

1 **Immunity induced by vaccination with recombinant influenza B virus**  
2 **neuraminidase protein breaks viral transmission chains in guinea pigs in an**  
3 **exposure intensity-dependent manner**

4  
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17  
18  
19 **Abstract**

20  
21 Mucosal vaccines and vaccines that block pathogen transmission are under-appreciated in  
22 vaccine development. However, the severe acute respiratory syndrome coronavirus 2 (SARS-  
23 CoV-2) pandemic has shown that blocking viral transmission is an important attribute of efficient  
24 vaccines. Here, we investigated if recombinant influenza virus neuraminidase (NA) vaccines  
25 delivered at a mucosal site could protect from onward transmission of influenza B viruses in the  
26 guinea pig model. We tested four different scenarios in which sequential transmission was  
27 investigated in chains of four guinea pigs. The variables tested included a low and a high viral  
28 inoculum ( $10^4$  vs  $10^5$  plaque forming units) in the initial donor guinea pig and variation of  
29 exposure/cohousing time (1 day vs 6 days). In three out of four scenarios – low inoculum-long  
30 exposure, low inoculum-short exposure and high inoculum-short exposure – transmission  
31 chains were efficiently blocked. Based on this data we believe an intranasal recombinant NA  
32 vaccine could be used to efficiently curtail influenza virus spread in the human population during  
33 influenza epidemics.

34  
35 **Importance**

36  
37 Vaccines that can slow respiratory virus transmission in the population are urgently needed for  
38 severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) and influenza virus. Here we  
39 describe how a recombinant neuraminidase-based influenza virus vaccines reduces  
40 transmission in vaccinated guinea pigs in an exposure-intensity based manner.

41  
42 **Introduction**

43  
44 The current severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) pandemic has  
45 highlighted how important it is that vaccines not only protect from disease but also limit onward  
46 transmission of pathogens. Similar to injected SARS-CoV-2 vaccines, influenza virus vaccines –  
47 even if well-matched – often allow onward transmission of virus in vaccinated populations (1).  
48 The intramuscular administration of current SARS-CoV-2 vaccines as well as inactivated  
49 influenza virus vaccines contributes to this problem since this route of administration does not  
50 lead to robust mucosal antibody titers which could block infection or limit transmission (2-4).

51  
52 Influenza virus vaccines typically induce an immune response focused on the viral  
53 hemagglutinin (HA), the receptor binding protein of influenza viruses which binds to terminal  
54 sialic acid on N-linked glycans on host cells. Immunity to HA can neutralize virus efficiently and  
55 block infection. However, the location of the vaccine-induced antibodies in combination with the  
56 constant changes of the HA through antigenic drift often lead to suboptimal immunity after  
57 vaccination. Besides HA, influenza viruses express a second surface glycoprotein, the  
58 neuraminidase (NA), which is a receptor destroying enzyme that cleaves terminal sialic acids  
59 from N-linked glycans (5-7). This activity is important for migration of incoming virus through  
60 mucosal fluids (8, 9). Mucosal fluids have high concentrations of glycosylated natural defense  
61 proteins, which can act as a virus trap to prevent the release of newly formed viral particles from  
62 infected cells. The presence of NA enzymatic activity releases cell surface bound virus and  
63 counters virus aggregation (10).

64  
65 While both HA and NA proteins undergo antigenic drift, their drift is usually discordant and NA  
66 potentially evolves more slowly (11, 12). This, combined with its important function in the viral  
67 life cycle, makes it an attractive vaccine target. We and others have shown that vaccination with  
68 recombinant, stabilized NA protein can induce protective immunity in different animal models,  
69 especially when the antigen is given mucosally (13-21). Of note, this protection is typically  
70 against morbidity and mortality, and while viral replication in animal models is reduced, NA-  
71 based immunity is often infection permissive. Here, we use the well established guinea pig  
72 influenza virus transmission model (22) to determine if vaccination with recombinant influenza B  
73 virus neuraminidase can break viral transmission chains and which factors may influence  
74 efficiency of transmission in the background of mucosal NA immunity.

