

Effect of Propargylic Substituents on Enantioselectivity and Reactivity in Ruthenium-Catalyzed Propargylic Substitution Reactions: A DFT Study

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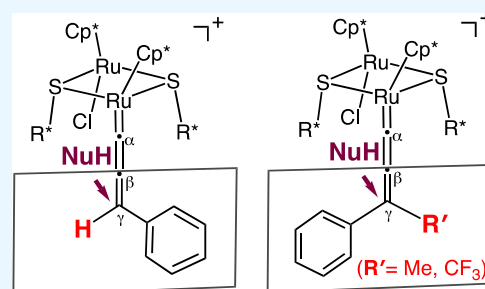
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ABSTRACT: We recently proposed a transition-state model for asymmetric propargylic substitution reactions of propargylic alcohols catalyzed by optically active thiolate-bridged diruthenium complexes [*Chem. – Asian J.* 2021, 16, 3760–3766]. In the present study, we further examined the effects of propargylic substituents on both enantioselectivity and reactivity in the propargylic substitution reactions via ω B97X-D-level density functional theory (DFT) calculations. When the propargylic alcohol bears a methyl group at the propargylic position, we obtained results that contrast with the result of our previous study on propargylic alcohols without methyl groups. This result indicates that methyl group substitution at the propargylic position reverses the stereoselectivity. Substitution of a trifluoromethyl group for a methyl group was suggested to result in higher enantioselectivity. The obtained results are consistent with the experimental study on enantioselective propargylic phosphinylation reactions reported by our group.

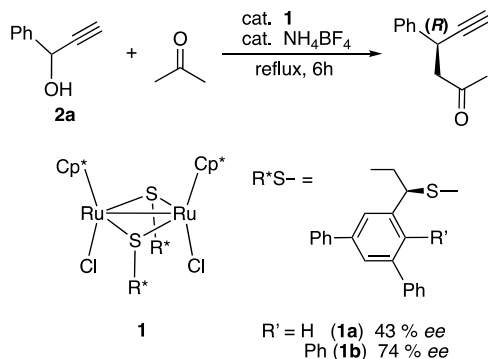


Effects of substituents at the propargylic position on enantioselectivity and reactivity

INTRODUCTION

The catalytic asymmetric propargylic substitution reaction of propargylic alcohols or their derivatives is an efficient tool for constructing a stereocenter at the propargylic position.¹ Since Nishibayashi et al. reported the asymmetric propargylic alkylation reaction of propargylic alcohols with acetone in the presence of an optically active thiolate-bridged diruthenium complex (**1**) (Scheme 1),² transition metal- and organo-catalyzed enantioselective propargylic substitution reactions have been gradually developed.^{3–5}

Scheme 1. Asymmetric Propargylic Substitution Reactions of Propargylic Alcohols with Acetone Catalyzed by Optically Active Thiolate-Bridged Diruthenium Complexes²



In our previous study, we examined the reaction of ruthenium–allenylidene complex **3a**, which is generated from an optically active thiolate-bridged diruthenium complex [$Cp^*RuCl(SR^*)$]₂ ($Cp^* = \eta^5-C_5Me_5$, $SR^* = (R)-SCH(Et)-C_6H_3Ph_2$) (**1a**) and 1-phenylprop-2-yn-1-ol (**2a**), with prop-1-ene-2-ol, which is the enol isomer of acetone.⁶ We used ω B97X-D-level DFT calculations to clarify the origin of the enantioselectivity in the reaction of **2a** with acetone catalyzed by **1a** or **1b** (Scheme 2). By exploring a variety of transition-state structures for the nucleophilic attack of enol on the γ -carbon (C_γ) atom at the ruthenium–allenylidene complex, we revealed that the number of lower-energy structures leading to the major *R*-product ((*R*)**4a**) is larger than that of the structures leading to the minor *S*-product ((*S*)**4a**), which indicates stereoselectivity in the allenylidene moieties.

A variety of nucleophiles, such as *N,N*-dimethylaniline, furan, indole, 1,5-enyne, and 2-naphthol, were experimentally applied for the enantioselective propargylic substitution reactions catalyzed by **1a** or **1b**, and the reactions of **2a** provided the major products that were obtained by the attack of the nucleophiles from the same direction of the allenylidene

