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#### ORIGINAL RESEARCH

Acute Exposure to the Food-Borne Pathogen Listeria monocytogenes Does Not Induce  $\alpha$ -Synuclein Pathology in the Colonic ENS of Nonhuman Primates

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Correspondence: Marina E Emborg Email emborg@primate.wisc.edu **Introduction:** Gastrointestinal (GI) inflammation elicited by environmental factors is proposed to trigger Parkinson's disease (PD) by stimulating accumulation of pathological  $\alpha$ -synuclein ( $\alpha$ -syn) in the enteric nervous system (ENS), which then propagates to the central nervous system via the vagus nerve. The goal of this study was to model, in nonhuman primates, an acute exposure to a common food-borne pathogen in order to assess whether the related acute GI inflammation could initiate persistent  $\alpha$ -syn pathology in the ENS, ultimately leading to PD.

**Methods:** Adult female cynomolgus macaques were inoculated by oral gavage with  $1 \times 10^8$  colony-forming units (CFUs) *Listeria monocytogenes* (LM, n=10) or vehicle (mock, n=3) and euthanized 2 weeks later. Evaluations included clinical monitoring, blood and fecal shedding of LM, and postmortem pathological analysis of colonic and cecal tissues.

**Results:** LM inoculation of healthy adult cynomolgus macaques induced minimal to mild clinical signs of infection; LM shedding in feces was not seen in any of the animals nor was bacteremia detected. Colitis varied from none to moderate in LM-treated subjects and none to minimal in mock-treated subjects. Expression of inflammatory markers (HLA-DR, CD3, CD20), oxidative stress (8-OHDG),  $\alpha$ -syn, and phosphorylated- $\alpha$ -syn in the enteric ganglia was not significantly different between treatment groups.

**Discussion:** Our results demonstrate that cynomolgus macaques orally inoculated with LM present with a clinical response that resembles human LM exposure. They also suggest that acute exposure to food-borne pathogens is not sufficient to induce significant and persistent  $\alpha$ -syn changes in healthy adult female subjects. Based on the results of this limited experimental setting, we propose that, if LM has a role in PD pathology, other underlying factors or conditions, such as male sex, inflammatory bowel disease, exposure to toxins, dysbiosis, and/ or aging, are needed to be present.

**Keywords:** Parkinson's disease, alpha synuclein, nonhuman primate, listeria, inflammation, enteric nervous system

## Introduction

Alpha-synuclein ( $\alpha$ -syn) is a presynaptic protein ubiquitously expressed in neurons of the central, peripheral, and enteric nervous systems (ENS).<sup>1</sup> Under normal conditions, soluble monomeric  $\alpha$ -syn is found at nerve terminals where it binds SNARE proteins to assist with neurotransmitter vesicle release into the synaptic cleft.<sup>2</sup> In pathological conditions like Parkinson's disease (PD),  $\alpha$ -syn becomes

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phosphorylated, misfolds, and forms aggregates termed Lewy bodies which affect proper protein function. Inflammation seems to facilitate  $\alpha$ -syn phosphorylation and aggregation.<sup>3–5</sup>

PD is a progressive neurodegenerative disorder of unknown etiology that affects 1% of the population over 60 years old. It is diagnosed by the presence of typical motor symptoms associated with the severe loss of dopaminergic neurons in the substantia nigra pars compacta.<sup>6</sup> Yet, current epidemiological evidence indicates that PD starts years earlier, heralded by symptoms such as constipation and loss of sense of smell.<sup>6</sup>

Based on PD prodromal clinical features and the patterns of distribution of pathological  $\alpha$ -syn in the nervous system, Braak et al hypothesized that PD etiology could be due to ingestion of an environmental trigger.<sup>7–9</sup> The exposure would lead to accumulation of pathological  $\alpha$ -syn in neurons of the enteric nervous system (ENS), followed by propagation of the misfolded protein into the central nervous system (CNS) via the vagus nerve.<sup>7-9</sup> Studies in northern European populations showed that truncal vagotomies, but not superselective vagotomies, lower the risk of PD, suggesting a relationship between the gastrointestinal (GI) system and PD onset.<sup>10-12</sup> In rodents, inoculation of  $\alpha$ -syn preformed fibrils (PFFs) through different routes of administration led to transneuronal propagation of misfolded  $\alpha$ -syn across the neural axis.<sup>13–17</sup> Furthermore, truncal vagotomy in mice injected into the duodenal and pyloric muscularis layer with PFFs prevented the spread of pathological  $\alpha$ -syn from the ENS to the CNS.<sup>14</sup> Taken as a whole, these reports confirmed the hypothesis that misfolded  $\alpha$ -syn can propagate, yet they do not explain which naturally occurring event triggers a-syn changes in the ENS.

Colonic inflammation seems to play a role in PD pathophysiology.<sup>18</sup> Patients with a history of inflammatory bowel disease (IBD) have an estimated 28–30% risk of developing PD. Furthermore, PD patients commonly have inflammation in the ascending colon, as well as elevated immune markers in feces compared to healthy controls.<sup>19,20</sup> The appendix, an organ known to stimulate development of gut-associated lymphoid tissue (GALT), is rich in both lymphoid tissue and  $\alpha$ -syn making it a potential "incubator" of  $\alpha$ -syn pathology.<sup>21</sup> Data compiled from the Swedish National Patient Registry and the Parkinson's Progression Markers Initiative database related appendectomy to a decreased risk of PD in rural areas and delayed onset of PD, respectively.<sup>22</sup> In this

context, it is sensible to consider that exposure by ingestion to a common environmental pathogen may indirectly cause PD by inducing GI inflammation, thus facilitating  $\alpha$ syn misfolding and aggregation in the ENS.

