

# Hemilaminectomy for Removal of Extramedullary or Extradural Spinal Cord Tumors: Medium to Long-Term Clinical Outcomes

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**Purpose:** Laminectomy is generally the treatment of choice for removal of spinal tumors. However, it has been shown that laminectomy may cause instability due to damage of posterior elements of the spinal column, which may induce subsequent kyphosis in the future. Therefore, to reduce the risk of deformity and spinal instability after laminectomy, hemilaminectomy has been used. However, the medium to long-term effects of hemilaminectomy on spinal sagittal alignment is not well understood. The present study was performed to evaluate the clinical outcomes, including spinal sagittal alignment of patients, associated with spinal cord tumors treated by surgical excision using hemilaminectomy. **Materials and Methods:** Twenty hemilaminectomy operations at our institute for extramedullary or extradural spinal cord tumors in 19 patients were evaluated retrospectively with an average follow-up of 85 months (range, 40-131 months). Neurological condition was evaluated using the improvement ratio of the Japanese Orthopaedic Association Score (JOA score) for cervical, thoracic myelopathy, or back pain, and sagittal alignment by sagittal Cobb angle of the hemilaminectomized area. **Results:** The mean improvement ratio of neurological results was 56.7% in the cervical spine ( $p < 0.01$ ,  $n = 10$ ), 26.3% in the thoracic spine (not significant,  $n = 5$ ), and 48.6% in the lumbar spine (NS,  $n = 5$ ). The sagittal Cobb angle was  $4.3 \pm 18.0^\circ$  in the preoperative period and  $5.4 \pm 17.6^\circ$  at the latest follow-up, indicating no significant deterioration. **Conclusion:** Hemilaminectomy is useful for extramedullary or extradural spinal cord tumors in providing fair neurological status and restoration of spinal sagittal alignment in medium to long-term follow-up.

**Key Words:** Hemilaminectomy, surgical treatment, spinal cord tumors, middle to long term clinical outcome, sagittal alignment

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## INTRODUCTION

A spinal tumor is defined as a growth of cells (mass) within or surrounding the spinal cord. In cases in which compression of the spinal cord is severe and the risk of neurological deterioration increases, surgery is needed to relieve the compression. Bilateral laminectomy is generally the treatment of choice for removal of spinal

tumors.<sup>1-3</sup> However, it has been shown that laminectomy may cause instability due to damage of posterior elements of the spinal column, which may induce subsequent kyphosis in the future.<sup>3-7</sup> Therefore, to reduce the risk of deformity and spinal instability after laminectomy, hemilaminectomy has been used.<sup>1,8-11</sup> However, the medium to long-term effects of hemilaminectomy on spinal sagittal alignment are not well understood. Therefore, the present study was performed to evaluate the clinical outcomes, including spinal sagittal alignment of patients, associated with spinal cord tumors treated by surgical excision using hemilaminectomy.

## MATERIALS AND METHODS

### Patients

Nineteen patients (9 female and 10 male) with spinal cord tumors treated surgically by hemilaminectomy (20 operations) at our institute between 1997 and 2004 were followed-up and reviewed in a prospective study (Table 1). The mean  $\pm$  SD age at the time of surgery was  $42.3 \pm 16.4$  years (range, 14-74 years), and the mean  $\pm$  SD follow-up period was  $85 \pm 30$  months (range, 40-131 months). One patient underwent hemilaminectomy twice for removal of tumors at the cauda equina and cervical region. All patients reported local or radiating pain or sensory or motor disturbance of the extremities and were diagnosed as having spinal cord tumors by enhanced and plain magnetic resonance imaging (MRI). Hemilaminectomy was selected for resection of tumors with clear borders and extramedullary or extradural dorsal and unilateral lesions. Tumors located anteriorly to the spinal cord and tumors appearing malignant radiologically were removed by total laminectomy, which provided a better view and safer removal of the tumors.

