

## Tumor-related neurocognitive dysfunction in patients with diffuse glioma: a retrospective cohort study prior to antitumor treatment

Emma van Kessel, Michelle A.C. Emons, Irene H. Wajer, Kirsten M. van Baarsen, Marike L. Broekman, Pierre A. Robe<sup>†</sup>, Tom J. Snijders<sup>†</sup>, and Martine J.E. Van Zandvoort<sup>†</sup>

University Medical Center Utrecht/Brain Center Rudolf Magnus, Department of Neurology and Neurosurgery, The Netherlands (E.v.K., I.H.W., K.M.v.B., P.A.R., T.J.S., M.J.E.v.Z.); Helmholtz Institute, Utrecht University, The Netherlands (M.A.C.E., M.J.E.v.Z.)

**Corresponding Author:** Emma van Kessel, MD, University Medical Center Utrecht/Brain Center Rudolf Magnus, Department of Neurology, internal address G03.232, PO Box 85500, 3508 XC Utrecht, The Netherlands (e.vankessel-2@umcutrecht.nl).

<sup>†</sup>These authors contributed equally to this work.

### Abstract

**Background.** Impairments in neurocognitive functioning (NCF) frequently occur in glioma patients. Both the tumor and its treatment contribute to these impairments. We aimed to quantify NCF in glioma patients before treatment and to investigate which factors influence NCF.

**Methods.** We performed a retrospective cohort study in diffuse glioma patients according to STROBE (Strengthening the Reporting of Observational Studies in Epidemiology) criteria. All patients had undergone neuropsychological assessment as part of routine clinical care, before awake surgery. We studied “overall NCF” and NCF in 5 neurocognitive domains separately. For “overall NCF” and per domain, we performed analyses at 2 different levels of outcome measures: (1) group level: mean cognitive functioning of the study sample, and (2) individual level: the percentage of impaired patients. We performed multivariable logistic regression analyses to investigate which factors were associated with the occurrence of cognitive impairments.

**Results.** From our cohort of glioma patients (2010–2016), 168 patients met all the inclusion criteria. All cognitive domains were significantly affected at the group level. The percentages of neurocognitive impairments (–2SD) were highest for Executive Functioning, Psychomotor Speed, and Memory (26.5%, 23.2%, and 19.3%, respectively). Patients with high-grade glioma were affected more severely than patients with low-grade glioma. Tumor volume, isocitrate dehydrogenase status, WHO grade, and histology were associated with the occurrence of domain-specific impairments.

**Conclusions.** Cognitive impairment occurs in the majority of treatment-naïve glioma patients. The domains Executive Functioning, Speed, and Memory are involved most frequently. These impairments in NCF are explained not only by tumor location and volume, but also by other (biological) mechanisms.

### Keywords

brain tumor | cognition | glioma | *IDH* | isocitrate dehydrogenase | neurocognitive functioning | neuropsychology

About one-third of patients with diffuse glioma (WHO grade II–IV) suffer from a deficit in 1 or more cognitive domains.<sup>1–4</sup> The most common domains affected are Executive Functioning (EF), Psychomotor Speed, and Memory. These

cognitive impairments have a marked impact on quality of life, both for patients and their caretakers.<sup>5</sup>

The brain maintains a complex network of interactions between local and distant areas to synthesize regional

specialization with global integration. Cognition in particular relies on successful coordination between regions of specialized function. Consequently, cognitive dysfunction in glioma patients can be more generalized than might be expected based solely on tumor location and size when coordination has been disrupted.<sup>6</sup> EF is particularly likely to be impaired in glioma patients.<sup>7,8</sup> EF encompasses a set of higher-order neurocognitive processes that allow people to make choices and engage in goal-directed and future-oriented behavior. EF is an emergent property of a widespread brain network rather than a product of regional specialization, and is therefore vulnerable to the glioma's effects.

The pathophysiological mechanisms underlying network disturbances and the resulting deficits in neurocognitive function (NCF) of patients have thus far not been clarified fully. Cognitive disruption by gliomas can be similar for tumors in quite different brain regions, suggesting that network dysfunction may be caused by more than just the structural nuance of the tumor mass.<sup>9</sup> In fact, accumulating evidence demonstrates that brain tumors induce an array of metabolic changes in their environment that potentially influence neuronal signaling, which, in turn, impairs NCF.<sup>10</sup>

Given its central role in quality of life, preservation of NCF has become a primary goal in therapy. However, to predict cognitive functioning *after* treatment, it is important to quantify patients' *preoperative* NCF, and to identify which factors influence cognitive functioning. At present, NCF in glioma patients has primarily been studied post-operatively, and data about the role of the tumor itself on neurocognitive status are scarce.<sup>8,11-14</sup>

To help fill this gap, we performed a retrospective study to evaluate the incidence of neurocognitive deficits in glioma patients before surgery, chemotherapy, or radiotherapy. We also investigated the role of patient-related and (mechanical or biomolecular) tumor-related factors in neurocognitive dysfunction.

