

1 **Characterization of humoral and SARS-CoV-2 specific T cell responses in people living with**
2 **HIV**

3 Aljawharah Alrubayyi^{1†}, Ester Gea-Mallorqui^{1†}, Emma Touizer^{2†}, Dan Hameiri-Bowen¹, Jakub
4 Kopycinski¹, Bethany Charlton¹, Natasha Fisher-Pearson¹, Luke Muir², Annachiara Rosa³, Chloe
5 Roustan³, Christopher Earl³, Peter Cherepanov³, Pierre Pellegrino⁴, Laura Waters⁴, Fiona Burns^{5,6},
6 Sabine Kinloch⁶, Tao Dong¹, Lucy Dorrell¹, Sarah Rowland-Jones¹, Laura E. McCoy^{2†*}, Dimitra
7 Peppa^{1,4†*}

8 **Affiliations:**

9 ¹ Nuffield Dept of Clinical Medicine, University of Oxford, United Kingdom

10 ² Division of Infection and Immunity, University College London, London, United Kingdom

11 ³ Chromatin Structure and Mobile DNA Laboratory, The Francis Crick Institute, London, United
12 Kingdom

13 ⁴ Mortimer Market Centre, Department of HIV, CNWL NHS Trust, London, United Kingdom

14 ⁵ Institute for Global Health UCL, London, United Kingdom

15 ⁶ Royal Free London NHS Foundation Trust, London, United Kingdom

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17 † These authors contributed equally

18 *Corresponding authors: Dimitra.peppa@ndm.ox.ac.uk; l.mccoy@ucl.ac.uk

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20 **One Sentence Summary: Adaptive immune responses to SARS-CoV-2 in the setting of HIV**
21 **infection**

22

23

24 **Abstract**

25 There is an urgent need to understand the nature of immune responses against SARS-CoV-2, to
26 inform risk-mitigation strategies for people living with HIV (PLWH). We show that the majority
27 of PLWH, controlled on ART, mount a functional adaptive immune response to SARS-CoV-2.
28 Humoral and SARS-CoV-2-specific T cell responses are comparable between HIV-positive and
29 negative subjects and persist 5-7 months following predominately mild COVID-19 disease. T cell
30 responses against Spike, Membrane and Nucleocapsid are the most prominent, with SARS-CoV-
31 2-specific CD4 T cells outnumbering CD8 T cells. We further show that the overall magnitude of
32 SARS-CoV-2-specific T cell responses relates to the size of the naive CD4 T cell pool and the
33 CD4:CD8 ratio in PLWH, in whom disparate antibody and T cell responses are observed. These
34 findings suggest that inadequate immune reconstitution on ART, could hinder immune responses
35 to SARS-CoV-2 with implications for the individual management and vaccine effectiveness in
36 PLWH.

37

38 **Introduction**

39 The global outbreak of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), causing
40 COVID-19 disease, has resulted in an overall 3% case fatality rate, posing unprecedented
41 healthcare challenges around the world ¹. With an evolving pandemic, urgent and efficient
42 strategies are required for optimised interventions especially in patient populations with underlying
43 chronic diseases. Nearly 40 million people are living with HIV (PLWH) worldwide and almost
44 half of PLWH in Europe are over the age of 50 ². However, due to scarcity of data it remains
45 unknown whether antiviral responses to SARS-CoV-2 are compromised and/or less durable in
46 PLWH following primary infection. Such knowledge is crucial in the future clinical management

47 of PLWH during the course of the pandemic and for informing strategies for vaccination
48 programmes.

49
50 Epidemiological evidence indicates that the risk of severe COVID-19 disease increases with age,
51 male gender, and in the presence of comorbidities ^{3,4}. PLWH, despite efficient virological
52 suppression on antiretroviral treatment (ART), experience an increased burden of comorbid
53 conditions associated with premature ageing ^{5,6}. These multi-morbidities are driven by residual
54 inflammation on ART and ongoing immune dysregulation ⁷ that could influence COVID-19
55 disease severity, the durability of protective antiviral responses, which may prevent future re-
56 infection, and responsiveness to vaccination ^{8,9}. Although there is no evidence of increased rates
57 of COVID-19 disease among PLWH compared to the general population, mortality estimates vary
58 between studies, with disparities in social health determinants and comorbidities likely having an
59 influence ¹⁰⁻¹⁶. More recently, cellular immune deficiency and a lower CD4 T cell count/low CD4
60 T cell nadir have been identified as potential risk factors for severe SARS-CoV-2 infection in
61 PLWH, irrespective of HIV virological suppression ¹⁷. Burgeoning evidence supports a role for
62 CD4 T cells in the control and resolution of acute SARS-CoV-2 infection ¹⁸⁻²⁰, in addition to
63 providing CD8 T cell and B cell help for long-term immunity ²¹⁻²². Any pre-existing CD4 T cell
64 depletion in PLWH, as described in patients with haematological malignancy ²³, could therefore
65 be a potential driver of dysregulated immunity to SARS-CoV-2, hampering antiviral responses ²⁴
66 and development of immunological memory.

67
68 Despite the collective efforts to define the correlates of immune protection and evaluate the
69 durability of protective immune responses elicited post SARS-CoV-2 infection in the general

70 population, reports in PLWH are limited. Overall, the majority of people infected with SARS-
71 CoV-2 in the absence of HIV develop durable antibody responses including neutralizing antibodies
72 and T cell responses ^{18,25-28}. In most cases the magnitude of humoral responses is complemented
73 by multi-specific T cell responses and appears to be dependent on the severity and protracted
74 course of COVID-19 disease ^{18,27,29}. However, humoral and cellular immune responses are not
75 always correlative, with T cell immunity being induced even in the absence of detectable
76 antibodies during mild COVID-19 disease ^{18,30-31} and predicted to be more enduring from
77 experience with other coronaviruses ³²⁻³³. Notably, older individuals more often display poorly co-
78 ordinated immune adaptive responses to SARS-CoV-2 associated with worse disease outcome
79 ^{18,34}. This is particularly pertinent for PLWH, in whom the combined effect of ageing/premature
80 immunosenescence and residual immune dysfunction in the era of effective of ART could have
81 important consequences for the development of immune responses to a new pathogen and
82 vaccination ³⁵. To date, a single case report suggests a longer disease course and delayed antibody
83 response against SARS-CoV-2 in HIV patients ³⁶, but a simultaneous assessment of antibodies and
84 T cell responses in the convalescent phase of COVID-19 disease is lacking in PLWH.

85

86 To address this knowledge gap, we performed an integrated cross-sectional analysis of different
87 branches of adaptive immunity to SARS-CoV-2 in PLWH, controlled on ART, compared to HIV
88 negative individuals recovered from mainly non-hospitalized mild COVID-19 disease. Our data
89 reveal an association between the magnitude of SARS-CoV-2 T cell responses and the CD4:CD8
90 ratio in PLWH, in whom a decreased representation of naïve CD4 T cell subsets could potentially
91 compromise protective immunity to SARS-CoV-2 infection and/or vaccination.

92

93 **Results**

94 **COVID-19 cohort**

95 Forty-seven individuals with HIV infection, well controlled on ART (for >2 years) with an
96 undetectable HIV RNA, were recruited for this study during a defined period of time between July
97 2020 and November 2020. Of these donors, twenty four previously had laboratory confirmed
98 SARS-CoV-2 diagnosis (RT-PCR+ and/or Ab positive) with a median days post-symptom onset
99 (DPSO) of 148 days; twenty three were probable/possible cases with a higher median DPSO of
100 181 days. The majority had ambulatory mild COVID-19 disease not requiring hospitalization
101 (score 1-2 on WHO criteria). Eight subjects out of the laboratory confirmed cases had moderate
102 disease requiring hospitalization (score 4-5 on WHO criteria). The ages of the subjects ranged
103 from 30-73 years of age (median 52 years old) and were predominately White Caucasian males.
104 The cohort included donors capturing a range of CD4 counts (133-1360) and CD4:CD8 ratios
105 (0.17-2.54), reflective of the different lengths of HIV infection/CD4 T cell nadir and variable levels
106 of immune reconstitution post treatment. As a comparator group we sampled thirty five HIV
107 seronegative health care workers (HCW), thirty one with laboratory confirmed SARS-CoV-2
108 diagnosis and four suspected/household contacts of a confirmed case. The HCW group had a mild
109 course of COVID-19 disease sampled at a similar median DPSO, with four donors recruited in the
110 convalescent phase post moderate disease (score 4-5 on WHO criteria). HIV negative subjects
111 were younger in age (range 26-65; median 41) with a more equal female:male distribution
112 (**Supplementary Table 1**). A group of HIV positive (n=16) donors with samples stored prior to
113 the pandemic, matched to the HIV cohort recovered from COVID-19 disease, was used as controls.
114 Inter-experimental variability was minimized by running matched cryopreserved samples in

115 batches with inter-assay quality controls. Further details on patients' characteristics and
116 comorbidities are included in **Supplementary Table 1**.

117

118 **Levels of SARS-CoV-2 antibodies in the study groups**

119 ELISA was used to screen plasma samples for antibodies against the external Spike antigen, using
120 immobilized recombinant Spike S₁₋₅₃₀ subunit protein (S1), and against immobilized full-length
121 internal Nucleoprotein (N) antigen to confirm prior infection as previously described ^{33,37,38}
122 (**Fig.1a**). A sample absorbance greater than 4-fold above the average background of the assay was
123 regarded as positive, using a threshold established with pre-pandemic samples (**Supplementary**
124 **Fig.1a, b**) and as previously described ³⁸. The screening assay, followed by titer quantification
125 (based on an in-assay standard curve) ³⁷, demonstrated that 95.8% (23/24) of individuals from the
126 HIV positive group with prior laboratory confirmed COVID-19 and 30.43% (7/23) with suspected
127 disease, during the first wave of the pandemic, had measurable titers for SARS-CoV-2 S1 and N
128 sampled at a median 146 DPSO (DPSO range 46-232) and 181 DPSO (range 131-228),
129 respectively (**Fig.1a-c**). Similarly, in the HIV negative group with laboratory confirmed COVID-
130 19 disease, 93.5% (29/31) had detectable SARS-CoV-2 antibodies to S1 and N at 146 DPSO (101-
131 220), whereas none of the suspected/household contacts in this group (0/4) had quantifiable titers
132 (DPSO median 200; range 125-203) (**Fig.1a-c**). S1 and N titers were found to be comparable
133 between the HIV positive and negative groups (**Fig.1b, c**) and correlated with one another,
134 although levels were heterogenous among donors as previously observed (**Fig.1d**).

135

136 To determine whether the SARS-CoV-2 antibodies generated are able to inhibit SARS-CoV-2
137 infection, we employed a serum neutralisation assay with pseudotyped SARS-CoV-2, to calculate

138 the 50% inhibitory serum dilution (ID50)²⁸. Overall, we detected similar neutralization levels
139 (**Fig.1e**) and comparable profiles across the two study groups in terms of the number of individuals
140 with high potency, low potency or no neutralizing activity (<50 ID50) (**Fig.1f**), which correlated
141 with anti-S1 IgG levels (**Fig.1g**). A range of neutralizing antibodies (nAb) was detected in the
142 groups, with some samples exhibiting strong neutralization despite low S1 titers irrespective of
143 disease severity (**Fig.1g**).

