones, reduced the amplitudes of low threshold spikes, and diminished rebound burst firing only in WT mice. We found that 80 mg kg<sup>-1</sup> i.p. injections of 3β-OH induced hypnosis in >60% of WT mice but failed to induce hypnosis in the majority of mutant mice. A subhypnotic dose of 3β-OH (20 mg kg<sup>-1</sup> i.p.) accelerated induction of hypnosis by isoflurane only in WT mice, but had similar effects on the maintenance of isoflurane-induced hypnosis in both WT and Ca<sub>v</sub>3.1 knockout mice. *In vivo* recordings of LFPs showed that a hypnotic dose of 3β-OH increased δ, θ, α, and β oscillations in WT mice in comparison with Ca<sub>v</sub>3.1 knock-out mice.

**Conclusions:** The Ca<sub>v</sub>3.1 T-channel isoform is critical for diminished thalamocortical excitability and oscillations that underlie neurosteroid-induced hypnosis.

**Keywords:** calcium channel; electrophysiology; hypnosis; mechanisms of anaesthesia; neurosteroid; thalamus

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### Editor's key points

- The anaesthetic neurosteroid analogue 3 $\beta$ -OH induces hypnosis in rat pups without triggering neuronal apoptosis, but its mechanisms of action are largely unknown.
- The authors investigated the role of T-type calcium channels (T-channels) in thalamocortical excitability and oscillations *in vivo* during neurosteroid-induced hypnosis.
- Effects of 3 $\beta$ -OH on the Ca<sub>v</sub>3.1 T-channel isoform were essential for the diminished thalamocortical excitability and oscillations that underlie neurosteroid-induced hypnosis.
- These studies may enable novel and potentially safer approaches in clinical anaesthesia.

The neurosteroid analogue (3 $\beta$ ,5 $\beta$ ,17 $\beta$ )-3-hydroxyandrostane-17-carbonitrile (3 $\beta$ -OH) induces hypnosis in rat pups without triggering neuronal apoptosis.<sup>1</sup> However, the specific mechanisms underlying 3 $\beta$ -OH-induced hypnosis are largely unknown. Many neuroactive steroids (neurosteroids) act as  $\gamma$ -aminobutyric acid A (GABA<sub>A</sub>) receptor potentiators,<sup>2–5</sup> but we reported that 3 $\beta$ -OH blocks low voltage-gated calcium channels or T-type calcium channels (T-channels) in the thalamic reticular nucleus and in sensory neurones of the dorsal root ganglion.<sup>6,7</sup>

The central medial nucleus (CeM) of the thalamus is part of the non-specific thalamus, with diffuse projections to the anterior and posterior regions of the cerebral cortex and many subcortical structures.<sup>8</sup> It has been proposed that the CeM acts as a key hub through which general anaesthesia and natural sleep are induced.<sup>9</sup> We have shown that T-channels can modulate excitability of rat CeM neurones<sup>10</sup> and that the Ca<sub>v</sub>3.1 isoform of T-channels in CeM neurones is important for isoflurane-induced oscillations *in vivo* during anaesthesia.<sup>11</sup>

Given the essential role of T-channels in excitability and oscillations in thalamocortical neurones, we hypothesised that Ca<sub>v</sub>3.1 channels are important for neurosteroid-induced hypnosis and thalamocortical oscillations. We investigated the effects of 3 $\beta$ -OH on excitability of CeM neurones in an *ex vivo* slice preparation; we also used mouse genetics *in vivo* to correlate the hypnotic effects of 3 $\beta$ -OH with thalamocortical oscillations using thalamic local field potentials (LFPs) and cortical EEG recordings from wild-type (WT) and Ca<sub>v</sub>3.1 knock-out (KO) mutant mice.

## Methods

### Animals

Experimental procedures with animals were performed according to a protocol approved by the Institutional Animal Care and Use Committee of the University of Colorado Anschutz Medical Campus, Aurora, CO, USA. Treatments of animals adhered to guidelines set forth in the NIH *Guide for the Care and Use of Laboratory Animals*. All efforts were made to minimise animal suffering and to use only the necessary number of animals to produce reliable scientific data. Male juvenile C57BL/6J (WT) and Ca<sub>v</sub>3.1 KO mice (postnatal days 30–35 [P30–P35]) were used for *ex vivo* electrophysiological recordings whereas older male mice (3 months) were used for behavioural and *in vivo* electrophysiological experiments. C57BL/6 mice were

obtained from the Jackson Laboratory (Bar Harbor, ME, USA) whereas Ca<sub>v</sub>3.1 KO mice ( $\alpha$ 1G null) (Riken BioResources Centre, Tsukuba, Ibaraki, Japan) were generated on the C57BL/6 background as described.<sup>12</sup> All animals were maintained on a 14/10 h light–dark cycle with food and water *ad libitum*. Behavioural assessments for *in vivo* experiments were done in a blinded fashion. Details of specific experimental procedures can be found in the Supplementary Material.

## Results

### Voltage-dependent inhibition of T-currents by 3 $\beta$ -OH in WT mice

We first examined the effects of 3  $\mu$ M 3 $\beta$ -OH on well-isolated T-currents in CeM neurones from adolescent WT mice using acute brain slices as we have previously determined that this is a relevant brain concentration during hypnosis.<sup>1</sup> Representative current traces from a steady-state inactivation protocol are presented in Fig 1a. The left panel shows traces of inward T-current of a CeM neurone under control conditions, and the right panel shows traces from the same neurone in the presence of 3 $\beta$ -OH, both recorded using a tetramethylammonium with adenosine triphosphate (TMA with ATP) internal solution. 3 $\beta$ -OH stabilised inactive states of the channel and shifted inactivation V<sub>50</sub> values of about –5 mV (Fig 1b). Furthermore, 3 $\beta$ -OH reduced current density up to 45% over a wide range of membrane potentials (from –120 to –90 mV) when compared with control conditions (Fig 1c). Similarly, with Cs with ATP internal solution, 3 $\beta$ -OH produced a smaller but significant hyperpolarising shift in V<sub>50</sub> values of about 3 mV (Fig 1d), and the current amplitude decreased by about 30% (Fig 1e).

