

# Identification of Sex-Specific Genetic Polymorphisms Associated with Asthma in Middle-Aged and Older Canadian Adults: An Analysis of CLSA Data

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**Purpose:** Asthma is a chronic heterogeneous respiratory disease resulting from a complex interplay between genetic variations and environmental exposures. There are sex disparities in the prevalence and severity of asthma in males and females. Asthma prevalence is higher in males during childhood but increases in females in adulthood. The mechanisms underlying these sex differences are not well understood; nevertheless, genetic variations, hormonal changes, and environmental influences are thought to play important roles. This study aimed to identify sex-specific genetic variants associated with asthma using CLSA genomic and questionnaire data.

**Methods:** First, we conducted a genome-wide SNP-by-sex interaction analysis on 23,323 individuals, examining 416,562 single nucleotide polymorphisms (SNPs) after quality control, followed by sex-stratified survey logistic regression of SNPs with interaction p-value less than  $10^{-5}$ .

**Results:** Out of the 49 SNPs with interaction p-value less than  $10^{-5}$ , a sex-stratified survey logistic regression showed that five male-specific SNPs (rs6701638, rs17071077, rs254804, rs6013213, and rs2968822) in/near KIF26B, NMBR, PEPD, RTN4, and NFATC2 loci, and three female-specific SNPs (rs2968801, rs2864052, and rs9525931) in/near RTN4, and SERP2 loci were significantly associated with asthma after Bonferroni correction. An SNP (rs36213) in the EPHB1 gene was significantly associated with an increased risk of asthma in males [OR=1.35, 95% CI (1.14, 1.60)] but with a reduced risk of asthma in females [OR=0.84, 95% CI (0.76, 0.92)] after Bonferroni correction.

**Conclusion:** We discovered novel sex-specific genetic markers in/near the KIF26B, RTN4, EPHB1, NMBR, SERP2, PEPD, and NFATC2 genes that could potentially shed light on the sex differences in asthma susceptibility in males and females. Future mechanistic studies are required to understand better the underlying sex-related pathways of the identified loci in asthma development.

**Keywords:** asthma, single nucleotide polymorphism, sex-specific, CLSA

## Introduction

Asthma is a chronic inflammatory respiratory disease that affects both children and adults. It is characterized by reversible airflow obstruction, airway remodeling, hyperresponsiveness, shortness of breath, wheezing, and mucus production. In 2019, there were more than 262 million asthma cases globally, and the age-standardized point prevalence was highest in the high-income North American region.<sup>1</sup> In Canada, between 2011 and 2012, an estimated 3.8 million people one year and older lived with asthma.<sup>2</sup> Asthma prevalence and incidence differ in childhood and adulthood, and sex differences in prevalence, incidence, susceptibility, and severity have long been recognized.<sup>3–5</sup> During childhood, the prevalence of asthma is higher in males than in females, while in puberty and adulthood, females are more likely to develop asthma than males.<sup>6</sup>

Sexual dimorphism has been reported to influence the severity, pathogenesis, pathophysiology, progression, and susceptibility of various human diseases and treatments, including asthma.<sup>7–10</sup> Women with asthma tend to have higher mortality and morbidity,<sup>11</sup> greater perception of symptom bothersomeness, and poorer quality of life than men with asthma.<sup>12,13</sup> Studies using antigen-challenged mouse asthma models have shown that female mice had greater levels of antigen-specific serum IgE, eosinophils, and Th2 cytokine than male mice in the lung tissue and bronchoalveolar lavage fluid.<sup>14,15</sup> Furthermore, airway hyperresponsiveness has been reported to be greater in male mice than in female mice at six weeks of age and reduced at twelve weeks of age.<sup>16</sup>

Although the underlying biological mechanism of respiratory diseases and sex differences in risk are not fully understood, it is commonly believed that anatomical differences, genetic predisposition, hormonal changes, environmental exposures, and gene and environment interactions play an important role.<sup>4,17–19</sup>

Genetic predisposition plays a vital role in asthma pathogenesis. More than a hundred independent genetic loci have been associated with asthma.<sup>20,21</sup> Studies have shown that several gene polymorphisms and gene expressions associated with asthma are sex-specific.<sup>22–25</sup> For instance, a large genome-wide association study (GWAS) using EVE Asthma Genetics Consortium discovered six sex-specific asthma risk loci, with two male-specific SNPs in/near IRF and RAB11FIP2 gene and four female-specific SNPs in/near RAP1GAP2, C6orf118, ERBB4, and AK057517.<sup>25</sup> Other sex-specific polymorphisms in many genes have been identified, eg, a male-specific SNP in the LCORL gene was significantly associated with childhood asthma,<sup>22</sup> and a female-specific polymorphism in the COX-2<sub>-765</sub> gene was significantly associated with bronchial asthma.<sup>26</sup> Most GWAS of asthma generally include limited information on social and environmental factors and do not have enough sample size to investigate sex-specific genetic effects; this study aims to identify the sex-specific genetic polymorphisms significantly associated with asthma in middle-aged and older Canadian adults using the Canadian Longitudinal Study on Aging (CLSA) data (Baseline Comprehensive and Genomic datasets).

## Methods and Materials

### Study Population

This study included 26,622 individuals with complete genotyping information from the Canadian Longitudinal Study for Aging (CLSA) comprehensive cohort.<sup>27</sup> The CLSA comprehensive cohort comprises over 30,000 middle-aged and older Canadian adults between the ages of 45 and 85 years. Baseline data on a wide range of variables, including socio-demographic and socio-economic factors, information on lifestyle and health behaviors, physical measurements including height, weight, pulmonary function test, and biospecimens (blood and urine), were obtained through in-person home interviews and visits to data collection sites (DCS).<sup>28,29</sup>

### Definition of Asthma

A positive response to the following question from the CLSA questionnaire determined the presence of self-reported physician-diagnosed asthma, “Has a doctor ever told you that you have asthma?” However, we excluded the following participants from this study: participants with missing responses, participants who self-reported a physician diagnosis of COPD, and participants who self-reported a physician diagnosis of asthma and COPD.

