

Ring-opening polymerization of ϵ -caprolactone initiated by tin(II) octoate/*n*-hexanol: DSC isoconversional kinetics analysis and polymer synthesis

Winita Punyodom^{a,b}, Wanich Limwanich^c, Puttinan Meepowpan^{a,b} and Boontharika Thapsukhon^d 

^aPolymer Research Laboratory, Department of Chemistry, Faculty of Science, Chiang Mai University, Chiang Mai, Thailand; ^bCenter of Excellence in Materials Science and Technology, Chiang Mai University, Chiang Mai, Thailand; ^cFaculty of Sciences and Agricultural Technology, Rajamangala University of Technology Lanna, Chiang Mai, Thailand; ^dDepartment of Chemistry, School of Science, University of Phayao, Phayao, Thailand

ABSTRACT

The kinetics of ring-opening polymerization (ROP) of ϵ -caprolactone (ϵ -CL) initiated by 1.0, 1.5 and 2.0 mol% of stannous(II) octoate/*n*-hexanol ($\text{Sn}(\text{Oct})_2/n\text{-HexOH}$) were successfully studied by non-isothermal differential scanning calorimetry (DSC) at heating rates of 5, 10, 15 and 20 °C/min. The DSC polymerization kinetic parameters of ϵ -CL were calculated using differential (Friedman) and integral isoconversional methods (Kissinger-Akahira-Sunose, KAS). The average activation energy (E_a) values obtained from Friedman and KAS methods were in the range of 64.9–70.5 kJ/mol and 64.9–80.4 kJ/mol, respectively. The values of frequency factor (A) were determined from model fitting method using Avrami-Erofeev reaction model. The average values of A for the ROP of ϵ -CL initiated by 1.0, 1.5 and 2.0 mol% of $\text{Sn}(\text{Oct})_2/n\text{-HexOH}$ (1:2) were 7.3×10^7 , 2.8×10^6 and $1.2 \times 10^6 \text{ min}^{-1}$, respectively. From kinetics studied, the polymerization rate of ϵ -CL increased with increasing initiator concentration. The performance of $\text{Sn}(\text{Oct})_2/n\text{-HexOH}$ in the synthesis of poly(ϵ -caprolactone) (PCL) was investigated by bulk polymerization at temperatures of 140, 160 and 180 °C. $\text{Sn}(\text{Oct})_2/n\text{-HexOH}$ (1:2) could produce high number average molecular weight ($M_n = 9.0 \times 10^4 \text{ g/mol}$) and %yield (89%) of PCL in a short period of time at $\text{Sn}(\text{Oct})_2$ concentration of 0.1 mol% and temperature of 160°C. The mechanism of the ROP of ϵ -CL with $\text{Sn}(\text{Oct})_2/n\text{-HexOH}$ was proposed through the coordination-insertion mechanism.

ARTICLE HISTORY

Received 25 January 2021
Accepted 22 March 2021

KEYWORDS

Kinetics; DSC;
 ϵ -caprolactone; ring-opening
polymerization; tin(II)
octoate

Introduction

Poly(ϵ -caprolactone) (PCL) is very attractive polyester due to its versatile properties such as biodegradability, biocompatibility and capable blend with various polymers. PCL is widely interesting in industrial and academic research because of its important applications in packaging, agriculture and biomedical field [1–3]. PCL is frequently used in medical and pharmaceutical applications such as drug delivery systems, suture and scaffold for tissue engineering due to the nontoxic products from degradation process can be eliminated or resorbable by the human body [4–6].

Recently, the ring-opening polymerization (ROP) of ϵ -caprolactone (ϵ -CL) is considered as an potential route to obtain the biodegradable PCL with controlled properties. For this manner, effective metal-containing initiators are required to achieve the control ROP of ϵ -CL. Tin(II) bis(2-ethyl hexanoate) or tin(II) octoate ($\text{Sn}(\text{Oct})_2$), a well-known initiating system, is traditionally utilized in the ROP of cyclic esters [6–11]. In ROP of cyclic esters, $\text{Sn}(\text{Oct})_2$ can be converted into tin alkoxide ($\text{Sn}(\text{OR})_2$), the

actual initiator, by reaction with alcohol ($\text{R}'\text{OH}$) prior to initiate polymerization [9]. After formation of $\text{Sn}(\text{OR})_2$, the ROP of cyclic esters with this $\text{Sn}(\text{OR})_2$ proceeds by the coordination-insertion mechanism [10,11]. The understanding of this mechanism has impacted the attention of many researchers until now.

Long time ago, the thermal stimulated reactions have been effectively studied by thermoanalytical techniques, especially differential scanning calorimetry (DSC) [12–23]. In the recent years, isoconversional methods such as differential and integral methods, have been used to determine the values of effective activation energy (E_a) as a function of conversion (α) at which an equivalent stage of reaction occurs for various heating rates [12,13]. For the integral isoconversional methods such as Kissinger-Akahira-Sunose (KAS) [14,15] and Ozawa-Flynn-Wall (OFW) [22,23], the more accurate methods, require the mathematics approximation of the temperature integral for calculation of E_a . Recently, an attempt to use DSC for investigating the kinetics of L-lactide (LL) polymerization with $\text{Sn}(\text{Oct})_2$

catalyst was reported in literature [24]. The experiments were conducted under isothermal mode at constant temperature of 180–220°C. The E_a and pre-exponential factor (A) were calculated using model of reversible lactide polymerization. Li and co-workers [19] also investigated the ROP of ϵ -CL initiated by titanium(IV) alkoxide ($\text{Ti}[\text{O}(\text{CH}_2)_4\text{OCH}=\text{CH}_2]_4$) by DSC technique. The obtained E_a values for this reaction were in the range of 75–86 kJ/mol. From our previous works, the non-isothermal DSC technique was successfully used to study the kinetics of the ROP of ϵ -CL initiated by different metal-containing initiators such as tributyltin(IV) alkoxides, tin(II) *n*-butyl L-lactate, tin(II) chloride, dibutyltin(IV) dichloride and tributyltin(IV) chloride [16–18].

