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## OX40 signaling favors the induction of T<sub>H</sub>9 cells and airway inflammation

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### Abstract

The mechanisms regulating T helper 9 (T<sub>H</sub>9) cells and T<sub>H</sub>9-mediated diseases remain poorly defined. Here, we demonstrate that the receptor OX40 (*Tnfrsf4*) is a powerful inducer of T<sub>H</sub>9 cells *in vitro* and T<sub>H</sub>9-dependent airway inflammation *in vivo*. Under TGF-β based polarizing conditions, OX40 ligation eliminated production of induced regulatory T cells and T<sub>H</sub>17 cells, and diverted CD4<sup>+</sup>Foxp3<sup>-</sup> T cells to a T<sub>H</sub>9 phenotype. Mechanistically, OX40 activated the ubiquitin ligase TRAF6, which triggered the induction of NF-κB-inducing kinase (NIK) in CD4<sup>+</sup> T cells and the non-canonical NF-κB pathway which subsequently lead to T<sub>H</sub>9 generation. Thus, our study identifies a previously unknown mechanism of T<sub>H</sub>9 induction and may have important clinical implications in allergic inflammation.

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Following T cell receptor triggering the fate of the T cells is controlled, to a large extent, by signals from T cell costimulatory molecules and cytokines<sup>1,2</sup>. In the case of naive CD4<sup>+</sup> T

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#### AUTHOR CONTRIBUTIONS

XX, SB, WL, XC, HW designed and performed various experiments, EJT, YXF, YC, MCW provided key reagents and animal models, and XCL initiated the project, supervised the study, and wrote the manuscript.

#### COMPETING INTERESTS STATEMENT

The authors have no conflict of interests to declare.

cells, the cytokine milieu in which the cells are activated directs CD4<sup>+</sup> T cells to further differentiate into functionally different subsets i.e., T<sub>H</sub>1, T<sub>H</sub>2, T<sub>H</sub>9, T<sub>H</sub>17, induced regulatory cells (iT<sub>regs</sub>) or T follicular helper (T<sub>fh</sub>) cells, which in turn mediate different types of T cell immunity or immune-mediated diseases<sup>3</sup>. For example, transforming growth factor-β (TGF-β) converts conventional CD4<sup>+</sup> T cells to immunosuppressive iT<sub>regs</sub> by turning on the expression of Foxp3, while TGF-β in combination with the inflammatory cytokines IL-1, IL-6 or TNF induces the transcription factors RORγt, RORα, and c-Rel that program the induction of T<sub>H</sub>17 cells<sup>4</sup>. More recently, several studies suggest that together with IL-4 promote IL-9-producing 'T<sub>H</sub>9 cells' through induction of the transcription factors PU.1 and IRF4<sup>5,6</sup>, although the exact nature of this response and the precise identity of T<sub>H</sub>9 cells remain somewhat contested. Additionally, activation of CD4<sup>+</sup> T cells in an IL-12-rich environment (without TGF-β) stimulates T-bet expression, which programs the induction of T<sub>H</sub>1 cells, whereas an IL-4-rich environment supports T<sub>H</sub>2 cells via the induction of Gata3<sup>7</sup>. This is of fundamental importance in immunology as individual subsets are often tailored to respond to specific pathogens. Thus, by inducing specific transcription factors, cytokines are critical in directing the differentiation of CD4<sup>+</sup> T cell subsets.

OX40 (also known as a Tumor necrosis factor receptor super family 4, *Tnfrsf4*) is a T cell costimulatory molecule in the TNFR superfamily and traditionally signals through the NF-κB pathway<sup>8</sup>. OX40 is rapidly induced upon T cell activation, most prominently on activated CD4<sup>+</sup> T cells, and OX40 costimulation exerts a broad impact on the overall T cell immunity<sup>9</sup>. In physiological immune responses, OX40 delivers a potent costimulatory signal to activated CD4<sup>+</sup> T cells, supporting their survival and proliferation<sup>9</sup>. OX40 also inhibits Foxp3<sup>+</sup> T<sub>regs</sub><sup>10,11</sup>, which may indirectly boost T effector cells by relieving them from T<sub>reg</sub>-mediated suppression. Furthermore, OX40 directly drives either a T<sub>H</sub>1 response or a T<sub>H</sub>2 response in various models, pointing to a key role for OX40 in the control of the T<sub>H</sub> cell differentiation process<sup>12,13</sup>. OX40 is also involved in the development of CD4<sup>+</sup> memory T cells, possibly by sustaining the expression of the survival factor Bcl-2<sup>14</sup>, and in some models it is indispensable in the memory recall responses<sup>15</sup>. However, OX40 can also contribute significantly to immune pathology in a variety of animal models. For example, over-expression of OX40 ligand (OX40L, also known as *Tnfsf4*) as a transgene in B6 mice induces spontaneous and systemic autoimmune diseases, characterized by heightened production of autoantibodies, severe inflammation in the gut, and extensive interstitial pneumonia in the lungs<sup>16</sup>. Also, OX40 costimulation has been implicated in the pathogenesis of autoimmune colitis, experimental autoimmune encephalitis, arthritis, asthma, and transplant rejection<sup>17</sup>. Such a diversity of responses suggests that OX40 mostly likely employs a variety of mechanisms to control immunity or immune pathology.

Considering the broad effects of OX40 on CD4<sup>+</sup> T cell responses, it remains to be defined how OX40 intersects with cytokine signals in fine-tuning the differentiation of various T<sub>H</sub> subsets, and the molecular pathways involved, and whether OX40 favors a specific subset or broadly affects all T<sub>H</sub> subsets. In the present study, we addressed this question by assessing the induction of various CD4<sup>+</sup> T cell subsets under TGF-β-based polarizing conditions. We found that OX40 is highly selective and potent in the induction of T<sub>H</sub>9 cells and operates via activation of the non-canonical NF-κB pathway.

## RESULTS

### OX40 favors the induction of T<sub>H</sub>9 cells *in vitro*

TGF- $\beta$ , when combined with IL-2, IL-6, or IL-4, converts CD4<sup>+</sup> T conventional cells (Tconv) to iTregs, T<sub>H</sub>17 cells, and T<sub>H</sub>9 cells, respectively<sup>4,18</sup>. To determine whether OX40 has any role in this process, we flow sorted naïve CD4<sup>+</sup> Tconv (CD62L<sup>+</sup>CD44<sup>-</sup>Foxp3<sup>-</sup>) from wild type (WT) *Foxp3*gfp reporter mice, activated them *in vitro* under iTreg (TGF- $\beta$  + IL-2), T<sub>H</sub>17 (TGF- $\beta$  + IL-6), or T<sub>H</sub>9 (TGF- $\beta$  + IL-4) polarizing conditions, and examined the induction of Foxp3, IL-17A, and IL-9 (the signature molecules for iTregs, T<sub>H</sub>17, T<sub>H</sub>9 cells respectively). To deliver OX40 signals to CD4<sup>+</sup> Tconv in the culture, we used either OX40Ltransgenic (OX40Ltg) antigen presenting cells (APCs) or alternatively an agonist anti-OX40 mAb (OX86) to specifically engage OX40 on activated T cells<sup>10,19</sup>. (10ng/ml) readily converted CD4<sup>+</sup> Tconv to Foxp3<sup>+</sup> iTregs upon activation with anti-CD3<sup>+</sup> syngeneic APCs, and ~80% of CD4<sup>+</sup> Tconv became Foxp3<sup>+</sup> cells 3 days later (Fig. 1a). However, such iTreg induction was strongly inhibited when CD4<sup>+</sup> Tconv were activated with anti-CD3<sup>+</sup> OX40Ltg APCs. Similar inhibition of iTregs by OX40 was observed in an antigen-specific setting in which OT-II cells were activated with the cognate ovalbumin (OVA) antigen presented by either WTAPCs or OX40Ltg APCs (Fig. 1a). Conversion of CD4<sup>+</sup> Tconv to T<sub>H</sub>17 cells by was also inhibited by OX40 ligation. As compared to the controls, TGF- $\beta$  (3ng/ml) and IL-6 (10ng/ml) converted ~35% of the CD4<sup>+</sup> Tconv to IL-17-producing cells 3 days after activation with anti-CD3<sup>+</sup> WTAPCs, but OX40 ligation prevented the induction of IL-17-producing cells under identical T<sub>H</sub>17 polarizing conditions (Fig. 1b). We performed Therefore, OX40 can effectively shut down the reciprocal induction of both iTregs and T<sub>H</sub>17 cells.

We further examined whether OX40 also impacts T<sub>H</sub>9 induction by TGF- $\beta$  and IL-4, and found that OX40 has a diametrically opposite effect on the induction of T<sub>H</sub>9 cells. TGF- $\beta$  (3ng/ml) plus IL-4 (10ng/ml) converted ~7% of CD4<sup>+</sup> Tconv to T<sub>H</sub>9 cells under conditions of anti-CD3plus WTAPC stimulation 3 days after the culture, which is within the published range of most studies<sup>5,6,20</sup> (Fig. 1c). Culturing CD4<sup>+</sup> Tconv with anti-CD3<sup>+</sup> OX40Ltg APCs drastically enhanced the induction of T<sub>H</sub>9 cells, and as much as 50% of the CD4<sup>+</sup> Tconv became IL-9 producing cells. Additionally, instead of using OX40Ltg APCs, we used anti-CD3<sup>+</sup> WTAPCs to activate CD4<sup>+</sup> Tconv and included the agonist anti-OX40 mAb (OX86) to engage OX40 signaling. OX86 also markedly enhanced the induction of T<sub>H</sub>9 cells (Fig. 1d). The specificity of OX40 in T<sub>H</sub>9 induction was established by activating WT B6 and *Ox40* knockout (KO) CD4<sup>+</sup> Tconv under T<sub>H</sub>9 polarizing conditions, and induction of T<sub>H</sub>9 cells from *Ox40* KO T cells remained similar regardless of activation with anti-CD3<sup>+</sup> WTAPCs or anti-CD3<sup>+</sup> OX40Ltg APCs (~5%), whereas WT CD4<sup>+</sup> T cells were readily converted to T<sub>H</sub>9 cells by TGF- $\beta$  + IL-4 upon anti-CD3<sup>+</sup> OX40Ltg APC activation (~50%)(Fig. 1e). Furthermore, the induction of T<sub>H</sub>9 cells by OX40 is even more profound in an antigen-specific setting in which OT-II cells were activated with the cognate OVA antigen under T<sub>H</sub>9 polarizing conditions. Compared to the controls, ~80% of the OT-II cells were converted to T<sub>H</sub>9 cells by in the presence of OX40 ligation (Fig. 2a), which contrasts sharply to OT-II cells polarized without OX40 ligation (~9%). It should be noted that T<sub>H</sub>9 cells induced under conditions of OX40 ligation did not express other cytokines, as

demonstrated by co-staining with IL-4, IL-5, IL-10, IL-13, IFN- $\gamma$ , or IL-17 (Fig. 2b). In addition, quantitative Real-Time PCR and ELISA showed marked expression of IL-9 message and IL-9 protein by CD4<sup>+</sup> Tconv polarized under T<sub>H</sub>9 conditions in the presence of OX40 ligation (Fig. 2c and Fig. 2d).