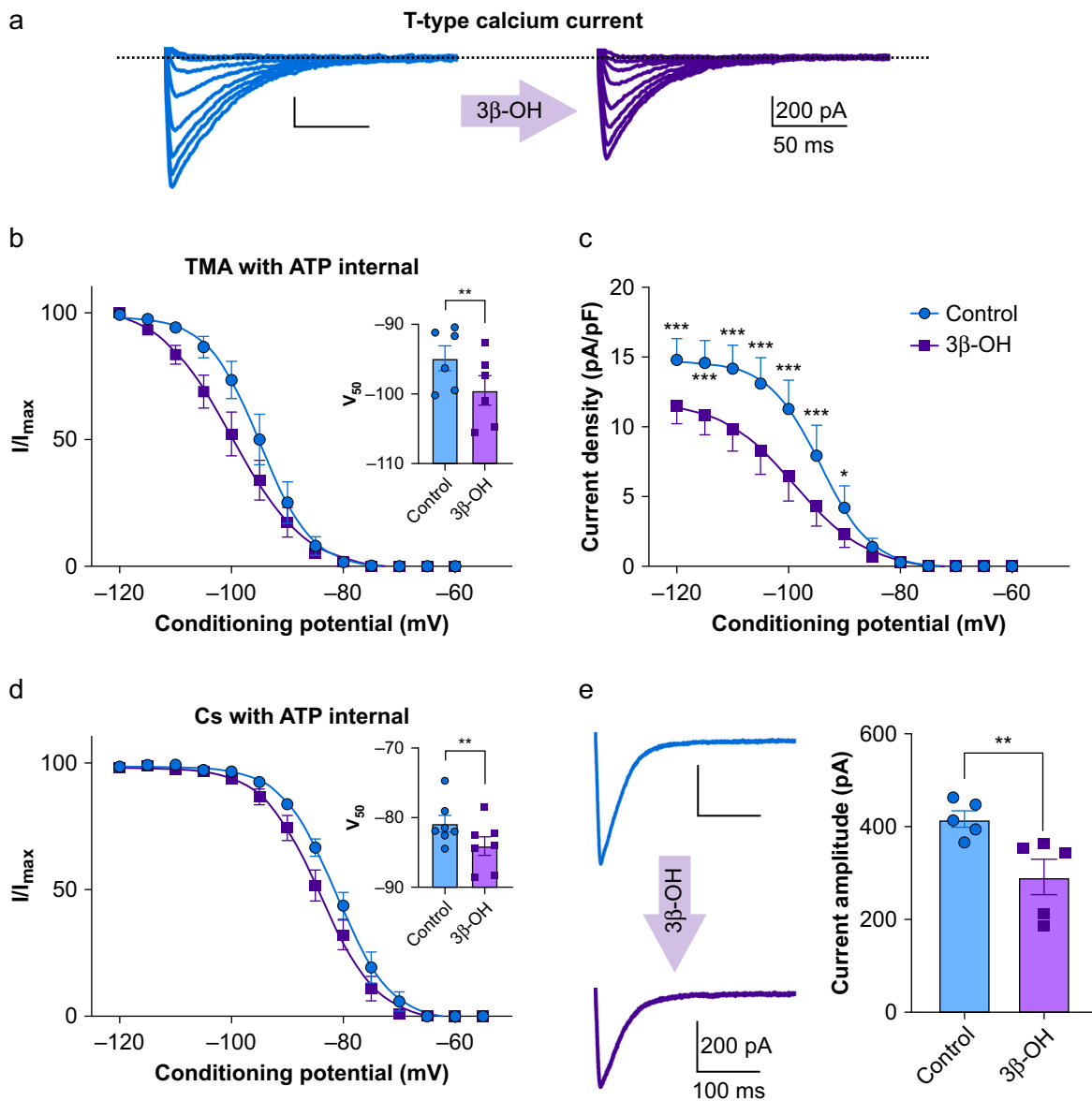
In contrast, perfusion with the vehicle (0.1% dimethylsulphoxide [DMSO]) did not significantly alter inactivation V<sub>50</sub> and T-current density (Supplementary Fig. S1a and b, respectively).

### Different effects of alphaxalone and 3 $\beta$ -OH on GABA<sub>A</sub> currents

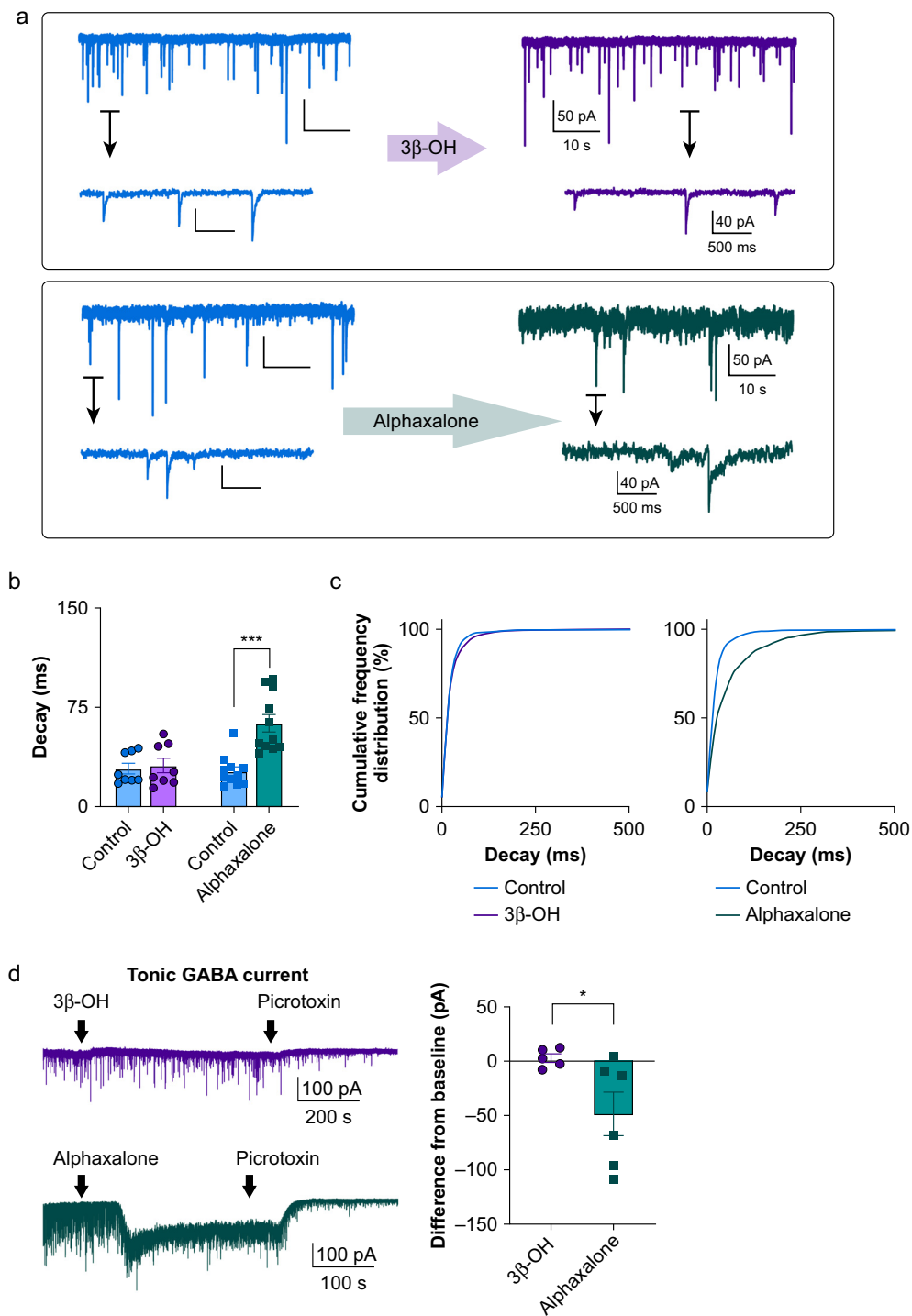
Most neurosteroids prolong the decay of miniature inhibitory postsynaptic currents (mIPSCs).<sup>13</sup> We recorded mIPSCs in CeM neurones to compare the effects of 3 $\beta$ -OH and alphaxalone (5 $\alpha$ -pregnan-3 $\alpha$ -ol-11,20-dione), a GABAergic neurosteroid. Representative traces from these recordings are shown in Fig 2a. Only alphaxalone significantly increased decay tau of mIPSCs by about two-fold compared with control conditions (Fig 2b and c). The amplitudes of mIPSCs were not different from baseline for either neurosteroid (Supplementary Fig. S2a and b), whereas mIPSC frequency was significantly decreased by alphaxalone but not by 3 $\beta$ -OH (Supplementary Fig. S2c and d). These data confirm that, like in the subiculum of rat pups,<sup>14</sup> 3 $\beta$ -OH inhibits T-currents but does not affect synaptic GABA<sub>A</sub> receptor currents in the CeM. In addition, we recorded picrotoxin-sensitive tonic GABA<sub>A</sub> current and found that alphaxalone potently enhanced it, whereas 3 $\beta$ -OH had no significant effect (Fig 2d).

