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# Development and characterization of human monoclonal antibodies that neutralize multiple TGF $\beta$ isoforms

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### ABSTRACT

Transforming growth factor  $(TGF)\beta$  levels are elevated in, and drive the progression of, numerous disease states such as advanced metastatic cancer and systemic and ocular fibrosis. There are 3 main isoforms, TGF $\beta$ 1, 2, and 3. As multiple TGF $\beta$  isoforms are involved in disease processes, maximal therapeutic efficacy may require neutralization of 2 or more of the TGF $\beta$  isoforms. Fully human antibody phage display libraries were used to discover a number of antibodies that bind and neutralize various combinations of TGF $\beta$ 1, 2 or 3. The primary panning did not yield any uniformly potent pan-isoform neutralizing antibodies; therefore, an antibody that displayed potent TGF $\beta$  1, 2 inhibition, but more modest affinity versus TGF $\beta$ 3, was affinity matured by shuffling with a light chain sub-library and further screening. This process yielded a high affinity pan-isoform neutralizing clone. Antibodies were analyzed and compared by binding affinity, as well as receptor and epitope competition by surface plasmon resonance methods. The antibodies were also shown to neutralize TGF $\beta$  effects in vitro in 3 assays: 1) interleukin (IL)-4 induced HT-2 cell proliferation; 2) TGF $\beta$ -mediated IL-11 release by A549 cells; and 3) decreasing SMAD2 phosphorylation in Detroit 562 cells. The antibodies' potency in these in vitro assays correlated well with their isoformspecific affinities. Furthermore, the ability of the affinity-matured clone to decrease tumor burden in a Detroit 562 xenograft study was superior to that of the parent clone. This affinity-matured antibody acts as a very potent inhibitor of all 3 main isoforms of TGF $\beta$  and may have utility for the apeutic intervention in human disease.

**Abbreviations:** TGF $\beta$ , Transforming growth factor  $\beta$ ; T $\beta$ RI, Type I TGF $\beta$  receptor; T $\beta$ RII, Type II TGF $\beta$  receptor; T $\beta$ RIII, Type III TGF $\beta$  receptor; SPR, Surface plasmon resonance; LAP, latency associated peptide; PPE, periplasmic extract

### Introduction

Members of the transforming growth factor  $(TGF)\beta$  family of cytokines are essential for mammalian development and normal tissue homeostasis. Elevated levels of TGF $\beta$  have been shown to drive the progression of numerous disease states in model systems and may play a critical role in human diseases such as cancer, as well as systemic and ocular fibrosis. The activity of TGF $\beta$  is cell-type specific and context dependent. For example, in normal epithelial cells, TGF $\beta$  acts as a mediator of cell cycle arrest and differentiation, but, in many late-stage tumors lacking p53 or with microsatellite instability or an activated RAS oncogene, TGF $\beta$  can behave as a potent promoter of tumor growth. It can also impair the ability of host immune cells to check tumor progression, and it can promote metastasis.<sup>1-4</sup> Highly conserved in mammals, TGF $\beta$  has 3 main isoforms: TGF $\beta$ 1, TGF $\beta$ 2, and TGF $\beta$ 3. These have mechanistically similar receptor activation properties, but varying expression and tissue distribution patterns. Different expression levels of the various isoforms have been shown to translate to differences in prognostic outcome, as well as differential effects on the activation of the immune system.<sup>5,6</sup>

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Each TGF $\beta$  isoform is expressed as a pro-protein and processed by a furin-like convertase into a latency-associated peptide (LAP) and mature TGF $\beta$  protein. The LAP remains bound to the mature  $TGF\beta$  and inactivates it by preventing receptor binding. Latent TGF $\beta$  binding proteins (LTBPs) in the extracellular matrix bind the inactivated mature  $TGF\beta$ , forming large latent TGF $\beta$  complexes. The mature TGF $\beta$  remains bound to the LAP until released by its proteolysis by a matrix metalloprotease or thrombospondin, exposure to low pH, or interaction with a  $\beta$ 6 integrin.<sup>7-10</sup> TGF $\beta$  can only activate its receptors after it is released from the complex and is free of the LAP. Mature TGF $\beta$  is a disulfide-linked dimer, composed of 2 112 amino acid monomers.<sup>11-14</sup> The TGF $\beta$  dimers are generally considered to be homodimeric; heterodimers are not frequently observed, but have been found in  $TGF\beta$  preparations from porcine platelets and may exist in other contexts at low levels.15

TGF $\beta$  signals by binding to a heteromeric kinase receptor complex between Type I and Type II TGF $\beta$  receptors: T $\beta$ RI (also known as ALK5) and T $\beta$ RII, respectively.<sup>7,16-19</sup> This activated complex then phosphorylates SMAD2 and SMAD3, which then bind to SMAD4. The SMAD complex

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This is an Open Access article distributed under the terms of the Creative Commons Attribution-Non-Commercial License (http://creativecommons.org/licenses/by-nc/3.0/), which permits unrestricted non-commercial use, distribution, and reproduction in any medium, provided the original work is properly cited. The moral rights of the named author(s) have been asserted. is translocated to the nucleus where it interacts with transcriptional regulators to alter gene expression.<sup>3</sup> In addition to the canonical SMAD activation pathway, the activated TGF $\beta$  receptor complex can signal via a large number of non-canonical signal transduction pathways, including numerous kinases and cofactors in a cell type and cell context dependent manner.<sup>3,20</sup> The TGF $\beta$ 1 and TGF $\beta$ 3 isoforms bind with high affinity to the T $\beta$ RII monomeric receptor, but the TGF $\beta$ 2 isoform only binds tightly to T $\beta$ RII when bound and presented by the non-signaling high molecular weight receptor T $\beta$ RIII (betaglycan).<sup>7,21-25</sup> TGF $\beta$  signaling requires the formation of a TGF $\beta$ /T $\beta$ RII/T $\beta$ RI complex, and an antibody or agent that blocks the association of the TGF $\beta$ /T $\beta$ RII interaction should in theory effectively neutralize TGF $\beta$  signaling.

It is possible that many disease processes involve elevated activity by more than one TGF $\beta$  isoform, and the neutralization of a single isoform may not be sufficient for a therapeutic effect. However, generation of antibodies targeting multiple TGF $\beta$  isoforms is challenging for several reasons. There is high species conservation for each of the isoforms with greater than 97% sequence identity among mammals.<sup>26</sup> This high interspecies homology and the ubiquitous expression of TGF $\beta$  makes breaking tolerance in immunized animals difficult, and low hybridoma yields are typical.<sup>27</sup> Moreover, across the 3 isoforms, there is only 68% amino acid sequence identity,<sup>28,29</sup> limiting the discovery of antibodies that are cross-reactive with, and able to neutralize, multiple TGF $\beta$  isoforms.

Phage display is a powerful antibody discovery method that allows access to a large and diverse antibody repertoire, and can circumvent much of the self-censoring of the immune system response that would prevent the development of most auto-antibodies. This self-censoring is a significant obstacle to generating neutralizing, high-affinity anti-TGF $\beta$  antibodies by conventional techniques such as hybridoma technology. Given TGF $\beta$ 's ubiquitous expression, there is a low abundance of high affinity TGF $\beta$  antibodies in the human and mouse antibody repertoires.<sup>30-33</sup> In addition, active TGF $\beta$  has physical properties that make it a challenging target for use in therapeutic monoclonal antibody development, in particular, its poor stability at neutral pH in purified form. XOMA's ADAPT<sup>TM</sup> antibody platform, utilizing some of the largest available antibody libraries,<sup>34</sup> is well-suited for targeting challenging antigens, and was used in an effort to generate a number of  $TGF\beta$ neutralizing antibodies with varying specificities, including pan-specific antibodies that neutralize all 3 human TGF $\beta$ isoforms.

XOMA has constructed several very large fully human antibody phage display libraries with diversities of roughly  $1 \times 10^{11}$ unique antibody sequences. These libraries were constructed from multiple naïve human donor sources as described in Schwimmer et al.<sup>34</sup> The interrogation of these libraries with TGF $\beta$  generated several hundred unique TGF $\beta$  binding antibodies with a variety of properties. Several antibodies targeting multiple TGF $\beta$  isoforms were screened and ranked biochemically and in functional cell-based assays for activity and utility in neutralizing TGF $\beta$  function. The discovery and characterization of antibodies that bind and neutralize TGF $\beta$ 1, TGF $\beta$ 2, and TGF $\beta$ 3 and the evolution of a pan TGF $\beta$ 1/2/3 neutralizer (XPA.42.681) are highlighted here. These antibodies were assessed for effectiveness in blocking the receptor-ligand interaction, affinity, and neutralization of TGF $\beta$  signaling in cellbased functional assays. Furthermore, certain clones were evaluated in a xenograft tumor model using the human pharyngeal carcinoma cell line Detroit 562 for their ability to inhibit tumor growth in vivo.

### Results

### Primary panning and screening

Phage selection utilizing TGF $\beta$  adsorbed to ELISA plates did not yield any useful binders (data not shown). Another strategy utilized biotinylated TGF $\beta$ , which was captured out of solution in the presence of carrier protein by streptavidin-coated magnetic beads after incubation with the phage library. Unbound phage were washed away while remaining phage, displaying a fragment antibody that bound the antigen, were amplified to use for the next round. Three selection rounds were performed using decreasing amounts of biotinylated TGF $\beta$  and increasing numbers of washes to increase stringency and bias selection for specific binders to antigen. Each isoform and sub-library was panned independently. Of the 3 isoforms, only the panning campaign that used TGF $\beta$ 2 was successful in yielding a large diversity of antibodies. This difference in isoform panning results is likely due to the greater observed stability of the TGF $\beta$ 2 isoform at neutral pH.