Therefore, the objective of this work is to investigate the ROP of ϵ -CL with $\text{Sn}(\text{Oct})_2/n$ -hexanol (*n*-HexOH) initiating system by non-isothermal DSC. The non-isothermal kinetics of this reaction is studied for the first time by isoconversional methods. The effect of $\text{Sn}(\text{Oct})_2/n$ -HexOH concentration on the ROP of ϵ -CL is also discussed. The catalysis effect of this $\text{Sn}(\text{Oct})_2/n$ -HexOH on the synthesis of high molecular weight PCL is investigated by bulk polymerization. Furthermore, the mechanistic aspect for the ROP of ϵ -CL will be studied and described.

Materials and methods

Materials

ϵ -Caprolactone (ϵ -CL) (Acros Organics, 99%) was purified by fractional distillation under reduced pressure. Tin(II) octoate ($\text{Sn}(\text{Oct}_2)$) (Sigma-Aldrich, 95%) was purified by vacuum distillation before used. *n*-Hexanol (*n*-HexOH) (Scharlau, 99%) were dried over sodium metal and purified by distillation before used. Chloroform (Carlo Erba, 99%) and methanol (Carlo Erba, 99%) were used as received.

Methods

Non-isothermal DSC polymerization

Kinetics of the ROP of ϵ -CL initiated by 1.0, 1.5 and 2.0 mol% of $\text{Sn}(\text{Oct})_2/n$ -HexOH (1:2) were investigated by Perkin-Elmer DSC-7 under non-isothermal condition. The 2 g of ϵ -CL with $\text{Sn}(\text{Oct})_2/n$ -HexOH (1:2) was weighed in a dry vial and stirred vigorously for 10 min. For each experiment, about 5–10 mg of reaction mixture was accurately weighed into an aluminum DSC pan. The samples were heated from 20 to 260 °C at heating rates of 5, 10, 15, and 20 °C/min under a flowing nitrogen atmosphere of 20 mL/min without sample mass lost. The

obtained data was analyzed and processed by Pyris DSC-7 software.

Theoretical consideration for non-isothermal DSC kinetics analysis

Normally, the ROP of cyclic ester monomer is exothermic reaction similar to other polymerization reaction. Therefore, the kinetic of ROP can be alternatively investigated by measuring the heat released from polymerization using non-isothermal differential scanning calorimetry (DSC) technique. Under non-isothermal condition, the overall rate of reaction can be determined from Equation (1) [12].

$$\beta \frac{da}{dT} = A \exp\left(-\frac{E_a}{RT}\right) f(a) \quad (1)$$

where β is heating rate, da/dT is polymerization rate, A is frequency factor, E_a is activation energy, R is universal gas constant, T is temperature, a is the fraction of monomer conversion determined from ratio of the released heat at any time and heat of polymerization [17] and $f(a)$ is the reaction model or the conversion function. The values of E_a and A can be determined by the peak methods or the isoconversional methods [18]. The dependency of E_a values with monomer conversion obtained from isoconversional methods can be used to investigate the complexity of polymerization such as the single or the multiple reactions [12]. The differential isoconversional method of Friedman [20] allows E_a to be determined at each given conversion degree as shown in Equation (2).

$$\ln\left(\frac{da}{dt}\right)_{a,i} = \ln(A_a f(a)) - \frac{E_{a,a}}{RT_{a,i}} \quad (2)$$

where the subscript i denotes the ordinal number of a non-isothermal experiment conducted at the heating rate β_i and the subscript a denotes the quantities evaluated at a specific conversion degree. For integral isoconversional method, Kissinger-Akahira-Sunose (KAS) is one of the accurate methods to determine the values of E_a under non-isothermal condition using Murray and White mathematic approximation [13]. The KAS isoconversional method shows the linear relationship between $\ln(\beta/T^2)$ and $1/T$ as shown in Equation (3). From KAS plots, the values of E_a at each monomer conversion can be determined from the slope of these plots.

$$\ln\left(\frac{\beta}{T^2}\right) = \ln\left(\frac{-RAf'(a)}{E_a}\right) - \frac{E_a}{RT} \quad (3)$$

When replacing the E_a values obtained at different monomer conversions from Friedman isoconversional method into the compensation effect as shown in Equation (4) [12], the value of A from Equation (2) can be determined.

$$\ln A = aE_a + b \quad (4)$$

where a and b are compensation parameters that can be obtained from the linear least-squares best-fit technique with the knowledge of E_i and $\ln A_i$ shown in Equation (5). When replacement the reaction models ($f_i(\alpha)$) at each heating rate into Equation (5), The values of E_i and $\ln A_i$ can be determined.

$$\ln \left(\frac{\beta(d\alpha/dT)}{f_i(\alpha)} \right) = -\frac{E_i}{RT} + \ln A_i \quad (5)$$

The values of E_i and $\ln A_i$ can be extracted from slope and intercept of the linear plots between $\ln[d\alpha/dT]/f_i(\alpha)$ and $1/T$ under suitable $f_i(\alpha)$ (highest R^2 values in the $\alpha = 0.2$ – 0.8). When the E_i value shown in Equation (6) is replaced by E_0 which is the average value of E_a obtained from Friedman method combination with a and b values, $\ln A_0$ can be determined [12,18]. Thus, the reaction model ($f(\alpha)$) can be determined by substituting the E_0 and A_0 into Equation (1) resulting in Equation (6).

$$f(\alpha) = \left(\frac{d\alpha}{dt} \right)_{a,h} \left[A_0 \exp \left(-\frac{E_0}{RT} \right)_{a,h} \right]^{-1} \quad (6)$$

Synthesis of poly(ϵ -caprolactone) by bulk polymerization

The ROP of ϵ -CL with $\text{Sn}(\text{Oct})_2/n$ -HexOH (1:2) initiating system were carried out in round-bottomed flask with ground-glass joints and magnetic stirring. To investigate the effectiveness of the $\text{Sn}(\text{Oct})_2/n$ -HexOH, ϵ -CL (4 g) with 0.1, 0.2, 0.3, 0.4 and 0.5 mol% of $\text{Sn}(\text{Oct})_2/n$ -HexOH (1:2) were weighted accurately into the reaction flask in a controlled atmosphere glove box under nitrogen gas at room temperature. The flasks were immersed in a pre-heated silicone oil bath at a constant temperatures 140, 160 and 180 °C for 1 h. At the end of polymerization, the flasks were cooled down to room temperature. The crude PCLs were purified by dissolving in chloroform and re-precipitating in cold methanol before drying in a vacuum oven at 45 °C until the constant weight. The chemical structure and the %conversion of the obtained PCLs were characterized by proton nuclear magnetic resonance spectroscopy ($^1\text{H-NMR}$) (Bruker Avance 400). The molecular weight averages and polydispersity (PDI) of the purified PCLs were determined by Water e2695 gel permeation chromatography (GPC) at 40°C with refractive index and viscosity detector. Tetrahydrofuran (THF) was used as an eluent with a flow rate of 1.0 mL/min.