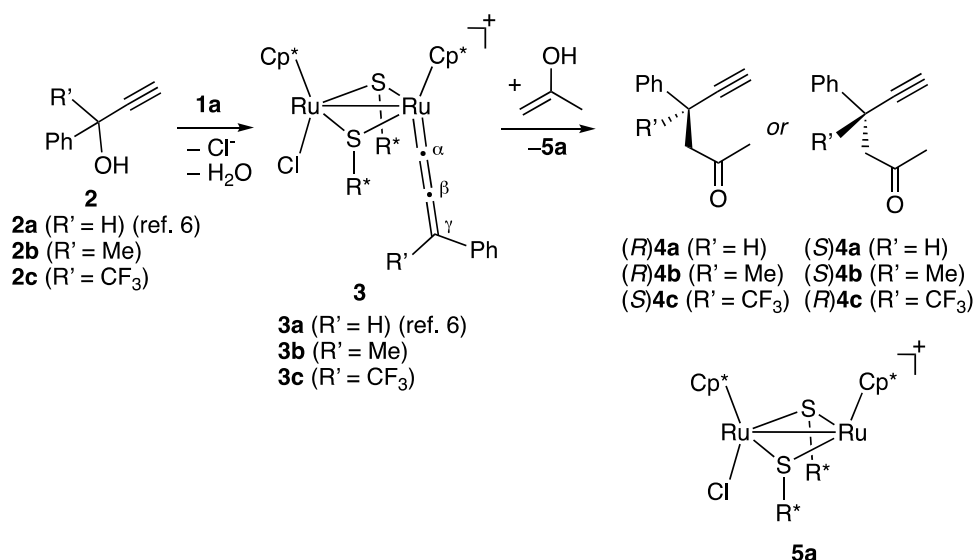
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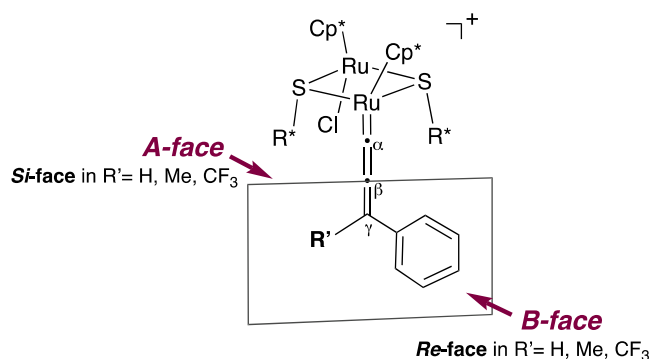


Scheme 2. Examined Reaction Pathway of Ruthenium–Allenylidene Complex 3, Which Is Generated from 1a and Propargyl Alcohol 2, with Prop-1-ene-2-ol



ligand (A-face attack in Scheme 3).¹ However, our group recently reported the diruthenium-catalyzed reaction between

Scheme 3. Definition of the Faces at the C_γ Position of the Allenylidene Ligand in the Present Study¹

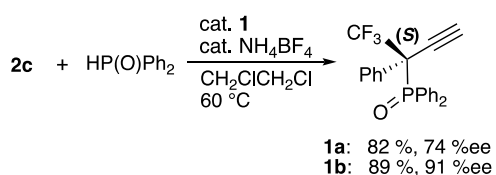


¹In the cases of $R' = H, CH_3$, and CF_3 , A- and B-faces correspond to Si- and Re-faces, respectively.

phosphine oxide and propargylic alcohol bearing a trifluoromethyl group at the propargylic position ($2c$) (Scheme 4)⁷ and found the major product obtained by the attack of the nucleophile from the different direction (B-face attack in Scheme 3).

Thus, the effects of propargylic substituents on the stereoselectivity and reactivity of propargylic substitution

Scheme 4. Propargylic Phosphinylation Reaction of Propargylic Alcohol Bearing Trifluoromethyl Groups at the Propargylic Position with Diphenylphosphine Oxide in the Presence of 1a or 1b⁷



reactions catalyzed by the diruthenium complex 1a are next target of our studies. Based on findings obtained in our previous DFT studies,^{6,8} we, in the present study, examine model propargylic substitution reactions of propargylic alcohols $2b$ and $2c$ ($R' = Me$ and CF_3 , respectively, in Scheme 2) catalyzed by diruthenium complex 1a.

RESULTS AND DISCUSSION

Complexes. The ruthenium–allenylidene complex plays an important role as the key intermediate in the diruthenium-catalyzed propargylic substitution reactions.^{9,10} For $3a$, six equilibrium structures with weak intramolecular interactions between the terminal phenyl group in the thiolate ligand and the phenyl group in the allenylidene ligand, I_x ($x = a-f$), were observed in our previous calculations, and the difference in free energy between these structures was relatively small (Figure 1).⁶

For $3b$, six structures I_{Mx} ($x = a-f$) were identified, as was true of $3a$ (Figures 1 and S1). Structure I_{Ma} , which has an intramolecular CH/ π interaction between the *meta*-C–H bond of the terminal phenyl group of the thiolate ligand and the phenyl group of the allenylidene, corresponds to structure I_a observed via X-ray crystallography for $3a$ (Figure S2 shows the noncovalent interaction (NCI) plot¹¹). The distance between the hydrogen atom of the terminal phenyl group of the thiolate ligand and the phenyl ring of the allenylidene is 2.75 Å, which is slightly shorter than that in I_a , 2.80 Å (Figure S1). The structure I_{Mb} has a π – π interaction between the terminal phenyl group of the thiolate ligand and the phenyl group of the allenylidene ligand instead of a CH/ π interaction. The distance between two phenyl rings is 3.82 Å, which is also shorter than that of I_b , 3.84 Å. These results indicate that the electron-donating methyl group enhances CH/ π and π – π interactions.¹² I_{Mb} is slightly lower in free energy than I_{Ma} by 1.2 kcal/mol, showing almost the same energy difference as those in $3a$ (I_b is lower than I_a by 1.5 kcal/mol; Figure 1). In the I_{Mc} structure, which corresponds to I_c , the methyl group moves away from the terminal phenyl group of the thiolate ligand, while the C_γ –H bond in allenylidene interacts with the terminal phenyl group of the thiolate ligand in I_c . Thus, the

