

## Evolution of recombination and genome structure in eusocial insects

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**E**usocial Hymenoptera, such as the European honey bee, *Apis mellifera*, have the highest recombination rates of multicellular animals.<sup>1</sup> Recently, we showed<sup>2</sup> that a side-effect of recombination in the honey bee, GC biased gene conversion (bGC), helps maintain the unusual bimodal GC-content distribution of the bee genome by increasing GC-content in high recombination areas, while low recombination areas are losing GC-content because of biased AT mutations and low rates of bGC. Although the very high recombination rate of *A. mellifera* makes GC-content evolution easier to study, the pattern is consistent with results found in many other species including mammals and yeast.<sup>3</sup> Also consistent across phyla is the association of higher genetic diversity and divergence with high GC and high recombination areas.<sup>4,5</sup> Finally, we showed that genes overexpressed in the brains of workers cluster in GC-rich genomic areas with the highest rates of recombination and molecular evolution.<sup>2</sup> In this Addendum we present a conceptual model of how eusociality and high recombination rates may co-evolve.

Recombination is high in several species with independent origins of eusociality.<sup>1,6,7</sup> A number of studies have demonstrated fitness benefits in eusocial insects due to increased genetic variation in the colony,<sup>8-10</sup> and it was hypothesized that high recombination rates in eusocial insects were selected for because recombination increases worker genotypic diversity.<sup>1,11</sup> However, a recent modeling study demonstrated that recombination has little short-term impact on within-colony variation compared with factors such as

polyandry.<sup>12</sup> What conditions favor the evolution of high recombination rates in social insects? Theoretical and empirical work points to two major factors: small effective population size, and strong selection on linked genes.<sup>13,14</sup>

Consider the early stages of the evolution of eusociality. First, the evolution of sociality is expected to greatly reduce effective population size<sup>15</sup> because of the larger amount of resources required to raise both workers and reproductives, higher variance in reproductive output between colonies and worker-produced males.<sup>16-18</sup> The reduction in effective population size associated with the evolution of eusociality is expected to both increase linkage disequilibrium<sup>19</sup> and increase the frequency of slightly deleterious mutations via drift.<sup>20</sup> When recombination rate ( $R$ ) is low, new advantageous mutations are less likely to fix if nearby deleterious alleles hitchhike along with them<sup>21</sup> – a phenomenon called Hill-Robertson interference.<sup>22</sup> Low  $R$  will also slow selection on advantageous alleles that are physically proximate but on different haplotypes, as the mutation with the highest selection coefficient will tend to drive the others to extinction before recombination can place them on the same haplotype.<sup>23</sup> The initial stages of the evolution of eusociality can thus be characterized by lower  $N_e$ , high linkage disequilibrium, higher genetic loads and reduced effectiveness of natural selection due to interference between linked mutations.

The above arguments suggest a paradoxical conclusion; the transition to eusociality created sub-optimal conditions for the evolution of caste-specialization and worker evolution via natural selection. However, the concurrent evolution

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of high recombination rates with eusociality—as observed across a number of independently derived eusocial lineages<sup>1</sup>—can reduce interference between linked mutations, thereby greatly enhancing the effectiveness of natural selection. Assuming heritable variation in recombination rates,<sup>24,25</sup> queens with high rates of recombination are expected to produce more recombinant gametes allowing natural selection to act more independently on physically linked mutations. This is expected to increase both queen and worker fitness by reducing interference and enhancing natural selection, which, in turn, should further select for higher rates of recombination.

We propose three non-mutually exclusive processes that can exert strong selective pressures for high recombination rates in eusocial insects.

### Divergence of Queen and Worker Phenotypes

Caste specialization and division of labor is one of the hallmarks of eusociality. The genomes of social insects must generate two (or more) female phenotypes from the same genome (i.e., queens and workers). Several non-mutually exclusive processes can facilitate phenotypic divergence of castes, including caste-specific gene expression, alternative splicing, methylation and gene duplication.<sup>26–28</sup> However, the end-result of the above processes is often very similar: mutations affecting worker phenotypes are likely to be physically proximate to mutations affecting queen phenotypes. This will be true in cases where the same genes are expressed in both queens and workers but at different rates or at different times,<sup>29–31</sup> or in cases with caste-specific splice forms<sup>32</sup> and or caste-specific methylation patterns,<sup>32</sup> or if gene duplication events lead to physically proximate caste-specific genes. In the above cases, we expect a general tug-of-war between linked mutations influencing worker and queen traits.<sup>33,34</sup> How is this tug-of-war resolved? Recent theory suggests that, all other effects being equal, queens invariably win because selection acts on them directly, but workers only experience indirect selection.<sup>35,36</sup> High recombination rates are expected to reduce

the tug-of-war between queens and workers, thereby allowing natural selection to optimize caste phenotypes (Fig. 1).