## 75 **Methods**

76  
77 **Viruses and cells.** Sf9 cells (CRL-1711, ATCC) for baculovirus rescue were grown in  
78 *Trichoplusia ni* medium-formulation Hink insect cell medium (TNM-FH, Gemini Bioproducts)  
79 supplemented with 10% fetal bovine serum (FBS; Sigma) and penicillin (100 U/ml)-streptomycin  
80 (100 µg/ml) solution (Gibco). BTI-7N-5B1-4 (High Five, ATCC) cells for protein expression were  
81 grown in serum-free Express Five SFM media (Gibco) supplemented with penicillin (100 U/ml)-  
82 streptomycin (100 µg/ml) solution. Madin Darby canine kidney (MDCK, ATCC) cells were grown  
83 in Dulbecco's modified Eagle's medium (DMEM, Gibco) supplemented with 10% FBS and  
84 penicillin (100 U/ml)-streptomycin (100 µg/ml) solution. B/Malaysia/2506/04 virus was grown in  
85 10-day-old embryonated chicken eggs (Charles River) for 72 hours at 33°C. Eggs were then  
86 cooled overnight at 4°C before harvesting the allantoic fluid. Harvested allantoic fluid was  
87 centrifuged at 4,000 g for 10 minutes at 4°C to pellet debris. Viruses were then aliquoted and  
88 stored at -80°C prior to determining stock titers via plaque assay.

89  
90  
91 **Protein production.** Recombinant NAs from A/Michigan/45/15 (H1N1) or B/Malaysia/2506/04  
92 virus were expressed in High Five insect cells as a fusion protein with an N-terminal vasodilator-  
93 stimulated phosphoprotein (VASP) tetramerization domain (23) and the globular head domain of  
94 the NA. Proteins were purified from the cell culture supernatant via Ni<sup>2+</sup>-nitrilotriacetic acid (Ni-  
95 NTA) chromatography (24, 25).

96  
97 **Guinea pig vaccination.** All animal experiments were conducted in concordance with protocols  
98 approved by the Icahn School of Medicine at Mount Sinai Institutional Animal Care and Use  
99 Committee. Five- to six-week-old female guinea pigs were purchased from Charles River  
100 Laboratory and randomly assigned to different vaccination groups. Guinea pigs were primed  
101 intranasally (I.N.) with 10 µg of A/Michigan/45/15 (N1) or B/Malaysia/2506/04 NA adjuvanted

102 with 10 µg of poly(I-C) (Invivogen). Four weeks after the prime, a boost via the I.N. route with  
103 10 µg of poly(I-C)-adjuvanted recombinant protein was administered. At 4 weeks post boost,  
104 vaccinated guinea pigs were used in transmission studies.

105  
106 **Transmission experiments.** Co-caged guinea pig transmission experiments were performed  
107 as previously described (26). For transmission studies where guinea pigs were co-caged with  
108 initial donors for 6 days (**Fig 1A**), naïve donor guinea pigs were anaesthetized with ketamine  
109 (30 mg/kg) and xylazine (5 mg/kg) before being challenged I.N. with 10<sup>4</sup> or 10<sup>5</sup> plaque forming  
110 units (PFU) of B/Malaysia/2506/04 in 300 µL of phosphate-buffered saline (PBS). The following  
111 day, donor and vaccinated recipient (recipient 1) transmission pairs were co-caged (contact  
112 transmission). On day 6 post initial donor challenge, the recipient guinea pig (recipient 1) was  
113 removed and rehoused with another vaccinated recipient guinea pig (recipient 2). Recipient 2  
114 was re-homed again on day 12 post initial donor challenge with vaccinated recipient 3. On days  
115 2, 4, 6, 8, and 10 post contact, nasal washes were collected from anaesthetized donor and  
116 recipient guinea pigs. Recipient 2 guinea pigs received additional nasal washes on day 12 and  
117 14 post contact.