*Listeria monocytogenes* (LM) is an intracellular Gram+ food-borne bacterium that has been reported in a wide variety of settings and food products and can replicate at a wide range of temperatures (3–42°C).<sup>23</sup> In healthy individuals, LM infection (listeriosis) is self-limiting and results only in diarrhea and fever. However, in the elderly and immunocompromised individuals, LM can lead to encephalitis. LM in pregnant women can lead to spontaneous abortion, still birth, or neonatal infection.<sup>24</sup> According to the US Centers for Disease Control, approximately 1600 patients present in clinic with listeriosis each year and 260 of these cases prove fatal.<sup>24</sup>

LM enters the host through the intestinal mucosa by direct invasion of enterocytes<sup>25</sup> or by translocation across the microfold cells of the Peyer's patches.<sup>26</sup> LM has also evolved to survive in macrophages after phagocytic uptake, allowing a Trojan horse-like spread of LM that includes crossing of the blood–brain barrier.<sup>27,28</sup> Listeriolysin-O is a hemolysin that allows LM to escape from macrophages, lyse host cell walls, and spread between neighboring epithelial cells.<sup>29–31</sup> This cell-to-cell movement evades the humoral immune response by minimizing LM exposure to the extracellular milieu.

Inflammatory responses can be characterized as acute or chronic, with specific time-dependent immune cell infiltrates releasing different factors that impact the cellular environment.<sup>32,33</sup> Acute inflammation is typically selflimiting and composed of neutrophils, followed by monocytic cells, which remove cellular debris and apoptotic neutrophils within  $\sim 24$  to 48 hours after challenge.<sup>33,34</sup> Chronic inflammation is a persistent immune response of mononuclear cells (macrophages, and lymphocytes) that can lead to local tissue damage.<sup>32</sup> The innate immune response to LM is acute. It commences when pathogenassociated molecular patterns (PAMPs) on the surface of the bacterium engage pattern recognition receptors (PRRs) on intestinal epithelial cells.<sup>35</sup> Stimulation of PRRs leads to increased IL-1R, associated kinases and downstream activation of transcription factors NF-KB and interferon regulatory factor 3 (IRF-3). IRF-3 and NF-KB induce proinflammatory cytokine release and type I interferon response, which bolsters a robust acute inflammatory response in the GI tract.<sup>36</sup> Clearance of the LM relies on

mononuclear CD4, CD8 and NK T-cells recruited in the adaptive immune response.<sup>37</sup>

Although the evidence linking chronic GI inflammation and increase risk of PD is increasing, whether PD etiology could be related to the ingestion of an environmental pathogen that causes an immune response, albeit acute, has not yet been evaluated. The wide spread and typically undetected exposure of the human population to LM led to our hypothesis that food-borne pathogens such as LM could be an environmental trigger of GI inflammation that initiates persistent  $\alpha$ -syn pathology in the ENS and ultimately lead to PD. To test this hypothesis, we took advantage of an ongoing project at the Wisconsin National Primate Research Center (WNPRC) assessing the impact of listeriosis in the reproductive system (to be published at a later date) to model the typical acute LM exposure. Healthy non-pregnant adult female cynomolgus macaques were inoculated with LM, euthanized 2 weeks later, and their GI tracts assessed for colonic inflammation,  $\alpha$ -syn, and phosphorylated  $\alpha$ -syn (p-  $\alpha$ -syn) expression in the ENS.

## Materials and Methods

## Ethics Statement

This experiment was performed in strict accordance with the recommendations of the National Research Council Guide for Care and Use of Laboratory Animals and at the AAALAC-accredited WNPRC of the University of Wisconsin–Madison. The colonic tissues used in this study were obtained from monkeys originally assigned to and euthanized under the University of Wisconsin– Madison Institutional Animal Care and Use Committee (IACUC) approved protocol G005061. Every effort was made to minimize the number of primates used and to limit animal distress.

The human brain tissues from a Parkinson's disease patient used as positive controls for the immunostainings were obtained from the Wisconsin Alzheimer's Disease Research Center Brain Bank following documented review and approval from the Institutional Review Board (IRB) and with written informed consent by the patient, as prescribed by the Declaration of Helsinki.

## **Subjects**

Thirteen adult female cynomolgus macaques (*Macaca fas-cicularis*) were used in this study (Table 1). Animals were housed in group 3 or group 4 enclosures in accordance

with the Animal Welfare Act and its regulations and the Guide for the Care and Use of Laboratory Animals. All animals were monitored twice daily by an animal researcher or veterinary technician for evidence of disease or injury. Body weight was monitored to ensure that all animals remained in properly sized cages. Animals were fed commercial nonhuman primate chow (2050 Teklad Global 20% Protein Primate Diet, Harlan Laboratories, Madison, WI) twice daily, supplemented with fruits or vegetables and a variety of environmental enrichment.

