# Extracellular HCV-Core Protein Induces an Immature Regulatory Phenotype in NK Cells: Implications for Outcome of Acute Infection



# Lucy Golden-Mason<sup>1</sup>, Young S. Hahn<sup>2</sup>, Michael Strong<sup>3</sup>, Linling Cheng<sup>1</sup>, Hugo R. Rosen<sup>1,4</sup>\*

1 Division of Gastroenterology & Hepatology & Hepatitis C Center, University of Colorado, Aurora, Colorado, United States of America, 2 Beirne Carter Center for Immunology Research, Department of Microbiology & Pathology, University of Virginia, Charlottesville, Virginia, United States of America, 3 Bioinformatics, Department of Medicine, University of Colorado, Aurora, Colorado, United States of America, 4 Denver Veterans Affairs (VA), Denver, Colorado, United States of America

# Abstract

**Background:** Hepatitis C viral (HCV) proteins, including core, demonstrate immuno-modulatory properties; however, the effect of extracellular core on natural killer (NK) cells has not previously been investigated.

*Aims:* To characterise NKs in acute HCV infection over time, and, to examine the effect of exogenous HCV-core protein on NK cell phenotype and function.

*Methods:* Acute HCV patients (n = 22), including 10 subjects who spontaneously recovered, were prospectively studied. Flow-cytometry was used to measure natural cytotoxicity and to phenotype NKs directly *ex vivo* and after culture with HCV-core protein. Microarray analysis was used to identify pathways involved in the NK cell response to exogenous HCV-core.

**Results:** Direct *ex vivo* analysis demonstrated an increased frequency of immature/regulatory CD56<sup>bright</sup> NKs early in acute HCV infection *per se* which normalized with viral clearance. Natural cytotoxicity was reduced and did not recover after viral clearance. There was a statistically significant correlation between the frequency of CD56<sup>bright</sup> NKs and circulating serum levels of HCV core protein. *In vitro* culture of purified CD56<sup>bright</sup> NK cells with HCV-core protein in the presence of IL-15 maintained a significant proportion of NKs in the CD56<sup>bright</sup> state. The *in vitro* effect of core closely correlates with NK characteristics measured directly *ex vivo* in acute HCV infection. Pathway analysis suggests that HCV-core protein attenuates NK interferon type I responses.

*Conclusions:* Our data suggest that HCV-core protein alters NK cell maturation and may influence the outcome of acute infection.

Citation: Golden-Mason L, Hahn YS, Strong M, Cheng L, Rosen HR (2014) Extracellular HCV-Core Protein Induces an Immature Regulatory Phenotype in NK Cells: Implications for Outcome of Acute Infection. PLoS ONE 9(7): e103219. doi:10.1371/journal.pone.0103219

Editor: Ranjit Ray, Saint Louis University, United States of America

Received March 11, 2014; Accepted June 27, 2014; Published July 30, 2014

**Copyright:** © 2014 Golden-Mason et al. This is an open-access article distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

Data Availability: The authors confirm that all data underlying the findings are fully available without restriction. All relevant data are within the paper and its Supporting Information files.

Funding: This work was supported by U19 A 1066328 (HCV center grant, HRR and YSH). The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

Competing Interests: The authors have declared that no competing interests exist.

\* Email: Hugo.Rosen@UCHSC.edu

## Introduction

Hepatitis C viral (HCV) infection is known for its high propensity to establish persistent infection [1]. Despite the advent of highly effective direct anti-viral treatment options, complications of cirrhosis related to chronic HCV will continue to increase [2]. The host immune response early in HCV infection is thought to determine subsequent outcome [3], suggesting an important role for innate immunity in viral elimination either directly, preventing establishment of infection, or indirectly, through priming of antigen-specific adaptive immune mechanisms [4].

Natural killer (NK) cells provide a major component of the innate antiviral immune response through recognition and killing of virally infected cells and induction of appropriate T cell responses [5–7] [8,9]. Recent studies have highlighted important

roles for NK cells in immunity against hepatotrophic viruses including HCV [10]. Several studies suggest that defective NK cell responses contribute to chronic HCV persistence [11–18]. It is not clear if HCV-related NK cell defects are simply a reflection of chronic inflammation in the setting of long-term antigen exposure as little is known of NKs in the acute setting and studies to date have yielded conflicting results [19–24].

In humans, relative expression of CD56 identifies functionally distinct immature/regulatory (CD56<sup>bright</sup>) and effector (CD56<sup>dim</sup>) NK cell subsets [8,25]. An increased proportion of the CD56<sup>bright</sup> NK subset has been reported in patients with chronic [15,26,27] and acute [23] HCV infection. NK cell activity is stringently controlled by inhibitory NK receptors (NKRs) which override signals provided by engagement of activating receptors [28]. NKRs include the predominantly inhibitory killer immunoglob-

ulin-like receptors (KIR); C-type lectin-like receptors of the CD94/NKG2 family comprising inhibitory (NKG2A) and activatory (NKG2C/D) isoforms, as well as the natural cytotoxicity receptors (NCRs) such as NKp30, NKp44 and NKp46 receptors that deliver activatory signals [28,29]. Dysregulation of NKR expression has been implicated in chronic viral persistence [15– 17,30], however, little is known of NKR expression on NK cells in acute HCV infection and these studies have produced conflicting results [22–24]. Studies to date suggest direct involvement of NKs in the acute phase of HCV infection; NK cell activation and phenotypic alterations have clearly been demonstrated [22–24]. Activation of NK cells early in HCV infection may favor induction and priming of downstream T cell responses and HCV clearance [24,31].