DNA from clones that passed the primary surface plasmon resonance (SPR)-based binding screen was sequenced to determine antibody diversity. Clones were defined as unique if they had one or more amino acids in their complementarity-determining regions (CDRs) that were different from other clones. Table 1 shows the number and binding properties of clones identified, predominantly from the panning campaigns against TGF $\beta 2$ .

DNA from clones identified as pan binders or dual binders was sequenced to determine their diversity. The results are shown in Table 2.

### Light chain shuffling

Despite the generation of hundreds of unique TGF $\beta$ -binding monoclonal antibodies, no antibodies that bound to all 3 isoforms with equally high affinity and potency were found from the primary screens, regardless of the panning strategy

Table 1. Soluble Panning Results. Number of binders identified from soluble panning using biotinylated TGF $\beta$ 2. Comparative data is provided for both XOMA phage display library formats: the human Fab library (XFab1) and the human single chain antibody, scFv, (XscFv2).

	XFab1	XscFv2
Clones Screened	2325	2232
Pan TGF $\beta$ Binders	214	241
TGF $\beta$ 1 Binders	6	3
TGF $\beta$ 2 Binders	146	225
TGF $\beta$ 3 Binders	42	157
TGF $\beta$ 1/ $\beta$ 2 Binders	24	7
TGF $\beta 2/\beta 3$ Binders	110	375
TGF $\beta$ 1/ $\beta$ 3 Binders	2	4

**Table 2. Sequence Diversity of Binders.** Number of unique sequences from binders to more than one  $\text{TGF}\beta$  isoform.

Library	Number Of	Number Of Unique	Number Of Unique
	Unique Sequences	Heavy Chain Sequences	Light Chain Sequences
XFab1	46	40	45
XscFv2	173	84	144

employed. Therefore, a clone (XPA.42.068) that bound to and neutralized TGF $\beta$ 1 and TGF $\beta$ 2 with high affinity, but had a lower affinity and relatively weak neutralization of TGF $\beta$ 3, was affinity matured using a light chain shuffling approach. Two sub-libraries were constructed, each consisting of the single parent heavy chain sequence combined with a diverse kappa or lambda light chain library, respectively. Panning and screening of these sub-libraries resulted in the identification of an antibody (XPA.42.681) with a new light chain sequence that allowed high affinity binding to, and neutralization of, all 3 TGF $\beta$  isoforms. XPA.42.068 parent antibody had a lambda light chain, and TGF $\beta$  binding clones were discovered from the lambda XPA.0168 light chain library, but no TGF $\beta$  binders were identified from the kappa library. These data demonstrate the importance of the light chain to the binding characteristics of the clones derived from this heavy chain.

Following two rounds of panning, 372 clones were screened by SPR for TGF $\beta$  binding. Most of the clones were TGF $\beta$  binders with kinetics similar to the parent clone. Sequencing results showed that the majority of the 372 clones had the parental antibody light chain sequence, which was not excluded from the library. Only three of the clones showed an improved (slower) off-rate from TGF $\beta$ 3. The light chain sequences of these 3 clones had changes in both the framework and CDR regions compared with the parental

light chain sequence. Fig. 1 compares the light chain sequence of the parent XPA.42.068 and the most improved affinity matured variant XPA.42.681. The L-CDR2 of XPA.42.681 had one substitution from a tyrosine to a phenylalanine (YDR $\rightarrow$ FDR), whereas the matured L-CDR3 sequence showed several differences (QVWDNTSEHV  $\rightarrow$  QVWDSDSDDL). The three antibodies were cloned into a full-length human IgG2 vector for expression, purification, and further testing.

# Neutralization of TGF $\beta$ -mediated Inhibition of HT-2 cell proliferation

TGF $\beta$  inhibits interleukin (IL)-4-dependent growth of mouse T-cell line HT-2 through transactivation of genes promoting cell cycle arrest. IL-4 transactivates a mitogenic gene expression program by activating targets such as c-myc and GM-CSF, whereas TGF $\beta$  signaling transactivates genes that suppress cmyc and GM-CSF expression.<sup>35</sup> Inhibition of TGF $\beta$  signaling by a neutralizing antibody results in HT-2 cell proliferation.

Antibody clones were first screened in a HT-2 assay for neutralization of TGF $\beta$ 2 activity. Active clones were then advanced into an additional HT-2 proliferation assay to measure the antibody dose response for the neutralization of TGF $\beta$ 1 and TGF $\beta$ 3. Representative data demonstrating dose-dependent inhibition of TGF $\beta$ 1, TGF $\beta$ 2 by the most potent TGF $\beta$ 1 and TGF $\beta$  2 inhibiting antibodies, XPA.42.089 and XPA.42.681 is shown in Fig. 2A-B. The affinity-matured clone XPA.42.681 showed markedly better potency against TGF $\beta$ 3 than the lower affinity XPA.42.068 parental antibody, whereas the XPA.42.089 antibody had little TGF $\beta$ 3 neutralization (Fig. 2C). XPA.42.681 was the most potent inhibitor of TGF $\beta$  in all HT-2 assays. The clones' potency of neutralization correlates well with their relative affinities for the various TGF $\beta$  isoforms.

	HC-FR1	HC-CDR1	HC-FR2	2	HC-CDR2	
XPA.42.068	QVQLVQSGAEVKKPGASVKVSCKAS	GYTFTGYY	MHWVRQAPGQGLEWMGW		INPNTGGT	
XPA.42.681	QVQLVQSGAEVKKPGASVKVSCKAS	GYTFTGYY	MHWVRQAPGQGLEWMGW		N INPNTGGT	
XPA.42.089	QVQLVQSGAEVKKPG <b>S</b> SVKVSCKAS	GGTFSSYA	. <b>IS</b> WVRQAPGQGLEWMG <b>G</b>		IIPIFGTA	
	HC-FR3		HC-C	DR3	HC-FR4	
XPA.42.068	NYAQKFQGRVTMTRDTSISTAYMELSR	LRSDDTAVY	YC ARSFLWLV	PSDAFDI	WGQGTMVTVSS	
XPA.42.681	NYAQKFQGRVTMTRDTSISTAYMELSR	LRSDDTAVY	YC ARSFLWLVPSDAFDI		WGQGTMVTVS	
		YC ARG-LWEVRALPSVY		WGQGTLVTVS		
XPA.42.089	NYAQKFQGRVT <b>I</b> T <b>A</b> D <b>E</b> STSTAYMELS <b>S</b>	LRSEDTAVY	YC   ARG-LWEV.	RALPSVY	WGQGTLVTV	
XPA.42.089	NYAQKFQGRVT <b>ITADE</b> STSTAYMELS <b>S</b>	LRSEDTAVY	YC   ARG-LWEV	RALPSVY	WGQGTLVTV	
XPA.42.089	NYAQKFQGRVTITADESTSTAYMELSS	LRSEDTAVY	LC-F	RALPSVY   R2	LC-CDR2	
XPA.42.089 XPA.42.068	NYAQKFQGRVTITADESTSTAYMELSS LC-FR1 SSELTQPPSVSVAPGEKARITCGGN	LRSEDTAVY LC-CDR1 I NIGRKS	LC-F VHWYQQRPG	RALPSVY   R2 QAPVVVLY	LC-CDR2 YDR	
XPA.42.089 XPA.42.068 XPA.42.681	NYAQKFQGRVTITADESTSTAYMELSS LC-FR1 SSELTQPPSVSVAPGEKARITCGGN SYVLTQPPSVSVAPGKTARITCGGN	LRSEDTAVY LC-CDR1 NIGRKS NIGFRS	LC-F VHWYQQRPG VHWYQQKSG	RALPSVY R2 DAPVVVLy DAPVLVIY	LC-CDR2 YDR FDR	
XPA.42.089 XPA.42.068 XPA.42.681 XPA.42.089	NYAQKFQGRVTITADESTSTAYMELSS LC-FR1 SSELTQPPSVSVAPGEKARITCGGN SYVLTQPPSVSVAPGKTARITCGGN SYELTQPPSVSVAPGQTARITCGAN	LRSEDTAVY LC-CDR1 NIGRKS NIGFRS DIGSKS	LC-F           VHWYQQRPGG           VHWYQQKSGG           VHWYQQKSGG	RALPSVY R2 DAPVVVLY DAPVLVIY DAPVLVVS	LC-CDR2 V YDR FDR EDI	
XPA.42.089 XPA.42.068 XPA.42.681 XPA.42.089	NYAQKFQGRVTITADESTSTAYMELSS LC-FR1 SSELTQPPSVSVAPGEKARITCGGN SYVLTQPPSVSVAPGKTARITCGGN SYELTQPPSVSVAPGQTARITCGAN LC-FR3	LRSEDTAVY LC-CDR1 I NIGRKS NIGFRS I DIGSKS	LC-F VHWYQQRPG VHWYQQKSG VHWYQQKAG LC-CDR3	RALPSVY R2 DAPVVVLY DAPVLVIY DAPVLVVS LC-FF	LC-CDR2 VDR FDR EDI	
XPA.42.089 XPA.42.068 XPA.42.089 XPA.42.089 XPA.42.088	NYAQKFQGRVTITADESTSTAYMELSS LC-FR1 SSELTQPPSVSVAPGEKARITCGGN SYVLTQPPSVSVAPGKTARITCGGN SYELTQPPSVSVAPGQTARITCGAN LC-FR3 VRPSGIPERFSGSNSGNTATLTITRVEZ	LRSEDTAVY LC-CDR1 NIGRKS NIGFRS JDIGSKS AGDEADYFC	LC-F VHWYQQRPGG VHWYQQKSGG VHWYQQKSGG LC-CDR3 QVWDNTSEHVV	RALPSVY R2 DAPVVVLY DAPVLVIY DAPVLVVS LC-FF FGGGTQL'	LC-CDR2 VDR FDR EDI	
XPA.42.089 XPA.42.068 XPA.42.681 XPA.42.089 XPA.42.068 XPA.42.681	NYAQKFQGRVTITADESTSTAYMELSS LC-FR1 SSELTQPPSVSVAPGEKARITCGGN SYVLTQPPSVSVAPGKTARITCGGN SYELTQPPSVSVAPGQTARITCGAN LC-FR3 VRPSGIPERFSGSNSGNTATLTITRVEZ	LRSEDTAVY LC-CDR1 LC-CDR1 NIGRKS INIGFRS IDIGSKS AGDEADYFC AGDEADYYC	LC-F VHWYQQRPGQ VHWYQQKSGQ VHWYQQKSGQ UHWYQQKAGQ LC-CDR3 QVWDNTSEHVV QVWDSDSDDLV	RALPSVY R2 DAPVVVLY DAPVLVIY DAPVLVVS LC-FF FGGGTQL' FGGGTQL'	LC-CDR2 YDR FDR EDI R4 TVLG	