144

145 No association was observed between S1 binding titers, age and gender in the two groups
146 (**Supplementary Fig.1c**). A weak positive correlation was seen between neutralization levels and
147 age according to male gender in the HIV positive group, where subjects were older and females
148 were notably under-represented (**Supplementary Fig.1d**). Neutralization levels did not correlate
149 with DPSO (**Supplementary Fig.1e**) and were detectable up to 7 months post infection. No clear
150 association was observed according to ethnicity (**Supplementary Fig.1f**). Together these results
151 show no significant differences in the IgG-specific antibody response to SARS-CoV-2 and
152 neutralization capacity according to HIV status after recovery from COVID-19 disease. These
153 findings should be considered in the context of this cohort in which the majority of cases were
154 mild and therefore may not reflect the full burden of disease associated with SARS-CoV-2
155 infection.

156

157 **SARS-CoV-2 multi-specific T cell responses**

158 The presence of T helper 1 (Th1) immunity has been described in a number of studies investigating
159 T cell-specific immune responses to SARS-CoV-2 infection in various phases of the infection. We
160 therefore initially assessed global SARS-CoV-2 T cell frequencies by IFN- γ -ELISpot using

161 overlapping peptide (OLP) pools to detect T cell responses and cumulative frequencies directed
162 against defined immunogenic regions, including Spike, Nucleocapsid (N), membrane (M),
163 Envelope (Env), and open reading frame (ORF)3a, ORF6, ORF7 and ORF8 (**Fig.2a**). Out of the
164 30 HIV positive and 30 HIV negative individuals (including previously laboratory confirmed cases
165 and additional subjects found to be SARS-CoV-2 seropositive on screening), the majority of
166 donors in each group had a demonstrable cellular response directed predominately against Spike
167 and N/M. Responses to accessory peptide pools (ORFs) and the structural protein Env were less
168 frequent and significantly lower to other antigens observed, irrespective of HIV status (**Fig.2b and**
169 **Supplementary Fig.2a, b**). The overall magnitude of responses against Spike, M and N did not
170 differ significantly between the groups (**Fig.2c**). In HIV positive donors the cumulative SARS-
171 CoV-2 responses across all pools tested were lower in magnitude compared to that of T cells
172 directed against well-defined CD8 epitopes from Influenza, Epstein Barr Virus (EBV) and
173 Cytomegalovirus (CMV)-(FEC pools) tested in parallel within the same donors, but higher
174 compared to HIV-gag responses (**Fig.2d**). By contrast, responses to FEC pools were comparable
175 in magnitude to the cumulative SARS-CoV-2-specific T cell responses detected in the HIV
176 negative donors, likely reflecting the lower CMV seropositivity in the HIV negative group
177 compared to the HIV positive group (54.28% CMV seropositive versus 97.87% CMV seropositive,
178 respectively) (**Fig.2d**). In line with previous studies we observed a wide breadth and range of
179 cumulative SARS-CoV-2 T cell frequencies, with over 90% of donors in each group showing a
180 response (**Fig.2e, f**)^{30,39-40}. However, the proportion of HIV positive and negative donors with T
181 cell responses to individual SARS-CoV-2 pools within given ranges varied, with a higher
182 percentage of HIV positive donors having low level responses (**Fig.2g**).

183

184 Responses to Spike, M and N peptide pools were significantly higher in donors with confirmed
185 SARS-CoV-2 infection compared to subjects with no evidence of infection who displayed
186 relatively weak responses; small responses were also noted in a proportion of HIV positive subjects
187 with available pre-pandemic samples (**Supplementary Fig.2c**). Additional work is required to
188 investigate potential cross-reactive components of these responses with other human
189 coronaviruses, as has been reported in other studies ^{31-32,39,41}. These data were derived from
190 cryopreserved samples, which may underestimate the magnitude of the detected responses ⁴².

191
192 Given the considerable heterogeneity in the magnitude of the observed responses in both groups,
193 we related these to HIV parameters, age, gender and DPSO. We detected a positive correlation
194 between CD4:CD8 ratio and summed total responses to OLP pools against SARS-CoV-2 in HIV
195 positive subjects ($r=0.3820$, $p=0.037$) (**Fig.2h**); this relationship was similar for N ($r=0.4282$,
196 $p=0.018$) and stronger for responses against M ($r=0.4853$, $p=0.007$) (**Supplementary Fig.2d, e**).
197 These data suggest that, despite effective ART, incomplete immune reconstitution may potentially
198 impact on the magnitude of T cell responses to SARS-CoV-2. Previous observations have
199 demonstrated an association between SARS-CoV-2-specific T cells, age and gender, with T cell
200 immunity to Spike increasing with age and male gender in some studies ³⁰. Despite an older age
201 and male predominance in our HIV cohort we did not detect any association between ELISpot
202 responses to Spike and donor age (**Supplementary Fig.2f, g**). There was no correlation between
203 DPSO and T cells directed either against Spike or total responses against SARS-CoV-2. These
204 responses were nonetheless detectable up to 232 DPSO (median 151 range 46-232) in HIV positive
205 subjects, and similarly in HIV negative donors (median 144; range 101-220) (**Supplementary**
206 **Fig.2h, i**). Given that the majority of the donors, in both groups, experienced mild COVID-19

207 disease, any associations between the magnitude of responses and disease severity are limited. No
208 differences were observed in the magnitude of T cell responses according to ethnicity and gender,
209 irrespective of HIV status (**Supplementary Fig.2j, k**).

210

211 **T cell and antibody response complementarity**

212 Next, we compared T cell responses, antibody levels and nAb responses in individual donors to
213 better understand any complementarity between humoral and cellular responses detected by IFN-
214 γ -ELISpot. SARS-CoV-2-specific T cell responses correlated with antibody binding titers in the
215 HIV negative group (**Fig.3a, c**). Although the majority of HIV positive subjects had detectable
216 antibody and T cell responses to SARS-CoV-2, the magnitude of the cellular immune responses
217 correlated weakly only with N IgG binding titers but not with S1 IgG binding titers (**Fig.3b, d**).

218 We subsequently examined neutralization ID50 values for individual donors in relation to T cell
219 responses to individual SARS-CoV-2 antigen pools and summed responses. In HIV negative
220 donors a correlation was observed between T cell responses to Spike protein and ID50 ($r= 0.4002$,
221 $p= 0.0315$), with donors lacking a response to Spike generally maintaining low-frequency T cell
222 responses to other specificities. When cumulative responses were ranked by the magnitude of nAb
223 response, a single HIV negative donor with an ID50>1000 had no detectable SARS-CoV-2-
224 specific T cells (**Fig.3e**). In HIV positive donors no correlation was detected between
225 neutralization capacity and responses to individual SARS-CoV-2 peptides or pooled responses. A
226 single HIV positive donor (1/29) with undetectable neutralization activity, and another donor with
227 potent neutralization (>1000), had no measurable T cell response to any of the pools tested
228 (**Fig.3f**).

229

230 SARS-CoV-2 specific T cell responses are dominated by CD4 T cells

231 Following the initial broad screening of the antiviral responses to SARS-CoV-2, intracellular
232 cytokine staining (ICS) was used to assess the composition and polyfunctionality of T cell
233 responses in a group of HIV positive (n=11) and HIV negative (n=12) donors with available PBMC
234 and detectable responses by IFN- γ -ELISpot. To determine the functional capacity of SARS-CoV-
235 2-specific CD4 and CD8 T cells, we stimulated PBMCs with overlapping Spike, M and N (non-
236 Spike) peptide pools, in addition to CMV pp65 and HIV gag peptides within the same individuals.
237 We focused on Spike, M and N as these antigens dominated responses detected by ELISpot.
238 Expression of the activation marker CD154 and production of IFN- γ , IL-2 and TNF- α were
239 measured as functional readouts (**Fig.4a**). SARS-CoV-2-specific CD4 T cells directed against
240 Spike and non-Spike (M/N) predominantly expressed CD154 alone or in combination with IL-2,
241 TNF- α and IFN- γ , consistent with a Th1 profile, and these aggregated responses were comparable
242 between the groups (**Fig.4a, b**). SARS-CoV-2-specific CD4 T cells exhibited polyfunctional
243 responses, with T cells expressing up to three cytokines (**Fig.4c**). We detected no significant
244 differences in CD4 T cell responses, according to cytokine profile, to individual pools directed
245 against Spike, M and N in the two groups (**Fig.3c and Supplementary Fig.3a**). Aggregated CD4
246 T cell responses against all SARS-CoV-2 pools tested were higher compared to CMV-specific
247 responses and HIV-gag responses within the same donors (**Supplementary Fig.3d, e**).

248

249 SARS-CoV-2-specific CD8 T cells largely expressed IFN- γ alone or in combination with TNF- α ,
250 exhibiting a different cytokine profile to CD4 T cells as expected (**Fig.4d**). A trend toward lower
251 mean aggregated CD8 T cell responses and polyfunctionality against Spike relative to non-Spike
252 was observed in HIV negative individuals (**Fig.4e-f**). Although SARS-CoV-2-specific CD8 T cell

253 responses did not differ significantly between the two groups, mean response frequency was lower
254 in HIV positive individuals against non-Spike pools (**Fig.4e**). When we examined the individual
255 cytokine profile, depending on antigen specificity, IL-2 production was reduced in CD8 T cells
256 targeting non-Spike pools in HIV positive individuals compared to HIV negative donors
257 (**Supplementary Fig.3f-h**). The proportion of CD8 T cells specific for CMV was higher compared
258 to SARS-CoV-2-specific CD8 T cells irrespective of HIV status and similar to HIV-gag responses
259 (**Supplementary Fig.3i, j**). Notably, SARS-CoV-2-specific CD8 T cells against Spike and non-
260 Spike pools were less frequent, with CD4 T cells similarly outnumbering CD8 T cells regardless
261 of HIV status (**Fig.4g**). Total SARS-CoV-2-specific CD4 T cell responses correlated with the
262 magnitude of T cell responses detected by ELISpots and with neutralization titers in the same
263 individuals when data from HIV positive and negative donors were combined (**Fig.4h, i**). This
264 association was also seen between Spike and non-Spike-specific CD4 T cells detected via ICS and
265 overall T cell responses against Spike/non-Spike detected via ELISpots ($r=0.5734$, $p=0.0042$ and
266 $r=0.4852$, $p=0.0189$ respectively), indicating that CD4 T cells are the dominant population
267 responding to SARS-CoV-2.

268

269 In line with previous observations, we found that SARS-CoV-2-specific T cells predominately
270 display an effector memory (EM) and/or a terminally differentiated effector memory (TEMRA)
271 cell phenotype for CD4 and CD8 T cells respectively (**Fig.5a-d**)^{25,43}. Previous studies have
272 suggested that higher expression of programmed cell death-1 (PD-1) on T cells in COVID-19
273 patients could signify the presence of exhausted T cells⁴⁴⁻⁴⁶. We therefore examined the expression
274 of PD-1 in relation to activation and function among SARS-CoV-2-specific CD4 T cells. The
275 proportion of CD154+ IFN- γ producing cells was significantly higher in PD-1⁺ cells compared to

276 PD-1⁺ cells regardless of HIV serostatus, likely reflecting activated functional cells rather than
277 exhausted populations (**Fig.5e**)⁴⁷. An inverse correlation between the expression of PD-1
278 expressing SARS-CoV-2-specific CD4 T cells and DPSO was observed in HIV negative donors
279 (**Fig.5f**).