### Covariates

The following potential confounders were considered: age and principal components of genetic ancestry were included as continuous variables. Categorical variables included were age groups (45 to 54 years, 55 to 64 years, 65 to 74 years, and over 75 years), biological sex (male vs female), smoking status (current, never, and former smokers), marital status (single/never married, married/common-law relationship, widowed/divorced/separated), education level (less than post-secondary education, post-secondary but not university education, and university education/others), total personal income and total household income (Less than \$20,000, \$20,000 to less than \$50,000, \$50,000 to less than \$100,000, \$100,000 or more), province of recruitment (Prairies, British Columbia, Eastern provinces, Ontario and Quebec), retirement status

(Retired completely vs retired/partly retired), homeownership (owned vs rented/others), and urban/rural dwelling (urban core vs rural/not urban core).

## Genotyping, Sample, and Marker Quality Control

Genotyping of 794,409 genetic markers was undertaken using Affymetrix UK Biobank Axiom array.<sup>27</sup> Detailed sample and markers quality control have been described previously.<sup>27</sup> Samples and markers that failed the quality control requirements were excluded. Samples with inconsistent sex information (discrepancy between reported sex and sex determined from genetic data) and high rates of genotype missingness (> 5%). SNPs in sex chromosomes, SNPs with low genotype call rates (< 99%), SNPs with minor allele frequency < 1% (MAF < 0.01), and SNPs deviating from the Hardy Weinberg equilibrium threshold of 1e-10 were excluded. Using PLINK's *Indep-pairwise* command (*Indep-pairwise* 50, 5, 0.5), we generated a subset of SNPs in approximate linkage equilibrium. Post-quality control, we had 2,799 asthma cases, 20,524 controls, and 416,562 SNPs for genome-wide SNP-by-sex interaction analysis.

## Statistical Analysis

Descriptive statistics, mean (SE), and frequency (%) for continuous and categorical variables were presented to describe the study population. Characteristics between male and female participants were compared using chi-square tests and Student's *t*-test. Trimmed inflation and analytic weights provided in the CLSA data (CLSA Sample Weights Version 1.2) were used for descriptive and regression analyses.

In order to identify sex-specific SNPs associated with asthma, first, we performed a multivariate logistic regression using PLINK 1.90b6.2<sup>30,31</sup> to identify significant interaction terms between a sex variable, and each of the SNPs in the regression analysis of asthma after controlling for age, sex, smoking status, and the first four principal components of genetic ancestry. Second, we performed a sex-stratified analysis for those SNPs with an SNP-by-sex interaction p-value less than 10<sup>-5</sup> using survey-specific logistic regression (*Proc Surveylogistic*) in SAS 9.4 version. This approach allowed us to include sampling weights, complex survey design variables and adjust for potential confounders.

A purposeful model selection method was used to identify potential confounders for inclusion in the final model of the sex-stratified analysis. The covariates with a p-value ≤ 0.20 from the univariate analysis were entered into an interim multivariate model. The least significant covariate was then removed one at a time until only covariates with significant p-values (p ≤ 0.05) and clinically important factors remained in the model. The following covariates were included in the final model of the sex-stratified analysis for asthma: age, smoking status, province of recruitment, marital status, total personal income, and retirement status. The "sex variable" was included as a domain factor in the sex-stratified multivariate survey logistic regression to examine one SNP at a time after controlling for the first four principal components, age, smoking status, province of recruitment, marital status, total personal income, and retirement status.

Three inheritance models (dominant, recessive, and additive) for each variant were evaluated. However, only the inheritance model with the smallest AIC value (the best-fitted model) was selected and presented. Bonferroni correction was applied to control for multiple comparisons. The association's strength was reported as an odds ratio with 95% confidence intervals. We created regional association plots using LocusZoom<sup>32</sup> for the significant SNPs from the sex-stratified analysis. All statistical analysis was performed using Plink 1.90b6.2<sup>30</sup> and SAS 9.4 (SAS Institute Inc, Cary, NC).

## Results

### Population Characteristics

Table 1 compares the characteristics of the study population between males and females. Female subjects were more likely to have self-reported physician-diagnosed asthma than males (14.0% vs 10.6%). The mean age of females was significantly greater than that of males (60.0 vs 59.1, p ≤ 0.0001). The distribution of smoking status, marital status, urban or rural dwelling, homeownership, total household, personal income, retirement status, province of recruitment, and highest education status also differed significantly between males and females. The proportion of current and former

**Table 1** Characteristics of the Study Population

Characteristics	Males (n=13,343) (%)	Females (n= 13,279) (%)	p-value*
<b><u>Asthma</u></b>			
Yes	10.6	14	<0.0001
Age, mean $\pm$ SEM	59.1 $\pm$ 0.10	60.0 $\pm$ 0.10	<0.0001
<b><u>Lifestyle and Health Behavior</u></b>			
<b>Smoking Status</b>			<0.0001
Current Smoker	10	8.5	
Never Smoker	47.6	51.4	
Former Smoker	42.4	40.1	
<b><u>Socio-Demographic and Economic Factors</u></b>			
<b>Marital status</b>			<0.0001
Single/never married	8.1	8.2	
Married/Living in common law	82.3	70.2	
Widowed/Separated/Divorced	9.6	21.6	
<b>Urban or Rural Dwelling</b>			0.003
Urban core	86.6	85	
Rural/not Urban core	13.4	15	
<b>Homeownership</b>			0.0008
Owned	86.7	85	
Rented/Others	13.3	15	
<b>Total household income</b>			<0.0001
Less than \$20,000	3.4	5.6	
\$20,000 to less than \$50,000	15	22.2	
\$50,000 to less than \$100,000	32.5	34.2	
\$100,000 or more	49.1	38	
<b>Total personal income</b>			<0.0001
Less than \$20,000	7.8	22.9	
\$20,000 to less than \$50,000	27.9	39.9	
\$50,000 to less than \$100,000	40.7	28.9	
\$100,000 or more	23.6	8.2	
<b>Retirement Status</b>			<0.0001
Retired completely	29	38	
Not retired/partly retired	71	62	
<b>Province of recruitment</b>			<0.0001
Prairies	22.2	19.1	
British Columbia	28.9	30	
Eastern province <sup>a</sup>	5.2	6.2	
Ontario	13.5	13.6	
Quebec	30.2	31.1	
<b>Highest Education Status</b>			<0.0001
No post-secondary Education	7.2	8.4	
Non-university post-secondary	31.4	34.8	
University post-secondary	61.4	56.8	

Notes: \*p-values for overall comparison between males and females; <sup>a</sup>Newfoundland and Labrador; Nova Scotia.

smokers was significantly greater in males than in females. The percentage of widowed, separated, or divorced females (21.6%) was significantly higher than that of males (9.6%).

