

Prediction of the mesiodistal size of unerupted canines and premolars for a group of Romanian children: a comparative study

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ABSTRACT

Objectives: The aim of the present study was to develop an optimization method of multiple linear regression equation (MLRE), using a genetic algorithm to determine a set of coefficients that minimize the prediction error for the sum of permanent premolars and canine dimensions in a group of young people from a central area of Romania represented by a city called Sibiu. **Material and Methods:** To test the proposed method, we used a multiple linear regression equation derived from the estimation method proposed by Moijer, to which we adjusted regression coefficients using the Breeder genetic algorithm. A total of 92 children were selected with complete permanent teeth with no clinically visible dental caries, proximal restorations or orthodontic treatment. A hard dental stone was made for each of these models, which was then measured with a digital calliper. The Dahlberg analyses of variance had been performed to determine the error of method, then the Correlation t Test was applied, and finally the MLRE equations were obtained using the version 16 for Windows of the SPSS program. **Results:** The correlation coefficient of MLRE was between 51-67% and the significance level was set at $\alpha=0.05$. Comparing predictions provided by the new and respectively old method, we can conclude that the Breeder genetic algorithm is capable of providing the best values for parameters of multiple linear regression equations, and thus our equations are optimized for the best performance. **Conclusion:** The prediction error rates of the optimized equations using the Breeder genetic algorithm are smaller than those provided by the multiple linear regression equations proposed in the recent study.

Key words: Regression analysis. Dentition mixed. Mesiodistal crown diameters. Genetic algorithms. Romanian population.

INTRODUCTION

The estimation of the mesiodistal size of the permanent canine and of the two premolars before their eruption is important for the early evaluation of the need for space in this area and consequently to the mandible and maxillary. This represents an important part of diagnosis and orthodontic treatment strategy.

The estimation methods, performed during mixed dentition, can be grouped into three categories: those using multiple linear regression equations (MLRE), those using radiographs and those using

a combination of the two methods^{5-7,11,13,16}.

Among these methods recently reported in the literature, those based on MLRE have the highest predictive capacity of the mesiodistal diameters (MDD) for unerupted canines and premolars. The prediction capacity of these methods can vary depending on the characteristics of constitutional types from different areas and it is sometimes possible to vary even in the case of the same country^{1-4,6-12,15,11,17,18}.

Our aim was to verify, with the help of the MLRE recently used in the literature^{4,5}, if the sizes of unerupted teeth from the support area can be

predicted, with sufficient accuracy, for a group of children from Sibiu, a city located in the central area of Romania.

The first objective of the study was to verify the accuracy of a recently used MLRE, based on known variables, namely the mesiodistal diameters of teeth 42, 21 and 46, used in prediction of the sizes of unerupted teeth from the support area.

The second objective of the study was to use an evolutionary calculation method based on the Breeder genetic algorithm, to optimize the regression coefficients used in the MLRE. This way the accuracy of the predictions can be improved^{10,13}.

MATERIAL AND METHODS

A representative public school with a population of 321 children, 12-15 years old, from Sibiu (Romania) was selected for this study. From these subjects, a simple random technique was used to select 92 students (47 females and 45 males) fulfilling the selection criteria:

- To have the parents' written consent to participate in the study;
- To present on the dental arches fully erupted permanent teeth (molar 3 was not taken into consideration);
- The erupted teeth must not show abnormalities of shape, size or structure;
- The teeth must not have missing substance in the mesiodistal size due to decay, trauma or orthodontic treatments that have provided stripping.

Dental impressions had been taken with alginate impression material and immediately poured with hard dental stone to avoid any distortion. To measure teeth size models we used a digital calliper manufactured by Vogel GmbH & Co. KG (Ossenpass 4, 47623 Kevelaer, Germany) with an accuracy of 0.01 mm.