## Methods

### Design

We performed a single-center retrospective study in a cohort of treatment-naïve diffuse glioma patients who underwent neuropsychological testing as part of their preoperative work-up for awake brain surgery between 2010 and 2016.

In the study sample, we studied overall NCF as well as domain-specific NCF for 5 main neurocognitive domains. We also studied the influence of age, sex, tumor grade, tumor histology, isocitrate dehydrogenase (*IDH*) mutation, tumor lateralization, tumor volume, and tumor location on pretreatment NCF in diffuse glioma patients. We used the STROBE (Strengthening the Reporting of Observational Studies in Epidemiology) criteria (Appendix 1) in the drafting of this manuscript.

### Participants

Data were obtained between January 2010 and December 2016 from a database of consecutive patients with

diffuse gliomas at the University Medical Center in Utrecht (UMCU) who underwent awake neurosurgery. All patients who underwent awake brain tumor surgery also underwent preoperative neuropsychological assessment, consisting of an elaborate neuropsychological test battery (except for patients with centrally located tumors, who underwent only pure motor function testing). Inclusion criteria for this study were the presence of a diffuse glioma according to the 2007 WHO criteria and a minimum age of 18 years. All patients were operated on in awake condition. Exclusion criteria were any form of tumor-directed treatment (operation [except biopsy before resection], chemotherapy, radiotherapy) before neuropsychological assessment and incomplete neuropsychological assessment (due to emergency surgery of tumors located in the motor strip, for instance). Data were considered complete if more than 50% of tasks within 1 domain were performed and if data on at least 4 out of 5 different domains (80%) were obtained. If not, neuropsychological assessment was considered insufficient and patients were excluded from further analysis (Supplementary Fig. 1). The UMCU institutional ethical review board approved the study; informed consent was not obtained for this observational study on data that were obtained as part of routine clinical care.

### Neuropsychological Tests

The neuropsychological instruments that were used as part of our routine clinical care are listed in Table 1. These tests are internationally widely used, standardized psychometric instruments for assessing neurocognitive deficits in the major neurocognitive domains. All tests have normative data that take into account age and, when appropriate, educational level and sex. Neuropsychological tests often tap more than 1 cognitive domain, and classification into cognitive domains often varies in the literature. We made use of a predetermined test classification based on the available literature and local experience (Table 1). Owing to time or patient's participation constraints, the selection of tests was sometimes tailored to the patient, depending on the patient's complaints, or on tumor location.

### Procedure/Data Extraction

The neuropsychological evaluation was conducted shortly (1-7 days) before the awake brain tumor surgery. All evaluations were conducted under optimal testing conditions, with use of standardized test instructions. The neuropsychological evaluation took most patients approximately 2 hours to complete. At least 1 break was always inserted halfway to make the test battery less exhausting for the patient. Each neuropsychological test was scored according to standardized scoring criteria. For normative comparisons the uncorrected scores were transformed into Z-scores based on the mean and SD of control individuals derived from published norm data.

All neuropsychological data were prospectively collected between 2010 and 2016 in a database. We further extracted data on patient characteristics from the electronic patient file for all awake and nonawake operated on glioma patients in this period. Data included sex, age at first

**Table 1** Neuropsychological Tasks per Domain

Attention and Executive Functioning
Wechsler Adult Intelligence Scale (WAIS-III) Digit Span Forward <sup>a</sup>
Trail Making Test (TMT) Switching ratio (TMTB/TMTA) <sup>b</sup>
Phonologic Fluency <sup>c</sup>
Stroop/Delis Kaplan Executive Function System (DKEFS) inhibition ratio <sup>d</sup>
Memory
Wechsler Adult Intelligence Scale (WAIS-III) Digit Span Backward
RAVLT-Dutch version immediate, delay, recognition <sup>e</sup>
Rey-Osterieth Complex Figure Test (ROCF) delay <sup>f</sup>
Semantic Fluency <sup>g</sup>
Language
Boston Naming Test <sup>h</sup>
Token Test short version of AAT <sup>i</sup>
Visuospatial Functioning
Benton Judgment of Line Orientation (JULO) <sup>j</sup>
ROCF direct
Psychomotor Speed
Stroop/DKEFS I
Stroop/DKEFS II
TMTA

**Abbreviations:** AAT, Aachen aphasia test; RAVLT, Rey Auditory Verbal Learning Test; TMTA, Trail Making Test part A; TMTB, Trail Making Test part B;

<sup>a</sup>Wechsler Adult Intelligence Scale Third Edition Digit Span (WAIS-III) (WAIS-III Administration and scoring manual, 1997), Wechsler Adult Intelligence Scale Fourth Edition Digit Span (WAIS-IV) (WAIS-IV-NL Technische handleiding, 2013).