We repeated the T<sub>H</sub>9 induction experiments up to 10 times with consistent results. We also titrated the cytokines used in the cultures (0.5 to 3ng/ml for TGF- $\beta$  and 10 to 30ng/ml for IL-4) as well as varied the time points (3 to 5 days of culture), OX40 consistently favored the polarization of T<sub>H</sub>9 cells (data not shown). Taken together, these experiments demonstrate the potency of OX40 costimulation in selective induction of T<sub>H</sub>9 cells.

### PU.1 is dispensable for T<sub>H</sub>9 induction by OX40

Recent studies in other settings identified the transcription factor PU.1 (also known as *Sfp1*) as a key transcription factor for the induction of T<sub>H</sub>9 cells<sup>6</sup>. The potency of OX40 in T<sub>H</sub>9 induction led us to examine whether *Sfp1* is also involved in OX40-mediated induction of T<sub>H</sub>9 cells. To this end, we bred *Sfp1* floxed mice with *Cd4*-cre mice to specifically delete *Sfp1* in CD4<sup>+</sup> T cells. Flow sorted CD4<sup>+</sup> Tconv from *Sfp1*<sup>fl/fl</sup>*Cd4*<sup>cre</sup> mice were stimulated with anti-CD3 plus either wt B6 or OX40Ltg APCs under T<sub>H</sub>9 polarizing conditions, and examined the induction of IL-9 producing cells by flow cytometry. Wt and *Sfp1* deleted CD4<sup>+</sup> T cells expressed comparable levels of OX40 on the cell surface following activation (Fig. 3a), and both proliferation and survival were also similar between such two populations upon activation with anti-CD3+ wtAPCs (Fig. 3b). Thus, *Sfp1* deletion in CD4<sup>+</sup> T cells does not alter OX40 expression and cellular activation. When wt and *Sfp1* deleted CD4<sup>+</sup> Tconv were subjected to the same T<sub>H</sub>9 polarizing conditions (activated by anti-CD3+ wtAPCs), ~6% of wt CD4<sup>+</sup> T cells and ~3% of *Sfp1* deleted CD4<sup>+</sup> T cells were converted to IL-9 producing cells, thus representing a ~50% reduction (Fig. 3c), which is consistent with a role for PU.1 in T<sub>H</sub>9 induction under certain conditions<sup>6</sup>. When OX40 was engaged in the cultures (activated by anti-CD3+ OX40Ltg APCs), induction of T<sub>H</sub>9 cells by TGF- $\beta$ + IL-4 was essentially the same between wt and *Sfp1* deleted CD4<sup>+</sup> Tconv, and in either case, ~40% of the CD4<sup>+</sup> Tconv were converted to IL-9-producing cells (Fig. 3c). Similar findings were observed in cultures in which wt and *Sfp1* deleted CD4<sup>+</sup> T cells were activated with anti-CD3+ wt APCs and OX86 was added to stimulate OX40 signaling (data not shown). These findings suggest that PU.1 is dispensable in OX40-mediated induction of T<sub>H</sub>9 cells.

Wt CD4<sup>+</sup> Tconv cells showed no alterations in TGF- $\beta$  signaling upon activation with or without OX40 ligation, as assessed by immunoblotting for Smad expression and phosphorylation (Smad2, 3, 7) (Fig. 3d), which is compatible with the need for TGF- $\beta$  in T<sub>H</sub>9 induction by OX40. Furthermore, expression of IL-2R $\alpha$ , IL-4R $\alpha$ , and IL-6R $\alpha$  as well as phosphorylation of Stat5, Stat6, and Stat3 were virtually identical between CD4<sup>+</sup> Tconv activated in the presence or absence of OX40 ligation (Fig. 3e). Thus, OX40 seems to employ a mechanism specifically favoring the induction of T<sub>H</sub>9 cells.

### OX40 activates canonical and non-canonical NF- $\kappa$ B pathways

As a member in the TNFR superfamily, OX40 signals primarily through the activation of NF- $\kappa$ B, which consists of both the canonical (p50-RelA) and the non-canonical (p52-RelB)

pathways<sup>21</sup>. We performed a series of experiments to simultaneously assess the relative expression of such pathways during T cell activation. We activated wt B6 CD4<sup>+</sup> Tconv *in vitro* for various times with or without OX40 ligation, followed by extraction of both cytosolic and nucleus proteins from the activated cells. Induction of key molecules involved in the activation of both NF- $\kappa$ B pathways was determined by immunoblotting and then compared. At 36 hrs following T cell activation, p105 (the precursor of p50) was strongly induced in the cytosol; generation of p50 was similarly induced in CD4<sup>+</sup> Tconv activated with or without OX40 ligation (Fig. 4a). p100 (the precursor of p52) was also strongly induced in the cytosol, but only under conditions of OX40 ligation was p100 processed to generate p52 (Fig. 4a). Nuclear accumulation of p50 and RelA (i.e. canonical pathway) was prominent in CD4<sup>+</sup> Tconv activated with either anti-CD3+ wt APCs or anti-CD3+ OX40Ltg APCs. In contrast, nuclear accumulation of p52 and RelB (i.e. non-canonical pathway) was observed only in CD4<sup>+</sup> Tconv activated in the presence of OX40 ligation (Fig. 4b). These data suggest that canonical NF- $\kappa$ B (p50-RelA) is a default pathway in activated T cells, and OX40 ligation additionally activates the non-canonical (p52-RelB) pathway in CD4<sup>+</sup> T cells.

Kinetic analyses revealed striking differences in the tempo of canonical and non-canonical NF- $\kappa$ B activation by OX40. Induction of p105 and p50 in the cytosol by OX40 as well as their nuclear translocation were rapid and transient, occurring predominantly in the first 24 and 48 hrs after T cell activation (Fig. 4c and Fig. 4d). In contrast, induction of p52 and RelB by OX40 and their nuclear accumulation were slow but sustained, and 3 days after T cell activation when p50 and RelA were barely detectable in the nucleus, nuclear expression of p52 and RelB remained very strong in OX40 ligated T cells (Fig. 4d). These data suggest that OX40 triggers the activation of both NF- $\kappa$ B pathways; while the canonical pathway is transient, activation of the non-canonical NF- $\kappa$ B pathway is sustained for a much longer period of time.

### **OX40 requires the non-canonical pathway in T<sub>H</sub>9 induction**

To dissect the relative roles of the canonical versus non-canonical NF- $\kappa$ B pathway in T<sub>H</sub>9 induction by OX40, we used both loss-of-function and gain-of-function approaches. We bred dominant negative I $\kappa$ B $\alpha$ -tg (I $\kappa$ B $\alpha$  N-tg) mice in which a mutated form of I $\kappa$ B $\alpha$ , which is resistant to phosphorylation and degradation, is over-expressed as a transgene (driven by the lck promoter), and therefore, the canonical NF- $\kappa$ B pathway is constitutively repressed<sup>22</sup>. We crossed these mice with *Foxp3*gfp reporter mice, and flow sorted naive CD4<sup>+</sup> Tconv from such mice. The sorted CD4<sup>+</sup> Tconv cells were stimulated with anti-CD3 plus either wt APC or OX40Ltg APCs under T<sub>H</sub>9 polarizing conditions, and induction of IL-9 producing cells was determined by flow cytometry. We confirmed that in I $\kappa$ B $\alpha$  N-tg CD4<sup>+</sup> T cells, the canonical pathway (p50-RelA) activation was strongly inhibited, but induction of non-canonical pathway (p52-RelB) by OX40 ligation and their nuclear translocation were similar to those in wt CD4<sup>+</sup> T cells (Fig. 5a and Fig. 5b). We also confirmed that I $\kappa$ B $\alpha$  N-tg T cells expressed similar levels of OX40 as wt T cells upon activation *in vitro*; they also proliferated vigorously upon anti-CD3+ wt APC stimulation and were not apoptotic (Supplementary Fig. 1). Induction of T<sub>H</sub>9 cells by OX40 was comparable between wt B6 and I $\kappa$ B $\alpha$  N-tg CD4<sup>+</sup> T cells, and in either case, ~40% of CD4<sup>+</sup> Tconv were converted to IL-9 producing cells 3 days after the culture (Fig. 5c).

In another set of experiments, we stimulated wt B6 CD4<sup>+</sup> Tconv with anti-CD3+ OX40Ltg APCs under T<sub>H</sub>9 polarizing conditions, and in these cultures, we added a chemical inhibitor of canonical NF-κB activation (BAY11-7082), which selectively inhibits IκB phosphorylation<sup>23</sup>, and then assessed T<sub>H</sub>9 induction at different time points. The doses of BAY11-7082 used were shown to potently inhibit IκB activation (Fig. 5d). As shown in Fig. 5e, we observed that T<sub>H</sub>9 induction by OX40 was not affected by the addition of Bay11-7082 despite strong inhibition of IκB, and ~40% of the CD4<sup>+</sup> Tconv became T<sub>H</sub>9 cells regardless the presence or absence of BAY11-7082. Thus, the canonical NF-κB pathway appears dispensable in OX40-mediated induction of T<sub>H</sub>9 cells.

To determine whether the non-canonical pathway plays any roles in T<sub>H</sub>9 induction, we bred *p52* KO mice in which activation of the non-canonical (p52-RelB) pathway is prevented because of the lack of p52 generation<sup>24,25</sup>. We again sorted CD4<sup>+</sup> Tconv from *p52* KO mice, activated them under T<sub>H</sub>9 polarizing conditions with or without OX40 ligation, and examined the induction of T<sub>H</sub>9 cells 3 days later. First of all, we showed that wt B6 and *p52* KO CD4<sup>+</sup> Tcells are comparable in OX40 expression, proliferation (shown by CFSE dilutions), and survival (shown by Annexin V staining) upon anti-CD3+ APC activation *in vitro* (Supplementary Fig. 2). Approximately 40% of wt CD4<sup>+</sup> Tconv were converted to IL-9 producing cells by TGF-β + IL-4 upon anti-CD3 and OX40Ltg APC activation (Fig. 6a). However, *p52* KO CD4<sup>+</sup> Tconv could not be converted to T<sub>H</sub>9 cells under identical culture conditions (Fig. 6a). Thus, the non-canonical p52-RelB pathway plays a key role in OX40-mediated induction of T<sub>H</sub>9 cells.

We then used a gain-of-function approach to ascertain the role of the p52-RelB pathway in T<sub>H</sub>9 induction. We used a retroviral mediated gene transfer approach to over-express p52-RelB in wt CD4<sup>+</sup> T cells, and then examined the induction of T<sub>H</sub>9 cells by TGF-β + IL-4 only (without OX40 ligation). When the successfully transduced wt CD4<sup>+</sup> Tconv (marked by the expression of GFP) were cultured under T<sub>H</sub>9 polarizing conditions, as much as 50% of the p52-RelB transduced T cells became IL-9 producing cells, whereas the control vector transduced cells showed minimal IL-9 expression (<5%) under identical culture conditions (Fig. 6b). In stark contrast, wt CD4<sup>+</sup> T cells transduced with p50-RelA did not show marked induction of IL-9 under the same polarizing conditions (Fig. 6c), thus establishing the specificity of the p52-RelB pathway in T<sub>H</sub>9 induction by OX40. These findings complement the data obtained using the *p52* KO CD4<sup>+</sup> T cells, and together they demonstrate an important role for the p52-RelB pathway in T<sub>H</sub>9 induction by OX40.