### Levels and locations of tumors

The tumors were observed in the following regions: cervical in 9 cases (45.0%), cervicothoracic in 1 (5.0%), thoracic in 5 (25.0%), and lumbar in 5 (25.0%). The locations of the tumors were extradural in 60.0% ( $n = 12$ ) and intradural/extramedullary in 40.0% ( $n = 8$ ) (Table 1).

### The timing of the surgery

Surgery was performed when imaging modalities showed that the tumors had grown, or when patients had a sensory or motor disorder. There were no obvious differences in tim-

ing of surgery compared with other reports.

### Surgical methods

All operations were performed by two surgeons belonging to our institution. A midline incision was made with the patient in the prone position. Resection of bone and ligaments was restricted to the side of the tumor. The vertebral arch was drilled under a microscope using a high-speed drill. The flavum was removed until the contralateral root or dural curve was exposed. The spinous process and its base, the contralateral lamina including the flavum and muscle were preserved (Fig. 1).<sup>12</sup>

### Numbers of hemilaminectomied laminae

The numbers of hemilaminectomied laminae were 2 in 12 cases, 3 in 4 cases, 4 in 2 cases, 6 in 1 case, and 7 in 1 case, with an average of  $2.9 \pm 1.4$  (Table 1).

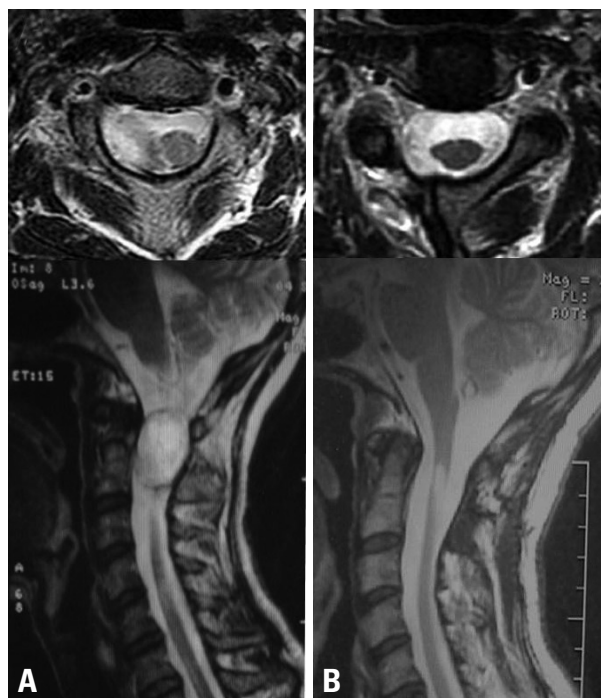
### Evaluation for operative outcomes

#### Pathological diagnosis of tumors

Pathological diagnoses using specimens from resected tumors were identified (Table 1).

#### Invasiveness of the procedures

To evaluate the invasiveness of the operations, the amount



**Fig. 1.** (A) Preoperative MRI of Case 9 showing extradural neurinoma of the upper cervical spine. (B) Postoperative MRI showing tumor resection by hemilaminectomy. Upper panel, Axial image; Lower panel, Sagittal image.