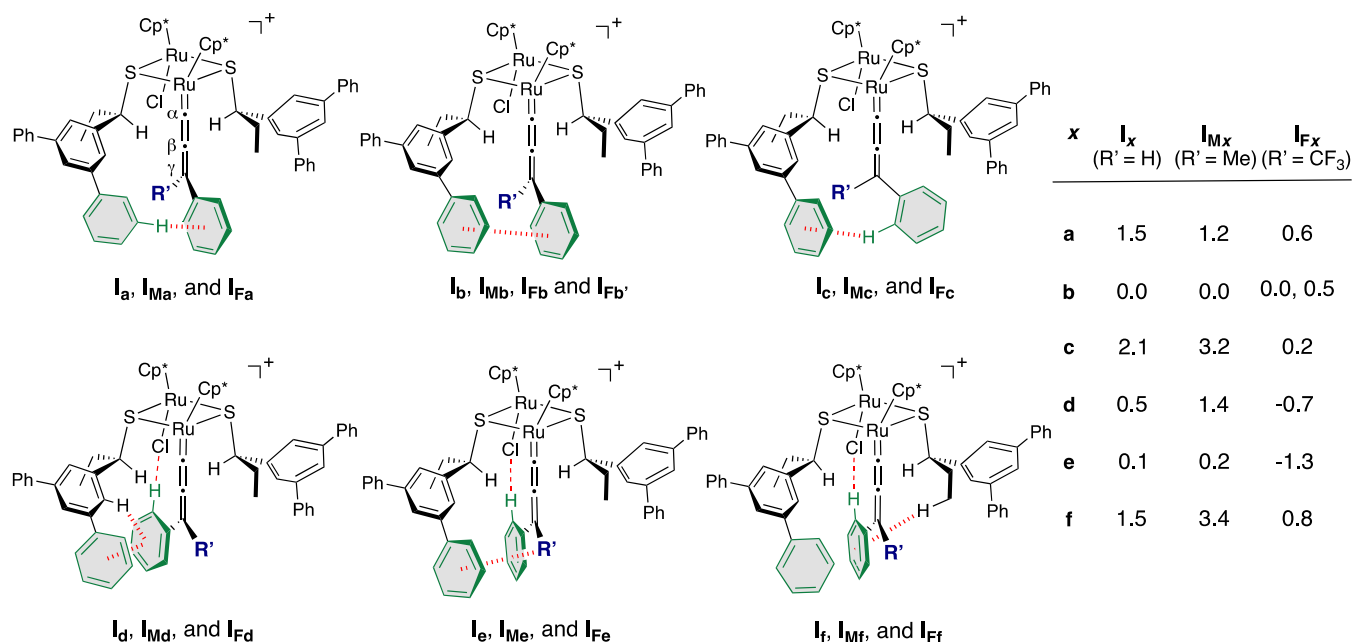


Figure 1. Structures of ruthenium–allenylidene complexes I_x , I_{Mx} , and I_{Fx} for **3a**, **3b**, and **3c**, respectively ($x = a–f$). The relative Gibbs free energies, ΔG^{333K} , are listed (kcal/mol).

