

# Incidence, clinical presentation, and outcome of HIV-1-associated cryptococcal meningitis during the highly active antiretroviral therapy era: a nationwide cohort study

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**Background:** Human immunodeficiency virus (HIV) infection with advanced immunosuppression predisposes to cryptococcal meningitis (CM). We describe the incidence, clinical presentation, and outcome of CM in HIV-infected individuals during the highly active antiretroviral therapy (HAART) era.

**Methods:** A nationwide, population-based cohort of HIV-infected individuals was used to estimate incidence and mortality of CM including risk factors. A description of neurological symptoms of CM at presentation and follow-up in the study period 1995–2014 was included in this study.

**Results:** Among 6,351 HIV-infected individuals, 40 were diagnosed with CM. The incidence rates were 3.7, 1.8, and 0.3 per 1000 person-years at risk in 1995–1996, 1997–1999, and 2000–2014, respectively. Initiation of HAART was associated with decreased risk of acquiring CM [incidence rate ratio (IRR), 0.1 (95% CI, 0.05–0.22)]. African origin was associated with increased risk of CM [IRR, 2.05 (95% CI, 1.00–4.20)]. The main signs and symptoms at presentation were headache, cognitive deficits, fever, neck stiffness, nausea, and vomiting. All individuals diagnosed with CM had a CD4<sup>+</sup> cell count <200 cells/μl [median 26; interquartile range (IQR), 10–50]. Overall, mortality following CM was high and mortality in the first 4 months has not changed substantially over time. However, individuals who survived generally had a favorable prognosis, with 86% (18/21) returning to the pre-CM level of activity.

**Conclusion:** The incidence of HIV-associated CM has decreased substantially after the introduction of HAART. To further decrease CM incidence and associated mortality, early HIV diagnosis and HAART initiation seems crucial.

**Keywords:** cryptococcal meningitis, highly active antiretroviral therapy, HIV

## Background

Cryptococcal meningitis (CM) is caused by the encapsulated yeast *Cryptococcus (C.) neoformans* and is generally diagnosed in individuals with cellular immune deficiencies, such as human immunodeficiency virus (HIV)/acquired immune deficiency syndrome (AIDS).<sup>1</sup> CM is the most common cause of meningitis in some areas of sub-Saharan Africa, where the occurrence of CM is the highest in the world.<sup>2</sup> An estimated one million new cases are diagnosed each year and, worldwide, the disease causes >600,000 deaths yearly.<sup>3</sup> The incidence of HIV-associated CM is lowest in Western countries and has declined considerably in North America and Western Europe after the introduction of highly active antiretroviral therapy (HAART).<sup>4–9</sup>

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Incidence rates ranged from 2.5/1000 person-years at risk (PYR) in the pre-HAART era to 1.5/1000 PYR in the post-HAART era.<sup>10</sup>

There has been a general decrease in mortality in HIV-infected individuals in Denmark during the HAART era.<sup>11</sup> The substantial reduction in HIV-associated mortality due to HAART resulted in a notable shift in causes of death among HIV-infected individuals.<sup>12</sup> HIV-infected individuals are more vulnerable to age-, disease-, and/or treatment-related morbidities.<sup>13,14</sup> The main focus in HIV care in the post-HAART era has been HAART-related problems such as toxicity, drug–drug interactions, and antiretroviral drug resistance.<sup>15</sup>

The use of HAART in high-income countries has improved the long-term survival of HIV-infected individuals with CM, but the mortality rate remains high during the first 3 months of CM.<sup>16</sup> This highlights the importance of diagnosing HIV-infected individuals during an early stage, before they develop severe immunodeficiency, to avoid AIDS-associated diseases such as CM. To prevent and control CM in the future, it is imperative to gain insight into the epidemiology of CM. We used a nationwide, population-based cohort of HIV-infected individuals to determine incidence, clinical presentation, and prognosis of CM. Furthermore, we collected data on choice of treatment regimens of CM.