280

281 **Immune profile relationships between convalescent HIV positive and negative individuals**

282 During COVID-19 disease, excessive activation of T cells can lead to lymphopenia, including
283 altered subset distribution and function, and these alterations can persist into convalescence
284 ^{43,44,48,49}. This prompted us to further evaluate changes in the T cell compartment and the
285 relationship between antigen-specific T cells and antibodies with individual T cell parameters and
286 immunological metrics in our cohort. To this end we utilized a broad immunophenotyping flow
287 cytometry panel to capture major cell types.

288

289 Global t-distributed stochastic neighbour embedding (t-SNE) high dimensional analysis
290 demonstrated significant alterations in the T cell compartment between HIV negative and positive
291 individuals recovered from COVID-19 (**Fig.6a**). Lower proportions of circulating CD4 T cells and
292 higher proportions of CD8 T cells were confirmed by traditional gating in HIV infected individuals
293 recovered from COVID-19 disease compared to HIV negative individuals (**Fig.6b**). Consistent
294 with alterations described in HIV infection (L. Zhang et al 1999, M.D. Hazenberg et al 2000, D.C.
295 Douek 1998), naïve T cell frequency was reduced in SARS-CoV-2 convalescent HIV positive
296 donors compared to HIV negative subjects. This was accompanied by higher proportions of
297 terminally differentiated effector memory (TEMRA: CD45RA⁺CCR7⁻) within the total CD8 T
298 cell population in HIV infected individuals, contributing to an altered representation of

299 naïve/memory T cells ⁵⁰ (**Fig.6c**). Notably, the percentage of naïve CD4 T cells correlated with the
300 CD4:CD8 ratio and SARS-CoV-2-specific T cell responses in HIV positive donors (**Fig.6d, e**),
301 suggesting that the scarce availability of naïve CD4 T cells could influence the extent/magnitude
302 of the T cell response to SARS-CoV-2 infection. Recent data have demonstrated a link between
303 naïve CD4 T cells, age and COVID-19 disease severity in older individuals ¹⁸. Whereas naïve CD8
304 and CD4 T cells correlated with age in HIV negative donors, this relationship between age and
305 naïve T cells was lost in HIV infected donors (**Fig.6f-i**). Together these observations suggest that
306 altered T cell homeostasis and likely premature immunosenescence in HIV infection could
307 compromise T cell mediated responses to a new pathogen ⁵¹.

308

309 HIV infection is characterised by persistent immune activation together with cell alterations and T
310 cell exhaustion ⁵²⁻⁵³. Although the proportion of T cells co-expressing HLADR/CD38 and PD-
311 1/TIGIT (T cell immunoreceptor with Ig and ITIM domains) in HIV infected individuals was
312 significantly higher when compared with HIV negative donors it did not correlate with SARS-
313 CoV-2-specific parameters (**Supplementary Fig.4a-d**).

314

315 Next we assessed circulating T follicular helper (cTfh) cells that represent a substantial proportion
316 of the SARS-CoV-2-specific T cells in acute and convalescent infection ^{18,54}, and are required for
317 maturation and development of B cell responses in germinal centres and the induction of IgG
318 production ²¹. A close association between cTfh cells and the virus-specific antibody production
319 in the convalescent phase of COVID-19 disease has been described ⁵⁵. We detected elevated
320 frequencies of cTfh (CXCR5+PD-1+) HIV infected subjects compared to HIV infected donors,
321 however, no correlation was observed with antibody levels (**Supplementary Fig.4e, f**). Whether

322 further quantitative and qualitative differences exist between cTfh cell subsets in HIV positive ⁵⁶
323 and negative individuals that could alter their capacity to instruct B cells and influence responses
324 to SARS-CoV-2 infection merits further investigation in larger cohorts.

325

326 **Discussion**

327 The COVID-19 pandemic is causing much global uncertainty, especially for people with pre-
328 existing medical conditions such as PLWH. In this study, we aimed to bridge the knowledge gaps
329 in our understanding of the specificity, magnitude and duration of immunity to SARS-CoV-2 in
330 this patient group, which is critical for tailoring current and future mitigation measures, including
331 vaccine strategy. This integrative analysis demonstrates that the majority of PLWH evaluated in
332 the convalescent phase from mild COVID-19 disease can mount a functional adaptive immune
333 response to SARS-CoV-2.

334

335 Most PLWH developed S1/N-reactive and neutralizing antibody responses similar to HIV negative
336 donors in our study and similar to observations reported in the general population at least five
337 months after primary infection ^{30,57 28}. Circulating SARS-CoV-2 neutralizing antibody titers were,
338 however, low in a fraction of recovered COVID-19 cases ^{25,27-29}, indicating that either the serum
339 concentration/neutralizing antibody potency was suboptimal or, more likely, that an earlier
340 response could have waned by the time of sampling at DPSO as previously observed ²⁸. Some
341 samples in both groups showed strong neutralization despite low anti-S1 binding titers, suggesting
342 the possibility of the presence of neutralizing antibodies directed against other viral epitopes and/or
343 greater production of non-neutralizing antibodies, or a role of other isotypes in neutralizing
344 responses. It should be noted that our data reflect those who recovered from mostly mild COVID-

345 19 disease, limiting our conclusions about disease associations. Whether seroconversion rates and
346 kinetics of antibody responses differ according to HIV status need to be addressed in longitudinal
347 studies from acute infection or vaccination into convalescence. While the exact duration of
348 immunity conferred by natural infection remains unresolved, induction of neutralizing antibodies
349 and presence of antibodies to SARS-CoV-2 is thought to confer a degree of protection against
350 SARS-CoV-2 ⁵⁸⁻⁶³.

351
352 In addition to antibodies, CD4 T cells and CD8 T cells can provide protective roles in controlling
353 SARS-CoV-2 infection ^{64,65}, with T cell immunity potentially being more enduring, as in the case
354 of SARS-CoV ^{32,66}. In keeping with the published literature, we detected T cell responses via IFN-
355 γ -ELISpot in the majority of HIV positive and negative donors. In both groups these responses
356 were variable in magnitude and predominately targeted Spike, M and N, with smaller responses to
357 regions of the viral proteome tested being detected, consistent with previous studies ^{30,39-40}.

358
359 Notably, a positive association was observed between the CD4:CD8 ratio in HIV infected subjects
360 and the magnitude of T cell responses against SARS-CoV-2. This suggests that some PLWH with
361 residual immune perturbations, despite effective virological suppression on ART, may generate
362 suboptimal T cell memory responses. With emerging information on PLWH with COVID-19
363 disease, a more pronounced immunodeficiency, defined as a current CD4 count $<350/\mu\text{L}$ and a
364 low CD4 nadir, has been associated with an increased risk for severe COVID-19 disease and
365 mortality. With modern ART and successful viral suppression, absolute CD4 count (despite
366 normalisation) may not accurately reflect the extent of immunological alterations that could persist
367 in HIV infected individuals on treatment ⁶⁷. A low or inverted CD4/CD8 ratio is considered an

368 immune risk phenotype associated with altered immune function, immunosenescence and chronic
369 inflammation in both HIV positive and negative populations ⁶⁸⁻⁷⁰. Due to its predictive power for
370 adverse clinical outcomes in HIV infection and in the ageing general population ⁷⁰⁻⁷², including its
371 potential role as a prognostic factor of COVID-19 disease severity ²⁴, the CD4:CD8 ratio could
372 represent an additional tool for risk stratification of PLWH. The relationship between naïve CD4
373 T cells, CD4:CD8 ratio and magnitude of SARS-CoV-2-specific responses in our cohort highlights
374 the dependency between new antigen-specific responses and the available pool of naïve
375 lymphocytes. Fewer pre-existing naïve CD4 T cells coupled with the relative overrepresentation
376 of memory CD8 T cells in the context of HIV, independent of age, could exacerbate the clinical
377 outcome of SARS-CoV-2 infection. These changes in the T cell compartment can lead to reduced
378 priming and poorly coordinated early and subsequent memory immune responses to SARS-CoV-
379 2. Our cohort and interim analysis does not represent the entire spectrum of immune dysfunction,
380 which we will continue to probe through ongoing recruitment to test these relationships more
381 rigorously. This would be highly relevant in the context of emergence of specific SARS-CoV-2
382 variants associated with immunosuppression described in the UK ⁷³, and in parts of the world with
383 high HIV prevalence and suboptimal HIV suppression levels ⁷⁴.

384

385 In agreement with other studies, our data show that in individuals with detectable cellular
386 responses, CD4 T cell responses to SARS-CoV-2 Spike and non-Spike antigens are more common
387 than CD8 T cell responses ^{31,39,75}. This could reflect a bias, from using peptide pools, towards
388 MHC class II presentation and more selective recognition by CD4 T cells ³⁹. Preferential expansion
389 of CD4 T cells has been associated with control of primary SARS-CoV-2 infection ¹⁸ underscoring
390 their relevance in PLWH with persistent alterations in their T cell compartments. In a small group

391 of donors, already found to be responsive via ELISpots, further evaluation of T cell
392 polyfunctionality in response to SARS-CoV-2 Spike and non-Spike pools revealed similar profiles
393 in SARS-CoV-2 CD4 T cells irrespective of HIV status. Given that contributions of T cells specific
394 to any viral protein can be relevant for protective immunity, non-Spike proteins could also
395 represent valuable components for future vaccine strategies. The reduced production of IL-2 from
396 SARS-CoV-2-specific CD8 T cells in HIV infected donors could, however, hinder their
397 proliferative potential and long-term immune memory post natural infection and/or immunization
398 ⁷⁶. Together, these results provide further immunological context into the described associations
399 between ongoing immunodeficiency and worse COVID-19 disease outcome, and the subsequent
400 development of immune memory responses. Further work is required to comprehensively
401 characterise the epitope repertoire elicited by SARS-CoV-2 infection in the context of a broad set
402 of HLA alleles to define patterns of immunodominance.

403

404 Our data indicate that antibody and T cell responses in convalescent individuals with
405 predominately mild disease can be uncoupled, implying that the binding titer is not always a good
406 predictor of the magnitude of the T cell response, especially in PLWH. The lack of correlation
407 between Spike-specific T cells and S1 binding IgG titers and neutralization levels in HIV positive
408 individuals could point toward impairment of Tfh cells ⁵⁶, which make up a significant proportion
409 of SARS-CoV-2-specific cells ^{77,78}. The observed disparity of T cell and antibody responses in
410 certain individuals could also reflect differences in early innate immune responses potentially
411 resulting in dysregulated priming and incongruent T and B cell responses ⁷⁹. However, this remains
412 to be determined.

413

414 There are limitations to this study. The observed heterogeneity in the magnitude of cellular and
415 humoral responses that are not always fully coordinated highlights the need to consider additional
416 putative factors as they relate to adaptive immunity. This cross-sectional study was not powered
417 to study age and demographic differences according to the full spectrum of COVID-19 disease by
418 HIV serostatus. Larger studies are required to determine the role of gender, racial and ethnicity
419 effects, especially in areas of high HIV burden and additional comorbidities, to help identify
420 individuals who are particularly vulnerable to the impact of SARS-CoV-2 infection and need
421 targeted vaccination interventions. Nonetheless, the prospective, longitudinal design of this current
422 study, integrating clinical parameters, antibody and T cell responses, will help address longer term
423 protective immunity and emerging questions, such as immune responses to new SARS-CoV-2
424 variants ^{73,74,80-82}, and during the subsequent vaccination roll-out.

