Cancer Science

Review Article

Molecular-targeting therapies against quantitative abnormalities in gene expression with malignant tumors

Toshiyuki Sakai 🕞 and Yoshihiro Sowa

Department of Molecular-Targeting Cancer Prevention, Kyoto Prefectural University of Medicine, Kyoto, Japan

Key words

Carcinogenesis, molecular-targeting therapies, quantitative abnormalities, RB, trametinib

Correspondence

Toshiyuki Sakai, Department of Molecular-Targeting Cancer Prevention, Kyoto Prefectural University of Medicine, Kawaramachi-Hirokoji, Kamigyo-ku, Kyoto 602-8566, Japan. Tel: +81-75-251-5339; Fax: +81-75-241-0792;

E-mail: tsakai@koto.kpu-m.ac.jp

Funding Information

No sources of funding were declared for this study

Received November 29, 2016; Revised January 31, 2017; Accepted February 4, 2017

Cancer Sci 108 (2017) 570-573

doi: 10.1111/cas.13188

Genetic mutations in exons of oncogenes and tumor-suppressor genes causing qualitative abnormalities result in activation of the oncogenes and inactivation of the tumor-suppressor genes, thereby causing cancer. In contrast, we have previously demonstrated that decreases in the RB promoter activity by genetic or epigenetic abnormalities can also cause carcinogenesis. In addition, activation and inactivation of a variety of oncogenes and tumor-suppressor genes finally cause quantitative abnormalities in gene expression. Interestingly, we discovered effective molecular-targeting agents, such as a novel MEK inhibitor, trametinib, by screening for agents upregulating the expression of cyclin-dependent kinase inhibitors. In the present review, we focused on the quantitative abnormalities in gene expression with carcinogenesis, and discuss the importance of normalizing the quantitative abnormalities in gene expression with several moleculartargeting agents.

Japanese Cancer

Association

There are a variety of complicated carcinogenic mechanisms. Among them, exon mutations activating oncogenes and inactivating tumor-suppressor genes resulting in qualitative abnormalities of the product proteins are important. One more essential mechanism is the inactivation of promoter activities of tumor-suppressor genes by genetic or epigenetic changes resulting in quantitative abnormalities of the product proteins. Interestingly, even qualitative abnormalities of oncogenes or tumor-suppressor genes finally result in quantitative abnormalities in gene expression as described below.

Silencing of RB gene expression. The RB gene is a representative tumor-suppressor gene, and mutations and deletions of the exon regions of the gene are observed in not only retinoblastoma, but also many types of malignant tumors. Sakai *et al.* reported two types of mutations in the promoter region of the RB gene in hereditary retinoblastoma patients (Fig. 1).⁽¹⁾ The mutations in the RB promoter region markedly decreased the promoter activity, suggesting that the quantitative abnormality is also important in carcinogenesis. Furthermore, Sakai *et al.* and another group also found that the promoter region of the RB gene was hypermethylated in retinoblastoma tumors (Fig. 1).^(2–4) Subsequently, Ohtani *et al.* demonstrated that the hypermethylation of the RB promoter region reduced its promoter activity by dissociation of the pivotal transcription factors, activating transcription factor (ATF) and the retinoblastoma binding factor

Cancer Sci | April 2017 | vol. 108 | no. 4 | 570-573

1 (RBF-1/E4TF1/GABP) from the core RB promoter region (Fig. 1),⁽⁵⁾ which was the first demonstration of epigenetic silencing of tumor-suppressor genes.^(6,7) The results indicate that epigenetic abnormalities can cause cancer and that quantitative abnormalities in tumor suppressor genes are essential for carcinogenesis. We therefore hypothesized that agents upregulating the expression of silenced tumor-suppressor genes may be promising for novel chemotherapeutics.

On the other hand, the p16 gene is also a representative tumor-suppressor gene and epigenetically silenced by hypermethylation in many types of malignant tumors.^(8–10) Indeed, a DNA methyltransferase (DNMT) inhibitor, decitabine, induced the expression of p16 in lung cancer cells.⁽¹¹⁾ At present, decitabine (trade name Dacogen) and another DNMT inhibitor, azacitidine (trade name Vidaza), are used in the treatment of myelodysplastic syndrome. This is consistent with our original hypothesis.

