



Hepatic arterial infusion chemotherapy and immune checkpoint inhibitors, alone or in combination, in advanced hepatocellular carcinoma with macrovascular invasion: a single-centre experience in Taiwan

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Background: The presence of vascular invasion is associated with poor survival in advanced hepatocellular carcinoma (HCC). We compared the effectiveness of hepatic arterial infusion chemotherapy (HAIC) and immune checkpoint inhibitors (ICIs), alone or in combination, in patients with advanced HCC.

Methods: We retrospectively reviewed medical records of adult patients with unresectable HCC and macrovascular invasion (MVI) who were treated with HAIC or ICIs alone or in combination at a single centre in Taiwan. Overall tumour response, vascular thrombi response, overall survival (OS) and progression-free survival (PFS) in 130 patients were analysed.

Results: The treatment group showed no significant effect on the overall tumour response [objective response rate (ORR), 22.86% for HAIC, 26.09% for ICI, 50.00% for HAIC+ICI; $P=0.111$], but showed a significant effect on vessel response (objective response rate of tumour thrombi (ORRT), 38.57% for HAIC, 45.65% for ICI, 78.57% for HAIC+ICI; $P=0.023$). Post-hoc comparisons followed by Bonferroni correction revealed that vessel ORRT was significantly different between the HAIC+ICI and HAIC groups ($P=0.014$). A significant effect of treatment group on portal vein tumour thrombus (PVT) was also detected (ORRT, 40.00% for HAIC, 50.00% for ICI, 90.00% for HAIC; $P=0.013$), with significant difference between the HAIC+ICI and HAIC groups ($P=0.005$). Patients treated with HAIC, ICI, and HAIC+ICI respectively had 12-month OS rates of 44.9%, 31.4%, and 67.5% ($P=0.127$) and 12-month PFS rates of 21.2%, 24.6%, and 33.2% ($P=0.091$). In multivariate analysis of PFS, HAIC+ICI was associated with reduced risk of progression or death compared with HAIC alone (adjusted hazard ratio: 0.46; 95% confidence interval: 0.23–0.94; $P=0.032$).

Conclusions: HAIC combined with ICIs had a superior response of PVT compared to HAIC alone, and was associated with reduced risk of progression or death. Future studies are needed to address the survival benefit of the combination therapy in advanced HCC with MVI.

Keywords: hepatocellular carcinoma (HCC); hepatic arterial infusion chemotherapy (HAIC); immune checkpoint inhibitor (ICI)

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Introduction

Hepatocellular carcinoma (HCC) is the most common type of liver cancer, accounting for 75–85% of primary liver cancer worldwide (1). In Taiwan, HCC is the third most commonly diagnosed malignancy and the second leading cause of cancer-related deaths (2). Vascular invasion is present in approximately 10–40% of patients with HCC at diagnosis, and the presence of vascular invasion is associated with poor survival after curative and noncurative treatment for HCC (3–5).

Systemic therapies including tyrosine kinase inhibitors (TKIs), immune checkpoint inhibitors (ICIs), vascular endothelial growth factor (VEGF) inhibitors, and a combination of an ICI and VEGF inhibitor (atezolizumab and bevacizumab) are recommended for the treatment of advanced HCC (6–9). Sorafenib, a first generation TKI, is frequently used as the first-line treatment for advanced HCC. However, the efficacy of sorafenib in patients with advanced HCC and vascular invasion was suboptimal with a median overall survival (OS) of 3.1–8.1 months and a

median progression-free survival (PFS) of around 2 months (10,11). In Asia, hepatic arterial infusion chemotherapy (HAIC) has been recommended for treatment of advanced HCC with vascular invasion when systemic therapies cannot be used or have failed (12), and has shown to improve OS and time to progression compared with sorafenib (13–16). In addition, HAIC allows repeated delivery of high concentrations of intrahepatic drugs without simultaneous embolization of the hepatic vasculature and leads to acceptable levels of toxicity (17,18).

Building on the positive data of ICIs in advanced HCC (19,20), a recent, retrospective study in China, including 229 patients with advanced HCC who received either HAIC alone or in combination with ICIs, was conducted. It showed that the addition of ICIs improved disease control rate (DCR) in overall response and intrahepatic response, and prolonged OS and PFS when compared with HAIC alone (21). Here, we compared the real-world effectiveness of HAIC and ICIs, alone or in combination, in patients with advanced HCC and macrovascular invasion (MVI); furthermore, we also investigated the predictors of survival outcomes in the whole cohort. We present the following article in accordance with the STROBE reporting checklist (available at <https://jgo.amegroups.com/article/view/10.21037/jgo-22-858/rc>).

Methods

Study design and patients

This study was a retrospective, single-centre review of medical records of adult patients with unresectable HCC treated with HAIC and ICIs alone or in combination at the National Cheng Kung University Hospital, Tainan between November 1, 2016 and December 31, 2020. This study was approved by the Institutional Review Board of the National Cheng Kung University Hospital (No. B-ER-110-300) and was performed according to the ethical principles for medical research of the World Medical Association's Declaration of Helsinki (as revised in 2013). Informed consent was waived because of the retrospective nature of

Highlight box

Key findings

- Hepatic arterial infusion chemotherapy combined with immune checkpoint inhibitors had a superior response on portal vein tumour thrombus compared with hepatic arterial infusion chemotherapy alone in patients with advanced hepatocellular carcinoma and macrovascular invasion.

What is known and what is new?

- Hepatic arterial infusion chemotherapy combined with immune checkpoint inhibitors is known to have a superior overall tumour response compared with hepatic arterial infusion chemotherapy alone in patients with advanced hepatocellular carcinoma;
- This study suggests that the combination therapy may have a beneficial response in portal vein tumour thrombus.

What is the implication, and what should change now?

- The combination of immunotherapy and hepatic arterial infusion chemotherapy may serve as a viable alternative treatment option for patients with hepatocellular carcinoma and tumour thrombi.

the study and the analysis used anonymous clinical data.

