

Research Paper



Serum IgG4:IgG Ratio Predicts Recurrence of Patients with Hepatocellular Carcinoma after Curative Resection

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Abstract

Aim: IgG4 is associated with a Th1-to-Th2 switch, which plays a vital role in metastasis, in patients with malignances; thus, we aimed to investigate its clinical significance in predicting hepatocellular carcinoma (HCC) recurrence in the present study.

Methods: The correlation between serum IgG4:IgG ratio and recurrence was analyzed in a cohort of 195 patients undergoing curative resection in 2012. Another 100 patients were analyzed in a prospective independent cohort during 2012–2013 to validate the value of serum IgG4. Serum IgG4 and total IgG concentrations were measured with an automatic immune analyzer and the optimal cutoff value for serum IgG4 levels was determined by X-tile software.

Results: Our data revealed that serum lgG4:lgG were significantly elevated in patients with tumor recurrence (P<0.05). A cutoff lgG:lgG4 ratio of 0.08 was set to stratify HCC patients into high (>0.08) and low (≤0.08) groups. High serum lgG4:lgG ratio correlated with significantly shorter time-to-recurrence (median 11.85 months vs. 39.20, P=0.005). Univariate and multivariate analyses demonstrated that serum lgG4:lgG ratio is an independent indicator of tumor recurrence and this retained its clinical significance even in conventional low-recurrence-risk subgroups, including patients with low α -fetoprotein and early-stage diseases.

Conclusion: Our results demonstrated that elevated serum IgG4:IgG ratio is associated with poor clinical outcomes in HCC patients and therefore, and can serve as a novel prognostic predictor for HCC patients undergoing resection. Analyzing serum IgG4 would be useful to tailor individualized therapies for patients.

Key words: Hepatocellular carcinoma, serum biomarkers, IgG4, recurrence, prognosis, curative resection, Th1-to-Th2 switch.

Introduction

Hepatocellular carcinoma (HCC) is the fifth most prevalent malignant disease and the third leading cause of cancer-related death worldwide^[1]. Although developments in surgery have improved the survival of patients with HCC, post-surgery survival remains unsatisfactory because of high recurrence and metastasis rates^[2, 3]. Therefore, there is a pressing need for a reliable biomarker that can effectively detect patients with a high risk of relapse after surgery so that additional treatments can be administered.

Recent evidence demonstrates that the immune microenvironment plays an important role in regulating tumor progression and metastasis. A Th2 microenvironment indicates an intratumoral which Th2-cell-dominant immune reaction, characterized by the activation of regulatory cells that produce interleukin-10, and microenvironment with phenotype this exhibited impressing tumor prompting capacity. Moreover in HCC, it has been reported to promote HCC recurrence and metastasis^[4-8]. In addition, circulating Th2 cytokines have been identified as potential predictors of prognosis^[9-11]. A serum biomarker would be ideal for monitoring tumor recurrence in HCC patients.

Human B cells are known to secrete four subclasses of IgG, each of which has different functions^[12, 13]. Although the IgG subclasses have been determined to activate different components of the immune system, their individual effector functions in cancer inflammation remain largely unknown^[14]. The Th2-dependent Immunoglobulin, IgG4, is a minor immunoglobulin subtype that composes 3-6% of circulating IgG in adults. However, the subclass proportions may be altered in the context of certain diseases^[15]. IgG4 has been reported in a range of chronic inflammatory and autoimmune conditions, pancreatitis, such as autoimmune where IgG4-expressing cells infiltrate target organs^[16, 17]. A previous study reported that IgG4 produced in a tumor-induced Th2-based immune response might suppress effector cells. Thereby, IgG4 may induce clinical tolerance in some malignancies^[16]. Moreover, elevated serum IgG4 has been associated with poor prognosis in melanoma^[18]. We assume that this molecule could be an ideal marker for predicting HCC recurrence. However, by far, the clinical utility of serum IgG4 in HCC remains unclear.

We therefore designed this prospective, single-center study with an independent validation cohort to investigate whether serum IgG4 can identify a Th1-to-Th2 switch, which will aid in the prediction of HCC recurrence.