Inactivation of RB protein in many malignancies, which finally increases expression of E2F-driven genes causing cancer. In addition to inactivation of RB promoter activity, RB protein is also inactivated by phosphorylation. This phosphorylation is caused by CDKs, for example, CDK2, CDK4 and CDK6 with their corresponding cyclins, and CDK inhibitors (CKIs), such as p21, p27, p16, p15, p18 and p19, repress the phosphorylation (Fig. 2).

[@] 2017 The Authors. Cancer Science published by John Wiley & Sons Australia, Ltd on behalf of Japanese Cancer Association.

This is an open access article under the terms of the Creative Commons Attrib ution-NonCommercial License, which permits use, distribution and reproduction in any medium, provided the original work is properly cited and is not used for commercial purposes.



Fig. 1. Decreases in the RB promoter activity by genetic or epigenetic abnormalities can cause carcinogenesis.



Fig. 2. Activated oncogenes and inactivated tumor-suppressor genes finally activate CDK activity with inactivation of RB function.

As shown in Fig. 2, RB protein is inactivated by activated oncogenes and inactivated tumor-suppressor genes. For example, RAS genes, such as H-RAS, K-RAS and N-RAS, are representative oncogenes, and the active mutations are observed in a variety types of malignant tumors. As RAS activates both the mitogen-activated protein kinase (MAPK) pathway, including RAF, MEK and ERK, and the PI3K/AKT/mTOR pathway, mutant RAS constitutively enhances CDK activity through the upregulation of cyclin D1 expression (Fig. 2).^(12,13) Oncogenic receptor tyrosine kinases (RTKs), such as epidermal growth factor receptor (EGFR) and human epidermal growth factor receptor 2 (Her2), and so on, are transmembrane kinases that act as receptors for extracellular growth factors.⁽¹⁴⁾ As RTKs activate RAS function, RTKs also have critical functions in cell proliferation. Indeed, amplification and/or active mutations in RTKs, such as EGFR and Her2, are observed in malignant tumors, resulting in the enhancement of CDK activity with inactivation of RB (Fig. 2).⁽¹⁵⁾ In addition, inactivation of the representative tumor-suppressor genes p53 and p16, the most commonly inactivated tumor-suppressor genes, also enhance CDK activity with RB inactivation (Fig. 2).⁽¹⁶⁾

Taken together, activation of most oncogenes and inactivation of most tumor-suppressor genes finally activate CDK activity, thereby converting RB protein to the phosphorylated inactivated form.⁽¹⁷⁾ Unphosphorylated RB protein is an active form that binds to the transcription factor E2F.⁽¹⁸⁾ E2F can transactivate the genes accelerating the cells from G1 phase to S phase at the restriction point (R point),⁽¹⁹⁾ such as dihydrofolate reductase, myc, cyclin E, thymidylate synthase and DNA polymerase α , resulting in cellular proliferation (Fig. 2).⁽²⁰⁾ In summary, carcinogenesis is caused by the quantitative abnormalities in gene expression with most malignant tumors.

As CDK activity is regulated by upstream molecules, as mentioned above, we focused on the direct measurement of



Fig. 3. Histone deacetylase (HDAC) inhibitors can normalize the quantitative abnormality in gene expression with p53-mutated tumors, and can compensate for the inactivated p16 function by increasing the expression of the family genes.

the CDK activity in clinical samples. As a result, CDK profiling technology, which was named "Cell Cycle Profiling (C2P)" was established in collaboration with Sysmex corporation, Kobe Japan. Using C2P technology, we found that CDK2 activity in more than 70% of gastric cancer and colon cancer tissues was higher than that in adjacent normal tissues.⁽²¹⁾ This result reflects that various qualitative and/or quantitative abnormalities of oncogenes and/or tumor-suppressor genes in malignant tumor cells converge on the elevation of CDK activity, resulting in inactivation of RB protein.

