



## Food Systems and Public Health Disparities

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*The United States has set a national goal to eliminate health disparities. This article emphasizes the importance of food systems in generating and exacerbating health disparities in the United States and suggests avenues for reducing them. It presents a conceptual model showing how broad food system conditions interplay with community food environments—and how these relationships are filtered and refracted through prisms of social disparities to generate and exacerbate health disparities. Interactions with demand factors in the social environment are described. The article also highlights the separate food systems pathway to health disparities via environmental and occupational health effects of agriculture.*

**KEYWORDS** *health disparities, public health, agriculture, access to food, healthy foods, sustainably produced food, Farm Bill*

### INTRODUCTION

The United States has set a national goal to eliminate health disparities.<sup>1</sup> This article reviews literature describing how food systems generate and exacerbate key health disparities in the United States. The article is framed

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around a new conceptual model describing a set of pathways by which food systems may affect health and increase health disparities. It highlights promising directions for future research and policy to address and shift these pathways.

A food systems approach begins with the recognition that the roots of health disparities include but go deeper than individual choice, nutrition, or price. They reach outwards to community factors like access and deeper to broad social, economic, and political forces that impact food supply, nutrient quality, and affordability. Further, some of the health disparities are driven by the environmental and social impacts of food production and processing. The roots and pathways are not linear but rather reflect complex processes and feedback loops such as that of consumer demand. This article relies on a series of definitions:

- *Health disparities* refer to the gaps in health status (e.g., life expectancy, infant and maternal mortality rates, obesity and diet-related disease, and other measures) among groups of people based on differences in factors such as socioeconomic status (SES), race, ethnicity, immigration status, environmental exposures, gender, education, disability, geographic location, or sexual orientation.<sup>1</sup>
- *Food systems* are systems comprised of all of the processes involved in getting food from farm to table to disposal, including production, processing, distributing, preparing, marketing, accessing, consuming, and disposing. Food systems also involve people, farms, businesses, communities, interventions, policies, and politics.<sup>2-4</sup>
- *Healthy food*: although there is no single accepted definition, generally we use the term to refer to food high in nutrients and low in calories, fats, sodium, and additives/processed ingredients—particularly fruits and vegetables (FV) that are among the foods encouraged in the Dietary Guidelines for Americans.<sup>5</sup>
- *Good food*: as defined by the W.K. Kellogg Foundation, is food that is “healthy, green, fair and affordable.”<sup>6</sup>

Strong evidence ties racial/ethnic and socioeconomic disparities to diet quality or diet healthfulness<sup>7-11</sup> and to obesity and diet-related disease.<sup>12-15</sup> For example, nationally African Americans die from stroke (age-adjusted) at 146% of the white rate; of heart disease at 131% the white rate; and of diabetes at 208%.<sup>16</sup> Beyond eating foods that are high in essential nutrients, there are differences in ability to access calories altogether. An estimated 11.1% of Americans have “low food security” and 4.1% have “very low food security” with African Americans and Latinos estimated to have double the national rates.<sup>17</sup> In the United States, food insecurity has been found to be highly correlated with obesity.<sup>18</sup>

In contemporary US society, and within professional and research communities, diet has primarily been considered at the individual level, and interventions to improve diets and related health outcomes have largely targeted individual knowledge, attitudes, and behaviors.<sup>19–23</sup> We describe extensive evidence that multiple social-level and community-level factors—both in food systems and in social disparities—constrain and affect the choices individuals make. Accordingly, focusing exclusively on individual behavior in the absence of larger systemic changes may not only be less effective or ineffective, but it can also result in victim-blaming.<sup>21,23</sup> A food systems approach supports attention to multilevel strategies for addressing food system health risks and disparities. Such an understanding is critical in designing and implementing effective interventions, including those primarily targeted at individuals, communities, broad food systems, or multiple levels at once.

Food systems' impacts on health disparities also go beyond the “eating” pathway. Low-income, minority, and immigrant communities also suffer from high exposure to occupational<sup>24–29</sup> and community<sup>30,31</sup> health threats associated with food production and processing methods.

In discussing food-related health disparities, it is critical to highlight the concept of the human right to adequate food. As defined by the United Nations, the right includes the *adequacy* of the food supply as determined by nutritional adequacy, access, food safety and quality, and cultural acceptability; and the *stability of food supply and access* as determined by environmental sustainability and social sustainability. The rights framework emphasizes the right to be able to obtain one's own food more than the right for government to provide food; but where such need arises, a framework in human rights shifts the dialogue from that of perceived charity to governmental obligation. Though the United States has thus far refused to be bound by “right to adequate food” principles, it did sign on to United Nations (UN) Voluntary Guidelines endorsing a similarly broad approach.<sup>32</sup> This article does not discuss the right to adequate food at length; however, these concepts undergird our analysis.