Results and discussion

Non-isothermal DSC kinetic analysis for the ROP of ϵ -caprolactone initiated by tin(II) octoate/ n -hexanol

The polymerization measurement under non-isothermal DSC condition provides good kinetic information under different heating rates in a short period of time. For non-isothermal DSC polymerization, the reaction mixtures of ϵ -CL with 1.0, 1.5 and 2.0 mol% of $\text{Sn}(\text{Oct})_2/n$ -HexOH (1:2) are heated from 20 to 300°C at heating rates of 5, 10, 15 and 20°C/min. Non-isothermal DSC curves for the ROP of ϵ -CL initiated by 1.0, 1.5 and 2.0 mol% of $\text{Sn}(\text{Oct})_2/n$ -HexOH (1:2) in the temperature ranges between 100 and 260°C at various heating rates are displayed in Figure 1. From Figure 1(a–c), it is found that the polymerization exotherms shift to higher temperature range as heating rate increases similar to literatures [17,24,25]. At high heating rate, the curves showed wider and sharper exotherms which are related to the high polymerization rate [16]. From Figure 1(d), the polymerization exotherms obtained from ROP of ϵ -CL with 1.0 mol% of $\text{Sn}(\text{Oct})_2/n$ -HexOH (1:2) system are observed at higher temperature range than 1.5 and 2.0 mol%, respectively. This indicates that the initiator concentration affects the polymerization temperature.

From the obtained results in Figure 1, the values of monomer conversion (α) and polymerization rate (da/dt) can be determined and the plots of monomer conversion and polymerization rate for the ROP of ϵ -CL initiated by 1.0, 1.5 and 2.0 mol% $\text{Sn}(\text{Oct})_2/n$ -HexOH (1:2) against temperature are depicted in Figures 2–3. From Figure 2, it is found that as heating rate increases, the monomer conversion approaches 1 at higher temperature. The monomer conversion for the ROP of ϵ -CL initiated by 2.0 mol% $\text{Sn}(\text{Oct})_2/n$ -HexOH (1:2) reaches 1.0 at lower temperature range than 1.5 and 1.0 mol% $\text{Sn}(\text{Oct})_2/n$ -HexOH, respectively. From Figure 3, it is found that the polymerization rate of ϵ -CL with 2.0 mol% of $\text{Sn}(\text{Oct})_2/n$ -HexOH increases to maximum at lower temperature range than 1.5 and 1.0 mol%, respectively. This indicates that the polymerization rate of ϵ -CL increases with increasing initiator concentration.

From the obtained results, the values of activation energy (E_a) can be effectively determined by the isoconversional methods. The assumption in the isoconversional method is that the effective activation energy solely depends on conversion and not on temperature. In this work, the values of E_a for the whole stage of ϵ -CL polymerization are investigated from Friedman (Equation (2)) and KAS isoconversional method (Equation (3)). The example of KAS plots for the ROP of ϵ -CL initiated by 1.0, 1.5 and 2.0 mol% of $\text{Sn}(\text{Oct})_2$

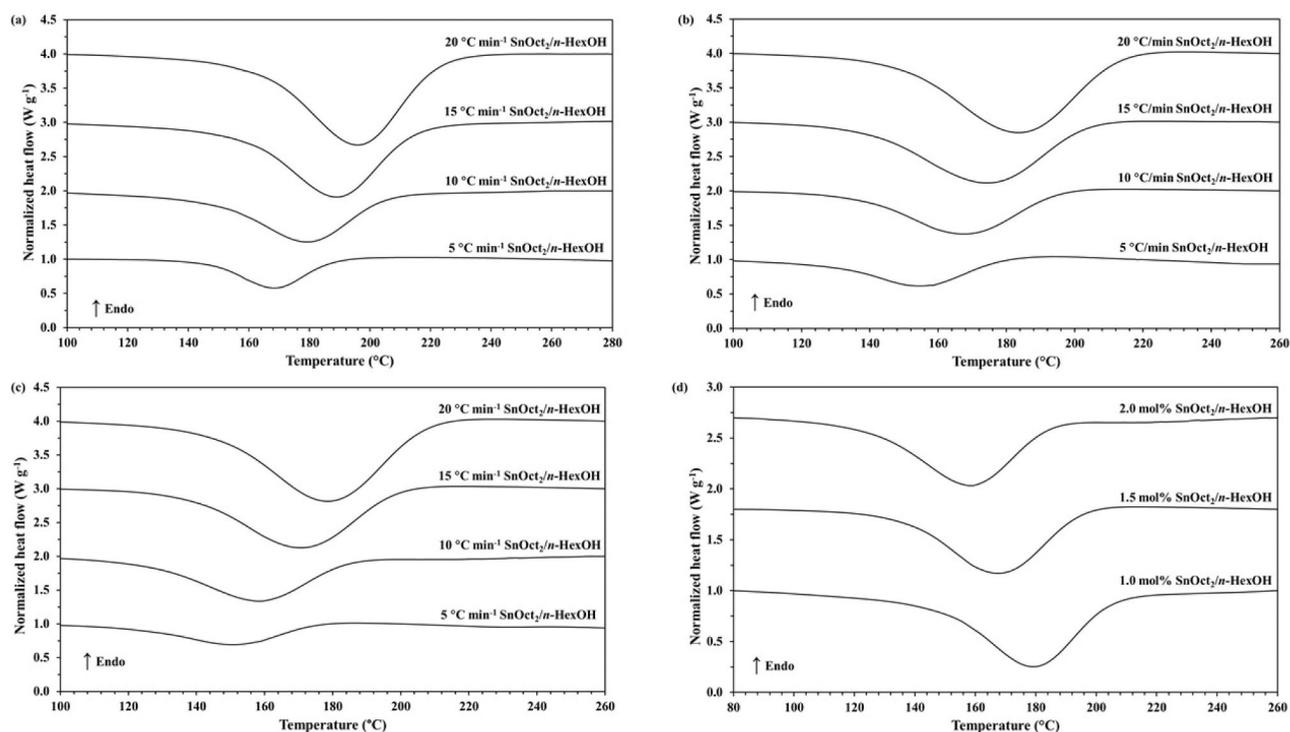


Figure 1. Non-isothermal DSC curves for the ROP of ϵ -CL initiated by $\text{SnOct}_2/n\text{-HexOH}$ (1:2): (a) 1.0, (b) 1.5, (c) 2.0 mol% at heating rates of 5, 10, 15 and 20°C/min and (d) non-isothermal DSC curve for the ROP of ϵ -CL initiated by 1.0, 1.5 and 2.0 mol% $\text{Sn}(\text{Oct})_2/n\text{-HexOH}$ (1:2) at a heating rate of 10°C/min.