Evidence for NK cell involvement in determining the outcome of HCV infection comes from studies that have attributed successful IFN- $\alpha$  therapy to rescue of NK cell function in patients chronically infected with HCV [11,21,32–34]. In addition, NK cell activity can be directly inhibited by HCV envelope [35,36] and indirectly by core proteins [37,38], suggesting that HCV immune evasion strategies may specifically target this cell population. The presence of circulating core in HCV-infected patients [39] makes it a good candidate for suppression of immune cells, which may not be directly infected, an interaction known to inhibit T cell responses [40]. Indeed, HCV-core protein has been shown to hamper dendritic cell (DC) maturation [39], directly suppress T cell activation [41] and to inhibit NK cell cytotoxicity indirectly through up-regulation and stabilization of MHC Class I molecules [37,38].

Taken together, these studies strongly suggest that failure to eliminate HCV and development of a chronic course of infection may result from impaired NK cell level or function, furthermore, that HCV-core protein may be involved in NK cell inhibition. In the present study we explore the hypothesis that activation of peripheral NK cell populations is important for early control of virus and thus would predict outcome of acute HCV infection. In our cohort of patients acutely infected with HCV, we examine the levels, phenotype and function of NK cells, early and late in the course of acute infection, directly *ex vivo* and their relationship to subsequent outcome of viral infection and circulating levels of HCV-core. We also comprehensively explore the direct effect of extracellular core protein on NK cells.

### Results

# Levels of NK subsets in acute HCV infection

Multi parameter flow cytometric analysis was used to determine if the levels of peripheral NK (CD56<sup>pos</sup>CD3<sup>neg</sup>) cells (Figure 1A) were altered in chronically evolving and/or spontaneously resolving acute HCV infection. As shown in Figure 1B, levels of NK cells at time of enrollment were similar to those detected in normal uninfected controls irrespective of subsequent outcome. In contrast, analysis of CD56<sup>bright</sup> immature/regulatory and CD56<sup>dim</sup> effector NK subsets revealed a significant increase in the immature/regulatory subset early in acute infection independent of subsequent outcome. Immature/regulatory NKs returned to normal levels in the spontaneously resolving but remained elevated in the chronically evolving patient group at six and twelve months post enrollment (Figure 1C). To assess if the increased proportion of CD56<sup>bright</sup> NK cells was due to activation-induced expression of CD56 on the CD16<sup>+</sup>CD56<sup>dim</sup> effector population, we analyzed the proportion of CD56<sup>bright</sup> cells in the CD16<sup>+</sup> NK cell subset. Patients and controls had similar levels of CD56<sup>bright</sup> NK cells within the CD16<sup>+</sup> NK cell population at all time-points



O Acute HCV ⇒ Resolved

**Figure 1. Frequency of NK cells and the immature/regulatory CD56**<sup>bright</sup> **subset in acute HCV infection. A)** Multi-parameter flow cytometric analysis was used to determine if the levels of peripheral NK cells (CD56<sup>+</sup>CD3<sup>-</sup>) were altered in acute HCV infection. **B)** Levels of total NK cells at time of enrollment and at six and twelve month follow up time points are similar to those detected in normal uninfected controls irrespective of subsequent clinical outcome. **C)** In contrast, an increase in the proportion of NK cells displaying a CD56<sup>bright</sup> immature/ regulatory phenotype was observed at baseline in acute HCV infection *per se* with a trend that was more pronounced in chronically evolving infection compared to patients who subsequently resolved infection.

The relatively high proportion of NK cells with an immature/regulatory phenotype was maintained in the chronically evolving cohort and returned to normal levels in the spontaneously resolving patient group at six and twelve months post enrollment. \* p<0.05 chronic versus resolved; † p<0.05 versus uninfected control (MWU). doi:10.1371/journal.pone.0103219.g001

(data not shown). These results suggest that the increase in  $\text{CD56}^{\text{bright}}$  NKs represents a true increase in the immature/ regulatory subset and are consistent with the observation that the  $\text{CD56}^{\text{bright}}/\text{CD56}^{\text{dim}}$  ratio is perturbed in chronic [15,20,26] and acute [23] HCV.

#### Phenotype of NK cells in acutely infected patients

Chronic viral infections including HCV can modulate expression of inhibitory and activating NKRs which control the activity of NK cells [16,27,30,42]. However, little is known of the expression of these receptors in the acute setting; therefore, we examined the phenotype of NK cells in our patient cohort to determine whether altered NKR expression was associated with outcome of acute HCV infection. While the pattern of TRAIL, Fas (CD95) and Fas-Ligand (CD95-L) expression on NK cells (Figure 2A and 2B) suggest activation of NK cells in the acute phase of HCV infection per se, the phenotype of NK cells in the spontaneously resolving patient cohort was remarkably similar to the chronically evolving patient group (Table 1). Although our analysis did not reveal a distinctive pattern of NKR expression that predicted outcome, differential CD16 expression later (6 months post enrollment) in acute infection (Figure 2C) is consistent with sustained increases in the CD56<sup>bright</sup> NK cell subset in chronically evolving HCV (Figure 1C). Lower levels of CD158B (KIR) in the spontaneously resolving cohort at baseline (Figure 2D) lends support to the hypothesis that genetically controlled inhibitory NK cell expression plays a role determining antiviral immunity and that diminished inhibitory responses may contribute to protection against HCV [43].

#### Cytolytic activity of NK cells in acutely infected patients

We have previously reported that the IL-2 induced lymphokine activated killing (LAK) activity of isolated  $CD56^{pos}$  T cells is compromised in acute HCV patients who subsequently develop persistent infection but not in patients who spontaneously clear their infection [44]. In this study, we examine the capacity of NK cells to kill NK-sensitive target cells (K562s) in the absence of cytokine stimulation (natural cytotoxicity). Natural cytotoxicity is decreased in both the spontaneously resolving and chronically evolving HCV-infected patient groups compared to normal uninfected controls at baseline, and surprisingly, does not return to normal levels early (6 months post enrollment) after viral clearance (**Figure 3**).