## Results of SNP-by-Sex Interaction Analysis

After quality control, 416,562 SNPs were included in the GWAS of SNP by sex interaction analysis. As indicated in Table 2, 49 variants showed a p-value less than  $10^{-5}$  for the interaction term. The polymorphisms of rs7676077 and

**Table 2** 49 Signals of the SNP-by-Sex Interactions with p-value Less Than  $10^{-5}$  for Asthma

CHR	SNP	A1/A2	MAF	Gene/Nearest Gene	OR (95% CI)	Interaction p-value	Functional Consequence
4	rs7676077	T/C	0.308	SLC34A2	0.73 (0.641, 0.821)	3.84E-07	Intergenic variant
1	rs6701638	G/A	0.493	KIF26B	1.33 (1.188, 1.492)	7.88E-07	Intron variant
2	rs2968822	T/C	0.403	RTN4	1.33 (1.184, 1.489)	1.24E-06	Intron variant
2	rs2968801	G/A	0.297	RTN4	1.35 (1.190, 1.521)	2.14E-06	Intergenic variant
14	rs10467731	G/A	0.014	SLC38A6	0.27 (0.158, 0.473)	3.52E-06	Regulatory region variant
20	rs11699160	C/T	0.353	RPS21	0.76 (0.671, 0.852)	4.40E-06	Intergenic variant
18	rs4800396	C/T	0.169	CTAGE1	0.70 (0.602, 0.817)	4.94E-06	Intergenic variant
18	rs3911730	A/C	0.079	RTTN	0.62 (0.503, 0.766)	8.95E-06	Missense variant
2	rs2006878	A/G	0.271	EML6	1.33 (1.173, 1.510)	9.06E-06	Intron variant
1	rs3737247	G/A	0.384	SMYD3	0.77 (0.687, 0.869)	1.53E-05	Intron variant
1	rs7519461	T/C	0.331	KIF26B	1.30 (1.154, 1.468)	1.84E-05	Intron variant
13	rs117190915	C/T	0.034	LINC00408	0.48 (0.338, 0.669)	1.94E-05	Intron variant
21	rs34026679	A/C	0.041	APP	1.88 (1.405, 2.506)	1.99E-05	Intergenic variant
20	rs79496646	C/T	0.038	KIF16B	1.87 (1.403, 2.504)	2.12E-05	Intergenic variant
7	rs62442915	T/C	0.039	MAD1L1	1.88 (1.406, 2.520)	2.13E-05	Intron variant
6	rs17071077	G/A	0.278	NMBR	0.76 (0.664, 0.860)	2.21E-05	Intergenic variant
5	rs75073573	A/C	0.199	FGF18	0.73 (0.631, 0.845)	2.37E-05	Intron variant
13	rs9525931	G/A	0.245	SERP2	1.33 (1.166, 1.523)	2.50E-05	Intergenic variant
13	rs628778	T/C	0.066	FARPI	0.61 (0.481, 0.767)	2.78E-05	Synonymous variant
19	rs254804	G/T	0.181	PEPD	1.37 (1.180, 1.578)	2.83E-05	Intergenic variant
10	rs72804487	T/C	0.018	BICCI	0.38 (0.238, 0.595)	2.85E-05	Intergenic variant
5	rs61027918	G/A	0.173	TENM2	0.72 (0.622, 0.842)	3.00E-05	Intron variant
3	rs35644024	A/G	0.073	TGFBR2	0.61 (0.487, 0.772)	3.07E-05	Intergenic variant
13	rs74438452	G/T	0.019	DACHI	2.67 (1.680, 4.237)	3.21E-05	Intron variant
16	rs111933452	A/G	0.049	CDH5	1.75 (1.342, 2.272)	3.31E-05	Intergenic variant
8	rs73191549	A/C	0.087	MSRA	1.52 (1.243, 1.848)	3.93E-05	Intron variant
7	rs4718733	G/A	0.317	NA	1.29 (1.141, 1.457)	4.51E-05	Intergenic variant

(Continued)