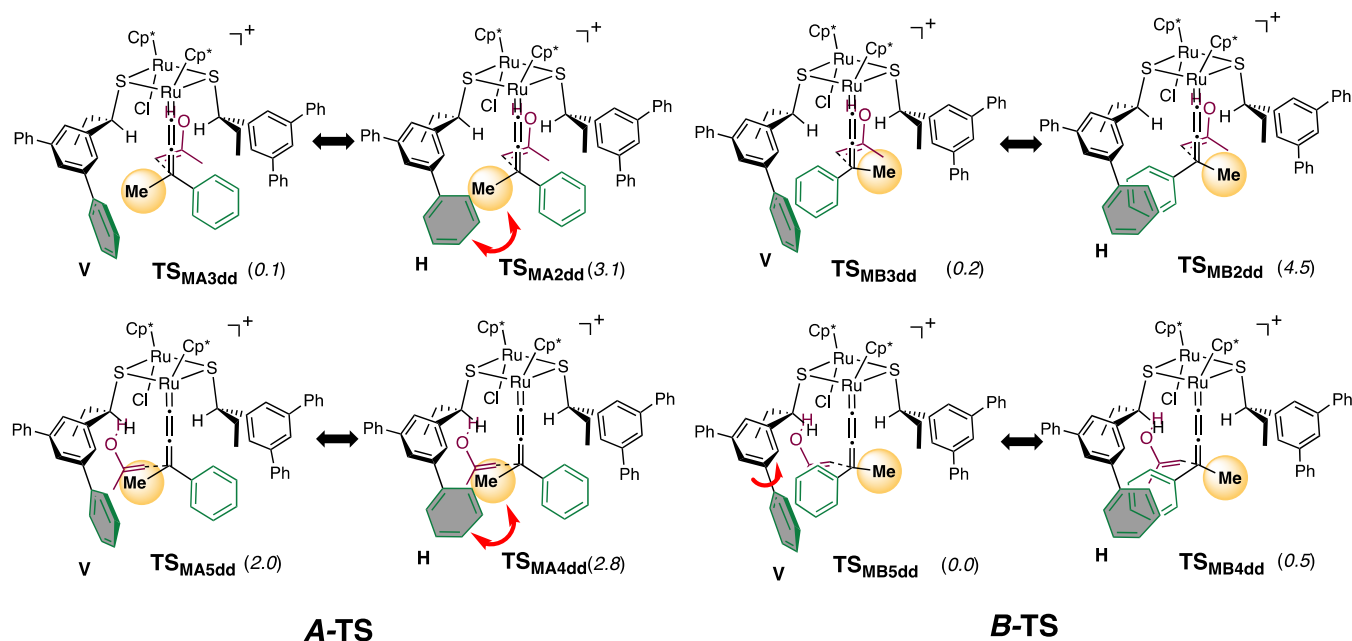


Figure 2. Transition-state structures for the reaction of ruthenium–allenylidene complex **3b**. The Gibbs free energies relative to TS_{MB5dd} at 333 K, $\Delta\Delta G^{333K}$, are given in parentheses (kcal/mol).

energy of I_{Mc} relative to I_{Mb} , 3.2 kcal/mol, is higher than that of I_c relative to I_b , 2.1 kcal/mol. In the I_{Md} , I_{Me} , and I_{Mf} structures, meanwhile, the allenylidene moiety is rotated, and the *ortho*-C–H bond in the phenyl group of the allenylidene interacts with the chloride ligand, which coordinates to the ruthenium atom different from the atom attached to the allenylidene ligand. The energies of I_{Md} and I_{Mf} relative to I_{Mb} are 1.4 and 3.4 kcal/mol, respectively, which are slightly higher than the relative energies of **3a** (0.5 and 1.5 kcal/mol), while I_{Me} has almost the same relative energy as I_{Mb} (0.2 kcal/mol). The CH/ π interactions between one of the methyl C–H bonds in the allenylidene ligand and the terminal phenyl group in the

thiolate ligand and the interactions between the *ortho*-C–H bond of the phenyl group in the allenylidene and the chloride ligand were observed in I_{Me} (Figure S2 for the NCI plot; the distance between the hydrogen atom and the terminal phenyl group in the thiolate ligand is 2.55 Å).¹³

For **3c**, seven equilibrium structures I_{Fx} were obtained because two structures corresponding to the structure I_{Mb} , I_{Fb} and $I_{Fb'}$, were identified (Figures 1 and S1).¹⁴ Among the seven structures, I_{Fd} and I_{Fe} were found to have lower energies (the energies relative to I_{Fb} are -0.7 and -1.3 kcal/mol, respectively). These structures, in which the trifluoromethyl group is directed to the other side of the chloride ligand, avoid

Table 1. Relative Gibbs Free Energy $\Delta\Delta G^{333\text{K}}$ and Boltzmann Distribution for Lower-Energy Transition-State Structures

x	TS _x ^a		TS _{Mx}		TS _{Fx}	
	$\Delta\Delta G^{333\text{K}}$ (kcal/mol)	$\exp\left(-\frac{\Delta\Delta G^{333\text{K}}}{R \times 333\text{K}}\right)^b$	$\Delta\Delta G^{333\text{K}}$ (kcal/mol)	$\exp\left(-\frac{\Delta\Delta G^{333\text{K}}}{R \times 333\text{K}}\right)^b$	$\Delta\Delta G^{333\text{K}}$ (kcal/mol)	$\exp\left(-\frac{\Delta\Delta G^{333\text{K}}}{R \times 333\text{K}}\right)^b$
B5dd	-0.03	1.049	0.0	1.000	2.4	0.025
A3dd	0.0	1.000	0.1	0.840	3.8	0.003
A2dd	0.5	0.506	3.1	0.010	3.0	0.010
A4dd	0.9	0.251	2.8	0.015	6.1	0.000
A5dd	1.6	0.087	2.0	0.052	4.9	0.001
A3du	1.9	0.060	3.6	0.004	9.0	0.000
B3dd	2.0	0.045	0.2	0.725	2.0	0.046
B4dd	4.2	0.002	0.5	0.465	0.0	1.000

^aTS_{Anyz} and TS_{Bnyz} correspond to TS_{nyz} and TS_{snyz} respectively, in ref 6. ^bR is the gas constant.

the repulsive interactions between the fluoride atoms in the trifluoromethyl group and the chloride ligand. In addition, the interactions of the fluoride atom with not only the hydrogen atoms of a pentamethylcyclopentadienyl ligand but also the hydrogen of the ethyl group in the other thiolate ligand were observed (Figure S2). The NCI plot also indicates the CF/ π interaction¹⁵ between a C–F bond and the terminal phenyl group of the thiolate ligand in I_{Fe}. Thus, a trifluoromethyl group undergoes dispersion interactions with the C–H bond and phenyl group in the surrounding ligands.