Measurements were performed after the procedure proposed by Seipel¹². All models were measured 2 times by the same author and the result used was the average of the two values. We calculated the Pearson correlation coefficient between measurements and the method error (ME) was calculated using the Dahlberg formula:

$$ME = \sqrt{d^2 / 2n}$$

where *d* is the difference between the two measurements and *n* is the number of patterns measured for the second time.

To estimate the size of the unerupted canines and premolars, we have chosen a recently proposed equation⁵ based on known variables 21, 42 and 46. The form of this equation is: $Y = X_1 \times A_1 + X_2 \times A_2 + X_3 \times A_3 + A$, where *Y* is the outcome expected, *X*₁, *X*₂, *X*₃ are independent variables determined by the size of the teeth 42, 46 and 21, *A*₁, *A*₂ and *A*₃

are regression coefficients for used teeth and *A* is a specific constant.

The values of constant "A" and regression coefficients of the equation are presented in Table 1.

The following presents our approach based on Genetic Algorithms to optimize the regression coefficients presented above in order to provide a more accurate method for prediction of the mesiodistal width of unerupted permanent canines and premolars.

Genetic Algorithms (GAs) are adaptive heuristic search algorithms based on the evolutionary ideas of natural selection and genetics. GAs are inspired by Darwin's theory about evolution – "the survival of the fittest". GAs exploit historical information to direct the process of search through the space of possible solutions (also called the search space). Genetic Algorithms are widely used to solve optimization problems. An optimization problem is centered on an objective function, which is to be minimized or maximized. Imitation of natural selection and evolution is performed using the following genetic operators: selection, crossover and mutation. These operators are applied on a population of individuals called chromosomes which are possible solutions from the search space. Each chromosome contains a fixed number of genes. A gene is usually encoded by a binary value (0 or 1). The evolution process can be briefly described as thus: the selection operator is used to choose the best individuals from the current population using an evaluation function called the fitness function. On each pair of selected chromosomes, the crossover operator is applied, obtaining a new individual on which the mutation operator is then applied with a given probability established as an algorithm parameter (probability of mutation). The new chromosome is then inserted in a new population, and the process described above is repeated until all the necessary individuals are generated (the dimension of the population is also an algorithm parameter). Each new population represents a generation.

Because the parameters of the multiple linear regression equation are real values, we are using a Breeder genetic algorithm in order to avoid a weak point of classical GAs, represented by their discrete representation of solutions, which implies a limitation of the power of the optimization process.

The Breeder genetic algorithm, proposed by Mühlenbein and Schlierkamp-Voosen¹⁴ (1994) represents solutions (chromosomes) as vectors of real numbers, much closer to the reality than normal GAs.

The selection is achieved randomly from the T% best elements of the current population, where *T* is a constant of the algorithm (usually, *T*=40 provide the best results). Thus, within each generation,

from the T% best chromosomes are selected two elements, and the crossover operator is applied over them. The mutation operator is applied on the new child obtained from the mate of the parents. The process is repeated until N-1 new individuals are obtained, where N represents the size of the initial population. The best chromosome (evaluated through fitness function) is inserted in the new population (1-elitism). Thus, the new population will also have N elements.

The Breeder genetic operators

Let $X = \{X_1, X_2, \dots, X_N\}$ and $Y = \{Y_1, Y_2, \dots, Y_N\}$ be two chromosomes, where $X \in R$ and $Y \in R, i = 1, n$. The crossover operator has as a result, a new chromosome, whose genes are represented by values $z_i = x_i + \alpha_i(y_i - x_i), i = 1, n$, where α_i is a random variable uniformly distributed between $[-\delta, 1 + \delta]$, and δ depends on the problem to be solved, typically in the interval $[0, 0.5]$.