**Table 2** (Continued).

CHR	SNP	A1/A2	MAF	Gene/Nearest Gene	OR (95% CI)	Interaction p-value	Functional Consequence
6	rs1416037	A/G	0.086	HACE1	1.54 (1.250, 1.887)	4.51E-05	Intergenic variant
15	rs16974494	C/G	0.231	SEC11A	1.32 (1.155, 1.509)	4.64E-05	Intron variant
21	rs382583	T/C	0.109	RBM11	0.68 (0.562, 0.818)	5.08E-05	Intron variant
3	rs1488342	T/G	0.368	LOC101927394	0.78 (0.694, 0.881)	5.27E-05	Intron variant
3	rs36213	G/C	0.487	EPHB1	1.26 (1.128, 1.414)	5.43E-05	Intron variant
5	rs6893959	A/G	0.439	CD180	1.26 (1.128, 1.416)	5.63E-05	Intergenic variant
16	rs7200747	C/G	0.396	ZNF598	0.79 (0.700, 0.884)	5.74E-05	Intron variant
20	rs6013213	A/G	0.445	NFATC2	0.79 (0.704, 0.886)	6.06E-05	Intron variant
2	rs10205651	C/T	0.131	NA	0.71 (0.605, 0.841)	6.10E-05	Regulatory region variant
2	rs2864052	A/G	0.239	RTN4	1.31 (1.147, 1.493)	6.11E-05	Intron variant
7	rs59889022	T/C	0.122	LOC100507642	0.69 (0.582, 0.831)	6.53E-05	Intergenic variant
2	rs3821261	C/A	0.075	TGFA	0.64 (0.515, 0.799)	7.35E-05	Intron variant
20	rs117107888	T/C	0.054	RALGAPA2	1.68 (1.300, 2.178)	7.67E-05	Intron variant
16	rs4513116	G/A	0.019	RBFOX1	0.37 (0.226, 0.606)	7.92E-05	Intergenic variant
2	rs1527243	C/T	0.160	TSN	0.73 (0.629, 0.856)	8.18E-05	Intergenic variant
15	rs837132	C/T	0.262	MEIS2	1.29 (1.137, 1.467)	8.30E-05	Intron variant
13	rs7998573	T/C	0.012	SLITRK6	0.29 (0.163, 0.545)	8.38E-05	Intron variant
1	rs868376	T/C	0.279	PBX1	0.77 (0.679, 0.879)	8.56E-05	Intergenic variant
3	rs73877940	T/A	0.033	ARL14	0.49 (0.348, 0.704)	8.92E-05	Regulatory region variant
16	rs72799714	G/T	0.054	NA	0.58 (0.436, 0.759)	9.11E-05	Intergenic variant
6	rs6926101	T/C	0.057	SLC18B1	0.61 (0.477, 0.782)	9.25E-05	Missense variant
20	rs6041383	T/C	0.029	LOC101929486	0.46 (0.314, 0.681)	9.47E-05	Intergenic variant

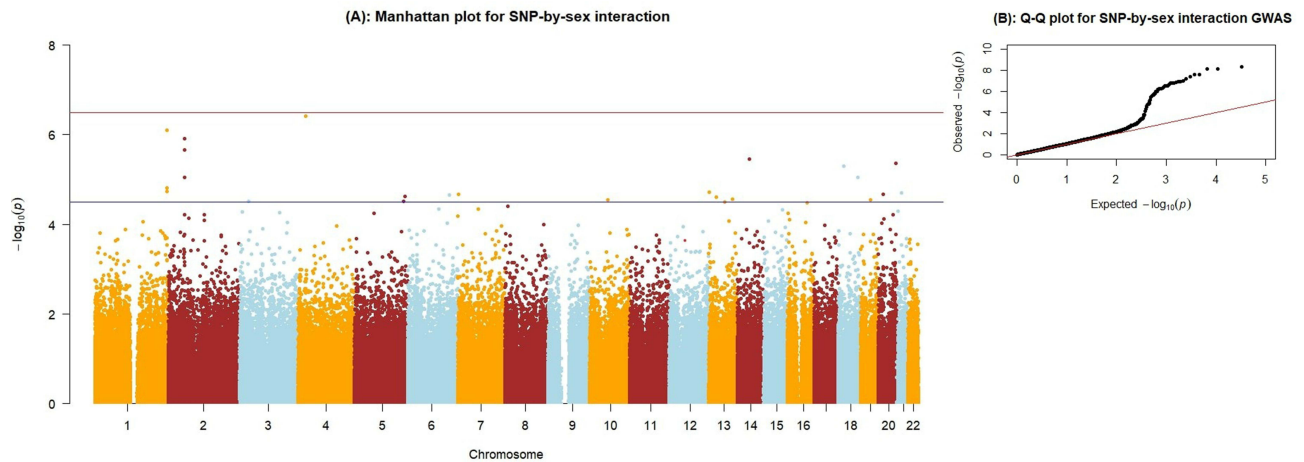
**Notes:** SNP-by-Sex Interaction GWAS on Asthma controlling for Age, Sex, smoking status, and top 4 principal components. Genes contain variants or are located within 500kb of variants.

**Abbreviations:** Chr, Chromosome; SNP, Single Nucleotide Polymorphism; MAF, Minor allele frequency; OR, Odds ratio; A1/A2, Minor/Major allele; NA, No Annotation.

rs6701638 in/near the SLC34A2 and KIF26B genes (interaction p-values =  $3.84 \times 10^{-7}$  and  $7.88 \times 10^{-7}$  respectively) were close to reaching the genome-wide significance p-value threshold (Figure 1A). There was no evidence of population stratification, as indicated by the genomic inflation factor ( $\lambda = 1.025$ ) in the Q-Q plot shown in Figure 1B.

## Results of Sex Stratified Analysis

Figure 2 presents regional plots of the significant sex-specific SNPs from the sex-stratified multivariate survey logistic regression. After adjusting for multiple comparisons using Bonferroni correction at  $\alpha \leq 0.001$  (0.05/49) significance level, we identified five male-specific SNPs (rs6701638, rs2968822, rs17071077, rs254804, and rs6013213) in/near KIF26B, RTN4, NMBR, PEPD, and NFATC2 loci and three female-specific SNPs (rs2968801, rs2864052 and rs9525931) in/near RTN4, and SERP2 genes (Table 3, Figure 3A and 3B). The polymorphism rs36213 in the EPHB1 gene was significantly associated with an increased risk of asthma [OR=1.35, p= 0.0004, 95% CI (1.14, 1.60)] in males and a reduced risk of asthma [OR=0.84, p= 0.0003, 95% CI (0.76, 0.92)] in females (Table 3, Figure 3A and 3B).



**Figure 1** (A) Manhattan plot for the SNP-by-sex interaction GWAS. The X-axis indicates the chromosomal positions of each variant and the Y-axis shows the negative Log p-values. The blue horizontal line indicates the suggestive significance threshold ( $1 \times 10^{-5}$ ), and the red horizontal line shows the genome-wide significance threshold ( $5 \times 10^{-8}$ ). (B) Quantile-Quantile plot showing the distribution of observed versus expected p-values. The genomic inflation factor, lambda ( $\lambda$ ) = 1.025.