## Methods

### Study design

This nationwide cohort study is divided into two parts. In the first part of the study, we estimated the incidence of CM in a cohort of HIV-infected individuals in the period 1995–2014. In the second part of the study, the study population comprised HIV-infected individuals diagnosed with CM, and the outcomes were neurological symptoms and mortality. We used a study design that has been described previously.<sup>17</sup>

### Setting

On December 31, 2014, Denmark had a population of 5.6 million citizens and the estimated prevalence of HIV-infection in the adult population was 0.1%.<sup>18</sup> Antiretroviral treatment is provided free of charge in Denmark to all HIV-infected individuals in one of the country's eight specialized HIV-care centers. HIV-infected individuals are seen on an outpatient basis at intended intervals of 12–24 weeks. The national criteria for initiating HAART has been described elsewhere.<sup>19</sup>

## Data extraction

Participants were included from the Danish HIV Cohort Study (DHCS) – a prospective study that includes all HIV-infected individuals  $\geq 16$  years since January 1, 1995. Data include demographics, date of HIV diagnosis, AIDS-defining events, antiretroviral treatment, CD4<sup>+</sup> cell counts, viral loads, and so on. Individuals are consecutively enrolled and data are updated annually. All Danish citizens are provided with a unique 10-digit personal identification number (PIN) at birth or immigration, which prevents multiple registrations in the DHCS. The DHCS has been described in detail previously.<sup>19</sup>

## Study population

All HIV-1 infected individuals who 1) were registered in the DHCS prior to December 31, 2014; 2) lived in Denmark at study inclusion; and 3) had been assigned a PIN were included in this study.

## Outcome and statistical analysis

### Part 1

In the first part of the study, the outcome was time to first diagnosis of CM. A diagnosis of CM was defined as detection of *C. neoformans* in the cerebrospinal fluid (CSF) by microscopy, culture, or antigen-test; the test was performed at Statens Serum Institut, where they used a latex agglutination test.<sup>20</sup>

The PYR was calculated from HIV diagnosis or from January 1, 1995, whichever came last until a diagnosis of CM, death, emigration, or December 31, 2014, whichever came first. Incidence rates (IRs) for CM and 95% confidence intervals (95% CI) were calculated for the periods 1995–1996, 1997–1999, and 2000–2014, which corresponds to the pre-, early-, and late HAART periods, data from previous studies have indicated that the use of HAART has changed substantially between these time periods.<sup>21</sup> For the individuals that received HAART during the study period, we calculated IRs from initiation of HAART to CM.

In addition to this, we stratified the analyses based on gender and origin (African and non-African).

### Part 2

In the second part of the study, outcome measures were neurological symptoms and mortality among HIV-infected individuals with CM. Information about laboratory results, treatment, and neurological symptoms at the presentation of CM were extracted from patient records.

Individuals diagnosed with CM were followed for a total of 3 years or until death, emigration, or December 31, 2014 whichever came first.

Neurological symptoms were estimated 4 months and 3 years after CM diagnosis. Neurological status was defined as deteriorated, unchanged, better, or resolved compared to their pre-CM status.

Poisson regression analysis was used to calculate incidence rate ratios (IRRs) as estimates of the impact of risk factors on incidence of CM in the HIV-infected population. Multivariate models included the following covariates: gender, age ( $\leq 40$  years vs  $> 40$  years), origin (African vs non-African), route of transmission [injection drug user vs heterosexual vs men who have sex with men (MSM)], and year of CM diagnosis (before vs after January 1, 1997).

Statistical analyses were undertaken with Stata statistical software: Release 11 (StataCorp. 2009, StataCorp LP, College Station, TX, USA).

## Ethics statement

The study was approved by the Danish Data Protection Agency (journal no. 2008-41-1781). According to Danish legislations individual consent is not required to perform register based studies.

## Results

During screening, 6,351 HIV-infected individuals fulfilled the study inclusion criteria. Of these, 2,015 (32%) individuals were diagnosed with HIV before January 1, 1995; 1,115 (18%) individuals died and 138 (2%) emigrated during follow-up. A total of 4,829 (76%) were men, 2,952 (46%) were MSM, 611 (10%) were injection drug users, and 4,970 (78%) were Caucasian. The median age at study inclusion was 35.0 years [interquartile range (IQR), 28.5–43.1 years; Table 1].