Eligible patients were diagnosed with unresectable HCC as primary tumour and had MVI. Diagnosis of HCC was based on either tissue histology or typical radiographic findings (22). The presence and extent of vascular invasion were determined by characteristic findings using multiphase dynamic computed tomography (CT) or magnetic resonance imaging (MRI) (3,23,24). Typical malignant tumour thrombus was defined as thrombus enhancement after the administration of contrast media compared to pre-contrast images (≥ 20 Hounsfield units on CT and $\geq 15\%$ on MRI), thrombus expansion within the involved vessel, and continuity of thrombus within the tumour (25). Patients must have received at least one dose of HAIC, ICIs or HAIC plus ICIs. Patients who failed to complete the screening radiographic assessment, died before the first radiographic assessment, and had atypical image pattern of tumour thrombus, hepato-cholangiocarcinoma, Vp1 or Vp2 invasion [the presence of portal vein tumour thrombus (PVTT) distal to or in the second-order branches of the portal vein], hepatic vein tumour thrombus, renal vein thrombus and *superior vena cava* thrombus, were not eligible.

Treatment

One-shot HAIC with doxorubicin and cisplatin in combination was administered once a month. Doxorubicin and cisplatin were administered intra-arterially at doses of 50 and 65 mg/m², respectively. Doxorubicin was diluted in 100 mL normal saline, and the infusion time was 10 minutes. Cisplatin was diluted in 500 mL normal saline, and the infusion time was 3 hours. Pre-medications included dexamethasone and adequate hydration (26). ICIs included nivolumab (Opdivo[®], Bristol Myers Squibb), pembrolizumab (Keytruda[®], Merck), nivolumab plus ipilimumab (Yervoy[®], Bristol Myers Squibb), atezolizumab (Tecentriq[®], Roche) plus bevacizumab (Avastin[®], Genentech Inc.) or spartalizumab (Novartis AG).

Assessment

Serial contrast-enhanced CT or MRI were used to assess tumour responses including objective response rate (ORR), DCR, complete response (CR), partial response (PR), stable disease (SD) and progressive disease (PD) based on Response Evaluation Criteria in Solid Tumours (RECIST) version 1.1 and modified RECIST (mRECIST) (27,28). For vascular response, the largest diameters of the tumour

thrombi were measured and compared with the recorded basal values and categorized into CR defined as complete disappearance of the tumour thrombus, PR defined as at least a 30% decrease in thrombus diameters, SD defined as a decrease of $<30\%$ or an increase of $<20\%$, and PD defined as an increase of $\geq 20\%$ in the sum of the diameters. Objective response rate of tumour thrombi (ORRT) was defined as the total number of patients achieving CR or PR, and DCR of tumour thrombi (DCRT) was defined as the proportion of patients achieving CR, PR, or SD (24). In the cases involving concurrent PVTT and inferior vena cava vein tumour thrombus (IVCTT), the vascular responses of the PVTT and IVCTT were assessed individually (24). Recurrence of new hepatic tumour and new distal metastasis were evaluated by CT or MRI every 8–12 weeks.

OS was defined as the length of time from the date HAIC or ICIs was administered (index date) to death from any cause. Patients without documented death at the time of the final analysis were censored at the date of the last follow-up. PFS was defined as the length of time from the index date to progression as per RECIST version 1.1 or death from any cause, whichever came first. Patients without documented disease progression or death at the time of the final analysis were censored at the date of the last follow-up. Adverse events (AEs) were recorded based on National Cancer Institute Common Terminology Criteria for Adverse Events (NCI CTCAE; version 5.0).

Statistical analysis

Descriptive statistics were used for patient characteristics and laboratory values, expressed in percentage, median (interquartile range) and mean \pm standard deviation. Comparison between groups was performed with chi-square test or Fisher's exact test for categorical variables. Comparison between groups was performed with Kruskal-Wallis test for continuous variables. Survival curves were estimated using the Kaplan-Meier method and compared using the log-rank test. Pairwise comparison was performed using Scheffe's multiple comparisons test. For overall tumour response, vascular response and AEs, comparison between any two groups was performed using *Post-hoc* test with Bonferroni correction. The univariate and multivariate analyses were performed using the Cox proportional hazards model to identify prognostic factors for survival. Variables with P value <0.05 in the univariate analysis and variables of treatment modalities entered the multivariate analysis. All statistical assessments were considered statistically