425
426 Collectively, our results provide benchmark data into the facets of adaptive immunity against
427 SARS-CoV-2 in the setting of treated HIV infection, providing evidence for medium-term durable
428 antibody and cellular responses. Although reassuring, our data also have implications for PLWH
429 with inadequate immune reconstitution, reflected in the low/inverted CD4:CD8 ratio, and
430 potentially decreased ability to respond to SARS-CoV-2. This subpopulation of PLWH may be
431 more vulnerable to circulating virus with relevance to vaccine prioritization and potential
432 effectiveness. In the era of ART, CD4:CD8 ratio, should be considered as a readily accessible
433 biomarker for assessing individual risks in PLWH, a proportion of whom may require tailored
434 vaccine strategies to achieve long-term protective immunity.

435

436 **Materials and Methods**

437 **Ethics statement**

438 The protocols for the human study were approved by the local Research Ethics Committee (REC)
439 – Berkshire (REC 16/SC/0265). The study conformed to the Helsinki declaration principles and
440 Good Clinical Practice (GCP) guidelines and all subjects enrolled into the study provided written
441 informed consent.

442

443 **Study Subjects**

444 HIV seronegative adults (>18 years of age, comprising hospital-based healthcare workers) and
445 chronically HIV infected patients (on antiretroviral treatment for at least 2 years with undetectable
446 HIV RNA) with prior confirmed or suspected COVID-19 disease were recruited. All study
447 participants were screen anti-Hepatitis C virus and anti HBsAg negative. Confirmed SARS-CoV-
448 2 infection by SARS-CoV-2 PCR and/or Roche antibody tests was declared by the participants,
449 who were asked to provide details on the timing and nature of symptoms. Additional demographic
450 information and underlying medical conditions were captured on a health questionnaire. European
451 Centre for Disease Prevention (ECDC) criteria were used for case definition for COVID-19
452 disease. Severity of COVID-19 disease was according to the WHO criteria. This is a cross-
453 sectional analysis of baseline samples collected during the convalescent phase of SARS-CoV-2
454 infection as part of a prospective, observational longitudinal cohort study. A total of n=47 HIV
455 positive and n=35 HIV negative subjects with recovered confirmed and/or suspected COVID-19
456 disease were included (**Supplementary Table 1**). Sixteen demographically age-, sex- and
457 lifestyle-matched HIV-1 seropositive individuals were included for comparison, from whom
458 sample were collected between (February 2017-November 2019; pre-pandemic). All participants
459 were recruited at the Mortimer Market Centre for Sexual Health and HIV Research and the Royal

460 Free Hospital (London, UK) following written informed consent as part of a study approved by
461 the local ethics board committee. Clinical characteristics of participants are summarized in
462 **Supplementary Table 1**. Further details in the exact number of subjects utilized for each assay
463 are indicated in the figure legends and Results section.

464 Case definition for coronavirus disease 2019 (COVID-19), as of 03 December 2020 European
465 Centre for Disease Prevention and Control [[https://www.ecdc.europa.eu/en/covid-](https://www.ecdc.europa.eu/en/covid-19/surveillance/case-definition)
466 [19/surveillance/case-definition](https://www.ecdc.europa.eu/en/covid-19/surveillance/case-definition)]. Severity of COVID-19 was classified according to the WHO
467 (World Health Organisation) clinical progression scale.

468

469 **Peripheral blood mononuclear cells (PBMC) and Plasma Isolation**

470 Whole blood from all participants was collected in heparin-coated tubes and stored at room
471 temperature prior to processing. In brief, PBMCs were isolated by density gradient sedimentation.
472 Whole blood was transferred to conical tubes and then centrifuged at 2000 rpm, at room
473 temperature (RT) for 5-10 minutes. Plasma was then collected, aliquoted and stored at -80°C for
474 further use. The remaining blood was diluted with RPMI (Corning, Manassas, VA, USA), layered
475 over an appropriate volume of room temperature Histopaque (Histopaque-1077 Cell Separation
476 Medium, Sigma-Aldrich, St. Louis, MO, USA), and then centrifuged for 20 min at 2000 rpm at
477 room temperature without brake. After centrifugation, the PBMC layer was carefully collected,
478 transferred to a conical tube and washed with RPMI. An aliquot of cells was stained with trypan
479 blue and counted using Automated Cell Counter (Bio-Rad, Hercules, California, USA). Isolated
480 PBMCs were then cryopreserved in a cryovial in cell recovery freezing medium containing 10%
481 Dimethyl Sulfoxide (DMSO) (MP Biomedicals, LLC, Irvine, CA, USA) and 90% heat inactivated

482 fetal bovine serum (FBS) and stored at -80°C in a Mr. Frosty freezing container overnight before
483 being transferred to liquid nitrogen for further storage.

484

485 **Serum isolation**

486 For serum isolation, whole blood was collected in serum separator tubes and stored briefly at room
487 temperature prior sample processing. Serum tubes were centrifuged for 5 min at 2000 rpm, and
488 then serum was collected, aliquoted and stored at -80°C for further use.

489

490 **Semiquantitative ELISA for S1 and N**

491 This assay is based on a previously described assay^{28,37,38}. Briefly, 9 columns of a 96-half-well
492 Maxisorp plate (Nalgene, NUNC International, Hereford, UK) were coated overnight at 4°C with
493 25 μl of S1 or N (gift from Peter Cherepanov, Crick Institute) purified protein at 3 $\mu\text{g}/\text{ml}$ in PBS,
494 the remaining 3 columns were coated with 25 μl goat anti-human F(ab)'₂ (1:1,000) in PBS to
495 generate an internal standard curve. The next day, plates were washed with PBS-T (0.05% Tween
496 in PBS) and blocked for 1h at RT with assay buffer (5% milk powder PBS-T). Assay buffer was
497 then removed and 25 μl of patient sera at dilutions from 1:50 – 1:1000 in assay buffer added to the
498 antigen-coated wells in duplicate. Serial dilutions of known concentrations of IgG were added to
499 the F(ab)'₂ IgG-coated wells in triplicate. Following incubation for 2 hours at room temperature,
500 plates were washed with PBS-T and 25 μl alkaline phosphatase-conjugated goat anti-human IgG
501 (Jackson ImmunoResearch) at a 1:1000 dilution in assay buffer added to each well and incubated
502 for 1 hour at room temperature. Plates were then washed with PBS-T, and 25 μl of alkaline
503 phosphatase substrate (Sigma Aldrich) added. ODs were measured using a MultiskanFC

504 (Thermofisher) plate reader at 405nm and S1 & N-specific IgG titers interpolated from the IgG
505 standard curve using 4PL regression curve-fitting on GraphPad Prism 8.

506

507 **Pseudovirus production and neutralization assays**

508 HIV-1 particles pseudotyped with SARS-Cov-2 spike were produced by seeding 3×10^6 HEK-293T
509 cells in 10ml complete DMEM (DMEM supplemented with 10% FBS, L-Glutamine, 100 IU/ml
510 penicillin and 100 $\mu\text{g/ml}$ streptomycin) in a T-75 culture flask. The following day cells were
511 transfected with 9.1 μg of HIV p8.91 packaging plasmid ⁸³, 9.1 μg of HIV-1 luciferase reporter
512 vector plasmid ²⁸, 1.4 μg of WT-SARS-CoV-2 spike plasmid (2) and 60 μg of PEI-Max
513 (Polysciences). Supernatants were harvested 48h later, filtered through a 0.45 μm filter and stored
514 at -80°C . Neutralization assays were performed on 96-well plates by incubating serial dilutions of
515 patient serum with pseudovirus for 1h at 37°C 5% CO_2 . HeLa ACE-2 cells (gift from James E
516 Voss, Scripps Institute) were then added to the assay (10,000 cells per 100 μL per well). After
517 48/72h at 37°C 5% CO_2 , supernatants were removed, and the cells were lysed; Brightglo luciferase
518 substrate (Promega) was added to the plates and RLU read on a Glomax luminometer (Promega)
519 as a proxy for infection. Measurements were performed in duplicate and fifty percent inhibitory
520 dilution (ID50) values were calculated using GraphPad Prism 8.

521

522 **IgG Purification**

523 For individuals on ART, to avoid off-target neutralization due to the HIV pseudovirus backbone,
524 we purified IgG from serum using Mini Bio-Spin Chromatography Columns (BioRad) by
525 incubating sera with a resin of protein G Sepharose beads (GE Healthcare) for 2h, eluting with
526 0.1M Glycine (pH 2.2) into 2M Tris-base, concentrating the IgG-containing fraction in a 50kD

527 concentrator (Amicon, Merck) and quantifying the amount of IgG by Nanodrop. The purified IgG
528 was serially diluted from 200 µg/ml in neutralization assays and the resulting ID50 calculated
529 using the total IgG concentration of each serum sample prior to purification.

530

531 **Standardized ELISA for measurement of CMV-specific IgG levels in plasma**

532 The levels of CMV-specific IgG were measured using the Abcam Anti-Cytomegalovirus (CMV)
533 IgG Human ELISA kit following manufacturer's instructions. Assays were run in duplicate and
534 mean values per participant are reported in International Units (IU) per ml.

535

536 **Phenotypic flow cytometric analysis**

537 The fluorochrome-conjugated antibodies used in this study are listed in **Table. S2**. Briefly, purified
538 cryopreserved PBMCs were thawed and rested for one hour at 37 °C in complete RPMI medium
539 (RPMI supplemented with Penicillin-Streptomycin, L-Glutamine, HEPES, non-essential amino
540 acids, 2-Mercaptoethanol, and 10% Fetal bovine serum (FBS)). Cells were then washed,
541 resuspended in PBS, and surface stained at 4°C for 20 min with different combinations of
542 antibodies in the presence of fixable live/dead stain (Invitrogen). Cells were then fixed and
543 permeabilized for detection of intracellular antigens. The Foxp3 intranuclear staining buffer kit
544 (eBioscience) was used according to the manufacturer's instructions for the detection of
545 intranuclear markers. Samples were acquired on a BD Fortessa X20 using BD FACSDiva8.0 (BD
546 Bioscience) and subsequent data analysis was performed using FlowJo 10 (TreeStar). Stochastic
547 neighbor embedding (SNE) analysis was undertaken on the mrc.cytobank platform to enable
548 visualization of high-dimensional data in two-dimensional representations, avoiding the bias that
549 can be introduced by manual gating of specific subsets ⁸⁴.

550

551 **Peptide Pools:**

552 For detection of virus-specific T cell responses, PBMCs were stimulated with the following
553 peptide pools:

554

555 1. SARS-CoV-2 Spike: total of 15 to 18-mers overlapping by 10 amino acid residues for Spike
556 (S) synthesized using Two-dimensional peptide Matrix pools, divided into 16 “minipools”
557 P1-P16 and grouped into pools S1 (P1-8) and S2 (P 9-16) ⁴⁰.

