RESEARCH ARTICLE



A Global Analysis of Alternative Splicing of *Dichocarpum* Medicinal Plants, Ranunculales



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> Abstract: Background: The multiple isoforms are often generated from a single gene via Alternative Splicing (AS) in plants, and the functional diversity of the plant genome is significantly increased. Despite well-studied gene functions, the specific functions of isoforms are little known, therefore, the accurate prediction of isoform functions is exceedingly wanted.

> Methods: Here we perform the first global analysis of AS of Dichocarpum, a medicinal genus of Ranunculales, by utilizing full-length transcriptome datasets of five Chinese endemic Dichocarpum taxa. Multiple software were used to identify AS events, the gene function was annotated based on seven databases, and the protein-coding sequence of each AS isoform was translated into an amino acid sequence. The self-developed software DIFFUSE was used to predict the functions of AS isoforms.

> **Results:** Among 8,485 genes with AS events, the genes with two isoforms were the most (6,038), followed by those with three isoforms and four isoforms. Retained intron (RI, 551) was predominant among 1,037 AS events, and alternative 3' splice sites and alternative 5' splice sites were second. The software DIFFUSE was effective in predicting functions of Dichocarpum isoforms, which have not been unearthed. When compared with the sequence alignment-based database annotations, DIFFUSE performed better in differentiating isoform functions. The DIFFUSE predictions on the terms GO:0003677 (DNA binding) and GO: 0010333 (terpene synthase activity) agreed with the biological features of transcript isoforms.

> Conclusion: Numerous AS events were for the first time identified from full-length transcriptome datasets of five Dichocarpum taxa, and functions of AS isoforms were successfully predicted by the selfdeveloped software DIFFUSE. The global analysis of Dichocarpum AS events and predicting isoform functions can help understand the metabolic regulations of medicinal taxa and their pharmaceutical explorations.

Keywords: Alternative splicing, *Dichocarpum*, isoform function, DNA sequence, gene expression profile, deep learning.

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1. INTRODUCTION

In the process of alternative splicing (AS; Fig. 1), there are various combinations of splicing sites in a precursor of mRNA (pre-mRNA), and variably spliced mRNAs are generated, which could encode structurally/functionally variable proteins from a sole gene [1, 2]. The transcriptome diversity can be enhanced via AS in all eukaryotic organisms, which is indeed indispensable in botanical tissue identity and adapting to stress conditions [3, 4]. As critical machinery of transcription regulation [5, 6], AS might contribute to the dynamic reprogramming of the plant transcriptome during both beneficial and detrimental interactions [7]. One of the deterministic consequences is the adaptive shift of specialized metabolite biosynthesis. For example, 20,015 AS events of 6,324 Panax notoginseng genes were identified in PacBio transcriptome sequencing [8] (Table S1), many of which were associated with the ginsenoside biosynthesis. In the full-length transcriptome of Artemisia annua [9], 39 isoforms of 15 genes of the artemisinin biosynthesis pathway and upstream MVA and MEP pathways were identified. In Cannabis sativa, AS occurred in the cannabinoid biosynthetic genes LOX, AAE, PT, DXR, MCT and HDR [10]. The terpene synthase gene CsLIS/NES has two splicing forms, which influence the biosynthesis of nerolidol/linalool

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in *Camellia sinensis* [11]. The AS events of TCS (caffeine synthase), CHS (chalcone synthase) and LAR (leucoanthocyanidin reductase) genes were unearthed *via* full-length sequencing of the transcriptome [12]. The AS transcripts of C4H (cinnamate-4-hydroxylase) 1, FLS (flavonol synthase) 1, PAL (phenylalanine ammonia-lyase) 2, CCR (cinnamoyl-CoA reductase) 2, UGT (UDP-glycosyltransferase) 75L12 and MYB113-1 might be crucial in controlling anthocyanin production of *C. sinensis* var. assamica [13]. The swift shifts of gene expression might be partially due to altered AS patterns [14], especially upon the environmental stimuli. However, little is known about the AS events and their putative association with biosynthesis in the majority of medicinal species-rich families, such as Ranunculaceae and other phylogenetically related Ranunculales families [15].