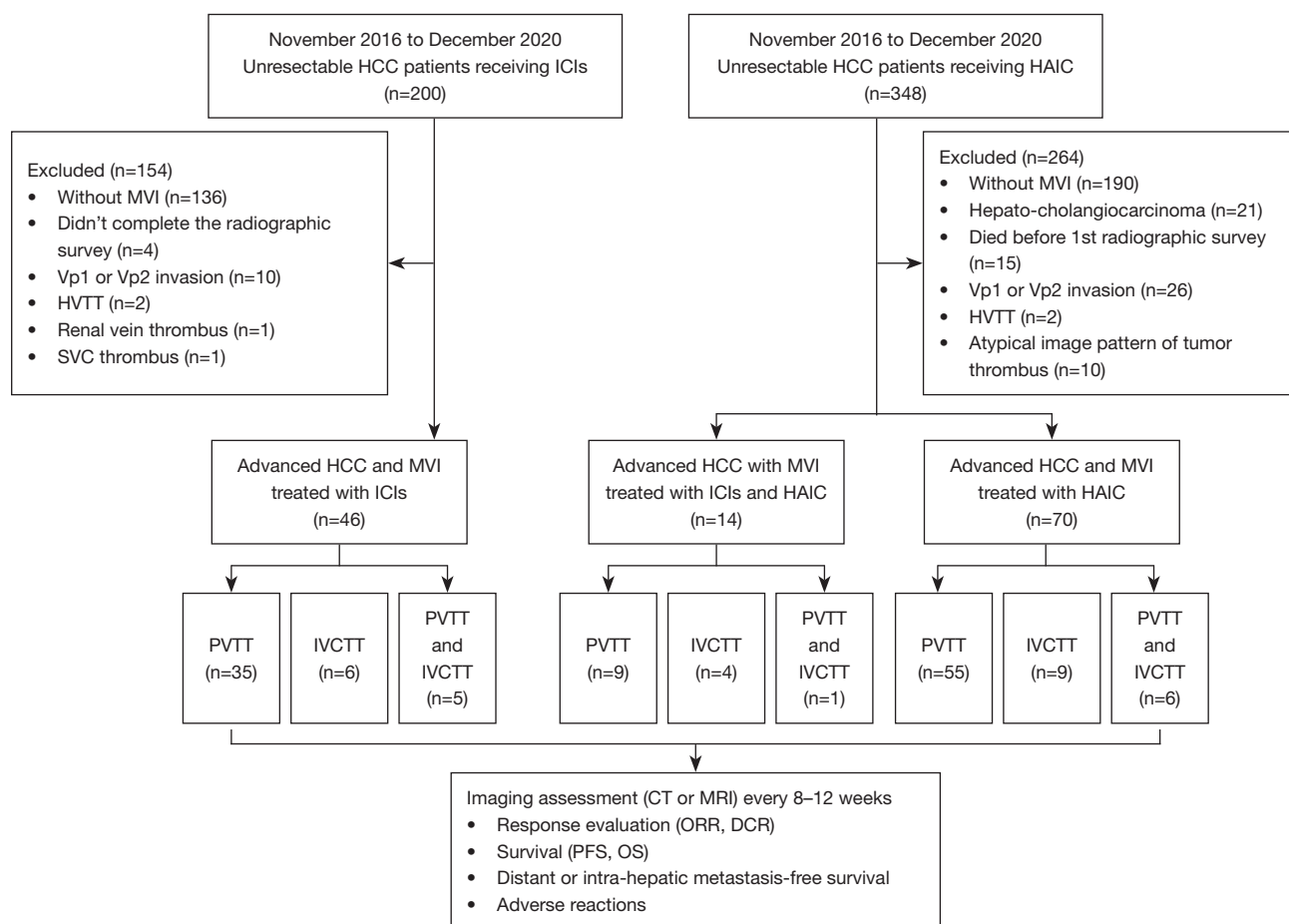


Figure 1 Flow diagram of patient selection. CT, computed tomography; DCR, disease control rate; HAIC, hepatic arterial infusion chemotherapy; HCC, hepatocellular carcinoma; HVTT, hepatic vein tumour thrombus; ICIs, immune checkpoint inhibitors; IVCTT, inferior vena cava vein tumour thrombus; MRI, magnetic resonance imaging; MVI, macrovascular invasion; ORR, objective response rate; OS, overall survival; PFS, progression-free survival; PVTT, portal vein tumour thrombus; SVC, superior vena cava.

significant as P value <0.05 , except for the Bonferroni correction, where P values <0.017 were considered statistically significant. All analyses were conducted using the SAS statistical package (v. 9.4 for Windows; SAS Institute, Cary, NC, USA, RRID:SCR_008567).

Results

Patient characteristics

A total of 130 patients with advanced HCC and MVI (ICIs alone: 46; HAIC alone: 70; HAIC+ICI: 14) were included in the analysis (Figure 1), among whom, 99 (76.15%) were female and 80.77% were 55 years or older (Table 1). Most patients had Eastern Cooperative Oncology Group (ECOG) ≥ 1 (65.38%), were classified as Child-Pugh A (74.62%) and

had Cancer of the Liver Italian Program Scoring System (CLIP) scores of 2–5 (86.15%). More than half (65.4%) of patients had chronic hepatitis B, and 50% of patients were treated with nucleotide analogue therapies. Thirty percent of patients had chronic hepatitis C. Less than 10% of patients had alcoholic hepatitis (9.2%) and nonalcoholic steatohepatitis (0.8%). More than a quarter (28.46%) of patients had distal metastases and there was significant difference between the proportions of patients with distal metastasis in the three groups ($P=0.025$). However, no significant difference in the proportions of patients with distal metastases of lung, bone and lymph node were detected between the three groups. Regarding thrombus location, 19 (14.62%) patients had IVCTT and 12 (9.23%) patients had concurrent IVCTT and PVTT (Vp3 or Vp4). In contrast,

Table 1 Baseline characteristics of all patients

Characteristics	HAIC (n=70)	Systemic ICI (n=46)	HAIC and ICI (n=14)	P value
Sex, n (%)				0.683
Female	55 (78.57)	33 (71.74)	11 (78.57)	
Male	15 (21.43)	13 (28.26)	3 (21.43)	
Age, years, median (IQR), n (%)	62.0 (56.0, 71.0)	64.0 (57.0, 69.0)	61.5 (56.0, 67.0)	0.904
<55	14 (20.00)	8 (17.39)	3 (21.43)	0.918
≥55	56 (80.00)	38 (82.61)	11 (78.57)	
ECOG PS, n (%)				0.008*
0	17 (24.29)	24 (52.17)	4 (28.57)	
≥1	53 (75.71)	22 (47.83)	10 (71.43)	
Alpha-fetoprotein, ng/mL, n (%)				0.339
<400	35 (50.00)	25 (54.35)	10 (71.43)	
≥400	35 (50.00)	21 (45.65)	4 (28.57)	
Etiology of liver disease				
No liver disease, n (%)	6 (8.57)	3 (6.52)	2 (14.29)	0.662
Liver disease present, n (%)	64 (91.43)	43 (93.48)	12 (85.71)	
Chronic hepatitis B, n (%)	47 (73.44)	29 (67.44)	9 (75.00)	0.765
Undetectable HBV DNA, n (%)	16 (34.04)	13 (44.83)	5 (55.56)	0.398
HBV DNA (IU/mL), mean ± SD	(1.41±4.71)×10 ⁶	(1.64±7.92)×10 ⁴	(1.04±2.85)×10 ⁵	0.219
Ongoing NUC therapy, n (%)	38 (80.85)	21 (72.41)	6 (66.67)	0.536
ETV, n (%)	32 (68.09)	15 (51.72)	6 (66.67)	0.346
TDF, n (%)	3 (6.38)	4 (13.79)	0 (0)	0.332
TAF, n (%)	1 (2.13)	2 (6.90)	0 (0)	0.457
ETV+TDF, n (%)	1 (2.13)	0 (0)	0 (0)	0.664
Efavirenz/Emtricitabine/Tenofovir, n (%)	1 (2.13)	0 (0)	0 (0)	0.664
Chronic hepatitis C, n (%)	20 (31.25)	16 (37.21)	3 (25.00)	0.677
Alcoholic hepatitis, n (%)	8 (12.50)	2 (4.65)	2 (16.67)	0.296
Nonalcoholic steatohepatitis, n (%)	0 (0.00)	1 (2.33)	0 (0.00)	0.462
Child-Pugh stage, n (%)				0.073
A	56 (80.00)	29 (63.04)	12 (85.71)	
B	14 (20.00)	17 (36.96)	2 (14.29)	
CLIP, n (%)				0.097
0–1	6 (8.57)	8 (17.39)	4 (28.57)	
2–5	64 (91.43)	38 (82.61)	10 (71.43)	