## Part I Incidence of CM

Forty individuals were diagnosed with CM during the study period; 15 were diagnosed with CM between 1995 and 1996, 8 between 1997 and 1999, and 17 in the years 2000–2014.

The overall incidence decreased from the pre-HAART era [IR 3.7/1000 PYR (95% CI, 2.2–6.1)] to the early- [IR 1.8/1000 PYR (95% CI, 0.9–3.6)] and late-HAART era [IR 0.3/1000 PYR (95% CI, 0.2–0.5)] (Table 2).

In individuals in whom HAART was initiated, the incidence of CM was 0.2/1000 PYR (95% CI, 0.1–0.3). The IR was 0.5/1000 PYR (95% CI, 0.3–0.7) for individuals of non-African origin and 1.1/1000 PYR (95% CI, 0.6–2.0) for individuals of African origin.

HAART was associated with a substantial reduction in risk of acquiring CM [IRR 0.1 (95% CI, 0.05–0.22)]. When we adjusted for potential confounders (gender, age, origin,

and route of transmission), the impact of HAART on the risk of CM did not change substantially [IRR, 0.09 (95% CI, 0.05–0.19)]. African origin was associated with increased risk of CM [IRR, 2.05 (95% CI, 1.00–4.20); Table 2].

## Part 2 Characteristics

Characteristics of the HIV-infected individuals diagnosed with CM are summarized in Table 1. All individuals had late-stage HIV disease at CM presentation with a CD4<sup>+</sup> cell count  $< 200$  cells/ $\mu$ l [median 26 (IQR, 10–50)]. The median viral load at diagnosis was 4.8 (IQR, 3.0–5.4) log<sub>10</sub> copies/mL. Thirty-three percent (13/40) were diagnosed with AIDS prior to CM. Thirty-three percent (13/40) were late presenters – that is, they were diagnosed with CM at HIV-diagnosis  $\pm 30$  days.

The median time from HIV diagnosis to CM was 2.24 years (IQR, 0.02–6.55). Seventy-three percent (29/40) of CM individuals were men, 40% (16/40) were MSM, and 53% (21/40) were heterosexual. The median age at CM diagnosis was 38.0 years (IQR, 32.3–44.3).

## Diagnostic methods

Patient records and, thereby, clinical and paraclinical data were missing for 3 out of 40 individuals with CM. Diagnostic results and neurological symptoms are, therefore, only available for a maximum of 37 HIV-infected individuals with CM.

Among those tested, 85% (28/33) tested positive for cryptococcal antigen in CSF and/or blood (Table 3); 70% (23/33) were diagnosed by culture for *C. neoformans* in CSF or blood, and three individuals had a positive blood culture only. Fifty-nine percent (16/27) were diagnosed by microscopy of the CSF for *C. neoformans* and 14 of those had a positive India ink report. The diagnosis was mainly based on a combination of different diagnostic methods.

Seventy-eight percent (29/37) presented with fever and 80% (24/30) had elevated sedimentation rate or C-reactive protein (CRP) level. In contrast, only few individuals had leukocytosis in peripheral blood. CSF pleocytosis was seen in 57% (20/35). Seventy-five percent (15/20) with CSF pleocytosis had leukocyte differentiation, with a predominance of lymphocytes in 10 out of 15 individuals, whereas neutrophils predominated in the latter five individuals. Seventy-seven percent (27/35) had elevated CSF protein levels. The median CSF glucose level was 2.25 (IQR, 1.1–3) mmol/L.

## Neurological symptoms

The most frequent signs and symptoms were fever, headache, nausea, and vomiting cognitive deficits and neck stiffness.