<sup>b</sup>Giovagnoli, Del Pesce, Mascheroni, Simoncelli, Laiacona, Capitani, 1996.

<sup>c</sup>Phonologic Verbal Fluency Test (Lexical Fluency) (Harrison, Buxton, Husain, Wise, 2010; Schmand, Groenink, Van Den Dungen, 2008).

<sup>d</sup>The Stroop Color and Word Test (Stroop) (MacLeod, 1991), Color Word Interference Test (Benton, Sivan, Hamsher, Varney, Spreen, 1994).

<sup>e</sup>15 Words Test (15WT) (Saan, Deelman, 1986).

<sup>f</sup>Berry, Carpenter, 1992; Spreen, Strauss, 1998.

<sup>g</sup>Semantic Verbal Fluency Test, Harrison et al, 2010.

<sup>h</sup>Boston Naming Task, Heesbeen, Van Loon-Vervoorn, 2001.

<sup>i</sup>Token Test, Boller, Vignolo, 1966.

<sup>j</sup>Benton, Sivan, Hamsher, Varney, Spreen, 1994; Benton, Varney, Hamsher, 1978.

surgery, histology, WHO grade, lateralization, glioma location based on MRI, KPS score, *IDH* mutation (*IDH1* R132H), occurrence of epileptic seizures, use of antiepileptic drugs (AEDs) and dexamethasone, and preoperative volume. In most cases *IDH* mutation was established by immunohistochemistry, and in a minority of cases additionally exon 4 DNA sequencing was performed. Methods of performing *IDH1* R132H immunohistochemistry and exon 4 DNA sequencing have been described previously.<sup>15</sup>

For each patient the preoperative MRI (both T2/fluid-attenuation inversion recovery [FLAIR] and T1 with gadolinium) was reviewed and data were acquired by a junior

clinical scientist (EvK) and reviewed by an experienced neuro-oncologist (TJS). Involvement of the following structures was registered for both hemispheres: frontal, parietal, temporal, occipital, hippocampus, insular, and multifocal involvement. Volumes were measured in 3 dimensions with use of Osirix Lite (v. 9.5.2) on T2-/FLAIR-weighted MRI scans, and the volume was defined as the whole area of hyperintensity. This represents the total lesion volume, including tumor and edema. Volumes were measured by a junior clinical scientist (EvK) and a neuro-oncological neurosurgeon (KMvB). Since this parameter is independent of enhancement (and thereby grade) of the lesion, it forms a widely usable representation of the extent of brain volume that is potentially hampered in its function.

## Analyses

We performed analyses with SPSS (IBM SPSS Statistics, 25.0.0). Because our study sample represents a subset of all patients with diffuse glioma, we first compared baseline tumor- and patient-related characteristics of the included patients (who underwent awake surgery) with patients who underwent glioma surgery under general anesthesia in the same period of time. By comparing age, sex, KPS score, tumor grade, tumor histology, tumor lateralization, and tumor location, we investigated whether our study sample was representative for the whole glioma patient population.

We performed analyses of data for different outcome measures of NCF:

1. *group-level*: comparison of mean NCF Z scores of the patient sample compared with control data or normative data for each domain ("domain level") and for overall NCF ("in any domain"); and
2. *individual patient-level*: percentage of patients with test performance below the threshold of impairment; this was calculated for each domain ("percentage impaired patients per domain") for different thresholds and overall neurocognitive dysfunction ("number of domains affected per patient").

## Group-Level Analyses

Individual patients' Z scores for given cognitive domains were calculated as the mean of the patients' Z scores derived from all tests in this domain. Based on these individual mean Z scores, group domain scores were calculated for the following neurocognitive domains: EF and Attention, Memory, Language, Visuospatial Functioning, and Psychomotor Speed. Furthermore, overall NCF was computed as the mean of the Z scores of all 5 neurocognitive domains.

We performed 1-sample T-tests to statistically test patient performances (mean of Z scores per domain) against norm performance (with the null hypothesis being Z = 0 meaning no difference between patients and expected norm performance) for (a) each neuropsychological domain, and (b) overall NCF. For the domains in which data were not normally distributed, we performed a nonparametric Wilcoxon-signed-rank test. Both for this analysis

and for the individual patient-level-analysis (below), we performed complete-case analyses and we did not impute for missing data because only a very small percentage of data was missing (as given in [Supplementary Table 1](#)). We performed subgroup analysis for low-grade glioma (LGG) and high-grade glioma (HGG) separately.