The probability of mutation is typically chosen as $1/n$. The mutation scheme is given by $x_i = x_i + s_i \cdot r_i \cdot a_i, i = 1, n$ where: $s_i \in \{-1, +1\}$ uniform at random, r_i is the range of variation for x_i , defined as $r_i = r \cdot domain_{x_i}$, where r is a value in the range between 0.1 and 0.5 (typically 0.1) and $domain_{x_i}$ is the domain of the variable x_i and $a_i = 2^{-k\alpha}$ where $\alpha \in [0, 1]$ uniform at random and k is the number of bytes used to represent a number in the machine where the Breeder algorithm (mutation precision) is executed within .

The Breeder genetic algorithm

The skeleton of the Breeder genetic algorithm may be defined as follows:

```

Procedure Breeder
begin
t=0
Randomly generate an initial population P (t) of
N individuals
Evaluate P(t) using the fitness function
while (termination criterion not fulfilled) do
for i=1 to N-1 do
Randomly choose two elements from the T%
best
elements of P(t)

```

Table 1- Parameters of multiple linear regression equation used³

Canines premolars group	A			
	Constant	A ₁	A ₂	A ₃
Maxillary	6.563	0.822	0.595	0.411
Mandible	3.35	0.872	0.71	0.538

```

Apply the crossover operator
Apply the mutation operator on the child
Insert the result in the new population P'(t)
end for
Choose the best element from P(t) and insert
it into P'(t)
P(t+1)=P'(t)
t=t+1
end while
end

```

The optimization process

The aim of the Breeder genetic algorithm is to find new values for the parameters of the multiple linear regression equation presented in Figure 1, in order to reach a better prediction.

Each chromosome contains four genes, representing the real values $A_i, i = 1, 3$ and A . The fitness function for chromosomes evaluation is represented by the number of cases from the training set, having an approximation error obtained with the new equation (in absolute value) bigger than the prediction error provided by the original equation. In our tests the parameters of the Breeder algorithm are assigned with the following values: $\delta = 0, r = 0.1$ and $k = 8$. The initial population has 1500 chromosomes and the algorithm is stopped after 30,000 generations.

Data provided by our study models was randomly divided into two sets: the training set, containing 50 cases and the validation set, composed by 42 study models.

Implementation of our new optimization method was accomplished in Java language, using Net Beans 7.01.

RESULTS

The MLRE method used two equations, one for the mandible and the other for the maxillary.

Because there are differences in the measurements of teeth between the left and right quadrants for the mandible and maxillary, respectively, in order to improve the prediction, we

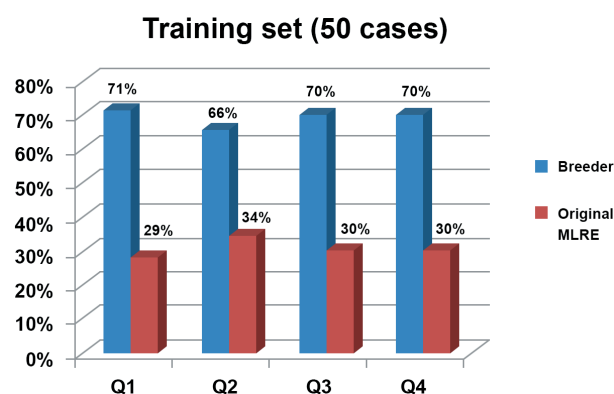


Figure 1- Predictions on the training set

are using four equations, one for each quadrant.

Using the data from the training set, the Breeder algorithm finds new values for the parameters of the initial multiple linear regression equation (Table 2). The accuracy of prediction made by optimized equations was verified using the validation set.

The order of reliability of both compared

Table 2- Optimal values of parameters for multiple linear regression equations provided by the Breeder genetic algorithm

Quadrant	A	A1	A2	A3
1	51.917	0.7571	0.85332	0.28341
2	516.292	0.90463	0.68192	0.41011
3	331.241	0.89357	0.72022	0.51352
4	328.732	0.70242	0.84793	0.47736

Table 3- The correlation coefficients *r* for multiple linear regression equations

Quadrant	Linear regression equations	
	Original MLRE	Optimized with Breeder
1	0.546	0.572
2	0.509	0.510
3	0.671	0.671
4	0.625	0.664

prediction methods is the same. As we can see from Table 3, the correlation coefficient *r* calculated for the all four linear regression equations is almost the same for the original MLRE equations as for the Breeder optimized equations.