Patients and Methods

Study population

We prospectively recruited a test cohort of patients with HCC who underwent curative resection from 46 ward of Hepatobiliary depart of Zhonghshan Hospital (Fudan University, Shanghai, China) between January and December 2012. Another 100 patients were recruited independently from 47 ward of Hepatobiliary depart of Zhonghshan Hospital (Fudan University, Shanghai, China) from March 2012 to May 2013 to validate the findings in the training cohort (Figure 1). We ensure these two cohorts are entirely independent without any overlapping patients. HCC was defined on the basis of imaging scans and biochemistry tests and was confirmed by histopathology according to the American Association for the Study of Liver Diseases guidelines^[19]. The HCC tumor stage was defined according to the Barcelona Clinic Liver Cancer (BCLC) staging system and BCLC 0+A stage tumors were classified as early stage. Tumor differentiation was determined according to the Edmondson grading system. Liver function was assessed by the Child-Pugh scoring system. Approval for the use of human subjects was obtained from the research ethics committee of Zhongshan hospital and informed consent was obtained from each individual enrolled in the study.



Figure 1. Distribution of patients enrolled in this study.

Follow up

Patients were monitored prospectively by serum a-fetoprotein (AFP) testing, abdomen ultrasonography and chest X-ray, every 1–6 months, as previously described^[19, 20]. Follow up was ended in August 2016. Time to recurrence (TTR) was defined as the interval between surgery and the diagnosis of any type of recurrence, including intrahepatic or extrahepatic recurrence as identified by magnetic resonance imaging or computed tomography.

Determination of serum IgG4 and total IgG concentrations

Peripheral blood samples from HCC patients were collected three days before resection. Serum was immediately separated by centrifugation and stored at -80°C until testing. Commercially available IgG4 and IgG kits (BN* System, Siemens Healthcare Diagnostics, Germany), which based on the scattering immunoturbidimetric assay, were used to determine serum IgG4 and total IgG concentrations for each patient using the BNII instrument according to the manufacturer's instructions. Test quality control was conducted with manufacturer's quality control materials, and clinical samples would not be tested until the detection system was under control.

Statistical analysis

Statistical analyses were performed using SPSS 19.0 software (IBM, Chicago, USA). Experimental values are presented as the mean ± SEM for continuous variables. χ^2 tests, Fisher's exact probability tests and Student's t-tests A were used for comparison between groups, as appropriate. If variances within groups were not homogeneous, the nonparametric Mann-Whitney U test or Wilcoxon signed-rank test was used. The optimal cutoff value for the IgG4:IgG ratio was estimated by X-tile software as we previous did^[21, 22]. The IgG4:IgG ratio and TTR were analyzed using Kaplan-Meier survival curves and log-rank tests, respectively. Univariate and multivariate proportional analyses were performed with the Cox proportional hazard regression model.

Results

Clinical characteristics of patients

The characteristics of the study participants are summarized in Table 1. At the time of analysis, the median follow-up time was 25.80 months (range 0.60-55.00 months) for the training cohort and 33.90 months (range 2.00–53.00months) for the validation cohort. In training cohort, 140 patients were stratified into BCLC 0+A stage (0: 16; A: 124), while 55 patients were stratified into BCLC 0+A stage (0: 16; A: 124), while 55 patients were stratified into BCLC 0+A stage (0: 6; A: 72), and 18 patients were considered as BCLC 0+A stage (0: 6; A: 72), and 18 patients were considered as BCLC B+C stage (B: 9; C: 9). All clinical characteristics were well balanced between the training and validation cohort, excluding the hepatitis B surface antigen (HBsAg) status (P<0.01, **Table 1**).

Serum IgG4:IgG ratio are significantly elevated in recurrent HCC patients

Because the IgG subclass IgG4, which associated with tumor inflammatory microenvironments, might be affected by the total IgG concentration in HCC patients. Hence, we first investigated the correlation between IgG4 and IgG in the training cohort. Serum IgG4 concentrations significantly correlated with the serum IgG concentrations in HCC patients (r^2 =0.44, P<0.01). This indicates that comparing IgG4 to IgG might reduce individual variation and, therefore, we applied IgG4:IgG ratio to reflect serum IgG4 levels. In the training cohort, the IgG4:IgG ratio was significantly higher in recurrent patients than in non-recurrent patients (P<0.05, **Figure 2A**). This indicates that IgG4:IgG ratio was significantly elevated in recurrent HCC patients, which suggests it could be used for predicting HCC recurrence.