### Individual Patient-Level Analysis

To determine the percentage of impaired patients at domain level, we counted the number of *individual* patients with an impaired performance per domain. A patient was considered impaired in a given domain if the patient performed below  $-2$  SD on *any* of the administered tests within that domain. To identify severe as well as more-subtle abnormalities in NCF, we used different thresholds of  $-1$  SD,  $-1.5$  SD, and  $-2$  SD. For overall NCF, we determined the number of domains affected per patient for the different thresholds.

Logistic univariable and multivariable regression analyses were conducted to study the influence of age, sex, tumor grade, histology, tumor lateralization, tumor volume, *IDH* mutation, and tumor location on the 5 neurocognitive domains separately. We constructed a multivariable model with all the variables mentioned in univariable analyses ([Supplementary Table 2](#)) with a *P* value  $< .25$ . We then performed a backward selection procedure, repeatedly excluding the variable with the lowest *P* value until all variables in the model had a *P* value  $< .05$ . Because grade and *IDH* mutations interact too strongly, we did not analyze them together in the same model.

## Results

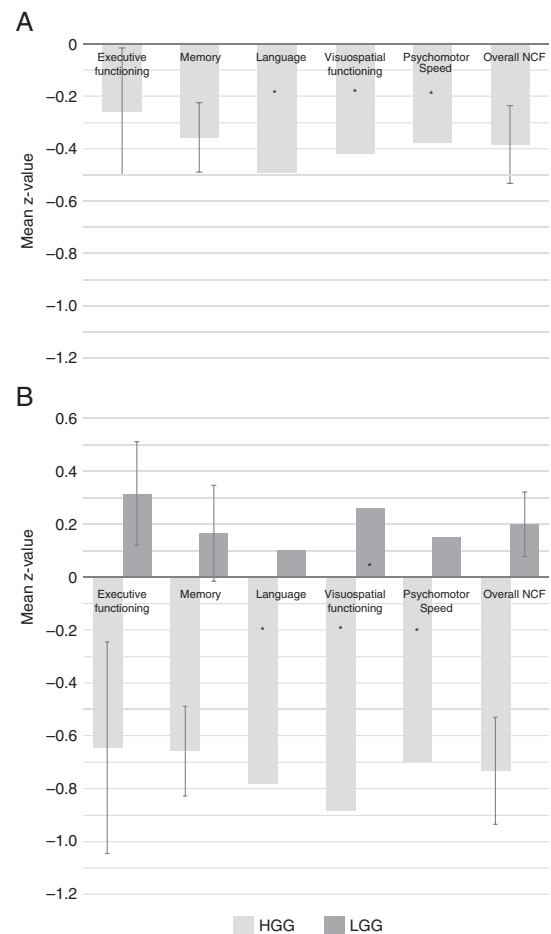
### Clinical Characteristics

Clinical characteristics are presented in [Table 2](#) by type of surgery (awake vs nonawake). In total 168 eligible patients underwent awake surgery, and 612 other diffuse glioma patients were operated on under general anesthesia between 2010 and 2016. The “awake” group and the general anesthesia group differed in various baseline characteristics, including, age, sex, KPS score, tumor grade, tumor histology, tumor lateralization, and brain regions involved, but not in the occurrence of epileptic seizures and use of AEDs. In the awake group, 39.3% received dexamethasone before surgery.

### Neurocognitive Data

#### *Neurocognitive functioning (group and individual level)*

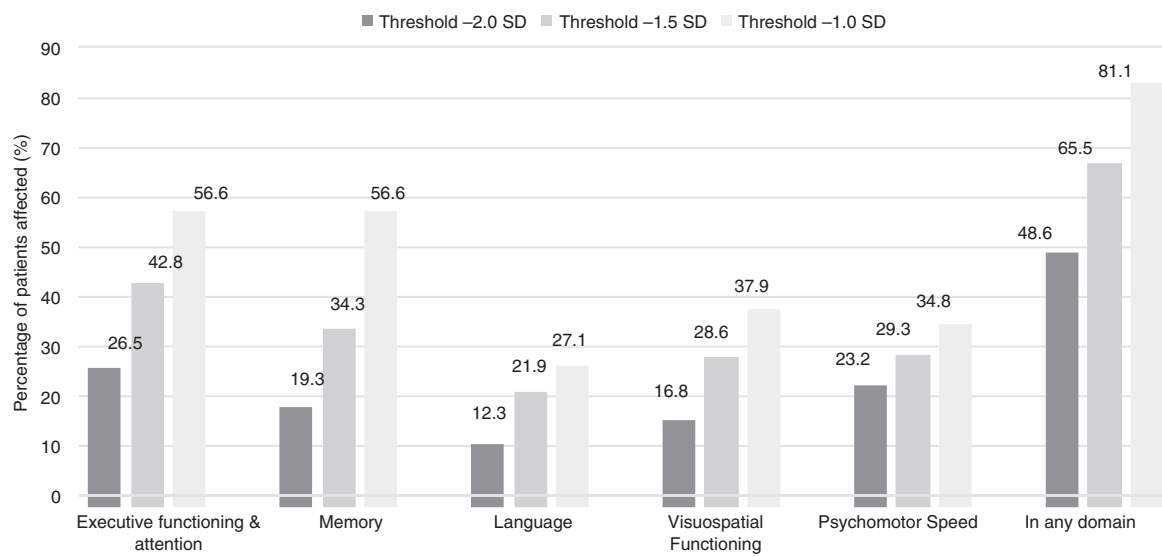
[Supplementary Fig. 1](#) shows a flowchart of the selection of our study sample. Results of NCF analyzed at the group level are shown in [Supplementary Table 1](#) and [Fig. 1](#). Patients’ NCF scores were significantly lower than norm data at the group level, with mean *Z* scores lower than 0 on all domains. Subgroup analyses for HGG patients showed decreased scores (significantly lower than 0) for all different domains. Notably, LGG subgroup analyses showed increased mean NCF scores for any domain.