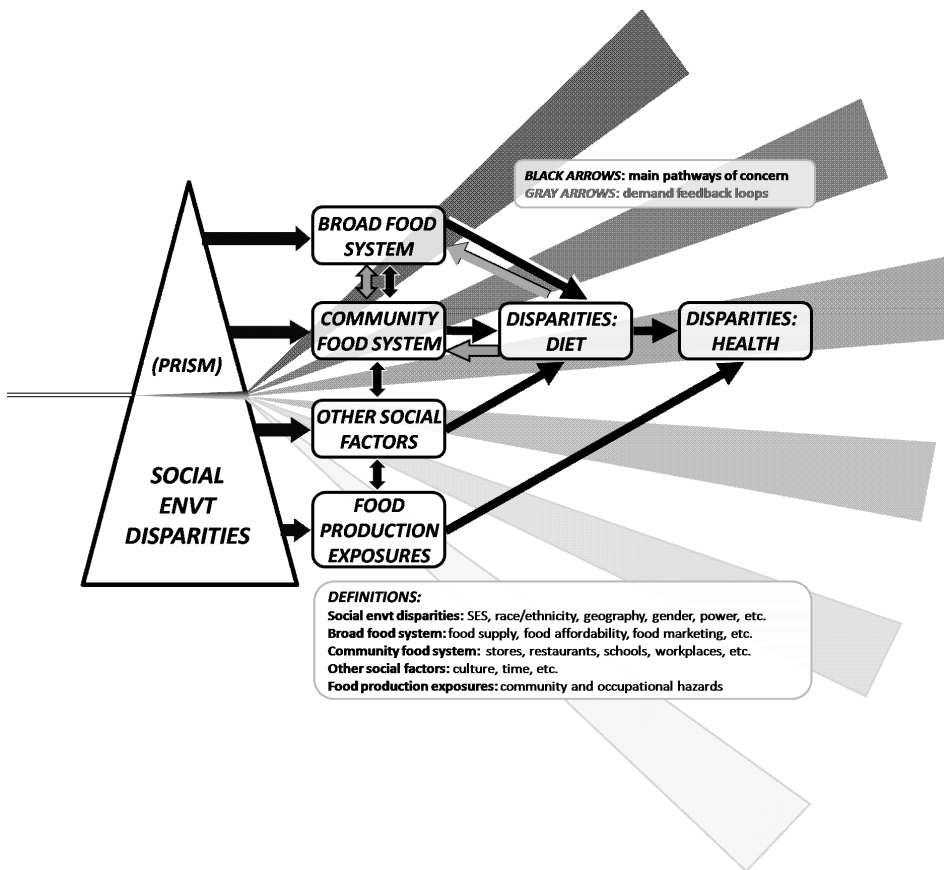
## METHODS

To conduct this review, an outline of key topics was developed. To identify well-conducted and widely cited studies on topics of concern, as well as data from government and other established sources, searches were performed in databases including PubMed, Scopus, and Google Scholar between October 2008 and March 2009. Further, using a “snowballing” approach, other references were identified based on literature cited within reviewed articles. Some of the covered topics were extensively addressed in the literature, with the findings synthesized in current literature review

papers. In these cases, we relied primarily on the existing reviews, supplemented with seminal or particularly strong articles for examples. For a few topics, there was minimal available evidence in the peer reviewed literature, and “grey literature” articles of reasonable quality had to be utilized. These were identified via author knowledge, the Google search engine, and snowballing approaches.

### CONCEPTUAL MODEL

Complementing the human rights approach to adequate food, we present a new conceptual model (Figure 1) that illustrates how food systems influence health and contribute to health disparities. The model uses a systems framework directing attention to the interrelatedness of factors at different levels and to important feedback loops such as supply and demand.



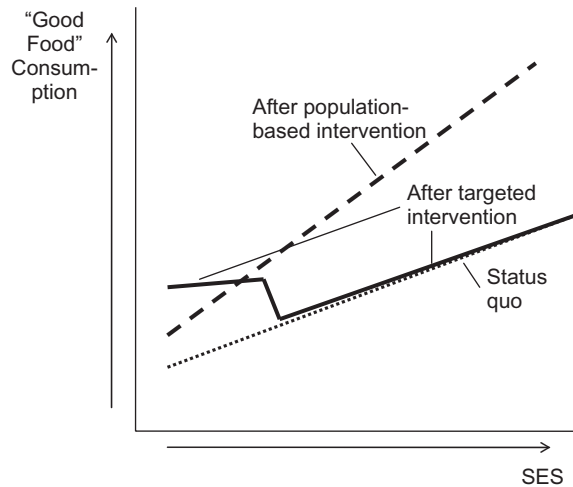
**FIGURE 1** Concept model: food systems and health disparities.

The concept model shows how broad food system conditions (including: food supply—what is produced and how it is produced, and food affordability—both price and the food safety net; and marketing) interplay with the food systems and food environments operating in communities (stores, restaurants, schools, workplaces, and local policy). It shows how these in turn have reciprocal relationships with other factors in the social environment (including culture and time), to affect individual propensity to eat a healthy and sustainable diet. The model uses the metaphor of prisms that refract single beams of light into spectra. In the model, prisms of disparities in the social environment (including by SES, race/ethnicity, geography, gender, and power) refract the entire set of relationships, so that different sectors of society may vary widely in the types and quantities of food they are most likely to find available, affordable, and culturally acceptable. These processes are iterative and interactive.

Through “feedback” loops shown in grey, disparities in individual and community likelihood of obtaining good food can affect the extent to which such foods are made available either in certain communities or society wide. For example, some storekeepers report reluctance to stock healthier food choices, citing one reason as the perceived low demand for those foods in their communities.<sup>33</sup> Another example is that increasing knowledge about food system concerns among college students has led to a level of demand for more sustainable campus food that has caused large institutional food providers to change their offerings.<sup>34</sup>

Individual food choices and the psychosocial factors that affect them of course vary within and between communities. Demographics are not destinies. However, the factors described above may contribute to aggregate-level disparities in healthfulness of preferences and choices.

The graphic implies a list of potential sites for intervention by targeting each point where there is an arrow. Many interventions to change the food system/health relationship fall into two broad categories: those using population-based strategies, aimed at changing factors affecting the entire population; and targeted strategies aimed at changing the food system exposures or food demand within specific sectors of the population. It is important to recognize that though a rising tide may lift all boats, and population-based public health interventions may improve conditions for all, they can simultaneously *increase* health disparities. In particular, efforts using new information or technologies may have this paradoxical effect. As Paul Farmer has observed, the group that starts out healthier is often better educated with more resources and is therefore more likely to use new technology or information more rapidly and effectively, thus experiencing a greater gain in health status than those with poorer health, less education, and fewer resources.<sup>35,36</sup> Figure 2 dramatizes this concept. It contrasts a targeted intervention, which may increase good food consumption for the specific targeted population but have little effect on others, with a population-based



**FIGURE 2** Population-based interventions may increase health disparities (hypothesized).

intervention, which may slightly improve outcomes across the board but have the strongest effect on those most likely to engage with it, thus ironically increasing the disparity.

We also highlight a separate food system/health pathway outside of food consumption: the environmental and occupational health impacts of the industrialized food system are also filtered through prisms of disparities, leading to differential community and occupational exposures. This article spends less time on this pathway than on some others.

## ELABORATING THE PATHWAYS

### Broad Food System

In this section, we discuss how the broad food system factors of supply and affordability affect health disparities.

#### FOOD SUPPLY—WHAT IS PRODUCED, HOW IT IS PRODUCED

The US food supply has changed since 1970. The contours of the food supply contribute (along with other factors) to the relatively poor diet quality among Americans, including underconsumption of vegetables, fruits, and whole grains, concurrent with overconsumption of sugar/sweeteners, oils and fats, red meats, refined grains, and processed foods.<sup>37–40</sup> Indeed, a federal study showed that US agricultural production would need to change substantially to enable the population to eat according to USDA dietary recommendations.<sup>40</sup> Here, we focus on how the contours of the US food

supply and its production (and the policies and power that shape them) may contribute to health disparities.