Inactivation of tumor-suppressor gene p53 decreases expression of p53 target genes causing malignancy, and histone deacetylase (HDAC) inhibitors reactivate the expressions of the genes suppressing tumor growth. In addition to RB, p53 is also a representative tumor suppressor gene inactivated in a variety of malignant tumors. As p53 protein acts as a transcription factor and activates the expression of cell cycle-, DNA repairand apoptosis-regulating genes as a tumor suppressor, the expression of the target genes is decreased in p53-mutant malignant tumor cells. Therefore, we tried to screen agents to upregulate the expression of the target genes. First, we found that butyrate induces the expression of a CDK inhibitor, p21, through the Sp1 sites of the promoter in a p53-independent manner and converts RB protein to the unphosphorylated active form with G1-cell cycle arrest.⁽²²⁾ Subsequently, we demonstrated that HDAC inhibitors also induce the expression of p21 in a similar manner (Fig. 3). $^{(23-25)}$ We also found that an HDAC inhibitor enhances the promoter activities of other p53 target genes, gadd45 and DR5, in p53-mutated cancer cells in a p53-independent pathway (Fig. 3).^(26,27) Taken together, when expression of p53 target genes is not induced in p53-mutant cancer cells, HDAC inhibitors can reactivate expression, thereby suppressing cancer. The data above strongly suggest that carcinogenesis can be caused by quantitative abnormalities in gene expression and molecular-targeting agents, such as HDAC inhibitors, can normalize the quantitative abnormalities.

As mentioned previously, the p16 gene is another important tumor-suppressor gene inactivated in a variety of malignant tumors, $(^{8-10,28})$ and p16 converts RB protein to the unphosphorylated active form as a CDK inhibitor. The p16 gene belongs to the INK4 family genes, and we hypothesized that upregulation of other family genes, such as p15, p18, or p19, may compensate for the function of inactivated p16. We then found that HDAC inhibitors can activate the promoter activities of the family genes, such as p15, p18, and p19, converting RB protein to the unphosphorylated active form compensating for inactivated p16 (Fig. 3).^(29–31) The results also demonstrate that quantitative normalization by molecular-targeting agents is useful for treating malignant tumors.

[@] 2017 The Authors. Cancer Science published by John Wiley & Sons Australia, Ltd on behalf of Japanese Cancer Association.

Table 1. Approved molecular-targeting agents increase expressions of CDK inhibitors (CKIs)

Target-molecules	Approved molecular-targeting agents	Induced CKIs
HDAC	vorinostat	p21, p15, p18, p19
EGFR kinase	gefitinib	p15
Her2	trastuzumab	p27
Bcr-Abl kinase	imatinib	p18
mTOR	everolimus	p27

Approved molecular-targeting agents increase expression of CKIs. As described above, HDAC inhibitors can increase the expression of several CKIs, normalizing the quantitative abnormalities in gene expression in cancer cells (Table 1). Indeed, several HDAC inhibitors, vorinostat (trade name Zolinza), romidepsin (trade name Istodax), panobinostat (trade name Farydak), and belinostat (trade name Beleodaq) have been approved as molecular-targeting agents for chemotherapy.

Interestingly, other approved molecular-targeting agents also increase the expression of CKIs (Table 1). We found that the EGFR kinase inhibitor gefitinib (trade name Iressa) can induce the expression of p15.⁽³²⁾ The anti-Her2 antibody trastuzumab (trade name Herceptin) was shown to upregulate p27 expression.⁽³³⁾ The Bcr-Abl kinase inhibitor imatinib (trade name Gleevec) also upregulates p18 expression.⁽³⁴⁾ Furthermore, an inhibitor of mammalian target of rapamycin (mTOR), everolimus (trade name Afinitor), was reported to induce p27 expression and decreased cyclin D1 expression inhibiting CDK activity.⁽³⁵⁾ These results clearly demonstrate that inhibition of oncogenes by molecular-targeting agents finally induces the expressions of CKIs, thereby converting RB protein to the unphosphorylated active form.