### 3 $\beta$ -OH reduces spike firing in CeM neurones

We examined the effects of 3 $\beta$ -OH on the output of CeM neurones using current-clamp recordings from acute brain slices *ex vivo*. Representative traces after depolarising (125 pA) and hyperpolarising (–75 pA) current injection under baseline conditions in WT mice are presented in Fig 3a. Note that 3 $\beta$ -OH



**Figure 1.** Inhibition of T-currents by 3 $\beta$ -OH in CeM neurones. (a) Original traces of T currents in a representative CeM neurone in control conditions recorded using a double-pulse protocol with 3.6 s long prepulses to variable voltages (from  $-115$  to  $-80$  mV in 5 mV increment; blue); traces from the same cell using the identical voltage protocol during an apparent steady-state inhibition of T-current by 3  $\mu$ M 3 $\beta$ -OH (purple) recorded with TMA with ATP internal solution. (b) Average normalised steady-state inactivation ( $I/I_{\max}$ ) curves in control conditions and after application of 3 $\beta$ -OH in the same cells. 3 $\beta$ -OH induced a hyperpolarising shift in  $V_{50}$  of 4.6 mV; the average  $V_{50}$  value for steady-state inactivation was  $-94.9$  (1.8) mV in control conditions (blue; six cells, three animals). After 3 $\beta$ -OH perfusion of the same cells, the  $V_{50}$  value was  $-99.5$  (2.1) mV (purple). In the inset of panel (b), the  $V_{50}$  was significantly different after 3 $\beta$ -OH perfusion (two tailed paired t-test:  $t_{(5)}=4.08$ ,  $P<0.01$ ). (c) Average current density calculated from the steady-state inactivation protocol. Application of 3 $\beta$ -OH (purple line and data points) decreased current density when compared with control conditions (blue line and data points). Data were analysed with two-way RM analysis of variance (ANOVA): interaction  $F_{(12,60)}=13.91$ ,  $P<0.001$ ; voltage  $F_{(12,60)}=46.66$ ,  $P<0.001$ ; 3 $\beta$ -OH  $F_{(1,5)}=15.81$ ,  $P=0.011$ ; Sidak's post hoc presented in figure). (d) Average normalised steady-state inactivation ( $I/I_{\max}$ ) curves in control conditions and after application of 3 $\beta$ -OH in the same cells recorded with Cs with ATP internal solution. 3 $\beta$ -OH induced a hyperpolarising shift in  $V_{50}$  of 3.2 mV because the average  $V_{50}$  value for steady-state inactivation was  $-80.9$  (1.2) mV under control conditions (blue; seven cells, four animals), and after 3 $\beta$ -OH perfusion in the same cells, the  $V_{50}$  value was  $-84.1$  (1.3) mV (purple). In the inset of panel (d), the  $V_{50}$  was significantly different after 3 $\beta$ -OH perfusion (two tailed paired t-test:  $t_{(6)}=3.92$ ,  $P=0.008$ ). (e) Averaged representative traces recorded under control conditions (blue) and after application of 3 $\beta$ -OH (purple) using a protocol  $V_t=-50$  mV,  $V_h=-90$  mV, 3 $\beta$ -OH decreased T-current amplitudes from 416.9 (17.5) pA to 291.9 (38.2) pA (two-tailed paired t-test:  $t_{(4)}=4.67$ ,  $P<0.01$ ; five cells, three animals) \* $P<0.05$ , \*\* $P<0.01$ , \*\*\* $P<0.001$ . 3 $\beta$ -OH, (3 $\beta$ ,5 $\beta$ ,17 $\beta$ )-3-hydroxyandrostane-17-carbonitrile; ATP, adenosine triphosphate; CeM, central medial nucleus; RM, repeated measure; TMA, tetramethylammonium.



**Figure 2.** Potentiation of miniature inhibitory postsynaptic currents by alphaxalone but not by 3 $\beta$ -OH. (a) Representative traces from CeM neurones before and after perfusion with 3 $\beta$ -OH (top panel) or alphaxalone (bottom panel). (b) Decay tau was increased only after perfusion of alphaxalone but not 3 $\beta$ -OH; alphaxalone increased decay tau from 26.7 (3.4) to 62.9 (6.6) ms (two-tailed paired t-test:  $t_{(10)}=6.82$ ,  $P<0.001$ ). (c) Left panel shows that cumulative frequency distribution for decay tau confirms no change after application of 3 $\beta$ -OH (control 1479 events, 3 $\beta$ -OH 1497 events); right panel shows longer decay taus after alphaxalone perfusion (1523 events for alphaxalone, 2134 events for the control). (d) Representative traces showing tonic GABA current after 3  $\mu$ M 3 $\beta$ -OH (purple trace) or 3  $\mu$ M alphaxalone (green trace) perfusion. (b) Difference from baseline showed a minimal effect of 3 $\beta$ -OH on tonic current in comparison with alphaxalone (3 $\beta$ -OH five cells, alphaxalone six cells; unpaired two-tailed t-test:  $t_{(9)}=2.31$ ,  $P=0.046$ ). \* $P<0.05$ , \*\*\* $P<0.001$ . 3 $\beta$ -OH, (3 $\beta$ ,5 $\beta$ ,17 $\beta$ )-3-hydroxyandrostane-17-carbonitrile; CeM, central medial nucleus; GABA,  $\gamma$ -aminobutyric acid A.

completely abolished T-channel-dependent low threshold spikes (LTSs) and post-inhibitory rebound bursting in CeM neurones. We next studied the effects of  $3\beta$ -OH on LTSs and rebound burst firing in WT mice elicited by a series of escalating hyperpolarising current injections that served to recover T-channels from inactivation. Summary data from these experiments showed an average reduction of LTS amplitude of 25–50% and increased threshold for LTS by about 30% after  $3\beta$ -OH application (Fig. 3b top and bottom, respectively).

We also found that  $3\beta$ -OH reduced firing frequency over the range of current injections during input–output protocols that we used to interrogate tonic firing mode in WT mice (Fig. 3c). For example  $3\beta$ -OH inhibited spike firing during current injection of 100 pA by about 90% (Fig. 3d). Similar to the volatile anaesthetic isoflurane,<sup>11</sup> we found that  $3\beta$ -OH hyperpolarised resting membrane potential (RMP) by about 6 mV but did not significantly affect input resistance (IR) in the CeM of WT mice (Fig. 3e and f, respectively).

In  $Ca_v3.1$  KO mice,  $3\beta$ -OH reduced tonic firing frequency to a lesser extent (Fig. 3g and h) and did not significantly affect RMP or IR of CeM neurones (Fig. 3i and j, respectively). We did not observe LTS and rebound burst firing using the identical current injection protocols in the mutant mice.<sup>11</sup> These data indicate that  $Ca_v3.1$  channels are required for LTS and provide constant calcium influx that contributes to RMP likely via the ‘T-type window current’.<sup>11</sup> Thus, inhibition of  $Ca_v3.1$  channels by  $3\beta$ -OH may diminish both tonic and burst firing modes and hyperpolarise CeM neurones.