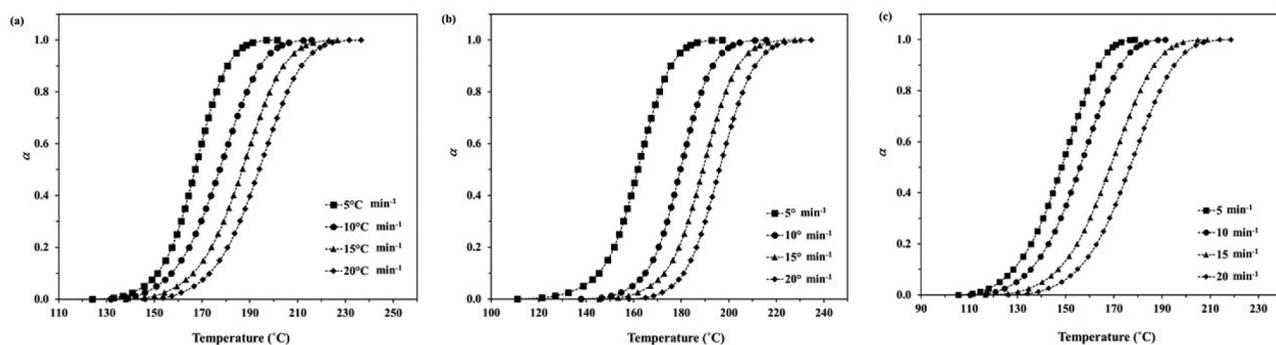


Figure 2. Plots of monomer conversion against temperature for the ROP of ϵ -CL initiated by (a) 1.0, (b) 1.5 and (c) 2.0 mol% of $\text{Sn}(\text{Oct})_2/n\text{-HexOH}$ (1:2) at heating rates of 5, 10, 15, and 20°C min⁻¹.

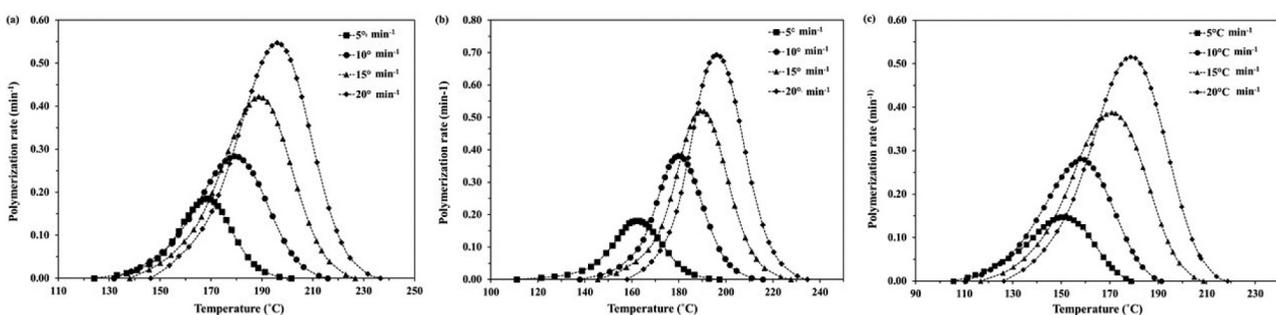


Figure 3. Plots of polymerization rate against temperature for the ROP of ϵ -CL initiated by (a) 1.0, (b) 1.5 and (c) 2.0 mol% of $\text{Sn}(\text{Oct})_2/n\text{-HexOH}$ (1:2) at heating rates of 5, 10, 15, and 20°C min⁻¹.

n -HexOH (1:2) are presented in Figure 4 and the plots of E_a against monomer conversion are illustrated in Figure 5.

From Figure 5, the average E_a values for the ROP of ϵ -CL initiated by 1.0, 1.5 and 2.0 mol% of $\text{Sn}(\text{Oct})_2/n$ -HexOH (1:2) obtained from the Friedman isoconversional method are 70.49 ± 2.89 , 68.83 ± 2.04 and 64.93 ± 2.15 kJ/mol, respectively. From KAS isoconversional method, the average E_a values are found to be 80.63 ± 3.57 , 76.77 ± 3.59 and 64.94 ± 0.27 kJ/mol, respectively. The KAS isoconversional method is more accurate method in the determination of E_a and can be used to evaluate a single or a multiple step reaction process [9,10]. The values of E_a obtained from 2.0 mol% $\text{Sn}(\text{Oct})_2/n$ -HexOH seem to be more constant for the whole stage of polymerization and are lower than 1.5 and 1.0 mol% $\text{Sn}(\text{Oct})_2/n$ -HexOH, respectively. The higher rate of polymerization obtained from 2.0 mol% of $\text{Sn}(\text{Oct})_2/n$ -HexOH is related to the higher amount of true initiator presented in reaction mixture. As described in literature [10], $\text{Sn}(\text{Oct})_2$ is not a true active specie. $\text{Sn}(\text{Oct})_2$ will react with n -HexOH and convert to tin(II) n -hexoxide ($\text{Sn}(\text{OnHex})_2$) before initiates polymerization

of ϵ -CL. This will be further described in the study of polymer mechanism. To support these findings, the values of frequency factor (A) are also calculated by Equation (2). It is important that the $f(\alpha)$ is required before calculating the A values for the ROP of ϵ -CL. Thus, Equation (4) can be used to determine the compensation parameter (a and b) with the learning of E_i and $\ln A_i$. From the obtained $f(\alpha)$ at different monomer conversions and heating rates, the best-fitting model can be investigated by comparing the obtained values of $f(\alpha)$ with the theoretical $f(\alpha)$ values shown in Table 1 [12].