118  
119 For transmission studies where guinea pigs were co-caged with initial donors for 1 day (**Fig 1B**),  
120 naïve donor guinea pigs were anaesthetized and challenged as described above. The following  
121 day, donor and vaccinated recipient transmission pairs were co-caged (contact transmission).  
122 On the subsequent day, vaccinated recipient guinea pigs were removed and re-housed with  
123 another vaccinated recipient guinea pig. On days 2, 4, 6, 8, and 10 post contact, nasal washes  
124 were collected from anaesthetized donor and recipient guinea pigs.

125  
126 **Plaque assays.** Virus titers were determined by plaque assay on MDCK cell monolayers. Virus  
127 stocks and nasal washes were diluted 10-fold in 1× minimum essential medium (MEM) (10%  
128 10× minimal essential medium [Gibco], 2 mM L-glutamine, 0.1% of sodium bicarbonate [wt/vol;  
129 Gibco], 10 mM 4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid (HEPES) (Gibco), 100 U/ml  
130 penicillin–100 µ/ml streptomycin, and 0.2% bovine serum albumin (BSA) and 0.1% (wt/vol)  
131 diethylaminoethyl (DEAE)-dextran was added to the cells and incubated on MDCK cells for 1  
132 hour before the an agarose overlay containing a final concentration of 0.64% agarose (Oxoid),  
133 1x MEM and 1U/mL tolylsulfonyl phenylalanyl chloromethyl ketone (TPCK)-treated trypsin was  
134 added to the cells. The cells were then incubated for 72 hours at 33°C, and visible plaques were  
135 counted after fixation with 3.7% formaldehyde and visualization with a crystal violet counterstain  
136 (Sigma-Aldrich). All virus titers are presented as the log<sub>10</sub> PFU/mL. The limit of detection for  
137 these assays was 50 PFU/mL.

## 138 139 **Results**

140  
141 **Intranasal vaccination with B/Malaysia/2506/2004 NA limits transmission between co-**  
142 **caged guinea pigs, although this is inoculation titer-dependent.**

143  
144 Our previous work found that transmission from naïve B/Malaysia/2506/2004 infected donors to  
145 B/Malaysia/2506/2004 NA vaccinated recipients in a contact transmission setting results in  
146 transmission to three of three vaccinated recipients – meaning in that setting transmission was  
147 not prevented (26). However, we noted in this work that these vaccinated guinea pigs had very  
148 low nasal wash titers and a short duration of shedding. Here, we wanted to determine if these  
149 infected, but vaccinated guinea pigs, could allow subsequent infection.

150  
151 In these studies we initially infected naïve donor guinea pigs with 10<sup>4</sup> PFU of  
152 B/Malaysia/2506/2004 virus. The following day, donor guinea pigs were co-caged with

153 A/Michigan/45/2015 N1 (negative control group, **Fig 2A**) or B/Malaysia/2506/2004 NA (**Fig 2B**)  
154 vaccinated guinea pigs (recipient 1). On day 6 following the initial donor infection, recipient 1  
155 guinea pigs were co-caged with vaccinated guinea pigs (recipient 2). On day 12 following the  
156 initial donor infection, recipient 2 guinea pigs were co-caged with vaccinated guinea pigs  
157 (recipient 3). We assessed virus titers in the nasal washes at days 2, 4, 6, 8 and 10 post initial  
158 contact. Virus titers in the nasal wash indicate that virus was transmitted to each recipient in the  
159 irrelevant NA vaccinated guinea pigs but virus transmission did not progress past recipient 1 in  
160 the B/Malaysia/2506/2004 NA vaccinated guinea pigs.

161  
162 We next wanted to determine if increasing the inoculum titer would result in more efficient  
163 subsequent infection. Here we infected naïve donor guinea pigs with  $10^5$  PFU of  
164 B/Malaysia/2506/2004 virus and performed recipient co-caging and nasal washes as described  
165 above. We found that, like above, virus transmitted to all of the irrelevant NA vaccinated guinea  
166 pigs (**Fig 2C**). Interestingly, we observed that virus transmitted from recipient 1 to recipient 2 in  
167 all of the B/Malaysia/2506/2004 NA replicates and virus transmitted from recipient 2 to recipient  
168 3 in 2 out of 3 B/Malaysia/2506/2004 NA.