In the additive genetic model, males with homozygous GG of rs6701638 (minor allele: G) in the KIF26B gene, homozygous GG of rs254804 (minor allele: G) near the PEPD gene, and homozygous TT of rs2968822 (minor allele: T) in RTN4 gene exhibited an increased risk of asthma (ORs: 1.24, 1.27, and 1.22) than those with wild-type (AA for rs6701638, TT for rs254804 and CC for rs2968822). In addition, males with homozygous GG of rs17071077 (minor allele: G) near the NMBR gene and homozygous AA of rs6013213 (minor allele: A) in the NFATC2 gene showed reduced risk of asthma (ORs: 0.79 and 0.77) compared to males with wild-type (AA for rs17071077 and GG for rs6013213).

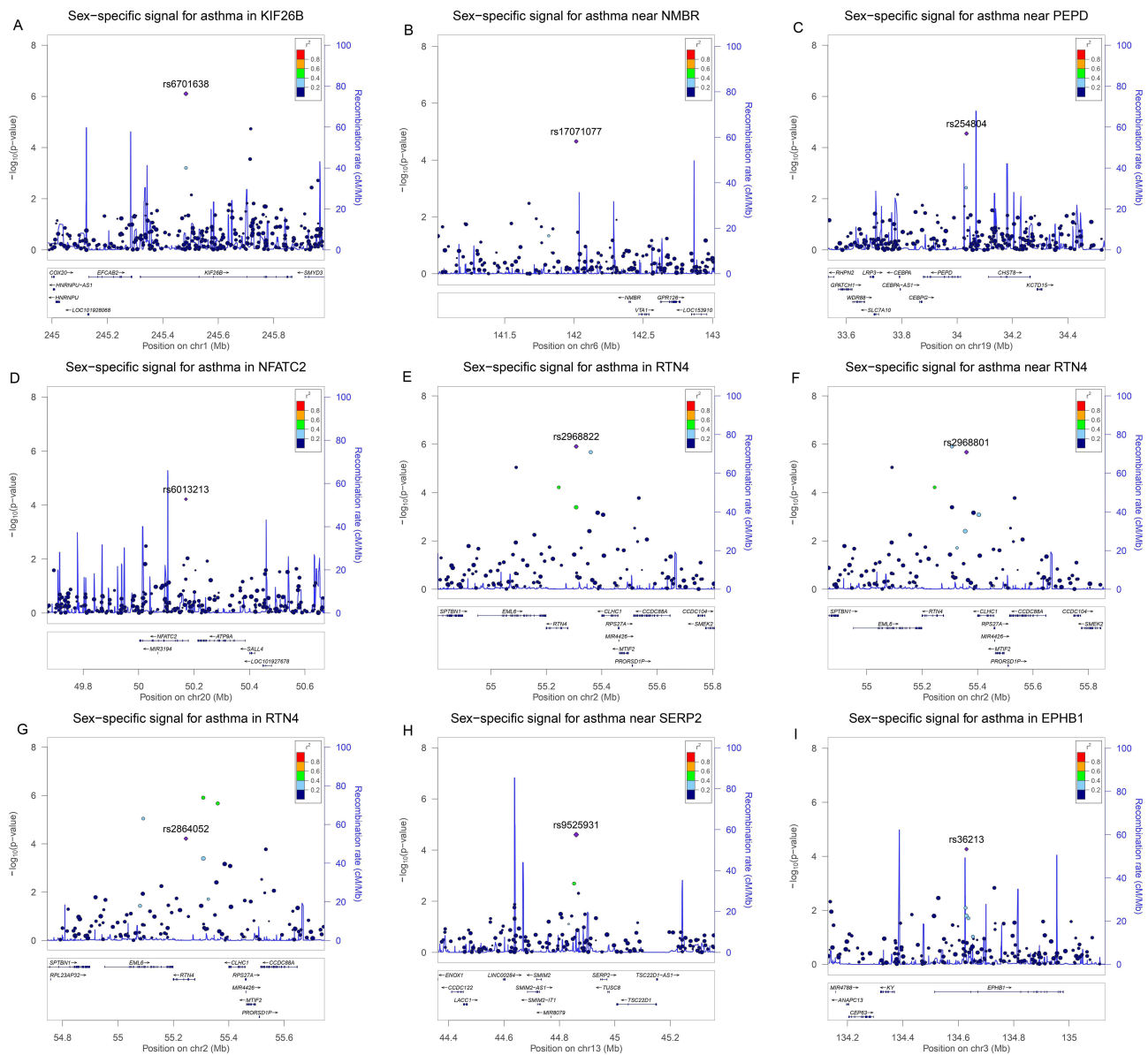
Under the dominant genetic model, females with homozygous GG or heterozygous GA for rs2968801 (minor allele: G) and homozygous AA or heterozygous AG for rs2864052 (minor allele: A) in the RTN4 gene had a reduced risk of asthma (ORs: 0.79 and 0.77, respectively) compared to females with the wild-type (AA for rs2968801 and GG for rs2864052). Under the additive genetic model, females carrying homozygous GG for rs9525931 (minor allele: G) near the SERP2 gene demonstrated a lower risk of asthma (OR: 0.80) compared to females with the wild-type (AA for rs9525931).

## Discussion

In this study, we identified sex-specific SNPs associated with asthma among middle-aged and older Canadians. Five male-specific SNPs (rs6701638, rs17071077, rs254804, rs6013213 and rs2968822) and three female-specific SNPs (rs2968801, rs2864052 and rs9525931) were significantly associated with asthma. Three of the five male-specific SNPs were associated with an increased risk of asthma, with an odds ratio ranging from 1.22 to 1.27. The other two male-specific SNPs were associated with a reduced risk of asthma (OR = 0.79 and OR = 0.77). All three female-specific SNPs were associated with a reduced risk of asthma, with ORs ranging from 0.77 to 0.80. The polymorphism rs36213 was significantly associated with an increased risk of asthma (OR = 1.35) in males but with a reduced risk of asthma (OR = 0.84) in females. In previous studies, male and female-specific genetic markers have been associated with asthma.<sup>22,25</sup> However, these studies did not consider the influence of socio-demographic, socio-economic, and lifestyle factors.