**Table 1** Characteristics of all HIV-infected individuals and HIV-infected individuals with CM including data on treatment

Characteristics/treatment	HIV-infected individuals	CM individuals
	All (n=6351)	(n=40)
Age at HIV/CM diagnosis, median (IQR), years	35.0 (28.5–43.1)	38.0 (32.3–44.3)
Total PYR	66,548	63
Emigrated during study period	138 (2)	2 (5)
Died during study period	1115 (18)	21 (53)
Male	4829 (76)	29 (73)
Caucasian	4970 (78)	25 (63)
Country of birth		
Denmark	4398 (69)	23 (58)
Europe	462 (7)	2 (5)
Africa	864 (14)	10 (25)
Asia	332 (5)	5 (13)
Other/Unknown	257 (4)	0 (0)
Route of transmission		
MSM	2952 (46)	16 (40)
Heterosexual	2371 (37)	21 (53)
Injection drug use	611 (10)	1 (3)
Other/Unknown	370 (6)	2 (5)
Diagnosed with HIV before 1995	2015 (32)	15 (38)
HIV RNA level at HIV/CM diagnosis, median (IQR), log <sub>10</sub> copies/mL	4.8 (4.13–5.4)	4.8 (3.0–5.4)
CD4 <sup>+</sup> cell count at HIV/CM diagnosis, median (IQR), cells/μL	310 (113–514)	26 (10–50)
CD4 <sup>+</sup> cell count <200 cells/μL at CM diagnosis	–	40 (100)
AIDS diagnosis before CM diagnosis	–	13 (33)
HIV diagnosis before CM diagnosis	–	27 (68)
HIV diagnosis at the time of CM diagnosis	–	13 (33)
Interval between HIV diagnosis and CM, median (IQR), years	–	2.24 (0.02–6.55)
Treatment of individuals with CM <sup>a</sup>		
Amphotericin B	–	32 (86)
Fluconazole	–	15 (40)
Voriconazole	–	1 (3)
Flucytosine	–	24 (65)
Itraconazole	–	1 (3)
Steroids	–	6 (16)
HAART	–	30 (75)
Maintenance therapy with fluconazole	–	30 (81)
Years on fluconazole prophylaxis, median (IQR) years	–	1.94 (0.50–4.55)
Reason for stopping antifungal prophylaxis <sup>b</sup>		
Immunological restoration	–	10 (27)
Other reason	–	3 (8)
Death	–	11 (30)
Emigration	–	1 (3)

**Note:** Data presented as n (%) of individuals, unless otherwise indicated. <sup>a</sup>Data on 37 individuals. <sup>b</sup>Three individuals were still treated with antifungal prophylaxis when the study ended.

**Abbreviations:** CM, cryptococcal meningitis; IQR, interquartile range; PYR, person-years at risk; MSM, men who have sex with men; HAART, highly active antiretroviral therapy.

Other neurological signs and symptoms such as coordination disturbance, speech disturbance, visual impairment, facial palsy, limb paresis, seizures, photophobia, and papilledema occurred less frequently (Table 4). Only two individuals presented with a Glasgow Coma Scale <9, and these individuals were admitted to the intensive care units at presentation of CM (Table 3).

After 4 months of follow-up, the main symptoms were nausea, vomiting, and headache. Eighty-one percent (13/16) had an improvement of symptoms, and 62% (10/16) reconstituted to their pre-CM level of activity. Two individuals

showed progression of neurological symptoms and one had the same symptoms as at the onset of CM.

Within 3 years of follow-up, 86% (18/21) had returned to their pre-CM level of activity (Table 4). Table 1 shows antifungal therapy and reasons for stopping maintenance therapy.

## Mortality

Fifty-three percent (21/40) of individuals diagnosed with CM died during the study period; in eight of these cases, death was due to CM. Ten individuals died due to other HIV-related causes such as progressive multifocal leukoencephalopathy