Determination of Optimal Cutoff Value for predicting Recurrence

As serum IgG4 showed potential as a predictor of HCC recurrence, we next evaluated the optimized cutoff value for serum IgG4:IgG ratio for recurrence prediction by using X-tile 3.6.1 software (Yale University, New Haven, CT, USA) as our group did previously^[21, 22]. The cutoff value was set according to the most significant P value based on log-rank test and results demonstrated that a cutoff value of 0.08 showed the most significant capability to predict recurrence.

Table 1. Patient characteristics in training and validation cohorts

Characteristic		No. of patients	Training cohort		Validation cohort		Р
		-	No.	%	No.	%	
Total No. of pa	ntients	295	195		100		
Age (mean±SE))		54.90±9.72		53.55±12.35		0.304
SEX	Female	42	23	11.79	19	19	0.094
	Male	253	172	88.21	81	81	
AFP	≤400	207	139	71.28	68	68	0.056
(ng/mL)	>400	88	56	28.72	32	32	
ALT	≤75	272	176	90.26	96	96	0.082
(U/L)	>75	23	19	9.74	4	4	
HBsAg	Negative	30	12	6.15	18	18	0.001
	Positive	265	183	93.85	82	82	
Liver	No	67	38	19.49	29	29	0.065
cirrhosis	Yes	228	157	80.51	71	71	
No.of tumor	Single	235	150	76.92	85	85	0.103
	Multiple	60	45	23.08	15	15	
Tumor size,	≤5	181	114	58.46	67	67	0.154
cm	>5	114	81	41.54	33	33	
Tumor	Complete	183	124	63.59	59	59	0.442
encapsulation	None	112	71	36.41	41	41	
Satellite	No	269	179	91.79	90	90	0.607
lesion	Yes	26	16	8.21	10	10	
Vascular	No	180	123	63.08	57	57	0.311
invasion	Yes	115	72	36.92	43	43	
Edmondson	I-II	182	119	61.03	63	63	0.741
stage	III-IV	113	76	38.97	37	37	
Child-Pugh	А	286	189	96.92	97	97	1.000
score	В	9	6	3.08	3	3	
BCLC stage	0+A	222	140	71.79	82	82	0.055
-	B+C	73	55	28.21	18	18	
Recurrence	No	134	88	45.13	46	46	0.902
	Yes	161	107	54.87	54	54	

Abbreviations: AFP, α-fetoprotein; ALT, alanine aminotransferase; HBsAg, hepatitis B surface antigen; BCLC, Barcelona Clinic Liver Cancer

Vascular invasion contains both portal invasion and microvascular invasion.



Figure 2. Prediction of recurrence with serum IgG4 levels in HCC patients undergoing curative resection. (A) Distribution of serum IgG4 levels in recurrent and non-recurrent patients from the training cohort (left) and from the validation cohort (right). (B) Kaplan–Meier analysis of HCC patients according to serum IgG4 levels in the training cohort (left) and in the validation cohort (right). Cutoff value of IgG4 levels (IgG4 concentration:total IgG concentration) was set as 0.08 according to X-tile software.

 Table 2. Univariate and Multivariate Cox proportional hazard regression analysis of factors associated with recurrence in training and validation cohort

Variables		Training cohort				Validation cohort			
		Univariate analysis		Multivariate analysis		Univariate analysis		Multivariate analys	is
		HR (95% CI)	Р	HR (95% CI)	Р	HR (95% CI)	Р	HR (95% CI)	Р
IgG4/IgG	>0.08 VS ≤0.08	2.385 (1.433-3.969)	0.001	1.950 (1.261-3.014)	0.004	2.478 (1.300-4.725)	0.006	3.416 (1.754-6.655)	0.000
Sex	Male VS female	0.369 (0.150-0.911)	0.031	0.396 (0.160-0.979)	0.045	0.808 (0.401-1.629)	0.552		NA
AFP	>400ng/ml VS	2.026 (1.321-3.107)	0.001	1.682 (1.081-2.617)	0.021	1.572 (0.873-2.828)	0.132		NA
	≤400ng/ml								
No. of tumor	Multiple VS Single	1.533 (0.970-2.424)	0.068		NA	1.073 (0.479-2.401)	0.864		NA
Tumor size	>5cm VS ≤5cm	2.257 (1.482-3.437)	0.000	1.726 (1.161-2.814)	0.003	2.621 (1.462-4.697)	0.001	2.643 (1.47-4.830)	0.002
Satellite lesion	Present VS absent	1.849 (0.957-3.574)	0.067		NA	2.510 (1.160-5.430)	0.019	2.456 (1.110-5.434)	0.027
Vascular invasion	Present VS absent	1.483 (1.972-2.261)	0.067		NA	1.481 (0.831-2.640)	0.183		NA
Edmondson stage	III-IV VS I-II	1.119 (0.731-1.711)	0.605		NA	2.614 (1.459-4.683)	0.001	2.396 (1.312-4.374)	0.004
Child-Pugh score	B VS A	1.931 (0.707-5.275)	0.199		NA	3.150 (0.970-10.225)	0.056		NA
BCLC stage	B+C VS 0+A	1.927 (1.254-2.961)	0.003		NA	2.033 (1.050-3.936)	0.035		NA