Table 1 (continued)

Table 1 (continued)

Characteristics	HAIC (n=70)	Systemic ICI (n=46)	HAIC and ICI (n=14)	P value
Distant metastases, n (%)				
No	57 (81.43)	28 (60.87)	8 (57.14)	0.025*
Yes	13 (18.57)	18 (39.13)	6 (42.86)	
Lung	9 (69.23)	10 (55.56)	3 (50.00)	0.667
Bone	2 (15.38)	2 (11.11)	0 (0.00)	1.000
Lymph node	6 (46.15)	5 (27.78)	3 (50.00)	0.483
Other	3 (23.08)	5 (27.78)	2 (33.33)	1.000
Thrombus location, n (%)				
IVC	9 (12.86)	6 (13.04)	4 (28.57)	0.001*
IVC + main PV	3 (4.29)	2 (4.35)	1 (7.14)	
IVC + 1 st branch PV	3 (4.29)	3 (6.52)	0 (0.00)	
Main PV	26 (37.14)	10 (21.74)	7 (50.00)	
Main PV + bilateral 1 st branch PV	0 (0.00)	11 (23.91)	0 (0.00)	
Bilateral 1 st branch PV	3 (4.29)	1 (2.17)	0 (0.00)	
1 st branch PV	26 (37.14)	13 (28.26)	2 (14.29)	
Prior treatment, n (%)				
No	37 (52.86)	13 (28.26)	8 (57.14)	0.020*
Yes	33 (47.14)	33 (71.74)	6 (42.86)	
Line of ICI systemic therapy, n (%)				
First-line	0 (0.00)	20 (43.48)	5 (35.71)	0.837
≥ Second-line	0 (0.00)	26 (56.52)	9 (64.28)	
Concomitant use of TKIs, n (%)				
No	15 (21.43)	24 (52.17)	4 (28.57)	0.003*
Yes	55 (78.57)	22 (47.83)	10 (71.43)	
Sorafenib	42 (76.36)	14 (63.64)	5 (50.00)	0.183
Regorafenib	1 (1.82)	2 (9.09)	0 (0.00)	0.304
Lenvatinib	12 (21.82)	6 (27.27)	5 (50.00)	0.177

*, P<0.05. BCLC, Barcelona Clinic Liver Cancer; CLIP, Cancer of the Liver Italian Program Scoring System; DNA, deoxyribonucleic acid; ECOG PS, Eastern Cooperative Oncology Group performance status; ETV, entecavir; HAIC, hepatic arterial infusion chemotherapy; HBV, hepatitis B virus; ICI, immune checkpoint inhibitor; IQR, interquartile range; IVC, inferior vena cava; NUC, nucleotide analogue; PD-1, programmed cell death protein-1; PV, portal vein; SD, standard deviation; TAF, tenofovir alafenamide; TDF, tenofovir disoproxil fumarate; TKI, tyrosine kinase inhibitor.

nearly half (41.54%) of patients had main portal vein invasion (Vp4 alone or Vp3 and Vp4). Nearly one-third (34.62%) of patients had portal vein invasion at the first order branch (Vp3 or bilateral Vp3). There was a significant difference between the proportion of thrombus location in the three

groups (P=0.001). Transcatheter arterial chemoembolization (TACE), radiofrequency ablation/percutaneous ethanol injection and TKIs were the most common prior treatments (Table S1). Previous systemic therapies in each group were summarized in Table S1. Category and dosage of PD-1

Table 2 Overall tumour response to immunotherapy and hepatic arterial infusion chemotherapy in HCC patients with MVI

Response	Overall RECIST, n (%)				Overall mRECIST, n (%)			
	HAIC (n=70)	Systemic ICI (n=46)	HAIC and ICI (n=14)	P value	HAIC (n=70)	Systemic ICI (n=46)	HAIC and ICI (n=14)	P value
CR	0 (0.00)	0 (0.00)	1 (7.14)	0.108	0 (0.00)	1 (2.17)	1 (7.14)	0.088
PR	16 (22.86)	12 (26.09)	6 (42.86)	0.299	17 (24.29)	12 (26.09)	6 (42.86)	0.355
SD	13 (18.57)	6 (13.04)	2 (14.29)	0.717	12 (17.14)	5 (10.87)	2 (14.29)	0.645
PD	41 (58.57)	28 (60.87)	5 (35.71)	0.230	41 (58.57)	28 (60.87)	5 (35.71)	0.230
ORR	16 (22.86)	12 (26.09)	7 (50.00)	0.111	17 (24.29)	13 (28.26)	7 (50.00)	0.150
DCR	29 (41.43)	18 (39.13)	9 (64.29)	0.230	29 (41.43)	18 (39.13)	9 (64.29)	0.230

CR, complete response; DCR, disease control rate; HAIC, hepatic arterial infusion chemotherapy; HCC, hepatocellular carcinoma; ICI, immune checkpoint inhibitor; MVI, macrovascular invasion; ORR, objective response rate; PD, progressive disease; PR, partial response; RECIST, Response Evaluation Criteria in Solid Tumours; mRECIST, modified Response Evaluation Criteria in Solid Tumours; SD, stable disease.