The second-generation high-throughput sequencing and assembly approaches are weak in the identification of AS events [16]. In third-generation sequencing, full-length transcripts are obtained with no short reads assembly, ensuring the accurate detection of AS isoforms [9]. In species with known whole genome sequences, *e.g. Brassica napus* [16], the number of novel isoforms identified *via* third-generation transcriptome sequencing, including novel isoforms from both novel and known genes, accounts for > 60% of all isoforms, illustrating the high effectiveness of full-length transcriptome sequencing.

The basal eudicot order Ranunculales are rich in terpenoids, alkaloids, flavonoids and other bioactive chemicals [17]; numerous Ranunculales species are traditionally used in ethnomedicine and folk medicine [18]. *Dichocarpum* is a Ranunculaceae genus named by Pei-Gen Xiao and Wen-Cai Wang [19]. Like many other Ranunculaceae genera, *Dichocarpum* might undergo multiple rounds of genome duplica-

tion and is abundant in polyploid species [20]. Numerous phytometabolites are identified in *Dichocarpum* taxa, many of which could be used clinically [21, 22]. The wholegenome sequencing has not been performed for most Ranunculaceae species, making the AS detection an awesome task. Recently, the full-length transcriptomes of five Dichocarpum taxa endemic in China, i.e., Dichocarpum basilare, D. auriculatum, D. fargesii, D. malipoense and D. lobatipetalum, were sequenced on the PacBio sequencing platform [22], generating 71,598 non-redundant full-length transcripts; many transcripts participate in immunity, defense response and stress adaptation, and those involved in the production of secondary metabolites are particularly intriguing. We extracted 27 orthologs extracted from transcriptome datasets, which were jointly used to construct the evolutionary tree; the tree topology was validated by the Pearson correlation between gene expression modes of Dichocarpum taxa and metabolome-based clustering analysis. In the transcriptome wide analysis of metabolite biosynthetic genes, the inferred evolutionary courses could partially explain the chemodiversity of specialized metabolites identified in Dichocarpum and phylogenetically close taxonomic groups; the biosynthetic pathways can be suggested based on the combined omics data. We suggest that the gene duplications are not rare in Ranunculales, and there might be a genus-specific Whole Genome Duplication (WGD) in Dichocarpum. There are widespread correlations between gene expressions and phytometabolite levels, and genes essential for the biosynthesis of secondary metabolites might undergo co-evolution. Under such a scenario, we hypothesize that the global analysis of *Dichocarpum* AS could be achieved via full-length transcriptome datasets and state-of-the-art software, which facilitate deeper studies of omics and utility of Ranunculales species. The functions of genes/ isoforms

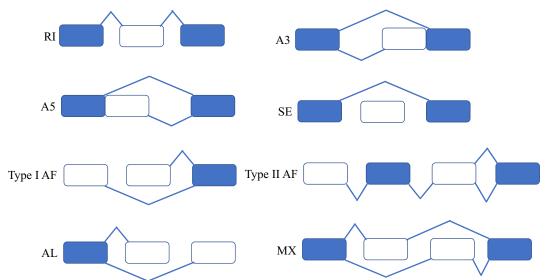


Fig. (1). Commonly found AS types in medicinal plants. Blue box, exon; white box, alternative region. In intron retention (RI), the intron is retained in the mature mRNA, instead of being spliced out as usual. In alternative 3' splice site (A3), the alternative 3' acceptor site (splice junction) is utilized, altering the 5' boundary of downstream exon. In alternative 5' splice site (A5), the alternative 5' donor site is used, changing the 3' boundary of upstream exon. In exon skipping (SE), the exon is spliced out of the primary transcript, which is the most common mode in mammalian (but not in plant) pre-mRNAs. AL, alternative last exon. Type I AF (alternative first exon): Alternative 1st exons mutually exclusive in different gene structures; Type II AF: The 1st exon is (part of) a downstream exon of other transcripts. In mutually exclusive exons (MX), one of two exons is retained in the mRNA after splicing, but not both. (*A higher resolution / colour version of this figure is available in the electronic copy of the article*).