Screening for novel molecular-targeting agents regulating expression of CKIs. We therefore hypothesized that screening for agents upregulating expression of CKIs may be useful. As a result of the screening in collaboration with several pharmaceutical companies, we found several potent compounds, including a novel MEK inhibitor trametinib by screening for p15 inducers.⁽³⁶⁾ After submitting the patent, trametinib was in-licensed by GlaxoSmithKline (GSK) in 2006 and clinically developed. Trametinib was approved as a first-in-class MEK inhibitor (trade name Mekinist) in 2013 in the U.S. as a single

References

- 1 Sakai T, Ohtani N, McGee TL, Robbins PD, Dryja TP. Oncogenic germ-line mutations in Sp1 and ATF sites in the human retinoblastoma gene. *Nature* 1991; 353: 83–6.
- 2 Sakai T, Toguchida J, Ohtani N, Yandell DW, Rapaport JM, Dryja TP. Allele-specific hypermethylation of the retinoblastoma tumor-suppressor gene. Am J Hum Genet 1991; 48: 880–8.
- 3 Greger V, Debus N, Lohmann D, Höpping W, Passarge E, Horsthemke B. Frequency and parental origin of hypermethylated RB1 alleles in retinoblastoma. *Hum Genet* 1994; 94: 491–6.
- 4 Ohtani-Fujita N, Dryja TP, Rapaport JM *et al.* Hypermethylation in the retinoblastoma gene is associated with unilateral, sporadic retinoblastoma. *Cancer Genet Cytogenet* 1997; **98**: 43–9.
- 5 Ohtani-Fujita N, Fujita T, Aoike A, Osifchin NE, Robbins PD, Sakai T. CpG methylation inactivates the promoter activity of the human retinoblastoma tumor-suppressor gene. *Oncogene* 1993; 8: 1063–7.
- 6 Feinberg AP, Tycko B. The history of cancer epigenetics. *Nat Rev Cancer* 2004; 4: 143–53.
- 7 Hattori N, Ushijima T. Compendium of aberrant DNA methylation and histone modifications in cancer. Biochem Biophys Res Commun 2014; 455: 3–9.

agent for treatment of BRAF V600E or V600K mutation-positive unresectable, or metastatic melanoma based on the results of a phase 3 study.⁽³⁷⁾ Drug discovery of the year awarded trametinib from British Pharmacological Society in 2013. Furthermore, combination therapy of trametinib and the BRAF inhibitor dabrafenib was also approved in 2014 in the US based on the results of a phase 1/2 study.⁽³⁸⁾ After trametinib and dabrafenib were in-licensed by Novartis from GSK in 2015, the combination treatment was approved in Japan in 2016. Against patients with previously treated BRAF-mutant metastatic non-small cell lung cancer, 63.2% achieved overall response by the combination therapy with dabrafenib and trametinib in phase 2 trial,⁽³⁹⁾ and the combination therapy for BRAF-mutant metastatic non-small cell lung cancer received breakthrough therapy designation from FDA.

In addition, a dual RAF/MEK inhibitor, CH5126766/ RO5126766, which is undergoing a clinical phase I trial, was found by screening for p27 inducers,⁽⁴⁰⁾ and the most potent HDAC inhibitor, OBP-801/YM753/spiruchostatin A, which is also undergoing a clinical phase I trial, was identified by screening for p21 inducers.^(41,42) We are still developing our original screening strategy to find novel molecular-targeting agents for unmet medical needs in chemotherapy.

Conclusion

In carcinogenesis, both qualitative and quantitative abnormalities are important. However, we focused on the importance of quantitative abnormalities in gene expression because even a variety of qualitative abnormalities finally can also cause quantitative abnormalities in gene expression and molecular-targeting agents could normalize the quantitative abnormalities. In addition, in our experience, we actually discovered very effective molecular-targeting agents with our original screening system searching for agents regulating the quantity of several molecules. We therefore emphasize the importance of quantitative abnormalities in gene expression with carcinogenesis and regulating the quantitative abnormalities by effective molecular-targeting agents.

Disclosure Statement

The authors have no conflict of interest to declare.