Abbreviations: AFP, α-fetoprotein; ALT, alanine aminotransferase; HBsAg, hepatitis B surface antigen; BCLC, Barcelona Clinic Liver Cancer

IgG4:IgG ratio as a prognostic marker in the training cohort

The prognostic significance of IgG4:IgG ratio in patients receiving curative resection was investigated with a cohort of 195 patients. We followed these patients over a median of 25.80 months. During this time, 54.87% (107/195) of these patients suffered recurrence. When stratified into two groups based on IgG4:IgG ratio, patients with higher serum IgG4:IgG ratio had significantly shorter TTR (median 11.85 months vs. 39.20, P=0.005, **Figure 2B**) and higher recurrence rates than those with low ratio (73.08% vs.

52.07%). A univariate analysis indicated that the IgG4:IgG ratio, AFP levels, tumor size, vascular invasion including portal invasion as well as microvascular invasion, and BCLC stage correlated with HCC recurrence (P<0.050, **Table 2**). Considering the BCLC stage was associated with several clinical characteristics including tumor burden and liver function, it was excluded from the multivariate analyses to avoid potential bias. The multivariate analysis also revealed that IgG4:IgG ratio is a significant indicator for TTR (hazard ratio of 1.89; 95% confidential interval: 1.08–3.32; P=0.03; **Table 2**). In addition, AFP levels and a tumor size greater than 5

cm were also independent indicators of TTR (Table 2).

Predicting HCC recurrence with serum IgG4:IgG ratio in subgroups of training cohort.

The prognostic significance of serum IgG4:IgG ratio within low recurrence risk subgroups was further investigated. Patients with AFP \leq 400 ng/ml (low-AFP) and with a high preoperative IgG4:IgG ratio had a higher probability of recurrence or metastasis (median 13.2 months vs. 45.30, *P*=0.019, **Figure 3A**). Similar results were observed in other conventional low risk subgroups, including patients with BCLC stage 0+A (median 12.7 months vs. 45.30, *P*=0.026, **Figure 3B**), single tumor (median 11.6

months vs. 43.25, *P*=0.020, **Figure 3C**) and no satellite lesions (median 13.2 months vs. 39.75, *P*=0.032, **Figure 3D**).

Correlation between serum IgG4:IgG ratio and clinical characteristics of HCC recurrence in the training cohort

Patients with high IgG4:IgG ratio were more likely to have a larger tumor than those with low ratio. However, it did not reach statistical significance (*P*=0.07, **Table 3**). There was no significant difference in other clinicopathological characteristics including tumor size and pathological differentiation between high and low IgG4 level groups in the training cohort.