Figure 1. Sequence Comparison of Parent XPA.42.068, Affinity Matured Variant XPA.42.681, and XPA.42.089. The heavy and light chain sequence of the parent XPA.42.068 is compared to the affinity improved light chain variant, XPA.42.681. Amino acid positions that are different between these clones are highlighted in bold black type. For XPA.42.089, amino acids are highlighted which are different than the XPA.42.068 clone.



Figure 2. Antibody Neutralization of TGF $\beta$  Mediated HT-2 Growth Arrest. HT-2 cells were treated with a fixed dose of TGF $\beta$ 1, 2, or 3 (EC80 level) in the presence of neutralizing antibody titrations. Cell growth was measured after 48 hours of incubation at 37°C using a luminescent cell-viability reagent (CellTiter-Glo<sup>®</sup>). Values are presented as percent of a no TGF $\beta$  control.

# Neutralization of TGF $\beta$ -mediated IL-11 release by A549 cells

Another screening assay assessed TGF $\beta$ -mediated secretion of IL-11 by A549 lung carcinoma cells, which is part of a profibrotic response in lung fibroblasts and epithelial cells and may be implicated in metastatic disease. Representative data are shown in Fig. 3, and indicate dose-dependent inhibition of TGF $\beta$ -mediated IL-11 release by the neutralizing anti-TGF $\beta$ antibodies. Similar to the HT-2 assay, the results show that the affinity matured clone XPA.42.681 was more potent against all isoforms of TGF $\beta$  than any of the other clones, and showed an improvement in potency of 3 logs EC50 value to TGF $\beta$ 3 over the parent XPA.42.068 (XPA.42.681 EC50 of 0.002  $\mu$ g/mL vs. an EC50 of 2  $\mu$ g/mL for XPA.42.068). XPA.42.089 had weak activity against TGF $\beta$ 3, but modestly better potency than XPA.42.068 against TGF $\beta$ 1 and TGF $\beta$ 2.

### Characterization of antibody affinities

Two SPR-based kinetic methods were used to compare the binding affinities and rate constants of the antibodies



Figure 3. Antibody Neutralization of TGF $\beta$  Stimulated IL-11 release from A549 Cells. A549 cells were treated with a fixed dose of TGF $\beta$ 1, 2, or 3 (EC80 level) in the presence of neutralizing antibody titrations. After 24 hours at 37°C, IL-11 levels in cell culture supernatants were measured by ELISA. Values are reported as percent of a no antibody control.

XPA.42.089, XPA.42.068, and XPA.42.681. The first SPR method utilized immobilized antibodies and injected TGF $\beta$ , and the second method used the inverse orientation with immobilized TGF $\beta$  and injected antibodies. In both assays, the ranking and relative binding affinities to the different isoforms was maintained despite a difference in absolute  $K_D$  (affinity or equilibrium dissociation constant in units M) and  $k_a$  (on-rate in units  $M^{-1}s^{-1}$ ) values between the 2 methods. For all antibodies, the first method (Fig. 4 and Table 3.) yielded lower  $K_{\rm D}$ values (higher affinity) than the immobilized TGF $\beta$  assays (Table 4 and Fig. S1). For example, XPA.42.681 binding to TGF $\beta$ 2 had estimated  $K_{D}$ s of  $\leq$ 10 pM and 31 pM using the immobilized antibody method and the immobilized antigen method, respectively. We observed no direct evidence of any non-specific binding of TGF $\beta$  to the reference surface, which is nearly identical chemically to the low-density antibody surface.

The affinities of the XPA.42.089 antibody in the immobilized TGF $\beta$  assay were in the picomolar range for TGF $\beta$ 1 and TGF $\beta$ 2, whereas binding to TGF $\beta$ 3 was too weak to effectively



Figure 4. Antibody Affinity Using Immobilized IgG Method. Antibodies were immobilized on a CM5 sensor chip of a Biacore 2000 via amine chemistry. TGFβ was injected at 10 nM, 2 nM, 0.4 nM, and 0.08 nM. Data were analyzed for binding rate parameters using the Scrubber software after double referencing.

estimate the  $K_D$  at the antibody concentrations ( $\leq$ 33 nM) that were used. In the immobilized antibody format, the XPA.42.089 antibody bound to TGF $\beta$ 1 and TGF $\beta$ 2 with an affinity of 4 pM and 25 pM, respectively, but it bound to TGF $\beta$ 3 with at least 50-fold weaker affinity ( $\sim$ 1.4 nM). These data demonstrated that XPA.42.089 was the highest affinity antibody identified from the primary panning and screening to TGF $\beta$ 1 and TGF $\beta$ 2, but the much weaker affinity to TGF $\beta$ 3 would most likely make it unable to effectively neutralize TGF $\beta$ 3 activity, as seen in Figs. 2 and 3.

XPA.42.681, which was affinity enhanced by light chain shuffling from the parental XPA.42.068, had higher binding affinity (lower  $K_D$ ) to all of the TGF $\beta$  isoforms than any other antibody we have tested, including the commercially available mouse antibody 1D11.

# Detailed results of the various assays are described below. In general, the antibody competition results indicate that when the TGF $\beta$ is saturated with antibody, the antibodies are almost universally competitive with the TGF $\beta$ receptors and the TGF $\beta$ 1 LAP. Only one clone was found that was not competitive with the TGF $\beta$ 1 competitive with the TGF $\beta$ receptors, and it appeared to be a negative allosteric ligand modifying antibody (ALMA) of TGF $\beta$ binding and signaling (data not shown).<sup>36</sup> This clone was not highly potent in in vitro studies and was therefore not tested further. All of the antibodies were also competitive for TGF $\beta$ binding, the other antibodies, and with 1D11.

2 of the TGF $\beta$  receptors (T $\beta$ RII, T $\beta$ RIII), and the TGF $\beta$ 1 LAP.

### **Receptor competition assays**

The ability of the Antibodies to inhibit or block the binding of the TGF $\beta$  ligands to the TGF $\beta$  receptors was analyzed by SPR competition assays. TGF $\beta$  signals through T $\beta$ RII, which is a serine/threonine kinase transmembrane protein and requires the cytoplasmic association of T $\beta$ RI for activation.

### Competition assays

Competition-based SPR assays were performed to determine the ability of the isolated antibodies to compete with each other,

**Table 3.** Antibody Affinity Using IgG Capture Method. Antibodies were immobilized on a CM5 sensor chip of a Biacore 2000 via amine chemistry. TGF $\beta$  was injected at 10 nM, 2 nM, 0.4 nM, and 0.08 nM. Data were analyzed for binding rate parameters using the Scrubber software after double referencing.

	TGFβ1			TGFβ2			TGFβ3		
Antibody	k <sub>a</sub> (1/Ms)	k <sub>d</sub> (1/s)	<i>К</i> <sub>D</sub> (рМ)	k <sub>a</sub> (1/Ms)	<i>k</i> <sub>d</sub> (1/s)	<i>К</i> <sub>D</sub> (рМ)	k <sub>a</sub> (1/Ms)	<i>k</i> <sub>d</sub> (1/s)	<i>K</i> <sub>D</sub> (pM)
XPA.42.068 XPA.42.681 XPA.42.089 <b>1D11</b>	1.5E+07 4.3E+07 4.4E+07 1.9E+07	9.1E-04 7.2E-05 1.7E-04 1.4E-03	59 ≤10 ≤10 72	1.0E+07 1.7E+07 1.6E+07 1.1E+07	5.4E-04 5.0E-05 4.1E-04 2.0E-03	51 ≤10 25 170	8.5E+06 1.3E+07 7.7E+06 6.5E+06	3.8E-03 7.5E-05 1.1E-02 3.1E-04	455 ≤10 1,400 48

	TGFβ1			TGFβ2		TGFβ3			
Antibody	k <sub>a</sub> (1/Ms)	k <sub>d</sub> (1/s)	<i>К</i> <sub>D</sub> (рМ)	k <sub>a</sub> (1/Ms)	k <sub>d</sub> (1/s)	<i>К</i> <sub>D</sub> (рМ)	<i>K</i> <sub>a</sub> (1/Ms)	k <sub>d</sub> (1/s)	<i>К</i> <sub>D</sub> (рМ)
XPA.42.068	5.4E+06	1.7E-03	313	7.3E+06	8.0E-04	109	5.5E+06	7.0E-03	1,300
XPA.42.081 XPA.42.089 1D11	6.0E+06 1.3E+07	3.6E-04 1.1E-03 3.9E-03	32 177 304	1.3E+07 4.8E+06 7.0E+06	3.9E-04 1.4E-03 6.8E-03	290 997	1.2E+07 3.4E+06 5.1E+06	6.6E-04 5.7E-02 9.5E-04	54 >17,000 188

Table 4. Antibody Affinity Using Immobilized TGFβ. TGFβs were immobilized on a CM5 chip via amine chemistry. Antibodies were then injected at 33.33 nM, 6.67 nM, 1.33 nM, 267 pM, and 53 pM. Data were analyzed for binding rate parameters using the Scrubber software after double referencing.