The five male-specific polymorphisms, ie, rs6701638 in the KIF26B, rs254804 near the PEPD, rs17071077 near the NMBR, rs6013213 in the NFATC2, and rs2968822 near the RTN4 genes are located at 1q44, 19q13.11, 6q24.1, 20q13.2 and 2p16.1 genomic regions, respectively. The three female-specific polymorphisms, ie, rs2968801 near the RTN4, rs2864052 in the RTN4, and rs9525931 near the SERP2 genes, are located at 2p16.1 and 13q14.11 cytogenetic regions.

The polymorphism rs36213 (minor allele: G), which was significantly associated with an increased risk of asthma in males and a decreased risk of asthma in females, is an intronic variant in the EPH receptor B1 gene (EPHB1). EPHB1 is a receptor for Ephrin-B ligands (Ephrin-B1, Ephrin-B2, Ephrin-B3). EPH receptors are the largest family members of receptor tyrosine kinase (RTK), which are expressed in various immune cells, including CD4<sup>+</sup> and CD8<sup>+</sup> T cells,



**Figure 2** Regional plots for the nine sex-specific SNPs show their respective locations. **(A)** SNP rs6701638 in KIF26B gene. **(B)** SNP rs17071077 near NMBR gene. **(C)** SNP rs254804 near PEPD gene. **(D)** SNP rs6013213 in NFATC2 gene. **(E)** SNP rs2968822 in RTN4 gene. **(F)** SNP rs2968801 near RTN4 gene. **(G)** SNP rs2864052 in RTN4 gene. **(H)** SNP rs9525931 near SERP2 gene. **(I)** SNP rs36213 in EPHB1 gene.

lymphocytes, monocytes, and granulocytes.<sup>33–35</sup> Furthermore, the EPH receptor-ephrin ligand interaction has been linked to immune cell activation, T cell differentiation, proliferation, and migration.<sup>34,35</sup> Previous research has linked allergic rhinitis and asthma to EPH receptors, especially the EPHB2 gene, an important paralog of the EPHB1 gene.<sup>36</sup> Recently, animal studies have illustrated that sex hormones augmented the sex-specific effect of EPHB receptors and Ephrin-B ligands.<sup>37–39</sup> Wang et al<sup>37</sup> found that deleting EPHB4 from vascular smooth muscle cells of mice resulted in hypotension in males but not females. In a different experiment, female but not male Ephrin-B3 null mice had higher blood pressure and increased vascular smooth muscle cell contractions than wild-type.<sup>38</sup> In addition, estrogen enhanced increased vascular smooth muscle contraction in female Ephrin-b3 null mice compared with wild type, whereas testosterone reduced it.<sup>38</sup> These suggest that sex hormones may modulate ephrin-Eph receptor functions in males and females and, as such, may play crucial sex-specific role in asthma susceptibility.

This study also discovered three variants in/near the RTN4 gene, SNPs rs2968822 (minor allele: T), rs2968801 (minor allele: G), and rs2864052 (minor allele: A), associated with asthma in males and females, respectively. While the



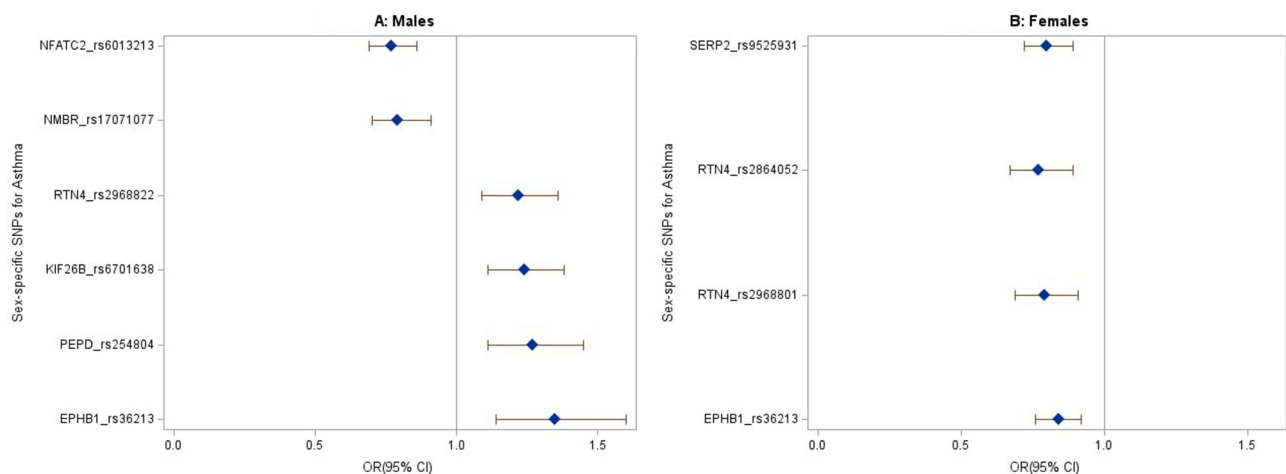
**Table 3** Result of Sex Stratified Analysis for Asthma

Chr	Gene /Nearest Gene	SNP (A1/A2) [Functional Consequence]	Male		Female	
			OR (95% CI)	p-value	OR (95% CI)	p-value
1	KIF26B	rs6701638 (G/A) <b>[Intron variant]</b>	1.24 (1.11, 1.38) <sup>A</sup>	0.0001*	0.91 (0.83, 1.00) <sup>A</sup>	0.0503
6	NMBR	rs17071077 (G/A) <b>[Intergenic variant]</b>	0.79 (0.70, 0.91) <sup>A</sup>	0.0006*	PM: 1.05 (0.92, 1.19) <sup>D</sup> WT: I	0.5095
19	PEPD	rs254804 (G/T) <b>[Intergenic variant]</b>	1.27 (1.11, 1.45) <sup>A</sup>	0.0005*	PM: 0.89 (0.77, 1.03) <sup>D</sup> WT: I	0.1167
20	NFATC2	rs6013213 (A/G) <b>[Intron variant]</b>	0.77 (0.69, 0.86) <sup>A</sup>	<0.0001*	PM: 1.04 (0.89, 1.19) <sup>D</sup> WT: I	0.6293
2	RTN4	rs2968822 (T/C) <b>[Intron variant]</b>	1.22 (1.09, 1.36) <sup>A</sup>	0.0004*	PM: 0.85 (0.74, 0.97) <sup>D</sup> WT: I	0.0174
2	RTN4	rs2968801 (G/A) <b>[Intergenic variant]</b>	1.12 (0.99, 1.25) <sup>A</sup>	0.0587	PM: 0.79 (0.69, 0.91) <sup>D</sup> WT: I	0.0007*
2	RTN4	rs2864052 (A/G) <b>[Intron Variant]</b>	1.09 (0.96, 1.23) <sup>A</sup>	0.1843	PM: 0.77 (0.67, 0.89) <sup>D</sup> WT: I	0.0003*
13	SERP2	rs9525931 (G/A) <b>[Intergenic variant]</b>	1.07 (0.95, 1.21) <sup>A</sup>	0.2716	0.80 (0.72, 0.89) <sup>A</sup>	<0.0001*
3	EPHBI	rs36213 (G/C) <b>[Intron variant]</b>	PM: 1.35 (1.14, 1.60) <sup>R</sup> WT: I	0.0004*	0.84 (0.76, 0.92) <sup>A</sup>	0.0003*