 Table 3. Correlation between serum lgG4 levels and clinical characteristics

No. of patients (N=195)lgG4/lgGS0.08 (N=195)lgG4/lgGS0.08 (N=169)lgG4/lgGS0.08 (N=10)lgG4/lgGS0.08 (N=82)lgG4/lgGS0.08 (N=82)lgG4/lgGS0.08 (N=82)lgG4/lgGS0.08 (N=82)lgG4/lgGS0.08 (N=18) <thlggads0 </thlggads0 (N=18)lgG4/lgGA0.08 	Clinical characteristics	Training cohort			Validation cohort				
Age		No. of patients (N=195)	IgG4/IgG≤0.08 (N=169)	IgG4/IgG>0.08 (N=26)	Р	No. of patients (N=100)	IgG4/IgG≤0.08 (N=82)	IgG4/IgG>0.08 (N=18)	Р
≤ 50 60 48 12 0.08 46 39 7 0.504 >50 121 121 14 54 43 11 $\geq 8c$ Male 172 147 25 0.177 81 66 15 1.000 Female 22 1 16 3 $\leq HP, ng/nL$ 81 68 55 13 0.672 >400 50 48 8 22 0.492 96 78 18 0.770 <2400 56 81 22 0.492 96 78 18 0.770 >75 176 154 22 0.492 96 78 18 0.770 <75 176 154 22 0.492 96 78 18 0.770 >75 176 154 22 0.492 96 78 18 0.770 >75 176 154 22 0.492 96 78 18 0.770 >75 12 15 134 22 0.492 96 78 18 0.700 >76 13 134 23 0.721 30 27 3 0.173 >76 13 134 0.51 31 13 0.603 >5 141 103 11 0.73 67 54 13 0.6	Age			. ,					
>5013512114544311SexMale172147250.1778166151.000Fenale2322119163AFP, ng/nL ≤ 400 564880.8046855130.672>4005648832275 ≤ 75 17615420.4929678180.700 >75 19154440H8adg440Regative181530.70315100.000Positive181530.272302730.17315Liver cirrhosisNo of tumorSigle15012180.4538571140.6030.603<	≤50	60	48	12	0.068	46	39	7	0.504
Sex Sex <thsex< th=""> Sex <thsex< th=""></thsex<></thsex<>	>50	135	121	14		54	43	11	
Male 172 147 25 0.177 81 66 15 1.000 Fenale 23 22 1 19 16 3	Sex								
Female 23 22 1 19 16 3 AFP, ng/mL .	Male	172	147	25	0.177	81	66	15	1.000
AFP, ng/mL \$400 139 121 18 0.84 68 55 13 0.67 \$400 66 48 8 32 27 5 13 0.67 ALT, U/L	Female	23	22	1		19	16	3	
\$400139121180.8046855130.672>4005648832275ALT, U/L	AFP, ng/mL								
>4005648832275ALT, U/L	≤400	139	121	18	0.804	68	55	13	0.672
ALT, U/L \$75 176 154 22 0.492 96 78 18 0.700 >75 19 15 2 0.492 96 78 18 0.700 >75 19 15 2 0.492 96 78 18 0.700 >75 19 15 3 0.000 18 15 3 1.000 Positive 183 158 25 82 67 15 1.000 Positive 183 158 25 82 67 3 0.001 View cirrhosis	>400	56	48	8		32	27	5	
≤ 75 176154220.4929678180.770 >75 19154440HBsAgNegative121110.930181531.000Positive18315825Liver cirrhosis	ALT, U/L								
>7519154440HBsAg	≤75	176	154	22	0.492	96	78	18	0.770
HBsAg Negative 12 11 1 0.930 18 15 3 1.000 Positive 12 11 1 0.930 18 15 3 1.000 Positive 12 13 25 82 67 5 15 Liver cirrhosis	>75	19	15	4		4	4	0	
Negative121110.930181531.000Positive18315825826715Liver cirrhosis $$	HBsAg								
Positive18315825826715Liver cirrhosis 15 3 0.272 30 27 3 0.173 No38 35 3 0.272 30 27 3 0.173 Yes 157 134 23 70 55 15 15 No. of tumor 5 132 18 0.453 85 71 14 0.560 Multiple 45 37 8 15 11 4 660 57 11 4 Tumor size, cm 5 114 103 11 0.073 67 54 13 0.603 >5 81 66 15 33 28 5 71 14 0.603 >5 114 103 11 0.073 67 54 13 0.603 >5 81 66 15 33 28 5 71 14 0.603 None 71 15 0.280 59 51 8 0.666 0.666 0.666 0.666 0.666 0.666 0.666 0.666 0.666 0.666 0.6666 0.66666 $0.666666666666666666666666666666666666$	Negative	12	11	1	0.930	18	15	3	1.000
Liver cirrhosis No 38 35 3 0.272 30 27 3 0.173 Yes 157 134 23 70 55 15 70 55 15 No. of tumor 5 15 15 15 15 16 </td <td>Positive</td> <td>183</td> <td>158</td> <td>25</td> <td></td> <td>82</td> <td>67</td> <td>15</td> <td></td>	Positive	183	158	25		82	67	15	
No383530.272302730.173Yes15713423705515No. of tumorSingle150132180.4538571140.560Multiple4537815114Tumor size, cm ≤ 5 114103110.0736754130.603>58106615326560.603Tumor encapsulation1514103160.280595180.666None716474131101010101010Satellite lesion1513103121310310 <t< td=""><td>Liver cirrhosis</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></t<>	Liver cirrhosis								