# Effect of HCV-core protein on NK cells: phenotype and gene array

Having shown that acute infection was associated with an increased proportion of NK cells demonstrating an immature/regulatory phenotype (CD56<sup>bright</sup>) when analyzed directly *ex vivo*, we explored the possibility that specific HCV proteins might be involved. The direct effect of extracellular core on NK cells has not previously been investigated although HCV-core protein inhibition of NK cells through effects on MHC Class I have been reported [37,38]. We therefore asked if circulating core contributed to the altered properties of NK cells that we had observed in our acutely infected patient cohort. We measured HCV-core protein levels in serum and assessed the effect of extracellular core

on CD56 expression on the CD56<sup>bright</sup> NK cell subset. As expected, core protein was detectable in serum from the acutely infected patient cohort at baseline (Figure 4A), and levels of circulating core protein correlated strongly with viral load. Remarkably, a correlation between circulating HCV-core levels and the proportion of peripheral NK cells with a  $\mathrm{CD56}^{\mathrm{bright}}$ immature/regulatory phenotype was also demonstrated (Figure 4B). We therefore FACS-sorted normal control peripheral NK cells into CD56<sup>bright</sup> and CD56<sup>dim</sup> sub-populations and assessed the effect of extracellular core on NKR and CD56 expression following stimulation with IL-15. Incubation with extracellular core protein maintained a significant proportion of the CD56<sup>bright</sup> NK cell subset in an immature/regulatory phenotype compared to control protein (Figure 4C); however, it had no significant effect on NKR expression in the CD56<sup>dim</sup> effector population (data not shown).

In order to comprehensively explore the effect of HCV-core protein on NK cells, we performed microarray on HCV-coretreated NK cells. Microarray analysis revealed differential expression among 121 genes, with a p-value less that 0.05 and greater than 2 fold change (Table S1). Remarkably, of these 121 differentially expressed genes, 115 genes were up-regulated and 6 were down-regulated in response to HCV-core stimulation. Among the most significantly up-regulated proteins, C8B, the complement component 8 protein, was up-regulated over 5 fold during HCV-core stimulation. In order to examine the differentially-expressed genes within the context of protein networks, we utilized Ingenuity Pathway Analysis (IPA). The highest scoring IPA network contained connections among 20 of the differentially expressed genes (Figure 5). Most of these genes are up-regulated in response to HCV-core protein, as indicated in green, aside from the Interferon alpha 16 (IFN-a16) cytokine which is downregulated, as indicated in red. One of the central nodes, represented as IFN- $\alpha$ , represents a large class of IFN- $\alpha$  proteins, is indicated in red here due to the down-regulation of the IFN-al6 class member, the only member to exhibit differential expression. Connections between genes in this network are established by a variety of methods including co-citation in the literature, protein interactions, pathway members, activation interactions, and other biological relationships as assigned by IPA. Other members of the network include the cytotoxic T lymphocyte associated protein CTLA4, the cytochrome P450 proteins CYP2E1 and CYP3A4, the potassium voltage-gated proteins KCNA1 and KCNB2, and the cell surface receptor CD200R1. Down-regulation of the IFN- $\alpha 16$  gene in response to HCV-core was confirmed by PCR (n = 4, data not shown). These data suggest that HCV-core protein attenuates NK interferon type I responses.

#### Discussion

Observations in chronic HCV infection for the most part suggest that long-term exposure to virus alters the phenotype and behavior of NK cells [12,13] [15–18] [26] [27] [30] [43]. In the acute phase of infection, NK cells are activated although the precise role they play is unclear, as studies have provided conflicting data on the phenotype and function of NK cells in acute HCV infection [19–24]. In the present study, we have characterized NK cell level, subset distribution, phenotype and function both early (baseline) and late (6 and 12 months post enrollment) in acute HCV to evaluate their possible role in determining subsequent outcome of infection.

We demonstrate the overall levels of NK cells are not affected by acute HCV infection. A significant alteration in NK cell subset distribution has previously been reported for chronic [15,26] [27],



**Figure 2. Phenotype of NK cells in acute HCV infection.** Multi-parameter flow cytometric analysis was used to determine if the phenotype of NK cells was altered in acute HCV infection. Upregulation of Fas (**A**) and downregulation of Fas-Ligand (**B**) suggest activation of NK cells in acute HCV infection. Fas remains upregulated even after viral clearance. The decrease observed for NK CD16 expression in the chronically evolving patient group at month 6 (**C**) is consistent with altered NK subset distribution. Decreased expression of the inhibitory KIR CD158B (**D**) in the spontaneously resolving group at baseline suggests a genetic contribution to the outcome of acute HCV infection. \* p<0.05 chronic versus resolved; † p<0.05 versus uninfected control (MWU) Abbreviations: NC = normal control; M = month. doi:10.1371/journal.pone.0103219.q002

and acute [23] HCV infection. Our data show that expansion in immature/regulatory CD56<sup>bright</sup> NK cells is an early event in acute HCV infection which normalizes upon viral clearance. We also show that NKR expression on NK cells was remarkably stable. Subtle changes in the pattern of NKR expression are suggestive of activation of this population upon encounter with the virus; however, distinctive patterns do not correlate with development of persistent infection or spontaneous viral clearance. Phenotypic alterations of NK cells in acute HCV infection have been reported but are difficult to interpret. Amadei et al., observed an increased expression of NKG2D on NK cells, irrespective of the outcome, as compared with healthy controls which is consistent with activation [23]. Alter et al., showed that NK cells from acute infected patients demonstrated lower frequencies of NKp46- and NKp30-expressing NK cells and these lower levels correlated with HCV clearance [22]. This finding is somewhat counterintuitive as high levels of NKp30 [45] and NKp46 [19] expression have recently been associated with protection against HCV infection in exposed uninfected individuals and NKp46 expression correlates with anti-HCV activity in vitro [42,46,47].