From model fitting method, the E_i and $\ln A_i$ values can be determined from the slope and the intercept of the plots between $\ln[(da/dt)/f_i(\alpha)]$ and $1/T$ at different heating rates using apparent model that gives the best linearity for a ranges of 0.2–0.8. The apparent reaction model, namely Avrami-Erofeev nucleation model ($f(\alpha) = m(1-\alpha)^m[-\ln(1-\alpha)]^{m-1/m}$; $m = 1.5$ and 2.0) is used to determine compensation parameter [10,21]. From the results, it was found that the Avrami-Erofeev nucleation and growth model (A2) with $m = 1.5$ yields the best linearity ($R^2 > 0.99$) of plots of $\ln[da/dt]/f_i(\alpha)$ against $1/T$ for the ROP of ϵ -CL with $\text{Sn}(\text{Oct})_2/n$ -HexOH (1:2) as

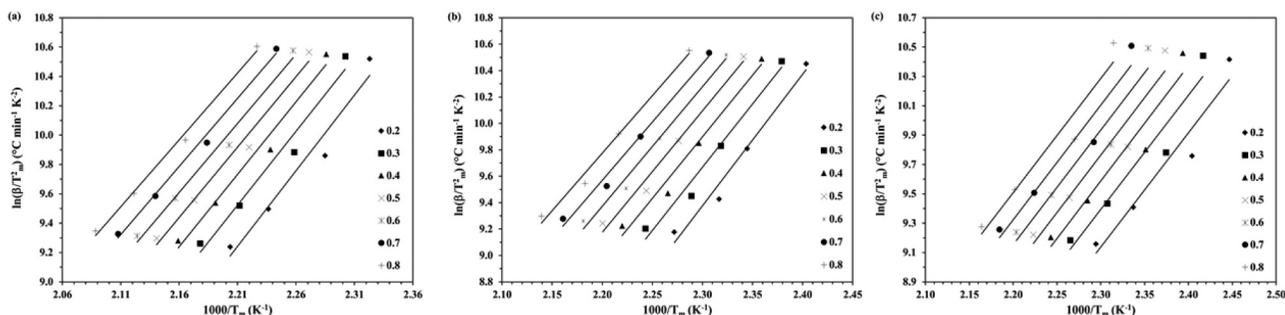


Figure 4. The KAS plots for the ROP of ϵ -CL initiated by (a) 1.0, (b) 1.5 and (c) 2.0 mol% of $\text{Sn}(\text{Oct})_2/n$ -HexOH (1:2).

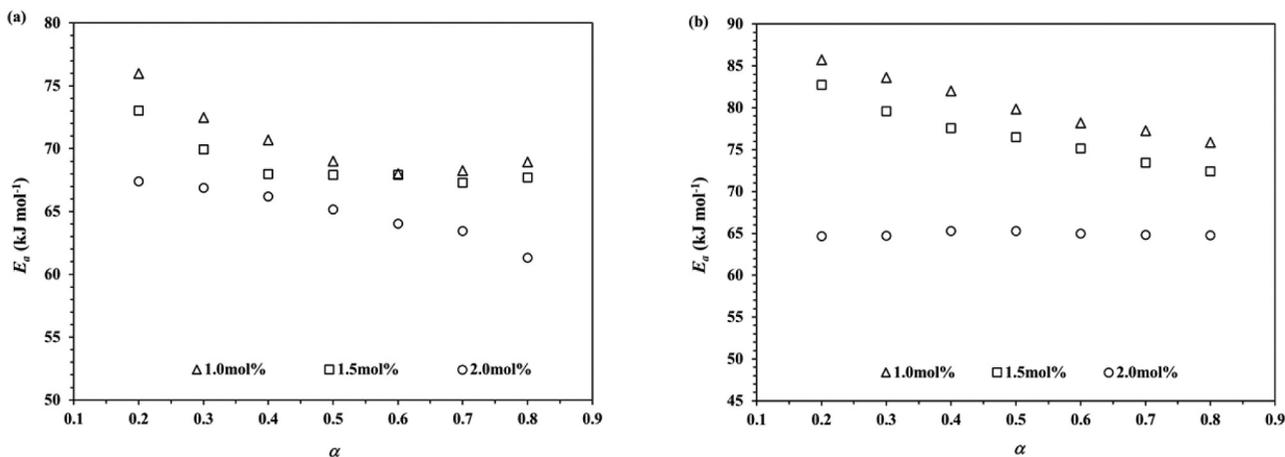


Figure 5. Plots of E_a against monomer conversion obtained from the ROP of ϵ -CL initiated by 1.0, 1.5 and 2.0 mol% of $\text{Sn}(\text{Oct})_2/n$ -HexOH (1:2): (a) Friedman and (b) KAS isoconversional method.

Table 1. Ideal reaction mechanism and kinetics model for solid state reaction [12,18,26].

Model	Symbol	$f(a)$	Model description
Reaction model	A1 (F1)	$(1-a)$	Unimolecular decay law (instantaneous nucleation and unidimensional growth)
Nucleation models	A2	$2(1-a)$	Avrami-Erofeev (random instant nucleation and 2D growth)
	A3	$3(1-a)[- \ln(1-a)]^{1/2}$	Avrami-Erofeev (random instant nucleation and 3D growth)
	P2	$2a^{1/2}$	Power law (nuclei growth is a constant)
Geometrical contraction models	P3	$3a^{2/3}$	Power law (nuclei growth is a constant)
	R2	$2(1-a)^{1/2}$	Phase boundary control reaction (contraction area, i.e., bidimensional shape)
Diffusion models	R3	$3(1-a)^{2/3}$	Phase boundary control reaction (contraction area, i.e., tridimensional shape)
	D1	$1/(2a)$	1D diffusion (disk particle shape)
	D2	$1/[-\ln(1-a)]$	2D diffusion (cylinder particle shape)

depicted in Figure 6. The average values of E_i and $\ln A_i$ for the ROP of ϵ -CL with 1.0 mol% $\text{Sn}(\text{Oct})_2/n$ -HexOH are 118.2 kJ/mol and 30.8. For 1.5 mol% of $\text{Sn}(\text{Oct})_2/n$ -HexOH, the average values of E_i and $\ln A_i$ are 105.5 kJ/mol and 28.4. For 2.0 mol% of $\text{Sn}(\text{Oct})_2/n$ -HexOH, the average values of E_i and $\ln A_i$ are 103.7 kJ/mol and 28.2. The determined compensation parameters for the ROP of ϵ -CL with $\text{Sn}(\text{Oct})_2/n$ -HexOH (1:2) are summarized in Table 2.