### $Ca_v3.1$ channels are essential for neurosteroid-induced hypnosis

Our current-clamp experiments suggest that the  $Ca_v3.1$  isoform largely underlies the inhibitory effects of  $3\beta$ -OH on the excitability of CeM neurones. We next asked if  $Ca_v3.1$  channels are important for the hypnotic effect of this neurosteroid *in vivo* by measuring loss of righting reflex (LORR) to compare hypnotic potency in WT and mutant mice. The calculated median effective dose ( $ED_{50}$ ) for the LORR was 75.6 (1.5) mg  $kg^{-1}$  in WT mice and 90.3 (0.1) mg  $kg^{-1}$  in mutant mice (Fig. 4a). Importantly,  $3\beta$ -OH at 80 mg  $kg^{-1}$  induced LORR in only 10% of mutant mice and >60% of WT mice (Fig. 4b, left panel). Duration of hypnosis was several-fold shorter in KO mice than in WT mice (Fig. 4b, right panel).

We hypothesised that potency for hypnosis of the common volatile anaesthetic isoflurane would be reduced with concurrent injections of  $3\beta$ -OH. A sub-hypnotic dose of  $3\beta$ -OH (20 mg  $kg^{-1}$  i.p.) injected 15 min before administration of escalating concentrations of inhaled isoflurane lowered the final concentration of isoflurane required to maintain LORR similarly in both WT and  $Ca_v3.1$  KO animals (Fig. 4c). The analysis of time to LORR (TTLORR) with a fixed concentration of isoflurane of 1.2 vol% showed that  $3\beta$ -OH significantly decreased TTLORR by about 20% only in WT mice (Fig. 4d). These data suggest that the  $Ca_v3.1$  isoform of T-channels is essential for  $3\beta$ -OH-induced hypnosis when administered alone, and for its facilitation of induction but not maintenance of hypnosis with isoflurane.

### Oscillations in CeM during $3\beta$ -OH induced hypnosis

We next recorded LFPs from CeM of WT and mutant mice in order to test the hypothesis that  $Ca_v3.1$  channels play a significant role in the effects of  $3\beta$ -OH at 80 mg  $kg^{-1}$  on the

rhythmic oscillations of intact thalamocortical circuits. We analysed the effects of the vehicle (25% 2-hydroxypropyl- $\beta$ -cyclodextrin) and  $3\beta$ -OH on total power of LFPs during 60 min after injection at 5 min intervals (Fig. 5). There was less  $\delta$  and  $\gamma$  frequency power in the mutant mice with vehicle injections (left panels of Fig. 5a and e), and no statistically significant differences between genotypes in other frequency bands (left panels of Fig. 5b–d). However, there was a profound increase of up to two-fold in total power in WT mice in  $\delta$ ,  $\theta$ ,  $\alpha$ , and  $\beta$  oscillations after injections of  $3\beta$ -OH that was not observed in the mutant mice (right panels of Fig. 5a–d).

In addition, we performed a detailed analysis of LFPs in CeM *in vivo* between WT and mutant mice at 30 min after i.p. injection of  $3\beta$ -OH (Fig. 6a). Power density under  $3\beta$ -OH revealed diminished values in the slower frequencies and in the  $\alpha$  frequency range (2–14 Hz) of about three-fold in the mutant mice (Fig. 6b). Mutant mice exhibited diminished total power in comparison with WT animals in all frequency ranges except  $\gamma$  (Fig. 6c). Analysis of relative power did not reveal statistically significant differences between the effects of  $3\beta$ -OH (Fig. 6d). Nonetheless, change in relative power (differences between relative power under  $3\beta$ -OH and baseline) showed a significant drop in the  $\theta$  frequency range in mutant mice that was not seen in WT animals (Fig. 6e).

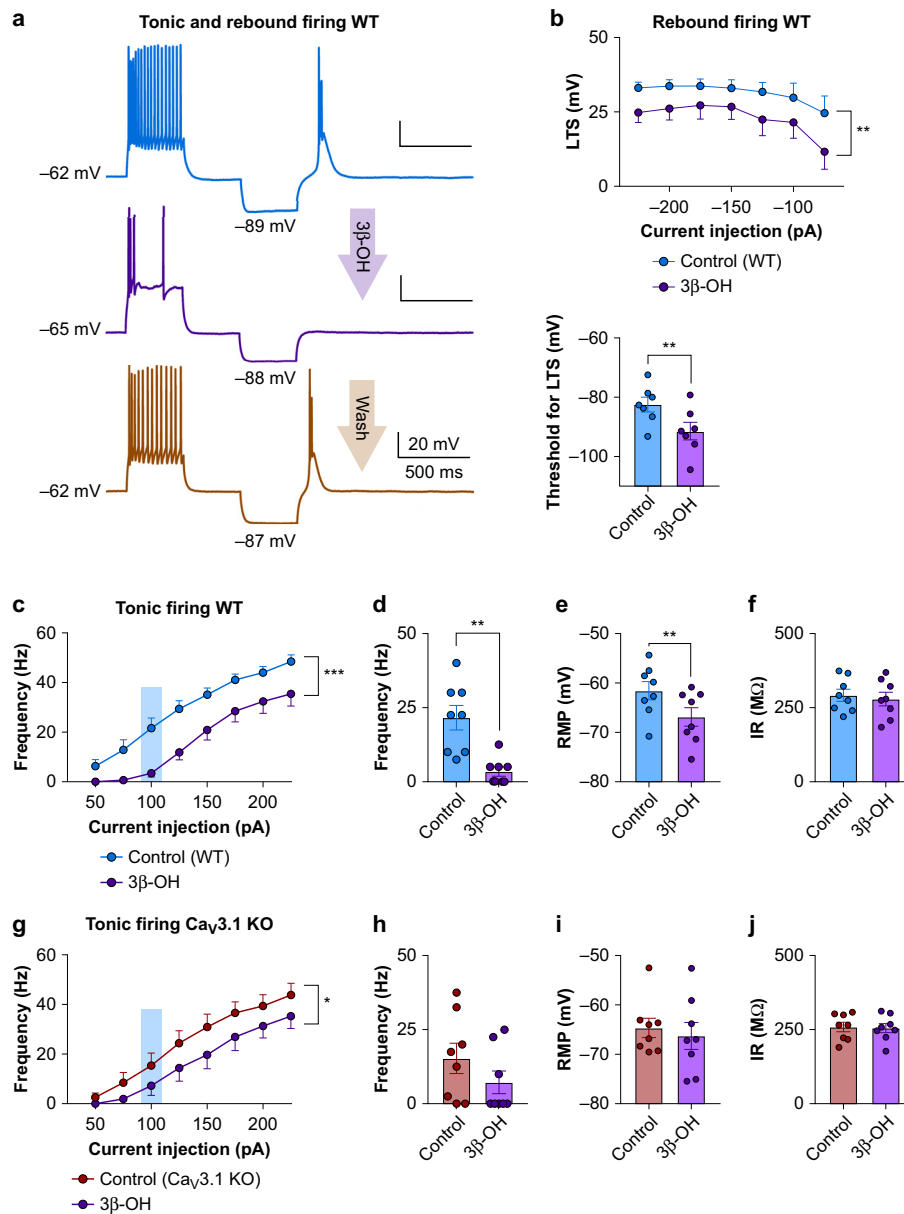
We also analysed simultaneously recorded EEG oscillations from the barrel cortex. Baseline relative power from the CeM and cortex during the awake state showed significant difference mostly at slower frequencies between WT and mutant mice in the CeM but not in the cortex (Supplementary Fig. S3a). Similar to our findings in the CeM, we noticed a large reduction in power density and total power in the  $\delta$ ,  $\theta$ ,  $\alpha$ , and  $\beta$  range in the mutant animals compared with the WT group after injections of  $3\beta$ -OH (Supplementary Fig. S3b). Relative EEG power showed a significant increase in  $\gamma$  frequencies in mutant mice (Supplementary Fig. S3c), although the change in relative power from baseline was not different (Supplementary Fig. S3d). We propose that the observed differences in oscillations of intact thalamocortical circuits reflect resistance of the mutant mice to the hypnotic effects of  $3\beta$ -OH.