Sequence analysis of the IL-9 promoter region revealed two putative NF-κB binding sites (Fig. 6d), and chromatin immunoprecipitation (ChIP) showed that p52-RelB could directly bind to and preferentially enriched at the IL-9 promoter region in T<sub>H</sub>9 cells polarized under conditions of OX40 ligation (Fig. 6e). However, ChIP of p50-RelA in similarly polarized T<sub>H</sub>9 cells did not show its selective enrichment in the IL-9 promoter region (Fig. 6f). These data suggest that p52-RelB may have the potential to directly drive IL-9 transcription. To test this possibility, we cloned ~1000 bp of the IL-9 promoter region upstream to a luciferase reporter gene, and transfected this construct into 293T cells. We then determined the luciferase activities with or without co-transfection of p52-RelB expression constructs,

and found that a significant increase in IL-9 promoter activities could be demonstrated in the presence of p52-RelB (Fig. 6g).

### TRAF6 is critical to the induction of p52-RelB pathway

The requirement for p52-RelB in T<sub>H</sub>9 induction prompted us to examine how OX40 triggers the activation of the non-canonical NF-κB pathway. A key step in NF-κB activation by TNFR superfamily members is the recruitment of TNFR-associated factors (TRAF), the adaptor molecules required for downstream signaling<sup>26</sup>. RT-PCR based array analysis for all known TRAFs in activated CD4<sup>+</sup> Tconv (48 hrs after T cell activation) showed that TRAF6 mRNA was preferentially induced upon OX40 ligation, while expression of other TRAFs was not markedly different with or without OX40 ligation (Fig. 7a). The increased expression of TRAF6 protein in CD4<sup>+</sup> Tconv following OX40 stimulation was further confirmed by immunoblotting, and the difference in TRAF6 expression by activated CD4<sup>+</sup> T cells with or without OX40 ligation was striking (Fig. 7b).

Besides being an adaptor molecule, TRAF6 also functions as an ubiquitin ligase<sup>27</sup>. The exact role of TRAF6 in regulating OX40 signaling in T cells has not been examined. We then crossed *Traf6* floxed mice with *Cd4-Cre* mice to specifically delete *Traf6* in CD4<sup>+</sup> T cells (*Traf6<sup>f/f</sup>Cd4<sup>cre</sup>*)<sup>28</sup>. We sorted CD4<sup>+</sup> Tconv from wt B6 and *Traf6<sup>f/f</sup>Cd4<sup>cre</sup>* mice, activated them with anti-CD3 plus either wt APCs or OX40Ltg APCs, and then examined the activation of NF-κB pathways by immunoblotting. OX40 ligation on activated CD4<sup>+</sup> T cells triggered strong activation of NF-κB-inducing kinase (NIK) under either neutral or T<sub>H</sub>9 polarizing conditions, which corroborates a recent report in a different model (Fig. 7c)<sup>29</sup>. NIK activation mediates the processing of p100 to p52, and this is further correlated very well with prominent nuclear accumulation of p52 and RelB (Fig. 7c and Fig. 7d). Deletion of *Traf6* in CD4<sup>+</sup> Tconv prevented the induction of NIK by OX40 and the subsequent generation of p52 from p100. The defective p52 generation in *Traf6* deleted CD4<sup>+</sup> T cells inhibited the nuclear accumulation of p52-RelB, thus blocking activation of the non-canonical NF-κB pathway (Fig. 7c and Fig. 7d). TRAF6 therefore plays a pivotal role in the induction of NIK upon OX40 ligation, and the subsequent activation of the non-canonical NF-κB pathway.

### OX40 fails to convert *Traf6<sup>f/f</sup>Cd4<sup>cre</sup>* cells to T<sub>H</sub>9 cells

To determine the role of TRAF6 in T<sub>H</sub>9 induction, we again flow sorted CD4<sup>+</sup> Tconv from wt B6 and *Traf6<sup>f/f</sup>Cd4<sup>cre</sup>* mice, stimulated them with anti-CD3 plus either wt or OX40Ltg APCs under T<sub>H</sub>9 polarizing conditions, and then examined the induction of T<sub>H</sub>9 cells at different time points. Wt B6 and cells showed similar expression of OX40 upon activation (Fig. 8a); they also showed comparable proliferation (CFSE dilutions) and survival (Annexin V staining) upon anti-CD3+ APC stimulation *in vitro* (Fig. 8b). OX40 ligation converted a large fraction (~40%) of wt CD4<sup>+</sup> Tconv to T<sub>H</sub>9 cells (Fig. 8c). In sharp contrast, OX40 ligation failed to convert *Traf6<sup>f/f</sup>Cd4<sup>cre</sup>* CD4<sup>+</sup> T cells to T<sub>H</sub>9 cells (<3%). This inhibition of T<sub>H</sub>9 induction is very similar to that observed in *p52* KO cells (Fig. 6a). In both *p52* KO and *Traf6* deleted CD4<sup>+</sup> T cells, PU.1, IRF4, and Gata3 mRNA and protein expression did not show marked differences upon T cell activation when compared to those in wt B6 CD4<sup>+</sup> T cells (Supplementary Fig 3).

To ascertain that the lack of T<sub>H</sub>9 induction in *Traf6<sup>ff</sup>Cd4<sup>cre</sup>* CD4<sup>+</sup> T cells is truly due to the impaired p52-RelB activation, we transduced *Traf6<sup>ff</sup>Cd4<sup>cre</sup>* CD4<sup>+</sup> T cells with retroviral vectors encoding RelB and p52, and the successfully transduced T cells were marked by the expression of GFP. Such GFP positive *Traf6<sup>ff</sup>Cd4<sup>cre</sup>* CD4<sup>+</sup> T cells were further cultured under T<sub>H</sub>9 polarizing conditions for 3 days (without OX40 ligation), and induction of IL-9 producing cells within the GFP positive population was determined by flow cytometry. Control vector transduced *Traf6<sup>ff</sup>Cd4<sup>cre</sup>* T cells did not show detectable levels of IL-9, however *Traf6<sup>ff</sup>Cd4<sup>cre</sup>* T cells transduced with p52-RelB readily became IL-9 producing cells under T<sub>H</sub>9 polarizing conditions (Fig. 8d) Conversely, CD4<sup>+</sup> T cells transduced with p50-RelA failed to produce IL-9 under identical culture conditions (Fig. 8e), further demonstrating the importance of the p52-RelB pathway in T<sub>H</sub>9 induction. Together, these data demonstrate an indispensable role for TRAF6 and p52-RelB in OX40-mediated induction of T<sub>H</sub>9 cells.

### OX40 triggers T<sub>H</sub>9-mediated airway inflammation *in vivo*

To examine the role of the OX40-T<sub>H</sub>9 axis *in vivo*, we first compared wt B6 and OX40L-tg mice for signs of tissue inflammation *in vivo* by histopathology. Consistent with previous reports <sup>16</sup>, OX40L-tg mice developed signs of extensive autoimmune diseases (**data not shown**). Histologically, the lung was prominently involved, with signs of severe interstitial and airway inflammation <sup>19</sup>. Masson's trichrome (MTC) staining showed epithelial hyperplasia and sub-mucosal fibrosis, and PAS&AB staining revealed hyper-proliferation of mucin-producing cells in the airway epithelium in OX40L-tg mice (Fig. 9a). Quantitative assessment showed that as much as 30% of airway epithelial cells were mucin-producing cells in OX40L-tg mice, and this is reminiscent of that seen in IL-9 transgenic mice in the lung airways <sup>30</sup>. Indeed, Real-Time PCR analysis for a panel of pro-inflammatory cytokines in the lung tissues revealed high expression of IL-9 and IL-13 in OX40L-tg, but not in wt, mice (Fig. 9b). This was further confirmed by flow cytometry, which identified an expanded population of CD4<sup>+</sup>CD44<sup>hi</sup> T effector/memory cells that spontaneously produce IL-9 *in situ* (Fig. 9c).

In a different set of experiments, we injected an agonist anti-OX40 mAb (OX86) into wt and *Il9* KO mice, and then assessed changes in the lungs. A brief treatment with OX86 induced prominent bronchial epithelial hyperplasia and hyper-proliferation of mucin-producing cells in the wild type mice, as shown by PAS&AB staining (Fig. 9d). In contrast, these changes were completely absent in similarly treated *Il-9* KO mice (Fig. 9d). Furthermore, in a standard asthma model involving OVA sensitization and re-challenge, hyper-proliferation of mucin-producing cells was similarly induced in wt B6 mice, but not in *Ox40* KO mice (Fig. 9e). ELISA revealed markedly reduced IL-9 in the bronchial alveolar lavage (BAL) in OVA challenged *Ox40* KO mice ( $0.08 \pm 0.02$  ng/ml, n=3) as compared to that in wt B6 mice ( $3.83 \pm 0.43$  ng/ml, n=3 (p<0.05)). Additionally, T<sub>H</sub>9 cells derived from OT-II cells under conditions of OX40 ligation induced marked proliferation of mucin-producing cells upon adoptive transferring to *Rag1<sup>-/-</sup>* mice, and this effect was markedly inhibited by neutralizing IL-9 (Supplementary Fig. 4). Together, these data demonstrate a direct role of OX40 in T<sub>H</sub>9 induction and airway inflammation *in vivo*.



## Discussion

The recently identified IL-9 producing 'T<sub>H</sub>9 cells' are a new addition to the family of T<sub>H</sub> subsets<sup>31,32</sup>. However, the precise identity of T<sub>H</sub>9 cells and the exact mechanisms that regulate T<sub>H</sub>9 induction remain somewhat contested<sup>18</sup>. Here, we demonstrate a significant mechanism of T<sub>H</sub>9 cell induction. First, the costimulatory molecule OX40 is unexpectedly potent in favoring T<sub>H</sub>9 induction. OX40 ligation together with TGF- $\beta$  and IL-4 can convert as much as 80% of CD4<sup>+</sup> Tconv to T<sub>H</sub>9 cells, demonstrating a remarkable potency of this costimulatory pathway in the induction of T<sub>H</sub>9 cells. Second, we identified a new molecular mechanism by which OX40 favors T<sub>H</sub>9 cells. OX40 ligation activates the ubiquitin ligase TRAF6, which mediates the activation of NIK; NIK activation results in the processing of p100 to generate the p52 subunit, and together with RelB, they form the transcriptionally active p52-RelB complex. This non-canonical NF- $\kappa$ B pathway is essential for T<sub>H</sub>9 induction by OX40. Third, T<sub>H</sub>9 cells induced by OX40 appear to be distinct from other published T<sub>H</sub>9 cells in that they do not express other cytokines<sup>31-33</sup>, suggesting that T<sub>H</sub>9 cells generated under conditions of OX40 costimulation may represent authentic T<sub>H</sub>9 cells. This new finding further solidifies T<sub>H</sub>9 cells as a distinct T<sub>H</sub> subset and may facilitate further mechanistic studies of T<sub>H</sub>9 cells as well as the role of T<sub>H</sub>9 cells in various diseases. Finally, we provide *in vivo* evidence that OX40 is critically important in driving hyper-proliferation of mucin-producing cells in the airway epithelium, demonstrating the *in vivo* importance of T<sub>H</sub>9 cells.