The authors suggest that activation-induced down-regulation of NCRs may account for the diminished percentage of NK cells expressing NKp46 and NKp30 in patients who resolve acute infection and may reflect that early NK cell activation results in the onset of an effective innate immune response that participates in viral clearance [22]. In our study, we observed a relative increase in the stimulatory NKp30 NCR in the later stages of acute infection (6 and 12 months after enrollment) in the chronically evolving relative to the spontaneously resolving patient cohort which is consistent with continued activation of NK cells in the presence of virus. We did not find these NCRs to be significantly differentially expressed on NK cells compared to normal controls either in the early or late stages of acute HCV infection. It is possible that the peripheral NK cell population is responding continually to chronic inflammation and constantly in flux. Once a KIR gene is expressed by a T cell clone or NK cell, it is stably maintained in the progeny of these cells [48]. At baseline, the acute patient cohort who subsequently resolve their infection have significantly lower levels of inhibitory CD158B expressing NK cells suggesting that diminished inhibitory responses upon

NKR	Normal (n = 10)	M0 Ch (n=12)	M0 Re (n=9)	M6 Ch (n=12)	M6 Re (n=10)	M12 Ch (n=11)	M12 Re (n=7)
CD158A	13.85 (5.55–31.53)	14.44 (1.65–41.81)	12.89 (0.10–26.21)	15.19 (1.53–25.02)	12.81 (0.18–23.37)	12.634 (0.96–27.3)	19.12 (0.02–24.08
CD158E	13.23 (0-22.76)	16.14 (0–38.92)	6.73 (0–19.86)	15.83 (0-37.62)	12.66 (0-22.41	17.26 (0-38.77)	7.89 (0–12.33)
NKG2A	50.02 (26.78–66.15)	52.31 (33.91–81.67)	56.31 (33.91–81.67)	50.58 (29.23–88.27)	45.72 (37.08–74.33)	50.06 (30.33-83.42)	47.87 (40.20–73.03)
NKG2C	11.22 (2.44–41.33)	7.14 (2.79–13.83)	7.7 (4.07–12.23)	8.61 (2.94–12.33)	8.46 (3.86–17.28)	6.42 (2.3–12.07)	6.21 (3.74–25.12)
NKG2D	97.96 (91.22–99.37)	94.27 (63.62–98.38)	93.03 (49.48–98.71)	93.38 (77.75–98.94)	95.29 (83.24–98.12)	93.91 (75.9–97.98)	95.4 (82.05–98.28)
NKp30	53.96 (42.03-84.72)	66.43 (31.27–83.59)	59.62 (38.33–74.89)	66.01 (39.74–89.84)*	55.45 (33.98–73.5)*	58.97 (35.55–83.21)*	46.78 (36.79–56.24)*
NKp44	6.07 (4.53–15.02)	5.81 (2.19–23.16)	4.92 (1.27–25.81)	5.29 (2.61–9.99)	5.64 (1.59–21.66)	4.44 (2.21–26.31)	3.72 (1.15–13.78)
NKp46	90.03 (73.21–96.71)	79.5 (56.6–96.48)	84.64 (75.62–94.32)	81.05 (31.63–97.34)	84.42 (65.19–94.04)	76.33 (56.66–94.06)	87.08 (61.45–92.99)
TRAIL	2.2 (0.6–7.07)	4.07 (2.11–12.56)†	3.17 (1.16–4.9)	5.41 (1.48–15.27)†	3.31 (1.5–8.46)†	3.36 (1.87–7.45)†	5.19 (3.78–10.45)†
CD94	73.28 (36.9–87.83)	75.47 (47.34–95.37)	72.9 (64.04–88.98)	74.32 (53.03–91.52)	70.77 (59.94–87.04)	71.84 (57.69–90.95)	74.07 (40.34–85.75)
CD161	45.10 (13.92-87.5)	45.76 (17.35-80.52)	48.02 (17.6–62.22)	42.3 (34.23–72.79)	47.07 (21.21–72.76)	46.41 (11.09–78.63)	51.62 (26.69–72.74)
Abbreviations: doi:10.1371/jou	NKR = NK Receptor; M = Month; Jrnal.pone.0103219.t001	Ch = Chronic; Re = Resolved; ·	r = p<0.05 versus normal c	control; $* = p < 0.05$ Ch vs Re.			

initial encounter with HCV may contribute to protection against HCV and that these responses may in part be genetically controlled [43]. Further studies using well defined cohorts of patients with acute HCV infection are needed to define the contributions of individual NKRs to resolution.

While we observed altered NK cell subset distribution and subtle changes in NKR expression by NK cells in the acute phase of HCV infection that correlated with subsequent outcome of infection, these did not translate into dramatic functional consequences. Natural cytotoxicity (NC), cytolytic activity in the absence of cytokine activation, a property attributed to the CD56<sup>dim</sup> mature NK cell subset [8], was compromised at baseline in keeping with the increased proportion of immature/regulatory CD56<sup>bright</sup> NK cells at this time in the acutely infected patient populations. Other studies have reported increased or unchanged degranulation/cytotoxicity in acute infection [22-24]; these differences may be explained by the use of unfractionated peripheral blood mononuclear cells and different methods of activation. Of note, we did not see any differences in IL-15 stimulated killing activity in our patient cohort compared to controls (data not shown). Surprisingly, natural cytotoxicity did not recover upon viral clearance in the spontaneously resolving patient cohort although in this group NK cell subset distribution had returned to normal by that time. This suggests that altered subset distribution alone does not account for the reduction in NC and there may be sustained inhibition of the mature NK cell cytolytic activity. Taken together, these data suggest that acute HCV infection is associated with an accumulation of immature/ regulatory NK cells and impaired natural cytotoxicity. Work is ongoing to define if these functions are differentially restored with direct-acting antiviral therapy in patients with chronic HCV infection