These results show that methyl or trifluoromethyl substitution certainly affects the structures of ruthenium–allenylidene complexes, but the effects are relatively small from a free energy point of view. The energy differences between the obtained equilibrium structures are within 3.4 and 2.1 kcal/mol for **3b** (I_{Ma}–I_{Mf}) and **3c** (I_{Fa}–I_{Ff}), respectively (2.1 kcal/mol for **3a**). Thus, further examinations of the transition-state structures for the attack of nucleophiles are required to clarify the enantioselectivity in the catalytic substitution reaction.

Transition-State Structures. In our previous study,⁶ we explored 56 transition-state structures for the attacks of the enol isomer on **3a** and found that the nucleophile attacks from the direction of the chloride ligand to either the A-face (*Si*-face) or the B-face (*Re*-face) of the allenylidene moiety. In the A-face attack, two conformations of the terminal phenyl group in the thiolate ligand are possible, while only one conformation leads to the B-face attack. We concluded that the difference leads to the preferential formation of the *R*-product ((*R*)**4a**) over the *S*-product ((*S*)**4a**) in terms of probability distributions (enantiomeric excess was calculated to be 27% ee).

As in the case with **3a**, we investigated 56 transition-state structures for attacks of enol to **3b** (TS_{MAnyz} and TS_{MBnyz}) in which enol attacks from A- and B-faces, respectively ($n = 1-7$; $y, z = d$ or u (showing the direction of the ethyl group in thiolate ligands)); Figures S4–S7). Among the calculated transition-state structures, TS_{MB5dd} which corresponds to the B-face attack, has the lowest Gibbs free energy at 333 K (Figure 2). The other transition states corresponding to the B-face attack, TS_{MB3dd} and TS_{MB4dd}, have almost the same free energies (the free energies relative to TS_{MB5dd}, $\Delta\Delta G^{333\text{K}}$, are 0.2 and 0.5 kcal/mol, respectively.) In contrast, the energies of the transition states where enol attacks from the A-face, TS_{MA2dd} and TS_{MA4dd}, are slightly higher in free energies than TS_{MB5dd} ($\Delta\Delta G^{333\text{K}}$ are 3.1 and 2.8 kcal/mol, respectively), although TS_{MA3dd} has almost the same free energy ($\Delta\Delta G^{333\text{K}} = 0.1$ kcal/mol).

The obtained results indicate that the effect of the methyl group in the transition-state structures for the A-face attack is different from that in the structures for the B-face attack. In the transition states for the A-face attack, the methyl group of the allenylidene ligand faces the terminal phenyl group of the thiolate ligand. The structures with conformation H at the terminal phenyl group of the thiolate ligand (Figure 2), TS_{MA2dd} and TS_{MA4dd}, are sterically more inconvenient than the structures with conformation V, TS_{MA3dd} and TS_{MA5dd}, which increases their relative free energies. In contrast, the steric repulsions caused by the methyl group are relatively smaller in the transition states for the B-face attack because the methyl group is directed in the opposite direction of the terminal phenyl group of the thiolate ligand. Moreover, the dispersion interactions between the phenyl group in the allenylidene and the terminal phenyl group of the thiolate ligand increase slightly upon the introduction of the methyl group. Thus, the methyl moiety located away from the terminal phenyl group of the thiolate ligand lowers the relative energies of these structures compared with those in the transition states corresponding to the A-face attack (Table 1).

Among the transition-state structures with lower free energies, the structures that provide the *S*-product ((*S*)**4b**) through the B-face attack (TS_{MB5dd}, TS_{MB3dd}, and TS_{MB4dd}) are larger in number than those providing the *R*-product ((*R*)**4b**) through the A-face attack (TS_{MA3dd}). Thus, (*S*)**4b** is preferentially formed over (*R*)**4b** based on the probability distributions (Table 1), and the enantiomeric excess is estimated to be 40% ee. This result is in contrast with our previous results that the number of lower-energy structures leading to the *R*-product ((*R*)**4a**) is larger than that of the structures leading to the *S*-product ((*S*)**4a**) in the case of the reaction of **3a**. This result suggests that the substitution of a methyl group for a propargylic hydrogen atom in propargylic alcohol makes the stereoselectivity inverse.