In Figure 1 and Figure 2 the optimized and the original multiple linear regression equations are evaluated, respectively, using the number of cases better evaluated as criteria.

A comparison of prediction error in estimating the mesiodistal widths of the canines and premolars in the maxilla (quadrant 1 - 2) and mandible (quadrant 3 - 4) using multiple linear regression equations in original and optimized form, respectively, is presented in Figures 3-6.

Validation set (42 cases)

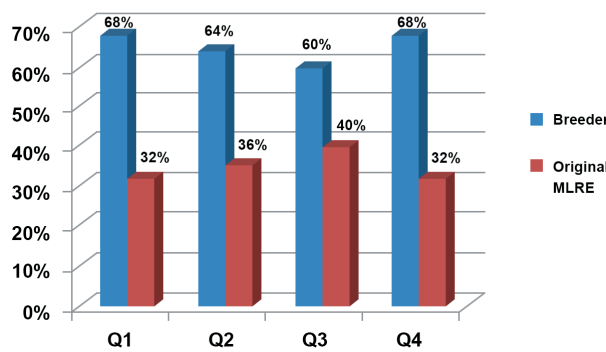


Figure 2- Predictions on the validation set

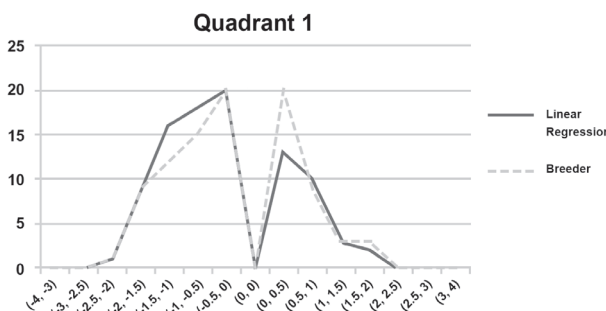


Figure 3- The comparison of prediction error in quadrant 1

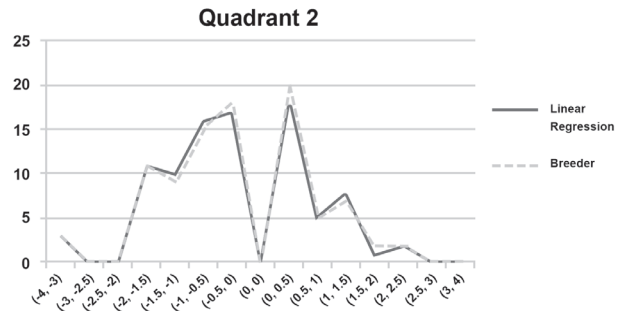


Figure 4- The comparison of prediction error in quadrant 2

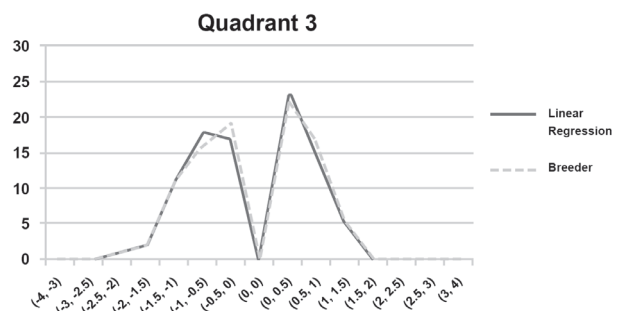


Figure 5- The comparison of prediction error in quadrant 3

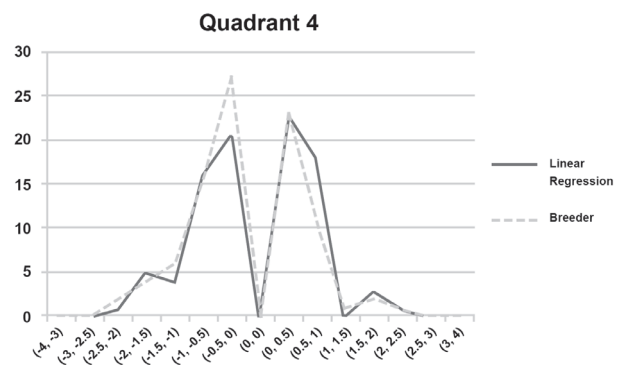


Figure 6- The comparison of prediction error in quadrant 4

$Y_{Q1} = 5.1917 + 0.7571 * X_{42} + 0.85332 * X_{46} + 0.28341 * X_{21}$
$Y_{Q2} = 5.16292 + 0.90463 * X_{42} + 0.68192 * X_{46} + 0.41011 * X_{21}$
$Y_{Q3} = 3.31241 + 0.89357 * X_{42} + 0.72022 * X_{46} + 0.51352 * X_{21}$
$Y_{Q4} = 3.28732 + 0.70242 * X_{42} + 0.84793 * X_{46} + 0.47736 * X_{21}$

Figure 7- The optimized equations using genetic algorithm

Table 4- Correct estimations, overestimations and underestimations in percentages

Canines premolars group	Method	over-estimations	correct estimations	under-estimations
		%	%	%
Maxillary	Original MLRE	35	51	14
	Breeder	32	54	14
Mandible	Original MLRE	24	63	13
	Breeder	21	66	13

Table 5- Maximum errors in estimating the sum of the mesiodistal sizes of unerupted canines and premolars

Quadrant	Original MLRE		Breeder	
	over-estimating	under-estimating	over-estimating	under-estimating
1	-2.32	1.50	-2.11	1.23
2	-3.97	2.21	-3.56	1.84
3	-2.13	1.14	-1.86	1.01
4	-2.15	2.25	-2.01	1.93

DISCUSSION

The optimization using the Breeder genetic algorithm was made on all four quadrants, providing the equations presented in Figure 7.