of *Dichocarpum* have not been fully probed so far. Although the gene functions could be analyzed by sequence alignment and based on annotations of homologs, it is challenging to resolve the isoform functions by this approach, given that the majority of their sequences are identical. Therefore, the self-developed software DIFFUSE [23] is used to predict isoform functions of Dichocarpum. DIFFUSE is a deep learning-based methods, which is able to predict isoform functions from gene-level functional annotations and isoform amino acid sequences and expression profiles. The predicted isoform functions help understand the metabolic control in Dichocarpum, as well as subsequent studies of naturally occurring botanical treasures.

Alternative Splicing of Dichocarpum Medicinal Plants, Ranunculales

2. MATERIALS AND METHODS

The GMAP (Genome Mapping and Alignment Program)-2017-06-20 was used to map error-free nonredundant Dichocarpum transcript sequences to UniTrans-Models (unique transcript model(s) reconstructed from each transcript family/cluster assembled based on PacBio fulllength sequencing of five Dichocarpum taxa) [22]. We examined splicing junctions of transcripts mapped to the same UniTransModels, to collapse transcripts with identical splicing junctions, then transcripts with dissimilar splicing junctions were regarded as transcript isoforms of UniTrans-Models. SUPPA [24] (https://github.com/comprna/SUPPA) was used to identify AS events under default settings.

The gene function was annotated [22, 25] based on NT [26] (NCBI non-redundant nucleotide sequences), NR [26] (NCBI non-redundant protein sequences), KOG/COG [27] (Clusters of Orthologous Groups of proteins), Pfam [28] (Protein family), KO [29] (KEGG Ortholog database), Swiss-Prot [30] (manually annotated and reviewed protein sequence database) and GO [31] (Gene Ontology) databases. In NT database analysis, the software BLAST [32] and e-value '1e-10' were used; in NR, KOG, Swiss-Prot and KEGG analyses, the software Diamond BLASTX [33] and e-value '1e-10' were used; in Pfam analysis, the software Hmmscan [34] was used.

The ANGEL pipeline (https://github.com/PacificBio sciences/ANGEL), a long-read implementation of ANGLE [35], was used to determine protein-coding sequences (CDSs) of Dichocarpum. The assured protein sequences of one taxon or its closely related taxon were used in ANGEL training, and CDSs of given sequences were predicted by ANGEL. The software bowtie2 [36] was used to align sequencing reads to the reference transcriptome, and RSEM [37] was used to quantify expression levels of transcripts via the expected number of Fragments Per Kb of transcript sequence per Millions bp sequenced (FPKM).

The software DIFFUSE was used to analyze isoform functions of Dichocarpum taxa. Here we adopted the functional categories defined by GO as done in the original paper of DIFFUSE [23]. To predict GO terms associated with each isoform, DIFFUSE requires the input data of genelevel functional annotations, the amino acid sequences and conserved domains of each isoform, as well as networks of isoforms measuring their expression similarities. The GO annotations of each gene were obtained as mentioned above through sequence alignment. Using the same sequence alignment approach, we also collected the GO annotations

for each isoform in order to make comparisons with the predictions of DIFFUSE. Generally, the AS isoforms from the same gene got identical annotations by sequence alignment. After aggregating all the GO terms annotated at the gene level, 1,900 GO terms were considered in the predictions of DIFFUSE, in which GO terms from MF (molecular function), BP (biological process) and CC (cellular component) branches were 821, 807 and 272 respectively.