We further calculated transition-state structures for the attack of enol to **3c** (TS_{FAnyz} for structures giving the *S*-product through the A-face attack and TS_{FBNyz} for structures giving the *R*-product through the B-face attack; Figures S4, S8, S9, and S10). Among the structures, TS_{FB4dd} has the lowest free energy, and the structure having the second lowest energy, TS_{FB3dd}, is higher in energy than TS_{FB4dd} by 2.0 kcal/mol (Table 1). Compared to the corresponding transition states TS_{B4dd}¹⁶ and TS_{MB4dd}, the location of enol in TS_{FB4dd} slips slightly from the direction of the chloride ligand to that of the other terminal phenyl group in the thiolate ligand, and the fluoride atom at the trifluoromethyl group interacts with the C(*sp*²)–H bond of the terminal phenyl group in the thiolate

ligand (the distances between the hydrogen atom and the fluoride atom are 2.66 and 2.71 Å, respectively, shown in Figure 3a). The other fluoride atom also interacts with not

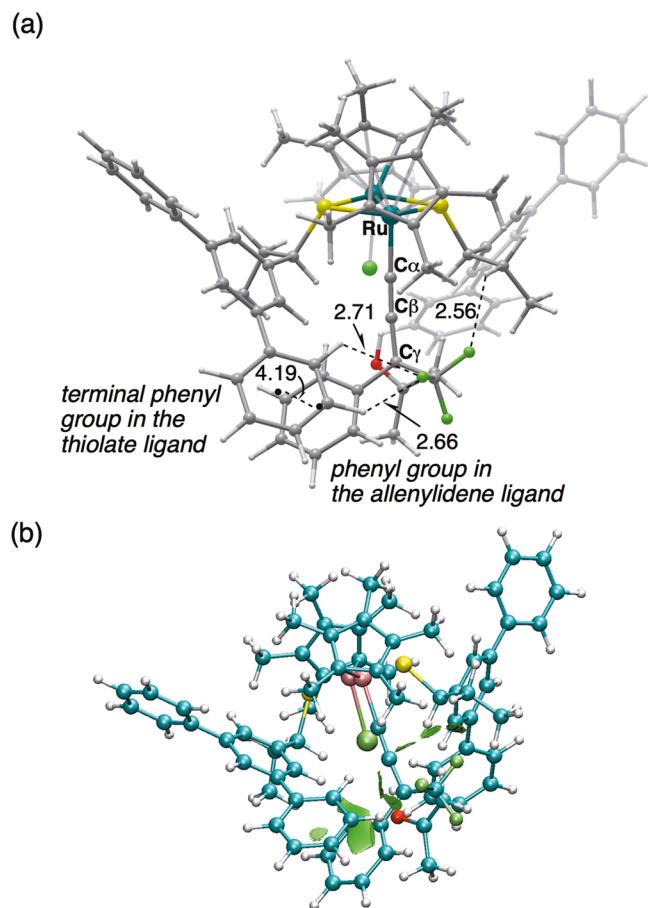


Figure 3. (a) Transition-state structure TS_{FB4dd}. The distances are given in Å. (b) NCI plot around the terminal phenyl group in TS_{FB4dd}. Green surfaces show van der Waals interactions.

only the hydrogen atom of the ethyl group at the other thiolate ligand (the distance between the hydrogen atom and the fluoride atom is 2.56 Å) but also the hydrogen atoms of the pentamethylcyclopentadienyl ligand (the distance between the hydrogen atoms and the fluoride atom is 2.75 and 2.79 Å). These interactions and the π - π interactions lower the free energy of the transition state compared to those of other structures (Figure 3b for NCI plot). As a result, the B-face attack leading to the *R*-product ((*R*)4c) is favorable compared to the A-face attack leading to the *S*-product ((*S*)4c), as is the case for the attack of enol on 3b, and the enantiomeric excess was estimated to increase (97% ee). This result indicates that replacing the methyl group at the propargylic position with a trifluoromethyl group leads to a higher enantioselectivity. This result is consistent with the recent experimental results of asymmetric propargylic phosphinylation reactions between propargylic alcohol bearing a trifluoromethyl group at the propargylic position and diphenylphosphine oxide catalyzed by 1a or 1b, where phosphorous acid, a tautomer of phosphine oxide, has been supposed to be a nucleophile (Scheme 4).⁷ The computational and experimental results suggest that not the nucleophile but the substituent at the propargylic position of propargylic alcohols controls the enantioselectivity of diruthenium-catalyzed propargylic substitution reactions.