**Fig. 1** Group-Level Analyses. Mean *Z* values per domain (error bars represent 95% confidence interval; these are not given for non-parametric test) with subgroup analyses for HGG and LGG. A, HGG and LGG; B, subgroup analyses for HGG and LGG. LGG indicates low-grade glioma, HGG, high-grade glioma; NCF, neurocognitive functioning.  $*P < .05$  on nonparametric test.

The proportion of individuals with a cognitive impairment at different thresholds is shown in [Fig. 2](#). The percentages of severe neurocognitive impairments ( $-2$ SD) were highest in EF and Attention, Psychomotor Speed, and Memory (26.5%, 23.2%, and 19.3%, respectively). The percentage of more-subtle deficits (threshold  $-1.5$ SD and  $-1$ SD) were highest in EF and Memory (42.8% and 34.3%, respectively, for  $-1.5$ SD and 56.6% in both domains for  $-1$ SD). The percentages of impaired patients ( $-2$ SD) differed significantly between LGG and HGG for all domains and are shown in [Table 3](#).

A closer look into the number of impaired domains per individual patient ([Fig. 3](#)) reveals that a severe impairment ( $-2$ SD) in at least 1 domain was found in 58.6% of patients. A more-subtle deficit in at least 1 domain was found in 65.5% and 81.1% for thresholds  $-1.5$  and  $-1$ SD, respectively. The number of domains (for threshold  $-2$ SD) that were affected per patient was also higher for HGG than for LGG, as shown in [Supplementary Fig. 2](#). None of the LGG patients had cognitive impairments in more than 3 domains.



**Fig. 2** Individual-Level Analyses. Percentage of impaired patients per domain for different thresholds.

The different number of tests performed (within a certain domain) between patients is a potential source of bias. To test whether such bias occurred, we performed a chi-squared test to estimate if the number of tests we performed was associated with the risk of impairment in the domain Memory. About 80% of patients underwent all 6 tests in this domain. Performing fewer than 6 tasks (2-5) was not of significant influence ( $P = .206$ ) on the risk of impairment in memory.

#### *Determinants of pretreatment neurocognitive functioning*

Univariable logistic regression analysis showed that age, histology (glioblastoma), WHO grade (IV), presence of an *IDH* mutation, and preoperative T2/FLAIR volume correlated significantly with the risk of impairment in all 5 different domains (Supplementary Table 2). Tumor location also correlated to some extent with NCF impairments dependent on the location. For the domains EF and Attention, Psychomotor Speed, and Memory, locations in the left hemisphere, but not in the right hemisphere, were associated with the risk of impairment. A posterior lobe location correlated with impaired Visuospatial Functioning, as compared with anterior lobes.

In multivariable logistic regression, the presence of an *IDH* mutation negatively correlated with the risk of impairments ( $-2SD$ ) in Memory, Psychomotor Speed, and Visuospatial Functioning, even when corrected for tumor volume (Table 4). *IDH*-mutated tumors also showed a trend for a lower risk of impairment in EF and language. EF showed the strongest association with tumor volume.

## Discussion

Impairments in NCF frequently occur in glioma patients. Treatment and the tumor itself contribute to these

impairments, but NCF has mostly been studied postoperatively to date. In our series of 168 patients, 1 in every 2 patients had severe impairments in cognitive functioning in at least 1 cognitive domain before *any* treatment was given. Taking more subtle impairments into account, only 18.9% of the patients were not affected. In other words, nearly all treatment-naïve glioma patients selected for awake surgery suffer from some degree of neurocognitive dysfunction.