The CDS of each AS isoform was translated into an amino acid sequence, and the NCBI Conserved Domain Database [38] was used to get conserved domains by searching. The isoform expression profiles were used to construct expression similarity networks. Due to the lack of biological replication in each species, correlations between expression profiles cannot be calculated, leading to the inability of constructing the co-expression network of isoforms. Therefore, the isoform networks were built from the Euclidean distance between the logarithm transformed expression levels of AS isoforms: $w(e_i, e_i) = max(10 - |log(1 + e_i) - log(1 + e_i)|, 0),$ where e_i and e_i represent the expression values of isoforms i and i, and w(e_i, e_i) represents the edge weight between two isoforms in the expression similarity network. Since each species had only one PacBio sequencing sample and biological replicates were not available, the correlation analysis was not feasible. The workflow of AS analysis and functional prediction is graphically represented in Fig. (2).

3. RESULTS

The AS was identified from 21,163 sequence isoforms (Table S1, Dataset S1), corresponding to 8,485 assembled UniTransModels (i.e. reconstructed genes) of five Dichocarpum taxa. All isoform sequences of Dichocarpum species used to identify AS events are shown in Dataset S1. The genes with two isoforms were the most (6,038), followed by those with three isoforms (1,566) and four isoforms (487) (Table S2); PB.2409 0 path1 had the most isoform (17). The length of genes with isoforms varied between 200 and 10,000 bp (Table S3); genes of 1,900 bp were the most (392), followed by 2,000 bp (387) and 2,300 bp (380). Totally 1,037 AS events were identified (Table S4), and retained intron (RI, 551; Fig. 1) was the most; alternative 3' splice sites (A3, 253) was more than alternative 5' splice sites (A5, 160) and skipping exon (SE, 59). In other plants (Table S1), RI was also the most commonly found AS type. The number of alternative first exons (AF) and alternative last exons (AL) was 10 and four respectively, and there were no mutually exclusive exons (MX).

3.1. Isoform Functions Predicted by DIFFUSE

Totally 1,900 GO terms, including 821, 807 and 272 from MF, BP and CC branches, respectively, were considered in the predictions of DIFFUSE. We obtained 959,254 function predictions on 70,199 AS isoforms, 350,717 of which were de novo ones, meaning that we predicted the functions of isoforms for those genes that are unknown to have these putative functions.

3.2. Divergence of Isoform Functions

Given the expected functional divergence of AS isoforms [39], it might be enlightening to predict the func-

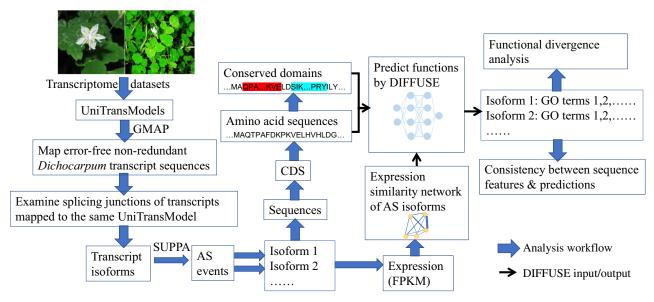


Fig. (2). The work flow of AS analysis and functional prediction of AS isoforms. (A higher resolution / colour version of this figure is available in the electronic copy of the article).

tional divergence of isoforms [23, 40]. For each pair of isoforms of each multiple-isoform gene, we estimated their functional similarity according to the semantic similarity score obtained via GOssTo [41], and three GO branches were considered discretely. We defined isoform pairs with similarity scores 1.0 as "identical pairs", those with similarity scores 0.0 as "distinct pairs", and the others as "intermediate pairs". The analysis was performed for both the annotations obtained from sequence alignment and the functions predicted by DIFFUSE. The distributions of GO similarity scores of all considered isoform pairs (Fig. 3) were obtained based on their sequence alignment annotations. Only 19.5%, 22.1% and 24.8% of isoform pairs had differences (intermediate pairs + distinct pairs) in their functional explanations in MF, BP and CC branches respectively. In contrast, in DIFFUSE predictions, 54.1%, 38.7% and 50.3% of isoform pairs had the functional differences in MF, BP and CC respectively (Fig. 4). These suggest the better performance of DIFFUSE in discriminating isoform functions over the database annotations. The degree of functional divergence predicted by DIFFUSE might be more realistic when prior findings in the literature were taken into account [39].