**Table 1.** Clinical Information of 20 Cases

Case no.	Age	Sex	Levels of tumors	Locations of tumors	Level for hemilaminectomy	Additional anterior operations	Pathological diagnosis	Operation time (min)		Blood loss (g)		Follow-up period (months)
								Total	Per hemilaminectomy levels	Total	Per hemilaminectomy levels	
1	55	M	C5-6	Intradural / extramedullary	C5-6	No	Neurinoma	140	70	140	70	131
2	35	F	C5	Intradural / extramedullary	C5-6	No	Neurinoma	140	70	25	13	130
3	67	M	C5-6	Intradural / extramedullary	C4-6	No	Neurinoma	130	43	220	73	120
4	39	M	C7-Th1	Extradural (dumbbell)	C7-T2	Yes	Neurinoma	390	130	600	200	117
5	24	F	C1-4	Extradural (dumbbell)	C1-4	No	Neurofibroma	195	49	780	195	115
6	31	M	C2-3	Extradural	C2-3	No	Neurinoma	400	200	1,270	635	94
7	63	F	C1-2	Extradural	C1-2	No	Neurinoma	150	75	250	125	79
8	40	M	C2-3	Extradural (dumbbell)	C2-3	Yes	Neurinoma	245	123	1,420	710	77
9	44	F	C1-2	Extradural	C1-2	No	Neurinoma	104	52	200	100	41
10	74	M	C2	Extradural	C1-3	No	Neurinoma	435	145	890	297	40
11	25	F	T3-4	Extradural	T3-4	No	Neurinoma	300	150	210	105	123
12	24	F	T3-8	Intradural / extramedullary	T3-8	No	Arachnoid cyst	250	42	280	47	90
13	52	M	T3-8	Intradural / extramedullary	T2-8	No	Arachnoid cyst	310	44	190	27	88
14	60	F	T11-12	Extradural	T11-12	No	Neurinoma	275	138	325	163	87
15	57	M	T3-6	Intradural / extramedullary	T3-6	No	Arachnoid cyst	200	50	250	63	87
16	31	M	L2	Extradural	L2-3	No	Ependymoma	120	60	50	25	78
17	14	F	L3-4	Intradural / extramedullary	L3-4	No	Meningioma	290	145	575	288	62
18	44	F	L3-4	Intradural / extramedullary (dumbbell)	L3-4	No	Neurinoma	125	63	180	90	60
19	37	M	L4-5	Extradural	L4-S	No	Neurinoma	89	30	150	50	46
20	30	F	L1-2	Extradural (dumbbell)	L1-2	Yes	Neurofibroma	205	103	320	160	43
Average	42.3							225	89	416	172	85
S.D.	16.4							105	48	392	190	30

of blood loss during surgery and the duration of surgery were noted. These values were standardized by the number of hemilaminectomied laminae (Table 1).

#### Radicality of resection

Radicality of resection was assessed by the surgeons as complete resection or incomplete resection. Cases of incomplete resection were classified into unexpectedly incomplete and

predictably incomplete resection.<sup>9</sup>

#### Complications

Intraoperative and postoperative complications were analyzed.

#### Changes in neurological status

Neurological status was evaluated using the Japanese Or-

**Table 2. The Japanese Orthopaedic Association Score for Cervical Myelopathy**

Motor function of fingers		Sensory function	
1) Upper extremity			
0	Unable to feed oneself w/any tableware including chopsticks, spoon, or fork, &/or unable to fasten buttons of any size	0	Complete loss of touch & pain sensation
1	Can manage to feed oneself w/spoon &/or fork but not w/chopsticks	0.5	≤ 50% normal sensation &/or severe pain or numbness
2	Either chopsticksfeeding of writhing is possible but not practical, &/or large buttons can be fastened	1	> 60% normal sensation &/or moderate pain or numbness
3	Either chopstick feeding or writing is clumsy but practical, &/or cuff buttons can be fastened	1.5	Subjective numbness of slight degree w/out any objective sensory deficit
4	Normal	2	Normal
Shoulder & elbow: evaluated by MMT score of the deltoid or biceps muscles, whichever is weaker		2) Trunk	
- 2	MMT 2 or below	0	Complete loss of touch & pain sensation
- 1	MMT 3	0.5	≤ 50% normal sensation &/or severe pain or numbness
- 0.5	MMT 4	1	> 60% normal sensation &/or moderate pain or numbness
0	MMT 5	1.5	Subjective numbness of slight degree w/out any objective sensory deficit
		2	Normal
Lower extremity		3) Lower extremity	
0	Unable to stand & walk by any means	0	Complete loss of touch & pain sensation
0.5	Able to stand but unable to walk	0.5	≤ 50% normal sensation &/or severe pain or numbness
1	Unable to walk w/out a cane or other support on a level	1	> 60% normal sensation &/or moderate pain or numbness
1.5	Able to walk w/out support but w/a clumsy gait	1.5	Subjective numbness of slight degree w/out any objective sensory deficit
2	Walks independently on a level surface but needs support on stairs	2	Normal
2.5	Walks independently when going upstairs, but needs support when going downstairs	Bladder function	
3	Capable of fast but clumsy walking	0	Urinary retention &/or incontinence
4	Normal	1	Sense of retention &/or dribbling &/or thin stream &/or incomplete continence
		2	Urinary retardation &/or pollakiuria
		3	Normal
maximum score: 17 points			