- 8 Merlo A, Herman JG, Mao L et al. 5' CpG island methylation is associated with transcriptional silencing of the tumour suppressor p16/CDKN2/MTS1 in human cancers. Nat Med 1995; 1: 686–92.
- 9 Herman JG, Merlo A, Mao L *et al.* Inactivation of the *CDKN2/p16/MTS1* gene is frequently associated with aberrant DNA methylation in all common human cancers. *Cancer Res* 1995; 55: 4525–30.
- 10 Gonzalez-Zulueta M, Bender CM, Yang AS *et al.* Methylation of the 5' CpG island of the *p16/CDKN2* tumor suppressor gene in normal and transformed human tissues correlates with gene silencing. *Cancer Res* 1995; 55: 4531–5.
- 11 Otterson GA, Khleif SN, Chen W, Coxon AB, Kaye FJ. *CDKN2* gene silencing in lung cancer by DNA hypermethylation and kinetics of p16^{INK4} protein induction by 5-aza 2'deoxycytidine. *Oncogene* 1995; **11**: 1211–6.
- 12 Filmus J, Robles AI, Shi W, Wong MJ, Colombo LL, Conti CJ. Induction of cyclin D1 overexpression by activated ras. Oncogene 1994; 9: 3627–33.
- 13 Stacey DW. Cyclin D1 serves as a cell cycle regulatory switch in actively proliferating cells. *Curr Opin Cell Biol* 2003; 15: 158–63.
- 14 Gschwind A, Fischer OM, Ullrich A. The discovery of receptor tyrosine kinases: targets for cancer therapy. *Nat Rev Cancer* 2004; 4: 361–70.
- 15 Harari D, Yarden Y. Molecular mechanisms underlying ErbB2/HER2 action in breast cancer. Oncogene 2000; 19: 6102–14.

- 16 Sherr CJ, McCormick F. The RB and p53 pathways in cancer. *Cancer Cell* 2002; **2**: 103–12.
- 17 Sherr CJ. Cancer cell cycles. Science 1996; 274: 1672–7.
- 18 Harbour JW, Dean DC. The Rb/E2F pathway: expanding roles and emerging paradigms. *Genes Dev* 2000; 14: 2393–409.
- 19 Pardee AB. A restriction point for control of normal animal cell proliferation. Proc Natl Acad Sci USA 1974; 71: 1286–90.
- 20 Lavia P, Jansen-Dürr P. E2F target genes and cell-cycle checkpoint control. *BioEssays* 1999; 21: 221–30.
- 21 Ishihara H, Yoshida T, Kawasaki Y et al. A new cancer diagnostic system based on a CDK profiling technology. *Biochim Biophys Acta* 2005; **1741**: 226–33.
- 22 Nakano K, Mizuno T, Sowa Y *et al.* Butyrate activates the WAF1/Cip1 gene promoter through Sp1 sites in a p53-negative human colon cancer cell line. *J Biol Chem* 1997; **272**: 22199–206.
- 23 Sowa Y, Orita T, Minamikawa S *et al.* Histone deacetylase inhibitor activates the WAF1/Cip1 gene promoter through the Sp1 sites. *Biochem Biophys Res Commun* 1997; 241: 142–50.
- 24 Sowa Y, Orita T, Minamikawa-Hiranabe S, Mizuno T, Nomura H, Sakai T. Sp3, but not Sp1, mediates the transcriptional activation of the p21/WAF1/ Cip1 gene promoter by histone deacetylase inhibitor. *Cancer Res* 1999; **59**: 4266–70.
- 25 Huang L, Sowa Y, Sakai T, Pardee AB. Activation of the p21^{WAF1/CIP1} promoter independent of p53 by the histone deacetylase inhibitor suberoylanilide hydroxamic acid (SAHA) through the Sp1 sites. *Oncogene* 2000; **19**: 5712–9.
- 26 Hirose T, Sowa Y, Takahashi S et al. p53-independent induction of Gadd45 by histone deacetylase inhibitor: coordinate regulation by transcription factors Oct-1 and NF-Y. Oncogene 2003; 22: 7762–73.
- 27 Nakata S, Yoshida T, Horinaka M, Shiraishi T, Wakada M, Sakai T. Histone deacetylase inhibitors upregulate death receptor 5/TRAIL-R2 and sensitize apoptosis induced by TRAIL/APO2-L in human malignant tumor cells. *Oncogene* 2004; 23: 6261–71.
- 28 Cairns P, Polascik TJ, Eby Y *et al.* Frequency of homozygous deletion at *p16/CDKN2* in primary human tumours. *Nat Genet* 1995; **11**: 210–2.
- 29 Hitomi T, Matsuzaki Y, Yokota T, Takaoka Y, Sakai T. p15^{INK4b} in HDAC inhibitor-induced growth arrest. *FEBS Lett* 2003; **554**: 347–50.
- 30 Yokota T, Matsuzaki Y, Sakai T. Trichostatin A activates p18^{INK4c} gene: differential activation and cooperation with p19^{INK4d} gene. *FEBS Lett* 2004; **574**: 171–5.