#### Listeria Inoculation

*Listeria monocytogenes* (LM; log-phase cells of strain LM2203) were cultured at 37°C in Tryptic Soy Broth (Becton Dickinson, Sparks, MD). The LM strain was isolated from a cluster of listeriosis in pregnant women that led to fetal demise.<sup>38</sup> Each inoculum containing  $1 \times 10^8$  colony forming units (CFUs) of LM was dissolved in 10mL whipping cream and delivered via oral gavage through a soft intragastric feeding tube under sedation (n=10), as previously described.<sup>38,39</sup> Control inoculations (mock) consisted of 10mL whipping cream alone with no LM (n=3). Eight of the 13 animals were previously inoculated with LM following the same protocol. Those inoculations occurred 12–48 months prior to their terminal inoculations per animal are detailed in Table 1.

To assure a live dose of LM was given to the subjects,  $500 \ \mu\text{L}$  of the 10mL whipping cream inoculum was diluted in phosphate-buffered saline (PBS; Catalog #P5368, Sigma-Aldrich, St. Louis, MO), plated on Trypticase soy agar with 5% sheep blood (Becton Dickinson, Sparks, MD), and incubated at 37°C. Subsequent growth on the agar plates was quantified to confirm the dose of live LM inoculum delivered.<sup>38</sup>

## Fecal Shedding and Bacteremia

LM fecal shedding and bacteremia were evaluated during the 14-day period following LM inoculation, as previously described.<sup>38,39</sup>

Fecal samples were obtained daily, starting on the day of inoculation prior to the first dose of inoculum being given and ending on the day of necropsy. Samples were collected from cage pans. Serial fecal dilutions in PBS were plated on BBL blood agar plates and incubated at 37°C for 48 hours. The number of colonies was quantified using NIH ImageJ colony-counting software at both 24 hours and 48 hours after being plated.

Table I Information per Study Subject on Treatment, Fecal and Blood Shee	dding, Colitis Score, Clinical and Pathological Remarks.
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Animal	Age (Years)	Sex	Weight (kg)	Treatment	Fecal	Blood	Clinical Remarks	Colitis Score	Pathological Description
MOI	9	F	3.9	Mock <sup>b</sup>	Negative	Negative	Unremarkable	I	No inflammation noted. Tissue is unremarkable.
MO2	7	F	5.3	Mock <sup>a</sup>	Negative	Negative	Unremarkable	I	No inflammation noted. Tissue is unremarkable.
MO3	10	F	8.8	Mock <sup>b</sup>	Negative	Negative	Unremarkable	1.5	Sparse eosinophilic infiltration of the lamina propria.
LMI	10	F	4.7	LM	Negative	Negative	Animal reported for vomit 12 days after inoculation.	I	No inflammation noted. Tissue is unremarkable.
LM2	6	F	5.3	LMª	Negative	Negative	Unremarkable	I	No inflammation noted. Tissue is unremarkable.
LM3	10	F	4.8	۲Wc	Negative	Negative	Animal reported for diarrhea in cage pan 3 days after inoculation.	2	Dead cells present at the base of the lamina propria flanked by foamy macrophages, increased (relative to normal) lymphocytes and plasma cells.
LM4	7	F	5	LM	Negative	Negative	Unremarkable	2	Sparse to dense population of eosinophils in the lamina propria.
LM5	7	F	5.4	LM	Negative	Negative	Animal reported for diarrhea in cage pan 3 days after inoculation.	2	Pockets of dense and dead cells in the upper region of the lamina propria.
LM6	7	F	6.1	LM	Negative	Negative	Animal reported for leftover chow and inappetence 3 days after inoculation.	2	Pockets of dense cells and dead cells in the upper region of the lamina propria.
LM7	6	F	3.6	LMª	Negative	Negative	Unremarkable	1.5	Sparse eosinophilic infiltration of the lamina propria and glandular separation indicative of an immune response.
LM8	11	F	6.7	LMc	Negative	Negative	Unremarkable	2	Small clusters of foamy macrophages at the base of the lamina propria and pockets of dead cells in the mucosa.
LM9	7	F	4	LMª	Negative	Negative	Unremarkable	3	Dense infiltration of eosinophils in the lamina propria and dense pockets of dead cells.
LM10	6	F	3.1	LM	Negative	Negative	Unremarkable	2	Areas of dense cells in the lamina propria and population of eosinophils across the mucosa.

Superscripts denote animals with one previous LM inoculation at either (a) 12-17 months or (b) 23-26 months prior to terminal inoculation/necropsy, while (c) denotes animals with two previous Im inoculations 36-48 months prior to their terminal inoculation/necropsy. Colitis scores are defined in the "Materials and Methods" section.

Whole peripheral blood samples were collected every 2– 3 days<sup>40</sup> and processed by the Clinical Pathology Laboratory within the School of Veterinary Medicine, at the University of Wisconsin–Madison. Bactec Peds Plus/F blood culture bottles were aseptically inoculated with 3 mL per bottle. The samples were then incubated at 35°C in a BD Bactec 9050 blood culture system (Becton Dickinson Diagnostic Systems, Sparks, MD) until a positive signal was observed or for a maximum of 5 days.