558 2. SARS-CoV-2 Structural and accessory proteins: 15-mer peptides overlapping by 10 amino
559 acid residues for Membrane protein (M) (Miltenyibiotec), Nucleocapsid (N)
560 (Miltenyibiotec), Envelope (Env) protein and open reading frame (ORF) 3, 6, 7 (a kind
561 gift from Tao Dong) ⁴⁰

562 3. Non-SARS-CoV-2 antigens: Peptide pools of the pp65 protein of human cytomegalovirus
563 (CMV) (Miltenyibiotec, and NIH AIDS Reagent Repository), or HIVconsv peptide pools
564 (NIH AIDS Reagent Repository) HIV-1 and Influenza HLA class I-restricted T cell epitope
565 (ProImmune). CD8 T cell epitopes of human influenza, CMV and EBV viruses (namely
566 FEC controls, NIH AIDS Reagent Repository) were used as positive controls.

567

568 **Ex vivo IFN- γ ELISpot Assay**

569 IFN- γ ELISpot assays were performed with cryopreserved isolated PBMCs as described
570 previously ⁴⁰. Briefly, ELISPOT plates (S5EJ044I10; Merck Millipore, Darmstadt, Germany) pre-
571 wetted with 30 μ l of 70% ethanol for a maximum of 2 minutes, washed with sterile PBS and coated
572 overnight at 4 °C with anti-IFN- γ antibody (10 μ g/ml in PBS; clone 1-D1K; Mabtech, Nacka

573 Strand, Sweden). Prior to use, plates were washed with PBS and blocked with R10 (RPMI
574 supplemented with Penicillin-Streptomycin, L-Glutamine, and 10% FBS) for 1 hour at 37 °C. The
575 cells were plated at 2×10^5 cells/well for most of the participants or 1×10^5 cells/well for
576 participants with lower cell recovery. Cells were cultured with overlapping peptide pools at
577 2 µg/ml or PHA (Sigma Aldrich, St Louis, MO) at 10 µg/ml as a positive control for 16-18 hours
578 at 37 °C. Cells lacking peptide stimulation were used as a negative control. Plates were then
579 washed four times with 0.05% Tween/PBS (Sigma-Aldrich) followed by two washes with PBS
580 and then incubated for 2 hr at RT with biotinylated anti-IFN- γ (1 µg/mL; clone mAb-7B6-1;
581 Mabtech). After six further washes, cells were incubated with alkaline phosphatase-conjugated
582 streptavidin (Mabtech) at 1:1000 dilution for 1 hr at RT. Plates were then washed six times and
583 developed using VECTASTAIN® Elite ABC-HRP according to the manufacturer's instructions
584 (Mabtech). All assays were performed in duplicate. Spots were counted using an automated
585 ELISpot Reader System (Autoimmun Diagnostika GmbH). Results are reported as difference in
586 (Δ) spot forming units (SFU) per 10^6 PBMCs between the peptide-stimulated and negative control
587 conditions. Responses that were found to be lower than two standard deviations of the sample
588 specific control were excluded. An additional threshold was set at > 5 SFU/ 10^6 PBMCs, and results
589 were excluded if positive control wells (PHA, FEC) were negative.

590

591 **Intracellular cytokine stimulation (ICS) functional assay**

592 ICS was performed as described previously⁸⁵. Briefly, purified PBMCs were thawed and rested
593 overnight at 37 °C and 5% carbon dioxide in complete RPMI medium. After overnight rest,
594 PBMCs were stimulated for 6 h with 2µg/mL of SARS-CoV-2 peptide pools, Influenza, HIV-1
595 Gag or cytomegalovirus (CMV)-pp65 peptide pools, or with 0.005% dimethyl sulphoxide

596 (DMSO) as a negative control in the presence of α CD28/ α CD49d co-Stim antibodies ($1 \mu\text{g ml}^{-1}$)
597 GolgiStop (containing Monensin, $2 \mu\text{mol/L}$), GolgiPlug (containing brefeldin A, $10 \mu\text{g ml}^{-1}$) (BD
598 Biosciences) and anti-CD107 α BV421 antibody (BD Biosciences). After stimulation, cells were
599 washed and stained with anti-CCR7 (BioLegend) for 30 min at 37°C and then surface stained at
600 4°C for 20 min with different combinations of surface antibodies in the presence of fixable
601 live/dead stain (Invitrogen). Cells were then fixed and permeabilised (CytoFix/CytoPerm; BD
602 Biosciences) followed by intracellular cytokine with IFN- γ APC, CD154 PE-Cy7 (BioLegend),
603 TNF- α FITC (BD Biosciences) and PerCP-eFluor 710 IL-2 (eBioscience). Samples were acquired
604 on a BD Fortessa X20 using BD FACSDiva8.0 (BD Bioscience) and data analysed using FlowJo
605 10 (TreeStar). The gates applied for the identification of virus-specific CD4 and CD8 T cells were
606 based on the double-positive populations for interferon- γ (IFN- γ), Tumour necrosis factor (TNF-
607 α), interleukin-2 (IL-2), and CD40 ligand (CD154). The total population of SARS-CoV-2 CD4 T
608 cells was calculated by summing the magnitude of CD154⁺IFN- γ ⁺, CD154⁺IL-2⁺, and CD154⁺
609 TNF- α ⁺ responses; SARS-CoV-2 CD8 T cells were defined as (IFN- γ ⁺TNF- α ⁺, IFN- γ ⁺IL-2⁺).
610 Antibodies used in the ICS assay are listed in **Supplementary Table 2**.

611

612 **Statistics:**

613 Prism 8 (GraphPad Software) was used for statistical analysis as follows: the Mann–Whitney *U*-
614 test was used for single comparisons of independent groups, the Wilcoxon-test paired *t*-test was
615 used to compare two paired groups. The non-parametric Spearman test was used for correlation
616 analysis. The statistical significances are indicated in the figures ($*p < 0.05$, $**p < 0.01$, $***p <$
617 0.001 , and $****p < 0.0001$). Polyfunctionality tests were performed in SPICE version 6.0.

618

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629

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631 drafting of the manuscript; DHB, JK, BC, NFP, LM, AR, CR, CE performed experiments and
632 contributed to acquisition of data and analysis; PC, PP, LW, FB, SK, TD, LD, SRJ contributed to
633 study design, data interpretation and critical editing of the manuscript. LEM, DP: conception and
634 design of study, data analysis and interpretation, critical revision of the manuscript and study
635 supervision.

636

637 **Competing interests:** The authors have declared that no conflict of interest exists.

638 **Data and materials availability:** Data can be made available by request to the corresponding
639 authors.

640

641 **Figure Legends:**

642 **Fig.1: Antibody response in HIV positive and negative donors recovered from COVID-19**
643 **disease.**

644 **a** Seropositivity screen of plasma samples for antibodies against the external Spike antigen, using
645 a recombinant Spike S1₁₋₅₃₀ subunit protein (S1), and against the full-length internal Nucleoprotein
646 (N) antigen to confirm prior infection in HIV negative and positive donors. A sample absorbance
647 greater than 4-fold above the average background of the assay was regarded as positive. Black dots
648 denote laboratory confirmed cases and grey dots suspected/household contacts. **b** Comparison of
649 S1 IgG and N IgG antibody titers in HIV negative and **c** HIV positive donors. Red dots:
650 hospitalized cases; Black dots: mild (non-hospitalized cases); blue dots: asymptomatic cases. **d**
651 Correlation between S1 IgG and N IgG titers in HIV negative and positive donors. **e** Neutralization
652 titers in HIV negative and positive donors. Dotted lines indicate detection limit, minimum ID50
653 and potent levels >1000. **f** Proportion of HIV negative and positive donors with neutralizing
654 antibodies within the given ranges. **g** Correlation between S1 IgG titers and neutralization titers in
655 HIV negative and positive donors. The non-parametric Spearman test was used for correlation
656 analysis. * $p < 0.05$, ** $p < 0.01$

657

658 **Fig.2: Similar SARS-CoV-2 specific T cell responses by IFN- γ -ELISpot in HIV positive and**
659 **negative donors.**

660 **a** Genome organization of SARS-CoV-2 **b** Dominance of the IFN- γ -ELISpot responses. Heatmap
661 depicting the magnitude of the IFN- γ -ELISpot responses to the different SARS-CoV-2 peptide
662 pools in HIV negative and HIV positive individuals. (n=30 in each group) **c** Magnitude of the IFN-
663 γ -ELISpot responses. IFN- γ SFU/10⁶ PBMCs are shown for SARS-CoV-2 Spike (S), Membrane
664 (M) and Nucleocapsid (N) between HIV negative (green) and HIV positive (red). (n=30 per

665 group). **d** Magnitude of the IFN- γ -ELISpot responses for Total SARS-CoV-2 responses (S, M, N,
666 ORF3a, ORF6, ORF7, ORF8 and Env), FEC and HIV Gag between HIV negative (green) and HIV
667 positive (red). (n=30 per group). **e** Hierarchy of the IFN γ -ELISpot responses. IFN- γ SFU/10⁶
668 PBMCs responses in order of magnitude within each group with the contribution of the responses
669 to a specific pool shown by color legend. **f** Diversity of the IFN- γ -ELISpot responses. Number of
670 pools each of the donors has shown positive responses in the IFN- γ -ELISpot assay. The total of
671 SARS-CoV-2 pools tested was 7. **g** Proportion of T cell response magnitude in the HIV negative
672 and HIV positive individuals. **h** Correlation between CD4:CD8 ratio in HIV infected individuals
673 with their total SARS-CoV-2 responses, depicting disease severity per each donor (Red dots:
674 hospitalized cases; Black dots: non-hospitalized cases; blue dots: asymptomatic cases.). The non-
675 parametric Spearman test was used for correlation analysis. Two-way ANOVA was used for
676 groups comparison. *p < 0.05, **p<0.01.