The ligand-binding role of T $\beta$ RI is complex and dependent on T $\beta$ RII.<sup>16</sup> Recombinant T $\beta$ RI was tested in the SPR assays, but the soluble recombinant form did not bind TGF $\beta$  at concentrations up to 100 nM, or to TGF $\beta$  bound to T $\beta$ RII, and could not be used in receptor competition experiments. Therefore, the receptor competition experiments were only performed against T $\beta$ RII and T $\beta$ RIII. The T $\beta$ RII only binds tightly to TGF $\beta$ 1 and TGF $\beta$ 3, whereas the T $\beta$ RIII binds with similar affinity to all 3

TGF $\beta$  isoforms and its expression can confer sensitivity of cells to TGF $\beta$ 2.<sup>26</sup> The 3 antibodies all blocked TGF $\beta$  activity in vitro, so the receptor competition assays were performed to assess whether this action was a result of blocking the ligand binding to the receptor or if the activity was due to an allosteric effect on receptor signaling. Results from competition assays utilizing the type II, and III receptors are shown in Fig. 5. These results suggest that all antibodies blocked the association of TGF $\beta$ 



**Figure 5.** T $\beta$ **RII and T\beta<b>RIII competition by antibodies utilizing TGF\beta1, TGF\beta2, and TGF\beta3.** TGF $\beta$ RII or TGF $\beta$ RIII protein was immobilized on a SPR surface. Antibodies were incubated at various concentrations with 4 nM TGF $\beta$ 2 or 1.6 nM TGF $\beta$ 3 prior to injection over the receptor-coated surfaces. Binding levels at the end of a 2 minute injection were recorded and values were normalized to a no antibody control samples. The data were fit using a 4 parameter fit in GraphPad Prism.

with T $\beta$ RII and T $\beta$ RIII. The XPA.42.089 clone showed much weaker inhibition TGF $\beta$ 3 receptor binding (~15 fold) than the other TGF $\beta$ s, and this is consistent with its lower affinity to the TGF $\beta$ 3 isoform.

# Recombinant human TGF $\beta$ 1 LAP (rhLAP) competition assay

The vast majority of TGF $\beta$  in the body is present as the inactive latent form and can be readily activated by enzymes, proteases, and other factors.<sup>8,37</sup> To determine whether or not the antibodies interact with only the active form of TGF $\beta$  or also bind to the latent form, a LAP competition assay was performed. The results indicated that antibodies XPA.42.068, XPA.42.089, and the 1D11 control antibody were all competitive with rhLAP and only bind to active TGF $\beta$  (Fig. 6). The affinity enhanced light chain mutant XPA.42.061 antibody was not tested in this assay, but the parental XPA.42.068 showed strong competition and we would predict that the affinity matured version would share this property.

### Stoichiometry evaluation

The binding of a divalent IgG to a TGF $\beta$  homodimer creates the possibility of generating antibodies with different binding stoichiometries. For example, a likely scenario, and one that seems to hold true for all of the antibodies evaluated in these studies, is that each of the 2 Fab arms of an IgG is capable of binding to a TGF $\beta$  molecule and that each TGF $\beta$  homodimer has 2 antibody-binding epitopes as well.<sup>14</sup> A portion of the antibody molecules appear to bind bivalently to a single TGF $\beta$ homodimer creating a 1:1 TGF $\beta$  homodimer to IgG ratio, but this arrangement is not the dominant situation. Instead, it appears that, especially under conditions where the antibodies are in excess, each TGF $\beta$  molecule is bound by 2 antibodies. All antibodies tested were capable of a considerable amount of self-pairing to the TGF $\beta$  homodimer, supporting the idea that each TGF $\beta$  homodimer has 2 antibody binding sites.



**Figure 6.** Antibody competition with TGF $\beta$ 1 binding to rhLAP. rhLAP was immobilized on a CM5 sensor chip of a Biacore 2000. TGF $\beta$ 1 was injected at 10 nM in the presence of 66.6 nM lgG. Level of TGF $\beta$ 1 bound to rhLAP at the end of the injection is shown. A lack of signal shows competition with TGF $\beta$ 1 binding by the antibodies.

To evaluate the binding stoichiometry of XPA.42.681, XPA.42.089 and 1D11, the antibodies were immobilized onto a planar carboxy surface in a Biacore 2000. TGF $\beta$  was injected at saturating concentrations and then another antibody was injected. This evaluation showed that  $TGF\beta$  captured by each of the 3 antibodies was still available for binding by a subsequently injected antibody, and that there was no obvious difference in stoichiometry across the clones by this method (Fig. S2). To verify that the direct immobilization of the antibodies was not introducing error, the XPA.42.068, XPA.42.681, and XPA.42.089 antibodies were also captured on an antihuman-Fc surface at similar densities and then evaluated for maximal binding levels (Rmax) to TGF $\beta$ 2 (Table 5). The mass ratio of antibody to TGF $\beta$  was estimated to be 6:1. Assuming the antibody is 150 kDa and TGF $\beta$ 2 is 25 kDa, an estimate of the number of TGF $\beta$  molecules bound per captured antibody can be calculated as follows: [TGF $\beta$  RU/(Ab RU/6)]. This calculation yielded roughly 1.5-1.7 TGF $\beta$ /antibody, suggesting that most of the immobilized antibodies bind to 2 TGF $\beta$  molecules. It is likely that the TGF $\beta$ /antibody ratio is somewhat less than 2 because a portion of the antibodies are binding divalently to a single TGF $\beta$  molecule. This is consistent with the values from the previously discussed assay format (Fig. S2) where mass calculated binding levels suggested that roughly 0.6 Abs were bound for each captured TGF $\beta$  molecule. This apparent ability of the antibodies to bind both bivalently and monovalently to the TGF $\beta$  homodimer could account for the modest amount of binding heterogeneity seen in the kinetics of the TGF $\beta$  binding. The dissociation kinetics would be slowed for the population of bivalently bound TGF $\beta$ , and would be faster for the monovalently bound forms. Interestingly, the  $T\beta RII$ protein did not allow for the same stoichiometry as the IgGs and bound to the TGF $\beta$  dimer bivalently as has been previously reported,<sup>38</sup> and could not self-pair (data not shown).

## Inhibition of TGF $\beta$ -stimulated pSMAD2 response in Detroit 562 cells

The three antibody clones were evaluated in a SMAD2 phosphorylation assay using the Detroit 562 pharyngeal carcinoma cell line that was to be used in a xenograft tumor model. This assay was developed to evaluate neutralization of TGF $\beta$  signaling through the TGF $\beta$ RII/TGF $\beta$ RI receptor complex.<sup>7</sup> Fig. 7 shows the percent inhibition of the TGF $\beta$ -induced pSMAD2 response (normalized to total SMAD2) for each clone relative to the anti-keyhole limpet hemocyanin (KLH) control antibody. A two-tailed T-test revealed that the parent XPA.42.068 was significantly more potent than 1D11 against TGF $\beta$ 2 in

**Table 5. Stoichiometry evaluation:** Data summary from antibody capture kinetics RUmax test using TGF $\beta$ 2. Antibodies were captured at 107-117 RU onto an antihuman-Fc capture surface and TGF $\beta$ 2 was injected in duplicate at 20 nM, 4 nM, and 0.8nM. Data was then double referenced and fit kinetically to establish the RUmax using the formula TGF $\beta$ /antibody = [TGF $\beta$  RU/(Ab RU/6)].

Antibody	Ab Captured	RUmax	TGFβ/Ab
XPA.42.068	117	32.4	1.7
XPA.42.681	117	28.4	1.5
XPA.42.089	107	29.7	1.7



**Figure 7.** Inhibition of SMAD2 phosphorylation. Detroit 562 cells were pre-incubated with neutralizing or control antibodies (50 ng/mL), then stimulated with 5 ng/ML TGF $\beta$ 1, -2, or -3 for 30 minutes. Cell lysate were prepared and analyzed by ELISA for total and phosphorylated SMAD2. Percent inhibition of pSMAD2 was normalized to total SMAD2 for each clone relative to the anti-KLH control antibody.

neutralizing pSMAD2 signaling. XPA.42.681 was significantly more potent than 1D11 against all 3 isoforms of TGF $\beta$ (p < 0.05), and significantly better than the parent XPA.42.068 against TGF $\beta$ 1 and TGF $\beta$ 3. Against TGF $\beta$ 3, the affinity matured variant XPA.42.681 showed a dramatic improvement over the parent, with neutralization up to 80%.