Effects of Substituents on Reactivities. Finally, we examined the effects of substituents on the electrophilicity of the C_γ atom in ruthenium–allenylidene complexes 3b and 3c. In the reaction of 3a, the energy of TS_{A3dd}¹⁷ relative to (1b + acetone), ΔG^{333K} , was reported to be 26.7 kcal/mol (Figure 4a).⁶ The corresponding relative energies of TS_{MB5dd} and TS_{FB4dd} were 35.5 and 31.5 kcal/mol, respectively, which are much higher than that of TS_{A3dd} (Figure 4b,c).¹⁸ Although the LUMO of I_{Fe} had the lowest energy level among three ruthenium–allenylidene complexes (−3.93, −3.80, and −4.21 eV for I_b, I_{Mb}, and I_{Fe}, respectively; Figure 5), the population of the C_γ atom in the LUMO of I_{Fe} was the smallest because of the polarization of π -orbitals in the allenylidene moiety caused by the electronegative trifluoromethyl group (0.320, 0.322 and 0.290 for I_b, I_{Mb}, and I_{Fe}, respectively).¹⁹ These two factors determine the order in electrophilicity of the C_γ atom.²⁰

CONCLUSIONS

Based on our previous research on the transition-state model for the asymmetric propargylic substitution reactions catalyzed by optically active thiolate-bridged diruthenium complexes, we examined the effects of propargylic substituent (methyl or trifluoromethyl group) on both the enantioselectivity and reactivity of the reactions via ω B97X-D-level DFT calculations. Calculations showed that methyl or trifluoromethyl substitution affected the structures of ruthenium–allenylidene complexes, but the effects were found to be relatively small from a free energy point of view. Thus, transition-state structures for the attack of nucleophiles were explored. In the case of propargylic alcohol bearing a methyl group at the propargylic position, the number of lower-energy structures through the B-face attack was larger than that of the structures through the A-face attack. This result contrasts with the results of our previous work, which studied reactions of a propargylic alcohol without a methyl group, indicating that the methyl group substitution at the propargylic position reverses the enantioselectivity. In the case of trifluoromethyl group substitution, the specific transition-state structure for the B-face attack was found to be much lower in free energy than other structures because of the interactions of fluorine atoms with C–H bonds of other ligands. The evidence suggested that the substitution of a trifluoromethyl group for a methyl group at the propargylic position results in higher enantioselectivity. However, methyl or trifluoromethyl substitution was found to make the electrophilicity of the C_γ atom in ruthenium–allenylidene complexes lower.

COMPUTATIONAL DETAILS

DFT calculations were carried out with the Gaussian 09²² program package. Geometry optimization and analytical vibrational frequency analysis were performed via the restricted Kohn–Sham DFT using the long-range corrected (LC) hybrid density functional with empirical dispersion corrections (ω B97X-D).^{23,24} In the numerical integration, a larger grid (*superfinegrid*) was used.²² Pople's 6-31G(d) basis set was used for the H, C, N, O, F, S, and Cl atoms,²⁵ and the SDD basis set with the effective core potential was used for the Ru atom²⁶ for the Gaussian basis functions (6d type polarization functions). The solvent effects of acetone were estimated by the polarizable continuum model with integral equation formalism (IEF-PCM)²⁷ for the gas-phase optimized structures. For the IEF-PCM calculations, the SDD basis set was used for the Ru