Table 3 Vascular response to immunotherapy and hepatic arterial infusion chemotherapy in HCC patients with MVI

Response	Vessel response, n (%)				PVTT response, n (%)				IVCTT response, n (%)			
	HAIC (n=70)	Systemic ICI (n=46)	HAIC and ICI (n=14)	P value	HAIC (n=60)	Systemic ICI (n=40)	HAIC and ICI (n=10)	P value	HAIC (n=16)	Systemic ICI (n=11)	HAIC and ICI (n=6)	P value
CR	3 (4.29)	2 (4.35)	2 (14.29)	0.246	2 (3.33)	2 (5.00)	1 (10.00)	0.480	2 (12.50)	1 (9.09)	2 (33.33)	0.463
PR	24 (34.29)	19 (41.30)	9 (64.29)	0.109	22 (36.67)	18 (45.00)	8 (80.00)	0.037**	5 (31.25)	6 (54.55)	1 (16.67)	0.287
SD	10 (14.29)	6 (13.04)	1 (7.14)	0.770	9 (15.00)	4 (10.00)	1 (10.00)	0.736	4 (25.00)	2 (18.18)	1 (16.67)	1.000
PD	33 (47.14)	19 (41.30)	2 (14.29)	0.075	27 (45.00)	16 (40.00)	0 (0.00)	0.026§*	5 (31.25)	2 (18.18)	2 (33.33)	0.771
ORRT	27 (38.57)	21 (45.65)	11 (78.57)	0.023†*	24 (40.00)	20 (50.00)	9 (90.00)	0.013¶*	7 (43.75)	7 (63.64)	3 (50.00)	0.595
DCRT	37 (52.86)	27 (58.70)	12 (85.71)	0.075	33 (55.00)	24 (60.00)	10 (100.00)	0.026 *	11 (68.75)	9 (81.82)	4 (66.67)	0.771

*, $P < 0.05$. Post-hoc test with Bonferroni correction ($P < 0.017$ was considered as statistically significant): †, vessel ORRT: HAIC and ICI vs. HAIC ($P = 0.014$); HAIC vs. ICI ($P = 0.572$); HAIC and ICI vs. ICI ($P = 0.064$); ‡, PVTT PR: HAIC and ICI vs. HAIC ($P = 0.015$); HAIC vs. ICI ($P = 0.532$); HAIC and ICI vs. ICI ($P = 0.077$); §, PVTT PD: HAIC vs. HAIC and ICI ($P = 0.005$); HAIC vs. ICI ($P = 0.773$); HAIC and ICI vs. ICI ($P = 0.020$); ¶, PVTT ORRT: HAIC and ICI vs. HAIC ($P = 0.005$); HAIC vs. ICI ($P = 0.435$); HAIC and ICI vs. ICI ($P = 0.031$); ||, PVTT DCRT: HAIC and ICI vs. HAIC ($P = 0.005$); HAIC vs. ICI ($P = 0.773$); HAIC and ICI vs. ICI ($P = 0.020$). CR, complete response; DCRT, disease control rate of tumour thrombi; HAIC, hepatic arterial infusion chemotherapy; HCC, hepatocellular carcinoma; ICI, immune checkpoint inhibitor; IVCTT, inferior vena cava vein tumour thrombus; MVI, macrovascular invasion; ORRT, objective response rate of tumour thrombi; PD, progressive disease; PR, partial response; PVTT, portal vein tumour thrombus; SD, stable disease.

inhibitors in the ICI group and HAIC+ICI group were summarized in [Table S2](#). Twenty (43.48%) patients in the ICI group received PD-1 inhibitors as first-line systemic therapy. The majority of patients also received concomitant TKI therapy. In both HAIC groups, more than 70% of patients received concomitant TKI; however, less than 50% of the ICI group received concomitant TKI.

Treatment response

Overall tumour responses based on RECIST and

mRECIST are presented in [Table 2](#). HAIC+ICI group had higher rates of CR and PR, ORR and DCR than HAIC group and ICI group, but no significant differences were observed between the three groups. The rates of SD and PD were also similar between three groups. Overall ORR and DCR were also similar between the HAIC group and ICI group ([Table S3](#)).

Vascular responses based on vessel RECIST are shown in [Table 3](#). The vascular ORRT was significantly different between three groups ($P = 0.023$). *Post-hoc* analysis with Bonferroni correction also showed that ORRT was

Table 4 Effectiveness of treatment modalities in new hepatic tumour and new distal metastasis

Variables	HAIC (n=70), n (%)	Systemic ICI (n=46), n (%)	HAIC and ICI (n=14), n (%)	P value
Recurrent of new hepatic tumour, yes (%)	20 (28.57)	8 (17.39)	1 (7.14)	0.130
Recurrent of new distal metastasis, yes (%)	7 (10.00)	11 (23.91)	4 (28.57)	0.069

HAIC, hepatic arterial infusion chemotherapy; ICI, immune checkpoint inhibitor.

Table 5 Treatment responses of treatment modalities in primary hepatic tumour and distant metastasis

Response	HAIC (n=62), n (%)	Systemic ICI (n=43), n (%)	HAIC and ICI (n=13), n (%)	P value
Efficacy of primary hepatic tumour [†]				
CR	1 (1.61)	1 (2.33)	0 (0.00)	1.000
PR	15 (24.19)	12 (27.91)	6 (46.15)	0.276
SD	23 (37.10)	13 (30.23)	2 (15.38)	0.295
PD	23 (37.10)	17 (39.53)	5 (38.46)	0.968
ORR	16 (25.81)	13 (30.23)	6 (46.15)	0.343
DCR	39 (62.90)	26 (60.47)	8 (61.54)	0.968
Efficacy of distal metastasis [†]				
CR	1 (7.14)	1 (5.56)	1 (14.29)	0.766
PR	1 (7.14)	3 (16.67)	2 (28.57)	0.363
SD	4 (28.57)	3 (16.67)	1 (14.29)	0.677
PD	8 (57.14)	11 (61.11)	3 (42.86)	0.768
ORR	2 (14.29)	4 (22.22)	3 (42.86)	0.368
DCR	6 (42.86)	7 (38.89)	4 (57.14)	0.768