In most studies, T<sub>H</sub>9 cells are induced most effectively by TGF- $\beta$  and IL-4<sup>34</sup>, but cells committed to IL-9 production are often at very low levels (<10%), especially under conditions in which APCs are involved in activation of CD4<sup>+</sup> T cells<sup>35</sup>. This low frequency of T<sub>H</sub>9 cells makes functional and mechanistic studies of such cells difficult, and also casts considerable uncertainty on whether T<sub>H</sub>9 cells are truly a separate lineage. In fact, T<sub>H</sub>9 cells induced by TGF- $\beta$  and IL-4 co-express other cytokines including IL-4 or IL-10<sup>31,32</sup>. The recent findings that T<sub>H</sub>17 cells, T<sub>REGS</sub>, or even innate immune cells can also express IL-9 suggest that IL-9 may be a shared cytokine among multiple cellular subsets<sup>33,36,37</sup>. This is a particular concern considering that the transcription factors PU.1 and IRF4, though closely associated with T<sub>H</sub>9, are not specific to T<sub>H</sub>9 cells, and they are also involved in the induction of other cell types including T<sub>H</sub>2 cells<sup>38</sup>. In the current studies, we demonstrate that ligation of OX40, together with TGF- $\beta$  and IL-4, induce high levels of T<sub>H</sub>9 cells, and such T<sub>H</sub>9 cells don't express cytokines commonly seen in T<sub>H</sub>9 cells induced under other conditions<sup>18</sup>. Previous studies have shown that OX40 potentially shuts down the induction of IL-10 in activated T cells<sup>39</sup>. These data, together with our findings that OX40 also turns off the induction of T<sub>H</sub>17 cells and iTregs, suggest that T<sub>H</sub>9 cells induced under conditions of OX40 stimulation are different cells from those induced without OX40 ligation. Those induced by TGF- $\beta$  and IL-4, possibly through the action of PU.1 and IRF4, may be meta stable or intermediaries, as they express features of other T<sub>H</sub> subsets, whereas additional OX40 signaling may promote further differentiation of T<sub>H</sub>9 cells by turning off the expression of other cytokines. However, whether OX40 signaling transiently modulates IL-9 expression while suppressing other cytokines or stably re-programs the cytokine locus in

differentiated T<sub>H</sub>9 cells remains to be defined. This is a significant area that deserves further investigation.

Despite the potency of OX40 in T<sub>H</sub>9 induction, OX40 does not seem to operate through the well-described T<sub>H</sub>9-associated transcription factors PU.1 and IRF4<sup>5,6</sup>. Instead, OX40 ligation triggers sustained activation of the non-canonical NF-κB pathway in CD4<sup>+</sup> T cells that is critically involved in the induction of T<sub>H</sub>9 cells. OX40 may be unique in this regard, because stimulation via GITR, another member in the TNFR superfamily that is also expressed on activated CD4<sup>+</sup> T cells<sup>8</sup>, fails to support T<sub>H</sub>9 induction (our unpublished observation). Thus, there appears to be a certain degree of selectivity among TNFR superfamily members in the activation of the non-canonical NF-κB pathway, but the exact mechanisms as to why OX40 preferentially engages the p52-RelB pathway remain to be clearly defined. The promoter region of *Il9* contains multiple NF-κB binding sites, as does the conserved non-coding region of *Il9*<sup>18</sup>. Indeed, p52-RelB, but not p50-RelA, can directly bind to the *Il9* promoter region and triggers IL-9 transcriptional activity under T<sub>H</sub>9 polarizing conditions. The involvement of the non-canonical p52-RelB pathway does not exclude the importance of other factors in T<sub>H</sub>9 induction. In fact, TGF-β and IL-4 are mandatory for optimal T<sub>H</sub>9 induction by OX40, since in the absence of TGF-β and IL-4, OX40 instead promotes a strong T<sub>H</sub>1 response<sup>9</sup>. Thus, the non-canonical p52-RelB pathway most likely collaborates with other factors downstream of such cytokine signaling that collectively coordinate the induction of T<sub>H</sub>9 cells, although the factors activated by the T<sub>H</sub>9 polarizing cytokines are not known and are not addressed in this study. Some emerging data suggest the possibility of T-bet inhibition by such cytokines<sup>40</sup>. Nonetheless, the striking effect of OX40 in T<sub>H</sub>9 induction suggests that the p52-RelB pathway mostly likely predominates this response, and other factors downstream of the polarizing cytokines may simply create a permissive environment for p52-RelB to act during T<sub>H</sub>9 differentiation. In fact, two recent studies demonstrating the involvement of NF-κB in IL-9 expression in different settings and different cell types seem to support this notion<sup>41,42</sup>. In addition, the effect of OX40 ligation also involves the repression of T<sub>H</sub>17 cells and iTregs, but the mechanisms responsible for such an effect await further identification. Studies in this area may uncover additional insights on the role of OX40 in the control of T cell responses.

In summary, our study identified OX40 as a powerful inducer of T<sub>H</sub>9 cells and uncovered a previously unknown mechanism triggered by OX40 in selective induction of T<sub>H</sub>9 cells. T<sub>H</sub>9 cells induced by OX40 are different in that they express remarkably high levels of IL-9 without other T<sub>H</sub>2 or T<sub>H</sub>17 cytokines. Such T<sub>H</sub>9 cells are stable, can be induced *in vivo* and exhibit a potent effect on airway epithelial cells and mucin-producing cells. These new findings may have important clinical implications<sup>43</sup>.

## ONLINE METHODS

### Animals

OT-II and *Cd4-Cre* mice were purchased from The Jackson Laboratory (Bar Harbor, ME). *Tnfrsf4* (OX40) KO, *Tnfrsf4* (OX40L-tg), *p52* KO, *Traf6* floxed (*Traf6<sup>f/f</sup>*), and *Foxp3gfp* reporter mice have been described previously<sup>4,25,28,44,45</sup>. Some strains were crossed with the *Foxp3gfp* mice to genetically mark Foxp3<sup>+</sup> T<sub>REGS</sub> for cell sorting. *Il9* KO mice were

provided by A. McKenzie (MRC Laboratory of Molecular Biology); I $\kappa$ B $\alpha$ . N-tg mice were obtained from M. Alegre (University of Chicago). *Sfp1* (PU.1) floxed mice (*Sfp1*<sup>f/f</sup>) were provided by D. Tenen (Harvard Medical School). Animal use and care conformed to the guidelines established by the Animal Care Committee at Harvard Medical School in Boston.

### Polarization of naïve CD4<sup>+</sup> T Cells *in vitro*

Naïve CD4<sup>+</sup> T cells (CD62L<sup>+</sup>CD44<sup>-</sup>Foxp3<sup>-</sup>) were prepared by flow sorting; the sorted cells (1×10<sup>5</sup> cells/well) were activated with anti-CD3 (2 $\mu$ g/ml; clone 2C11, eBioscience) plus equal numbers of syngeneic APCs in 96 well tissue culture plates (Sigma-Aldrich). In some experiments, OT-II CD4<sup>+</sup> T cells were stimulated with OVA<sub>323-339</sub> (1  $\mu$ g/ml) presented by syngeneic APCs. APCs were prepared by depleting T cells from total spleen cells with anti-CD3-PE and anti-PE microbeads (Miltenyi Biotec), followed by a brief treatment with mitomycin C (50  $\mu$ g/ml, Sigma-Aldrich) before each experiment. Induction of iT<sub>regs</sub>, T<sub>H</sub>17 cells, and T<sub>H</sub>9 cells was performed as previously reported<sup>4,32</sup>. Briefly, cells were activated in the presence of 3ng/ml hTGF- $\beta$ 1 and 10ng/ml IL-2 for iTreg induction, and for T<sub>H</sub>17 polarization, 3ng/ml hTGF- $\beta$ 1, 10ng/ml IL-6 were used. Furthermore, 3ng/ml hTGF- $\beta$ 1 and 10ng/ml IL-4 were used to polarize CD4<sup>+</sup> T cells to T<sub>H</sub>9 cells. All recombinant cytokines were purchased from R&D systems. CD4<sup>+</sup> T cells cultured under different polarizing conditions (for 1 to 4 days) were harvested and cell polarization assessed by intracellular staining and quantitative Real-Time PCR. Cytokines in the culture supernatants was determined by ELISA.

### Intracellular staining

For cytokine staining, CD4<sup>+</sup> T cells activated under different polarizing conditions were re-stimulated with PMA (50 ng/ml) and ionomycin (550 ng/ml; Sigma-Aldrich) for 4 hours in the presence of Golgi Stop (BD PharMingen). Cells were fixed and permeabilized with Cytofix/Cytoperm solution (BD PharMingen) and stained with fluorochrome-conjugated anti-IL-9 (RM9A4, BioLegend), anti-IL-10 (JES5-16E3; BD PharMingen), anti-IL-13 (JES10-5A2; BD Pharmingen), anti-IL-4 (11B11; BD Pharmingen), anti-IL-5 (TRFk5; eBioscience), anti-IFN- $\gamma$  (XMG1.2; BioLegend), anti-IL-17F (9D3.1C8; BioLegend) and anti-IL-17A (TC11; BioLegend). In some experiments, iTregs was determined by the expression of GFP; Stat3, Stat5, and Stat6 in activated CD4<sup>+</sup> T cells was assessed by intracellular staining with phospho-specific mAbs (BD PharMingen). Antibodies against PU.1 (9G7; Cell Signaling Technology), IRF4 (3E4; eBioscience), and Gata3 (L50-823; BD PharMingen) were used to determine their expression in naïve and activated CD4<sup>+</sup> T cells according to manufacturer's instructions. All samples were acquired using LSRII flow cytometer (Beckton Dickinson) and data analysis was performed using Flowjo 7.6 software (Tree Star)<sup>46</sup>.

### Quantitative PCR and ELISA

Cellular RNA was extracted using the RNeasy mini kit (Qiagen) and reverse transcribed into cDNA using the ABI PRISM TaqMan reverse transcription method. Expression of genes of interest and of GAPDH control was assessed in simplex RT-PCR reactions with FAM and VIC probes (Applied BioSystems). All primer and probe sets were purchased from Applied

BioSystems. Transcript levels of target genes were calculated according to the  $2^{-ddCT}$  method as described by the manufacturer (ABI PRISM 7700 user bulletin; Applied BioSystems) and expressed as arbitrary units as previously reported<sup>10</sup>. IL-9 levels in culture supernatants were measured with the ELISA kit (eBioscience) according to the manufacturer's instructions.

### Immunoblotting

Protein extracts were resolved by SDS-PAGE, transferred onto an immunobilon membrane, and blotted with specific antibodies. The following antibodies were used for immunoblotting: anti- $\beta$ -actin (Sigma-Aldrich), anti-p105/p50, and anti-RelA (Abcam), anti-p100/p52, anti-RelB, anti-NIK, anti-HDAC1, anti-I $\kappa$ B, anti-Smads and other secondary antibodies (Cell Signaling Technology). Expression of target molecules was revealed by chemoilluminant method as previously reported<sup>47</sup>.