Yes15713423705515No. of tumorSingle150132180.4538571140.560Multiple4537815114Tumor size, cm ≤ 5 114103110.0736754130.603>5816615332851Tumor encapsulation733285166None124105190.280595180.166None716474131101Satellite lesion7220.1528772150.901Yes161241310310.50Vascular invasion123107160.861574610.697	No	38	35	3	0.272	30	27	3	0.173
No. of tumorSingle150132180.4538571140.560Multiple4537815114Tumor size, cm \leq 151140.603 \leq 5114103110.0736754130.603 >5 8166153328510Tumor encapsulation $<$ $<$ $<$ $<$ $<$ $<$ $<$ $<$ Complete124105190.280595180.166None71647413110 $<$ Satellite lesion $<$ $<$ $<$ $<$ $<$ $<$ $<$ Yes16124 $<$ $<$ $<$ $<$ $<$ $<$ $<$ $<$ Vascular invasion $<$ $<$ $<$ $<$ $<$ $<$ $<$ $<$ $<$ $<$ $<$ $<$ No123107160.861 $<$ $<$ $<$ $<$ $<$ $<$ $<$ $<$ $<$ $<$ $<$ No1231	Yes	157	134	23		70	55	15	
Single150132180.4538571140.560Multiple4537815114Tumor size, cm \leq 110.0736754130.603 \leq 5114103110.0736754130.603 >5 8166153328511Tumor encapsulation $190.280595180.166None124105190.280595180.166Satellite lesion12220.1528772150.901Yes161241310310310310Vascular invasion123107160.8615746110.697$	No. of tumor								
Multiple4537815114Tumor size, cm \leq 114103110.0736754130.603 \leq 5816615332851Tumor encapsulation $<$ $<$ $<$ $<$ $<$ $<$ $<$ $<$ $<$ Complete124105190.280595180.166None7164741311010Satellite lesion $<$ $<$ $<$ $<$ $<$ $<$ $<$ Yes161241310 $<$ $<$ $<$ $<$ Vascular invasion $<$ $<$ $<$ $<$ $<$ $<$ $<$ $<$ $<$ No123107160.861574611 $<$ $<$ $<$	Single	150	132	18	0.453	85	71	14	0.560
Tumor size, cm ≤ 5 114103110.0736754130.603 ≥ 5 81661533285Tumor encapsulation7332857Complete124105190.280595180.166None7164741311010Satellite lesion7150.901157220.1528772150.901Yes161241310310310310Vascular invasion7160.8615746110.697	Multiple	45	37	8		15	11	4	
≤ 5 114103110.0736754130.603 >5 81661533285Tumor encapsulationComplete124105190.280595180.166None7164741311010Satellite lesionNo179157220.1528772150.901Yes1612413103103Vascular invasionNo123107160.8615746110.697	Tumor size, cm								
>581661533285Tumor encapsulationComplete124105190.280595180.166None7164741311010Satellite lesionNo179157220.1528772150.901Yes1612413103103Vascular invasionNo123107160.8615746110.697	≤5	114	103	11	0.073	67	54	13	0.603
Tumor encapsulation Complete 124 105 19 0.280 59 51 8 0.166 None 71 64 7 41 31 10 Satellite lesion V No 179 157 22 0.152 87 72 15 0.901 Yes 16 12 4 13 10 3 3 Vascular invasion Var 13 10 3 3 3 3 No 123 107 16 0.861 57 46 11 0.697	>5	81	66	15		33	28	5	
Complete 124 105 19 0.280 59 51 8 0.166 None 71 64 7 41 31 10 5 Satellite lesion 7 41 31 10 5 6 7 9 10 9 No 179 157 22 0.152 87 72 15 0.901 Yes 16 12 4 13 10 3 10 3 Vascular invasion 123 107 16 0.861 57 46 11 0.697	Tumor encapsulation								
None 71 64 7 41 31 10 Satellite lesion	Complete	124	105	19	0.280	59	51	8	0.166
Satellite lesion No 179 157 22 0.152 87 72 15 0.901 Yes 16 12 4 13 10 3 Vascular invasion Var 16 0.861 57 46 11 0.697	None	71	64	7		41	31	10	
No 179 157 22 0.152 87 72 15 0.901 Yes 16 12 4 13 10 3 Vascular invasion Vacular invasion No 123 107 16 0.861 57 46 11 0.697	Satellite lesion								
Yes 16 12 4 13 10 3 Vascular invasion	No	179	157	22	0.152	87	72	15	0.901
Vascular invasion No 123 107 16 0.861 57 46 11 0.697 Var 72 62 10 42 26 7	Yes	16	12	4		13	10	3	
No 123 107 16 0.861 57 46 11 0.697 Var 72 62 10 42 26 7	Vascular invasion								
V_{22} 72 (2 10 42 26 7	No	123	107	16	0.861	57	46	11	0.697
1es /2 02 10 45 50 /	Yes	72	62	10		43	36	7	
Edmondson stage	Edmondson stage								
I-II 119 102 17 0.624 63 50 13 0.371	I-II	119	102	17	0.624	63	50	13	0.371
III-IV 76 67 9 37 32 5	III-IV	76	67	9		37	32	5	
Child-Pugh score	Child-Pugh score								
A 189 164 25 1.000 97 80 17 1.000	A	189	164	25	1.000	97	80	17	1.000
B 6 5 1 3 2 1	В	6	5	1		3	2	1	
BCLC stage	BCLC stage								
0+A 140 123 17 0.435 82 67 15 1.000	0+A	140	123	17	0.435	82	67	15	1.000
B+C 55 46 9 18 15 3	B+C	55	46	9		18	15	3	