3.3. Validation of Predicted Isoform Functions

A validation was conducted to examine the constancy between the presence of vital biological features in isoforms and DIFFUSE predictions. The functions concerning DNA binding and terpene synthase activity were analyzed here. Transcription factors (TFs) are essential in regulating specialized plant metabolism [25], which could be directly involved in producing medicinal compounds in plants. Therefore, we checked our predictions on the DNA binding function (GO:0003677) through examining domains of a set of isoforms from plant TF genes. Specifically, 207 isoforms were selected from multiple-isoform genes with two criteria: (i) The gene is annotated with the function of DNA binding (GO:0003677); (ii) The gene contains at least one DNA binding domain of plant TFs. We then checked the con-

sistency between predictions on GO:0003677 of selected isoforms and the presence of DNA binding domains in their sequences. Isoforms annotated with DNA binding domains could be more possible to bind DNA. The Jaccard index between the presence of DNA binding domains and our predictions is 0.872 (Table 1), suggesting high consistency. Specific predictions on GO:0003677 and domains of isoforms are shown in Table S5. Besides, we did the predictions on terpene synthase activity (GO: 0010333) and terpene synthase domain, and 27 AS isoforms were selected with the same criteria. The Jaccard index between having the terpene synthase activity or not and the existence of terpene synthase domain is 0.750 (Table 2), which is lower than that of DNA binding, but still plausible; the term GO: 0010333 is more specific than GO:0003677, thus it can be harder to predict with fewer training samples. Details of functional predictions and domains for this case are shown in Table S6. In addition, predictions of DIFFUSE on five representative specialized metabolism-related GO terms are listed in Table S7.

4. DISCUSSION

In the present study, for the first-time numerous AS events are identified from full-length transcripts of Dichocarpum, a representative Ranunculales medicinal genus, which significantly upsurges the diversity of Ranunculaceae proteome and metabolome [21, 22], sometimes possibly leading to completely different proteins/metabolites. In Arabidopsis, around 61% of multi-exon genes are alternatively spliced under normal growth conditions [5] (Table S1); in cucumber, 58% of multi-exon genes undergo AS [42]; in polyploid cotton, divergent AS isoforms were generated from 51.4% of homoeologous genes in each subgenome [43]. However, it was challenging to identify most AS events based on the second generation high-throughput sequencing, e.g. Illumina-based one, when the whole genome sequences were not available [25, 44]; in the Illumina sequencing, full-length transcripts have to be assembled for

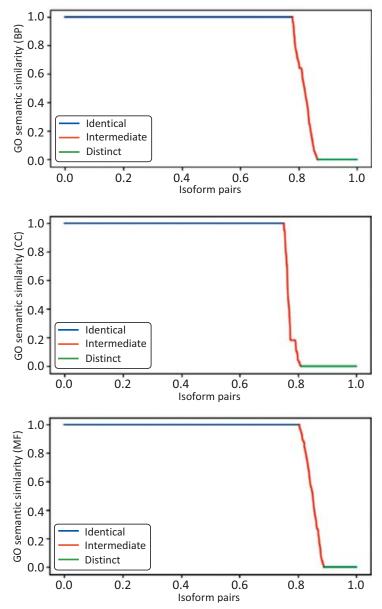


Fig. (3). Spreading of GO similarity scores of isoform pairs inferred from database annotations on MF, BP and CC. (A higher resolution / colour version of this figure is available in the electronic copy of the article).

the AS identification, as only short sequencing reads are generated, and the PCR amplification bias cannot be eliminated during sequencing library construction. The Pacific Biosciences (PacBio) full-length sequencing enables the global mining of isoforms independent of whole-genome sequences [22]. When compared with Illumina short read sequencing, the PacBio isoform sequencing (Iso-Seq) is more efficient in precisely finding AS isoforms in polyploid species, where the sequence similarity between coexisting subgenomes is prevalent [16, 43]. In the integrated framework of response to Jasmonic Acid (JA), AS represents an essential regulatory layer between TFs and protein abundance/modification changes [45], among others. AS can be elicited by environmental cues rapidly, and then participates in transcriptome reprogramming, thus precisely modifying functional responses [46]. For example, a negative feedback loop was created by a repressor JAZ10 isoform to make cells insensitive to the JA cue [47], which could influence

the biosynthesis of numerous specialized metabolites (e.g. flavonoids and terpenes) [25].