First, food system policy has affected the content of the food supply. Such policy is driven by financial and political power in the food system, including agribusiness and food processing lobbies.<sup>41,42</sup> Historically, US Farm Bill policy aimed to assure food availability and stabilize prices for consumers and farmers by managing supply. But since the 1970s, the policy goal has been to reduce prices and increase supply of key commodity crops including corn and soybeans.<sup>38</sup> Contrary to common intuition, economic evidence has not clearly shown that subsidies directly lower consumer prices to the extent purchasing is likely affected.<sup>43–47</sup> However, due to the low prices for key items promoted through farm policy, manufacturers have had an incentive to use these items as ingredients in processed foods—and to concentrate marketing resources on such items with relatively high profit margins.<sup>38</sup> These low-cost commodity items have also contributed to the proliferation of industrial food animal production and consequent low prices of retail meat. (As commodity prices have escalated, driven by ethanol production, oil prices, and other factors, industrial producers of animals have suffered and some animal product prices have risen for consumers. The impact on processed food producers has been smaller, owing in part to the relatively small percentage of processed food costs comprised by the commodity products.) There is no comparable incentive for production, manufacturing, or marketing of healthier food items, such as fruits and vegetables.

As will be discussed in other sections, it is lower income, ethnic minority, less educated, inner-city, and/or rural communities that are especially likely to have their available food options dominated by these mainstream and less healthy offerings. This is due to a combination of physical, social, and economic access as well as marketing and cultural factors. If the mainstream food supply were healthier and produced more sustainably, and if the economic and legal incentives for food production, manufacturing, marketing, and sales were shifted to *promote* a healthier food supply overall, prices, access, and social norms might change, and some diet-related health disparities might be reduced. Possible impacts might be most apparent among communities that consume the most mainstream and heavily marketed food and foods that are made most affordable through current food system policy.

Second, within the food system, there are disparities in access to sustainably produced food such as organic FV. Much more research is needed to understand possible health impacts from consuming sustainably produced foods. A literature review by the Organic Center identified evidence suggesting some nutritional benefits of organic foods versus conventional, including in the areas of antioxidants and total polyphenols<sup>48</sup>; an alternate review of the same literature by the UK Food Standards Agency suggested

no nutritional differences, although it excluded examination of antioxidants and reviewed polyphenols differently.<sup>49</sup> Both studies found increased nitrates in conventional produce, which are linked with negative health effects. Sustainably produced foods may also result in reduced chemical exposure via pesticide residues. At least as importantly, the consumers may also feel emotionally positive about cobenefits such as rebuilding the soil, preserving water supplies, promoting local economies and civic connections, mitigating climate change, and contributing to greater food system resilience—and thus may be motivated to eat more produce.<sup>48,50,51</sup>

However, more sustainably produced food tends to cost more at the retail level than conventionally produced food, due to higher production costs, lack of centralized distribution infrastructure, relative lack of government support, the costs of industrial production that are externalized (put onto others), and, often, fewer economies of scale. As discussed below, despite these higher costs, some evidence suggests broad interest in and significant spending on food produced with fewer chemicals among low-income and minority populations.<sup>52</sup> Improved investment in sustainable agriculture and food processing research, farm support, marketing, and distribution networks could further increase affordable access to sustainably produced foods.

A third way that broad food system factors and the overall food supply may affect health disparities is through macro, long-term influences on domestic and global food prices. Though US farm policy aims to drive prices down, it has led to instability and, at times, price shocks that can hit the poor hardest. The constancy of the US food supply and of the prices within it are also affected by factors including social and economic conditions, agricultural and trade policies, production technologies, and environmental conditions.<sup>53–55</sup> Converging ecological crises of climate change, and of water, soil, and fossil fuel depletion, are likely to contribute to long-term reductions in agricultural supply and substantial price impacts. Even such seemingly unrelated factors as biofuel production and commodity speculation in financial markets are shown to have had important impacts on supply and prices.<sup>56,57</sup> These price and supply impacts may be expected to hit hardest for consumers with low incomes—not to mention agricultural producers and low-income rural communities.

Fourth, there is alternately a possibility that these threats and resultant dislocations or incentives might motivate at least a partial relocalization of food production and a turn toward more ecologically sustainable agriculture methods. It is also possible that new “green” investments in response to crises could help make such options increasingly available across socioeconomic strata. Improving access to healthy, local, sustainably produced food also supports building the infrastructure needed to scale up good food production for all, including rebuilding the local food economy to serve the citizens within their respective regions.<sup>51</sup> Some evidence suggests not only that



community gardening increases food access, but that it also may positively influence diet and social connection.<sup>58,59</sup> As the economic downturn worsens, the trend toward “grow your own” appears to be increasing,<sup>60</sup> although it must be recognized that growing one’s own food takes time, water, usable soil or space, skills/training, and startup resources.

Finally, we note that US farm policy and the food system it supports have been sustained in part by historic alliances between agricultural and anti-hunger lobbies and the rural and urban legislators with whom they work. Anti-hunger lobbies have focused traditionally on access to calories through food stamps and commodity food programs. It is possible that new coalitions could contribute to an altered political calculus for future farm bills by supporting not only these food access programs but also efforts to address disparities via access to and affordability of healthy and sustainably produced food and via reducing hazardous agricultural exposures.

#### FOOD AFFORDABILITY: PRICE, FOOD ASSISTANCE, AND EMERGENCY FOOD

Price. Consumers describe price as a key factor in food purchasing decisions, and price elasticity is greater the more limited the household budget.<sup>61</sup> Controlled analyses of factors in purchasing decisions have found that cost is significantly more important among lower-income and among non-white compared to higher income and white respondents.<sup>62,63</sup>

Low-income persons typically must spend greater percentages of their incomes to buy food than others, although they spend less money overall.<sup>64</sup> Many studies dating at least to the 1960s have examined the question of whether the poor pay more for the *same* food basket. A 1997 review article<sup>64</sup> and a more recent study<sup>65</sup> found that the poor did pay more than others, although the differentials were small. Another study found slightly lower prices in lower-income areas.<sup>66</sup> The evidence is mixed regarding whether accounting for suburban/urban/rural status and store type (smaller and non-chain stores cannot obtain the economies of scale of larger stores<sup>67</sup>) would wash out any poor-pay-more price differential. The results may vary by area. Further clarification may help guide interventions addressing health disparities via the food system.