In all domain-specific analyses, EF and Attention, Memory, and Psychomotor Speed appeared most frequently involved. These functions rely on widespread neural networks and can be altered by a mechanical conflict between the tumor and important nodes (hubs) or pathways of these networks.<sup>16,17</sup> Indeed, in our patients, location of the tumor was found to be of influence: Left hemispheric tumors were associated in univariable analyses with the occurrence of impairments in EF, Memory, and Language, and EF appeared to be most vulnerable for the effects of tumor volume. However, these associations did not remain significant in multivariable analysis, possibly because of the relatively small size of our samples. Nevertheless, another possibility is that other factors also mediate neurocognitive dysfunction. In addition to their “mechanic” effects, tumors can indeed induce biochemical changes in the brain parenchyma. Such changes can occur from a distance of the epicenter of the tumor, and can be the consequence of the tumor itself or of the host response to the tumor.<sup>18</sup> Also, cognitive dysfunction in breast cancer patients without a brain tumor is associated with degree of fatigue, suggesting that general factors also determine cognitive status.<sup>19</sup>

In our series of patients, *IDH* mutations were correlated with relatively good performance in Memory, Psychomotor Speed, and Visuospatial Functioning, independent of tumor volume. This supports the hypothesis that the biology of the tumor plays a role independent

**Table 2** Baseline Characteristics in Awake and Nonawake Patients

Determinants	Nonawake Surgery n = 612 (% of Nonawake) <sup>a</sup>	Awake Surgery n = 168 (% of Awake) <sup>a</sup>	P value for Pearson-Chi / t-test
<b>Age (Mean)</b>	61.4 y	51.5 y	<.0005
<b>Gender, Male</b>	361 (60.0)	114 (67.9)	.035
<b>Biopsy vs Resection, Biopsy Only</b>	211 (34.5)	0 (0)	<.0005
<b>Histology</b>			<.0005
Oligodendroglioma	16 (2.6)	8 (4.8)	
Astrocytoma	78 (12.8)	39 (23.2)	
Oligoastrocytoma	23 (3.8)	41 (24.4)	
Glioblastoma	493 (80.6)	78 (46.4)	
Ganglioglioma	1 (0.2)	2 (1.2)	
<b>WHO Grade</b>			<.0005
II	54 (8.8)	62 (36.9)	
III	65 (10.6)	29 (17.3)	
IV	493 (80.6)	77 (45.8)	
<b>Epileptic Seizure(S) Before Surgery</b>	231 (37.8)	61 (36.3)	.600
<b>Use of Antiepileptic Drugs Before Surgery</b>	211 (34.5)	58 (34.5)	.992
<b>KPS</b>			.003
0-60	57 (9.3)	11 (6.6)	
70 or more	307 (50.1)	157 (93.5)	
<b>Hemisphere Involvement on T2 (FLAIR) Measured</b>			<.0005
Left	210 (34.3)	118 (70.2)	
Right	270 (44.1)	44 (26.2)	
Both sided	133 (21.7)	6 (3.6)	
Left frontal (+)	229 (37.4)	85 (50.6)	.003
Left parietal (+)	148 (24.2)	41 (24.4)	.977
Left temporal (+)	151 (24.7)	62 (36.9)	.002
Left occipital (+)	60 (9.8)	21 (12.5)	.337
Left insula (+)	143 (23.4)	69 (41.1)	<.0005
Left hippocampus (+)	48 (7.8)	30 (17.9)	<.0005
Right frontal (+)	271 (44.3)	42 (25.0)	<.0005
Right parietal (+)	203 (33.2)	23 (13.7)	<.0005
Right temporal (+)	222 (36.3)	20 (11.9)	<.0005
Right occipital (+)	116 (20.0)	5 (3.0)	<.0005
Right insula (+)	212 (34.6)	27 (16.1)	<.0005
Right hippocampus (-)	87 (14.2)	3 (1.8)	<.0005
Multifocal (+)	75 (12.3)	3 (1.8)	<.0005

**Abbreviation:** FLAIR, fluid-attenuated inversion recovery.

<sup>a</sup>Percentages do not add up to 100% for certain variables because of missing values.