In polyploid species, total mRNA levels may not alter, but splicing isoform ratios of some genes may change substantially under the biological crosstalk [7, 16]. The AS type ratios vary in different plants. In Dichocarpum and Arabidopsis, the shares of A3 and A5 were more than that of SE [5] (Table S1), analogous to other dicots such as soybean [48], Panax notoginseng [8] and Camellia sinensis var. assamica purple cultivar [13], among others; the same is true for monocots rice [4] and moso bamboo [49]. SE was more common than A3 and A5 in Panax ginseng [50] and Camellia sinensis [51] (Table S1). AF and AL were identified unambiguously only in the minority species such as C. sinensis var. assamica purple cultivar and Dichocarpum, which may have unique evolutionary trajectory. MX was identified in C. sinensis, P. ginseng and rice, rather than in Dichocarpum. The differences between proportions of each AS type in

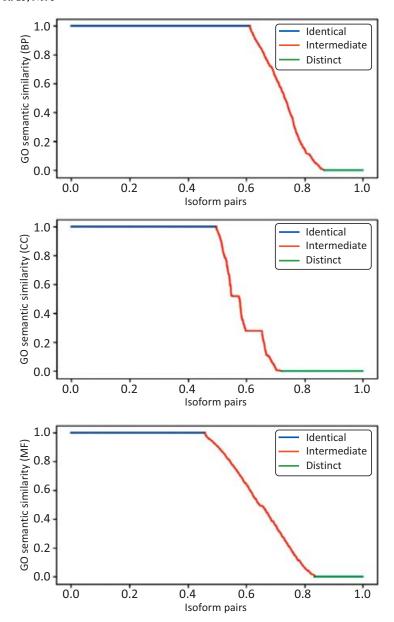


Fig. (4). Spreading of GO similarity scores of paired isoforms inferred from DIFFUSE predictions on MF, BP and CC. (*A higher resolution / colour version of this figure is available in the electronic copy of the article*).

Table 1. Constancy between the existence/lack of DNA binding domains and functional predictions of GO:0003677 (DNA binding) of 207 selected isoforms.

-	With DNA Binding Domains	No. DNA Binding Domains
With the function	163	10
Without the function	14	20
Jaccard index	0.872	-

different taxa show that the approaches of intron processing and/or AS could have diverged extensively under various selection pressure.

As the whole genome sequencing of *Dichocarpum* has not been performed, much less AS events were identified in

Dichocarpum when compared with other species. In addition, the AS frequency arguably decreases after gene /genome duplication [1]; the AS disparity of duplicated genes was influenced by age [52]: A positive correlation was identified in anciently duplicated genes, but in recently du-

Table 2. Constancy between the existence/lack of terpene synthase domain and functional predictions of GO: 0010333 (terpene synthase activity) of 27 selected isoforms.

-	With Terpene Synthase Domains	No. Terpene Synthase Domains
With the function	15	3
Without the function	2	7
Jaccard index	0.750	-

plicated ones it was a negative correlation. There were multiple rounds of WGD during the evolution of Ranunculales [53]; in Miocene, the Dichocarpum genome might underwent WGD and/or segmental duplication [22], meanwhile the evergreen broadleaved forests rapidly rose in subtropical regions of East Asia. Interestingly, the Ranunculales families Berberidaceae/Lardizabalaceae had overlapping ecological distributions with *Dichocarpum*, and they all diversified biogeographically. The gene families involved in specialized metabolite biosynthesis and transport had rapid and recent expansion in *Dichocarpum* and possibly phylogenetically close genera. Some gene duplications necessary for subsequent neofunctionalization/subfunctionalization of essential genes involved in the production of flavonoid and anthocyanin occurred exclusively in the Ranunculaceae lineage, which, along with AS events, makes this basal eudicot family a bonanza of ecological/pharmaceutical resources.