Abbreviations: AFP, α -fetoprotein; ALT, alanine aminotransferase; HBsAg, hepatitis B surface antigen; BCLC, Barcelona Clinic Liver Cancer. Vascular invasion contains both portal invasion and microvascular invasion.



Figure 3. Recurrence prediction values using serum IgG4 levels in patients with low-recurrence risk. Kaplan–Meier analysis of HCC patients with AFP <400 ng/ml (A), BCLC 0+A (B), single tumor (C), and no satellite lesion (D) in the training and validation cohorts.

Validation of serum IgG4:IgG ratio as a prognostic marker for HCC recurrence

After exploring the preliminary significance of serum IgG4, we next independently recruited another 100 HCC patients to construct a cohort to validate the training cohort findings. The TTR for patients with high IgG4:IgG ratio was significantly shorter compared with low-risk patients (median 9.6 months vs. not reached, P<0.01, **Figure 2B**). This was found for all HCC patients as well as within the low-recurrence-risk subgroups (low-AFP, median 8.7 months vs. not reached, P=0.04; BCLC 0+A, median 10.5 months vs. not reached, P<0.01; single tumor, median 11.25 months vs. not reached, P=0.03; no satellite lesion, median 10.5 months vs. not reached,

P<0.01; **Figure 3A–D**). Therefore, these data support the hypothesis that serum IgG4:IgG ratio is an independent indictor of HCC recurrence (HR=3.29; 95%CI: 1.65–6.55; *P*=0.01; **Table 2**). In addition, tumor size is also a significant indicator of HCC recurrence.

Discussion

IgG4 is a significant prognostic indicator in extrahepatic cholangiocarcinomas, melanoma and pancreatic cancers^[16,18,23]. In the present study, we described the clinical significance of serum IgG4 in HCC. Our data showed that serum IgG4 were significantly elevated in patients with recurrent HCC, which was also demonstrated to be an independent predictor of recurrence for HCC patients after curative resection in two cohorts, including an independent validation cohort. IgG4 retained its several prediction value in conventional low-recurrence-risk subgroups, thereby strengthening the clinical utility of this circulating biomarker for predicting HCC recurrence. Moreover, serum IgG4 and IgG could be analyzed using standard commercial kits, which are approved by the FDA for in vitro clinical diagnostic use and are routinely used in clinical laboratories. Thus, the detection of serum IgG4 levels could easily be standardized to provide accurate, universal and important information for early decision-making to tailor the most effective therapy for each HCC patient.

