# Chromosome alterations in breast carcinomas: frequent involvement of DNA losses including chromosomes 4q and 21q

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**Summary** Comparative genomic hybridization was applied to map DNA gains and losses in 39 invasive ductal breast carcinomas. Frequent abnormalities included gains on chromosomal regions 1q, 8q, 11q12–13, 16p, 19, 20q and X as well as frequent losses on 1p, 5q, 6q, 9p, 11q, 13q and 16q. Furthermore, frequent losses on 4q (20 cases) and 21q (14 cases) were found for the first time in this tumour type. High copy number amplifications were observed at 8q12–24, 11q11–13 and 20q13–ter. Highly differentiated tumours were associated with gains on 1q and 11q12–13 along with losses on 1p21–22, 4q, 13q, 11q21–ter. Undifferentiated breast carcinomas were characterized by additional DNA imbalances, i.e. deletions of 5q13–23, all of chromosome 9, the centromeric part of chromosome 13 including band 13q14 and the overrepresentation of chromosome X. We speculate that these changes are associated with tumour progression of invasive ductal breast cancer.

Keywords: breast cancer; comparative genomic hybridization; tumour genetics

The number of patients suffering from breast cancer, the most common malignancy in women, is increasing worldwide. Although, the vast majority of cases appears to be sporadic, hereditary factors account for 5-10% of all cases (Eeles et al, 1994) and yet two predisposing genes, *BRCA1* and *BRCA2*, have been identified (Futreal et al, 1994, Wooster et al, 1995)

Breast cancer is probably the most intensely studied tumour and has been shown to be genetically heterogeneous (Sato et al, 1990). This may at least partially explain why the molecular genetic alterations underlying the disease progression are still not completely understood. To our knowledge none of the studies evaluating chromosomal imbalances and gene mutations have established a model of critical events in sporadic breast cancer development.

The accumulation of genetic alterations in tumour-associated genes are critically important and oncogenes such as erbB-2 (Tsuda et al, 1989; Clark et al, 1991), cyclin D1 (Hunter and Pines, 1994) and c-myc (Bland et al, 1995) have been shown to play a role in breast cancer development. Similarly, the tumoursuppressor genes p53 (Cunningham et al, 1994) and RB1 (Spandidos et al, 1992) are frequently inactivated. Importantly, the activation of oncogenes and the inactivation of tumour-suppressor genes are frequently associated with DNA amplifications and deletions respectively. Therefore, comparative genomic hybridization (CGH) (Kallioniemi et al, 1992), allowing the complete screening of a tumour genome for genetic imbalances, has established itself as a powerful approach for pinpointing chromosomal regions harbouring tumour-associated genes. Different CGH studies on breast carcinomas (Isola et al, 1994, 1995; Kallioniemi et al, 1994; Muleris et al, 1995; Ried et al, 1995; Courjal and

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Theillet, 1997; Kuukasjarvi et al, 1997; Nishizaki et al, 1997*a,b* Tirkkonen et al, 1997) described a complex pattern of gains and losses of many chromosomes. The most common regions of DNA copy number increases were found on 1q, 3q, 6p, 8q, 11q, 12q, 17q and 20q. DNA deletions were prevalent for 3p, 6q, 8p and 16q.

Analysing 39 invasive ductal breast carcinomas by CGH, we detected frequent DNA underrepresentations on 4q and 21q for the first time in this tumour type. Poorly differentiated tumours were found to exhibit more genetic changes than highly differentiated carcinomas, suggesting that specific alterations are associated with tumour progression.

# MATERIALS AND METHODS

# **Tumour specimens**

The samples were collected from 39 patients with primary breast cancer. Material was obtained from serial sections of frozen tissue blocks used for intra-operative diagnosis. The tissue was stored at - 80°C until DNA isolation. DNA was prepared by proteinase K digestion and phenol–chloroform–isoamylalcohol extraction. The proportion of tumour tissue exceeded 80% in each case.

Grading was performed according to the criteria defined by Bloom and Richardson (1957). Nine breast carcinomas exhibited high differentiation (G1). The majority (22 cases) were moderately differentiated (G2) and the remaining eight tumours were poorly differentiated (G3).