677

678 **Fig.3: Interrelations between T cell and antibody responses in HIV positive and negative**
679 **donors.**

680 **a** Correlation of total SARS-CoV-2 responses with S1 IgG titers in HIV negative and **b** HIV
681 positive. **c** Correlation of total SARS-CoV-2 responses with N IgG titers in HIV negative and **d**
682 HIV positive subjects. Red dots: hospitalized cases; Black dots: non-hospitalized cases. **e**
683 Hierarchy of the T cell responses ordered by the neutralizing capacity by their antibody titers for
684 HIV negative and **f** HIV positive donors. The non-parametric Spearman test was used for
685 correlation analysis. *p < 0.05, **p<0.01

686

687 **Fig.4: Composition of SARS-CoV-2-specific T cells in convalescent HIV negative and HIV**
688 **positive individuals.**

689 Intracellular cytokine staining (ICS) was performed to detect cytokine-producing T cells to the
690 indicated peptide pools in HIV negative (HIV-, n=12) and HIV positive individuals (HIV+, n=11).
691 **a** Representative flow cytometric plots for the identification of antigen-specific CD4 T cells based
692 on double expressions (CD154⁺IFN- γ ⁺, CD154⁺IL-2⁺, and CD154⁺TNF- α ⁺) following 6-hour
693 stimulation media alone (control) or overlapping SARS-CoV-2 peptides against Spike pool 1 and
694 2 (Spike), nucleoprotein (N), and membrane protein (M) directly *ex vivo*. **b** Frequency of
695 aggregated CD4 T cell responses (CD154⁺IFN- γ ⁺, CD154⁺IL-2⁺, and CD154⁺TNF- α ⁺) against
696 Spike, M/N or combined (Spike and M/N) peptide pools. **c** Pie charts representing the relative
697 proportions of Spike, M/N, or total (combined Spike and M/N) CD4 T cell responses for one
698 (grey), two (green) or three (dark blue) cytokines, and pie arcs denoting IFN- γ , TNF- α and IL-2.
699 **d** Representative flow cytometric plots for the identification of antigen-specific CD8 T cells based
700 on the expression of (IFN- γ ⁺, TNF- α ⁺, and IL-2⁺) against the specified peptide pools or media
701 alone (control). **e** Proportion of aggregated CD8 T cell responses against Spike, M/N or combined
702 (Spike and M/N) responses. **f** Pie charts representing the relative proportions of Spike, M/N and
703 combined CD8 T cell responses for one (grey), two (green) or three (dark blue) cytokines, and pie
704 arcs showing IFN- γ , TNF- α and IL-2. **g** Comparison of the frequencies of summed SARS-CoV-2-
705 specific CD4 and CD8 T cell responses against Spike and M/N proteins. **h** Correlation between
706 the frequency of total SARS-CoV-2 specific-CD4 T cells and overall T cell responses detected by
707 IFN- γ ELISpot responses or **i** ID50 neutralization titer (log10) in HIV negative and HIV positive
708 individuals. Error bars represent SEM. The non-parametric Spearman test was used for correlation
709 analysis; p values for individual correlation analysis within groups, HIV- (green) or HIV+(red) or

710 combined correlation analysis (black) are presented. Significance determined by Mann-Whitney
711 *U* test or Wilcoxon matched- pairs signed rank test, **p*<0.05, ***p*<0.01, ****p* < 0.001. SPICE
712 (simplified presentation of incredibly complex evaluations) was used for polyfunctional analysis.

713

714 **Fig.5: Phenotypic characterization of SARS-CoV-2-specific CD4 and CD8 T cells from**
715 **convalescent HIV negative and HIV positive subjects.**

716 **a** Representative flow plots and **b** pie charts representing proportion of antigen-specific CD4 T
717 cell with a CD45RA⁻/CCR7⁺ central memory (CM), CD45RA⁺/CCR7⁺ naïve, CD45RA⁺/CCR7⁻
718 terminally differentiated effector memory (TEMRA) and CD45RA⁻/CCR7⁻ effector memory (EM)
719 phenotype from HIV negative (HIV⁻, n=12) and HIV positive individuals (HIV⁺, n=11) against
720 SARS-CoV-2 Spike, M, N, CMV pp65 and HIV gag. **c** Representative flow plots and **d** pie charts
721 representing proportion of CD45RA⁻/CCR7⁺ central memory (CM), CD45RA⁺/CCR7⁺ naïve,
722 CD45RA⁺/CCR7⁻ terminally differentiated effector memory (TEMRA) and CD45RA⁻/CCR7⁻
723 effector memory (EM) antigen-specific CD8 T cell subsets against SARS-CoV-2 Spike, M, N,
724 CMV pp65 and HIV gag. **e** Representative flow plots from an HIV negative donor (HIV⁻) and an
725 HIV positive donor (HIV⁺) showing expression of CD154 and IFN- γ production from PD1⁺ and
726 PD1⁻ SARS-CoV-2-specific CD4 T cells and paired analysis of responses in HIV negative (HIV⁻
727 , n=12) and HIV positive (HIV⁺, n=11) individuals. **f** Correlation between frequency of (PD-
728 1⁺CD154⁺IFN- γ ⁺ SARS-CoV-2-specific CD4 T cells and DPSO in both groups. Significance
729 determined by Wilcoxon matched-pairs signed rank test, **p*<0.05, ***p*<0.01, ****p* < 0.001. The
730 non-parametric Spearman test was used for correlation analysis; *p* values for individual correlation
731 analysis within groups, HIV⁻, HIV⁺, or combined correlation analysis (black) are presented.

732

733 **Fig.6: Immune profile relationships between convalescent HIV positive and negative**
734 **individuals.**

735 **a** viSNE analysis of CD3 T cells in HIV negative (top panel) and HIV positive donors (lower
736 panel). Each point on the high-dimensional mapping represents an individual cell and colour
737 intensity represents expression of selected markers. **b** Frequency of CD4 and CD8 T cells out of
738 total lymphocytes in SARS-CoV-2 convalescent HIV negative (HIV-, n=26) and HIV positive
739 individuals (HIV+, n=19) via traditional gating. **c** Summary data of the proportion of CD45RA⁻
740 /CCR7⁺ central memory (CM), CD45RA⁺/CCR7⁺ naïve, CD45RA⁺/CCR7⁻ terminally
741 differentiated effector memory (TEMRA) and CD45RA⁻/CCR7⁻ effector memory (EM) CD4 and
742 CD8 T cell subsets in the study groups. **d** Correlation between CD4:CD8 ratio and frequency of
743 naïve CD4 T cells in HIV-positive individuals. **e** Correlation between frequency of naïve CD4 T
744 cells and total SARS-CoV-2 T cell responses, detected via ELISpot, in HIV positive individuals.
745 **f** Correlation between frequency of naïve CD4 T cells and **g** naïve CD8 T cells and age in HIV
746 negative individuals. **h** Correlation between frequency of naïve CD4 T cells and **i** naïve CD8 T
747 cells age in HIV positive donors. Significance determined by Mann-Whitney test, *p<0.05,
748 **p<0.01, ***p < 0.001. The non-parametric Spearman test was used for correlation analysis.

749

750 **Supplementary Materials:**

751 **Supplementary Fig.1: Antigen binding screen and associations between humoral responses**
752 **and cohort parameters**

753 **Supplementary Fig.2: Magnitude of T cell responses and associations with HIV parameters,**
754 **age, gender and ethnicity**

755 **Supplementary Fig.3: Cytokine profile of SARS-CoV-2-, CMV- and Gag- specific T cells**

756 **Supplementary Fig.4: Association between T cell immunophenotyping and SARS-CoV-2**
757 **adaptive immune responses**

758 **Supplementary Table 1: Cohort demographics and clinical characteristics**

759 **Supplementary Table 2: Antibodies used for phenotypic analysis and virus-specific T cell**
760 **characterization**

761

762 **Supplementary Fig.1: Antigen binding screen and associations between humoral responses**
763 **and cohort parameters. a** Antigen binding screen in pre-pandemic samples from n=16 HIV
764 positive donors and **b** the whole cohort with convalescent COVID-19 disease. Dotted lines indicate
765 negative, low positive and positive threshold for absorbance [450nm]. **c** Correlation between age
766 and S1 IgG titer according to gender in HIV negative donors and HIV positive subjects. **d**
767 Correlation between age and ID50 according to gender in HIV negative donors and HIV positive
768 subjects and **e** between DPSO and ID50 in the two study groups. **f** S1 IgG titer and ID50 levels
769 summary dot plots according to ethnicity in HIV positive and negative donors. The non-parametric
770 Spearman test was used for correlation analysis. * $p < 0.05$

771

772 **Supplementary Fig.2: Magnitude of T cell responses and associations with HIV parameters,**
773 **age, gender and ethnicity. a** Percentage of responders to each peptide pools **b** Magnitude of the
774 INF- γ -ELISpot responses. INF- γ SFU/10⁶ PBMCs are shown for SARS-CoV-2 ORF3a, ORF6,
775 ORF7, ORF8 and Env between HIV negative (green) and HIV positive (red). (n=30 per group). **c**
776 Magnitude of the total SARS-CoV-2 responses analyzed in pre-pandemic samples, confirmed

777 SARS-CoV-2 and suspected cases with clinical definition but found to be SARS-CoV-2
778 seronegative on screening. In suspected cases, orange dots depict HIV negative and brown dots
779 HIV positive donors. Correlation between CD4:CD8 ratio in HIV infected individuals with their
780 **d** Nucleocapsid and **e** Membrane responses, depicting disease severity per donor. Correlation of
781 total SARS-CoV-2 responses with age in **f** HIV negative and **g** HIV positive, depicting disease
782 severity per donor. **h** Correlation of total SARS-CoV-2 responses with DPSO in HIV negative and
783 **i** HIV positive, depicting disease severity per donor. **j** Magnitude of the total SARS-CoV-2
784 responses by ethnicity and **k** gender between HIV negative and HIV positive. The non-parametric
785 Spearman test was used for correlation analysis. Two-way ANOVA was used for group
786 comparison. * $p < 0.05$, ** $p < 0.01$.

787

788 **Supplementary Fig.3: Cytokine profile of SARS-CoV-2-, CMV- and Gag- specific T cells**

789 **a** Frequency of SARS-CoV-2-specific CD154⁺ CD4 T cells identified by expression of IFN- γ ⁺,
790 IL-2⁺, or TNF- α ⁺ or overall responses with at least one of the three cytokines (IFN- γ , TNF- α and
791 IL-2) against Spike (S1 and S2 pools) **b** M/N or **c** combined (Spike and M/N) responses in HIV-
792 negative (HIV-, n=12) and HIV positive individuals (HIV+, n=11) recovering from COVID-19
793 disease. **d** Representative flow plots and summary data **e** showing frequencies of overall
794 (CD154⁺IFN- γ ⁺, CD154⁺IL-2⁺, or CD154⁺TNF- α ⁺) SARS-CoV-2-, CMV-, or Gag-specific CD4 T
795 cell responses in the study groups. **f** Frequency of SARS-CoV-2-specific CD8 T cells identified by
796 expression of IFN- γ ⁺, IL-2⁺ or TNF- α ⁺, or overall responses with at least one of the three cytokines
797 (IFN- γ , TNF- α and IL-2) against Spike (S1 and S2 pools) **g** M/N or **h** combined (Spike and M/N)
798 responses in HIV-negative (HIV-, n=12) and HIV-seropositive individuals (HIV+, n=11). **i**
799 Representative flow plots and **j** summary data showing frequencies of overall (IFN- γ ⁺, IL-2⁺, or

800 TNF- α ⁺) SARS-CoV-2-, CMV-, or Gag-specific CD8 T cell responses in the study groups. Error
801 bars represent SEM. The non-parametric Spearman test was used for correlation analysis; p values
802 for individual correlation analysis within groups, HIV- (green) or HIV+ (red) or combined
803 correlation analysis (black) are presented. Significance determined by Mann-Whitney *U* test or
804 Wilcoxon matched- pairs signed rank test, *p<0.05, **p<0.01, ***p < 0.001.

805

806 **Supplementary Fig.4: Association between T cell immunophenotyping and SARS-CoV-2**
807 **adaptive immune responses**

808 **a** Representative flow plots and **b** summary data of the frequency of CD38⁺ HLADR⁺ CD4 and
809 CD8 T cells, and correlations between percentage of CD38⁺ HLADR⁺ CD4 and CD8 T cells and
810 total SARS-CoV-2-specific T cell responses in HIV-seronegative (HIV-, n=26) and HIV positive
811 individuals (HIV+, n=19). **c** Representative flow plots and **d** summary data of frequency of PD-1⁺
812 TIGIT⁺ CD4 and CD8 T cells, and correlations between percentage of PD-1⁺ TIGIT⁺ CD4 and
813 CD8 T cells and total SARS-CoV-2-specific T cell responses. **e** Representative flow plots showing
814 the gating strategy used to define total circulating and activated Tfh subsets in the study groups
815 and summary data. **f** Correlations between percentage of activated Tfh and S1 IgG or N IgG titers.
816 Significance determined by Mann-Whitney test, *p<0.05, **p<0.01, ***p < 0.001. The non-
817 parametric Spearman test was used for correlation analysis; combined correlation analysis is
818 depicted.