- 31 Yokota T, Matsuzaki Y, Miyazawa K, Zindy F, Roussel MF, Sakai T. Histone deacetylase inhibitors activate *INK4d* gene through Sp1 site in its promoter. *Oncogene* 2004; 23: 5340–9.
- 32 Koyama M, Matsuzaki Y, Yogosawa S, Hitomi T, Kawanaka M, Sakai T. ZD1839 induces p15^{INK4b} and causes G₁ arrest by inhibiting the mitogenactivated protein kinase/extracellular signal-regulated kinase pathway. *Mol Cancer Ther* 2007; 6: 1579–87.
- 33 Le XF, Pruefer F, Bast RC Jr. HER2-targeting antibodies modulate the cyclin-dependent kinase inhibitor p27^{Kip1} via multiple signaling pathways. *Cell Cycle* 2005; 4: 87–95.
- 34 Nishimura N, Furukawa Y, Sutheesophon K et al. Suppression of ARG kinase activity by STI571 induces cell cycle arrest through up-regulation of CDK inhibitor p18/INK4c. Oncogene 2003; 22: 4074–82.
- 35 Zitzmann K, De Toni EN, Brand S *et al.* The novel mTOR inhibitor RAD001 (everolimus) induces antiproliferative effects in human pancreatic neuroendocrine tumor cells. *Neuroendocrinology* 2007; **85**: 54–60.
- 36 Yamaguchi T, Kakefuda R, Tajima N, Sowa Y, Sakai T. Antitumor activities of JTP-74057 (GSK1120212), a novel MEK1/2 inhibitor, on colorectal cancer cell lines *in vitro* and *in vivo*. *Int J Oncol* 2011; **39**: 23–31.
- 37 Flaherty KT, Robert C, Hersey P et al. Improved survival with MEK inhibition in BRAF-mutated melanoma. N Engl J Med 2012; 367: 107–14.
- 38 Flaherty KT, Infante JR, Daud A et al. Combined BRAF and MEK inhibition in melanoma with BRAF V600 mutations. N Engl J Med 2012; 367: 1694–703.
- 39 Planchard D, Besse B, Groen HJ *et al.* Dabrafenib plus trametinib in patients with previously treated BRAF^{V600E}-mutant metastatic non-small cell lung cancer: an open-label, multicentre phase 2 trial. *Lancet Oncol* 2016; 17: 984–93.
- 40 Ishii N, Harada N, Joseph EW et al. Enhanced inhibition of ERK signaling by a novel allosteric MEK inhibitor, CH5126766, that suppresses feedback reactivation of RAF activity. Cancer Res 2013; 73: 4050–60.
- 41 Shindoh N, Mori M, Terada Y *et al.* YM753, a novel histone deacetylase inhibitor, exhibits antitumor activity with selective, sustained accumulation of acetylated histones in tumors in the WiDr xenograft model. *Int J Oncol* 2008; **32**: 545–55.
- 42 Masuoka Y, Nagai A, Shin-ya K et al. Spiruchostatins A and B, novel gene expression enhancing substances produced by *Pseudomonas* sp. *Tetrahedron Lett* 2001; 42: 41–4.