### Evolution of Worker Behavior

Workers are the most abundant caste in eusocial insects, and their behavior strongly affects colony fitness.<sup>37</sup> By contrasting the behavior and degree of caste specialization between primitively and advanced eusocial insects, it is clearly evident how the evolution of worker behavior and specialization is associated with increased colony sizes and social complexity.<sup>38</sup> Given the major contributions of worker behavior to colony fitness,<sup>8,39</sup> it is inescapable that selection must be acting on genes that influence worker behavior and enhance colony fitness,<sup>40</sup> increasing both linkage disequilibrium and the odds of interference between linked mutations. Indeed, our recent work on a small subset of honey bee genes associated with worker behavior has shown a prevalence of natural selection and high linkage disequilibrium near selective sweeps.<sup>41</sup> We have also demonstrated that genes associated with behavior and neurobiology were ancestrally found in regions of the genome with above average GC-content.<sup>2</sup> GC-rich areas are expected to contain more mutations<sup>2</sup> and recombination motifs.<sup>42</sup> Adaptive evolution of worker behavior is therefore likely to increase linkage disequilibrium and interference selection in GC-rich regions, which in turn can select for the evolution of additional recombination hotspots.<sup>42</sup>

### Indirect Genetic Effects between Workers

Although selection on mutations affecting worker traits is relatively weak because it is indirect (i.e., as it changes the fitness of their reproductive kin),<sup>35</sup> social interactions between workers can cause measurable indirect effects on colony fitness<sup>43</sup> leading to social regulatory networks shaped by colony-level selection.<sup>44</sup> Indirect phenotypic effects occur when individuals change phenotypes as a result of interactions with others.<sup>45</sup> Recent theory<sup>46</sup> suggests that behavioral interaction effects may strongly increase the selection coefficients of mutations influencing interaction

traits; this increase in selection coefficients is in theory proportional to the number of interactions among individuals<sup>46</sup> and is expected to be greater in larger colonies. If colony fitness increases due to increased worker-worker interactions, selection on mutations with indirect effects on workers can be substantial. Similar to scenario 2, selection on mutations with indirect genetic effects on worker behavior will increase linkage disequilibrium and interference selection, which in turn can select for the evolution of higher recombination rates.

We propose that the evolution of eusociality and recombination rates have been linked in a feedback loop that has strongly affected genome evolution (Fig. 2). Higher recombination rates were selected for during the early stages of the evolution of sociality because they reduce interference selection allowing natural selection to optimize caste phenotypes. This enhanced division of labor then allowed colony sizes to increase, which in turn drives additional reductions in effective population size and concurrent selection for higher recombination rates.

Some components of the loop cause neutral or non-adaptive patterns of molecular and genome evolution, such as the effects of reduced effective population size as colonies grow larger, and the effects of biased gene conversion on GC content. A side effect of increased GC-content due to biased gene conversion may be to increase the number of potential binding sites for fast-evolving recombination hotspot binding factors (such as PRDM9 in mammals<sup>47,48</sup>). This phenomenon, in turn, generates new recombination hotspots in GC-rich regions, which replaces recombination hotspots that burn out.<sup>48</sup> Our model suggests that not all unusual genomic features found in eusocial insects were caused by adaptive evolutionary forces.

Our model leads to several testable predictions. Our assumption that mutations affecting queens and worker traits are physically proximate—representing one of three possible scenarios that can select for high R—can be tested with more knowledge on the pattern of gene expression, methylation, alternative splicing and gene duplication in sequenced eusocial genomes. Emerging techniques,

such as genome wide association mapping, will allow us to link genetic polymorphisms with queen and worker traits in the foreseeable future. Further, population genomic studies will inform us about the prevalence of natural selection and its targets in eusocial organisms. Additionally, our model predicts a negative relationship between recombination rates and GC content with effective population size across social species. Finally, our model predicts some fundamental differences in the degree of linkage disequilibrium, interference selection and the efficiency of positive selection, between the early and late stages of the evolution of sociality. It would be interesting to contrast advanced eusocial and primitively eusocial taxa, and their closely related solitary ancestors, at some of the key population genetic parameters discussed herein. Studies on species that recently reverted from social to solitary lifestyles<sup>49</sup> are also relevant, as we believe that these species may not have passed the evolutionary hurdle imposed by interference selection during the initial stages of the evolution of sociality.