# Comparative genomic hybridization

Hybridizations were performed as described previously (Schwendel et al, 1997). The target metaphase slides were prepared from phytohaemagglutinin-stimulated peripheral blood lymphocytes from a healthy woman. Each batch of metaphases was tested by hybridization of normal DNA as recommended by Kallioniemi et al (1994).



Figure 1 Summary of DNA copy number changes in 39 invasive ductal breast carcinomas. Vertical lines on the right side of each chromosome ideogram represent a gain of genetic material in the tumour, whereas those on the left side correspond to a loss. Solid bars indicate a high copy number amplification

The normal DNA was labelled by nick translation with digoxigenin-11-dUTP (Boehringer Mannheim) and the tumour DNA with biotin-16-dUTP (Boehringer Mannheim). Aliquots of 1  $\mu$ g of each of the labelled DNAs together with 30  $\mu$ g of human *Cot*1 DNA (Gibco) were hybridized to the normal metaphase chromosomes for 2–3 days at 37°C. The hybridizations were performed sex neutrally, i.e. tumour DNA, normal DNA and metaphase chromosomes were derived from female donors. Afterwards, the tumour and normal DNA were detected by fluorescein avidin (Vector Laboratories, Burlingame, CA, USA) and antidigoxigenin–rhodamine (Boehringer Mannheim, Germany) respectively. Finally, the chromosomes were counterstained with 4,6-diamino-2-phenylindole (Serva, Germany) for their identification.

### Image acquisition and digital image analysis

Three fluorescence images per metaphase (DAPI, FITC/fluorescein, TRITC/rhodamine) were taken using an epifluorescence microscope (Zeiss Axiophot, Oberkochen, Germany) and a cooled CCD camera (Photometrics, Tucson, AZ, USA). Digital image processing was facilitated by the software package KARYOTYPE (IBSB, Berlin, Germany). The program is based on the digital image analysis software AMBA, which was developed in our laboratory (Roth et al, 1997).

The digital image analysis comprised the following steps: (a) definition of the image objects (chromosomes) by segmentation of the inverted DAPI image; (b) loading of the FITC and TRITC

images under the DAPI segmentation mask; (c) correction of the optical shift of the FITC and TRITC image; (d) calculation of the ratio (FITC/TRITC) image; (e) separation and karyotyping of the chromosomes; (f) calculation of the mean ratio chromosomes (CGH sum-karyogram) and the resulting mean ratio profiles by averaging at least ten metaphases/karyograms; (g) definition of sample subgroups and averaging their CGH sum-karyograms to obtain the super-karyogram and the corresponding ratio profile (Bockmühl et al, 1996; Schwendel et al, 1997).

Ratio profiles were used to decide on the character of genetic alterations. A deletion was defined by a fluorescence ratio less than 0.75. Changes exhibiting a ratio greater than 1.25 were called overrepresentations. If the fluorescence ratio exceeded 1.5, the gain was a high copy number amplification.

Detailed information about the CGH preparation and the digital image analysis are available on our Web site http://amba.rz.charite.hu-berlin.de/cgh.

# RESULTS

CGH was performed on 39 invasive ductal breast carcinomas. A summary of all alterations is shown in Figure 1.

### **DNA losses**

The most abundant DNA underrepresentations deduced from Figure 1 are located on 1p (46% of cases), 4q (51%), 11q21-ter



**Figure 2** Typical ratio profile for chromosome 21 from a single breast tumour with a DNA loss of the band q21. If the mean ratio profile (red line) is to the left of the fluorescence ratio 0.75 (left blue line) it was considered a deletion. If the profile is on the right side of the 1.25 threshold (right blue line) it was defined as a DNA gain. The green line corresponds to a FITC/TRITC fluorescence ratio of 1.0 representing the equilibrium state with no DNA imbalance of the tumour

(49%), 13q (82%) and 21q (36%). Further frequent deletions occurring in more than 25% of cases were found in decreasing order of frequency on 6q, 5q, 9p and 16q. Especially noteworthy are the frequent losses on 4q and 21q, as they have not been found before in breast carcinomas. The ratio profile of chromosome 21 of a single tumour averaged over ten metaphases is shown in Figure 2. It illustrates the frequent copy number loss, with the chromosomal band 21q21 being the smallest overlapping region in 14 cases. This chromosome was exclusively affected by deletions.