Evidence that the higher price of healthier foods contributes to poor diets among lower-income populations is growing. In general, nutrient-dense foods like FV are more expensive than energy-dense foods with relatively high sugar and fat content.<sup>61,68–72</sup> As suggested above, this price differential may be partly fueled by agriculture policy incentives.<sup>38</sup> The effective price differential for energy-dense foods is further increased due to longer shelf life.<sup>73</sup> Some studies suggest that it is possible to eat a nutrient-rich diet at comparable cost to an energy-dense one,<sup>74</sup> and some observers have noted that though unhealthy foods may be less expensive per calorie, healthier foods can be less expensive and more filling on a per meal basis than

pre-prepared alternatives.<sup>75</sup> Others argue that for many, this change may require considerable new knowledge and effort, as well as departures from flavor preference, familiarity, and cultural/social norms.<sup>70</sup>

Powell and Chaloupka<sup>76</sup> reviewed the literature on price interventions to address obesity and overweight, specifically taxation (increasing the price of unhealthy goods through a sales tax) and price subsidies (decreasing the cost of healthier items, in this context FV). They found that decreasing the price of healthy products (which are more price elastic) tends to be more effective than increasing the price of unhealthy products. Their review states that though a range of price points were associated with health outcomes, larger price changes in particular had “a measurable effect” on weight, especially among youth, those with low SES, and those at particular risk for overweight. A USDA study concluded that if FV prices were subsidized by 10%, fruit consumption among low-income Americans would rise by 2.1% to 5.2% and vegetables by 2.1% to 4.9%—a substantial rise, though still leaving consumption well below the USDA’s recommended dietary allowance.<sup>77</sup>

Taxing unhealthy foods and beverages is regressive. Some argue that these regressive taxes may be acceptable because they could have greater benefits for lower-income individuals than others. Public support increases if the funds raised are used to support obesity prevention; using them to increase access to healthy foods is a particularly direct way to address the regressiveness.<sup>78</sup> Brownell and Frieden suggest that adding a 1 cent per ounce excise tax could result in a 10% reduction in sugar sweetened beverage consumption.<sup>78</sup>

Much more research is needed to examine in detail the public health impacts of varying price strategies for different foods, in different contexts, and for different consumer groups.

Food assistance and emergency food. The inability to afford adequate, nutritious food can exacerbate the health disparities linked with food deprivation and can have multigenerational effects. The food safety net is a necessary response in this society. Here, we focus on the two largest US assistance programs, the Supplemental Nutrition Assistance Program or SNAP (previously known as food stamps), which provides financial assistance for food purchases based on economic need; and the Special Supplemental Nutrition Program for Women, Infants, and Children (WIC), which provides vouchers for healthier foods to children and pregnant women. We examine program reach, adequacy, and nutrition.

How adequate is coverage for reaching eligible populations and providing sufficient, nutritious food? There are important noncovered populations, including new and undocumented immigrants. Even among those eligible based on income, health, and other criteria, SNAP and WIC reached only about two thirds and half of the eligible.<sup>79,80</sup> Barriers to participation include not knowing of eligibility, stigma, and negative attitudes toward using government benefits and administrative requirements versus the amount of benefits.<sup>81</sup>

Among those who do access the programs, evidence suggests that the programs fall short of covering food costs, although they do increase the ability to afford food; and that they improve nutritional well-being, particularly for children.<sup>82-85</sup> There are concerns that the cyclic food scarcity in the monthly SNAP program may contribute to obesity. When benefits run out at the end of each month, there may be little to eat, particularly for women, who may forego food to assure that their children can eat<sup>73</sup> or turn to cheaper, readily available processed foods high in fat and sugar. When food stamps are again available, biological and psychological drives may promote overconsumption, the weight gain effects of which can be increased through slowed metabolism.<sup>18</sup> Changed payment schedules merit further exploration.

A vibrant area of program development and study involves efforts to incentivize dietary choices through food assistance programs. For example, starting in Fall 2009, WIC clients will receive monthly FV vouchers for grocery stores and in some states, farmers' markets. Such initiatives are a step forward and in some cases effectively double purchasing power, though the voucher dollar values remain fairly low (e.g., \$10 in San Diego).<sup>86</sup>

Though food assistance programs provide funding, emergency food programs provide food; a food systems approach includes assessing what food is made available. USDA-provided agricultural commodities, provided below cost, comprise one fifth of federal dollars spent on school lunch and are also a substantial component of the Emergency Food Assistance Program (TEFAP).<sup>87</sup> Though the food provided is often relatively unhealthy, many food programs have been so underfunded that they continue to rely heavily upon this source, although this may be changing.<sup>88</sup> The programs also benefit commodity growers by assuring markets for their surplus and have contributed to powerful urban-rural alliances supporting the status quo on commodity subsidies in food and agriculture policy. Similarly, many emergency food programs rely substantially on surplus food from supermarkets, manufacturers, and other sources, and this food is often of an unhealthy variety. For example, the Maryland Food Bank routinely dispenses soda and candy to hungry families. Generally speaking, emergency food programs provide relatively few fresh FV, due to cost, shelf life, shipping/refrigeration costs, and seasonality.

Observers including Winne,<sup>89</sup> Poppendieck,<sup>90</sup> and Berg<sup>91</sup> powerfully question the charitable anti-hunger sector at its core, due to the "codependencies" it fosters, the "ideology of voluntarism" as opposed to governmental obligation as part of commitment to the human right to adequate food, and, most fundamentally, the fact that they distract the energy of those who could be working to change the root causes of hunger, such as poverty.

Beyond formal food assistance programs, lower-income families rely on backup strategies including growing, subsistence hunting, trapping or fishing, family and friend networks, faith-based and community organizations,

and store credit. Further research and policy development are needed to understand prevalence and processes of different compensation strategies, to identify policies that can facilitate positive arrangements, and to protect individuals from potential negative effects, from food safety threats to familial power imbalances to loss of access to credit as small stores are replaced by large ones.<sup>92–94</sup>

#### FOOD AND BEVERAGE MARKETING

In 2008, the industries spent \$7.82 billion on food and beverage marketing and \$5.62 billion on restaurant marketing, representing 15% and 28% increases since 2004.<sup>95,96</sup> Marketing may affect perceptions, knowledge, and behaviors not only by promoting particular items, brands, and categories of food but also by promoting social identification and positive associations with brands. The impacts of marketing may operate through pathways including environmental cues to action without intervening knowledge or attitude change and promotion of gratification behaviors.<sup>97</sup> Such marketing can also create a false impression that certain foods are culturally appropriate and others not. Marketing decisions and strategies are primarily driven by economics and profit; the public's health is not a significant consideration.<sup>98</sup> Given the above-described economies food corporations can obtain by producing processed foods with low-cost subsidized ingredients, it is not surprising that these relatively unhealthy foods are heavily marketed.