[†], responses were evaluated using the RECIST. CR, complete response; DCR, disease control rate; HAIC, hepatic arterial infusion chemotherapy; ICI, immune checkpoint inhibitor; ORR, overall response rate; PD, progressive disease; PR, partial response; RECIST, Response Evaluation Criteria in Solid Tumours; SD, stable disease.

significantly higher in the HAIC+ICI group than in the HAIC group ($P=0.014$). ORRT was similar between the HAIC group and ICI group ($P=0.572$) and between the ICI group and HAIC+ICI group ($P=0.064$). For responses in PVT, there were significant differences between PR rate ($P=0.037$), ORRT ($P=0.013$), DCRT ($P=0.026$), and PD rate ($P=0.026$) in the three groups. *Post-hoc* analysis with Bonferroni correction showed that the HAIC+ICI group had significantly higher PR rate ($P=0.015$), ORRT ($P=0.005$) and DCRT ($P=0.005$), and lower PD rate ($P=0.005$) than the HAIC group. PR rate, ORRT, DCRT, and PD rate were similar between the HAIC group and ICI group ($P=0.532$ for PR rate; $P=0.435$ for ORRT; $P=0.773$ for DCRT; $P=0.773$ for PD rate) and between the ICI group and HAIC+ICI group ($P=0.077$ for PR rate; $P=0.031$ for ORRT; $P=0.020$ for DCRT; $P=0.020$ for PD rate). There

were no significant differences observed between the three groups and between the HAIC group and ICI group in IVCTT responses (Table S4).

A total of 29 (22.31%) patients had recurrence of new hepatic tumour, and 22 (16.92%) patients had recurrent of new distal metastasis. No significant differences were observed between three groups with regard to recurrences of new primary hepatic tumour and new distal metastasis (Table 4). The treatment responses of primary hepatic tumour and distal metastasis between three groups were also not significantly different (Table 5).

Survival

The median OS was 10.3 months [95% confidence interval (CI): 8.0–11.7], 9.7 months (95% CI: 6.0–14.1) and

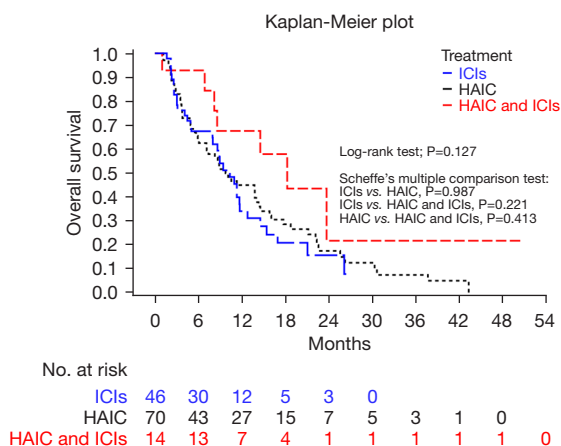


Figure 2 Kaplan-Meier curve for overall survival in patients receiving HAIC alone, ICIs alone or HAIC plus ICIs. ICIs, immune checkpoint inhibitors; HAIC, hepatic arterial infusion chemotherapy.

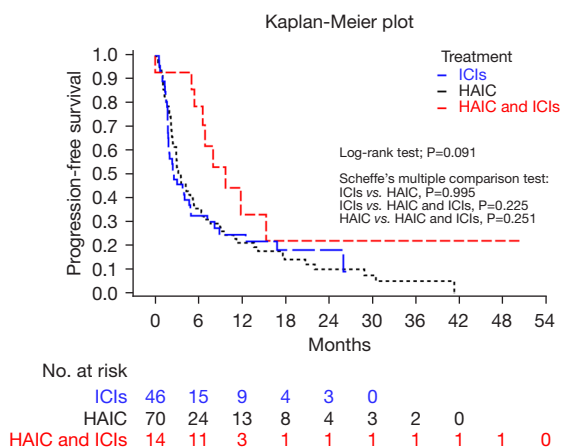


Figure 3 Kaplan-Meier curve for progression-free survival in patients receiving HAIC alone, ICIs alone or HAIC plus ICIs. ICIs, immune checkpoint inhibitors; HAIC, hepatic arterial infusion chemotherapy.

18.2 months (8.2–not estimable) in the ICI group, HAIC group and HAIC+ICI group, respectively. The OS rates at 6 and 12 months were 67.4% and 31.4% in the ICI group, 63.9% and 44.9% in the HAIC group and 92.9% and 67.5% in the HAIC+ICI group, respectively. Although the median OS was longer in the HAIC+ICI group, there was no significant difference between the three groups (P=0.127) and any two groups (HAIC vs. ICI, P=0.987; ICI vs. HAIC+ICI, P=0.221; HAIC vs. HAIC+ICI, P=0.413) (Figure 2).

The median PFS was 2.5 months (95% CI: 1.8–4.9),

3.4 months (95% CI: 2.7– 5.3), and 9.8 months (95% CI: 5.5–15.4) in the ICI group, HAIC group, and HAIC+ICI group, respectively. The PFS rates at 6, and 12 months were 32.6% and 24.6% in the ICI group, 35.5% and 21.2% in the HAIC group and 78.6% and 33.2% in the HAIC+ICI group, respectively. The median PFS was numerically longer in the HAIC+ICI group than either the HAIC or the ICI monotherapy group but there was no significant difference between the three groups (P=0.091) and any two groups (HAIC vs. ICI, P=0.995; ICI vs. HAIC+ICI, P=0.225; HAIC vs. HAIC+ICI, P=0.251) (Figure 3).

Survival analysis by response showed that patients achieving CR/PR in either overall tumour or vascular responses were associated with longer PFS and OS than those achieving SD or PD (P<0.001) (Figures S1–S4).