### Tumor growth inhibition in a Detroit 562 xenograft study

The Detroit 562 pharyngeal carcinoma cell line has been shown to express TGF $\beta$ 1, 2, and 3 proteins as measured by ELISA (Fig. S3A) and is responsive to all 3 isoforms of TGF $\beta$  in the pSMAD2 assay (Fig. S3B). Inhibition of growth in Detroit 562 xenografts by rTGF $\beta$ rII-HuFc (a putative pan inhibitor of

TGF $\beta$  function) has previously been reported in the literature.<sup>39</sup> In the current study, we used the Detroit 562 xenograft model to determine the effects of  $TGF\beta$  neutralizing antibody XPA.42.068 in vivo and compare its potency with that of the higher affinity light chain variant XPA.42.681. Female nude mice were implanted subcutaneously with Detroit 562 tumor cells on Day 0 and showed significant tumor grow by Day 4 reaching 145.8  $\pm$  31.7 mm<sup>3</sup>. The mice were then randomized into treatment groups (n=12/group) and received twice weekly intraperitoneal (IP) injections of either XPA.42.068, XPA.42.681, or the anti-KLH HuIgG2 isotype control antibody at 3 mg/kg starting at Day 7 when the tumors had reached an average size of 214.5  $\pm$  45.4 mm<sup>3</sup>. The results of this study are shown in Fig. 8. Mice treated with the control antibody had a mean tumor volume of 1080.9  $\pm$  835.7 by Day 30. The data showed a 33.5% reduction in mean tumor volume (p=0.1) by Day 30 following treatment with XPA.42.068 at 3 mg/kg. In contrast, mice treated with the engineered XPA.42.681 showed a statistically significant (p < 0.05) 63.4% reduction in tumor size versus the control antibody. At Day 30, tumor weights were recorded immediately after harvest and showed a significant decrease in the group treated with XPA.42.681 vs. the control antibody (0.33  $\pm$  0.11g versus 0.72  $\pm$  0.11g). These data indicate that, although XPA.42.068 had a modest effect on mean tumor volumes and tumor weight, XPA.42.681 had a dramatic and statistically significant effect on tumor growth. End of study serum antibody levels were measured for the XPA.42.068 and XPA.42.681 treated groups and antibody levels were comparable (data not shown) suggesting that the observed potency differences were not due to differences in pharmacokinetics.

### Discussion

The generation of specific high affinity antibodies to TGF $\beta$ , in particular a fully human, pan-TGF $\beta$  neutralizing antibody that is capable of inhibiting all 3 isoforms equally, has proven to be challenging. A few such anti-TGF $\beta$  antibodies have been described in the literature. In 1989 Dasch et al.,<sup>27</sup> despite only screening for binding to TGF $\beta$ 1 and TGF $\beta$ 2 at the time, found a hybridoma-derived mouse pan-TGF $\beta$  neutralizing antibody 1D11.16 (1D11). While attempting to generate a TGF $\beta$ 1-specific antibody, Lucas et al. identified another pan-TGF $\beta$  neutralizing mouse hybridoma antibody (2G7).<sup>31</sup> Hoefer et al reported a pan-isoform reactive antibody that was raised against a 12 AA peptide comprising amino acids 48 to 60 of TGF $\beta$ 1.<sup>36</sup> There have been no reports of other unrelated panneutralizing TGF $\beta$  monoclonal antibodies in the literature.<sup>40</sup>

This work demonstrates that panning of large naïve recombinant phage display libraries of human antibody fragments can be used to generate high-affinity, neutralizing antibodies to TGF $\beta$ . In the current study, antibodies that bound and neutralized individual TGF $\beta$  isoforms, as well as dual-specific antibodies that neutralized 2 isoforms were observed and characterized. However, from primary panning efforts, we were not able to isolate a pan- high affinity clone that uniformly neutralized all 3 TGF $\beta$  isoforms.

TGF- $\beta$  ligand isoforms are expressed in and are important drivers of many diseases.<sup>3,41</sup> Although all 3 TGF $\beta$ 



**Figure 8.** Detroit 562 xenograft tumor volumes following twice weekly IP injections of XPA.42.068, XPA.42.681 or control antibody. Animals were implanted at Day 0 and randomized at Day 4 following establishment of tumors. Each group with 12 mice per group was treated twice weekly with 3mg/kg XPA.42.068, XPA.42.068 or isotype control antibody. Tumor volumes were determined prior to dosing. A) Change in mean tumor volume over time for each dose group. Arrows indicate days of antibody injection. Control Antibody (squares), XPA.42.068 (inverted triangles), and XPA.42.681 (Circles) B). Mean tumor weight for each group taken at Day 30 post-implantation. (\*=p < 0.05).

ligands have high amino acid homology, each mature protein has unique heterologous motifs that have been maintained throughout evolution. Conservation of these heterologous motifs suggests that each homolog could have some isoform-specific properties.<sup>29,42,43</sup> Indeed, differentiated roles for each of the TGF $\beta$  isoforms in disease have been suggested by the isoform-specific properties of the individual TGF $\beta$ 1, 2, and 3 isoforms in in vivo studies.<sup>44,45</sup> and by the unique phenotypes for each individual TGF $\beta$  knock-out mouse. In general, TGF $\beta$ 1 expression is induced by immediate early genes in response to signals such as injury and stress, whereas TGF $\beta$ 2 and TGF $\beta$ 3 appear to be more hormonally and developmentally regulated.<sup>45</sup> As specific examples, all TGF $\beta$  isoforms are expressed at variable levels in HaCaT keratinocytes, suggesting separate roles in skin carcinogenesis and therefore the use of multi-ligand inhibitors for increased therapeutic benefit. In another example,<sup>46</sup> TGF $\beta$ 1 is generally considered to be the major isoform involved in fibrosis, however a combination of  $TGF\beta$  isoforms demonstrated additive effects. In addition, the ability of TGF $\beta$  isoforms to stimulate production of other TGF $\beta$ ligands suggests that inhibition of multiple  $TGF\beta$  ligands may yield the best therapeutic effect in reducing renal fibrosis.<sup>47</sup> Consistent with these data Cambridge Antibody Technologies and Genzyme developed monoclonal antibodies specific to indi-vidual ligands, CAT-152.48,49 and CT-192,50 targeting TGF $\beta$ 2 or TGF $\beta$ 1 respectively, or the pan-isoform specific GC-1008.40,51-53 All antibod-ies were advanced through preclinical and clinical development, but GC1008 has progressed furthest in the clinic for both cancer and fibrotic indications. It was found to be well tolerated and safe in Phase I trials for metastatic melanoma and renal cell carcinoma,<sup>52</sup> and for the fibrotic disorder focal segmental glomerulosclerosis,<sup>51</sup> and showed some clinical benefit,<sup>54</sup> and is reported to be continuing development. However, both mono-specific anti-TGF $\beta$  antibodies, CAT-152 and CT-192, despite being safe and well tolerated, failed to show efficacy in corneal scarring and systemic sclerosis, respectively, and were discontinued.<sup>14</sup> These data suggest that inhibition of at least 2 TGF $\beta$  isoforms is critical and that

inhibition of individual isoforms is not sufficient for therapeutic benefit.

From a practical standpoint, active  $TGF\beta$  is a very challenging target for therapeutic monoclonal antibody development because it is not stable at neutral pHs in purified form, and given its ubiquitous expression, there is a low abundance of high affinity  $TGF\beta$  antibodies in the human and mouse antibody repertoires.<sup>30-33</sup> Despite generating hundreds of unique TGF $\beta$  binding monoclonal antibodies using phage display, the low homology of the TGF $\beta$  isoforms meant we were unable to discover high affinity panneutralizing antibodies regardless of the panning strategy employed. The panning produced a fairly large number of "unique" clones that had reactivity to more than one  $TGF\beta$ isoform. However, surprisingly, diversity was primarily derived from the light chains rather than the heavy chains. Antibodies with shared heavy chains had different potencies according to variations in their light chain sequences. Based on our recognition of the important contribution of the light chain to TGF $\beta$  binding in the identified clones, we used a light chain shuffling affinity maturation strategy. The XPA.42.068 clone, which had high affinity and potent neutralization to TGF $\beta$ 1 and TGF $\beta$ 2, but weaker binding to TGF $\beta$ 3 by at least an order of magnitude, was selected for affinity maturation and 2 sub-libraries were constructed consisting of the single parental heavy chain sequence combined with a diverse lambda or kappa light chain library. This light chain library utilized the same library of light chains initially used to construct the Fab primary library and did not require *de novo* creation, allowing rapid library generation. Panning and screening of this light chain library resulted in the identification of an affinity maturated clone, XPA.42.681 that had higher binding affinity and neutralization against all 3 TGF $\beta$  isoforms in vitro, as well as increased anti-tumor potency in vivo.

Latent TGF $\beta$  is relatively abundant and widely distributed in the body, and could potentially act as a large target sink for an anti-TGF $\beta$  therapeutic antibody that was not specific to only the active form of TGF $\beta$ . In competition assays with the TGF $\beta$ 1 LAP, all of the potent neutralizing antibodies we identified bound only to free mature TGF $\beta$  protein that was not associated with the LAP, indicating their specificity for the active form of TGF $\beta$ .