Audience segmentation in content and placement is a basic tenet of marketing, but to the extent the practice is effective in greater promotion of unhealthy foods in some communities than others, it can lead to behaviors and norms that exacerbate health disparities. Yancey et al<sup>99</sup> found that disparities in placement of outdoor food and beverage marketing may contribute to behaviors that increase risk for obesity. Studies have also found that more food commercials aired during “African American” shows than others, and that the distribution of items advertised was more heavily skewed toward unhealthy items.<sup>100,101</sup> Additional research shows that low-income children watch more television and have greater media exposure—and thus more exposure to food commercials—than their higher-income peers.<sup>102</sup> When the US Federal Trade Commission compelled 44 major food companies to provide food marketing data, only half stated that they did not target advertising based on gender, race, and ethnicity. Many of the remaining half do; numerous examples are provided in the report.<sup>103</sup>

Few interventions and policies are specifically developed to reduce differential food marketing by race/ethnicity. Possible approaches include zoning changes, counter-advertising, marketing guidelines,<sup>99</sup> “shaming” the advertisers publicly, media literacy education,<sup>102</sup> and even legal challenges on civil rights and right to health grounds.

## Community Food System

There is a strong, growing, and well-reviewed literature describing reduced physical access to healthy food in low-income and minority communities, both urban and rural.<sup>104–107</sup> This literature fits into wider bodies of literature identifying US residential segregation and neighborhood-level health disparities. We discuss the following community food environments: stores and direct to consumer marketing; restaurants; schools; and workplaces. We also discuss community food policy interventions. Other community nutrition interventions, such as Meals on Wheels or senior citizens' meal programs, are not discussed here.

### STORES AND DIRECT TO CONSUMER MARKETS

Stores. Literature generally shows that compared to smaller stores, supermarkets stock a greater selection of produce and healthy food items and generally have lower prices due to economies of scale and, often, to competition. Supermarkets have been moving out of inner cities since the 1960s, following the movement of wealthier populations to the suburbs.<sup>108,109</sup> USDA data suggest that by 2011, 40% of US food sales may occur in “non-traditional grocery stores” including discount stores, drug stores, and mass merchandisers.<sup>110</sup>

Though literature is mixed, most studies have found that predominantly minority, low-income, and rural communities are particularly likely to face low access to supermarkets, chain supermarkets, and healthier foods.<sup>64,104–106,111,112</sup> A 2009 USDA national analysis also emphasized the importance of income inequality and racial segregation.<sup>106</sup> Studies have found that corner stores and other sites in low-income areas tend to offer an abundance of processed foods and few fresh items, whereas healthier food is generally more available in white than in non-white communities.<sup>104–106</sup>

Physical access is an important factor because though it is often possible to travel farther for healthier food options and lower prices, the travel may be particularly inconvenient for those with lower incomes, due to transportation and other barriers.<sup>73,113</sup> Neighborhood crime levels might also be a concern, affecting safety and limiting the shopping options some consumers are willing to use. Some consumers shop in multiple stores at varying distances based on price, convenience, and other—not well-studied—factors.<sup>73,113,114</sup> For example, a community food assessment in Baltimore found that on average, respondents spent \$280/month at supermarkets and \$140/month at corner stores, making many more trips to the latter.<sup>115</sup>

Relatively few studies have directly examined the association between healthy food access and healthy food consumption,<sup>116</sup> but most find such associations for both supermarket access and level of healthy foods in community stores. For example, Franco et al., using direct observation within stores and a large population sample, found that even after adjusting for

age, sex, income, and education, people in areas with the lowest category of food availability had a significantly less healthy diet.<sup>117</sup> Finally, a small literature completes the circle, directly associating food access and store type with health differentials. In published reviews, studies generally showed lower rates of obesity associated with increased supermarket access (although there were some inconsistencies) and the converse for convenience store access.<sup>104,107</sup>

There are several case studies of chain supermarkets opening in underserved areas with positive impacts on the community and thriving sales for the stores.<sup>118</sup> Though few examined public health impacts, one that did is Wrigley et al.<sup>119</sup> They found a small increase in FV servings per week, with the most increase among those with the poorest initial diets.

One of the largest programs to bring food stores to low-income and minority communities is Pennsylvania's Fresh Food Financing Initiative (FFFI), now being replicated elsewhere. FFFI, with both public and private funds, created a \$120 million financing pool dedicated to increasing supermarket development and rehabilitation by providing grants and loans. Over 52 projects have been funded, resulting in an additional 1.3 million square feet of retail space. Evaluation plans are underway.<sup>120</sup> Increasing the number of supermarkets is costly and takes years to implement. Other initiatives work to modify environments in existing food stores to influence availability and encourage healthier food choices. A review of interventions aiming to improve conditions in food stores found mixed results. Point-of-purchase interventions (generally in-store marketing or education materials) often improved consumer knowledge, but that did not always translate into healthier food choices.<sup>121</sup> Most evaluations did not include control stores. An examination of initiatives in several supermarkets found that increasing size of the produce display substantially, such as to 200% of original size, increased produce sales from 28% to 59%.<sup>122</sup>

Efforts to bring healthier foods into corner stores in low-income and underserved communities around the country are supported by networking in the national Healthy Corner Store Network (HCSN). One of the few corner store programs with robust evaluation results is Baltimore Healthy Stores (BHS). That program, developed based on extensive formative research on social and cultural context, works with store owners to increase healthy food options, conduct food demonstrations and taste tests, change the physical location of food items, offer price incentives, and identify healthy products. The program resulted in significantly higher sales of promoted foods. Six months after the program, at-home healthy food cooking was significantly increased in the intervention area, and nonsignificant results suggested continued healthy food stocking and changes in store owner expectations and self-efficacy.<sup>123</sup>

Direct to consumer marketing. Direct-to-consumer marketing, including farmers' markets and community-supported agriculture, is growing rapidly

in the United States. The number of farmers' markets grew from 1755 in 1994 to 4685 in 2008, making it one of the fastest growing alternative food sale venues.<sup>124</sup> Efforts to improve access to healthy and sustainably produced food through farm to consumer marketing have generally not been well evaluated; there are few references in the peer-reviewed literature.<sup>125,126</sup> Below, we describe 3 main types of intervention.