169  
170 These studies suggest that vaccination with B/Malaysia/2506/2004 NA is infection permissive,  
171 but subsequent transmission from NA vaccinated guinea pigs to other NA vaccinated guinea  
172 pigs can be blocked in a titer-dependent manner.

173  
174 **Inhibition of transmission in recombinant NA vaccinated guinea pigs is dependent on the**  
175 **length of exposure to infected donor animals.**

176  
177 After determining that B/Malaysia/2506/2004 NA vaccinated guinea pigs are susceptible to  
178 infection when exposed to infected guinea pigs for 6 days, we wanted to learn if  
179 B/Malaysia/2506/2004 NA vaccinated guinea pigs would be susceptible if exposure time is  
180 limited in duration (27). In these next experiments we infected naïve donor guinea pigs with  $10^4$   
181 (**Fig 3A and 3B**) or  $10^5$  (**Fig 3C and 3D**) PFU of B/Malaysia/2506/2004 virus. The following day,  
182 donor guinea pigs were co-caged with A/Michigan/45/2015 N1 (negative control group, **Fig 3A**  
183 **or 3C**) or B/Malaysia/2506/2004 NA (**Fig 3B or 3D**) vaccinated guinea pigs (recipient 1). On day  
184 2 following the initial donor infection, recipient 1 guinea pigs were co-caged with vaccinated  
185 guinea pigs (recipient 2) for the remainder of the experiment. We assessed virus titers in the  
186 nasal washes at days 2, 4, 6, 8 and 10 post donor infection in donors and recipient 1 and at  
187 days 4, 6, 8, 10, 12, 14 and 16 post donor infection for recipient 2 guinea pigs.

188  
189 In studies where naïve donor guinea pigs were infected with  $10^4$  PFU of B/Malaysia/2506/2004,  
190 virus titer data indicate that virus was transmitted from the naïve donor to recipient 1 then on to  
191 recipient 2 in the irrelevant NA vaccinated guinea pigs in all 3 replicates (**Fig 3A**). In the  
192 B/Malaysia/2506/2004 NA vaccinated guinea pigs, virus was transmitted from the naïve donor  
193 to recipient 1 in only 1 out of 3 replicates (**Fig 3B**) and recipient 2 guinea pigs remained  
194 uninfected in all replicates.

195  
196 In studies where naïve donor guinea pigs were infected with  $10^5$  PFU of B/Malaysia/2506/2004  
197 virus, virus titer data indicate that virus was transmitted from the naïve donor to recipient 1 then  
198 on to recipient 2 in the irrelevant NA vaccinated guinea pigs in all 3 replicates (**Fig 3C**). In the  
199 B/Malaysia/2506/2004 NA vaccinated guinea pigs, virus transmitted from the naïve donor to  
200 recipient 1 in all 3 replicates (**Fig 3B**), and from recipient 1 to recipient 2 in 1 out of 3 replicates.

201

202 These studies suggest that vaccination with B/Malaysia/2506/2004 NA, alongside relatively  
203 limited exposure to infected donors, resulted in reduced transmission to B/Malaysia/2506/2004  
204 NA vaccinated guinea pigs.

205

## 206 **Discussion**

207

208 Optimal vaccines serve two important purposes. They should protect the vaccinated individual  
209 from disease and they should protect others – including immunocompromised or naïve  
210 individuals – from onward transmission. While the second purpose was well recognized in the  
211 vaccinology and public health community, it has become part of public discourse during the  
212 SARS-CoV-2 pandemic. Several licensed vaccines fulfill both purposes. However, especially for  
213 respiratory viruses, blocking transmission through vaccination is often challenging as  
214 demonstrated with SARS-CoV-2 but also influenza virus . Part of the problem is that many  
215 vaccines are administered intramuscularly which makes them very inefficient in inducing  
216 mucosal immune responses (2-4). However, mucosal immune responses can block infection  
217 completely (sterilizing immunity) depending on the vaccine target, and they can blunt  
218 transmission by reducing titers and/or potentially by producing pathogen that is already coated  
219 in antibody when it leaves the upper respiratory tract and therefore perhaps reduce the  
220 infectiousness of an infected subject.