Because IgG4 is secreted by plasma cells, the predictive value of serum IgG4 for tumor recurrence could be demonstrated by the function of this IgG subclass. IgG4 exhibits a limited capacity for activating the immune system and is considered as a non-activating IgG subclass^[24-26]. In addition, IgG4 antibodies are able to interact with other IgG antibodies, impeding their immune activating function^[16]. Furthermore, IgG4 is a marker of the immune system shifting from a Th1 to a Th2 response^[27], in which regulatory T cells are highly activated and several negative immune regulatory factors, including IL-10, are secreted^[8,28]. Thus, intratumoral IgG4 can greatly hinder the antitumor function of immune cells that have infiltrated a tumor, thereby creating a suitable microenvironment for tumor growth and resulting in a high incidence of recurrence caused by tumor cell spreading. Given that IgG4 is a secreted protein, detection of serum IgG4 levels might be an ideal tool to reflect the intratumoral immune status and to predict patient prognosis. In support of this, serum IgG4 was associated with poor prognosis in melanoma patients. This indicates that serum IgG4 could serve as a prognostic prediction marker with the advantages of cost efficient and non-invasive, compared with detecting IgG4 by

immunohistochemistry^[16]. In HCC, the impact of the immune status of the tumor microenvironment on patient prognosis has raised extensive attention in recent years^[29-33]. A pilot study showed that the immune response within the tumor microenvironment underwent a unique Th1-to-Th2 switch in metastatic HCC. In these cases, the secretion of Th2-like cytokines was significantly elevated, which strongly inhibited the inflammatory response within the tumor microenvironment and facilitated HCC metastasis^[8]. Additionally, individual serum levels of several Th2 cytokines have been reported to be significantly associated with the recurrence of HCC^[10,11].

In light of the above considerations, we proposed that serum IgG4 could serve as an indicator of a Th1-to-Th2 switch within HCC patients and act as a powerful prognostic biomarker. We found a significant correlation between IgG4 and IgG, as the level of IgG4 would affect the total IgG level. Hence, we analyzed the IgG4:IgG ratio instead of IgG4 alone to reduce individual bias^[16]. Our data showed that 0.08 according to X-tile software was the optimal cutoff value for predicting HCC recurrence. High preoperative IgG4 was an independent recurrence indicator and this was validated with an independent cohort of patients in our study. Our results strongly suggest that analyzing preoperative serum IgG4:IgG ratio could effectively identify patients who have a high risk of recurrence. These patients could then receive additional adjuvant therapies to reduce the recurrence risk and improve their prognosis. Taken together, the detection of serum IgG4 could indicate the intratumoral immune status and thereby identify patients who require further treatment following resection.

In clinical practice, it is difficult to predict which individuals would have tumor recurrence after curative resection for early-stage HCC, such as BCLC 0+A-stage patients^[22]. From our data, we observed that serum IgG4 levels retained significant recurrence prediction in BCLC 0+A-stage patients with HCC. Currently, AFP is the most widely used serum biomarker for HCC. However, about 30 to 40% of patients have normal serum AFP levels at diagnosis, which is a significant limitation for the clinical use of this biomarker^[34, 35]. Thus, we investigated the prediction value of IgG4 in the low-AFP subgroup. We found that low-AFP patients could be stratified into two groups according to serum IgG4 with substantially different recurrence rates. Our results indicate that IgG4 is a powerful prognostic marker for HCC, especially for patients with early-stage disease and normal AFP levels. We identified a novel serum biomarker for patients whose prognosis is difficult to

predict by conventional indexes. Analyzing IgG4 can significantly improve the ability of clinicians to identify patients at high risk of recurrence that require targeted adjuvant therapy.

We enrolled an independent cohort of patients to validate the clinical utility of serum IgG4, and the clinical characteristics between the training and validation cohort were similar, which indicates the reliability and universality of our findings. However, there are still some limitations of present study. It should be noted that most patients with HCC in China have a hepatitis B virus-positive background, which differs greatly from the patient population in previous studies in the United States, Europe and Japan. Therefore, the prognostic significance of serum IgG4 needs to be validated in patients with HCC from geographic areas. Moreover, those several conventional prognostic factors such as vascular invasion and satellite lesions, this might resulted from the relatively small cohort of patients enrolled in this study and short time of follow-up. What should also be mentioned was that all patients recruited in present study received curative resection which indicated they shared almost similar severity of tumor. Thus, a multi-center, large-scale, systematic clinical trial should be conducted in the future. In addition, we did not comprehensively investigate the mechanism of IgG4 in promoting tumor cell spreading, but this work is underway in our laboratory.

To our knowledge, this is the first report to demonstrate the recurrence prediction value of serum IgG4 in HCC patients. Further investigation into the function of IgG4 in regulating the immune microenvironment might provide a new insight into the mechanism of HCC recurrence and metastasis. This information may identify novel therapeutic strategies that promote a Th1 microenvironment to improve the prognosis of HCC patients.

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Competing Interests

The authors have declared that no competing interest exists.

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