The binding properties of the antibodies generated in this study were compared using 2 SPR-based kinetic methods: one using immobilized antibody and the other using immobilized TGF $\beta$ . The affinity constants ( $K_{\rm D}$ ) for these antibodies ranged from 1.7 pM to 1400 pM using the first method and 31 pM to 2700 pM using the second method, with the immobilized antibody method (injected TGF $\beta$ ) yielding higher affinity estimates due mainly to large increases in on-rate  $(k_a)$ . There are a number of technical as well as biological issues that might explain the differences between the 2 assay methods. Most likely, the immobilized TGF $\beta$  may be conformationally altered or partially obscured by coupling to the surface, which inhibits the on-rate of the antibody to the surface bound TGF $\beta$ .<sup>55</sup> This conformational alteration would be much less of an issue for the antibody immobilization because the antibodies are large (150 kDa), and it is unlikely that both of the antibodies' independent binding domains would be hindered by immobilization. It is also possible that there is some form of charge attraction between the soluble  $TGF\beta$  and the chip surface that either: 1) enhances the on-rate by essentially pre-concentrating the TGF $\beta$  near the chip surface; or 2) causes an electrostatic steering effect that accelerates the association of the smaller TGF $\beta$  molecule, which has a smaller radius and increased diffusivity over the antibody. When a protein is immobilized, its diffusion coefficient drops to zero, and given that the TGF $\beta$  is much smaller and has a higher diffusivity than an IgG, immobilization of the smaller TGF $\beta$  may have a larger effect on the observed rate kinetics.56-58

There is no strong evidence to support which set of affinity constants more accurately reflects the in vivo situation, and therefore these data are presented as dual data sets with 2 values, both of which are meaningful in the context of their own methodologies. We are not the first to report orientationdependent affinity differences using TGF $\beta$ . In a SPR-based study of TGF $\beta$  binding to recombinant TBRII extracellular domains by De Crescenzo,<sup>59</sup> a 4-order of magnitude orientation-dependent shift in binding affinity was seen, and it was found that the higher affinity values from the receptor immobilized assay orientation were more consistent with the cell-based radio-ligand binding assays previously performed by others. This result is consistent with the idea that the immobilization of TGF $\beta$  negatively affects the TGF $\beta$  structure or binding epitopes. When interpreting the kinetic results, it is also important to note that neither assay orientation completely eliminates the potential for avidity effects in the analysis because both the TGF $\beta$  proteins and the antibodies are bivalent dimers. To minimize the avidity effects, these analyses were performed at very low surface densities,<sup>60</sup> but it is unlikely that those effects were completely eliminated.

The potency of the antibodies in receptor competition assays correlated directly with their affinities to the various isoforms, despite the fact that the EC50 values for the high potency clones cluster around 2 nM. This clustering of result is a demonstration of the stoichiometric limitations of the competition assay, where a 4nM TGF $\beta$  concentration was used. This 4 nM TGF $\beta$ concentration is well above the  $K_{\rm D}$  value of the high affinity antibodies, and therefore the binding curve, and EC50 values, represent a stoichiometric titration of the antibody, where the majority of the antibody is bound, even in the low concentrations, and 50% inhibition is achieved when antibody and TGF $\beta$ concentrations are equivalent. This makes it difficult to distinguish between antibodies that may have different binding affinities if all of their  $K_{\rm D}$  values are well below the concentration of the TGF $\beta$  used in the assay, and relative potency was more accurately estimated by in vitro potency assays and affinity measurements. Lower levels of TGF $\beta$  could not be used given the modest affinity (on-rate) of the TGF $\beta$  to the monomeric recombinant receptors. Also evaluated was the binding stoichiometry of the antibody, TGF $\beta$  interactions. There did not appear to be any major differences among clones in binding stoichiometry. Each TGF $\beta$  homodimer has 2 independent binding sites that can be bound by either 2 separate antibodies or simultaneously by both arms of the same IgG molecule, and both binding species are evident.

In vitro TGF $\beta$  neutralization assays support the biophysical characterization profiles of isoform specificity and competition described above. Two primary cell-based assays were applied as screening and ranking tools for the candidate antibodies, the HT-2 proliferation assay and the A549 IL-11 release assay. The ability to suppress TGF $\beta$ -induced SMAD2 phosphorylation was also evaluated for select clones.

TGF $\beta$  isoforms inhibit IL-4 dependent growth of mouse Tcell line HT-2 through trans-activation of genes promoting cell cycle arrest. IL-4 transactivates a mitogenic gene expression program by activating targets such as c-myc and GM-CSF, whereas TGF $\beta$  signaling trans-activates genes that suppress cmyc and GM-CSF expression and inhibit cell growth.<sup>35</sup> Addition of any of the 3 main isoforms of TGF $\beta$  to these cells results in a similar level of growth inhibition, and, when the TGF $\beta$  is neutralized by an antibody, the HT-2 cells proliferate. This assay was highly responsive to TGF $\beta$  inhibition, and the most potent antibody XPA.42.681 showed a partial effect even at sub ng/mL concentrations. The XPA.42.089 clone showed potent inhibition of TGF $\beta$ 1 and TGF $\beta$ 2, but almost no activity on TGF $\beta$ 3.

Another in vitro assay utilized TGF $\beta$ -mediated secretion of IL-11 from A549 lung carcinoma cells, which is part of a profibrotic response in lung fibroblasts and epithelial cells. This assay models some of the TGF $\beta$ -mediated biological responses that contribute to fibrosis and metastatic disease.<sup>61</sup> The antibody clones showed expected patterns of neutralization to the various TGF $\beta$  isoforms. The XPA.42.681 clone showed the most potent neutralization of all 3 TGF $\beta$  isoforms and XPA.42.089 showed strong neutralization to TGF $\beta$ 1 and 2, but almost no neutralization of TGF $\beta$ 3.

The Detroit 562 pharyngeal carcinoma cell line was also used to evaluate the XPA.42.068, XPA.42.681, and XPA.42.089 antibodies' ability to neutralize the proximal signal transduction event of SMAD2 2 phosphorylation (pSMAD2). In this assay, the XPA.42.681 clone was a potent neutralizer of SMAD2 phosphorylation for all TGF $\beta$  isoforms, whereas XPA.42.068 and XPA.42.089 were unable to inhibit SMAD2 phosphorylation induced by TGF $\beta$ 3.

The in vitro cell-based results demonstrate dose-dependent neutralization of  $TGF\beta$ -mediated suppression of cell proliferation, TGF $\beta$ -mediated IL-11 release, and phosphorylation of Smad2 by the neutralizing anti-TGF $\beta$  antibodies. The HT-2 proliferation and A549 IL-11 release assays were consistent with the affinity data, with the potency of the anti-TGF $\beta$  antibodies in vitro correlating with their affinity for the particular TGF $\beta$  isoform used to elicit the cellular response.

In vivo efficacy of the neutralizing antibody XPA.42.068 in inhibiting tumor growth was confirmed in a Detroit 562 xenograft model. The affinity-matured and pan-neutralizing XPA.42.681 was more efficacious at reducing tumor volumes than the parental antibody. With the selection of the high affinity pan-TGF $\beta$  neutralizing antibody XPA.42.681, the data suggest that we have developed the highest affinity and most potent TGF $\beta$  neutralizing antibody yet described. Fresolimumab (GC1008) is a fully human IgG4 monoclonal antibody that was developed by Genzyme by cloning the 1D11.16 antibody CDR regions into libraries of human framework sequences and performing various rounds of CDR swapping, mutagenesis, library construction, and screening to identify a humanized and optimized form of the 1D11.16 parent antibody.<sup>62</sup> Fresolimumab was evaluated in several Phase 1 clinical studies for focal segmental glomerulosclerosis, systemic sclerosis, myelofibrosis, idiopathic pulmonary fibrosis, renal cell carcinoma, malignant melanoma, metastatic breast cancer, and mesothelioma.<sup>3</sup> The treatment has been well tolerated in patients, supporting the idea that antibodies such as XPA.42.681 that neutralize all 3 main isoforms of TGF $\beta$  have potential uses in the treatment of a broad range of human disease. Importantly, these data suggest that although both 1D11 and XPA.42.681 are both pan-TGF $\beta$  isoform reactive antibodies, they are remarkably different in 2 ways that have direct implications for clinical application. First, the binding affinity of 1D11 is a skewed or biased toward TGF $\beta$ 3. This clearly translates to an in vitro potency differential as 1D11 is most potent at inhibiting TGF $\beta$ 3 function in vitro. These data suggest that in the tumor microenvironment where each of the TGF $\beta$  ligands is expressed, 1D11 would preferentially inhibit TGF $\beta$ 3 function. In contrast, XPA.42.681 has a similarly high binding affinity for each of the TGF $\beta$  ligands which translates into a more uniform inhibition of TGF $\beta$  activity in vitro. These data suggest that in the tumor microenvironment, XPA.42.681 would more uniformly suppress  $TGF\beta$  isoform function. Second, there is a significant improvement in the affinity of XPA.42.681 for all 3 of the isoforms compared to 1D11 which translates to an improved specific activity for the drug in vitro. This is important as the clinical trials conducted with fresolimumab were dosed as high as 15mg/kg before some benefit was achieved. Significant improvements in affinity would allow clinicians to achieve an effective therapeutic dose with less antibody. In addition, it affords the opportunity to dose higher where the amounts of antibody delivered are limiting. Both of these differences have significant implications from a clinical therapy perspective and provide opportunities for improved therapeutic utility.

In conclusion, neutralization of TGF $\beta$  function provides an attractive therapeutic approach for the treatment of advanced metastatic cancers as well as fibrosis. We have generated high-affinity fully human monoclonal antibodies capable of

neutralizing various isoforms of TGF $\beta$ . These antibodies both show reductions in tumor growth in a pharyngeal carcinoma xenograft model and potent neutralization of the receptorligand interaction both biochemically and at the cellular level.

### **Materials and methods**

### **Recombinant proteins and antibodies**

Recombinant human TGF $\beta$ 1, TGF $\beta$ 2, and TGF $\beta$ 3 were obtained from PeproTech (Rocky Hill, NJ; Cat# 100-21, 100-35B, and 100-36E, respectively) and R&D Systems (Minneapolis, MN). TGF $\beta$ -RI, TGF $\beta$ -RII, TGF $\beta$ -RIII, and recombinant human TGF $\beta$ 1 Latency Associated Peptide (rhLAP) were purchased from R&D Systems. Anti-TGF $\beta$  human monoclonal IgG antibodies and the anti-KLH control IgG were made by XOMA Corp. (Berkeley, CA). The monoclonal mouse IgG1 1D11 antibody was obtained from R&D Systems (Cat# MAB1835). Recombinant mouse IL-2 and mouse IL-4 were obtained from R&D Systems (Cat# 402-ML and 404-ML, respectively).