221

222 In the past we have shown that intranasal vaccination of guinea pigs, which are an excellent  
223 model for influenza virus transmission (while they do not show symptoms of disease), with  
224 recombinant NA can block viral transmission (18). However, this depended on the setting, and  
225 efficacy was higher in an ‘aerosol’ transmission setting in which animals were separated by  
226 perforated barriers as compared to a cohoused setting which allowed for direct contact.  
227 Interestingly, vaccinated guinea pigs, while supporting virus replication when directly infected,  
228 did not pass virus on to naïve animals (18). Vice versa, vaccinated guinea pigs exposed to  
229 naïve infected guinea pigs did get infected but experienced lower virus replication. Here, we  
230 wanted to investigate if NA vaccination could block transmission chains in a setting that  
231 previously led to greater transmission: directly cohousing vaccinated recipient animals with  
232 naïve infected donor animals. In this setting we wanted to explore two variables: Does virus  
233 dose of inoculation matter when initially infecting the donor guinea pig? And does the time  
234 donors and recipients are co-housed have an impact on transmission? We found that mucosal  
235 vaccination with recombinant NA can efficiently break transmission chains but this depends on  
236 ‘intensity’ of exposure. When donor animals were inoculated with a lower dose of virus and  
237 cohoused for a long period of time (6 days) with recipients, transmission to recipients occurred  
238 but only low viral titers were measured and virus was not further transmitted. If the initial viral  
239 inoculum was increased by one log, transmission chains were only broken in one out of three  
240 replicates. If the same experiment was performed with a short cohousing period (24 hours),  
241 transmission chains were blocked efficiently with low and high inocula; at the low inoculum  
242 dose, even transmission to the first recipient was blocked in two out of three replicates. These  
243 different scenarios may be similar to situations that humans experience during the influenza  
244 season as well. The short exposure experiment may resemble short contacts with infected  
245 individuals, e.g., in public transport, during a dinner or at work. The long exposure is perhaps  
246 akin to exposure to infected family members within a household. The low and high inocula  
247 perhaps resemble close contact without a mask versus less close contact or masking.  
248 Irrespectively, in three out of four scenarios, mucosal immunity to NA was able to break  
249 transmission chains and similar immunity in the human population may restrict influenza virus  
250 circulation during the influenza season to a large degree. We cannot exclude that recombinant  
251 HA would have the same effect. Indeed, it is likely that a recombinant HA vaccine administered  
252 the same way would perform well. However, antigenic drift may affect HA more than NA and we

253 therefore think, based on the data presented here and a large number of studies by us and  
254 others that show benefits of NA-based immunity, further (clinical) development of NA-based  
255 mucosal vaccines is warranted (17, 20).

256

### 257 **Acknowledgements**

258

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262 and Response (CEIRR) contract 75N93021C00014 (F.K.).

263

### 264 **Conflict of interest statement**

265

266 The Icahn School of Medicine at Mount Sinai has filed patent applications regarding influenza  
267 virus vaccines based on neuraminidase. FK is listed as inventor.

268

### 269 **Data availability statement**

270

271 Data will be made publicly available upon publication and upon request for peer review.

272

### 273 **Figure legends**

274

275 **Figure 1. Schematic depicting the transmission settings used in these studies.** The  
276 experimental setup for the 6-day contact transmission setting **(A)** and 1-day contact  
277 transmission setting **(B)**.

278

279 **Figure 2. Assessment of B/Malaysia/2506/2004 transmission between vaccinated guinea**  
280 **pigs in a 6-day contact transmission setting.** Naïve donor guinea pigs were anaesthetized  
281 and challenged with  $10^4$  (A and B) or  $10^5$  (C and D) PFU of B/Malaysia/2506/2004. The following  
282 day, donor and vaccinated recipient (recipient 1) transmission pairs were co-caged (contact  
283 transmission). On day 6 post initial donor challenge, the recipient guinea pig (recipient 1) was  
284 removed and rehoused with another vaccinated recipient guinea pig (recipient 2). Recipient 2  
285 was re-homed again on day 12 post initial donor challenge with vaccinated recipient 3. On days  
286 2, 4, 6, 8, and 10 post contact, nasal washes were collected from anaesthetized donor (gray)  
287 and recipient (non-gray) guinea pigs. Arrows depict the addition of a recipient and the removal  
288 of a donor/recipient. The experiment was repeated 3 times with each replicate containing an  
289 unvaccinated donor and a recipient 1, recipient 2 and recipient 3.