## **Tissue Collection and Processing**

Fourteen days after LM inoculation the monkeys were anesthetized with ketamine hydrochloride (10–15 mg/kg, iv) and euthanized with pentobarbital sodium (minimum 25 mg/kg, iv).

Samples of proximal colon and cecum were collected, rinsed to remove fecal material from the mucosa, fixed in 4% paraformaldehyde for 24–72 hours, rinsed in PBS, and stored in 70% ethanol until processed and embedded in paraffin. Sections were cut at 5  $\mu$ m on a rotary microtome and mounted on positively charged slides.

A representative section of colon and cecum was stained with hematoxylin and eosin (HE) to assess microanatomy and pathology in each animal.

#### Immunohistochemistry

Proximal colon sections were immunostained against human leukocyte antigen DR (HLA-DR), cluster of differentiation 3 (CD3), cluster of differentiation 20 (CD20), 8-hydroxy-2'-deoxyguanosine (8OHdG), protein gene product 9.5 (PGP9.5),  $\alpha$ -syn and  $\alpha$ -syn phosphorylated at serine 129 (p- $\alpha$ -syn) (Table 2) with antibodies optimal for nonhuman primates (NHPs), following previously validated methods.<sup>41,42</sup> Briefly, slides were deparaffinized in xylene for 30 minutes, rehydrated through ethanols, and treated for heat antigen retrieval in a microwave oven for 6 min at 100% power followed by 3 minutes at 60% power and a 1 hour cool down period. Tissue sections were treated with Super Block solution (ScyTek, Logan, UT, USA, AAA125) and then incubated overnight at room temperature with appropriate primary antibody. The sections were then treated with appropriate biotinylated secondary antibody (1:200) for 1 hour, followed by avidin–biotin complex peroxidase (VECTASTAIN Elite ABC HRP Kit, Vector Laboratories, Burlingame, CA, USA), and visualized with a commercial 3,3'- diaminobenzidine (DAB) kit. All sections were counterstained with hematoxylin (excluding those stained for 8-OHdG), dehydrated, and coverslipped (Cytoseal mounting medium, Thermo Scientific, Waltham, MA, USA).

Negative and positive controls were performed in parallel. Negative controls consisted of omission of primary antibody. Positive controls included healthy macaque colon for PGP9.5, tissue from the subject with highest inflammation score for HLA-DR, CD3, CD20, and 8-OHdG and PD patient brain tissue for  $\alpha$ -syn and p- $\alpha$ syn immunostainings.

#### Immunofluorescence

Double and triple labeling immunofluorescence stainings were performed to visualize colocalization of  $\alpha$ -syn with neuronal PGP9.5 and to assess the spatial relationship between  $\alpha$ -syn and the inflammatory cell markers HLA-DR and CD3. Slides were deparaffinized, rehydrated through ethanols, and treated for heat antigen retrieval in a microwave for 6 min at 100% power followed by 3 min at 60% and left to cool for 60 min at room temperature. Tissue sections were incubated with Super Block solution (ScyTek, Logan, UT, USA, AAA125) followed by incubation in primary antibodies overnight at 4°C. The sections

 Table 2 Primary Antibodies Used for immunohistochemistry and Immunofluorescence

Antigen	Marker	Company	Species	Catalog No.	Lot No.	Dilution
HLA-DR	Antigen-presenting cell surface	Dako	Mouse	M0746	20071350	1:200
CD3	T cells (brightfield)	Dako Mouse		M7254	20043703	1:100
CD3	T cells (fluorescence)	Abcam	Rat	AB11089	GR33823902	1:100
CD20	B cells	Thermo Fisher	Rabbit	RB9013-PO	9013P1705F	1:100
8-OHdG	Oxidative stress	Abcam	Mouse	AB48508	GR3250401	I :800
PGP 9.5	Pan-neuronal (brightfield)	Abcam	Rabbit	AB503067	GR45123-2	I:400
PGP 9.5	Pan-neuronal (fluorescence)	Invitrogen	Chicken	PA1-10011	VJ3103801	I:500
α-syn	Pre-synaptic protein	Abcam	Rabbit	AB138501	GR221666-8	I:400
p-α-syn	$\alpha$ -Syn phosphorylated	Wako	Mouse	015-25191	LKM6409	I:400

Abbreviations: HLA-DR, human leukocyte antigen DR; CD3, cluster of differentiation 3; CD20, cluster of differentiation 20; 8-OHdG, 8-hydroxy-2'-deoxyguanonsine; PGP 9.5, protein gene product 9.5; α-syn, alpha-synuclein; p-α-syn, alpha synuclein phosphorylated at serine 129.

were then incubated with Alexa Fluor-conjugated secondary antibody (1:1000) for 2 hours against the applicable species and coverlipped with Fluoro-Gel mounting medium with DAPI (Vector Laboratories, Burlingame, CA). Negative controls were performed in parallel by omitting the primary antibodies. Confocal images were obtained using a Nikon A1 confocal microscope (Tokyo, Japan).

## Pathological Evaluation

Representative HE-stained colon and cecum sections for each animal were evaluated by a WNPRC board-certified veterinary pathologist (HAS), familiar with histologic findings in the colony but blinded to the treatment groups. Criteria for tissue analysis included at least 12 visible myenteric ganglia and sufficient well-oriented mucosa and submucosa to evaluate and score for the presence and absence of colitis.