The immunomodulatory properties of core [41] and its presence in the circulation of HCV-infected patients [39] makes it a good candidate for suppression of NK cells, which are likely not directly infected. We observed a direct correlation between the proportion of peripheral NK cells with a CD56<sup>bright</sup> immature/ regulatory phenotype in our patient cohort and quantities of circulating HCV-core. Morevoer, we demonstrate that in vitro incubation of CD56<sup>bright</sup> NK cells with exogenous HCV-core protein maintains the immature/regulatory CD56<sup>bright</sup> phenotype in a significant proportion of NK cells. HCV-core likely binds gC1qR expressed on NK cells as has been demonstrated for various innate and adaptive immune cell types [40,41,49]. We show for the first time a role for exogenous HCV-core in NK cell maturation. It is likely that exogenous HCV-core protein contributes to HCV immune evasion in a distinct manner from endogenously expressed or presented HCV-core protein [37,38,49]. In order to comprehensively explore the effect of HCV-core protein on NK cells, we performed microarray on HCV-core treated NK cells. The effects of extracellular core on isolated NK cells is subtle as evidenced by the relatively small number of genes that demonstrated a significant change compared to control treated cells. Remarkably, 115 of the 121 genes differentially expressed by HCV-core were upregulated and only 6 (<5%) were downregulated. Within the context of protein networks, we identified a network that contained 20 of the differentially expressed genes, all of which were upregulated with the exception of IFN-a16 suggesting that NK interferon type I responses may be negatively regulated by HCV-core protein.

In summary, NK cell subset distribution is perturbed early in acute HCV infection and returns to normal on viral clearance without normalization of natural cytotoxicity. Exogenous HCVcore protein may contribute to the maintenance of NK cells in the

Table 1. Phenotype of natural killer (NK) cells in acute infection over time.



Figure 3. Functional competence of NK cells in acute HCV infection. Natural Cytotoxicity of bead-purified NK cells is compromised both early (M0) and late (M6) in acute HCV infection irrespective of outcome. \* p<0.05 chronic versus resolved; † p<0.05 versus uninfected control (MWU) Abbreviations: NC = normal control; M = month. doi:10.1371/journal.pone.0103219.q003

CD56<sup>bright</sup> immature/regulatory state, likely through interaction with cell surface receptors including gC1qR, although the mechanism of HCV-core modulation of NK cells remains to be elucidated.

# **Materials and Methods**

# Ethics statement

The study protocol was approved by the Institutional Review Board at the University of Colorado Denver. Both written and oral consent was obtained before samples were collected.

#### Study population

The study group comprised acutely HCV-infected patients recruited from multiple sites. Acute HCV was diagnosed based on: HCV Ab seroconversion in a subject with previously negative HCV testing; seroconversion in a subject with new-onset risk factors and ALT greater than 10-fold normal; or HCV RNA positivity with HCV Ab negativity. Twenty two treatment naïve patients (12 male and 10 female; mean age 37 years) were recruited for the present study. The majority of patients were Caucasian (90.9%). Spontaneous viral resolution (n = 10) and chronicity (n = 12) were defined as the absence or presence of HCV RNA at 6 months post enrollment with at least two viral determinations. Seventy seven percent of patients had known genotype-1 infection. The predominant risk factor was intravenous drug usage (50%) followed by sexual transmission (18.17%), surgery (13.63%) and needle stick injuries (9.1%). For two of the patients (both of which developed chronic infection) the risk factor for HCV acquisition was unknown.

### Sample preparation and storage

Peripheral blood and serum were drawn from the acutely infected cohort at baseline and 6 and 12 months later. Peripheral blood was drawn at a single time point from normal controls. Peripheral blood mononuclear cells (PBMCs) were isolated by Ficoll (Amersham Biosciences, Piscataway, NJ) density gradient centrifugation and cryopreserved for subsequent analyses. Plasma preparation tubes (PPT tubes, BD Biosciences, San Jose, CA) were used to isolate plasma from whole blood, which was frozen and later thawed for viral load and genotype testing. HCV genotyping (LiPA) and viral level determination (HCV RNA 3.0 bDNA, lower limit 615 copies/ml) were performed by Bayer Reference Testing Laboratory (Berkeley, CA).

# Flow cytometric analysis of cell surface antigens

Four-color multiparameter flow cytometry was performed using a BD FACSCalibur instrument (BD Biosciences, San Jose, CA) compensated with single fluorochromes and analysed using CellQuest software (BD Biosciences). Flurochrome-labeled (FITC/PE/PerCP/APC) monoclonal antibodies (MAbs) specific for CD3, CD4, CD8, CD56, CD161, CD94, CD16, CD158A, CD158B, CD158E (NKB1), and NKG2D were purchased from BD Biosciences. Anti-NKG2C-PE and TRAIL-PE MAbs were supplied by R&D systems (Minneapolis, MN). Anti-NKG2A-PE, NKp30-PE, NKp44-PE, and NKp46-PE were obtained from Immunotech (Beckman Coulter, Fullerton, CA). PBMCs  $(2.5 \times 10^5)$ , were stained for cell surface antigen expression at 4°C in the dark for 30 minutes. Then, washed twice in 2 ml phosphate-buffered saline (PBS) containing 1% bovine serum albumin and 0.01% sodium azide (Facs Wash) and subsequently fixed in 200 µl of 1% paraformaldehyde (Sigma-Aldrich, St. Louis, MO). Isotype-matched fluorescently-labeled control antibodies were used to determine background levels of staining. Lymphocytes were identified by characteristic forward scatter (fsc) and side scatter (ssc) parameters and populations of interest were gated on positive staining for CD56 and negative staining for CD3 within the lymphocyte population (Figure 1A). Results are expressed as % positive of gated populations or as median fluorescent intensity (MFI) where appropriate.