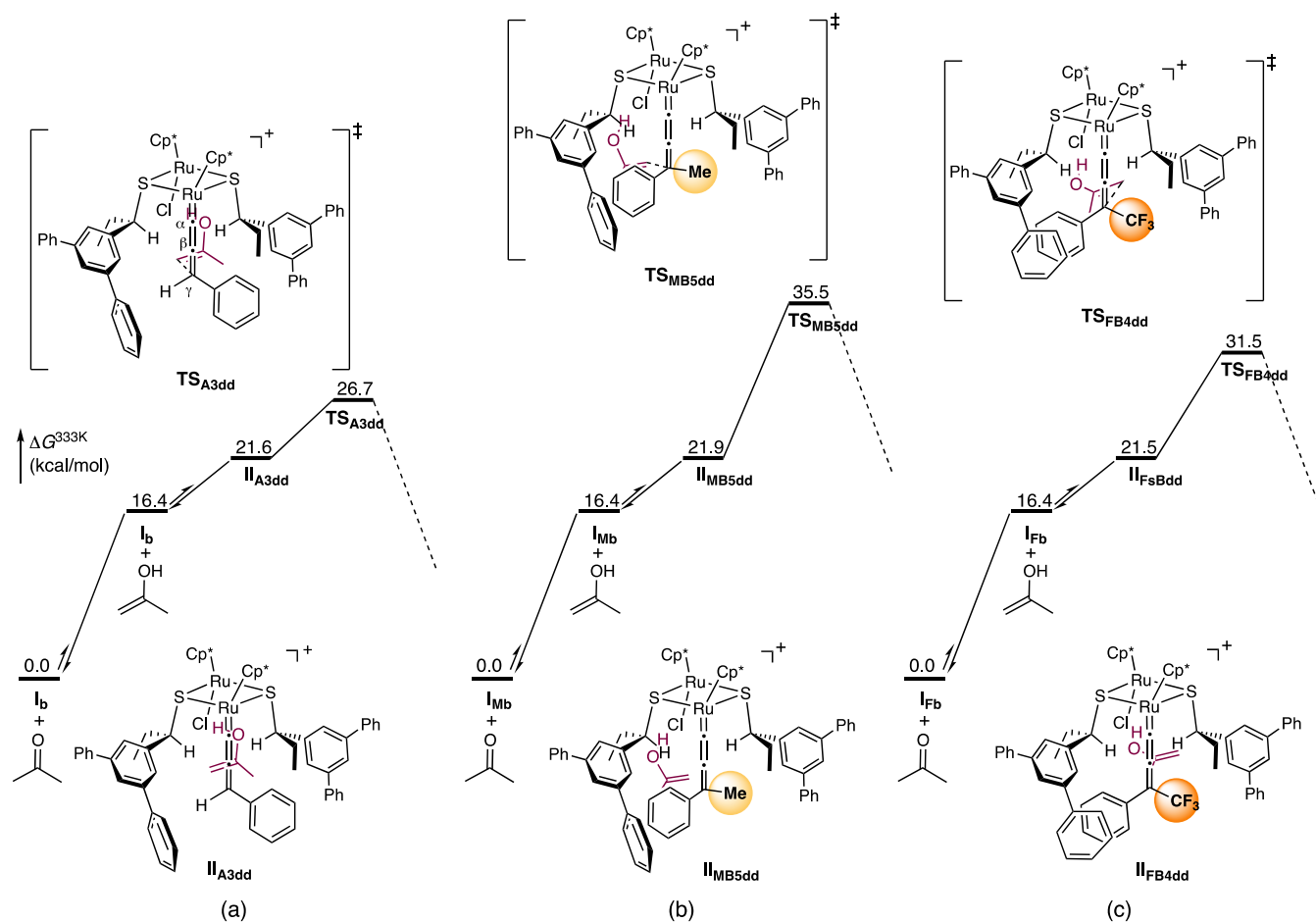


Figure 4. Relative Gibbs free energy diagrams, $\Delta G^{333\text{K}}$, for the reaction pathways via (a) TS_{A3dd} (b) TS_{MB5dd} and (c) TS_{FB4dd} at the $\omega\text{B97X-D}(\text{IEF-PCM})/(\text{SDD},6\text{-}311++\text{G}(\text{d},\text{p}))/\omega\text{B97X-D}/(\text{SDD},6\text{-}31\text{G}(\text{d}))$ level of theory (kcal/mol). Reaction pathway (a) was reported in ref 6.¹⁷

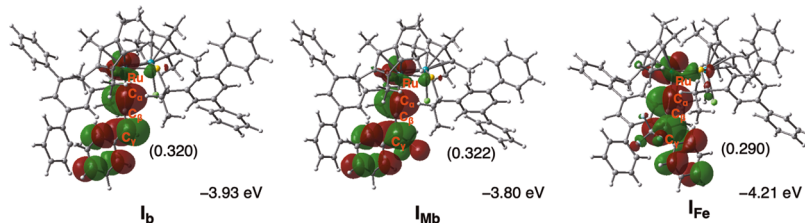


Figure 5. LUMOs of ruthenium–allenylidene complexes I_b, I_{Mb}, and I_{Fe}. The population of the C_γ atom in the LUMO is shown in parentheses.

atom and the 6-311++G(d,p) basis set (5d-type polarization functions) was used for other atoms. The Gibbs free energy at 333 K was estimated by the IEF-PCM energy and the gas-phase thermal value ($\omega\text{B97X-D}(\text{IEF-PCM})/(\text{SDD},6\text{-}311++\text{G}(\text{d},\text{p}))/\omega\text{B97X-D}/(\text{SDD},6\text{-}31\text{G}(\text{d}))$). The method used in the present study was the same as that used in our previous study.⁶

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acsomega.2c04645>.

Tables listing the energies and geometries and figures containing structures (PDF)

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Notes

The authors declare no competing financial interest.

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- (13) For the complexation energy which consists of two energy terms, the destabilization caused by the deformation of two fragments (DEF), i.e., the cationic diruthenium complex and allenylidene ligand, and the stabilization by the interaction between the two fragments (INT), see Table S3.
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- (19) Trifluoromethyl group pulls the π -occupied orbitals in the allenylidene moiety to the C_γ atom. Thus, the amplitude of the C_γ atom in the π -unoccupied orbitals decreases.
- (20) We applied the $2p\pi$ orbital at the C_γ atom to the reference orbital, δ_r , and projected then this reference orbital onto the unoccupied orbital space of I_b , I_{Mb} , and I_{Fe} (here, $2p\pi$ orbital is obtained by the LUMO of CH_3^+ which has the energy of -10.66 eV). The orbital has the energy expectation value, $\lambda_{unoc}(\delta_r)$, of -1.24 , -0.91 , and -1.06 eV for I_b , I_{Mb} , and I_{Fe} , respectively, indicating that the electron-accepting ability at the C_γ atom increases in the order $I_b > I_{Fe} > I_{Mb}$. See ref 21.
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