Predictors for survival

The results of univariate and multivariate analyses are listed in Table S5 and Table S6. In multivariate analysis of death, CLIP score 2–5 was independently associated with increased risk of death [adjusted hazard ratio (HR): 2.26; 95% CI: 1.10–4.65; P=0.027]. Achievement of CR/PR in either overall tumour response (adjusted HR: 0.11; 95% CI: 0.05–0.22; P<0.001) or vascular response (adjusted HR: 0.22; 95% CI: 0.14–0.36; P<0.001) and treatment in combination with TKIs (adjusted HR: 0.51; 95% CI: 0.31–0.86; P=0.011) were independently associated with decreased risk of death. Combination use of HAIC and ICIs was also associated with reduced risk of death although this did not reach statistical significance (adjusted HR: 0.47; 95% CI: 0.21–1.04; P=0.062) (Table S5).

In multivariate analysis of PFS, Child-Pugh class B was an independent risk factor for progression or death (adjusted HR: 1.81; 95% CI: 1.15–2.84; P=0.010), while the use of HAIC plus ICIs was associated with reduced risk of progression or death compared with those who received HAIC alone (adjusted HR: 0.46; 95% CI: 0.23–0.94; P=0.032) (Table S6).

Adverse events

The safety profiles of the treatment groups are listed in Table 6. AEs were reported for 50% or more of patients in each group. The overall incidence of AEs was similar between three groups (P=0.620). HAIC+ICI group had higher incidence of AEs Grade ≥3 than HAIC group and ICI group, but the difference did not reach statistical

Table 6 Adverse events

Variables	HAIC (n=70)	Systemic ICI (n=46)	HAIC and ICI (n=14)	P value
Side effect				0.620
No	29 (41.43)	23 (50.00)	7 (50.00)	
Yes	41 (58.57)	23 (50.00)	7 (50.00)	
Side effect type				
Nausea or vomit or dyspepsia or anorexia	13 (31.71)	0 (0.00)	2 (28.57)	0.003 [†] *
Alopecia	4 (9.76)	0 (0.00)	1 (14.29)	0.202
Diarrhoea or colitis	4 (9.76)	1 (4.35)	0 (0.00)	0.793
Neutropenia	7 (17.07)	0 (0.00)	2 (28.57)	0.026 [‡] *
Fever	7 (17.07)	0 (0.00)	0 (0.00)	0.064
Skin rash	1 (2.44)	10 (43.48)	1 (14.29)	<0.001 [§] *
Fatigue or weakness	4 (9.76)	4 (17.39)	0 (0.00)	0.526
Hepatitis	1 (2.44)	4 (17.39)	1 (14.29)	0.067
Pneumonitis	0 (0.00)	3 (13.04)	0 (0.00)	0.086
Hypothyroidism	0 (0.00)	1 (4.35)	0 (0.00)	0.423
Side effect grade				0.185
1	25 (60.98)	11 (47.83)	4 (57.14)	
2	9 (21.95)	8 (34.78)	0 (0.00)	
3	6 (14.63)	2 (8.70)	3 (42.86)	
4	1 (2.44)	2 (8.70)	0 (0.00)	
Side effect grade				0.297
<3	34 (82.93)	19 (82.61)	4 (57.14)	
≥3	7 (17.07)	4 (17.39)	3 (42.86)	

*, P<0.05. Post-hoc test with Bonferroni correction (P<0.017 was considered statistically significant); [†], nausea or vomit or dyspepsia or anorexia: HAIC vs. Systemic ICI (P=0.002); HAIC vs. HAIC and ICI (P=1.000); systemic ICI vs. HAIC and ICI (P=0.048); [‡], neutropenia: HAIC vs. systemic ICI (P=0.043); HAIC vs. HAIC and ICI (P=0.601); systemic ICI vs. HAIC and ICI (P=0.048); [§], skin rash: HAIC vs. systemic ICI (P<0.001); HAIC vs. HAIC and ICI (P=0.273); systemic ICI vs. HAIC and ICI (P=0.215). HAIC, hepatic arterial infusion chemotherapy; ICI, immune checkpoint inhibitor.

significance between three groups (P=0.297). Significant difference between the incidences of neutropenia was detected in the three groups (P=0.026), and HAIC+ICI group had a higher rate than the other two groups. Among the patients with neutropenia, two were Grade 3 and recovered after dose adjustment. Significant difference between the incidences of nausea, vomit, dyspepsia or anorexia was detected in the three groups (P=0.003) with the incidences being most frequent in the HAIC group. *Post-hoc* analysis with Bonferroni correction showed that the HAIC group had significantly higher rates of nausea, vomit,

dyspepsia or anorexia compared with those of the ICI group (P=0.002). Significant difference between the incidences of skin rash was detected in the three groups (P<0.001) with the most frequent being the ICI group. *Post-hoc* analysis with Bonferroni correction showed that the HAIC group had significantly lower rate of skin rash than the ICI group (P<0.001). Furthermore, the levels of total bilirubin tended to elevate in the ICI group (β -coefficient =0.94, P=0.010) and HAIC group (β -coefficient =0.40, P=0.001), and there was no change in the HAIC+ICI group (β -coefficient =0.23, P=0.120) after 12 weeks of treatment (Figure S5 and

Table S7). It indicated that liver functional reserve in patients who received combination therapy was not significantly changed during the 12-week treatment period.

Discussion

This real-world study in Taiwan showed that HAIC in combination with PD-1 inhibitors achieved better vascular response than HAIC or systemic ICIs alone in patients with advanced HCC and vascular invasion. Moreover, this study also indicated that the strategy of combining PD-1 inhibitors and HAIC is an independent prognostic factor for prolonged PFS. HAIC in combination with ICIs was generally well tolerated. Compared with HAIC or ICIs alone, the combination therapy may be associated with increased incidence of Grade ≥ 3 AEs but the overall AE incidences were similar between groups. Higher CLIP scores were associated with increased risk of death. Achievement of CR/PR in either overall tumour or vascular responses and concomitant use of TKIs were associated with reduced risk of death.