## Discussion

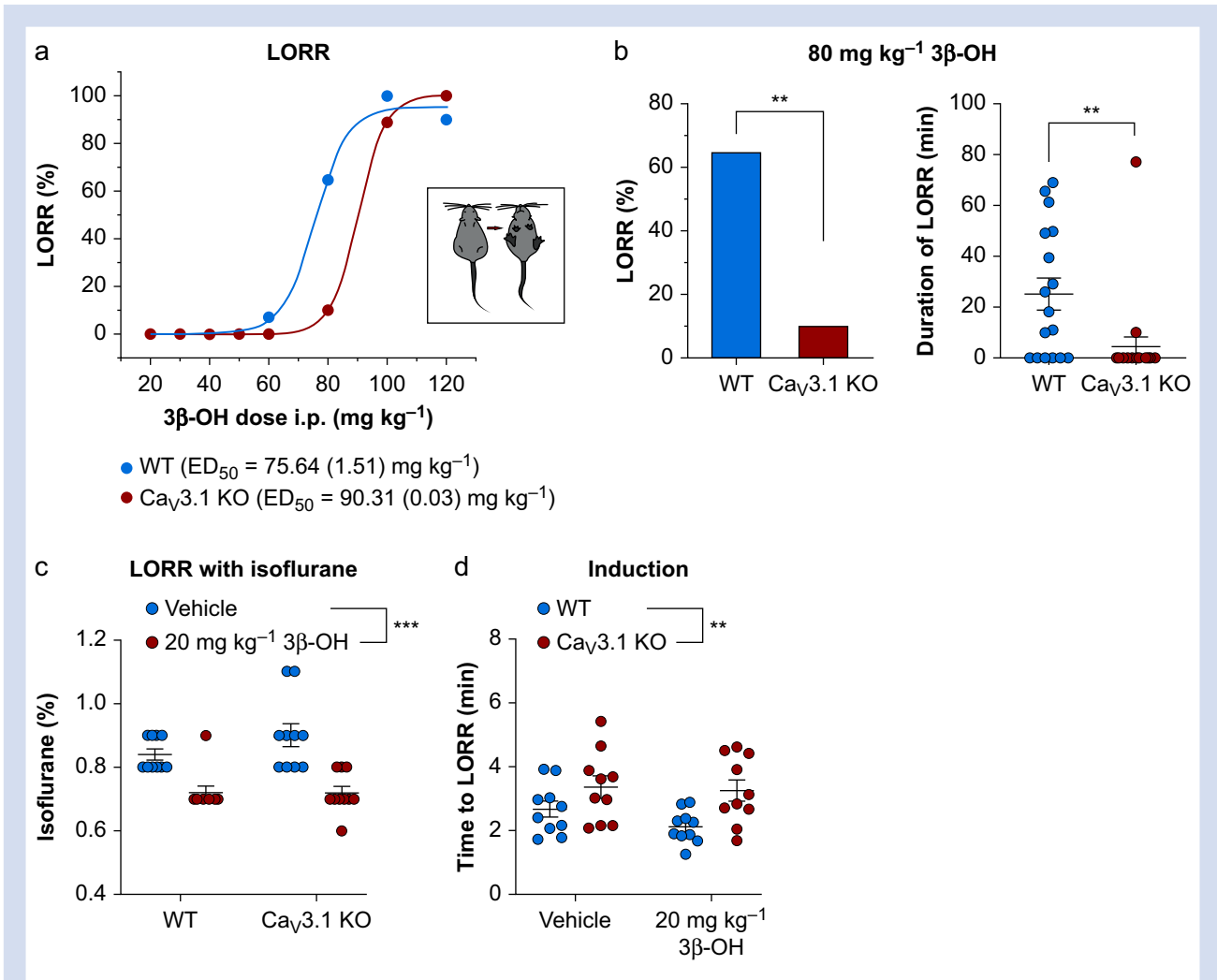
Neurosteroids have sedative/hypnotic properties, with the introduction of alphaxalone and its related  $3\alpha,5\alpha$  analogues<sup>15</sup> in the 1970s, which were withdrawn from clinical use because of a relatively high incidence of anaphylactic reactions to the vehicle.<sup>16,17</sup> However, alphaxalone has been reformulated recently and is used in veterinary medicine.<sup>18</sup> The ongoing use of alphaxalone continues to encourage future development of synthetic neurosteroids with hypnotic/anaesthetic properties.

Interestingly,  $3\beta$ -OH, as a  $3\beta,5\beta$ -reduced steroid, does not act as a positive modulator of GABA<sub>A</sub> receptors; however, it induces hypnosis in rat pups<sup>1</sup> and adult mice (presented herein). This suggests that  $3\beta$ -reduced neurosteroids produce their hypnotic effects through alternate mechanisms. Here, we report that  $3\beta$ -OH stabilised inactive states and reduced T-current density but did not affect phasic or tonic GABA<sub>A</sub> currents in CeM neurones. We further found that  $3\beta$ -OH reduced rebound burst firing in WT animals and decreased tonic firing in both WT and  $Ca_v3.1$  KO mice. However, inhibition of tonic firing was less prominent in the mutant mice, particularly at lower amplitude current injections. The modest blocking effect of  $3\beta$ -OH on tonic firing observed in  $Ca_v3.1$  KO mice could





**Figure 3.**  $3\beta$ -OH influence on the excitability of CeM neurons. (a) Representative traces from a WT CeM neuron before application of  $3\beta$ -OH (blue), after application of  $3\ \mu\text{M}$   $3\beta$ -OH (purple) and after wash (orange trace) with active membrane responses to a depolarising (125 pA) and hyperpolarising ( $-75\ \text{pA}$ ) current injection. (b) Top: averaged LTS amplitude was reduced by application of  $3\beta$ -OH across all hyperpolarising current pulses from  $-50$  to  $-225\ \text{pA}$  in WT mice (eight cells, four animals; two-way RM analysis of variance [ANOVA]: interaction  $F_{(6,42)}=0.41$ ,  $P=0.865$ ; current injection  $F_{(6,42)}=5.44$ ,  $P<0.001$ ;  $3\beta$ -OH  $F_{(1,7)}=22.63$ ,  $P=0.002$ ). Bottom: bar graph shows  $3\beta$ -OH increased the threshold for the occurrence of LTS (seven cells, four animals; paired two-tailed t-test  $t_{(6)}=4.07$ ,  $P=0.007$ ). (c)  $3\beta$ -OH reduced tonic action potential firing frequency across all current pulses in WT mice (eight cells, four animals; from  $50$  to  $225\ \text{pA}$ , two-way RM ANOVA: interaction  $F_{(7,49)}=1.66$ ,  $P=0.140$ ; current injection  $F_{(7,49)}=132.70$ ,  $P<0.001$ ;  $3\beta$ -OH effect  $F_{(1,7)}=29.52$ ,  $P=0.001$ ). (d)  $3\beta$ -OH decreased tonic frequency at  $100\ \text{pA}$  current injection in WT mice (eight cells, four animals; paired two-tailed t-test:  $t_{(7)}=4.80$ ,  $P=0.002$ ). (e)  $3\beta$ -OH hyperpolarised RMP in WT mice (eight cells, four animals; paired two-tailed t-test:  $t_{(7)}=4.00$ ,  $P=0.005$ ). (f)  $3\beta$ -OH did not affect IR measured with a hyperpolarising current injection of  $100\ \text{pA}$  in WT mice. (g)  $3\beta$ -OH diminished tonic action potential firing frequency in  $\text{Ca}_v3.1$  KO mice (eight cells, three animals; from  $50$  to  $225\ \text{pA}$ , two-way RM ANOVA: interaction  $F_{(7,49)}=1.23$ ,  $P=0.302$ ; current injection  $F_{(7,49)}=63.29$ ,  $P<0.001$ ;  $3\beta$ -OH effect  $F_{(1,7)}=8.26$ ,  $P=0.024$ ). (h)  $3\beta$ -OH did not significantly affect tonic firing frequency at  $100\ \text{pA}$  current injection in  $\text{Ca}_v3.1$  KO mice. (i)  $3\beta$ -OH did not significantly affect RMP in  $\text{Ca}_v3.1$  KO mice. (j)  $3\beta$ -OH did not significantly affect IR measured with a hyperpolarising current injection of  $100\ \text{pA}$  in  $\text{Ca}_v3.1$  KO mice. \* $P<0.05$ , \*\* $P<0.01$ , \*\*\* $P<0.001$ .  $3\beta$ -OH, (3 $\beta$ ,5 $\beta$ ,17 $\beta$ )-3-hydroxyandrostane-17-carbonitrile; CeM, central medial nucleus; GABA,  $\gamma$ -aminobutyric acid; KO, knock-out; LTS, low threshold spikes; RM, definition; IR, input resistance; RMP, resting membrane potential; WT, wild-type.