### **Biotinylating TGF** $\beta$ antigens

The TGF $\beta$  antigens were biotinylated with NHS-PEG4-Biotin (Pierce, Rockford, IL). Briefly, TGF $\beta$  stored in a low pH buffer was neutralized by the addition of 20x phosphate-buffered saline (PBS) to bring the pH to ~6.0. A 30-fold molar excess of the pre-activated NHS-PEG4-Biotin was added and the mixture was incubated at room temperature for 20 minutes. After incubation, an equal volume of 10 mM Glycine, pH 3.0 (GE Healthcare, Piscataway NJ) was added and the sample was put immediately into dialysis using a 6-8 kDa cut-off dialysis unit (Calbiochem, Billerica, MA) against a pH 3.5 10 mM citrate buffer for storage (Sigma Aldrich, St. Louis, MO).

### Panning using XOMA phage libraries XFab1 and XscFv2

XFab1 and XscFv2 phage libraries were separately panned using solution-based panning against each isoform of TGF $\beta$ . In the first selection round, 50X library equivalent was incubated with 200 pmoles of biotinylated TGF $\beta$  captured on streptavidin-coated magnetic Dynabeads® M-280 (Life Technologies, Carlsbad, CA) and washed 3 times with PBS with 0.1% Tween (Teknova) followed by 3 PBS washes with 2 minute incubation between washes. Bound phage were eluted from the beads with 100 mM TEA (trimethylamine; Fisher Scientific), neutralized with the addition of an equal volume of 1 M Tris-HCl, pH 7.4 (Teknova) and used to infect log-growing TG1 bacterial cells  $(OD_{600} \sim 0.5)$  (Agilent). In the second selection round,  $1 \times 10^{11}$ cfu (colony forming units) of eluted and amplified phage from the previous round were incubated with 100 pmoles of biotinylated TGF $\beta$  and washed following same wash conditions as the previous round. Round 3 selection used 50 pmoles of biotinylated TGF $\beta$  and 3 PBS with 0.1% Tween washes with 6 minutes of incubation time between washes followed by 3 PBS washes 4 to 6 minutes in length.

Phage were prepared for use as input in subsequent panning rounds as follows: 100X of the previous round output was rescued by superinfection using MK07 helper phage. Cells were grown at 37°C until cells reached the log-growing phase, and were infected with MK07 (New England Biolabs, Ipswich, MA) at a multiplicity of infection of ~20 for 30 minutes at 37°C without shaking followed by 30 minutes with shaking. After infection cells were transferred to new 2YT media supplemented with 50  $\mu$ g/mL Kanamycin and 100  $\mu$ g/mL carbenicillin (2YTCK) and grown overnight at 25 °C. Phages were separated from cells and debris by centrifugation.

# Preparation of soluble fragment antibodies used in primary screening for TGF $\beta$ binders

Periplasmic extracts (PPE) containing soluble antibody fragments were prepared following same methods described in Schwimmer et al.<sup>34</sup> Briefly individual colonies were picked and 1 mL cultures were grown in 96 well plates At the appropriate density (OD<sub>600nm</sub> of 0.5) 1 mM isopropyl- $\beta$ -D-thiogalactopyranoside (Calbiochem, Calbiochem, Billerica, MA, USA) was added to induce antibody fragment expression of cultures at 25°C for 16 to 18 hours. PPE were prepared from the resulting cell pellets by resuspension with 75uls of PPB (potassium phosphate buffer; Teknova) plus protease inhibitor cocktail (Roche) and 225 uL of H<sub>2</sub>0. The plates were centrifuged and supernatants containing soluble antibody fragments were collected.

### PPE binding screen by surface plasmon resonance

Primary screening of the soluble antibody fragments were performed by a direct binding SPR assay on a Biacore 4000 system (GE Healthcare). In this assay, a CM5 sensor chip was prepared via standard amine coupling chemistry using the Biacore Amine Coupling kit (GE Healthcare, Piscataway, NJ). Immobilization running buffer was HEPES buffered saline (HBS-EP+) containing 10 mM Hepes, 150 mM sodium chloride, 3 mM EDTA, and 0.05% polysorbate 20 (Teknova). The chip surface was activated with freshly mixed 1:1 solution of 0.1 M Nhydroxysuccinimide (NHS) and 0.4 M 1-ethyl-3-(3-dimethylaminopropyl) carbodiimide hydrochloride (EDC) (GE Healthcare). TGF $\beta$ 1, TGF $\beta$ 2, and TGF $\beta$ 3 were each diluted to 6  $\mu$ g/ mL in acetate, pH 4.0 (GE Healthcare), and immobilized on different spots for 7-10 minutes each; the surface was then deactivated with 1 M ethanolamine (GE Healthcare). This immobilized between 3400 and 4800 response units (RU) of each TGF $\beta$ . PPE were diluted 1:1 with HBS-EP+ containing 2 mg/mL BSA (Sigma), filtered through a 0.2  $\mu$ m Millex GV filter plate (Millipore, Billerica MA), and injected at 30  $\mu$ L/minute for 240 seconds with a 30 second dissociation. Regeneration after each PPE injection was 10 seconds of 100 mM HCl (Fisher Scientific). The stability early report point was used to evaluate PPE binding levels. Binding level cut-offs were determined for each isoform independently as being visually above background level. RU cutoffs were 245, 175, and 125 for TGF $\beta$ 1, TGF $\beta$ 2, and TGF $\beta$ 3, respectively.

### XPA.42.068 light chain shuffling

The XPA.42.068 light chain library was constructed by shuffling the light chain variable regions of XFab1 library with XPA.42.068 heavy chain variable region, creating a library containing clones with same heavy chain variable region but diverse light chains. The following method was used to create the XPA.42.068 light chain sub-library. pXHMV-US2-L.<sup>34</sup> Fab DNA was digested simultaneously with 20 U/ug DNA Nco1-HF and Not1-HF enzymes (NEB) for 2 hours at 37°C. pXHMV-US2-K.<sup>34</sup> Fab was digested consecutively with 20 U/ ug DNA Not1-HF enzymes (NEB) for 1 hour at 37°C followed by 20 U/ug DNA Nco1-HF for 1 hour at 37°C. Digested DNA separated on 0.8% agarose gel; DNA digested product ran at 5240 bp, which is the pXHMV-US2-L or pXHMV-US2-K minus the heavy chain variable and Fd region was excised from agarose gel and purified using Qiaquick Gel Extraction kit (Qiagen).

To isolate XPA.42.068 heavy chain variable and Fd region, pXHMV-XPA.42.068 DNA was digested simultaneously with Nco1-HF and Not1-HF. Digested product was separated on a 2% agarose gel, and XPA.42.068 heavy chain variable and Fd region DNA product, which ran at 684 bp, was excised from the gel and purified using Qiaquick Gel Extraction kit (Qiagen).

XPA.42.068 heavy chain variable and Fd region (684 bp digested and purified product) were ligated into pXHMV-US2-K and pXHMV-US2-L minus the heavy chain variable and Fd region (5240 bp digested and purified product) at a vector-to-insert ratio of 1:5 and 1:3, respectively, using T4 ligase. Ligated products were transformed into TG1 cells and plated on 2YTCG bioassay plates to make pXHMV-US2-L and pXHMV-US2-K libraries of  $1 \times 10^8$  library size each.

### Panning of XPA.42.068 light chain shuffled libraries

Two rounds of solution phase panning using XPA.42.068 light chain lambda library were performed, each using  $1 \times 10^{12}$  cfu/ ml of starting phage. 50 pmoles of biotinylated TGF $\beta$ 2 or TGF $\beta$ 3 was used as antigen for the first panning round and 1 pmoles or 0.5 pmoles of TGF $\beta$ 3 was used for the second panning round.

### XPA.42.068 affinity maturation PPE binding screen by SPR

Screening of the affinity-matured clones was performed in a way similar to the primary screen, but with much lower levels of immobilized TGF $\beta$  to allow for more accurate off-rate analysis. The Biacore A100 was used with a CM5 sensor chip with 200 - 450 RU of each TGF $\beta$  immobilized. PPE were diluted 1:1 with HBS-EP+ with 2 mg/mL BSA, filtered through a 0.2  $\mu$ m Millex GV filter plate, and then injected at 30  $\mu$ L/minute for 240 seconds with a 600 second dissociation for off-rate measurement. Reference subtracted data was plotted and clones that appeared to have either greater stability or higher binding levels to TGF $\beta$ 3 were selected for further evaluation and characterization.

### Antibody affinity characterization

### Immobilized antibody kinetics method

A CM4 sensor chip was used on the Biacore 2000 system. Amine coupling was used as above with immobilization of 136 RU XPA.42.681 on Fc2, 123 RU of XPA.42.068 on Fc3, and 260 RU of XPA.42.089 on Fc4. Fc1 was the activated and deactivated control. Kinetic analysis was performed as above with each TGF $\beta$  (TGF $\beta$ 1, TGF $\beta$ 2, TGF $\beta$ 3) injected at 10 nM, 2 nM, 0.4 nM, and 0.08 nM with blanks bracketing each concentration series and quadruplicate injections. Regeneration was then performed with 3 injections of 30 seconds each of 100 mM HCl in 3 M MgCl<sub>2</sub>. These data were analyzed using Scrubber2 (Bio-Logic Software, Campbell, Australia) and double referenced by subtracting data from both the blank flow cell and the averaged bracketing blank injections. The rate constants for on-rates ( $k_a$ ) and off-rates ( $k_d$ ) were estimated by fitting these referenced data using a 1:1 binding interaction model.<sup>55</sup> The equilibrium dissociation constant ( $K_D$ ) was then calculated as  $K_D = k_d/k_a$ .