MMT, manual muscle test.

thopaedic Association Scores (JOA score) for cervical myelopathy (JOA-C),<sup>13,14</sup> thoracic myelopathy (JOA-T),<sup>15-17</sup> and back pain (JOA-B).<sup>18,19</sup> The JOA-C, JOA-T, and JOA-B were recorded within one month before surgery and at the final follow-up. The increases in these scores, i.e., the difference between the final and preoperative scores, were also evaluated. A full score of JOA-C was defined as 17 points: 8 for upper and lower motor functions, 6 for sensory functions, and 3 for bladder-rectal function (Table 2). JOA-T, consisting of 11 points, was obtained after subtracting the parameters on the upper extremities from the JOA-C (Table 2). A full score of JOA-B was defined as 29 points: 9 for 3 subjective symptoms, 6 for 3 clinical signs, and 14 for 7 activities of daily living (Table 3). The improvement ratio of these scores,<sup>17</sup> which indicates the degree of normalization after surgery, was calculated as follows:

Improvement ratio of JOA-C: (postoperative score - preoperative score)  $\times$  100 / [17 (full score) - preoperative score] (%)

Improvement ratio of JOA-T: (postoperative score - preoperative score)  $\times$  100 / [11 (full score) - preoperative score] (%)

Improvement ratio of JOA-B: (postoperative score - preoperative score)  $\times$  100 / [29 (full score) - preoperative score] (%)

Changes in the neurological status were classified into three grades: improved, unchanged, and worsened.

Effects of hemilaminectomy of postoperative spinal alignment

Cobb sagittal angle between the vertebral bodies at the upper and lower ends of the area of hemilaminectomy was measured on plain X-ray films preoperatively and at the final follow-up.

Postoperative recurrence of the tumor

At the final follow-up, MRI was used to assess the presence or absence of the recurrences of tumors.

Statistical analyses

The neurological improvement ratio was compared among patients with lesions of the cervical, thoracic, and lumbar spine by one-way analysis of variance.

**Table 3. The Japanese Orthopaedic Association Score for Back Pain**

Symptoms and signs	Evaluation and scores	Symptoms and signs	Evaluation and scores
I Subjective symptoms		III Activity of daily living	Severe Moderate None
Lower back pain	None 3	Turn over while lying down	0 1 2
	Occasional mild pain 2	Standing	0 1 2
	Occasional severe pain 1	Washing	0 1 2
	Continuous severe pain 0	Leaning forwards	0 1 2
Leg pain and/or tingling	None 3	Standing (about 1 hour)	0 1 2
	Occasional slight symptoms 2	Lifting or holding heavy object	0 1 2
	Occasional severe symptoms 1	Walking	0 1 2
	Continuous severe symptoms 0	IV Urinary Bladder Function	
Gait	Normal 3	Normal	0
	Able to walk farther than 500 m although it results in symptoms 2	Mild dysuria	- 3
	Unable to walk farther than 500 m 1	Severe dysuria	- 6
	Unable to walk farther than 100 m 0		
II Clinical signs			
Straight-leg-raising test	Normal 2		
	30 - 70° 1		
	Less than 30° 0		
Sensory disturbance	None 2		
	Slight disturbance (not subjective) 1		
	Marked disturbance 0		
Motor disturbance	Normal 2		
	Slight weakness (MMT 4) 1		
	Marked weakness (MMT 3 to 0) 0		

MMT, manual muscle test.