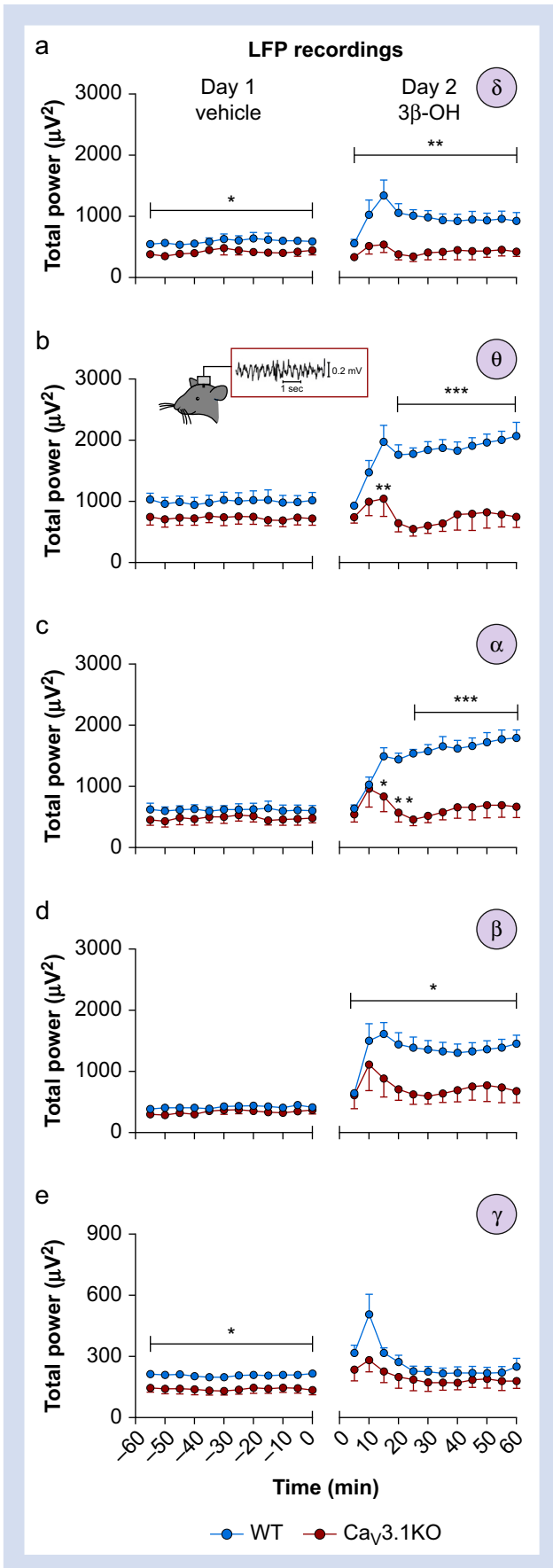


**Figure 4.** Effect of  $\beta$ -OH on anaesthetic endpoints. (a) Dose–response curve for loss of righting reflex (LORR) in WT and  $\text{Ca}_v3.1 \text{ KO}$  mice with  $\text{ED}_{50}$  indicated on the top of the panel. (b) Left, percentage of animals that lost righting reflex under  $80 \text{ mg kg}^{-1}$  i.p.  $\beta$ -OH in WT (17 animals) and  $\text{Ca}_v3.1 \text{ KO}$  (20 animals) cohorts ( $80 \text{ mg kg}^{-1}$ :  $\chi^2 = 9.79$ ,  $P = 0.002$ ). (b) Right: duration of LORR under  $80 \text{ mg kg}^{-1}$  i.p.  $\beta$ -OH in WT (17 animals) and  $\text{Ca}_v3.1 \text{ KO}$  (20 animals) mice (unpaired two-tailed  $t$ -test:  $t_{(35)} = 2.94$ ,  $P = 0.006$ ). (c)  $20 \text{ mg kg}^{-1}$   $\beta$ -OH lowered the percent of isoflurane needed for LORR in WT and  $\text{Ca}_v3.1 \text{ KO}$  mice (10 mice in each group, two-way RM analysis of variance [ANOVA]: interaction  $F_{(1,18)} = 1.67$ ,  $P = 0.210$ ; mutation effect  $F_{(1,18)} = 1.35$ ,  $P = 0.260$ ;  $\beta$ -OH effect  $F_{(1,18)} = 42.19$ ,  $P < 0.001$ ). (d) WT animals pretreated with  $\beta$ -OH needed significantly less time for induction with isoflurane compared with mutant animals (10 mice in each group, two-way RM ANOVA: interaction  $F_{(1,18)} = 0.71$ ,  $P = 0.410$ ; mutation effect  $F_{(1,18)} = 9.05$ ,  $P = 0.007$ ;  $\beta$ -OH effect  $F_{(1,18)} = 1.59$ ,  $P = 0.223$ ). \*\* $P < 0.01$ , \*\*\* $P < 0.001$ .  $\beta$ -OH, (3 $\beta$ ,5 $\beta$ ,17 $\beta$ )-3-hydroxyandrostane-17-carbonitrile; KO, knock-out; WT, wild-type;  $\text{ED}_{50}$ , median effective dose; RM, definition.

be a result of homeostatic compensatory changes in the mutant mice. As  $\beta$ -OH also inhibits the native  $\text{Ca}_v3.2$  and  $\text{Ca}_v3.3$  isoforms of T-channels,<sup>6,7</sup> contributions of other isoforms of T-channels to the hypnotic effect of  $\beta$ -OH may not be excluded. Likewise, we cannot exclude the possibility that  $\beta$ -OH also inhibits voltage-gated sodium channels, as it is generally accepted that most hypnotics/anaesthetics affect multiple ion channels.<sup>19–21</sup>