**Notes:** Sex-stratified multivariate survey logistic regression of top interaction single nucleotide polymorphisms for asthma, adjusting for age, smoking status, province of recruitment, marital status, total personal income, retirement status, and first four principal components. \*Statistically significant after adjusting for multiple comparisons (0.05/49 =  $p \leq 0.001$ ). Functional consequence of each variant is presented in bold. Genes contain variants or are located within 500kb of variants.

**Abbreviations:** A, Additive; D, Dominant; R, Recessive; PM, Polymorphism; WT, Wild type; Chr, Chromosome; SNP, Single Nucleotide Polymorphism; A1/A2, Minor/Major Allele; OR, Odds ratio; CI, Confidence Interval.

intronic SNP rs2968822 indicated an increased risk of asthma in males, Intergenic and intronic SNPs rs2968801 and rs2864052 showed a protective effect against asthma risk in females. RTN4 (Reticulon 4), commonly known as a neurite outgrowth inhibitor (Nogo) with three major isoforms, most notably NOGO-B (RTN4B), has previously been shown to



**Figure 3** The forest plots show the odds ratio distribution for the sex-specific variants associated with asthma from the sex-stratified analysis. (A) Males. (B) Females. Only significant SNPs after Bonferroni adjustment were plotted. The blue diamonds represent effect sizes (Odds ratio), and the lines extending from the blue diamonds are the 95% confidence intervals.

be expressed in the lung tissue and airway epithelium.<sup>40,41</sup> Numerous animal and human studies have examined the role of RTN4 in asthma and immune responses.<sup>41–45</sup> In an experimental study using mice, Wright et al<sup>41</sup> demonstrated that RTN4 inhibits Th2-mediated inflammation in the lungs, airway epithelium, and smooth muscles by showing that eosinophils levels and Th2 cytokines (IL-13, IL-4, and IL-5) were higher in RTN-A/B knockout mice when compared to wild-type mice.<sup>41</sup> In addition, RTN4B has also been shown to enhance the production of the inflammatory cytokine after the stimulation of various nucleic acid-sensing toll-like receptors, including TLR9, TLR3, and TLR7, in macrophages and serum.<sup>43</sup> Studies have shown that males produce higher TNF- $\alpha$  (pro-inflammatory cytokine) and IL-10 (anti-inflammatory cytokine) than females after the stimulation of TLR3 and TLR9 or viral infection in peripheral blood mononuclear cells (PBMCs).<sup>46</sup> However, a review article reported that older men or men with androgen deficiency express higher pro-inflammatory cytokines and lower anti-inflammatory cytokines than older or menopausal women.<sup>47</sup> These suggest that sex hormones may distinctively impact RTN4-mediated expressions of Th2 immune responses, toll-like receptors, and the production of inflammatory and suppressive cytokines in males and females.

An intergenic SNP rs9525931 near the Stress-Associated Endoplasmic Reticulum Protein 2 gene (SERP2) had a female-specific association with asthma. Variants near the SERP2 gene have previously been associated with FEV<sub>1</sub> and FEV<sub>1</sub>/FVC ratio in a GWAS of lung function and COPD.<sup>48</sup> Furthermore, CpGs and SNPs mapped to the SERP2 gene have been reported to be associated with Alzheimer's disease in females.<sup>49</sup>

An intronic variant rs6013213 (minor allele: A) in the NFATC2 gene was protective against the risk of asthma in males only. NFATC2, a member of the nuclear factor of activated T cells (NFAT) family, regulates Th cell immune response, differentiation, and the expression of induced cytokines such as IL-2, IL-3, IL-4, IL-10, and TNF-alpha.<sup>50</sup> Previous genome-wide association studies have associated several variants in the NFATC2 gene with asthma and allergic disease, including hay fever, eczema, and allergic rhinitis.<sup>51–55</sup> NFATC2 mRNA levels in PBMCs of allergic asthmatics were higher than in healthy non-asthmatic controls.<sup>56</sup> Furthermore, NFATC2 mRNA has been demonstrated to correlate positively with IL-5-induced eosinophils in asthma.<sup>56</sup> Animal and human studies have shown that estrogen and cigarette smoke exposure increased NFAT mRNA expression in the airways of females.<sup>57,58</sup> Furthermore, NFAT has been reported to have a regulatory function in estradiol-mediated MUC5AC mRNA and protein expression in airway epithelial cells.<sup>58</sup> MUC5AC is a marker for mucus production in the airway epithelial cells. Thus, it is reasonable to suggest that NFATC2 may influence sex-hormone-induced mucus secretion differently in male and female asthmatic bronchial epithelial cells.