**Figure 4. Circulating HCV-core levels in acute HCV infection and effect of extracellular core on NK cell CD56 expression.** Circulating HCV-core protein levels as measured by ELISA (**A**). As expected, core levels correlated directly with viral levels in HCV-infected patients. There was also a direct statistically significant correlation between core and the levels of  $CD56^{bright}$  NK cells in these patients. Multiple time points were used for chronic patients (**B**). Incubation of highly purified FACS sorted  $CD56^{bright}$  NK cells, from normal uninfected control subjects, in the presence of HCV-core or beta-galactosidase ( $\beta$ -Gal) control protein demonstrated inhibition of CD56 down-regulation by exogenous HCV-core protein. Histograms of CD56 expression levels after incubation are shown for four individual experiments (**C**). The first three histograms represent individual normal control subjects and the fourth histogram is derived from an experiment in which PBMCs from five normal uninfected control subjects were pooled prior to softing on CD56<sup>bright</sup> NK cells and incubation with HCV-core. doi:10.1371/journal.pone.0103219.g004

# Cytotoxicity assays

Thawed mononuclear cell suspensions were enriched for NK cells using the NK Isolation Kit II from Miltenyi Biotec (Gladbach, Germany) according to the manufacturer's instructions. Median purity of NK cells was >90% in all cases. Following isolation, NK cells were cultured with or without IL-15 (25ng/ml, R&D systems, Minneapolis, MN) for 48 hours at 37°C and 5%  $CO_2$ . Following culture carboxy fluorescein succinimidyl ester (CFSE) labeled target cells (K562s) were added to the NK populations at effector:target concentrations of 0:1 (negative control) and 10:1 and incubated at 37°C for 4 hours. Cytotoxicity was measured using the flow-cytometry based Total Cytotoxicity & Apoptosis Detection Kit from Immunochemistry (Bloomington, MN). Immediately before acquisition, 7-aminoactinomycin D (7-AAD) was added to effector:target populations and incubated for

15 minutes on ice. Cells treated with 0.1% Triton-X served as positive controls.

# HCV-core ELISA

Circulating HCV-core levels were quantified in serum collected at baseline (M0), and 6 (M6) and 12 months (M12) later from chronically evolving (n = 10) and spontaneously resolving (n = 8) acute HCV patients using the Ortho HCV-core Ag ELISA test kit (Wako Chemicals, Richmond, VA) following the manufacturer's protocol. Serum from five uninfected control subjects served as negative controls.

#### NK subset isolation and culture with core protein

PBMCs were sterile sorted into immature/regulatory  $\rm CD56^{bright}$  and effector  $\rm CD56^{dim}$  subsets using a FACSAria cell



**Figure 5. Network of differentially expressed genes.** This network contains interconnections among 20 differentially expressed genes in response to HCV-core protein stimulation. Upregulated genes are indicated in green, and downregulated genes and gene classes in red. These differentially expressed genes include the cytotoxic T lymphocyte associated protein CTLA4, the cytochrome P450 proteins CYP2E1 and CYP3A4, the potassium voltage gated proteins KCNA1 and KCNB2, and the cell surface receptor CD200R1. doi:10.1371/journal.pone.0103219.g005

sorter (BD Biosciences). Isolated NK cell subsets (CD56<sup>bright/dim</sup>) were diluted to  $1\times 10^6/ml$  in RPMI +10% human serum and incubated with 5  $\mu g/ml$  HCV-core protein ( $\beta$ -gal-core) or control protein ( $\beta$ -gal, ViroGen, Watertown, MA) for 8 hours. Cells were then washed and incubated with IL-15 (25 ng/ml, R&D Systems) for 48 hours. Cells were harvested and analyzed by flow cytometry for CD56 expression level. The CD56<sup>dim</sup> subsets were also phenotypically characterized as described above.

# Expression profiling of hepatitis C viral core protein stimulation

Isolated NK cells from three normal control subjects  $(1 \times 10^6/ \text{ ml})$  were incubated for 1 hour with HCV-core or control ( $\beta$ -Gal) protein (5 ug/ml). Then, IL-15 (5 ng/ml) was added to all samples and NK cells were incubated for an additional 47 hours. Cells were harvested in TRIzol Reagent (Life Technologies, Grand Island, NY) and RNA extracted (following the manufacturer's procedure) for microarray analysis. Template RNA quality was

assessed with the Agilent Bioanalyzer 2100 and an Agilent Nano RNA 6000 kit per the Agilent protocol. Processing and analyses were performed in Genespring GX 10 (Agilent Technologies, Santa Clara, CA). Differential expression was assayed (in triplicate for each individual subject) using Agilent 4x44K human microarrays according to the manufacturer's instructions (Agilent Technologies). The NK response to the HCV-core protein was determined by comparing the gene expression of cells stimulated with HCV-core versus the  $\beta$ -gal control protein. Genes that exhibited a statistically significant difference in expression between the two groups, as evaluated by a 0.05 p-value threshold and a 2 fold difference in gene expression were determined. Genes that were differentially expressed were then examined using the Ingenuity Pathway Analysis (IPA) (http://www.ingenuity.com/ products/ipa) software in order to examine the differentially expressed genes within the context of cellular networks and to identify enriched functional classes among the differentially expressed genes.