290

291 **Figure 3. Assessment of B/Malaysia/2506/2004 transmission between vaccinated guinea**  
292 **pigs in a 1-day contact transmission setting.** Naïve donor guinea pigs were anaesthetized  
293 and challenged with  $10^4$  (A and B) or  $10^5$  (C and D) PFU of B/Malaysia/2506/2004. The following  
294 day, donor and vaccinated recipient transmission pairs were co-caged. On the subsequent day,  
295 vaccinated recipient guinea pigs were removed and re-housed with another vaccinated recipient  
296 guinea pig. On days 2, 4, 6, 8, and 10 post contact, nasal washes were collected from  
297 anaesthetized donor (gray) and recipient (non-gray) guinea pigs. Recipient 2 guinea pigs  
298 received additional nasal washes on day 12 and 14 post contact. Arrows depict the addition of a

299 recipient and the removal of a donor/recipient. The experiment was repeated 3 times with each  
300 replicate containing an unvaccinated donor and a recipient 1, recipient 2 and recipient 3.

301

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303

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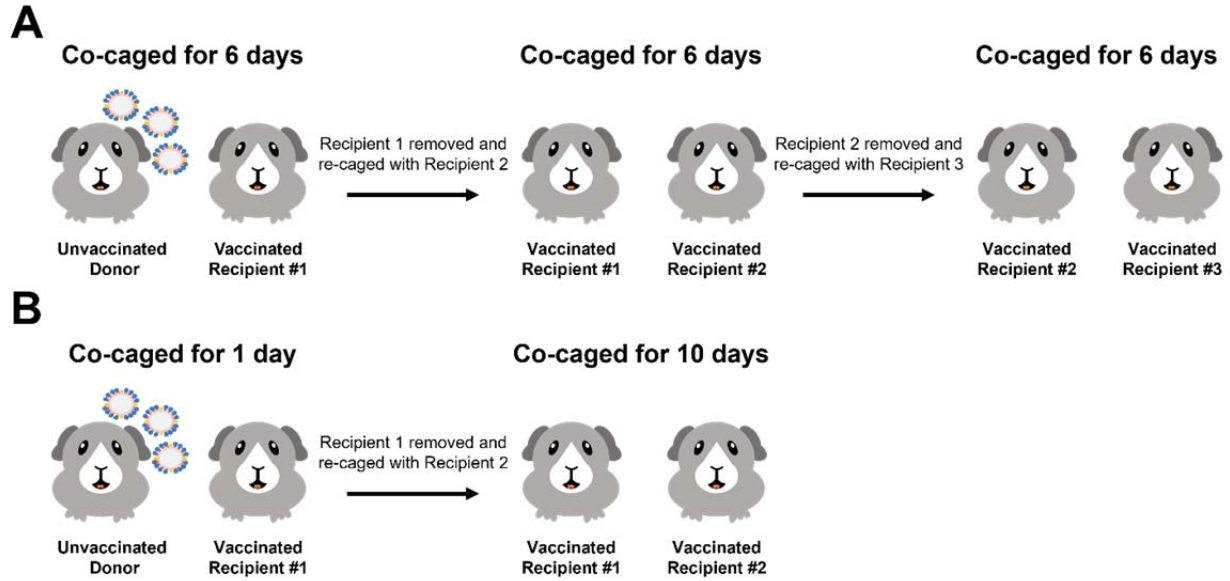
## 384 **Figures**

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386 **Figure 1. Schematic depicting the transmission settings used in these studies.**