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Figure 1

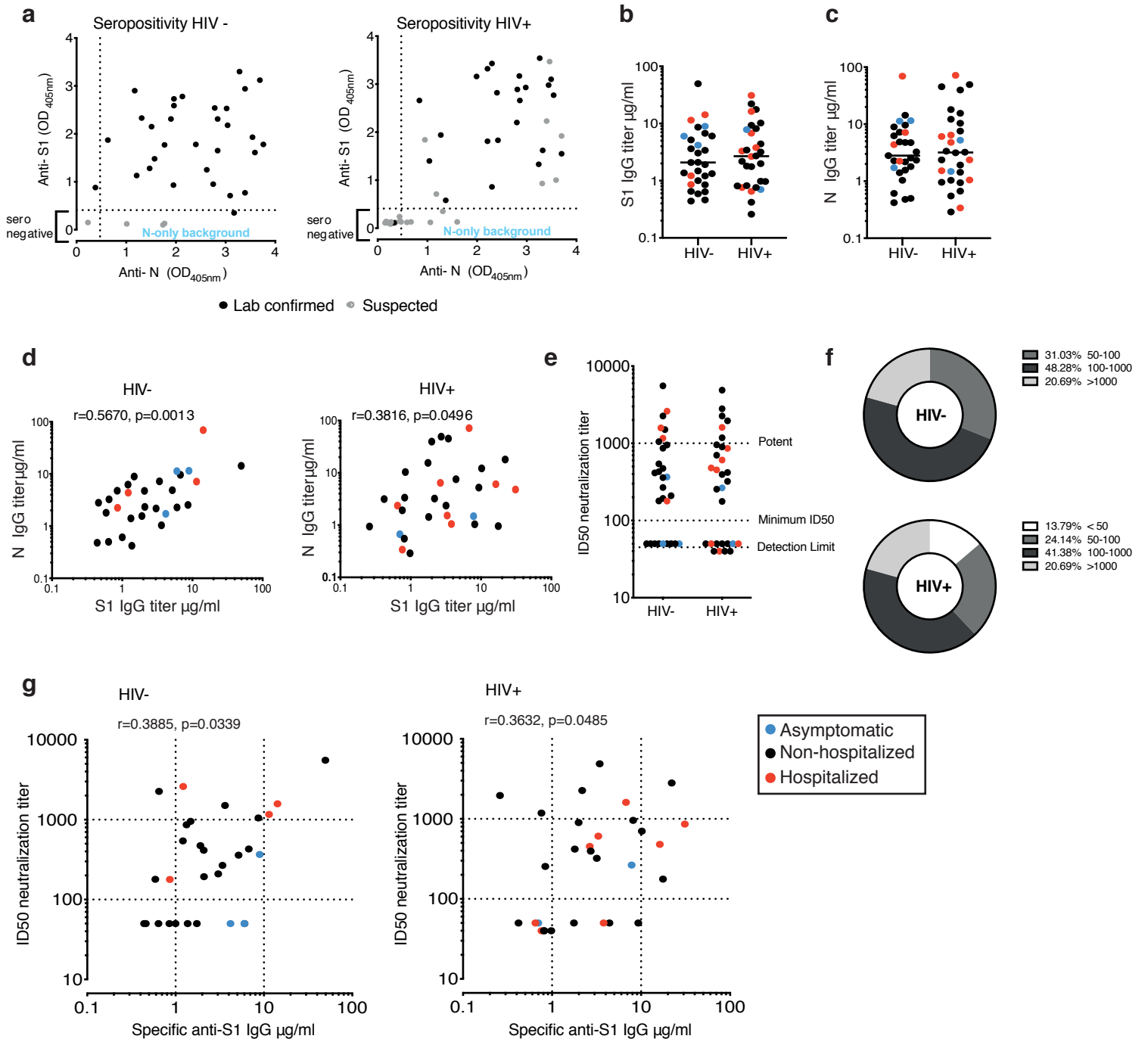


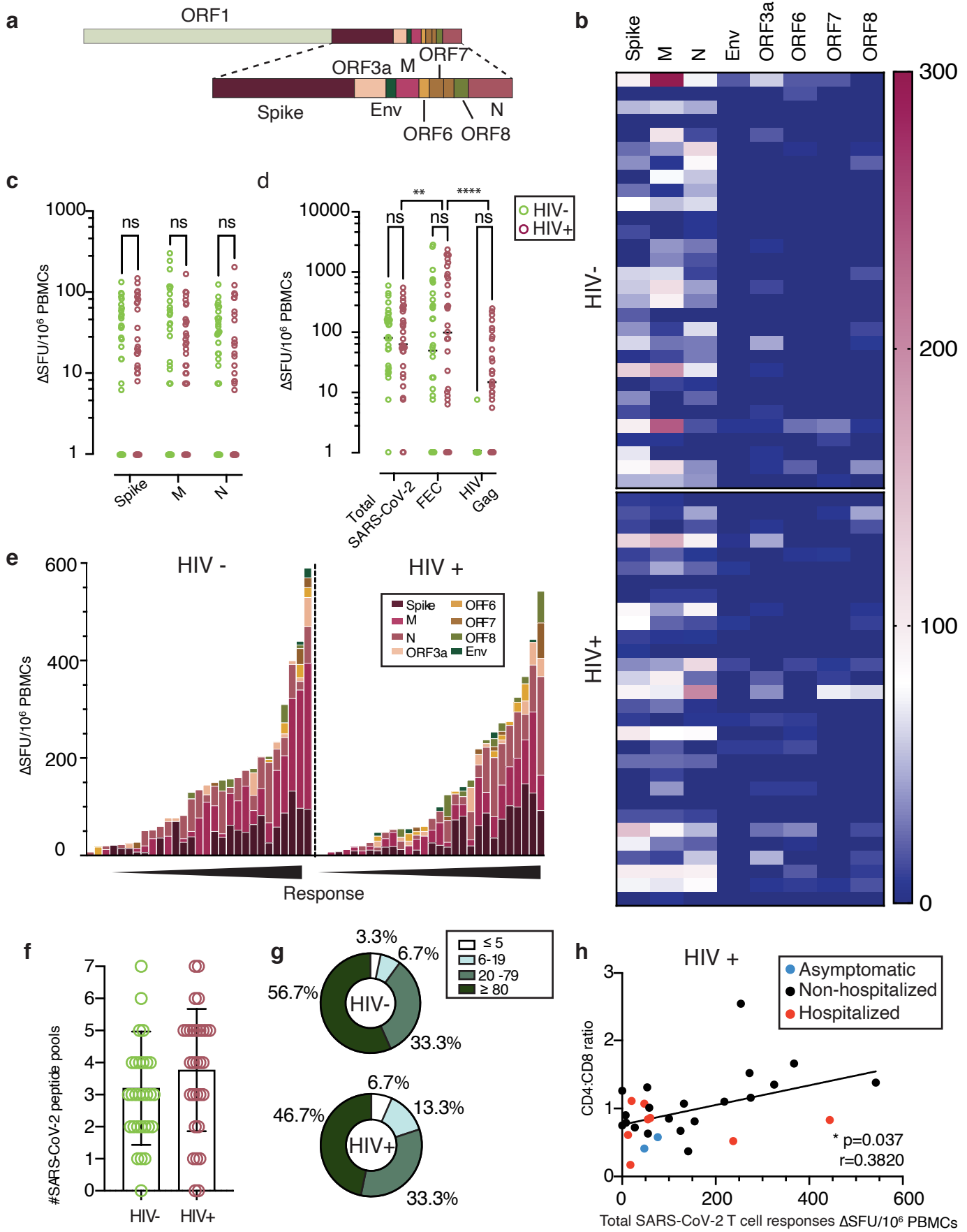
Figure 2

Figure 3

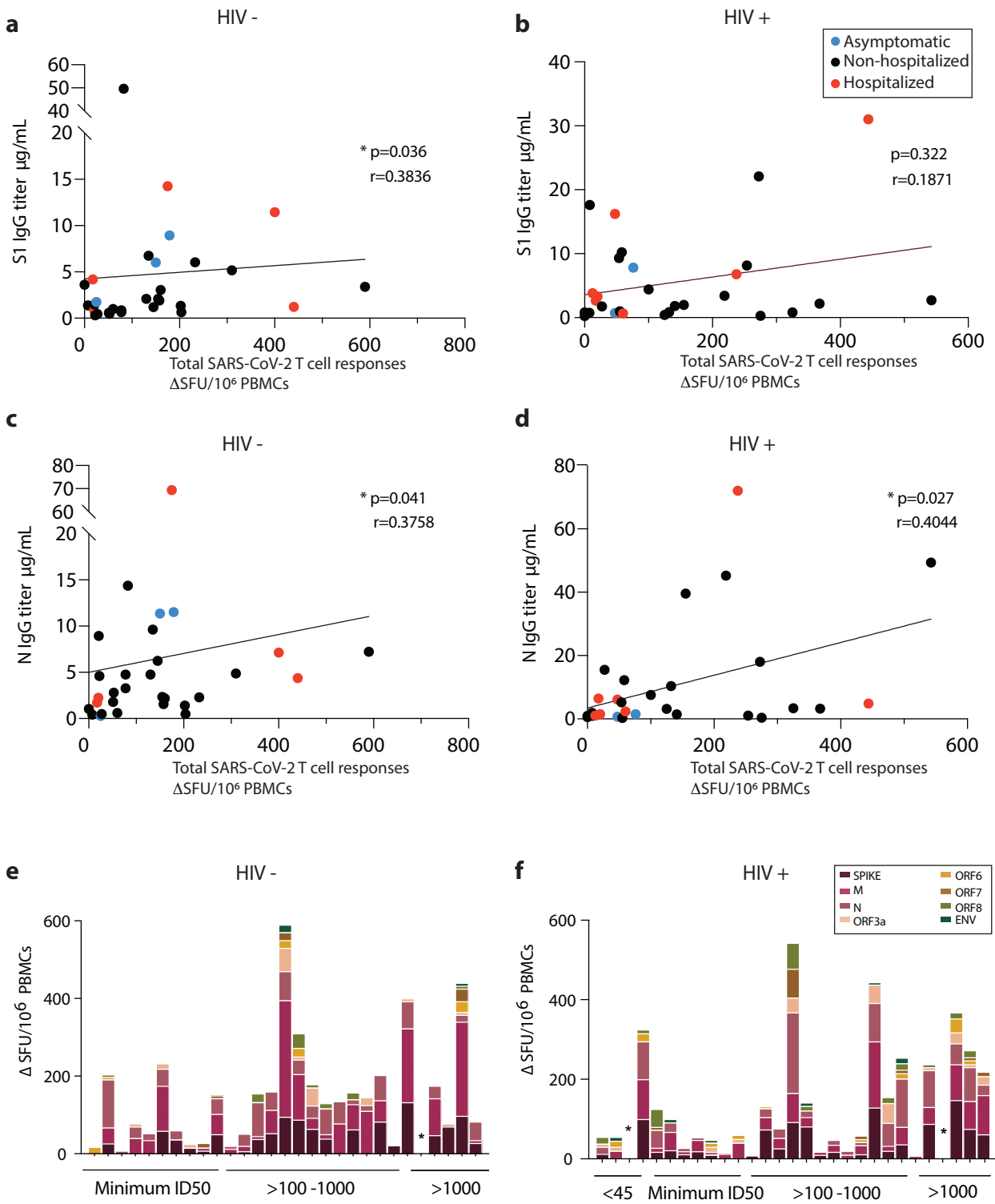


Figure 4

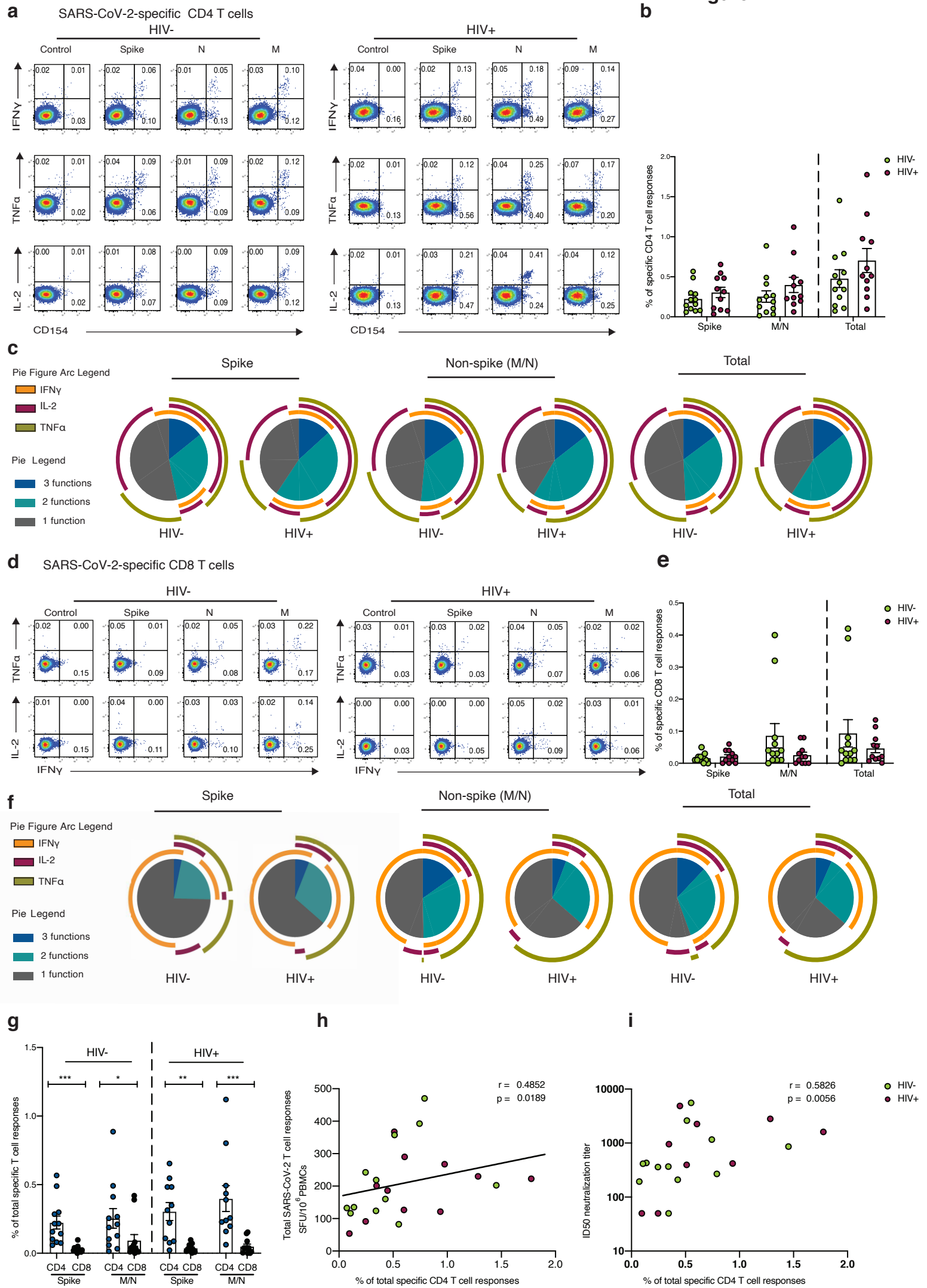
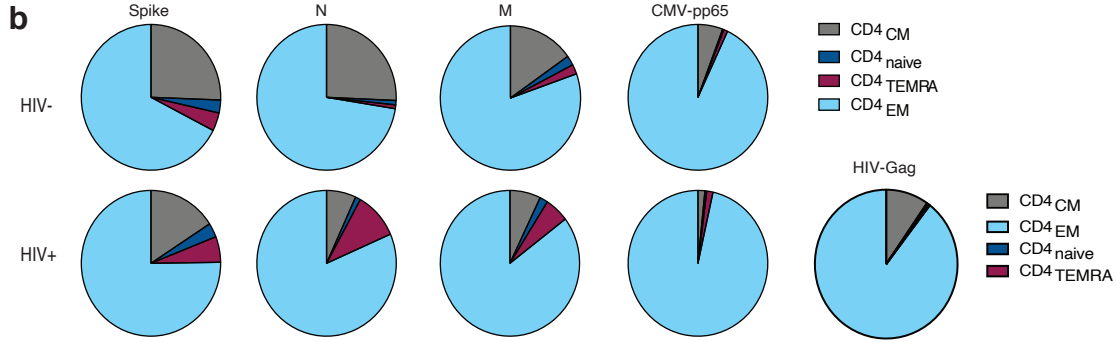