Colonic and cecal inflammation were scored using a previously validated scale.<sup>41</sup> Inflammation (cellular infiltration of the lamina propria, submucosa, and muscular layers), glandular changes, and tissue morphology were evaluated and rated (1=no colitis, 2=mild, 3=moderate and, 4=severe) in increments of 0.5. A score of 1.5 corresponds to a qualitative assessment of minimal colitis. To assess intra-rater reliability, four sections were randomly selected and re-evaluated blindly; the sections received the same scores validating the results.

## Quantification of Immunoreactivity

Expression of HLA-DR, CD3, CD20, 8-OHdG, PGP9.5,  $\alpha$ -syn and p- $\alpha$ -syn in the myenteric ganglia was blindly quantified using the percent area above threshold (%AAT) and optical density (OD) functions of NIH ImageJ version 2.1.0 software with images obtained on a Zeiss Axio Imager M2 equipped with a Q Imaging camera following previously validated methods.<sup>41</sup>

Colon sections were divided into four equal quadrants with 3 myenteric ganglia randomly selected from each quadrant for a total of 12 ganglia per animal. Each immunostained myenteric ganglion was captured at 40x. The ganglia were outlined by a single blinded evaluator. In each photomicrograph, DAB color was separated from hematoxylin counterstain with the ImageJ Color Deconvolution filter. ImageJ was calibrated using a step tablet, and grayscale values were converted to OD units using the Rodbard function. The threshold is a minimum grayscale value of each pixel applied as a limit for inclusion in the %AAT analysis. The threshold was calculated by averaging the optimal individual threshold that best represents the immunoreactivity in the ganglia for four randomly selected photomicrographs of immunostained tissue sections from different animals. The following thresholds were utilized: HLA-DR=0.38, CD3=0.43, CD20=0.38, 8-OHdG=0.45, PGP9.5=0.30,  $\alpha$ -syn=0.28 and p- $\alpha$ -syn=0.42.

#### Statistics

Data collection and analysis were performed by investigators blind to animals' treatments and conditions. A p<0.05was considered significant. Statistical analysis was performed using GraphPad Prism 9.0.

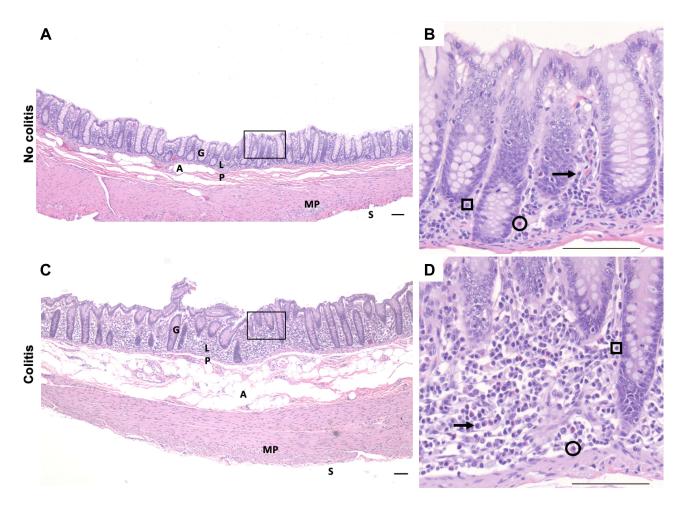
Datasets were compared between treatment groups of LM (n=10) vs mock (n=3) inoculation and between colitis conditions of no colitis (score 1; n=4) vs mild/moderate colitis (score 2–3; n=7) to further assess the impact of inflammation regardless of treatment. A Welch's *t*-test was applied for comparisons between groups. Pearson correlation tests were utilized to assess the relationships between measures of  $\alpha$ -syn-immunoreactivity (-ir) and colitis scores or HLA-DR-ir, and between  $\alpha$ -syn-ir or p- $\alpha$ -syn-ir and number of LM inoculations per subject.

## Results

## LM Inoculation Induced Moderate to No Clinical Signs and Histologic Evidence of Colitis

Following oral gavage of LM or mock treatment, no emesis was reported in any monkey, ensuring full dose of inoculum. During the next 14 days, only 3 of the 10 LM inoculated animals presented with episodes of diarrhea or inappetance (Table 1). One additional monkey had one emetic episode 12 days post LM innoculation. LM fecal shedding was not observed in any of the 10 LM inoculated monkeys. LM bacteremia was not detected in the blood of any animal.