**Table 2** Incidence rates and incidence rate ratios of CM in HIV-infected individuals

Category	PYR, n	CM events, n	IR, per 1,000 PYR (95% CI)	IRR (95% CI)
Observation period				
1995–1996	4,058	15	3.7 (2.2–6.1)	1 (ref.)
1997–1999	4,441	8	1.8 (0.9–3.6)	0.49 (0.21–1.15)
2000–2014	58,049	17	0.3 (0.2–0.5)	0.08 (0.04–0.16)
Gender				
Men	49,459	29	0.6 (0.4–0.8)	0.91 (0.46–1.82)
Women	17,088	11	0.6 (0.4–1.2)	1.10 (0.55–2.20)
Origin				
Non-African	46,529	23	0.5 (0.3–0.7)	1 (ref.)
African	9,290	10	1.1 (0.6–2.0)	2.05 (1.00–4.20)
HAART				
Receiving HAART	50,604	9	0.2 (0.1–0.3)	0.1 (0.05–0.22)

**Abbreviations:** IR, incidence rate; IRR, incidence rate ratio; CM, cryptococcal meningitis; CI, confidence interval; HAART, highly active antiretroviral therapy; PYR, person-years at risk.

**Table 3** Clinical and paraclinical data at presentation in 37 HIV-infected individuals with CM and results of diagnostic tests

Category, parameter	Proportion
Clinical and paraclinical signs at presentation	Number with positive test/number tested (%)
Positive results of diagnostic tests for CM	
<i>C. neoformans</i> in CSF or blood by culture	23/33 (70)
<i>C. neoformans</i> in CSF by microscopy	16/27 (59)
Cryptococcal antigen in CSF and/or blood	28/33 (85)
Fever >37.5°C	29/37 (78)
Fever >38.0°C	26/37 (70)
Elevated sedimentation rate or CRP level	24/30 (80)
Leukocytosis in peripheral blood (>9×10 <sup>9</sup> cells/L)	2/36 (6)
CSF pleocytosis (>10 cells/μL)	20/35 (57)
Elevated CSF protein level (>0.5 g/L)	27/35 (77)
CSF glucose level median (IQR)	2.25 (1.1–3) <sup>a</sup>
Glasgow Coma Scale (Range 0–15)	
Severely impaired (GCS <9)	2/37 (5)
Moderately impaired (GCS 9–12)	1/37 (3)
Mildly or not impaired (GCS >12)	34/37 (92)

**Note:** <sup>a</sup>Five individuals had missing data on CSF glucose level measurement.

**Abbreviations:** CM, cryptococcal meningitis; CSF, cerebrospinal fluid; IQR, interquartile range; CRP, C-reactive protein; GCS, Glasgow Coma Scale.

(PML), Kaposi sarcoma, lymphoma, anal cancer, bacterial infection, and pneumonia. One individual died due to traumatic brain injury and, in two cases, the cause of death was unknown.

Twelve individuals died within the first 4 months of follow-up, and two additional individuals died within the subsequent 3-year period.

## Discussion

This nationwide, population-based cohort study demonstrates that both incidence and mortality of CM among HIV-infected individuals in Denmark have decreased dramatically during the last 20 years (1995–2014).

Furthermore, CM was observed in individuals with advanced HIV disease and severe immunodeficiency, documented by a low median CD4<sup>+</sup> cell count and a high viral load. As in findings from other studies,<sup>22</sup> the most frequent signs and symptoms were fever, headache, nausea and vomiting, cognitive deficits, and neck stiffness. Our study showed that the sensitivity of CSF pleocytosis in individuals diagnosed with CM was 57%. Other observations made by a French study found that 25% of all CM individuals in France had a normal CSF cell count.<sup>23</sup> The absence of pronounced inflammation could be regarded as an argument against CNS infection; however, our findings indicate that a normal CSF cell count does not exclude a CM diagnosis. Other European studies found that cryptococcal antigen testing in HIV-infected individuals with low immunity might represent a strategy to expedite the diagnosis of CM.<sup>24</sup> However, cryptococcal antigen testing in CSF and/or blood had a sensitivity of 85% in our study.