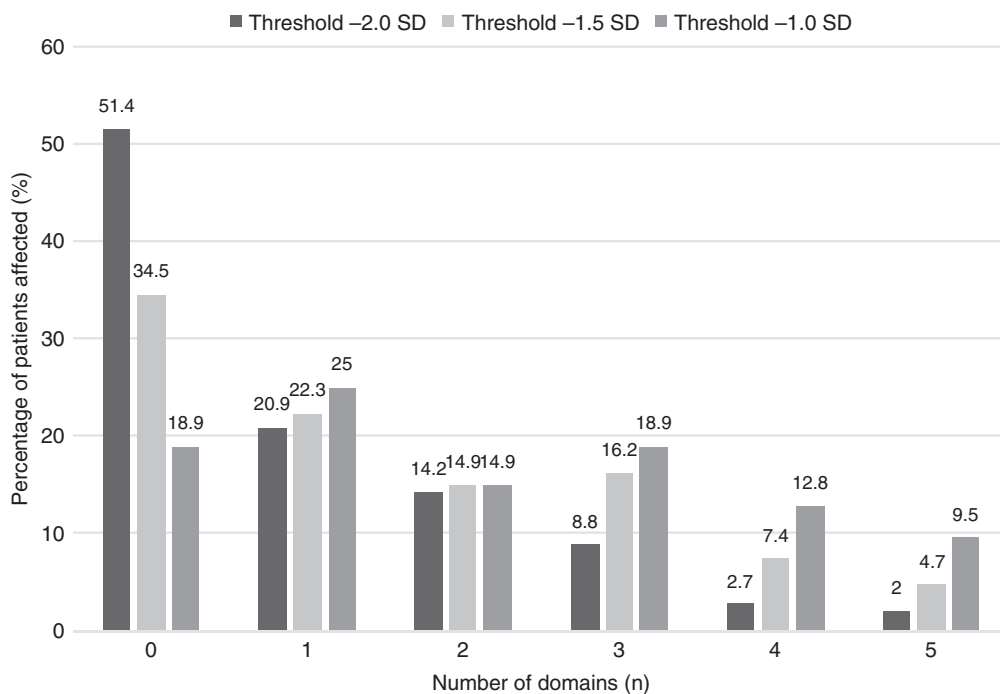
of the size and edema of the tumor. It is unlikely that the protective cognitive effect of *IDH* mutations is due to the gene's metabolic product. *IDH1* mutations result in the production of 2-hydroxyglutarate, the accumulation of which can cause neurodegeneration and cognitive decline, as in D-2-hydroxyglutaric aciduria, a neurometabolic disease caused by germline *IDH1* mutations.<sup>20-22</sup> It is more likely that the favorable cognitive profile in *IDH1*-mutated tumors is caused by associated molecular-genetic

characteristics in *IDH*-mutated tumors, which speculatively result in increased interaction with neighboring cells and associated metabolic changes, less growth velocity, and more additional plasticity of the surrounding nervous tissue. The latter explanation is supported by a recent study by Wefel et al, who found a complex interrelationship between patients' NCF, tumor growth velocity, and the presence or absence of an *IDH* mutation.<sup>18</sup> The suspected preferred location of *IDH*-mutated tumors for less-eloquent

**Table 3** Neurocognitive Functioning on Individual Level

Domain	Grade	Percentage Impaired Patients for $-2SD$	Relative Risk HGG vs LGG	95% Confidence Interval
Executive Functioning and Attention	LGG	11.5	3.1	1.7-10.2
	HGG	35.2		
Memory	LGG	3.3	8.7	2.7-51.4
	HGG	28.6		
Language	LGG	1.7	11.1	1.7-103.2
	HGG	18.8		
Visuospatial Functioning	LGG	6.6	3.5	1.4-13.0
	HGG	23		
Psychomotor Speed	LGG	6.6	5.0	2.4-21.0
	HGG	33.0		

**Abbreviations:** HGG, high-grade glioma; LGG, low-grade glioma.



**Fig. 3** Individual-Level Analyses. Percentage of patients in different amount of affected domains for different thresholds.

areas is another explanation for their more favorable cognitive profile.<sup>23</sup> Nevertheless, these differences in preferred locations can again be driven by the genetic profile of the tumor. Whether additional oncobiological and metabolic changes in the tumors can influence the NCF independent of tumor volume and location is matter for further research that could lead to better, NCF-oriented, therapies.

Because we established *IDH* mutation in most cases by immunohistochemistry, it is possible that we missed a

small proportion of *IDH* mutations, as immunohistochemistry determines only the presence of an *IDH1* R132H mutation. Based on the literature, patients with other *IDH1/2* mutations represent less than 10% of patients with an *IDH* mutation,<sup>24</sup> so less than 5.5% of our sample wherein an *IDH* mutation was found in approximately 50% of patients.

The problem of neurocognitive dysfunction in glioma is often considered to be most relevant in LGG patients, given their relatively long survival and (often) young

**Table 4** Multivariable Logistic Regression: Most Important Determinants of Influence on Cognitive Impairment (−2SD) for Specific Domains

Domain	Executive Functioning		Memory		Language		Visuospatial Functioning		Psychomotor Speed	
	OR (95% CI)	P Value	OR (95% CI)	P Value	OR (95% CI)	P Value	OR (95% CI)	P Value	OR (95% CI)	P Value
Volumes T2-FLAIR (mm <sup>3</sup> )	1.000012 (1.000006-1.000018)	<.0005	1.000011 (1.000004-1.000018)	.001	1.000009 (1.000001-1.000016)	.026	1.000005 (0.999998-1.000011)	.141	1.000005 (0.999998-1.000011)	.143
IDH-mutation	0.463 (0.192-1.117)	0.087	0.175(0.054-0.569)	0.004	0.360 (0.104-1.248)	0.107	0.185(0.063-0.542)	0.002	0.338 (0.138-0.827)	0.018