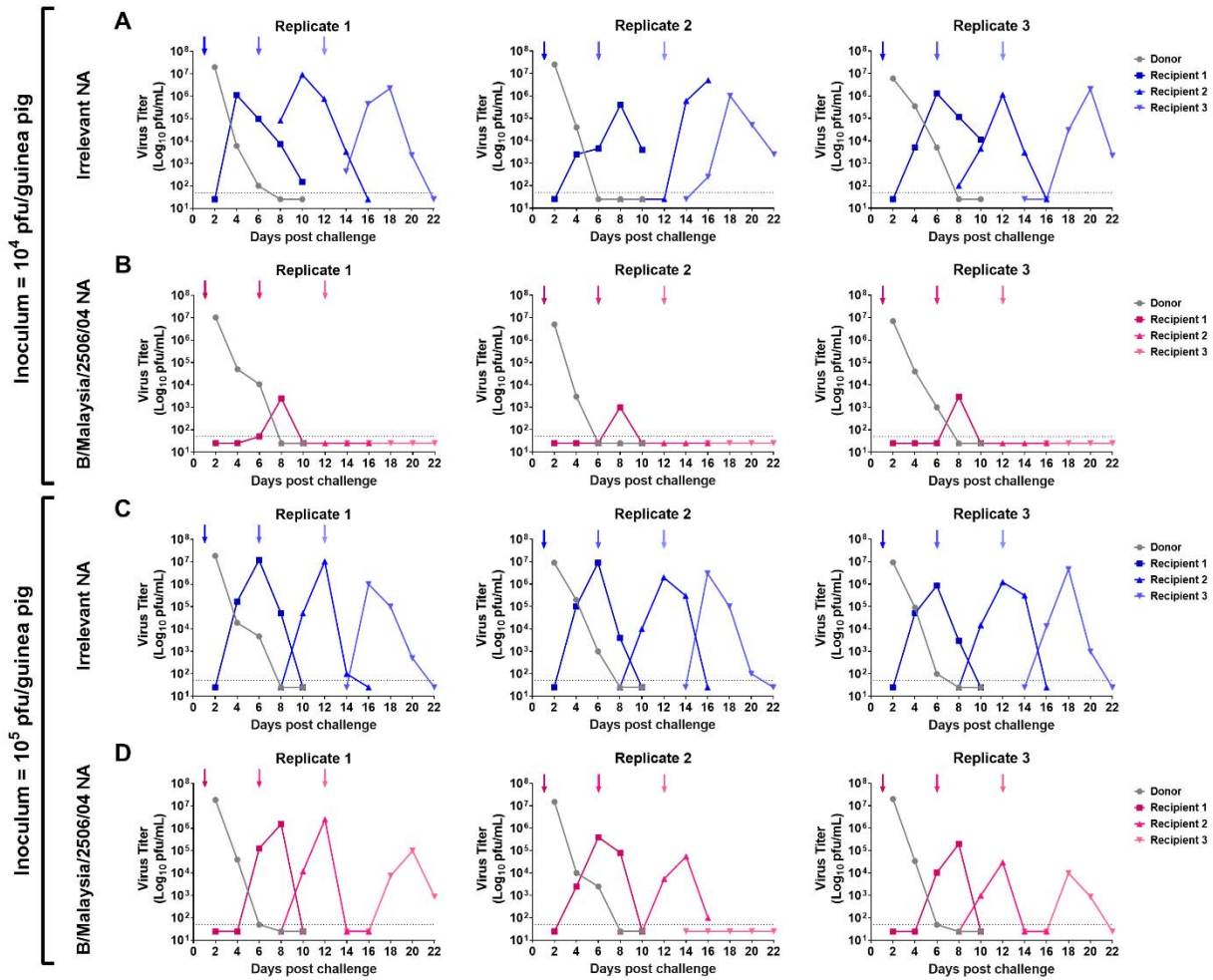
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389 **Figure 2. Assessment of B/Malaysia/2506/2004 transmission between vaccinated guinea**  
390 **pigs in a 6-day contact transmission setting.**

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394 **Figure 3. Assessment of B/Malaysia/2506/2004 transmission between vaccinated guinea pigs following a single day exposure to an infected donor.**  
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