Analysis of HE-stained colon sections obtained 2 weeks post LM inoculation revealed some inflammation across subjects (Table 1 and Figure 1). The mock inoculated animals presented none (n=2) to minimal (n=1) colitis, although 12– 26 months earlier they were inoculated with LM. The majority of LM treated monkeys had mild colitis (n=6), including the three animals with abnormal clinical observations. They presented lymphoplasmacytic and eosinophilic infiltration of the lamina propria, reactive macrophages, and regions of hyperdense cell death in the colonic mucosa. Of the remaining LM animals, two had no colitis and one had moderate colitis with hyperdense clusters of dead cells with inflammatory infiltration of the lamina propria and displacement of colonic glands. HE-stained cecal sections of all monkeys



**Figure I** Representative HE-stained proximal colon sections images of cynomolgus macaques of no-colitis control (A, B); MO3) and moderate colitis (C, D; LM9) after oral LM inoculation. (A, C) at 4× magnification. (B, D) at 40× magnification. Note increased lymphoplasmacytic and eosinophilic inflammation in the lamina propria with glandular displacement in the colitis subject. Black arrow, plasma cell; circle, eosinophil; square, lymphocyte. Scale bar = 100µm for all panels. **Abbreviations:** A, adipocytes; G, gland; LP, lamina propria; MP, myenteric plexus; S, serosal surface.

were also evaluated for a lingering or greater inflammatory reaction (not detected in the colon) after LM inoculation. Yet, the typhlitis scores in the cecum (Table 3) did not reveal any striking differences with the results obtained in the colon. The colitis and typhlitis scores of the five LM-treated subjects that were previously (12–48 months) inoculated with LM were similar to the animals with a single inoculation. Critically, the three mock-inoculated monkeys received one LM treatment 12–26 months earlier, and they displayed none to minimal inflammation, further confirming the acute inflammatory process triggered by LM.

## Immune Cell Markers Were Minimally Increased in the Colonic ENS 14 Days Post Inoculation

To further assess the immune response to LM, immune markers were evaluated in the colon and quantified in the ENS ganglia. Expression of HLA-DR (Figure 2A),

a marker of antigen presenting cells, was minimally present and found on the surface of ovoid cells and foamy macrophage-like cells. CD3-ir (T cell marker; Figure 2B) and CD20-ir (B cell marker implicated in the adaptive immune response; Figure 2C) cells were primarily present in Peyer's patches of both groups, and occasionally localized in the lamina propria. Expression of 8-OHdG (marker of oxidative stress) was minimal and localized in the nuclei of cells (Figure 2D). The %AAT and OD of immune marker expression in the ENS ganglia analyzed by the treatment group or by colitis condition did not identify significant differences between mock vs LM-inoculated.

# $\alpha\mbox{-Syn}$ Expression Was Present in ENS Ganglia and Was Not Affected by LM Exposure

To have a measure of neuronal ENS integrity, the expression of the pan-neuronal marker PGP9.5 was evaluated in

Animal	Typhlitis Score	Pathological Description
MOI	2	Mild scatterings of hemosiderin across entire cecum and a notable population of eosinophils.
MO2	1.5	Very small resident increase in inflammatory cells
MO3	2	Increased cell density
LMI	2	Nodular proliferation of a patch of eosinophils. Greater densities of eosinophils throughout the sample.
LM2	1.5	The tissue presents with inflammation but is overall minimal in its colitis score.
LM3	2	Elevated cell population. Dense areas across the lamina propria.
LM4	1	No inflammation noted. Tissue is unremarkable.
LM5	I	No inflammation noted. Tissue is unremarkable.
LM6	I	No inflammation noted. Tissue is unremarkable.
LM7	1.5	Marginally above baseline in areas of cell density.
LM8	1.5	Slightly increased cell density near the crypts in the lamina propria.
LM9	2	Cells in the lamina propria are denser. There is a notable population of eosinophils.
LM10	I	No inflammation noted. Tissue is unremarkable.

Table 3 Cecum Typhlitis Score per Study Subject

the colon of LM and mock inoculated animals. PGP9.5-ir was present throughout neuronal soma of myenteric ganglia and in nerve fibers running between muscle fibers and mucosa of all animals (Figure 3A). %AAT of PGP9.5-ir in myenteric ganglia was similar between treatment groups and colitis conditions, demonstrating that exposure to LM does not have a major effect on ENS neurons.

When colon sections immunostained against  $\alpha$ -syn were analyzed, the protein was ubiquitously found in the ENS myenteric plexus (Figure 3B). Double label immunofluorescence successfully colocalized  $\alpha$ -syn-ir with PGP9.5-ir in myenteric ganglia, confirming  $\alpha$ -syn location within ENS neurons (Figure 4). α-Syn-ir in the ENS ganglia measured by %AAT and OD was not significantly different when compared between treatment group or colitis condition. In addition, all animals presented minimal p- $\alpha$ -syn, limited to diffuse brown staining in ganglia (Figure 3C), compared to the PD brain tissue, where  $p-\alpha$ syn+ Lewy bodies were identified (Figure 3D). In the monkey with moderate colitis (LM9, received one previous inoculation 12 months earlier), some more intense p- $\alpha$ -syn-ir was observed in the ganglia (arrows in Figure 3C). Analysis of p- $\alpha$ -syn in the ENS ganglia measured by %AAT or OD was not significantly different when compared across treatment groups or colitis conditions. Lastly,  $\alpha$ -syn-ir and p- $\alpha$ -syn-ir in the 5 LM-treated subjects that were previously inoculated with LM were similar to the expression in the animals that had a single inoculation.

Pearson correlation tests were performed to assess if the individual differences observed in  $\alpha$ -syn-ir were driven by colonic inflammation (Figure 5). Neither measures of  $\alpha$ -syn-ir and the colitis score nor measures of  $\alpha$ -syn-ir and HLA-DR-ir reach statistical significance. Pearson correlation tests to assess whether the number of LM inoculations per subject affected measures of  $\alpha$ -syn-ir or p- $\alpha$ -syn-ir were also not significant.