First, interventions to establish farmers' markets in areas convenient to low-income and minority consumers have been implemented in numerous areas. These projects are often challenged by high startup costs and, unfortunately, they frequently fail.<sup>127</sup> Community engagement in the process, community financial ability to start a market, and strategic location choice appear to be important. One successful example is the Food Trust in Philadelphia, which runs 14 farmers' markets around the city, mostly in low-income areas. Costs are subsidized by markets in other areas with higher-income clientele. In their 2007 evaluation, 49% of respondents reported increased FV intake since shopping at the markets, and of that group, 30% stated that their FV consumption increased by one to two daily servings.<sup>120</sup>

Second, interventions to make farmers' market produce more affordable are also proliferating. For example, the farmers' market nutrition programs (WIC and seniors) provide coupons to incentivize consumers to use these markets and were further expanded in the 2008 Farm Bill.<sup>128</sup> One evaluation found that participants in the farmers' market nutrition program consumed more vegetables than WIC participants.<sup>129</sup> Another recent study found that subsidizing fruit and vegetable purchases for WIC participants increased the number of servings by 1.4 compared to the control group and those changes were sustained 6 months after the intervention ended.<sup>130,131</sup> A similar increase (1.4 servings) in fruit and vegetable consumption was found in a program that used home delivery for the seniors' farmers' market nutrition program.<sup>132</sup> Two earlier evaluations found that the markets were used extensively but did not increase FV consumption; further evaluations are ongoing.<sup>121</sup> One key limitation is the small amount of monthly funding provided to consumers; some states are supplementing the federal funds to increase the amounts provided.

Finally, initiatives around the country are working to provide (costly) machines to farmers' markets to enable them to accept electronic benefits, a benefit both to SNAP and to other community members who use debit or credit cards.<sup>133</sup>

## RESTAURANTS

Compared to food prepared at home, typical fast food restaurant meals have higher energy density, calories, fat, sugars, sodium, and portion size and are relatively low in fruits and vegetables.<sup>62,134,135</sup> Heavy consumption of fast

food that is high in energy but low in nutrients is associated with higher BMI.<sup>136</sup> Though “away” and pre-prepared foods are generally more costly than those prepared at home, they are still consumed at high rates among those with lower incomes<sup>134,135,137</sup> and, some evidence suggests, minorities.<sup>137</sup>

Larson et al found that overall most US studies find more fast food restaurants in low-income and minority areas and healthier restaurants in wealthier areas, although results are not consistent.<sup>104,135</sup> Further, the literature generally shows that consuming more restaurant food, especially fast food, is associated with obesity and weight gain. However, findings are mixed regarding the impact of geographic proximity to certain types of restaurants, because living nearby does not equate with eating the food.<sup>104</sup>

We found few evaluations of interventions to address possible connections between restaurants and health disparities. Only one disparities-specific food environment intervention was identified for this article: the city of Los Angeles placed a year-long moratorium on any new fast food restaurants locating in low-income, high-fast food-density areas. An evaluation is currently underway. The literature does include interventions aiming to change consumer awareness of nutritional and caloric content of restaurant food, primarily through policy. We note, however, that that such changes are positive, they may be of the greatest help to those with higher nutrition literacy and those who are already inclined to seek out such options—and thus that such interventions might even increase disparities. Further research is needed on both the role restaurants may play in health disparities—and on impacts on disparities from restaurant interventions designed to improve the availability or identification of healthy foods.

## SCHOOLS

School food is significant for providing nutrition and improving food security. Schools are also an important venue to set an example about healthy food and nutrition behaviors. Many schools provide two meals a day. These are subject to federal nutrition standards, although low reimbursement levels constrain the ability to meet them. Particular challenges include reducing fat levels and the levels of fresh FV and whole grains offered.<sup>138</sup> Competitive foods (foods sold outside school meal programs) are typically low in nutrient value and highly processed and are not subject to federal regulation.<sup>139</sup> Given that competitive foods bring in additional revenue that is especially appealing to struggling school districts, the financial incentives may increase resistance to change in these districts especially and thus exacerbate health disparities.

When the school food environment is altered to promote healthier foods, is there an impact on health? Results are mixed. It is challenging at best to find positive results when distal outcome measures such as BMI are used, due to the many intervening and confounding factors and the fact that



students are in school only a portion of the day. Impacts on knowledge, attitudes, and behaviors are often more realistic targets of research.<sup>140</sup> One recent paper examined the evidence regarding farm-to-school programs.<sup>141</sup> Of the 15 evaluations reviewed, only one examined direct health outcomes using BMI as a measure, and that evaluation found no significant change. Eleven studies looked at dietary behavior changes and 10 of those reported an increase in eating more fresh produce in school. Of the 5 programs that measured consumption outside of the classroom, 4 found that there was an increase. Two programs incorporated classroom nutrition education but only one found that it increased the amount of produce consumption. Two studies found that students were making positive lifestyle changes such as greater physical activity, improved self-esteem, and improved work ethic. Knowledge and attitudes were measured in 4 of the studies and found an increase in students' understandings of where their food comes from, how to read nutritional labels, and recommendations for daily produce consumption. Overall, there is a need for more evidence about connections between farm-to-school programs and health. Many are viewing these programs as a promising option for increasing children's exposure to fresh produce, a strategy that can have particular benefits among those who otherwise would have relatively little such exposure. School gardens also have a role to play in health promotion and disease prevention and can affect children's relationships with food in a positive way such as increasing willingness to taste new FV.<sup>142</sup>

To the extent that school-based interventions are positioned to address health disparities, it is generally a matter of implementing programs in schools or areas with many low-income or minority children and engaging culturally appropriate strategies. It is important to recognize that some of the most effective and progressive interventions addressing food in schools also involve the most substantial and costly change and thus can be challenging or unaffordable for many schools in poor neighborhoods. To avoid increasing disparities among schools, across-the-board implementation (following pilot projects) within districts might be recommended.<sup>143</sup>

Beyond in-school food, the environment surrounding many schools also offers easy access to unhealthy choices. Zenk and Powell<sup>144</sup> found that one third of US public secondary schools had fast food restaurants and/or convenience stores within a half mile. Schools in lower-income (vs higher) and predominately white (vs predominantly African American) neighborhoods were particularly likely to be located near food outlets.