**Table 4. Clinical Outcomes of 20 Cases**

Case no.	Radicality of resection	Complications	Neurological status			Cobb lordotic angle		Postoperative recurrence of the tumor		
			JOA Score (Pre OP)*	JOA Score (Final)*	Improvement ratio (%)	Status	Pre OP		Final	Increase and decrease
1	Complete		12/17	14/17	40.0	Improved	1.0	1.1	+0.1	None
2	Complete		15/17	17/17	100.0	Improved	1.5	4.7	+3.2	None
3	Complete		12/17	14/17	40.0	Improved	6.1	5.5	-0.6	None
4	Predictable incomplete		12/17	14/17	40.0	Improved	1.1	6.4	+5.3	None
5	Complete		15/17	17/17	100.0	Improved	12.2	11.5	-0.7	None
6	Complete		16/17	17/17	100.0	Improved	6.9	6.1	-0.8	None
7	Complete		14/17	15/17	33.3	Improved	17.8	16.7	-1.1	None
8	Predictable incomplete		12/17	16/17	80.0	Improved	2.0	2.0	0.0	None
9	Complete		16/17	16/17	0	Unchanged	18.2	18.5	+0.3	None
10	Complete	C1-2 subluxation	16/17	17/17	100.0	Improved	21.7	22.7	+1.5	None
11	Complete		5/11	11/11	100.0	Improved	-4.4	-4.0	+0.4	None
12	Complete	CSF leak, intracranial hypo tension syndrome*	7/11	7/11	0.0	Unchanged	-32.8	-23.5	+9.3	None
13	Complete		9/11	9/11	0.0	Unchanged	-36.6	-38.6	-2.0	None
14	Complete	Vesicorectal disorder	6/11	6/11	-66.7	Worsened	1.7	1.5	-0.2	None
15	Complete		8/11	9/11	33.3	Improved	-11.6	-11.1	+0.5	None
16	Complete		16/29	27/29	84.6	Improved	3.4	3.0	-0.4	None
17	Complete		13/29	15/29	12.5	Improved	36.3	36.0	-0.3	None
18	Predictable incomplete		29/29	29/29	-	unchanged	4.8	8.8	+4.0	None
19	Complete		29/29	29/29	100.0	Improved	35.7	38.2	+2.5	None
20	Predictable incomplete		27/29	27/29	50.0	Improved	1.9	2.2	+0.3	None
Average					49.8		4.3	5.4	1.1	
S.D.					47.4		18.0	17.6	2.7	

CSF, cerebrospinal fluid; OP, operation; JOA score, Japanese Orthopaedic Association Score.

\*Case1-10, JOA score for cervical myelopathy. Case 11-15, JOA score for Thoracic myelopathy. Case 16-20, JOA score for Back pain.

## RESULTS

### Pathological diagnosis of tumors

Pathological examination revealed neurinoma in 12 cases (54.5%), arachnoid cyst in 3 (13.6%), neurofibroma in 2 (10.0%,  $n = 2$ ), meningioma in 1 (5.0%), chondroma in 1 (5%), and ependymoma in 1 (5%) (Table 1).

### Invasiveness of the procedures

The duration of surgery was  $225 \pm 105$  min (average  $\pm$  SD). When divided by the number of hemilaminectomied levels, the duration was  $89 \pm 48$  min. The amount of blood loss during surgery was  $416 \pm 392$  g. When divided by the number of hemilaminectomied levels, the amount of blood loss was  $172 \pm 190$  g (Table 1).

### Radicality of resection

Radicality of resection was “complete” in 16 patients (80.0%) and “predictably incomplete” in 4 patients (20.0%). There were no “unexpected incomplete” resections. The four patients with predictably incomplete resections were those with dumbbell-shaped tumors; three of these patients underwent additional resections using the anterior approach. These patients, however, did not require any form of instrumented fusion (Table 4). Patient No.13 had a huge arachnoid cyst from T3 to T8, compressing the spinal cord. Total removal of this cyst required multilevel hemilaminectomy from T2 to T8. Conversion to conventional laminectomy was not required in any of the cases in the present study.

### Complications

Three complications were recorded. In Case 10 (chondro-

ma, C1-3 levels), slight subluxation at C1-2 occurred after the operation. However, the subluxation was asymptomatic. Case 12 suffered from intracranial hypotension syndrome due to cerebrospinal fluid leakage, which was successfully managed conservatively. Case 14 developed vesicorectal disorder after the resection of thoracic neurinoma. At the final follow-up, the symptoms had recovered almost completely (Table 4).