**Abbreviations:** FLAIR, fluid-attenuated inversion recovery; IDH, isocitrate dehydrogenase; OR, odds ratio.

age. For this reason, awake surgery is performed in LGG patients more frequently than in HGG patients. However, analyses for subgroups based on glioma grade showed that HGG patients suffered from cognitive impairments significantly more often than patients with LGG. The latter finding illustrates the importance of cognitive dysfunction in HGG, implying that awake surgery should also be considered for HGG to preserve NCF. An explanation for the higher degree of impairments in cognitive functioning in HGG can be growth velocity/lesion momentum of the tumor, specifically in *IDH* wild-type tumors.<sup>25</sup>

Although less severe than in HGG, patients with treatment-naïve LGG frequently exhibit subtle neurocognitive problems (threshold −1SD) that can still lead to significant problems in daily life and thereby be of influence on quality of life both of patients and their social environment.<sup>5</sup> Strikingly, we found mean NCF scores in LGG patients that were significantly higher than norm scores on the group level (for the different domains). This may be caused by the strong motivation that patients undergoing glioma surgery exhibit during neuropsychological testing, which may exceed the motivation of “normal healthy controls.”<sup>26</sup> This explanation would imply that the cognitive problems we found in the HGG patients may even be an underestimation.

Limitations of our study should be mentioned. Most important, selection bias might have played a role, because only patients undergoing awake surgery were included in our study sample. For this reason we compared baseline tumor- and patient-related characteristics of the included patients with patients who underwent glioma surgery under general anesthesia in the same period of time. Results of this comparison showed that the awake patients are a specific group of patients with relatively good clinical performance and they are often selected based on the localization of the tumor. In addition, the percentage of LGG patients is higher in the group of awake surgery patients than in the total glioma population. The selection of patients for awake operation who are not too severely affected probably caused an underestimation of the cognitive problems in the complete spectrum of glioma patients at the population level. This specifically is the case for location-independent domains, such as Psychomotor Speed, EF, and Attention; the latter domains are less often monitored during awake surgery. On the other hand, this selection for awake patients with tumors in more-eloquent areas could have led to an overestimation of neurocognitive deficits in location-dependent domains. However, as shown in Table 2, a significant proportion of our patients had tumor involvement of the right hemisphere, making our data unique in this field of research. Furthermore, our results especially included problems in location-independent domains, making an overestimation in domains such as language less likely.

A possible source of bias is the selective loss of patients who had insufficient neuropsychological data to perform analyses on. The reason for having insufficient data was often emergency surgery in case of rapid clinical decline, so this could have led to an underestimation of neurocognitive problems. We could also not rule out an effect of medication (AEDs and dexamethasone) fully. However, the use of AEDs and dexamethasone are consequences of the tumor and thereby predictors, instead of baseline factors with a possible causal relation with cognitive problems. Besides



this, AED and dexamethasone use interfere too strongly with grade (and thereby *IDH* mutation), making it difficult to distinguish their effects in multivariable analyses.

We decided to group tasks by their conceptual background (“domain”) to enhance power; analyses per task would add up to an undesirable number of analyses and could potentially obscure findings for the overarching cognitive domain. The question of which cognitive concept (or domain) is best represented by a specific task is always complicated since intrinsically more than 1 concept is tapped in any task. However, neuropsychologists do share common ground in the categorization of tasks across domains.<sup>27–29</sup> The affected domains apparently differed between our group-level and individual-level analyses. This is likely because in individual-level analysis, we considered the domain affected as a whole when a patient showed impairment-level scores on *any single* test within that domain. For this reason an effect of the amount of tests within 1 domain on our outcome measure (at the individual level) cannot be ruled out. However, in our analysis for the domain Memory, we found no association between the amount of tests performed and the percentage of impairment, which argues against the existence of such an effect.

Overall, most of the abovementioned limitations may lead to an underestimation of the degree of cognitive dysfunction at the individual patient level and group level per domain. Keeping this possible underestimation in mind, our data show that neurocognitive dysfunction is very common in patients with a diffuse glioma prior to surgery or other antitumor treatment, underscoring the importance of quantifying pretreatment NCF in glioma patients.

These findings support the hypothesis that the tumor itself contributes significantly to neurocognitive dysfunction in diffuse glioma (prior to antimitotic treatment) and that tumor biology might play a role in causing widespread disturbances in functional cerebral networks. Deeper knowledge of the degree and origins of tumor-related cognitive dysfunction will likely facilitate the development of new strategies for treatment and rehabilitation.

## Supplementary material

Supplementary material is available online at *Neuro-Oncology* (<http://neuro-oncology.oxfordjournals.org/>).

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