From Table 2, the reaction model can be reconstructed based on Equation (6) by using E_0 and A_0 obtained from all $\text{Sn}(\text{Oct})_2/n$ -HexOH. The example plots of $f(a)$ against a for the ROP of ϵ -CL with all $\text{Sn}(\text{Oct})_2/n$ -HexOH initiators at a heating rate of 5 °C/min compare to theoretical models are shown in Figure 6. From the results, it is found that the reconstructed model for the ROP of ϵ -CL initiated by $\text{Sn}(\text{Oct})_2/n$ -HexOH approach to A2 model. Therefore, the A2 model will be used in Equation (5) to determine the values of A . The average values of A for the ROP of ϵ -CL with 1.0, 1.5 and 2.0 mol% of $\text{Sn}(\text{Oct})_2/n$ -HexOH are 7.3×10^7 , 2.8×10^6 and $1.2 \times 10^6 \text{ min}^{-1}$, respectively. From the obtained kinetics results, it clearly demonstrates that the concentration of $\text{Sn}(\text{Oct})_2/n$ -HexOH affects the kinetics of polymerization. The reactivity of 2.0 mol% $\text{Sn}(\text{Oct})_2/n$ -HexOH in the ROP of ϵ -CL is higher than 1.5 and 1.0 mol% $\text{Sn}(\text{Oct})_2/n$ -HexOH, respectively.

Polymerization mechanism and synthesis of poly(ϵ -caprolactone) via bulk polymerization

The polymerization of ϵ -CL with $\text{Sn}(\text{Oct})_2/n$ -HexOH is proposed through coordination mechanism as depicted in Scheme 1. In the first step, tin(II) alkoxide as $\text{Sn}(\text{On-Hex})_2$ is formed by the exchange reactions between $\text{Sn}(\text{Oct})_2$ and n -HexOH [9–11]. After formation of true $\text{Sn}(\text{On-Hex})_2$ initiator, the initiation step is started by the coordination of carbonyl oxygen of ϵ -CL with tin

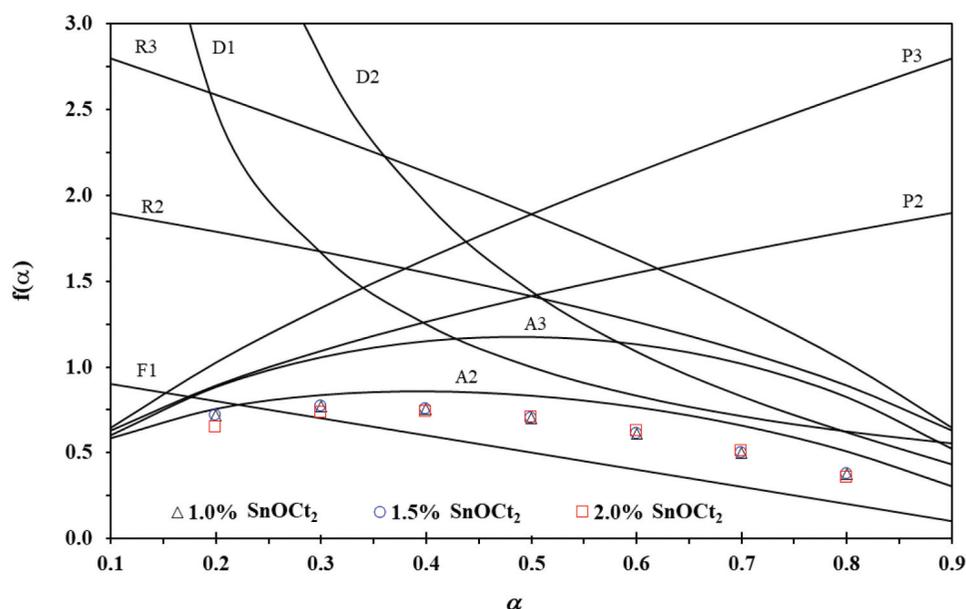


Figure 6. Plots of $f(a)$ against a for the reaction models shown in Table 1 (line) and the experimental plots of $f(a)$ against a for the ROP of ϵ -CL initiated by 1.0, 1.5 and 2.0 mol% of $\text{Sn}(\text{Oct})_2/n$ -HexOH (1:2) at a heating rate of 5 °C min^{-1} (dot).

Table 2. Compensation parameters for $f(a) = m(1-a)^m[-\ln(1-a)]^{m-1/m}$ ($m = 1.5$ and 2.0) for the ROP of ϵ -CL with $\text{Sn}(\text{Oct})_2/n$ -HexOH (1:2) at different heating rates.

Initiating systems	Heating rate ($^{\circ}\text{C min}^{-1}$)	a	b	$\ln A_0$
2.0 mol% $\text{Sn}(\text{Oct})_2/n$ -HexOH (1:2)	5	0.279	-1.77	17.9
	10	0.275	-1.35	18.0
	15	0.269	-0.96	18.0
	20	0.275	-1.35	18.0
1.5 mol% $\text{Sn}(\text{Oct})_2/n$ -HexOH (1:2)	5	0.289	-1.86	18.0
	10	0.282	-1.32	18.1
	15	0.278	-1.00	18.2
	20	0.273	-0.75	18.0
1.0% $\text{Sn}(\text{Oct})_2/n$ -HexOH (1:2)	5	0.294	-2.06	17.0
	10	0.289	-1.37	17.4
	15	0.281	-1.00	17.2
	20	0.276	-0.74	17.2