In a full-length transcriptome of C. sinensis [51], 28,980 AS events were identified; RI is the most common form of AS, which is similar to Dichocarpum, polyploid cotton [43], cucumber [42], Arabidopsis [5] and maize [3]. However, AF and AL were not included in this AS analysis of C. sinensis. RI events of chalcone isomerase and dihydroflavonol 4reductase genes were found from the genomic and transcriptome data of C. sinensis [54]. However, it is more difficult to identify the AS events in Dichocarpum without available whole genome sequences. DIFFUSE is effective in predicting isoform functions of Dichocarpum taxa; 207 isoforms were identified from genes with DNA binding domain (Table S5), which belong to TF families such as WRKY, bZIP, Myb, PHD, SBP, tify, zf-C2H2 and B3. Interestingly, MYB113-1 could be a crucial AS transcript for regulating anthocyanin biosynthesis in C. sinensis var. assamica [13]. Seventeen Salvia miltiorrhiza bZIP genes underwent AS events [55], which could regulate the flux through MVAand MEP-dependent isoprenoid biosynthetic pathways [56]. Therefore, it worths studying whether the AS isoform with the above DNA binding domain is important in the biosynthesis of any Dichocarpum metabolites, e.g. anthocyanin and triterpenoid [22].

CONCLUSION

DIFFUSE performs better than the annotations based on sequence alignment in distinguishing isoform functions. In case studies, the predictions on terms GO:0003677 (DNA binding) and GO: 0010333 (terpene synthase activity) agree with the biological characteristics of isoforms. As no proper biological features can be selected for some GO terms such

as terpenoid metabolic process GO:0006721, secondary metabolic process GO:0019748 (Table S7), their DIFFUSE predictions have to be validated by alternative evidence, e.g., characterization of recombinant enzymes. The investigation of posttranscriptional regulation is necessary to gain more information about the complexity of various metabolic/signaling pathways. Predicting AS isoform functions can facilitate understanding the metabolic control of medicinal taxa and help the related pharmaceutical studies. We provide novel visions of the complexity of AS and isoform function and will boost our understanding of AS in polyploid species. Our methods of Iso-Seq data scrutiny are a useful reference for dissecting AS of other species. The alterations in AS numbers and transcript expression could contribute to swift alterations in gene expression and metabolite content under biotic/abiotic stress [6, 57-61], indicating that AS events play a vital regulatory role against environmental cues in plants. However, the exact link between AS isoforms and medicinal phytometabolites has not been established, which warrants further explorations.

LIST OF ABBREVIATIONS

= Alternative 3' splice site A3 A5 Alternative 5' splice site AF Alternative First exon Alternative Last exon AL Alternative Splicing AS

Benzylisoquinoline Alkaloid BIA

DIFFUSE Deep learning- based prediction of Iso-Form Functions from Sequences and Ex-

pression

JA Jasmonic Acid

MX Mutually Exclusive Exon

RI Retained Intron Skipping Exon SE

WGD Whole Genome Duplication

AUTHOR CONTRIBUTIONS

D.C.H. and P.G.X. designed the research; D.C.H. and H.C. performed the experiments and informatics analysis; P.G.X. and T.J. supervised the project; D.C.H., P.G.X. and T.J. provided resources and financial support; D.C.H. and H.C. wrote the manuscript. All authors read and approved of its content.

ETHICS APPROVAL AND CONSENT TO PARTICI-**PATE**

Not applicable.

HUMAN AND ANIMAL RIGHTS

No animals/humans were used for studies that are the basis of this research.

CONSENT FOR PUBLICATION

Not applicable.

AVAILABILITY OF DATA AND MATERIALS

The data that support the findings of this study are available within the article.

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CONFLICT OF INTEREST

The authors declare no conflict of interest, financial or otherwise.

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SUPPLEMENTARY MATERIAL

Supplementary material is available on the publisher's website along with the published article.

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