The efficacy of HAIC combined with ICIs was also reported in previous studies. A retrospective study in China that included HCC patients with Barcelona Clinic Liver Cancer B or C and Child-Pugh A reported that HAIC+ICI therapy had better DCR in overall response and intrahepatic response and longer OS and PFS than HAIC alone. HAIC+ICI therapy, compared with HAIC alone, provided the OS benefit in patients with PVTT Vp1–3, but not in those with main PVTT (Vp4), and PFS benefit was not observed in patients with PVTT (Vp1–4) (21). Our study showed that the combination of HAIC and ICIs was an independent prognostic factor for prolonged PFS, and indeed HAIC+ICI therapy had longer median PFS and OS than HAIC and ICIs alone. However, there was no significant difference in median PFS or OS between the three treatments. This may be due to the majority of patient (85.38%) with PVTT Vp3 and/or Vp4, small number of patients included in the HAIC+ICI group, and the imbalance in the patient number between HAIC+ICI group and HAIC or ICI group. Another retrospective study at 3 hospitals in China included patients who had unresectable HCC with MVI and/or extrahepatic spread. Among patients receiving HAIC plus toripalimab, the majority (77.4%) had PVTT, and the median OS and PFS were 17.13 and 9.3 months, respectively (29). A real-world study in China included patients who had advanced HCC with vascular invasion or metastases receiving HAIC + PD-1 inhibitors

+ TKIs. The median PFS was 10.6 months. Similarly, a majority of included patients (74.1%) had PVTT (3.7% with Vp1–2, 37.0% with Vp3 and 33.3% with Vp4) (30). The median OS and PFS for HAIC+ICI treatment were similar to the previous studies in broadly similar patient populations (21,29,30). Our findings are in agreement with previous studies which HAIC combined with PD-1 inhibitor could provide a survival benefit in advanced HCC patients. These observations support the clinically important implication that combination of HAIC and ICIs may contribute to the effective control of tumor thrombi, preserve liver function, and provide an opportunity for patients to receive further treatment. Further large cohort-based, long-term follow-up studies are required to evaluate the treatment effect of combination therapy in HCC patients.

Unlike the previous study, our study did not observe a significant difference in overall response between HAIC+ICI treatment, HAIC alone and ICI alone. However, our study showed that based on vessel RECIST, DCRT and ORRT in HAIC+ICI group were improved and HAIC+ICI treatment achieved significantly better vascular response than HAIC alone, especially in PVTT. It may indicate that HAIC+ICI treatment is effective in managing PVTT. It has been reported that soluble PD-L1 levels in plasma were associated with PVTT (31), which may explain the good response to PVTT observed in patients receiving HAIC combined with PD-1 inhibitor. The efficacy of HAIC combined with ICIs for vascular thrombi is not well-established up to now. Our study was the first to demonstrate the comparison of treatment outcomes between these three treatment modalities for HCC with vascular metastases. In Asia, besides systemic target therapies, more aggressive treatments such as TACE, radiotherapy, systemic chemotherapy and local treatments are recommended for the treatment of HCC with PVTT (32,33). The novel findings in our study showed that the combination of immunotherapy and HAIC may serve as a feasible treatment option for HCC patients with tumour thrombi, and may be considered as a potential alternative therapy for clinically difficult cases.

The current study showed that vascular response of IVCTT was not significantly different between the three treatments. It has been reported that the location of tumour thrombus can affect the response rate and survival in HCC. Two retrospective studies in China included HCC patients with PVTT and/or IVCTT. One study reported that after receiving external-beam radiation therapy (EBRT),

patients with PVTT had poorer survival and response rates than those with IVCTT (34). Another study showed that survival in patients with IVCTT was worse than those with PVTT in non-EBRT group. However, IVCTT became a protective factor for patients treated with EBRT (35). Further studies are required to investigate how the location of tumour thrombus affects the efficacy of HAIC and ICIs.

Our study showed that there was no significant difference in the treatment responses of distal metastasis between the three treatments, which indicated that systemic ICI might not compensate for the limitations of HAIC in controlling extra-hepatic metastases. The previous study reported the similar results which showed that OS and PFS were not significantly different between HAIC+ICI group and HAIC group in the subgroup analysis of extra-hepatic metastases (21).

The strength of our study is to focus on vascular response in HCC patients and provide evidence of HAIC+ICI in the management of PVTT. Furthermore, the findings showed that the efficacy and incidence of severe (Grade ≥ 3) AEs in HAIC alone and ICIs alone were comparable. In Taiwan, HAIC is covered by national health insurance, while ICIs are not. When patients cannot afford ICIs, HAIC can be an alternative. However, due to the limited patient numbers, prospective studies detailing head-to-head comparisons are needed to verify the results of the current study. Future advancements in molecular profiling techniques and a better understanding of tumor biology and biomarkers could help to identify this subset of patients (36). There are some limitations in our study. First, there might be selection bias due to retrospective design. Second, heterogeneity may exist across three groups in terms of ECOG score, liver function reserve, distant metastases, thrombus location, prior treatment, and TKIs combination therapy. In the future, more homogenous and large-scale prospective studies are needed to verify the results of the current study. Finally, small number of patients in the HAIC+ICI group and imbalance of patients among three groups may result in underestimation and overestimation.

Conclusions

The present study showed that HAIC combined with ICIs was well tolerated and had a superior vascular response of PVTT, but not IVCTT, compared with HAIC and ICIs alone. Further studies are needed to confirm the findings, and to address the survival benefit and the efficacy of combination therapy of HAIC and ICIs in HCC patients

with different locations and extent of tumour thrombus.

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Footnote

Reporting Checklist: The authors have completed the STROBE reporting checklist. Available at <https://jgo.amegroups.com/article/view/10.21037/jgo-22-858/rc>

Data Sharing Statement: Available at <https://jgo.amegroups.com/article/view/10.21037/jgo-22-858/dss>

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Conflicts of Interest: All authors have completed the ICMJE uniform disclosure form (available at <https://jgo.amegroups.com/article/view/10.21037/jgo-22-858/coif>). The authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). This study was approved by the Institutional Review Board of the National Cheng Kung University Hospital (No. B-ER-110-300). Individual consent for this retrospective analysis was waived.

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