#### Statistical analyses

Results are expressed as median (range). Non-parametric Mann Whitney U was used to compare differences between patient

#### References

- Rosen HR (2011) Clinical practice. Chronic hepatitis C infection. N Engl J Med 364: 2429–2438.
- Biggins SW, Bambha KM, Terrault NA, Inadomi J, Shiboski S, et al. (2012) Projected future increase in aging hepatitis C virus-infected liver transplant candidates: a potential effect of hepatocellular carcinoma. Liver Transpl 18: 1471–1478.
- Bowen DG, Walker CM (2005) Adaptive immune responses in acute and chronic hepatitis C virus infection. Nature 436: 946–952.
- Rosen HR (2013) Emerging concepts in immunity to hepatitis C virus infection. J Clin Invest 123: 4121–4130.
- Lanier LL (2008) Evolutionary struggles between NK cells and viruses. Nat Rev Immunol 8: 259–268.
- Vivier E, Tomasello E, Baratin M, Walzer T, Ugolini S (2008) Functions of natural killer cells. Nat Immunol 9: 503–510.
- Biron CA (2012) Yet another role for natural killer cells: cytotoxicity in immune regulation and viral persistence. Proc Natl Acad Sci U S A 109: 1814–1815.
- Cooper MA, Fehniger TA, Caligiuri MA (2001) The biology of human natural killer-cell subsets. Trends Immunol 22: 633–640.
- Li Y, Zhang T, Ho C, Orange JS, Douglas SD, et al. (2004) Natural killer cells inhibit hepatitis C virus expression. J Leukoc Biol 76: 1171–1179.
- Golden-Mason L, Rosen HR (2013) Natural killer cells: multifaceted players with key roles in hepatitis C immunity. Immunol Rev 255: 68–81.
- Bonavita MS, Franco A, Paroli M, Santilio I, Benvenuto R, et al. (1993) Normalization of depressed natural killer activity after interferon-alpha therapy is associated with a low frequency of relapse in patients with chronic hepatitis C. Int J Tissue React 15: 11–16.
- Corado J, Toro F, Rivera H, Bianco NE, Deibis L, et al. (1997) Impairment of natural killer (NK) cytotoxic activity in hepatitis C virus (HCV) infection. Clin Exp Immunol 109: 451–457.
- Par G, Rukavina D, Podack ER, Horanyi M, Szekeres-Bartho J, et al. (2002) Decrease in CD3-negative-CD8dim(+) and Vdelta2/Vgamma9 TcR+ peripheral blood lymphocyte counts, low perform expression and the impairment of natural killer cell activity is associated with chronic hepatitis C virus infection. J Hepatol 37: 514–522.
- Ahmad A, Alvarez F (2004) Role of NK and NKT cells in the immunopathogenesis of HCV-induced hepatitis. J Leukoc Biol 76: 743–759.
- Meier UC, Owen RE, Taylor E, Worth A, Naoumov N, et al. (2005) Shared alterations in NK cell frequency, phenotype, and function in chronic human immunodeficiency virus and hepatitis C virus infections. J Virol 79: 12365– 12374.
- Nattermann J, Feldmann G, Ahlenstiel G, Langhans B, Sauerbruch T, et al. (2006) Surface expression and cytolytic function of natural killer cell receptors is altered in chronic hepatitis C. Gut 55: 869–877.
- De Maria A, Fogli M, Mazza S, Basso M, Picciotto A, et al. (2007) Increased natural cytotoxicity receptor expression and relevant IL-10 production in NK cells from chronically infected viremic HCV patients. Eur J Immunol 37: 445– 455.
- Mondelli MU, Oliviero B, Mele D, Mantovani S, Gazzabin C, et al. (2012) Natural killer cell functional dichotomy: a feature of chronic viral hepatitis? Front Immunol 3: 351.

groups and over time. Spearman was used for correlation analyses. Significance was defined as a p value of less than 0.05. The JMP 6.0 (SAS Institute, Inc, Cary NC) statistical package was used.

### **Supporting Information**

Table S1 Provides a complete list of the 121 genes differentially expressed (with a p-value less that 0.05 and greater than 2 fold change) in response to HCV-core stimulation.

 $(\mathbf{DOC})$ 

## Acknowledgments

We thank the patients for their time and willingness to participate in this study.

# **Author Contributions**

Conceived and designed the experiments: LGM YSH HRR. Performed the experiments: LGM LC. Analyzed the data: LGM MS. Contributed to the writing of the manuscript: LGM HRR.