## WORKPLACES

Workplace interventions have grown in popularity in part because employers who provide health insurance have seen a significant increase in costs related to diet-related diseases. Progressive companies, primarily larger in

size, offer on-site healthy cafeteria choices or interventions such as lowering the cost of healthy foods, improving vending choices, providing nutrition information for cafeteria foods, and e-mailing nutrition education.<sup>145</sup> Workplaces can play a key role in reaching at-risk populations that have poor access to healthier foods in their neighborhoods, and poor access to health providers. A supportive environment augmented with coworkers or family also seeking change can serve to increase health-promoting behaviors<sup>146,147</sup> and help establish healthier food consumption norms. The vast majority of workplaces, particularly those in low-wage industries, do not have cafeterias or such nutrition-oriented programs, however. Thus—as in the case of school interventions—these interventions may exacerbate disparities. Though the evaluation literature lags behind the state of practice, those reviews that have been conducted have generally found that work-site health promotion activities that modify food environments are effective.<sup>105,145,147,148</sup> Most papers and review articles do not disaggregate findings based on demographic categories of workers.

It is important to approach workplace interventions with the recognition that employees may resist and/or resent nutrition interventions that appear to attribute health problems to individual behavior, when similar attention is not also paid to improving the environment by providing healthier food choices or reducing workplace hazards.<sup>149</sup> In addition, some employer incentives for healthy behavior or weight loss, such as added costs in insurance premiums or social pressures, can be counterproductive and discriminatory; given demographic trends in obesity, these may fall disproportionately on minority and low-income workers.

#### COMMUNITY-LEVEL POLICY APPROACHES

Though multiple program efforts focus on changing the physical environment in which food is offered, in the absence of fundamental food system change, those efforts at best have limited potential and, at worst, might be conducted in vain. One effective strategy for addressing food systems more comprehensively has been establishing state-, county-, or city-level food policy councils—groups of governmental and nongovernment food system stakeholders that would otherwise have little interaction, joining to shape local policy and programs related to food, agriculture, and/or hunger.<sup>89,150,151</sup> Most of the approximately 50 food policy councils in the United States include addressing food system disparities in their missions.<sup>151</sup>

Communities addressing food system health disparities—whether through councils or otherwise—are developing a variety of innovative approaches including changes to legal codes and policies for zoning, development, taxation, food assistance, and public health. Some of these local decisions have been challenged by food industry and industrial agriculture interest groups, with varying results. These challenges highlight the benefit

of stakeholder engagement and inclusive processes of policy development in many cases, as well as the benefits of additional legal and policy research to assure that proactive policies may employ the strongest possible legal grounding. As well, evaluation is needed to glean lessons from these policies and eventually enable scaling up of the most promising initiatives.

### Other Social Factors

The social and cultural factors discussed in the following section are not primary targets of action for the majority of those focused on improving food systems, because they are commonly seen as involving individual-level behavior and responsibility. We include them here because the insight that derives from a food systems framework is one of interrelationship and multifaceted spheres of influence. Working on supply without addressing demand can have limited impact. If social factors combine so that individuals in specific communities buy and consume low amounts of healthy food, disparities in food access may be worsened. These community-level disparities are also aggregated upward to affect the overall shape of the food system and societal food supply, price, availability, and so on.

#### TRADITION AND CULTURE

The determinants of demand for food vary by cultural group. Space constraints do not allow us to delineate relevant cultural factors group by group in this article. It is, however, critical to consider 4 cross-cutting issues.

First, culture and personal history affect food consumption via the pathway of preferences. Beyond the obvious direct connections to particular food preferences, these exposures also affect openness to try new foods, food preparation knowledge, and comfort levels regarding new or different cooking methods and ingredients. Further, as cultures interact with poverty, higher-fat foods and meats are often particularly valued because they are filling, and extra weight may be seen by some as offering security.<sup>152,153</sup> Several studies found meats (which are associated with obesity<sup>154</sup>) including red meat (associated with negative health outcomes including cardiovascular disease, some cancers, and diabetes<sup>155</sup>) to be top preferred foods among different groups of low-income women, both for cultural and personal history reasons. Low budgets may push meat purchases toward higher-fat, more processed, and less-expensive cuts.<sup>73,156</sup>

Second, food is often seen as a means of connecting to or holding onto cultural identity and distinguishing oneself from the mainstream.<sup>157-159</sup> Foods promoted as “healthy” are sometimes viewed as foreign to a given culture or can be perceived as culturally inappropriate.<sup>157</sup> Several qualitative studies describe food, including “comfort” foods (ie, foods that are high in fat, sweetness, and/or filling), as a way to nurture and provide something

positive and culturally significant to children and others in the face of poverty and stress.<sup>92,158</sup>

Third, acculturation within the overall and/or perceived norms of US society has been found to predict numerous unhealthy behaviors across multiple immigrant and ethnic groups. In terms of diet, intake among those with greater acculturation tends to become more processed, with increased fats and sugars.<sup>160,161</sup>

Finally, though culture can support unhealthy diets, we emphasize that cultures are not static. In some cultures, and across populations, there have been apparent cultural shifts in preferences based on knowledge of health impacts of certain preferred foods—such as the shift away from full-fat milk.<sup>36,162</sup>

It would be beneficial to expand the largely qualitative literature on connections between cultural identity and food choice and to complement it with more quantitative investigations.

#### TIME

The phrase *time is money* may be a cliché. However, the fungibility of the two is important when it comes to people's relationships with food and may significantly explain why some people on low budgets choose to buy more expensive pre-prepared foods versus cooking from scratch. Single parents in particular tend to have more "poverty" when time is factored into the equation.<sup>163</sup> To increase healthy food feasibility for low-income families, there is need for further innovation to identify ways the food system can reduce the time cost of healthy foods while keeping prices low, including through prepackaged items, funds to families to purchase cooking equipment, and recipe sharing.

#### PERCEPTIONS OF "GOOD FOOD"

Several investigations have examined how low-income individuals and minorities perceive "good food"—food that is healthy, green, fair, and affordable—particularly organic and sustainably produced foods. According to Zepeda et al, research findings have been mixed regarding predictors of organic food consumption by age, gender, income, and education.<sup>164</sup> Recent qualitative investigations have attempted to dig deeper.