We hope our conceptual model stimulates work on genomic and population genomic studies of eusocial taxa, as well as theoretical studies on the extent to which haplodiploidy and eusociality provide the necessary conditions for the evolution of high recombination rates.

#### Disclosure of Potential Conflicts of Interest

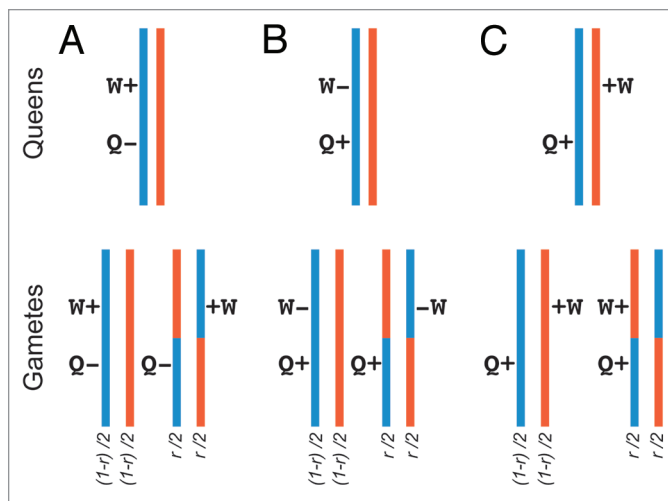
No potential conflicts of interest were disclosed.

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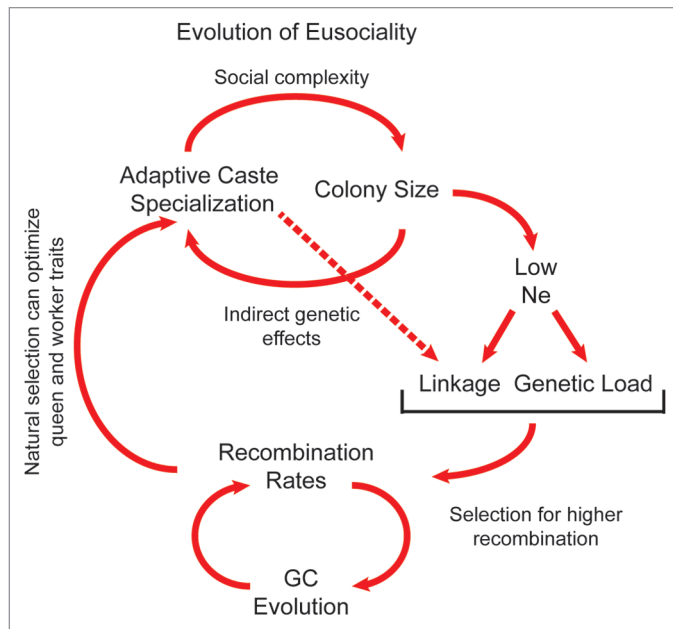
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**Figure 1.** Recombination and the evolution of caste specialization. Recombination can enhance concurrent selection on initially linked mutations that influence queen and worker traits. We assume that both mutations are recent (i.e., have low frequency). We depict frequencies of the different gametes assuming a recombination rate  $r$ , which ranges between 0 to 50% (i.e., free recombination). (A) A haplotype containing a slightly deleterious mutation affecting queens linked to a beneficial mutation affecting worker traits and enhancing colony fitness. Recombination can generate a haplotype containing the beneficial worker mutation and the wild-type queen allele, thereby facilitating both positive selection (on the ‘worker’ mutation) and purifying selection (on the ‘queen’ mutation). (B) A haplotype containing a beneficial mutation affecting a queen trait linked to a slightly deleterious mutation affecting a worker trait. Recombination can facilitate concurrent positive selection (on the ‘queen’ mutation) and purifying selection (on the ‘worker’ mutation). (C) Two haplotypes, each containing a beneficial mutation affecting a queen, and a worker trait respectively. These two mutations are on different haplotypes but are spatially proximate. Without recombination, selection will fix one haplotype at the expense of the other. However, one of the recombinant gametes will contain both beneficial mutations allowing for concurrent positive selection on queen and worker traits.

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**Figure 2.** Conceptual model for the evolution of eusociality and recombination rates. Increasing social organization and caste complexity lead to colonies with more workers per queen, reducing effective population size  $N_e$ . Lower  $N_e$  increases linkage disequilibrium and fixation of mildly deleterious mutations, especially in genomic regions with low recombination. This increases Hill-Robertson interference that can in turn select for higher  $R$ , which allows natural selection to act more efficiently on mutations affecting worker traits such as behavior. This in turn facilitates the evolution of higher social complexity and colony sizes that further depress  $N_e$ .

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