- Werner JM, Heller T, Gordon AM, Sheets A, Sherker AH, et al. (2013) Innate immune responses in hepatitis C virus exposed healthcare workers who do not develop acute infection. Hepatology.
- Lunemann S, Malone DF, Hengst J, Port K, Grabowski J, et al. (2013) Compromised Function of Natural Killer Cells in Acute and Chronic Viral Hepatitis. J Infect Dis 2013 Epub ahead of print.
- Stegmann KA, Bjorkstrom NK, Ciesek S, Lunemann S, Jaroszewicz J, et al. (2012) Interferon alpha-stimulated natural killer cells from patients with acute hepatitis C virus (HCV) infection recognize HCV-infected and uninfected hepatoma cells via DNAX accessory molecule-1. J Infect Dis 205: 1351–1362.
- Alter G, Jost S, Rihn S, Reyor LL, Nolan BE, et al. (2011) Reduced frequencies of NKp30+NKp46+, CD161+, and NKG2D+ NK cells in acute HCV infection may predict viral clearance. J Hepatol 55: 278–288.
- Amadei B, Urbani S, Cazaly A, Fisicaro P, Zerbini A, et al. (2010) Activation of natural killer cells during acute infection with hepatitis C virus. Gastroenterology 138: 1536–1545.
- Pelletier S, Drouin C, Bedard N, Khakoo SI, Bruneau J, et al. (2010) Increased degranulation of natural killer cells during acute HCV correlates with the magnitude of virus-specific T cell responses. J Hepatol 53: 805–816.
- Chan A, Hong DL, Atzberger A, Kollnberger S, Filer AD, et al. (2007) CD56bright human NK cells differentiate into CD56dim cells: role of contact with peripheral fibroblasts. J Immunol 179: 89–94.
- Morishima C, Paschal DM, Wang CC, Yoshihara CS, Wood BL, et al. (2006) Decreased NK cell frequency in chronic hepatitis C does not affect ex vivo cytolytic killing. Hepatology 43: 573–580.
- Golden-Mason L, Madrigal-Estebas L, McGrath E, Conroy MJ, Ryan EJ, et al. (2008) Altered natural killer cell subset distributions in resolved and persistent hepatitis C virus infection following single source exposure. Gut 57: 1121–1128.
- 28. Lanier LL (2005) NK cell recognition. Annu Rev Immunol 23: 225–274
- Moretta A, Biassoni R, Bottino C, Mingari MC, Moretta L (2000) Natural cytotoxicity receptors that trigger human NK-cell-mediated cytolysis. Immunol Today 21: 228–234.
- Jinushi M, Takehara T, Tatsumi T, Kanto T, Miyagi T, et al. (2004) Negative regulation of NK cell activities by inhibitory receptor CD94/NKG2A leads to altered NK cell-induced modulation of dendritic cell functions in chronic hepatitis C virus infection. J Immunol 173: 6072–6081.
- Marras F, Bozzano F, De Maria A (2011) Involvement of activating NK cell receptors and their modulation in pathogen immunity. J Biomed Biotechnol 2011: 152430.
- Stegmann KA, Bjorkstrom NK, Veber H, Ciesek S, Riese P, et al. (2010) Interferon-alpha-induced TRAIL on natural killer cells is associated with control of hepatitis C virus infection. Gastroenterology 138: 1885–1897.
- Bozzano F, Picciotto A, Costa P, Marras F, Fazio V, et al. (2011) Activating NK cell receptor expression/function (NKp30, NKp46, DNAM-1) during chronic viraemic HCV infection is associated with the outcome of combined treatment. Eur J Immunol 41: 2905–2914.
- Oliviero B, Mele D, Degasperi E, Aghemo A, Cremonesi E, et al. (2013) Natural Killer Cell Dynamic Profile Is Associated with Treatment Outcome in Patients with Chronic HCV Infection. J Hepatol.

- Crotta S, Stilla A, Wack A, D'Andrea A, Nuti S, et al. (2002) Inhibition of natural killer cells through engagement of CD81 by the major hepatitis C virus envelope protein. J Exp Med 195: 35–41.
- Tseng CT, Klimpel GR (2002) Binding of the hepatitis C virus envelope protein E2 to CD81 inhibits natural killer cell functions. J Exp Med 195: 43–49.
- Herzer K, Falk CS, Encke J, Eichhorst ST, Ulsenheimer A, et al. (2003) Upregulation of major histocompatibility complex class I on liver cells by hepatitis C virus core protein via p53 and TAP1 impairs natural killer cell cytotoxicity. J Virol 77: 8299–8309.
- Nattermann J, Nischalke HD, Hofmeister V, Ahlenstiel G, Zimmermann H, et al. (2005) The HLA-A2 restricted T cell epitope HCV core 35-44 stabilizes HLA-E expression and inhibits cytolysis mediated by natural killer cells. Am J Pathol 166: 443–453.
- Dolganiuc A, Chang S, Kodys K, Mandrekar P, Bakis G, et al. (2006) Hepatitis C virus (HCV) core protein-induced, monocyte-mediated mechanisms of reduced IFN-alpha and plasmacytoid dendritic cell loss in chronic HCV infection. J Immunol 177: 6758–6768.
- Kittlesen DJ, Chianese-Bullock KA, Yao ZQ, Braciale TJ, Hahn YS (2000) Interaction between complement receptor gC1qR and hepatitis C virus core protein inhibits T-lymphocyte proliferation. J Clin Invest 106: 1239–1249.
- Yao ZQ, Eisen-Vandervelde A, Waggoner SN, Cale EM, Hahn YS (2004) Direct binding of hepatitis C virus core to gClqR on CD4+ and CD8+ T cells leads to impaired activation of Lck and Akt. J Virol 78: 6409–6419.

- Golden-Mason L, Stone AE, Bambha KM, Cheng L, Rosen HR (2012) Raceand gender-related variation in natural killer p46 expression associated with differential anti-hepatitis C virus immunity. Hepatology 56: 1214–1222.
- Khakoo SI, Thio CL, Martin MP, Brooks CR, Gao X, et al. (2004) HLA and NK cell inhibitory receptor genes in resolving hepatitis C virus infection. Science 305: 872–874.
- Golden-Mason L, Castelblanco N, O'Farrelly C, Rosen HR (2007) Phenotypic and functional changes of cytotoxic CD56pos natural T cells determine outcome of acute hepatitis C virus infection. J Virol 81: 9292–9298.
- Golden-Mason L, Cox AL, Randall JA, Cheng L, Rosen HR (2010) Increased natural killer cell cytotoxicity and NKp30 expression protects against hepatitis C virus infection in high-risk individuals and inhibits replication in vitro. Hepatology 52: 1581–1589.
- 46. Kramer B, Korner C, Kebschull M, Glassner A, Eisenhardt M, et al. (2012) Natural killer p46High expression defines a natural killer cell subset that is potentially involved in control of hepatitis C virus replication and modulation of liver fibrosis. Hepatology 56: 1201–1213.
- Heeg M, Thimme R (2012) Natural killer cells and hepatitis C: natural killer p46 expression linked to antiviral and antifibrotic activity. Hepatology 56: 1197– 1200.
- Shilling HG, Young N, Guethlein LA, Cheng NW, Gardiner CM, et al. (2002) Genetic control of human NK cell repertoire. J Immunol 169: 239–247.
- Large MK, Kitlesen DJ, Hahn YS (1999) Suppression of host immune response by the core protein of hepatitis C virus: possible implications for hepatitis C virus persistence. J Immunol 162: 931–938.