Event-related rhythmic thalamocortical oscillations are usually categorised into six frequency bands: slow (<1 Hz),  $\delta$  (0.5–4 Hz),  $\theta$  (4–8 Hz),  $\alpha$  (8–13 Hz),  $\beta$  (13–30 Hz), and  $\gamma$  (>30 Hz).<sup>22</sup> Mice lacking the  $\text{Ca}_v3.1$  isoform of T-channels had

reduced thalamic  $\delta$  oscillations and sleep spindles during urethane- and barbiturate-induced hypnosis.<sup>23,24</sup> In addition,  $\text{Ca}_v3.1 \text{ KO}$  mice displayed attenuated cortical and thalamic low frequency oscillations (in the 1–4 Hz range) after ketamine and ethanol administration compared with WT mice.<sup>25</sup> We observed reduced total power of thalamic and cortical  $\delta$ ,  $\theta$ ,  $\alpha$ , and  $\beta$  oscillations in  $\text{Ca}_v3.1 \text{ KO}$  mice after injections of  $\beta$ -OH. We propose that the differences in slow frequency oscillations are related to the lack of rebound burst firing in  $\text{Ca}_v3.1 \text{ KO}$  animals and inability of  $\beta$ -OH to hyperpolarise neurones in the mutant mice. It is well known that T-channels need to be deactivated by hyperpolarisation in order to be opened to

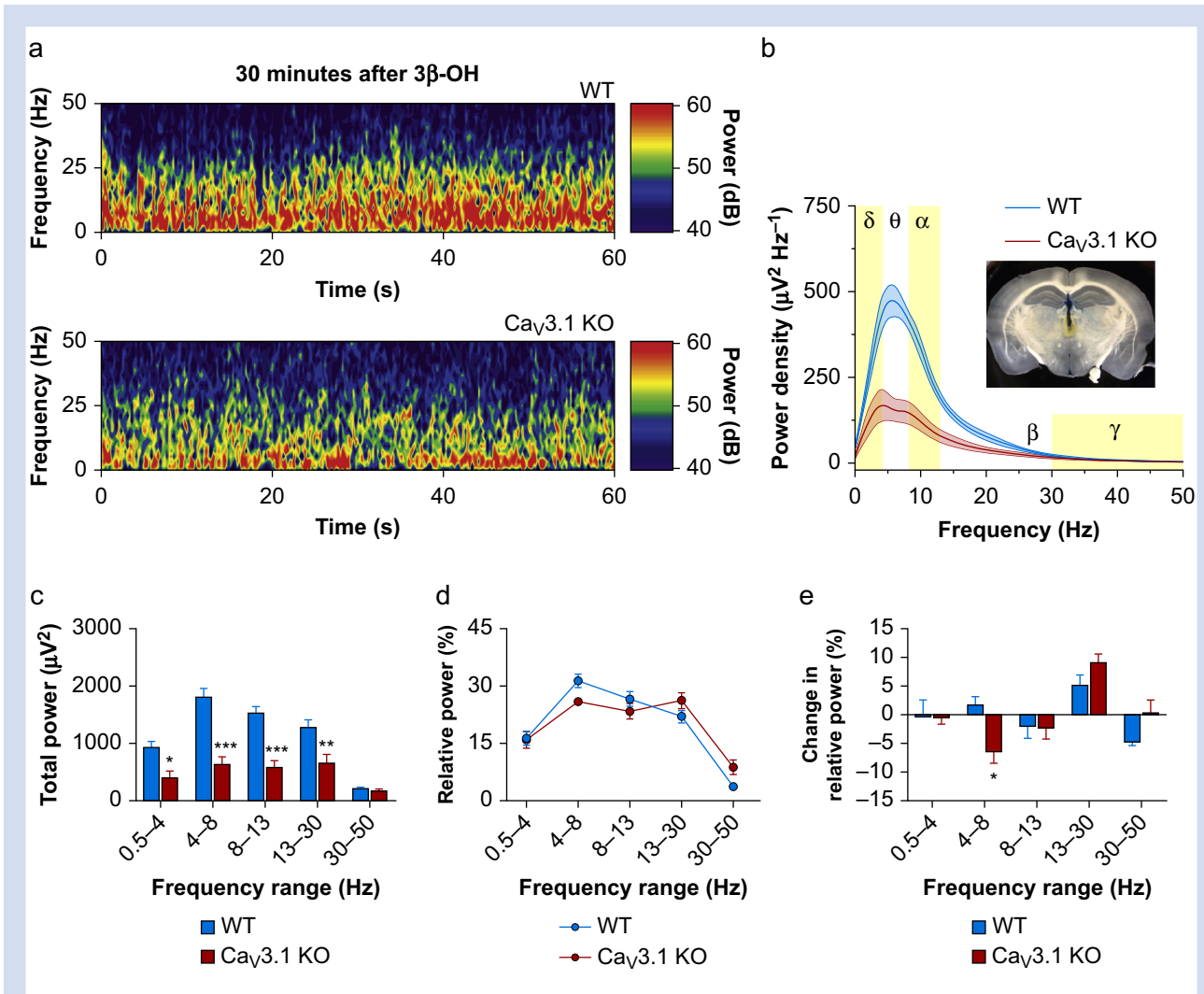


induce repetitive burst firing<sup>26,27</sup> As observed with ketamine and ethanol,<sup>25</sup> hyperpolarisation induced by  $3\beta$ -OH can explain not only differences in low frequency oscillations between WT and  $Ca_{V3.1}$  KO mice but also diminished hypnosis in  $Ca_{V3.1}$  null mice. We propose that  $3\beta$ -OH hyperpolarised CeM neurones in WT mice because of voltage-dependent inhibition of the T-type 'window current' as we recently showed for isoflurane and another T channel blocker TTA-P2.<sup>11</sup> A previous study found that  $Ca_{V3.1}$  KO had delayed TTLORR with volatile anaesthetics.<sup>12</sup> However, the same study reported that TTLORR by the intravenous anaesthetic propofol (a GABA<sub>A</sub> potentiator) was not different between WT and  $Ca_{V3.1}$  KO mice. This suggests that different classes of anaesthetics have different mechanisms of interaction with  $Ca_{V3.1}$  channels and other molecular targets in the thalamocortical circuitry.

Injection of  $3\beta$ -OH at  $80 \text{ mg kg}^{-1}$  induced hypnosis in WT animals but was insufficient to do so in  $Ca_{V3.1}$  KO mice. Although we used a global KO mice, the lack of effect of  $3\beta$ -OH on lowering isoflurane concentration needed for hypnotic induction in the mutant mice may implicate  $Ca_{V3.1}$  channels in CeM because this thalamic nucleus has been proposed to be important for induction but not for maintenance of anaesthetic hypnosis.<sup>9</sup> Focal genetic deletion of  $Ca_{V3.1}$  channels from the midline thalamus may lead to a fragmented and reduced sleep state.<sup>28</sup> This suggests that inhibition of  $Ca_{V3.1}$  channels in CeM contributes to the hypnotic effects of  $3\beta$ -OH. However, future studies with focal deletion of  $Ca_{V3.1}$  channels specifically in the CeM may conclusively address this issue. Finally, studies with another structurally unrelated selective T-channel blocker, TTA-A2, revealed that *in vivo* T-channel