One qualitative study found that lower-income participants valued many of the same attributes advocated by proponents of sustainable food systems, including an emphasis on local control, participatory process, ethics and equitability, and health.<sup>165</sup> In another involving focus groups with African American and white participants, the former tended to be more positive toward and more willing to pay more for organic produce; further, they were more aware of broad food system and social factors, more frequently

had dietary restrictions, and were more likely to read food labels for that reason.<sup>164</sup> Though finding this potential openness to sustainable produce, both studies also identified financial, geographic, and knowledge barriers. Despite these barriers, a 2004 national study using Nielsen Homescan data found that African Americans spent the most per capita of any ethnic group on organic produce and, further, that families earning less than \$25,000 per year spent more per capita than any other income group.<sup>52</sup>

#### FOOD PRODUCTION AND PROCESSING EXPOSURES

Finally, outside the eating pathway in the food system, exposures associated with agriculture and food processing methods can directly affect health, contributing to health disparities.

Workers engaged in food production, processing, distribution, warehousing, and other aspects of the US food system report exceptionally high rates of occupational injuries, illnesses, and fatalities.<sup>29,166</sup> Hazards include musculoskeletal strains and other injuries and exposures to chemicals, dust, endotoxins, and pathogens including antibiotic-resistant microbes.<sup>29,167</sup> The US food system relies heavily on workers who are low-income, minority, and immigrant; due to social power differentials and other factors, these workers often experience difficulty exercising their rights to workplace safety and health and obtaining compensation when adverse events occur.<sup>24-29,168</sup> It is a cruel irony that due to the low wages in food production, processing, distribution, and sales jobs, many of these workers providing our food are unable to afford and/or access healthy foods for themselves and their families.

Many rural communities are directly involved in and/or proximal to agriculture and food production and processing operations; studies have documented environmental injustice in the siting of industrial food animal production (IFAP) facilities, including disproportionate frequency in areas with high proportions of low-income and African American residents.<sup>30,31,169,170</sup> There is a growing body of research on the public health impacts of living near IFAP facilities. In particular, there is evidence suggesting associations with respiratory conditions and mental health concerns.<sup>30</sup>

#### CONCLUSIONS

This review has shown how food systems and their components are key loci of and contributors to magnifying existing health disparities. The products and externalized costs of our food production system are inequitably distributed throughout society, as a result of supply, demand, and other social and economic factors. The determinants of disparities are like a prism, refracting the elements of our food system and making them differentially available, accessible, and even preferred by various sectors of society.

The UN has defined food security as a situation whereby “all people, at all times, have physical, social and economic access to sufficient, safe and nutritious food that meets their dietary needs and food preferences for an active and healthy life.”<sup>171</sup> This article has emphasized the gaps in such access, identified key determinants of such gaps within US food systems, and described a set of interventions that can address them. We have reviewed the strong, complex, dynamic, and multifaceted relationships between food systems and health disparities and described existing policy and programmatic interventions that reflect an understanding of how food systems can address and reduce health disparities. We have reviewed literature and discussed research and policy needs relevant to the broad food system, community food systems, other social factors, and food production/processing exposures.

Finally, we have shared an original conceptual model explaining these relationships. The model also emphasizes the social factors that, though separate from the food system, interface with the food system in affecting health disparities. The model provides a structure that can be useful in conceiving interventions, by providing a set of variables and sites of interventions to consider. At least as importantly, though any single intervention may primarily focus on a single one of the model’s levels, the model highlights the need to approach interventions with an understanding of the systemic nature of food systems and of the interactions between components at multiple levels. For example, in order to address Baltimore City’s nutritional disparities, the city’s farm-to-school program primarily aims to serve healthier food to children. But to do so, the program extends to address a broad range of needs including local food production and distribution networks, institutional contracts, food preparation infrastructure, food education, and demand-building strategies.

From this review, the following points emerge.

- To address public health disparities, a key goal is to shift away from the current mainstream food supply, to food encouraged as part of the Dietary Guidelines for Americans<sup>5</sup> as well as more environmentally sustainable foods. These foods should become those most available and affordable through basic food outlets. Key tactics in this shift may include realignment of farm policy incentives that promote overproducing selected commodity crops and altering food processor incentives. Increased incentives should support fruit and vegetable production, until the label “specialty crops” becomes the anachronism it should be.
- A second key goal is to alter food environments to support access to and affordability of healthier foods. An extensive research and review literature examines relationships between food environments and health and evaluates interventions to address the impacts.
- Some groups may lag in adopting innovations (such as new approaches to diet or new venues for obtaining food) due to inequalities in financial

resources, geographic access, time, education, and other factors. Population strategies must be balanced with efforts specifically aimed at removing barriers that create and/or perpetuate disparities.

- The converging environmental crises we face will have powerful effects on food security; those with the least economic, social, and political power may bear the brunt of the harms. Greater recognition of this phenomenon and more purposeful planning including development of resilient, localized agricultural production may help mitigate the situation.
- There is great need for study of the nutritional public health impacts of sustainably produced food and for evaluation of practice work in alternative food systems, including the disparities impacts.
- Community engagement and participatory approaches are essential in the search for and development of effective solutions that will not be experienced as punitive, stigmatizing, unrealistic, or missing key components—and also to make sure the right questions are asked.
- There is much need for programs to develop careers of researchers and local, state, and federal leaders who appreciate health in all policies from within the populations experiencing health disparities.
- Food systems advocates and public health advocates seeking to address health disparities must join forces with those working to address underlying social inequities, including poverty and racism, that interact with food system factors to influence disease risk.

We cannot effectively address food-related health disparities or the ecologic harms of the food system without also working to make access to healthy and more sustainably produced food a right, not a privilege. All these relationships are inextricably linked, and critical synergies of impact can be accessed by jointly pursuing public health, equity, and “good food” goals. Improved political alliances between anti-hunger advocates and those supporting healthy food and sustainable food can also change the political calculus of agricultural policy. The United States has set a national goal to eliminate health disparities.<sup>12</sup> Effective progress will depend partly on a broader recognition of the breadth of food system factors that contribute to these disparities—community-level factors, other social factors, and agricultural exposures. In all these areas, this review documents a need for more evidence and evaluation, more innovation, more scaling up and policy change, and more action.

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