### Chromatin immunoprecipitation (ChIP)

Chromatins were extracted from naive CD4<sup>+</sup>Foxp3<sup>-</sup> T cells polarized under various conditions for 48 to 72 hrs ( $15 \times 10^6$  cells) after fixation with formaldehyde. Immunoprecipitation of chromatin was performed with anti-RelB, anti-RelA, and isotype control antibody (Abcam) using EZ ChIP kit (Millipore) according to the manufacturer's instructions. Immunoprecipitated DNA was reverse cross-linked, extracted with phenol/chloroform, and further precipitated with ethanol. ChIP DNA was then analyzed by real-time PCR using the following primer sets (Rel B: 5'-CTTTTCCTTTAGTATTTTCAGAACCCGA-3' and 5'-TCAGTCTACCAGCATCTTCCAGT-3'; RelA: 5'-AATGAGTGAAAGGCAAGTCTTGCTTT-3' and 5'-TCGGGTTCTGAAATACTAAAGGAAAAG-3'). The data are presented as the relative binding based on normalization to input DNA, as previously reported<sup>5</sup>.

### Promoter luciferase assay

A luciferase reporter assay was performed as previously reported<sup>48</sup>. Briefly, the IL-9 promoter region, 1kb in size upstream of the transcription start site, was cloned into pGL3 luciferase reporter plasmid (Promega). HEK293 T cells were transfected with the reporter constructs, together with expression plasmids carrying murine p50, p52, RelB, RelA cDNA using Lipofectamine 2000 method (Invitrogen). After 48 h, the luciferase activities were analyzed using the dual-luciferase reporter assay system (Promega). Co-transfection with the Renilla-luciferase expression vector pRL-TK was performed in all reporter assays. For all samples, the assays were repeated for at least three times and the data were normalized for transfection efficiency by dividing firefly luciferase activity by that of the Renilla luciferase.

### Retroviral mediated gene expression

The cDNA fragments encoding RelA, p50, RelB, and p52 were amplified by PCR and further cloned into pMYs-IRES-EGFP retroviral vector (Cell Biolabs). The retrovirus particles were prepared by transfecting the packaging Plat-E cells based on the manufacturer's recommendations. To transduce T cells, naive CD4<sup>+</sup> T cells were first

activated with the Dynabeads Mouse CD3/CD28 T Cell Expander (Invitrogen) for 24 hrs, followed by incubating with freshly prepared retroviral particles by centrifugation at 780g and 32°C in the presence of 10µg/ml polybrene (Sigma-Aldrich) for 2hrs. After the centrifugation, cells were further cultured at 32°C for 6 hrs, followed by culturing the transduced T cells under various polarizing conditions for additional 3 days in complete 1640 medium at 37°C. Polarization of CD4<sup>+</sup> T cells to T<sub>H</sub>9 cells was assessed by intracellular cytokine staining as described above.

### Tissue histopathology

Tissue samples were prepared from animals, embedded in paraffin, sectioned and stained with hematoxylin and eosin (H&E). Periodic acid schiff/alcian blue (PAS&AB) and Masson's trichrome staining (MTC) were also performed to assess mucin-producing cells and tissue damage<sup>30</sup>. To quantify tissue histopathology, peri-bronchial and peri-vascular cellular infiltration as well as fibrosis were graded using a semi-quantitative score system (0 to 4 and 0 denotes no inflammation) as previously reported<sup>5</sup>. For each slide, five randomly chosen areas were scored. For quantifying PAS/AB staining, mucus production was assessed by determining the percentage of epithelial cells that stained strongly positive<sup>49</sup>. Individual slides were examined independently and representative sections were shown.

### Induction of lung inflammation

Groups of WTB6 and *OX40* KO mice (8 weeks of age) were sensitized i.p. with 50 µg OVA dissolved in aluminum hydroxide (50mg in 100ml alum, Sigma). Mice were challenged with 5% OVA aerosol 4 weeks later for 2 days (30 min each), before analyses. In other experiments, OT-II cells were polarized to T<sub>H</sub>9 cells by TGF-β and IL-4 upon activation with OVA and OX40L-tg APCs for 4 days (IL-9<sup>+</sup> cells >80%). T<sub>H</sub>9 cells (1×10<sup>6</sup>) were injected into syngeneic *Rag1*<sup>-/-</sup> hosts via the tail vein. OT-II cells activated without the polarizing cytokines were used as controls. The host mice were challenged with aerosolized OVA (5% in saline) via the airway 30 min daily for 2 days. Groups of mice were also treated with a neutralizing anti-IL-9 (150 µg, clone 222622, R&D) or control IgG before OVA challenge. Mice were killed 1 day after the OVA challenge; bronchial alveolar lavage (BAL) was performed, and cells in BAL fluid were determined using cytospin and modified Wright-Giemsa staining. Tissue samples were prepared and stained with H&E, PAS&AB for analysis. Each group included 5 mice.

### Statistical analysis

The Mann-Whitney test was used for histology score analysis. Other measurements were performed using unpaired two-tailed Student's t test. A p value < 0.05 was considered significant.

### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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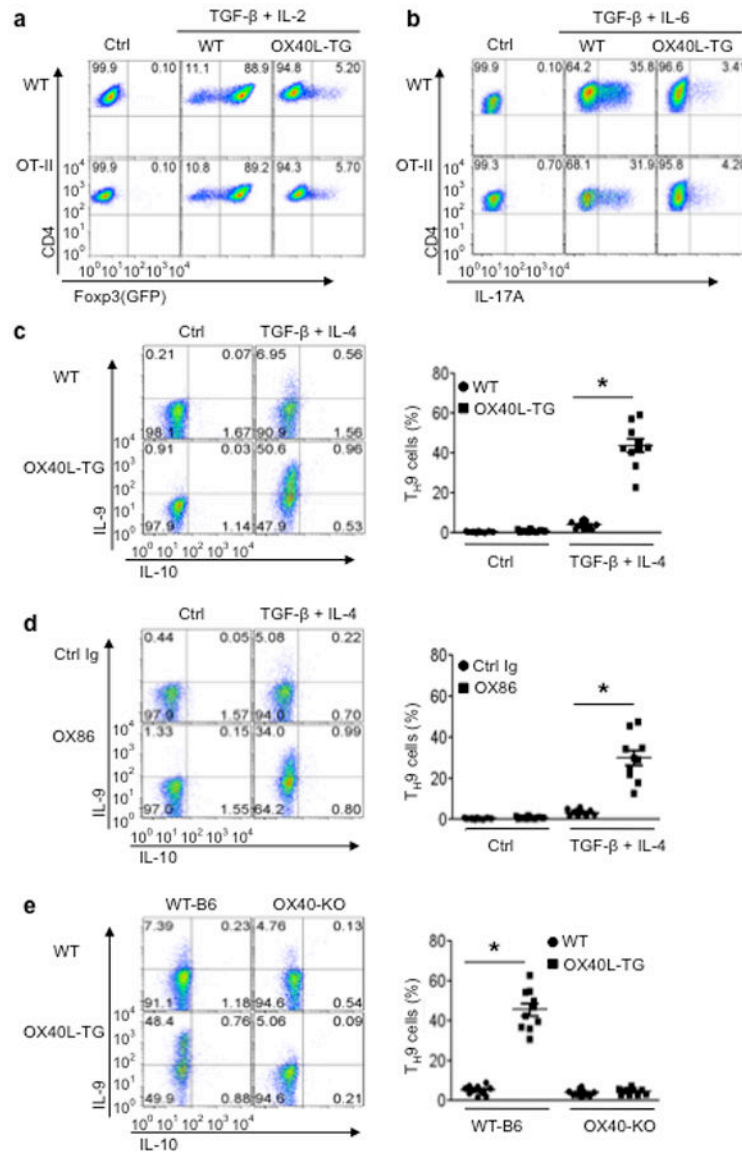
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**Figure 1. Role of OX40 signaling in polarization of naive CD4<sup>+</sup> T cells *in vitro***  
 Naive CD4<sup>+</sup> T cells sorted from WT B6, *Ox40* KO, and OT-II *Foxp3*<sup>gfp</sup> reporter mice were cultured under iT<sub>reg</sub>, T<sub>H</sub>17, or T<sub>H</sub>9 polarizing conditions *in vitro* with or without engaging OX40 signaling, and induction of iT<sub>regs</sub>, T<sub>H</sub>17, and T<sub>H</sub>9 cells on day 3 was shown. **(a)** Induction of iT<sub>regs</sub> under polyclonal (anti-CD3) and antigen-specific settings (OVA<sub>323-339</sub>) in which WT APCs (WT) and OX40L-tg APCs (OX40L-TG) were used to activate CD4<sup>+</sup> T cells. CD4<sup>+</sup> T cells cultured without polarizing cytokines were included as controls (Ctrl). Numbers in quadrants denote the relative percentage of cells. The plot shown is a representative plot of 5 independent experiments. **(b)** Induction of T<sub>H</sub>17 cells under polyclonal and antigen-specific settings as described above. Numbers in quadrants denote the percentage of cells. The plot shown is a representative plot of 5 independent experiments. **(c)** Induction of T<sub>H</sub>9 cells from naïve B6 CD4<sup>+</sup> T cells stimulated with anti-CD3+ WT APCs (WT) or OX40L-tg APCs (OX40L-TG). The panel on the right is a