**Figure 5.** Oscillations in CeM neurones after injections of  $3\beta$ -OH. (a) Total  $\delta$  power after injections of the vehicle 2-hydroxypropyl- $\beta$ -cyclodextrin (Day 1) and  $3\beta$ -OH (Day 2) in WT and  $Ca_{V3.1}$  KO mice. Mutant mice had less  $\delta$  power on Day 1 (two-way RM analysis of variance [ANOVA]: interaction  $F_{(11,121)}=0.27$ ,  $P=0.990$ ; time  $F_{(11,121)}=1.15$ ,  $P=0.330$ ; mutation effect  $F_{(1,11)}=5.93$ ,  $P=0.033$ ).  $3\beta$ -OH enhanced  $\delta$  power in WT animals (two-way RM ANOVA: interaction  $F_{(11,121)}=1.51$ ,  $P=0.137$ ; time  $F_{(11,121)}=3.62$ ,  $P<0.001$ ; mutation effect  $F_{(1,11)}=11.99$ ,  $P=0.005$ ). (b) Total  $\theta$  power after vehicle (Day 1) or  $3\beta$ -OH (Day 2) in WT and  $Ca_{V3.1}$  KO mice.  $3\beta$ -OH enhanced  $\theta$  power in WT animals (two-way RM ANOVA: interaction  $F_{(11,121)}=4.07$ ,  $P<0.001$ ; time  $F_{(11,121)}=4.03$ ,  $P<0.001$ ; mutation effect  $F_{(1,11)}=26.60$ ,  $P<0.001$ ; Sidak's post hoc presented in figure). (c) Total  $\alpha$  power for vehicle (Day 1) or  $3\beta$ -OH (Day 2) in WT and  $Ca_{V3.1}$  KO mice.  $3\beta$ -OH enhanced  $\alpha$  power in WT animals (two-way RM ANOVA: interaction  $F_{(11,121)}=5.87$ ,  $P<0.001$ ; time  $F_{(11,121)}=5.10$ ,  $P<0.001$ ; mutation effect  $F_{(1,11)}=27.74$ ,  $P<0.001$ ; Sidak's post hoc presented in figure). (d) Total  $\beta$  power for vehicle (Day 1) or  $3\beta$ -OH (Day 2) in WT and  $Ca_{V3.1}$  KO mice.  $3\beta$ -OH enhanced  $\beta$  power in WT animals (two-way RM ANOVA: interaction  $F_{(11,121)}=1.16$ ,  $P=0.324$ ; time  $F_{(11,121)}=2.82$ ,  $P=0.003$ ; mutation effect  $F_{(1,11)}=8.62$ ,  $P=0.013$ ; Sidak's post hoc presented in figure). (e) Total  $\gamma$  power for vehicle (Day 1) or  $3\beta$ -OH (Day 2) in WT and  $Ca_{V3.1}$  KO mice. Mutant mice had less  $\gamma$  power on Day 1 (two-way RM ANOVA: interaction  $F_{(11,121)}=0.53$ ,  $P=0.879$ ; time  $F_{(11,121)}=1.77$ ,  $P=0.067$ ; mutation effect  $F_{(1,11)}=6.73$ ,  $P=0.025$ ).  $3\beta$ -OH did not statistically change  $\gamma$  power between WT and  $Ca_{V3.1}$  KO animals. WT, seven animals,  $Ca_{V3.1}$  KO, six animals; \* $P<0.05$ , \*\* $P<0.01$ , \*\*\* $P<0.001$ .  $3\beta$ -OH, (3 $\beta$ ,5 $\beta$ ,17 $\beta$ )-3-hydroxyandrostane-17-carbonitrile; CeM, central medial nucleus; KO, knock-out; LFP, local field potentials; WT, wild-type.





**Figure 6.** Oscillatory differences in CeM between WT and  $Ca_v3.1$  KO mice after 3 $\beta$ -OH. (a) Representative spectrograms from the CeM at 30 min after i.p. injections of 3 $\beta$ -OH recorded from WT (upper image) and  $Ca_v3.1$  KO mice (lower image). (b) Power density with electrode confirmation (inset in figure). Power density revealed decreased low frequency oscillations in  $Ca_v3.1$  KO mice under 3 $\beta$ -OH. (c) Total power revealed less power in  $\delta$ ,  $\theta$ ,  $\alpha$ , and  $\beta$  frequency range in  $Ca_v3.1$  KO mice (two-way RM analysis of variance [ANOVA]: interaction  $F_{(4,44)}=10.76$ ,  $P<0.001$ ; oscillations  $F_{(4,44)}=37.80$ ,  $P<0.001$ ; mutation effect  $F_{(1,11)}=32.50$ ,  $P<0.001$ ; Sidak's *post hoc* presented in figure). (d) Analysis of relative power after neurosteroid injection did not find significant differences between WT and  $Ca_v3.1$  KO mice. (e) Change in relative power (relative power under 3 $\beta$ -OH minus relative power during baseline in CeM) revealed differences between WT and  $Ca_v3.1$  KO mice in the  $\theta$  frequency range (two-way RM ANOVA: interaction  $F_{(4,44)}=3.30$ ,  $P=0.019$ ; oscillations  $F_{(4,44)}=8.08$ ,  $P<0.001$ ; mutation effect  $F_{(1,11)}=0.31$ ,  $P=0.591$ ; Sidak's *post hoc* presented in figure). WT, seven animals;  $Ca_v3.1$  KO, six animals; \* $P<0.05$ , \*\* $P<0.01$ , \*\*\* $P<0.001$ . 3 $\beta$ -OH, (3 $\beta$ ,5 $\beta$ ,17 $\beta$ )-3-hydroxyandrostane-17-carbonitrile; CeM, central medial nucleus; KO, knock-out; RM, repeated measure; WT, wild-type.

inhibition promotes slow-wave sleep in WT mice but not in mice lacking  $Ca_v3.1/Ca_v3.3$  T-channels.<sup>29</sup>

Consistent with expression of  $Ca_v3.1$  channels in both cortex and the thalamus,<sup>28</sup> LFP and EEG recordings revealed similar effects of 3 $\beta$ -OH in WT and  $Ca_v3.1$  KO mice – namely the mutant mice exhibited diminished total power in comparison with WT animals in all frequency ranges except in the  $\gamma$  band. In the cortex there was an increase in the relative power of  $\gamma$  frequency oscillations after 3 $\beta$ -OH administration compared with vehicle in mutant mice. Considering the role of high frequency oscillations in arousal and memory processes,<sup>30</sup> augmentation in the range of  $\gamma$  frequency oscillations

could contribute to the observed resistance to hypnosis in mutant mice after 3 $\beta$ -OH. Although interpretation of behavioural studies using global KO mice can be complicated by compensatory changes (as with any KO paradigm), our results with  $Ca_v3.1$  KO mice strongly implicate a role for this T-channel isoform in neurosteroid-induced hypnosis and thalamocortical oscillations.

## Conclusions

A previous study showed that 3 $\beta$ -OH is an effective hypnotic in rat pups<sup>1</sup> without causing neuroapoptosis as is

frequently observed with other anaesthetics that target neuronal GABA<sub>A</sub> (propofol) and N-methyl-D-aspartate (ketamine) receptors.<sup>31–33</sup> Here we demonstrate the importance of the Ca<sub>v</sub>3.1 isoform of T-channels in thalamocortical excitability and oscillations that underlie 3β-OH-induced hypnosis. This work has the potential to overturn existing dogma about the anaesthetic mechanisms of neurosteroids and to shift the focus to underappreciated targets, such as thalamic T-channels. These studies may be used as a starting point to develop novel and potentially safer approaches in clinical anaesthesia.

### Authors' contributions

Performed experiments: TTS, SF, FMM, DW, DW, TC, HF, PD  
 Synthetised the neurosteroids: KK  
 Analysed the data: TTS, SMT  
 Designed the studies, supervised the overall project, and performed final manuscript preparation: TTS, YHR, DFC, VJT, SMT

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### Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.bja.2020.07.022>.

### Declarations of interest

The authors declare that they have no conflicts of interest.

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