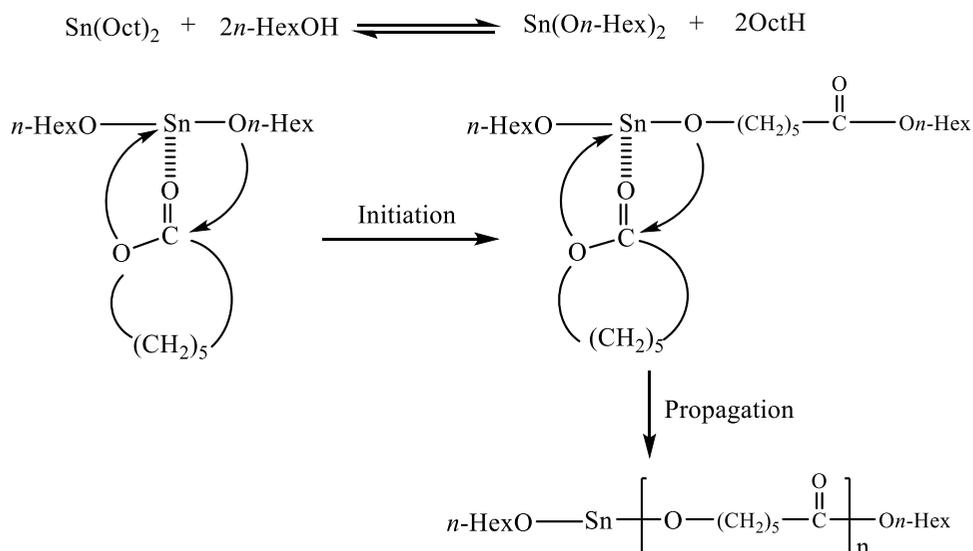
atom. After that, the nucleophilic attack of $- \text{O}n$ -Hex group to carbonyl carbon of ϵ -CL resulting in the acyl-oxygen bond cleavage of ϵ -CL ring. From this process, the propagating species ($-\text{SnO}-$) is formed and the propagation step proceeds by the insertion of ϵ -CL into reactive Sn-O bond presented in these active species.

To confirmed the efficiency of $\text{Sn}(\text{Oct})_2/n$ -HexOH in the synthesis of PCLs, the bulk polymerizations of ϵ -CL with $\text{Sn}(\text{Oct})_2/n$ -HexOH are conducted at 140, 160 and 180°C for 1 h. After complete polymerization time, the obtained crude PCLs are dissolved in CHCl_3 and reprecipitation in cold methanol. The molecular weight averages and polydispersity index (PDI) are determined by GPC technique and the results are summarized in Table 3. From Table 3, it is found that $\text{Sn}(\text{Oct})_2/n$ -HexOH can control the molecular weight of PCL by

adjusting their concentration. The molecular weight of PCL increases with decreasing of $\text{Sn}(\text{Oct})_2/n$ -HexOH concentration. The highest molecular weight of PCL (\overline{M}_n of 9.0×10^4 g/mol) is obtained at 0.1 mol% of $\text{Sn}(\text{Oct})_2/n$ -HexOH and temperature of 160°C . Furthermore, the %yield of all synthesized PCLs are higher than 78%. Based on the obtained results, $\text{Sn}(\text{Oct})_2/n$ -HexOH acts as highly efficient initiator in the synthesis of high molecular weight PCL.

Conclusions

The kinetics of the ROP of ϵ -CL initiated by 1.0, 1.5 and 2.0 mol% of $\text{Sn}(\text{Oct})_2/n$ -HexOH (1:2) was successfully studied by non-isothermal DSC technique. The isoconversional and model fitting methods were applied to determine the E_a and A values for the ROP of ϵ -CL with $\text{Sn}(\text{Oct})_2/n$ -HexOH. From Friedman and KAS isoconversional kinetics analysis, the E_a values for the ROP of ϵ -CL with 2.0 mol% of $\text{Sn}(\text{Oct})_2/n$ -HexOH were lower than 1.5 and 1.0 mol%, respectively. The average values of A for the ROP of ϵ -CL initiated by 1.0, 1.5 and 2.0 mol% of $\text{Sn}(\text{Oct})_2/n$ -HexOH are 7.3×10^7 , 2.8×10^6 and $1.2 \times 10^6 \text{ min}^{-1}$, respectively. The reactivity of $\text{Sn}(\text{Oct})_2/n$ -HexOH in the ROP of ϵ -CL was increased with increasing their concentration. From polymer synthesis via bulk polymerization, the molecular weight of PCL was controlled by $\text{Sn}(\text{Oct})_2/n$ -HexOH concentration. $\text{Sn}(\text{Oct})_2/n$ -HexOH could produce high molecular weight PCL with high %yield in 1 h. The mechanism of the ROP of ϵ -CL with $\text{Sn}(\text{Oct})_2/n$ -HexOH was proposed through the coordination-insertion



Scheme 1. The proposed mechanism of ROP of ϵ -CL initiated with $\text{Sn}(\text{Oct})_2/n$ -HexOH.

Table 3. Number average molecular weight (\overline{M}_n), weight average molecular weight (\overline{M}_w), polydispersity index (PDI) and % yield of PCLs from bulk polymerization of ϵ -CL initiated by $\text{Sn}(\text{Oct})_2/n\text{-HexOH}$ at 140, 160 and 180 °C for 1 h.

Model	Symbol	f(a)	Model description
Reaction model	A1 (F1)	(1-a)	Unimolecular decay law (instantaneous nucleation and unidimensional growth)
Nucleation models	A2	$2(1-a)[-\ln(1-a)]^{1/2}$	Avrami-Erofeev (random instant nucleation and 2D growth)
	A3	$3(1-a)[-\ln(1-a)]^{2/3}$	Avrami-Erofeev (random instant nucleation and 3D growth)
	P2	$2a^{1/2}$	Power law (nuclei growth is a constant)
Geometrical contraction models	P3	$3a^{2/3}$	Power law (nuclei growth is a constant)
	R2	$2(1-a)^{1/2}$	Phase boundary control reaction (contraction area, i.e., bidimensional shape)
	R3	$3(1-a)^{2/3}$	Phase boundary control reaction (contraction area, i.e., tridimensional shape)
Diffusion models	D1	$1/(2a)$	1D diffusion (disk particle shape)
	D2	$1/[-\ln(1-a)]$	2D diffusion (cylinder particle shape)

mechanism. The results from this work may be applied to study the catalytic performance of others initiator in the ROP of cyclic esters.