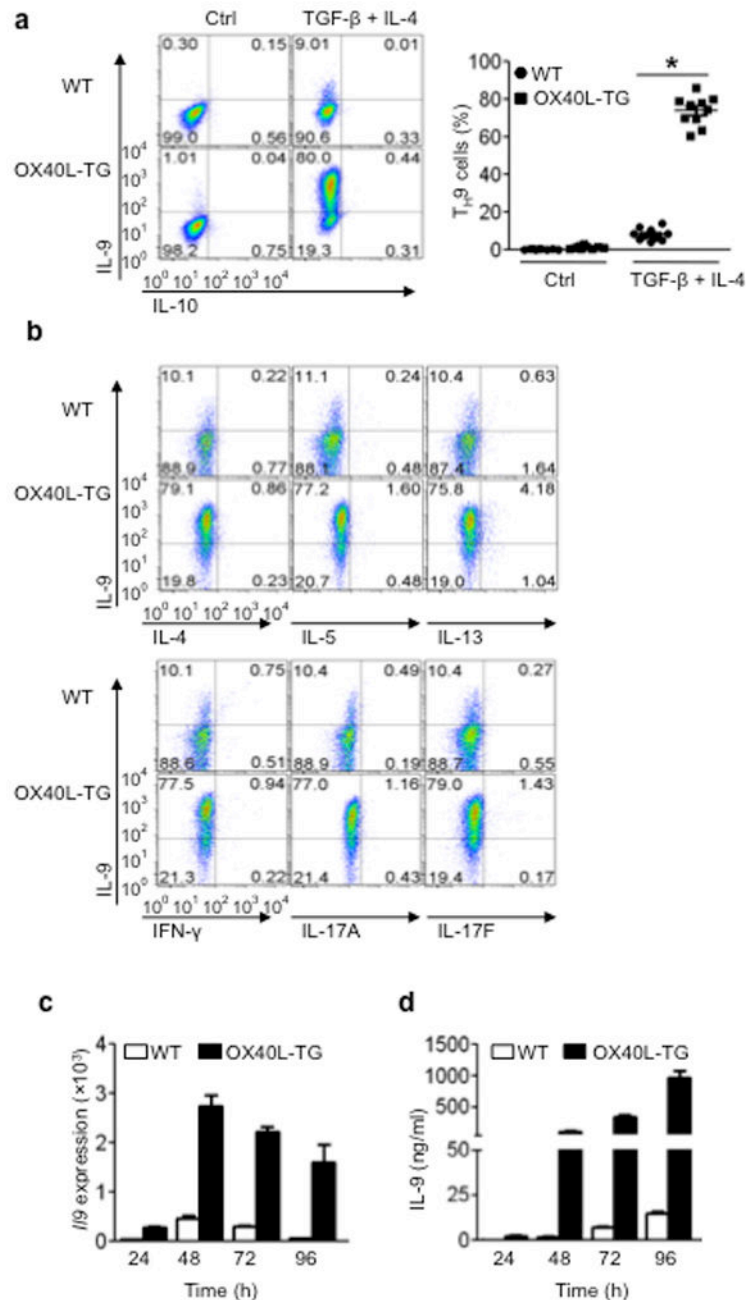
summary of all experiments performed and the dots denote individual experiments (n=10). (d) Naive CD4<sup>+</sup> T cells were activated with anti-CD3 plus WTAPCs with or without an agonist anti-OX40 mAb (OX86), and induction of T<sub>H</sub>9 cells was shown. The panel on the right is a summary of individual experiments (n=10). (e) Naïve B6 and *Ox40* KO CD4<sup>+</sup> T cells were activated with anti-CD3 and APCs under T<sub>H</sub>9-polarizing conditions, and induction of IL-9 producing cells was shown. The panel on the right is a summary of all experiments (n=10). \* p < 0.05.

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**Figure 2. Role of OX40 ligation in polarization of OT-II T cell subsets *in vitro***

Naive OT-II CD4<sup>+</sup> cells were stimulated with the cognate OVA antigen presented by either wt APCs (WT) or OX40L-tg APCs (OX40L-TG), with or without the T<sub>H</sub>9 polarizing cytokines, and induction of T<sub>H</sub>9 cells was assessed by flow cytometry, quantitative PCR, and ELISA. (a) Conversion of naive OT-II cells to T<sub>H</sub>9 cells with or without OX40 ligation on day 3; the data shown is a representative flow cytometry plot. The panel on the right represents all experiments, and dots denote individual experiments (n=10). (b) OT-II cells were polarized under T<sub>H</sub>9 conditions for 3 days, and IL-9 expression was assessed by co-staining for other cytokines. Data shown are representative plots of 4 individual

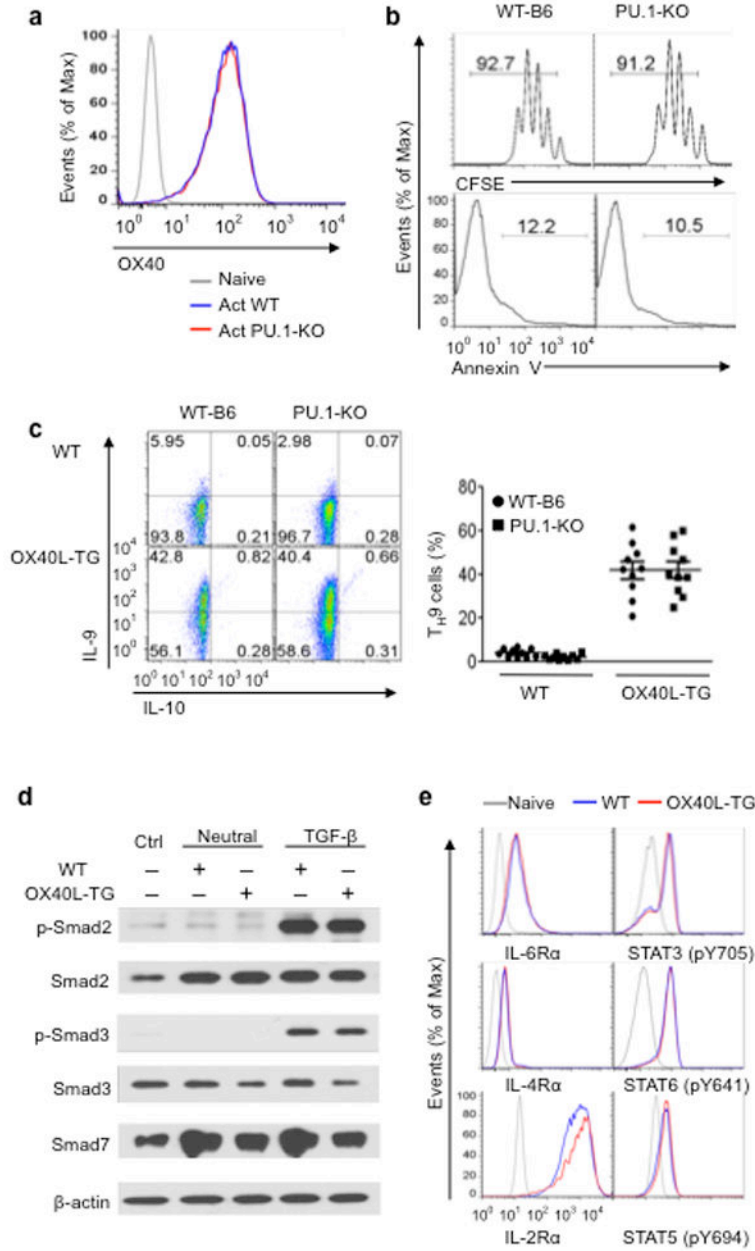
experiments. **(c)** OT-II cells were activated with OVA presented by WTAPCs (WT) and OX40Ltg APCs (OX40L-TG) for various times, and IL-9 expression was quantified by Real-Time PCR. Data shown are mean  $\pm$ SEM of 3 experiments. **(d)** OT-II cells were activated with OVA plus WTAPCs (WT) or OX40Ltg APCs (OX40L-TG) for various times, and production of IL-9 in the culture supernatants was assessed by ELISA. Data shown are mean  $\pm$  SEM of 3 experiments.

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**Figure 3. Role of PU.1 and cytokine signaling in OX40-mediated induction of TH9 cells**  
 (a) Naive CD4<sup>+</sup> T cells sorted from wt B6 and PU.1 (*Sfp1*) deleted mice were activated with anti-CD3 and APCs for 3 days and OX40 expression was assessed by flow cytometry and shown. (b) Proliferation and survival of WT-B6 and *Sfp1* KO CD4<sup>+</sup> T cells as determined by CFSE dilutions and Annexin V staining 3 days after activation with anti-CD3 and WT APC. (c) Naive CD4<sup>+</sup> T cells from WT B6 and *Sfp1* KO mice were activated with anti-CD3 plus wt APCs (WT) or OX40Ltg APCs (OX40L-TG) under TH9-polarizing conditions for 3 days, and induction of TH9 cells was shown. Numbers in quadrants indicate the percentage of in each section, and the panel on the right summarizes all experiments with dots denoting individual experiments. (d) Immunoblotting analysis of Smad2, Smad3, Smad7 in CD4<sup>+</sup>

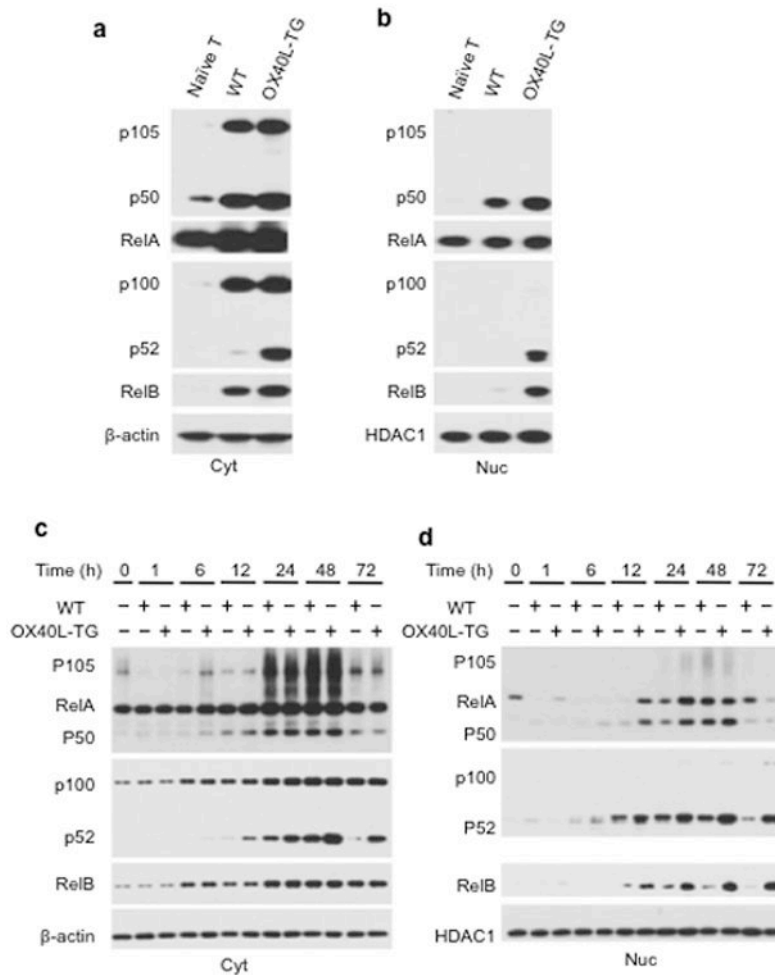
Tconv activated with anti-CD3 plus WT APCs (WT) or OX40Ltg APCs (OX40L-TG) with or without additional TGF- $\beta$ . The plot shown represents 1 of 4 experiments 24 hrs after T cell activation. (e) Expression of IL-2R $\alpha$ , IL-4R $\alpha$ , and IL-6R $\alpha$  as well as activation of Stat5, Stat6, and Stat3, respectively, in CD4<sup>+</sup> Tconv activated with anti-CD3 plus either WTAPCs (WT) or OX40Ltg APCs (OX40L-TG). The analysis was done 24 hrs after T cell activation and unstimulated naive T cell were included in the analysis as controls. Data are representative of 1 of 3 independent experiments.