### **DNA** gains

Overrepresentations were detected on chromosomes 19 (54%), (49%) and 8q (49%). Additionally, gains on X, 11q12–13, 16p a 20q were observed in at least one-third of the cases. Seve tumours showed high copy number amplifications of the chron somal bands 8q12-24, 11q11-13 and 20q13-ter.

### Alterations vs histopathological grading

Our investigation shows that the average number of alterations tumour depends on the tumour grade. Highly differentiated or exhibit  $8 \pm 4$  genetic changes, whereas the poorly differentia tumours show  $12 \pm 5$  alterations. Moreover, we found tumo with different grading to have their own characteristic pattern genetic changes. G1 carcinomas were associated with DNA ga on 1q, 11q12–13 as well as losses on 1p21–22, 4q, 13q, 11q21–1 Similar alterations were found in poorly differentiated carcinom In addition, the G3 tumours carried deletions at 5q13-23 and all chromosome 9. For the majority of the low-grade tumours ( cases) the loss on chromosome 13q did not include region 13q which was typical for the poorly differentiated carcinomas ( cases). Moreover, a gain of the entire chromosome X was observ in 75% (6/8 cases) of the poorly differentiated carcinomas. illustrate these differences between highly and poorly differen ated tumours, Figure 3 depicts the ideograms and CGH profiles chromosomes 4, 5 and X which were derived from the respect super-karyograms. In contrast to Figure 2, which represents



Figure 3 Alterations vs histopathological grading. The ratio profiles of chromosomes 4, 5 and X from the corresponding super-karyogram of all highly differentiated (G1) and from the super-karyogram of all poorly differentiated (G3) cases are shown as examples. Significant changes occur in poorly differentiated cases at 4q as well as at 5q13–23 and X. Deletions are depicted in red, amplifications in green and normal DNA in blue. For definition of the line see Figure 2

single tumour, these profiles were derived from nine and eight cases, respectively.

# DISCUSSION

This study presents a genome-wide survey of DNA sequence copy number changes in invasive ductal breast carcinomas using CGH. The goal was to find new genetic alterations and to reveal patterns of imbalances that are associated with tumour progression and malignancy.

### **DNA** amplifications

DNA amplification is an important mechanism of oncogene activation being associated primarily with tumour progression (Brison et al, 1993). Amplifications were mapped to 11q11-13 and 8q12-24. These chromosomal regions include the loci of the *cyclin D1* and *c-myc* proto-oncogenes. The first regulates the  $G_1$ -S transition whereas the second acts as a transcriptional modulator. Both genes have been implicated in breast carcinogenesis (Bland et al, 1995; Courial et al, 1996). Additionally, we observed amplifications on chromosome 20q. This aberration has been described in previous studies (Ried et al, 1995; Solinas et al, 1996), being characteristic of advanced carcinomas. The detailed analysis of this region (Tanner et al, 1994) revealed a complex pattern of amplicons showing adjacent non-overlapping amplimers. Nevertherless, the CGH and fluorescence in situ hybridization (FISH) studies have paved the way to the recent identification of the candidate gene AIB1 (Anzick et al, 1997), which is amplified in about 10% and overexpressed in 64% of primary breast carcinomas. Interestingly, it encodes a nuclear receptor co-activator and interacts with the oestrogen receptor, which is intriguing as a subgroup of breast cancer being in general of low-grade malignancy is sensitive to treatment by anti-oestrogens.

### **DNA** losses

Previous studies (Devilee et al, 1991; Eiriksdottir et al, 1995; Kerangueven et al, 1995; Koreth et al, 1997) described losses of heterozygosity (LOH) in breast cancer in a high percentage at 1p, 6q, 9p, 11q, 13q and 16q. In general, these allelic losses correspond well to the most frequent DNA underrepresentations found in our study.