### Immobilized TGF $\beta$ affinity method

A CM1 sensor chip (GE Healthcare), which has a planar-COOH surface, was used on a Biacore 2000 system (GE Healthcare). TGF $\beta$  proteins were immobilized using standard amine chemistry. The chip surface was activated with 4 minute injections at 20  $\mu$ L/minute of NHS/EDC. A 0.1  $\mu$ g/mL solution of TGF $\beta$  in acetate, pH 4.0, was injected for several minutes to achieve a target density of  $\sim$ 30 RU for each TGF $\beta$ ; the surface was then deactivated with 1 M ethanolamine HCl, pH8.5. For kinetic analysis, the running buffer was switched to a thoroughly degassed form of the HBS-EP+ buffer supplemented with 1 mg/mL BSA. Antibodies were diluted in running buffer to 33.33 nM, 6.67 nM, 1.33 nM, 267 pM, and 53 pM. They were then injected for 4 minutes at 50  $\mu$ L/minute with a 900 second dissociation time. Regeneration was performed with a 12  $\mu$ L (14.4 second) injection of 100 mM HCl at 50  $\mu$ L/minute. Injections were across all flow cells simultaneously and all samples and controls were run in quadruplicate. The data were analyzed as above.

### **Competition assays**

### Receptor competition method

A CM5 sensor chip was used on the Biacore 2000 system. Standard amine coupling was used to immobilize the TGF $\beta$  receptors. 5  $\mu$ g/mL of TGF $\beta$ -RII or TGF $\beta$ -RIII in acetate, pH 4.5, was injected at 20  $\mu$ L/minute for 4 minutes and resulted in 1000 - 4000 RU of TGF $\beta$  receptor immobilized. Fc1 was the activated and deactivated control. The competition assay was performed using HBS-EP+/BSA running buffer as described above. TGF $\beta$  was used in all injections except blank controls at 100 ng/mL (4 nM) to 40 ng/mL (1.6 nM) and was prepared with 10  $\mu$ g/mL (66.6 nM) of competitor and control antibodies. Samples were allowed to come to equilibrium for 40 minutes at room temperature before the Biacore run was started. Equilibrated samples were then injected at 10  $\mu$ L/minute for 2 minutes. Regeneration was performed every cycle with one 10second injection of pH 2.5 glycine. Samples were run in at least duplicate and analyzed for level of TGF $\beta$  bound.

### rhLAP competition

A CM5 sensor chip was used on the Biacore 2000 system. Standard amine coupling was used; 2  $\mu$ g/mL of rhLAP in acetate, pH 4.5, was injected for 4 minutes and resulted in 400 RU of rhLAP immobilized. Fc1 was the activated and deactivated control. The rhLAP competition assay was performed using HBS-EP+/BSA running buffer as described above. TGF $\beta$ 1 was used in all injections except blank controls at 0.25  $\mu$ g/mL (10 nM) and was prepared with 10  $\mu$ g/mL (66.6 nM) of competitor and control antibodies. Samples were allowed to come to equilibrium for 40 minutes at room temperature before the Biacore run was started. Equilibrated samples were then injected at 40  $\mu$ L/minute for 2 minutes over the control and the rhLAP surface. Regeneration was performed every cycle with 2 10-second injections of 100 mM HCl. Samples were run in duplicate and analyzed for level of TGF $\beta$ 1 bound.

### Stoichiometry evaluation

A C1 sensor chip was used on the Biacore 2000 system and standard amine coupling chemistry was used to immobilize between 240-270 RU of anti-TGF $\beta$  antibodies. Flow cell 1 was an activated and deactivated control, and the other flow cells had either the XPA.42.681, XPA.42.089, or the 1D11 antibodies. Stoichiometry analysis was performed using HBS-EP+/BSA running buffer as above. Each antibody was injected to verify that there was no binding to the surface in the absence of TGF $\beta$ . TGF $\beta$  sample injections were performed at 1  $\mu$ g/mL (40 nM) followed by a 10 g/mL (66.6 nM) antibody injection. Regeneration was performed with pH 2.0 glycine for 12 seconds. Binding levels of TGF $\beta$  were measured and compared to binding levels of the injected antibody.

The Rmax kinetics experiment was also performed to address stoichiometry. A CM5 sensor chip was used on the Biacore 2000 system to immobilize 3000 RU of anti-human Fc capture antibody (GE Healthcare). Human antibodies were captured at 105-120 RU, TGF $\beta$ 2 was injected in duplicates of 20 nM, 4 nM, and 0.8 nM for 4 minutes, and regeneration was then performed with 3 M MgCl<sub>2</sub>. The data were analyzed using Scrubber2 software and were double referenced by subtracting both the blank flow cell data and the averaged bracketing blank injections. The on-rate ( $k_a$ ) and off-rate ( $k_d$ ) rate constants were estimated by fitting these data using a 1:1 binding interaction model, and the RUmax from the fit was reported.

### HT-2 assay

An HT-2 proliferation assay was adapted from an assay previously described by Reugemer et al.35 HT-2 murine T cells (ATCC, Manassas, VA) were maintained by splitting every 2-3 days at  $1.5 \times 10^4 - 2.5 \times 10^4$  cells/mL in RPMI medium containing 10% FBS, 10 mM Hepes, 2 mM glutamine, 50 µM 2-ME (Sigma-Aldrich). Recombinant mouse IL-2 was freshly added at 200 IU/mL to each flask from a concentrated stock. On day 1, cells were washed in media to remove IL-2 and dispensed into opaque 96-well plates at 10,000 cells/well with 2000 IU/mL recombinant mouse IL-4. TGF $\beta$ 1, -2, or -3 was added after 1 hour pre-incubation with or without antibodies across a titration series. After 48 hours of incubation at 37°C, ATP was measured as a readout for metabolically active cells (i.e. viability) on a MDS Flexstation3 using the Cell Titer Glo<sup>R</sup> assay (Promega, Madison, WI), according to the manufacturer's recommendations.

### IL-11 release assay

The IL-11 release assay was adapted from an assay previously described by Rapoza et al.<sup>61</sup> in which A549 lung carcinoma cells were pre-incubated with neutralizing or control antibodies and then treated with or without TGF $\beta$ . A549 cells were grown in F12 + 10% serum. The day prior to treatment, cells were detached with versene solution (to retain receptor expression) and seeded at 40,000 cells/well into a 96-well flat-bottom plate. The next day, TGF $\beta$ 1, -2, or -3 at EC80 were pre-incubated for 1 hour with or without neutralizing or control antibodies across a dilution series prior to addition to the cells. As controls, TGF $\beta$  alone, TGF $\beta$  + anti-KLH IgG2 or media alone were added to the plates. After 24 hours at 37°C, IL-11 levels in cell culture supernatants were measured by ELISA using the IL-11 DuoSet\* ELISA kit (R&D Systems, Cat# DY218), according to the manufacturer's recommendations.

### pSMAD2 assay

Detroit 562 (human pharyngeal carcinoma) cells were maintained in IMDM + 10% FBS. Cells were detached with versene solution and plated at 500,000 cells/well into a 6-well dish. The next day, cells were cultured in serum-free IMDM for 3 hours and pre-incubated for 1 hour with or without antibodies (50 ng/mL) prior to addition of TGF $\beta$ 1, -2, or -3 (5 ng/mL). After 30 minutes at 37°C, cells were lysed in cell lysis buffer (Cell Signaling, Cat# 9803) containing 1 mM freshly added PMSF. After rocking for 5 minutes at 4°C, cells were scraped off and dispensed into a 96-deep-well plate to lyse on ice for 20 minutes. Lysates were spun down at 3000 rpm for 10 minutes at 4°C. Lysates were diluted and phospho-SMAD2 (pSMAD2) and total SMAD2 levels were measured by ELISA according to the manufacturer's recommendations (Cell Signaling, Cat# 7348 and Cat# 7244, respectively). Levels of pSMAD2 were normalized to total SMAD2 and percent inhibition was calculated for each clone relative to the anti-KLH control.

### Detroit 562 xenograft study

A tumor xenograft study using Detroit 562 cells was performed to evaluate dose-related inhibition of tumor growth progression in nude mice. Detroit 562 cells were implanted subcutaneously at 5  $\times$  10<sup>6</sup> cells per animal mixed 1:1 with matrigel (BD Biociences, San Jose, CA) into the lower left ventral abdominal region of 8-9-week old female athymic (nu/nu) mice (Charles River Laboratories, Wilmington, MA). All protocols were reviewed by XOMA's Institutional Animal Care and Use Committee and all experiments were conducted under its guidance. The Study Director software application (Studylog Systems, Inc., San Francisco, CA) was used to track body weights, monitor growth of the tumors throughout the study, and randomize animals into groups of 12 mice each. Mice were IP injected twice weekly with 3 mg/kg of XPA.42.068, XPA.42.681, or with control antibody (anti-KLH hIgG2 isotype control) starting 7 days after tumor implantation. Tumors were measured biweekly with a digital caliper and tumor volumes were calculated based on the formula, Volume =  $L \times W^2/2$ . Animals were sacrificed on the day after the last dose (day 30) after 7 doses of antibody treatment. Tumor weights were recorded immediately after harvest. All tumor measurements were taken by personnel blinded to the treatment groups. Statistical significance for all measurements was determined by Student's t-test (one-tailed).

### **Disclosure of potential conflicts of interest**

At the time work was conducted, all authors were employees of XOMA Corporation.

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