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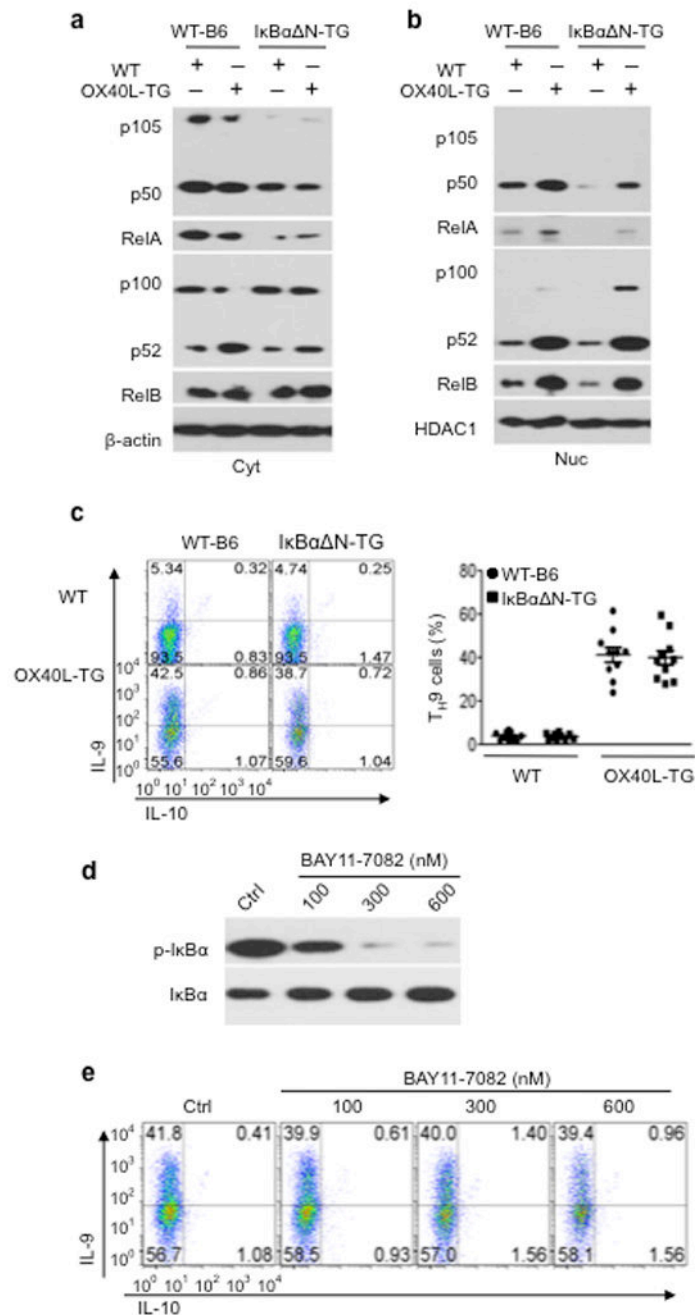
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**Figure 4. Role of OX40 ligation in the activation of both canonical and non-canonical NF-kB pathways**

Naive CD4<sup>+</sup> Tconv were activated with anti-CD3plus WT APCs (WT) or OX40Ltg APCs (OX40L-TG), and at different time points, cytosolic (Cyt) and nucleus (Nuc) proteins were extracted and examined for NF-kB activation by immunoblotting. Naive unstimulated CD4<sup>+</sup> T cells were used as controls. **(a, b)** Induction of the canonical (p50-RelA) and non-canonical (p52-RelB) NF-kB pathways in the cytosol **(a)** and nucleus **(b)** 36 hrs after T cell activation is shown. **(c, d)** Time-dependent assessment of p50-RelA and p52-RelB pathways (from 1 hr to 72 hrs following T cell activation) in the cytosol (Cyt) and nucleus (Nuc).  $\beta$ -actin and HDAC1 (histone deacetylase 1) were used as loading controls. Data shown are representative of 1 of 3 independent experiments.



**Figure 5. Role of the canonical NF- $\kappa$ B pathway in OX40-mediated induction of TH9 cells**  
**(a, b)** WT-B6 and I $\kappa$ B $\alpha$  N-TGCD4<sup>+</sup> cells were activated for 24 hrs with anti-CD3 plus WT APCs (WT) or OX40Ltg APCs (OX40L-TG), and induction of p50-RelA and p52-RelB in the cytosol (Cyt) and nucleus (Nuc) was assessed by immunoblotting. The plot shown is representative data of 1 of 3 experiments. **(c)** Naive WT-B6 and I $\kappa$ B $\alpha$  N-TGCD4<sup>+</sup> T cells were activated with anti-CD3 plus WT APCs (WT) or OX40Ltg APCs (OX40L-TG) under TH9 polarizing conditions, and the plots shown are IL-9-producing cells on day 3, as determined by flow cytometry. Numbers in quadrants indicate relative percentage of cells in



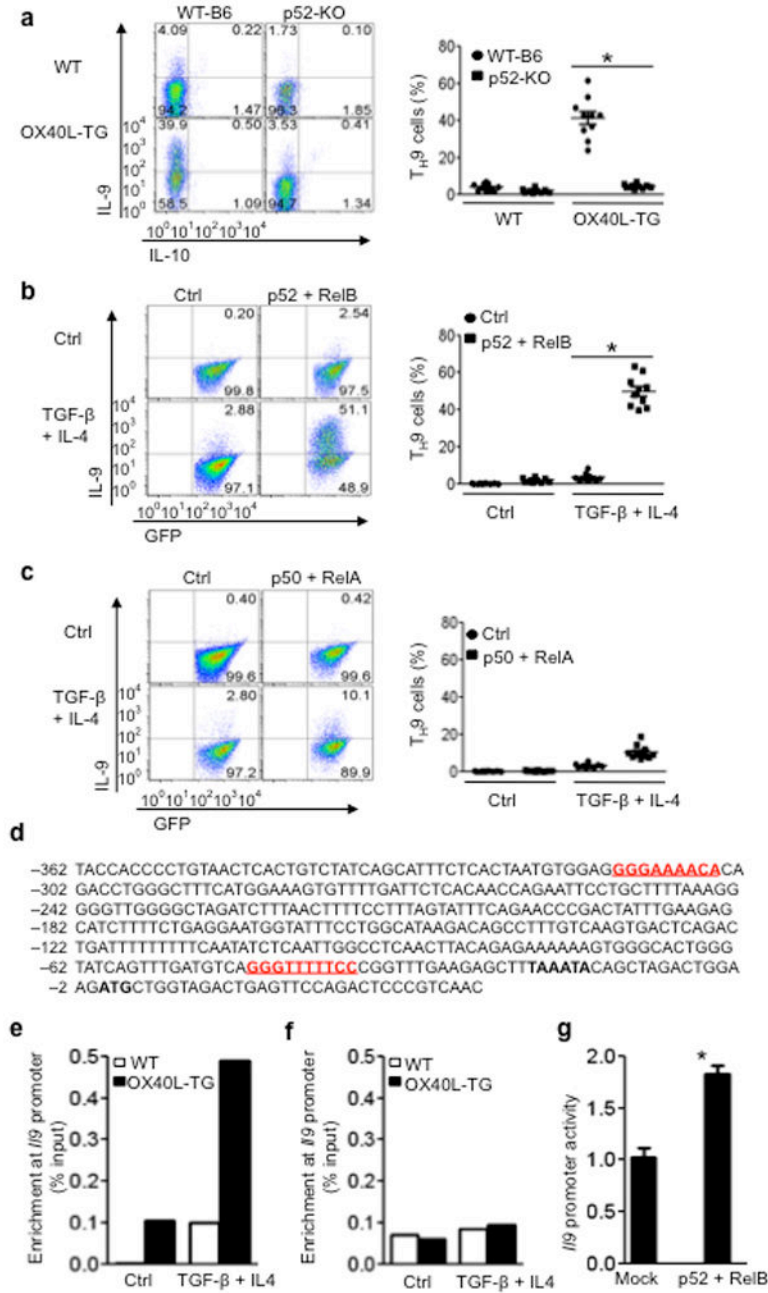
each section. The panel on the right is a summary of  $T_H9$  cells and the dots represent individual experiments (n=10). **(d)** Phosphorylation of I $\kappa$ B in  $CD4^+$  T cells with or without the addition of different doses of an I $\kappa$ B $\alpha$  inhibitor, BAY11-7082, two days after stimulation. **(e)** WTB6  $CD4^+$  T cells were stimulated with anti-CD3 and OX40Ltg APCs under  $T_H9$  polarizing conditions, and BAY11-7082 was added as indicated. Induction of IL-9 producing cells was examined 3 days later. Data are representative of 1 of 3 independent experiments.

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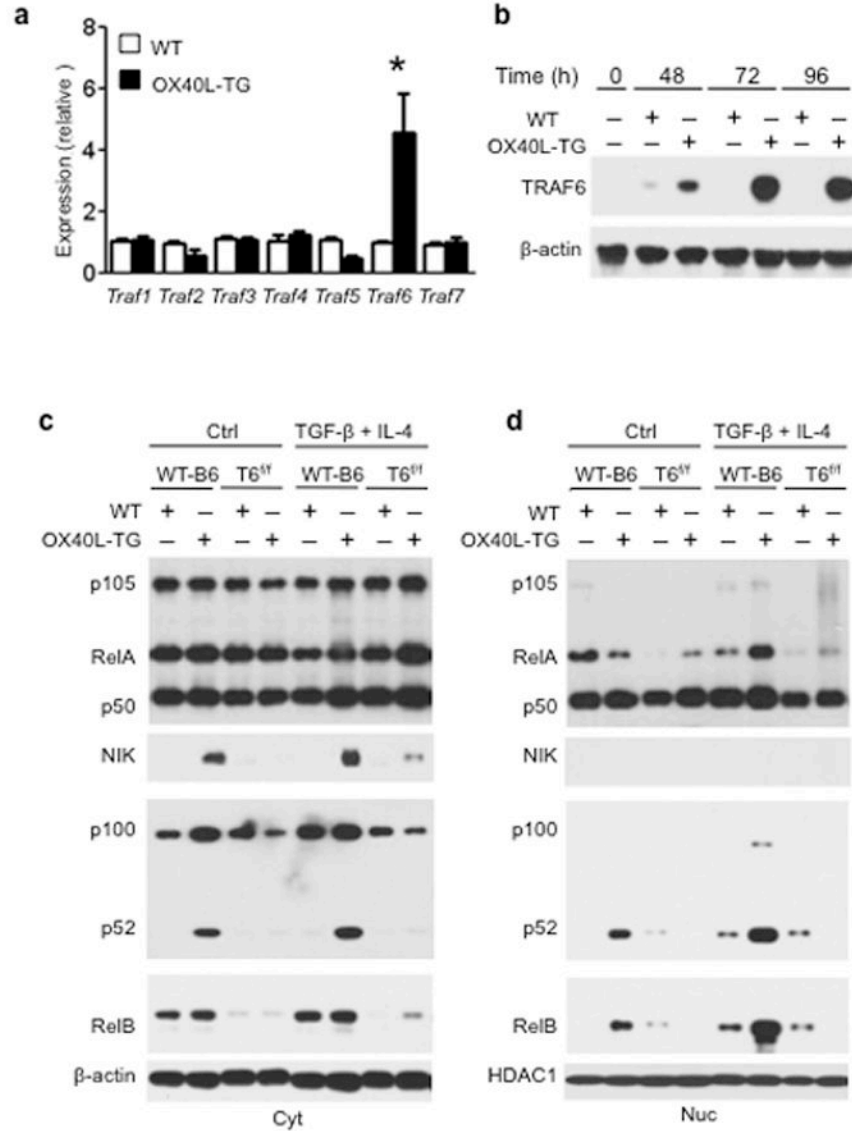
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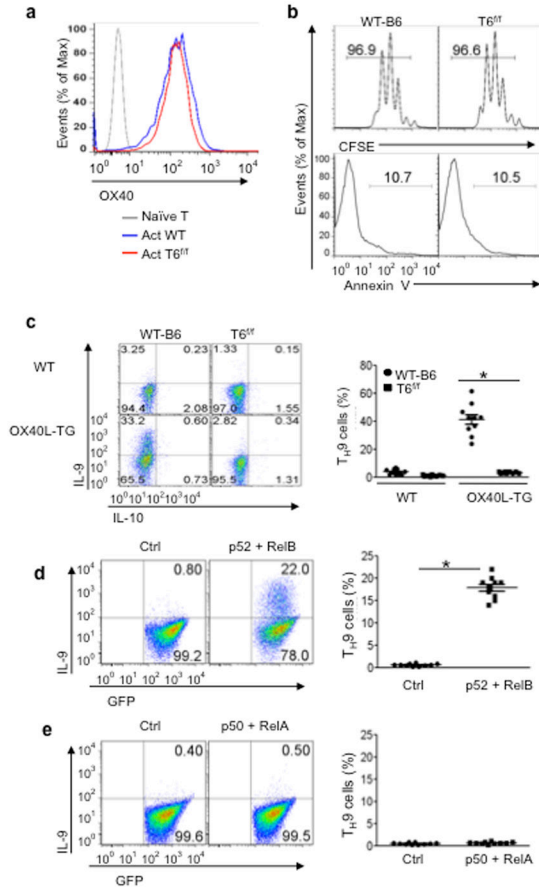