### Changes in the neurological status

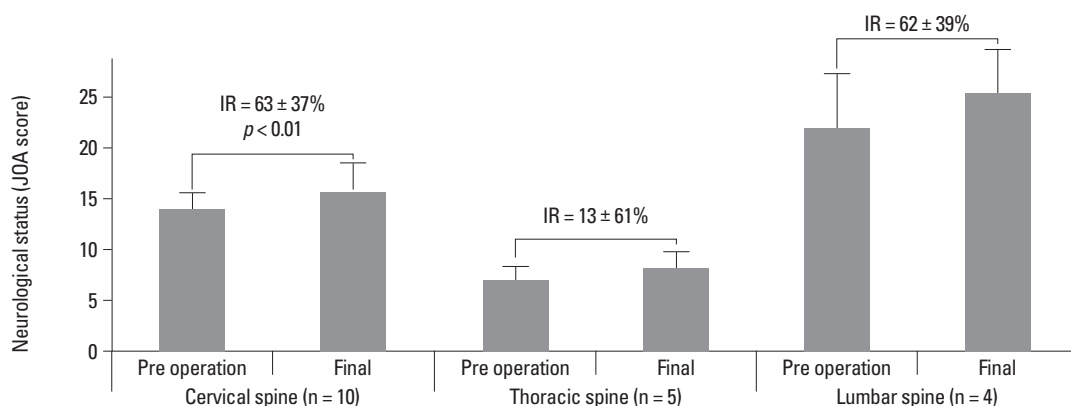
Postoperative neurological status improved in 16 cases (80%), unchanged in 3 (15%), and worsened in 1 (5%). Case 14 suffering from vesicorectal dysfunction showed worsening of the neurological status. The mean improvement ratio in neurological status scores was 49.8% (Table 4). When the scores of the three spinal regions were analyzed separately, we found that the improvement ratios were 56.7% in the cervical spine ( $p < 0.01$ ), 26.3% in the thoracic spine not significant (NS), and 48.6% in the lumbar spine (NS) (Fig. 2). There were no significant differences in improvement ratios among the three groups (one-way analysis of variance).

### Postoperative spinal alignment

The Cobb sagittal angle was  $4.3 \pm 18.0^\circ$  lordosis (range,  $-36.6^\circ$  to  $35.7^\circ$ ) preoperatively and  $5.4 \pm 17.6^\circ$  lordosis (range,  $-38.6^\circ$  to  $38.2^\circ$ ) at the final follow-up. The change in the lordotic angle ranged from  $2.0^\circ$  decrease to  $9.3^\circ$  increase, showing no significant changes (Table 2).

### Postoperative recurrence of the tumor

There were no cases of tumor recurrence in the postoperative period in this series, and none received adjuvant therapy (Table 2).



**Fig. 2.** The average improvement ratio of postoperative neurological status was 63.3% in tumors of the cervical region ( $p < 0.01$ ), 13.3% in tumors of the thoracic region, and 61.8% in tumors of the lumbar region. IR, improvement ratio; JOA score, Japanese Orthopaedic Association Score.

## DISCUSSION

In the present study, clinical outcomes of the removal of spinal tumors by hemilaminectomy in 20 cases were reviewed with an average follow-up of 85 months. While several authors have reported the usefulness of this surgical method,<sup>1,8-11</sup> medium to long-term follow-up results have rarely been reported. The present results with a medium to long-term follow-up showed a relatively low level of operative invasiveness, good improvement ratio of neurological status, no significant deterioration in spinal sagittal alignment, and no recurrence of tumors. Importantly, as hemilaminectomy was originally adopted for spinal tumor removal due to its possible advantage in preserving the sagittal alignment,<sup>20</sup> the present results actually confirmed the advantage of this approach.