where Y_{Qi} denote the outcome expected for the quadrant $i \in \{1,2,3,4\}$ and X represents the mesiodistal width of the tooth specified by index.

In our study, if the difference in millimetres between the measured and predicted value of the sum of the mesiodistal sizes of unerupted canines and premolars is situated in interval $[-0.75, 0.75]$, the prediction is considered as a correct estimation, if the difference is <-0.75 mm, we have an overestimation, and a prediction error of >0.75 mm is considered an underestimation.

A comparison of correct estimations, overestimations and underestimations, provided by the original MLRE³ and optimized equations, respectively, is presented in Table 4.

Comparing predictions provided by the new and old method, respectively, we can conclude that the Breeder genetic algorithm is capable of providing the best values for the parameters of multiple linear regression equations, and thus our equations are optimized for best performance. The results obtained by the new multiple linear regression equations are significantly better

than those provided by some classical statistical approaches^{2,5,8}.

The proposed technique is an adaptive tool for predicting the sizes of unerupted canines and premolars with greater accuracy than standard linear regression analyses, the fitness function ensuring optimization of predictions for data collected from different groups selected from different countries.

CONCLUSIONS

Using a Breeder genetic algorithm, we can automatically find the optimal values for the parameters of multiple linear regression equations used in the prediction of the mesiodistal width of unerupted permanent canines and premolars.

After evaluation, we found that our new parameters, used in the regression equations, are providing a better prediction than the original MLRE method.

Thus, the prediction error rates of the optimized equations using the Breeder genetic algorithm are smaller than those provided by the multiple linear regression equations proposed in a recent study⁵.

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