**Figure 6. Role of the non-canonical NF-κB pathway in OX40-mediated induction of TH9 cells**  
**(a)** WT-B6 and p52-KO CD4<sup>+</sup> T cells were activated with anti-CD3 plus WT APCs (WT) or OX40Ltg APCs (OX40L-TG) under TH9 polarizing conditions for 3 days, and induction of TH9 cells was shown. The panel on the right is the percentage of TH9 cells among naïve CD4<sup>+</sup> T cells and the dots denote individual experiments (n=10). **(b)** Retroviral transduced WT CD4<sup>+</sup> Tconv expressing RelB and p52 were cultured with or without TH9 polarizing cytokines for 3 days, and induction of TH9 cells was shown. The panel on the right depicts the results of all experiments (n=10). **(c)** WT-B6 CD4<sup>+</sup> T cells were transduced with retroviral vectors encoding p50 and RelA, and IL-9 expression by the transduced T cells

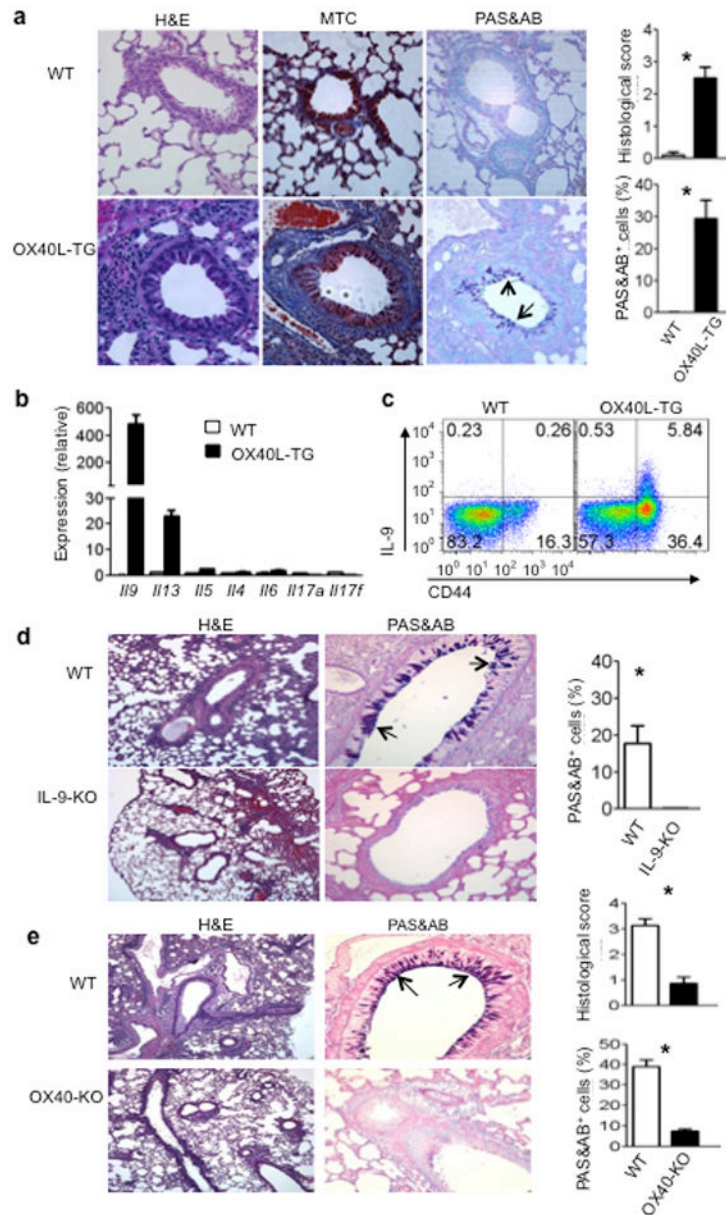
under T<sub>H</sub>9-polarizing conditions on day 3 was shown. The right panel depicts the results of all experiments (n=10). **(d)** Putative NF- $\kappa$ B binding sites in the IL-9 promoter region. The red color depicts the, and the TATA box is shown in bold font. **(e)** WTCD4<sup>+</sup> T cells were activated with anti-CD3 plus either WT APCs (WT) or OX40Ltg APCs (OX40L-TG) under either neutral (Ctrl) or T<sub>H</sub>9 inducing conditions (TGF- $\beta$  + IL-4), and enrichment of RelB at the IL-9 promoter region was determined by ChIP and shown. Data shown are representative of 1 of 3 independent experiments. **(f)** WTCD4<sup>+</sup> T cells were cultured under either neutral or T<sub>H</sub>9 polarizing conditions, and ChIP of RelA binding to the IL-9 promoter region was shown. One of 3 independent experiments is shown. **(g)** 293T cells were transfected with IL-9 promoter-luciferase construct with or without expression vectors encoding full-length RelB and p52. The promoter activity is presented as fold increase over cells transfected with the empty vector alone. Data are 1 of 3 independent experiments (mean  $\pm$  SEM), \* p<0.05.



**Figure 7. Analysis of TRAF6 in OX40 triggered activation of non-canonical NF- $\kappa$ B pathway** (a) Naive WTCD4<sup>+</sup> T cells were stimulated with anti-CD3 plus either WTAPCs (WT) or OX40Ltg APCs (OX40L-TG) for 48 hrs, and expression of Traf1 to Traf7 was quantitated by real-time PCR. (b) Immunoblotting showing strikingly different TRAF6 expression in activated CD4<sup>+</sup> T cells with or without OX40 ligation at various time points. (c, d) Naive WT-B6and TRAF6 deleted (*Traf6<sup>ff</sup>Cd4<sup>cre</sup>*) CD4<sup>+</sup> T cells (T6<sup>ff</sup>) were activated with wt APCs (WT) or OX40Ltg APCs (OX40L-TG) under either neutral or T<sub>H</sub>9-polarizing conditions for 48 hrs. Cytosolic (Cyt) and nucleus (Nuc) proteins were extracted and expression of p105, p100, RelA, RelB, p52, p50, and NIK was assessed simultaneously by immunoblotting and compared. Data were representative of 3 independent experiments. \* p<0.05.



**Figure 8. Conditional deletion of *Traf6* in CD4<sup>+</sup> T cells prevents T<sub>H</sub>9 induction by OX40** (a) OX40 expression by activated WT B6 (Act WT) and TRAF6-deleted CD4<sup>+</sup> T cells (Act T6<sup>f/f</sup>) 3 days after activation. One of 3 experiments is shown. (b) Proliferation and survival of wt B6 and *Traf6*-deleted CD4<sup>+</sup> T cells (T6<sup>f/f</sup>) 3 days after anti-CD3 and APC stimulation. One of 3 experiments is shown. (c) Naïve WT-B6 and *Traf6*<sup>f/f</sup> *Cd4*<sup>cre</sup> CD4<sup>+</sup> T cells (T6<sup>f/f</sup>) were activated with anti-CD3 plus either WT APCs (WT) or OX40Ltg APCs (OX40L-TG) under T<sub>H</sub>9 polarizing conditions for 3 days, and induction of IL-9 producing cells was determined by flow cytometry. The panel on the right shows the summary of T<sub>H</sub>9 cell frequency induced in all experiments; the dots denote individual experiments (n=10). (d) Activated CD4<sup>+</sup> cells from *Traf6*<sup>f/f</sup> *Cd4*<sup>cre</sup> mice were transduced with retroviral vectors encoding p52 and RelB or control vectors. The transduced T cells were cultured under T<sub>H</sub>9 polarizing conditions (without OX40 ligation) for 3 days, and induction of IL-9 producing cells among the successfully transduced T cells (marked by GFP expression) was determined by flow cytometry. The right panel indicates the relative frequency of T<sub>H</sub>9 cells induced in all experiments (n=10). (e) Activated CD4<sup>+</sup> T cells from *Traf6* deleted mice were transduced with retroviral vectors encoding p50 and RelA, and further cultured under T<sub>H</sub>9 polarizing conditions for 3 days. IL-9 expression was assessed by flow cytometry and shown. The right panel shows the summary of all experiments (n=10). \* p<0.05.



**Figure 9. The *in vivo* effect of OX40 in  $T_H9$  induction and airway inflammation**

(a) Tissue pathology in the lungs of wt B6 and OX40Ltg mice (20 wks of age), as assessed by H&E, MTC, and PAS&AB staining. The pictures shown are representative of 5 animals in each group. The arrows denote mucin-producing cells in the airway epithelium; the histograms show quantitative histology scores and % of PAS&AB<sup>+</sup> cells among airway epithelial cells. Magnifications, 400X. (b) Quantitative RT-PCR analysis of a panel of cytokines in the lung samples from wt B6 and OX40Ltg mice. Data shown are mean  $\pm$ SEM of 3 animals. (c) Tissue infiltrating cells in the lungs of WT B6 and OX40Ltg mice were isolated and examined for IL-9 expression by flow cytometry. Cells were labeled with anti-CD4 and anti-CD44, and IL-9 expression in the CD4<sup>+</sup> population was shown. One of 10 experiments is shown. (d) WT and IL-9-KO mice were briefly treated with an agonist anti-

OX40 mAb, as described in the Methods section, and changes in the lung airways were assessed by H&E (100X) and PAS&AB staining (400X). The arrows denote mucin-producing cells in the airway epithelium. The pictures shown are representative of 5 animals in each group. The histogram shows the % of mucin-producing cells relative to airway epithelial cells. (e) WTb6 mice and OX40-KO mice were firstly sensitized and then re-challenged with OVA, and the lung tissue pathology as well as airway inflammation were shown. The arrows show mucin-producing cells, and the histograms depict quantitative histology scores and mucin-producing cells. Each group included 3 animals. \*  $p < 0.05$ .