A low CD4<sup>+</sup> cell count was the strongest predictor for being diagnosed with CM. It is most likely that the observed reduction in CM incidence among HIV-infected individuals is due to improved management of HIV by increased use of HAART and decreased antiretroviral resistance in Denmark.<sup>25</sup> These findings suggest that early detection of HIV followed by HAART initiation is essential to avoid severe immunodeficiency and, thereby, life-threatening HIV-associated diseases such as CM. The main focus in the management of CM should be the prophylactic effect of maintaining a high CD4<sup>+</sup> cell count. Our study shows that 33% of all CM individuals during the study period presented for care with an AIDS-defining event. If these late presenters were diagnosed before severe immunodeficiency and HAART was initiated shortly thereafter, these cases could probably be avoided.

**Table 4** Neurological symptoms at primary presentation in 37 HIV-infected individuals with CM and after 4 months and 3 years after CM

Category, parameter	Disease presentation (n=37)	4-month follow-up (n=16)	3-year follow-up (n=21)
Neurological symptoms			
Cognitive deficits	15 (41)	1 (6)	1 (5)
Coordination disturbance	7 (19)	2 (12)	0 (0)
Speech disturbance	3 (8)	2 (12)	0 (0)
Visual impairment	5 (13)	1 (6)	0 (0)
Facial palsy	1 (3)	0 (0)	0 (0)
Limb paresis	4 (11)	2 (12)	0 (0)
Sensory affection	0 (0)	0 (0)	0 (0)
Seizures	4 (11)	0 (0)	0 (0)
Need help in everyday life	2 (5)	3 (19)	0 (0)
Headache	27 (73)	7 (44)	0 (0)
Fever	29 (78)	2 (12)	0 (0)
Nausea and vomiting	16 (43)	4 (25)	0 (0)
Neck stiffness	12 (32)	0 (0)	0 (0)
Photophobia	3 (8)	0 (0)	0 (0)
Papilledema	2 (5)	0 (0)	0 (0)
Status at follow-up			
Progression of neurological symptoms	–	2 (12)	0 (0)
Unchanged neurological symptoms	–	1 (6)	0 (0)
Improvement of neurological symptoms	–	13 (81)	1 (5)
Return to pre-CM level of activity	–	10 (62)	18 (86)

**Note:** Data presented as n (%) of individuals.

**Abbreviation:** CM, cryptococcal meningitis.

African origin was associated with a higher incidence of CM, which corresponds well with the findings of other studies.<sup>7,26,27</sup> CM is a subacute infection, with a long subclinical phase.<sup>28</sup> The increased risk in individuals of African origin most likely reflects that immigrants import the disease in the subclinical phase that then develops to manifest disease after arriving in Denmark.

We generally observed high mortality following HIV-associated CM, and were unable to identify a reduction in mortality of HIV-associated CM over time; specifically, the mortality in the first 4 months did not seem to have changed substantially. However, our statistical power to show a difference was small.

A previous study in Denmark described the outcome of CM in HIV-infected individuals treated at two university hospitals in Copenhagen between 1988 and 2008.<sup>29</sup> In accordance with our study, they found that long-term survival after CM improved significantly with the use of HAART. Our study shows that most survivors recovered with only few neurological complications. This emphasizes the importance of early detection of CM and initiation of aggressive therapy including HAART. However, to further decrease CM incidence and associated mortality, early HIV diagnosis and HAART initiation seems crucial.

To our knowledge, this is the first study to present nationwide population-based data on the incidence of CM in HIV-infected individuals as well as clinical presentation

and outcome of CM during the HAART era in Denmark. Our study's major strengths are the nationwide, population-based design, the long and nearly complete follow-up, and completeness of data.

Our study has some weaknesses. Neurological symptoms were examined retrospectively from patient records. Consequently, the degree of neurological symptoms at presentation of CM and sequelae after the diagnosis of CM may have been even worse than what we have observed. Our study population predominantly included Caucasians (78%) and our results could, therefore, not be generalized to geographical regions such as Africa and Asia.

In conclusion, this study shows that the overall incidence and mortality of CM decreased from the pre- to the late-HAART era. Although CM is a very rare disease in Denmark, it could be connected to an initial manifestation of HIV infection or AIDS and should still be suspected in all HIV-infected individuals with low CD4<sup>+</sup> cell count presenting with neurological symptoms, headache, and fever.

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