The intergenic variant rs17071077 (minor allele: G) near the Neuromedin B Receptor (NMBR) gene exhibited a significant protective effect against the risk of asthma in males. NMBR is a G protein-coupled peptide receptor that binds with the regulatory neuropeptide Neuromedin B (NMB).<sup>59</sup> NMB/NMBR, widely expressed in the lungs, bronchoepithelial cells, pulmonary neuroendocrine cells, brain, and testis at the protein and mRNA levels,<sup>59–61</sup> has been shown to promote fetal lung development.<sup>62</sup> Several studies have shown that NMBR plays an innate immune defense role against respiratory viral infection by enhancing IFN-alpha and reducing the expression of IL-6.<sup>63</sup> Females have been shown to have greater Type I IFN responses and are less susceptible to viral infections than males.<sup>64</sup> We reasonably infer that any abnormality or polymorphic alterations in the NMBR gene may have a higher impact on males.

In our study, males with the intergenic variant rs254804 near the PEPD gene had a significantly higher risk of asthma susceptibility. PEPD is a gene that encodes prolydase, an enzyme involved in collagen metabolism, wound healing, inflammation, angiogenesis, and cell growth.<sup>65</sup> Numerous studies have shown that airway remodeling in asthma is largely due to the deposition of extracellular matrix protein, including collagen fibers, around the airway smooth muscle layers.<sup>66,67</sup> This suggests that polymorphisms of the PEPD gene may contribute to airway remodeling. Patients with mutations in the PEPD gene and dysfunctional prolydase enzyme have been reported to have asthma and asthma-like airway disease.<sup>68,69</sup> Studies have shown that serum prolydase activity was associated with bronchial asthma.<sup>70,71</sup> Higher serum prolydase levels appear to be associated with higher oxidative stress and lower antioxidant levels.<sup>72,73</sup> The homeostatic balance between the oxidant and antioxidant systems is impaired in asthma,<sup>74</sup> with oxidative stress increasing tissue damage, triggering the production of pro-inflammatory mediators, and exacerbating airway inflammation.<sup>74</sup> Studies have shown that males exhibit higher levels of oxidative stress, oxidative stress biomarkers, reactive oxygen species, and lower antioxidant capacity than females.<sup>75</sup>

In our current study, an intron SNP rs6701638 in the Kinesin Family Member 26B gene (KIF26B) exhibited a significantly increased risk of asthma susceptibility in males but not females. Several variants of the genes in the 1q43-q44 region have been associated with asthma and atopic asthma.<sup>76</sup> White et al demonstrated that a variant in the KIF26B gene within the 1q43-44 region was associated with atopic asthma.<sup>76</sup> However, the association's p-value in that study was not significant after multiple testing adjustments. KIF26B is a target of the Wnt5a-Ror signaling pathway.<sup>77</sup> Studies have revealed that non-canonical Wnt5a-Ror signaling activation reduces KIF26B protein expression levels. Susman et al<sup>77</sup> demonstrated that the KIF26B protein expression level increased in embryonic fibroblast of Wnt5a null mice compared to the wild type. Dysregulation of Wnt5a signaling has been implicated in disrupted alveologenesis and the development of chronic lung disease, including asthma.<sup>78</sup> Furthermore, loss of Wnt5a in male mice resulted in abnormal reproductive organ development.<sup>79</sup> Taking together, we suggest that the alteration of the Wnt5a-Ror-KIF26B signaling pathway may have a deleterious impact in males and could play a crucial role in sex-specific asthma pathogenesis.

In our study, most sex-specific polymorphisms associated with asthma susceptibility may directly or indirectly interact with sex hormones in modulating immunoregulation, immune cell population, airway remodeling, oxidative stress, and lung function differently in males and females.

This study has strengths and limitations. A major strength was the size of the study population and the inclusion of lifestyle, socio-economic and socio-demographic factors in our analysis. Regarding the limitations, we used all asthma cases in our analysis without stratifying asthma by the age of onset (childhood onset and adulthood onset); this could potentially mask the identification of sex-specific loci associated with childhood and adulthood onset asthma. We reckon that misclassification of asthma is possible with self-reported physician-diagnosed asthma. Misclassification of the outcome variable may result in a bias towards the null. This may lead to an underestimation of the observed association. However, self-reported physician diagnosis of asthma has been used in genome-wide association and large population-based studies to identify sex-specific genetic and clinical characteristics of asthma.<sup>22,80</sup> Our genome-wide SNP-by-sex interaction testing found no variants that met the genome-wide significance p-value criterion ( $p \leq 5 \times 10^{-8}$ ). Genome-wide interaction testing generally requires a very large sample size to achieve adequate statistical power to detect genome-wide significant interaction p-value. There was no independent replication cohort in our study to corroborate our findings. Future replication of these findings in an independent cohort will increase their generalizability. Our work lacks functional follow-up and enrichment analysis of sex-specific genetic variants associated with asthma; hence we cannot demonstrate a causal relationship. Future experimental investigations can address the underlying biological processes for the sex differences.

In conclusion, we found evidence of sex-specific polymorphisms associated with asthma susceptibility in/near the KIF26B, RTN4, EPHB1, NMBR, SERP2, PEPD, and NFATC2 genes. Most of the identified loci may potentially play direct or indirect roles in the immune modulatory mechanism, oxidative stress, and airway remodeling. Future studies aimed at finding sex-specific expressions of these loci and the related pathways of action would give functional insights into their sex-specific involvement in asthma pathogenesis.

## Data Sharing Statement

The datasets used in this study are not readily available. Data are only available from the Canadian Longitudinal Study on Aging ([www.clsa-elcv.ca](http://www.clsa-elcv.ca)) for researchers who meet the criteria for access to de-identified CLSA data.

## Ethics Approval

This CLSA project received ethics approval at two levels. Consent to participate was obtained for all participants under the CLSA harmonized multi-university ethics process approved by the Hamilton Integrated Research Ethics Board (HiREB), Hamilton Health Sciences/McMaster University. Simon Fraser University (SFU) was a participating institution in the CLSA data collection, and the SFU Office of Research Services Ethics Committee reviewed all consent material prior to data collection (SFU ORS #2018s0139). This study was also conducted following the principles of the Declaration of Helsinki, and ethical approval was obtained from the University of Alberta Health Research Ethics Board (Pro00091377\_REN3) and Memorial University Health Research Ethics Board (HREB # 2019.072).

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## Disclosure

The authors declare no conflicts of interest in this work.

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