To reduce the risk of deformity and spinal instability after laminectomy, Raimondi, et al.<sup>2</sup> and Parkinson<sup>21</sup> recommended osteoplastic laminectomy, originally described by Bickham,<sup>22</sup> to reconstruct the structures of the posterior column. However, this technique is somewhat difficult, and is therefore time consuming.<sup>2,21</sup> To avoid subsequent complications in spinal sagittal alignment, the hemilaminectomy approach that can preserve interspinous ligaments, intervertebral joints, and paravertebral muscles of the contralateral side was then indicated for resection of spinal cord tumors.<sup>19</sup> Although the usefulness of tumor removal by hemilaminectomy in maintenance of sagittal alignment in cervical regions has been reported previously by Asazuma, et al.<sup>20</sup> the present medium to long-term results from cases with hemilaminectomy of a number of different levels and spinal regions with no deterioration in the spinal sagittal alignment represent a significant addition to the literature.

While hemilaminectomy is advantageous in preserving posterior spinal structures, the hemilaminectomy approach provides a relatively narrow view of the spinal intracanal regions.<sup>12</sup> Ozawa, et al.<sup>23</sup> noted several limitations and disadvantages of hemilaminectomy in removal of spinal tumors. They suggested that additional foraminotomies and reconstructions using interspinous wiring are necessary for radical resection of dumbbell tumors of Eden type 2 and 3.<sup>23</sup> They also suggested that huge tumors with scalloping of vertebrae, midline tumors that require resection and reconstruction of the dural sac, easy bleeding tumors spreading to both sides, malignant lymphomas, and hemangiomas are difficult to manage by hemilaminectomy.<sup>23</sup> We agree

with this concept and have altered our treatment strategy in accordance with it. Hemilaminectomy would be optimal for tumors with clear borders, extramedullary and extradural tumors, and dorsal and unilateral lesions throughout the spine. In contrast, we chose conventional laminectomy for removal of tumors with unclear borders, and for intramedullary, ventral, and bilateral spreading lesions. We observed a high radicality ratio (80.0%), no incidence of intraoperative conversion from hemilaminectomy to conventional laminectomy, and no postoperative tumor recurrence. Consistent with previous findings,<sup>23</sup> the radicality of resection was predictably incomplete in 4 of the 5 patients with dumbbell-shaped tumors. We found cerebrospinal fluid (CSF) leakage resulting from one of the 20 operations (5%), higher than reported in patients who underwent either hemilaminectomy (0.7%) or total laminectomy (3%).<sup>14</sup> The CSF leakage we observed in one of our patients was deemed minor and was managed conservatively. Definitions of CSF leakage should be standardized, in order to assess differences in rates of CSF leakage. During preoperative screening, we excluded patients suspected of having malignant tumors or tumors located anteriorly to the spinal cord. Those tumors were surgically removed via total laminectomy. Consequently, we did not convert these patients from hemilaminectomy to total laminectomy during surgery. Pathological analysis showed that all of these tumors were benign. These findings suggest that spinal tumor removal by hemilaminectomy through strict preoperative assessment using imaging modalities<sup>9</sup> can guarantee a successful clinical outcome.

There were several limitations in the design of this study. First, in this study, a single cohort that underwent a single surgical strategy was followed-up prospectively. Therefore, a comparative study with similar patients treated using other strategies in a randomized manner must be performed. Second, the mean overall final follow-up period was 85 months, ranging from 40 to 131 months. Evaluations at consistent time periods are required in future studies to obtain more clinically relevant data. Third, the patients in this study were relatively young (median age, 42.3 years), indicating that they are not representative of a generalized patient population. Inclusion of elderly and/or osteopenic patients may have altered our results. Fourth, our patient population was skewed, in having more cervical patients than other regions. A laminectomy lower down in the spine would probably have had more destabilizing effects, thus altering the results of postoperative spinal alignment. Finally, our results may



have been more convincing had the patient cohort been more limited relative to the types of tumor.

In conclusion, twenty cases of spinal tumor excision by hemilaminectomy were reviewed. This surgical method provided satisfactory outcomes with a good neurological status, maintenance of sagittal alignment, and little complication over medium to long-term follow-up.

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