To further assess the spatial relationship between  $\alpha$ -syn-ir and immune cells, triple labeling immunofluorescence for  $\alpha$  -syn-ir, HLA-DR-ir and CD3-ir was performed (Figure 6). As expected,  $\alpha$ -syn-ir was present in myenteric ganglia neurons. Some HLA-DR-ir was observed around the margins of ganglia, while CD3-ir was minimal, consistent with its minimal expression seen in brightfield.

## Discussion

The present results demonstrate that 1) LM acute oral exposure can be successfully modeled in cynomolgus macaques, 2) the LM-related acute colitis resolves after 14 days, and 3) the acute immune response does not induce detectable lingering effects in  $\alpha$ -syn expression in the ENS of healthy adult female subjects. These findings suggest that acute exposure to food-borne pathogens is not sufficient to trigger  $\alpha$ -syn changes. The goal of this NHP project was to model the type of exposure to a common food-borne pathogen that would be experienced by the general population (a single inoculation to simulate a patient's consumption of a contaminated product), in order to study whether the acute event could have longterm effects on  $\alpha$ -syn in the ENS. To the best of our knowledge this is the first report to investigate this question in NHPs.

Cynomolgus macaques are an Old World NHP species frequently used in medical research, as their genetics,

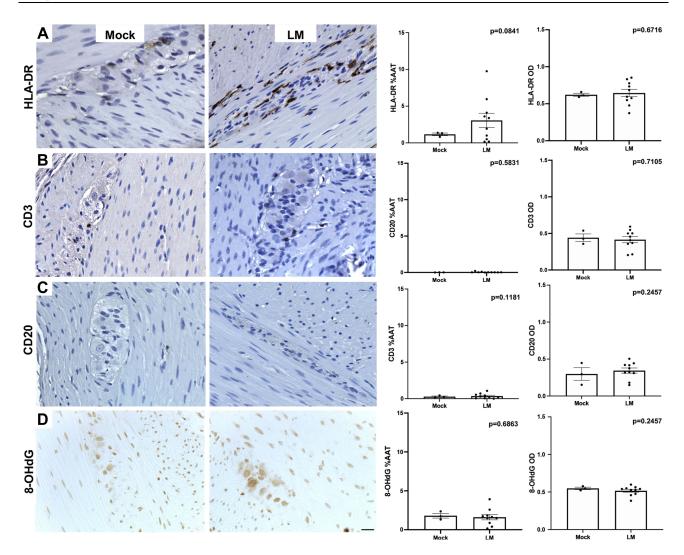


Figure 2 Images of proximal colon of cynomolgus macaques treated with mock (MOI) or LM (LM7) inoculations immunostained against markers of inflammation (A-C; HLA-DR, CD3, CD20) and oxidative stress (D; 8-OHdG) and their %AAT expression and OD expression (mean ± SEM). Scale bar = 25µm for all panels.

anatomy, physiology, and behavior provide clinically relevant outcomes. They were chosen for this experiment because when pregnant and orally inoculated with LM during the first trimester, they present with a variety of mild clinical signs in the dam, yet acute fetal demise is almost invariable. Thus, as with human pregnancy, listeriosis in macaque pregnancy is associated with adverse pregnancy outcomes.<sup>38,39</sup> The mild clinical signs of listeriosis detected in the healthy adult monkeys after a prescribed LM oral inoculation also match the typical syndrome observed after LM exposure in healthy adult humans compared to pregnant, immunocompromised, or the elderly.<sup>24</sup> To ensure the pathogenicity of the LM strain, we administered LM isolated from a cluster of human listeriosis cases that induced adverse pregnancy outcomes and verified the viability of the incoculum post-dosing by

the growth of colonies in agar. The animals' episodes of diarrhea or inappetance are a typical response to exposure to live LM. The lack of emesis post-dosing also ensured that a full inoculum was received. LM fecal shedding and bacteremia were not detected in any of the 10 LM inoculated monkeys, which is not uncommon in exposed humans and NHP subjects.<sup>38</sup>

LM is known to induce an acute immune response, recruiting neutrophils that play a role in primary pathogen defense, as well as dendritic cells and macrophages driven by IFN $\gamma$ .<sup>43,44</sup> Like most acute inflammatory responses, LM-induced inflammation typically resolves approximately 2 weeks post exposure. As such, the majority of the LM exposed animals presented mild inflammation scores, characterized by lymphoplasmacytic and eosinophilic infiltration of the lamina propria, reactive