Acknowledgments

The authors wish to thank the financial support from the University of Phayao, Chiang Mai University and the Thailand Research Fund. The Unit of Excellence on Advanced Nanomaterials, School of Science, University of Phayao, the Department of Chemistry and Materials Science Research Center, Faculty of Science, Chiang Mai University and Faculty of Sciences and Agricultural Technology, Rajamangala University of Technology Lanna are also acknowledged.

Disclosure statement

No potential conflict of interest was reported by the authors.

Funding

This work was supported by the University of Phayao [R020058216005].

ORCID

Boontharika Thapsukhon  <http://orcid.org/0000-0002-0815-3453>

References

- [1] Labet M, Thielemans W. Synthesis of polycaprolactone: a review. *Chem Soc Rev.* 2009;38:3484–3504.
- [2] Sharma S, Aiswarya TT, Mirza I, et al. Biocompatible polymers and its applications. *Ref Module Mater Sci Mater Eng.* 2020. DOI:10.1016/B978-0-12-820352-1.00044-4.
- [3] Luckachan GE, Pillai CKS. Biodegradable polymers—A review on recent trends and emerging perspectives. *J Polym Environ.* 2011;19:637–676.
- [4] Potrc T, Baumgartner S, Roskar R, et al. Electrospun polycaprolactone nanofibers as a potential oromucosal delivery system for poorly water-soluble drugs. *Eur J Pharm Sci.* 2015;75:101–113.
- [5] Kleawla A, Suksomran W, Charuchinda A, et al. Kinetic studies if the ring-opening bulk polymerization of ϵ -caprolactone using a novel Tin(II) alkoxide initiator. *J Solid Mech Mater Eng.* 2007;1(4):613–623.
- [6] Stjerndahl A, Wistrand AF, Albertsson AC. Industrial utilization of tin-initiated resorbable polymers: synthesis on a large scale with a low amount of initiator. *Biomacromolecules.* 2007;8:937–940.
- [7] Albertsson A-C, Gruevegård M. Degradable high-molecular-weight random copolymers, based on ϵ -caprolactone and 1,5-dioxepan-2-one, with non-crystallizable units inserted in the crystalline structure. *Polymer.* 1995;36:1009–1016.
- [8] Kricheldorf HR, Kreiser-Saunders I, Sticker A. Poly lactones 48. SnOct_2 -initiated polymerizations of lactide: a mechanistic study. *Macromolecules.* 2000;33:702–709.
- [9] Kowalski A, Duda A, Penczek S. Mechanism of cyclic ester polymerization initiated with tin(II) octoate. 2. Macromolecules fitted with tin(II) alkoxide species observed directly in MALDI-TOF spectra. *Macromolecules.* 2000;33(3):689–695.
- [10] Kowalski A, Duda A, Penczek S. Kinetics and mechanism of cyclic esters polymerization initiated with tin(II) octoate, 1. Polymerization of ϵ -caprolactone. *Macromol Rapid Commun.* 1998;19:567–572.
- [11] Duda A, Penczek S, Kowalski A, et al. Polymerizations of ϵ -caprolactone and L,L-dilactide initiated with tin octoate and tin butoxide a comparison. *Macromol Symp.* 2000;153:41–53.
- [12] Vyazovkin S, Burnham AK, Criado JM, et al. ICTAC Kinetics committee recommendations for performing kinetic computations on thermal analysis data. *Thermochim Acta.* 2011;520:1–19.
- [13] Starink MJ. The determination of activation energy from linear heating rate experiments: a comparison of the accuracy of isoconversion methods. *Thermochim Acta.* 2003;404:163–176.
- [14] Kissinger HE. Variation of peak temperature with heating rate in differential thermal analysis. *J Res Natl Bur Std.* 1956;57:217–221.
- [15] Akahira A, Sunose T. Trans joint convention of four electrical institutes. *Res Report Chiba Inst Technol (Sci Technol).* 1971;16:22–31.
- [16] Limwanich W, Khunmanee S, Kungwan N, et al. Effect of tributyltin alkoxides chain length on the ring-opening polymerization of ϵ -caprolactone: kinetics

- studies by non-isothermal DSC. *Thermochim Acta.* [2015](#);599:1–7.
- [17] Punyodom W, Limwanich W, Meepowpan P. Tin(II) n-butyl L-lactate as novel initiator for the ring-opening polymerization of epsilon-caprolactone: kinetics and aggregation equilibrium analysis by non-isothermal DSC. *Thermochim Acta.* [2017](#);655:337–343.
- [18] Limwanich W, Meepowpan P, Kungwan N, et al. Influence of butyl group of tin chloride initiators on the non-isothermal DSC ring-opening polymerization of epsilon-caprolactone: the studies of kinetics, mechanism and polymer synthesis. *Thermochim Acta.* [2020](#);638:178458.
- [19] Li P, Zerroukhi A, Chen J, et al. Kinetics study of $Ti[O(CH_2)_4OCH=CH_2]_4$ initiated ring-opening polymerization of epsilon-caprolactone by differential scanning calorimetry. *J Appl Polym Sci.* [2008](#);110:3990–3998.
- [20] Friedman H. Kinetics of thermal degradation of char forming plastics from thermogravimetry. Application to a phenolic plastic. *J Polym Sci Polym Symp.* [1964](#);6(1):183–195.
- [21] Sbirrazzuoli N. Is the Friedman method applicable to transformations with temperature dependent reaction heat? *Chem Phys.* [2007](#);208:1592–1597.
- [22] Ozawa TA. New method of analyzing thermogravimetric data. *Bull Chem Soc Japan.* [1965](#);38:1881–1886.
- [23] Flynn JH, Wall LA. General treatment of the thermogravimetry of polymers. *J Res Nat Bur Standards.* [1966](#);70A:487–523.
- [24] Sedush NG, Chvalun SN. Kinetics and thermodynamics of l-lactide polymerization studied by differential scanning calorimetry. *Eur Polym J.* [2015](#);62:198–203.
- [25] Meelua W, Molloy R, Meepowpan P, et al. Isoconversion kinetic analysis of ring-opening polymerization of epsilon-caprolactone: steric influence of titanium(IV) alkoxides as initiators. *J Polym Res.* [2012](#);19:1–11.
- [26] Pham QT, Zhan YX, Wang FM, et al. Mechanisms and kinetics of non-isothermal polymerization of N, N'-bismaleimide-4,4'-diphenylmethane with barbituric acid in dimethyl sulfoxide. *Thermochim Acta.* [2019](#);676:139–144.