Schmutzler et al (1996) found a correlation between the allelic losses on 11q and on 16q. This is consistent with our results as 70% of the cases with underrepresentations on 16 q also exhibited DNA losses on 11q. The high rates of LOH observed within these chromosomal regions suggest the involvement of tumoursuppressor genes within these loci. A candidate gene for 16 q is Ecadherin (16q22-24). It is involved in cell-cell adhesion and has been reported to increase the invasive potential of tumour cells (Rimm et al, 1995). However, the fact that the region 16q24-ter is also affected in the LOH and CGH studies supports the notion that multiple tumour-suppressor genes are located on this chromosomal arm (Tsuda et al, 1994). On 11q, the two regions 11q22-23.1 and 11q25-ter in particular exhibit allelic losses in sporadic breast cancer frequently (Koreth et al, 1997). The relevance of these observations for the disease is emphasized by the finding that patients whose primary tumours showed LOH on 11q23 exhibit a more aggressive post-metastatic disease course than patients with primary tumours without these deletions (Winquist et al, 1995). The *ATM* gene residing at 11q23 has been implicated in tumour predisposition and sensitivity to radiotherapy. The importance of this gene as a tumour suppressor is still unknown. Again, there are probably multiple tumour-suppressor genes on this chromosomal arm that may be involved in breast cancer development (Laake et al, 1997).

### DNA losses on 4q and 21q

This investigation revealed two novel frequent deletions in breast cancer on 4q and 21q. Allelic loss on chromosome 4q has been reported too in hepatocellular, respiratory tract cancer, malignant mesothelioma and also familial breast cancer (Bockmühl et al, 1996; Bjorkqvist et al, 1997; Marchio et al, 1997; Petersen et al, 1997*a*, *b*; Tirkkonen et al, 1997). Our collective was not investigated for hereditary predisposition. However, the high rate of DNA deletions on 4q suggests that as yet unidentified tumour-suppressor gene(s) are involved in multiple tumour types including sporadic breast cancer.

DNA underrepresentations were also frequently observed at 21 q. LOH on chromosome 21q has been reported in epithelial ovarian carcinomas (Cliby et al, 1993), adenocarcinomas of the stomach (Tamura et al, 1996) and renal tumours (Polascik et al, 1996), but not in breast carcinomas. Our CGH study indicates the chromosomal band 21q21 as the smallest overlapping region harbouring putative tumour-suppressor genes. A recent LOH study in adenocarcinomas of the stomach revealed two separate commonly deleted regions, suggesting that also for this chromosome at least two genes might be involved in tumorigenesis (Sakata et al, 1997).

### DNA losses vs histopathological grading

Our study presented evidence that tumours of the same grade are characterized by a recurrent pattern of genetic aberrations. In general, changes occurring in highly differentiated tumours were also found in poorly differentiated carcinomas. However, the highgrade tumours showed additional gains and losses.

In breast carcinomas, DNA deletions on 5q have been described particularly by Tirkkonen et al (1997). They showed that the genetic change on 5q must be preceded by other alterations. Accordingly, we identified DNA deletions on 5q13–23 predominantly in poorly differentiated invasive ductal breast carcinomas. Various candidate genes such as ZNF5 (Wasmuth et al, 1989), MCC (Kinzler et al, 1991) or APC (Groden et al, 1991) have been isolated. However their role in breast carcinogenesis is still largely unknown.

DNA losses on 13q have been reported in a variety of tumours. Our study suggests that the centromeric region including the band 13q14 was lost, particularly in the poorly differentiated tumours, whereas the highly differentiated carcinomas revealed deletions of the distal part. This observation is paralleled by our findings in small-cell lung carcinomas (SCLCs) and non-small cell lung cancer (NSCLC). SCLC, being the most malignant and highly metastatic lung tumour, showed deletions on 13q including the *RB* locus at 13q14, which was generally spared by NSCLC (Petersen et al, 1997*a*, 1997*b*). The association of deletions and *RB1* inactivation in lung cancer is well established (Kelley et al, 1995). However, the situation in breast cancer seems to be more complicated (Borg et al, 1992) and the association with parameters of tumour progression is still controversial (Andersen et al, 1992; Spandidos et al, 1992). In summary, our study indicates multiple regions of DNA deletions that are affected in breast carcinomas. It supports the notion that the type and localization of the aberrations is as important for the malignant phenotype as the bare number of changes. The analysis of additional tumours and the evaluation of tumour groups by statistical means will help to refine the chromosomal localization of cancer-associated genes.

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