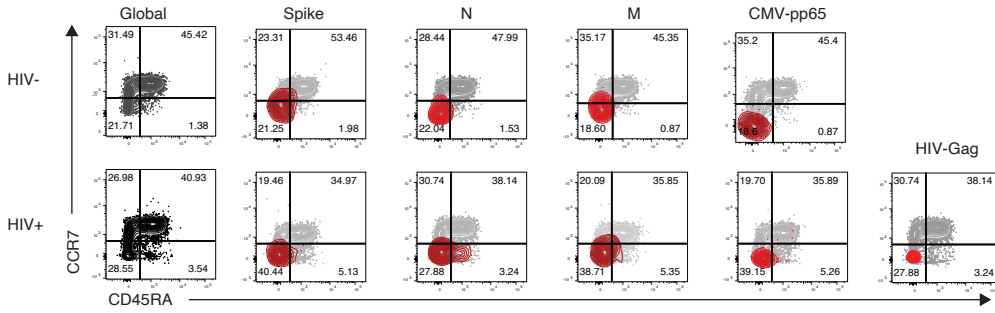


Figure 5

a SARS-CoV-2-specific CD4 T cells



c SARS-CoV-2-specific CD8 T cells

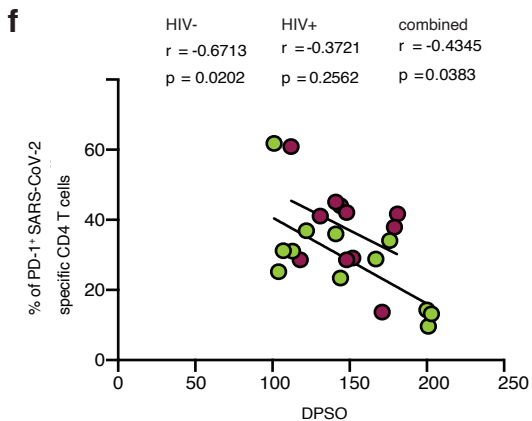
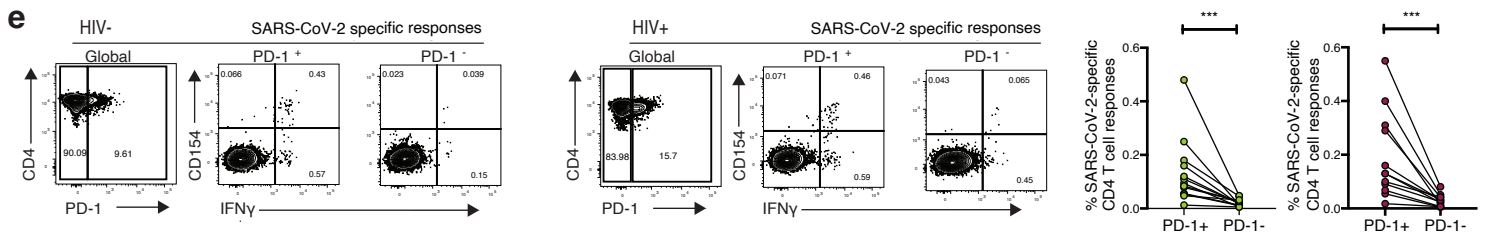
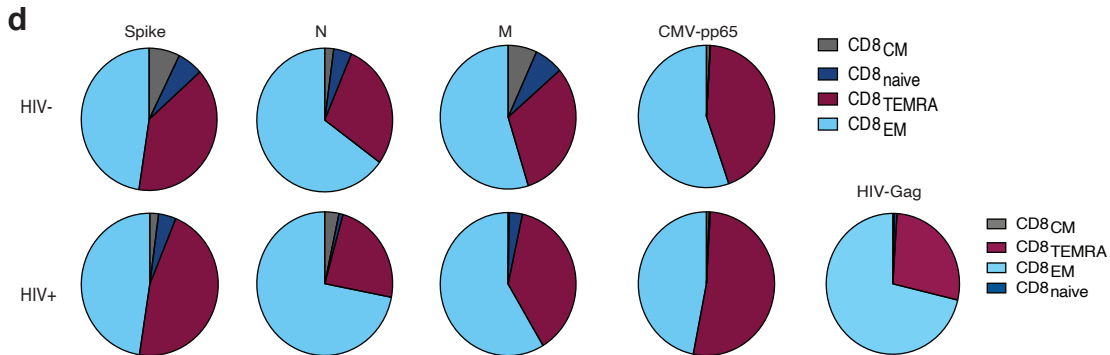
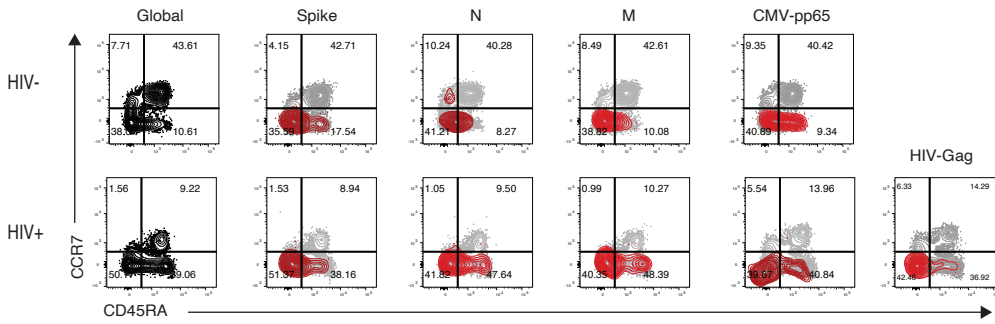


Figure 6