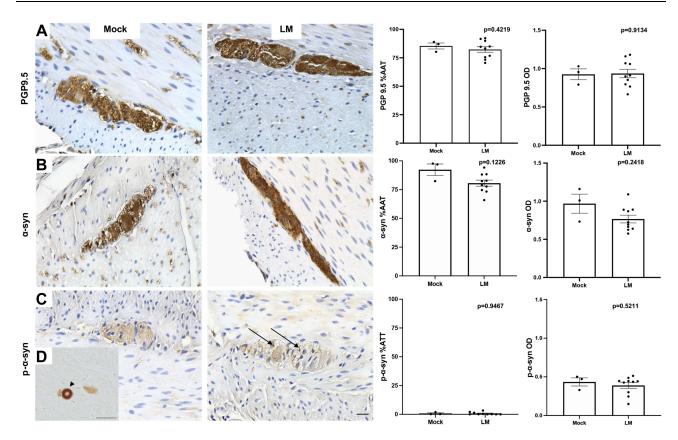


Figure 3 Images of proximal colon of cynomolgus macaques treated with mock (MO2) or LM (LM9) inoculations immunostained against pan-neuronal maker PGP9.5 (A),  $\alpha$ -syn (B), p- $\alpha$ -syn (C, black arrows) and their %AAT expression and OD expression (mean ± SEM). Lewy body in human PD brain (D, black arrowhead). Scale bar = 25 µm for all panels.

macrophages, and regions of hyperdense cell death in the colonic mucosa, reflecting the acute nature of the LM exposure and the effective self-limiting host immune response.<sup>45</sup> The inflammation scores were confirmed by the minimal expression of the immune markers HLA-DR (macrophages), CD3 (T cells) and CD20 (B cells).

In humans, the appendix is rich in lymphoid tissue, has abundant  $\alpha$ -syn expression and its surgical removal has been linked to a decreased risk of PD,<sup>22</sup> suggesting that this organ may have a role in the onset of PD pathology. Although macaque monkeys lack an appendix, their cecum is considered an anatomical equivalent,<sup>46,47</sup> thus we evaluated cecal sections for signs of more robust inflammation. The typhlitis scores matched the colonic findings of mild acute inflammation.

The macaque ENS, like the human ENS, is organized in a network of ganglia, each ganglion composed of PGP9.5+ neurons that also express  $\alpha$ -syn.<sup>42</sup> Our analysis confirmed the presence of PGP9.5-ir and  $\alpha$ -syn-ir neurons in the cynologus macaque ENS ganglia. We also found minimal p- $\alpha$ -syn-ir across treatment groups, suggesting that LM did not induce permanent pre-pathological changes in  $\alpha$ -syn. The immunostaining methods used to identify p-a-syn, including the choice of antibodies and positive control, are critical considerations for ensuring high quality, replicable data. Other reports have relied on different antibodies for identifying p- $\alpha$ -syn, yet those studies were performed in rodents.<sup>48</sup> In this project, we took advantage of a p- $\alpha$ -syn antibody that was previously validated and optimized for use in NHP and human PD tissues.41,42 To minimize immunostaining variability, tissue sections from animals of different treatment groups were processed in parallel (and with positive and negative controls) and were incubated in solutions for the same periods of time. The human PD brain tissue used as a positive control for p- $\alpha$ -syn was processed with the same methods as the colonic tissue (ie, both tissues were paraffin embedded, cut at 5µm thickness, and stained in parallel with the cynomolgous tissue using all the same reagents). PD brain tissue is recommended as a positive control for this antibody and has been previously validated by our group as a positive control for  $p-\alpha$ -syn immunostaining in the monkey colon.41,42 Lastly, proteinase-K

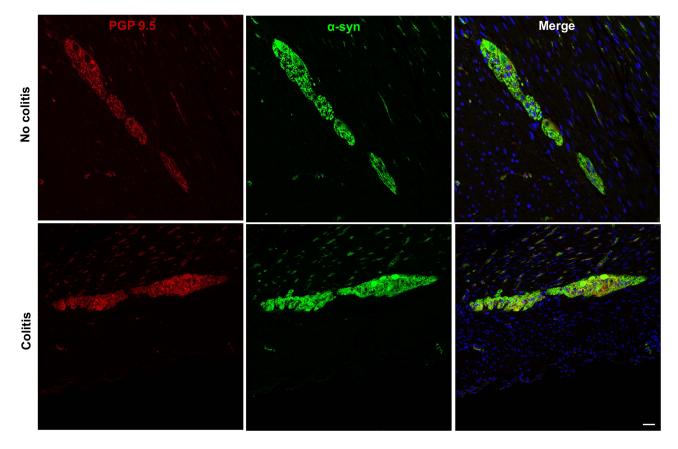


Figure 4 Double immunofluorescence images of proximal colon showing  $\alpha$ -syn colocalization with pan-neuronal marker PGP9.5 in myenteric ganglia of no-colitis control (MO2) and colitis (LM9) cynomolgus macaques. Scale bar = 50 $\mu$ m for all panels.

treatment before p- $\alpha$ -syn immunstaining is a standard practice to confirm the presence of aggregated, insoluble p- $\alpha$ -syn.<sup>1</sup> Although aggregated p- $\alpha$ -syn in the form of Lewy bodies was observed in the human PD brain, p- $\alpha$ syn-ir was minimal and diffuse in the colon tissue sections and did not justify performing p- $\alpha$ -syn immunostaining with proteinase-K pre-treatment.

A limitation of this study was the use of only female cynomolgus macaques. As mentioned in the introduction, the reason for this experimental sex bias was our commitment to maximizing use of NHP resources while reducing the number of animals used in research. This study took advantage of an ongoing project assessing the impact of LM exposure in the reproductive organs of healthy female NHPs, thus reducing the need to infect additional animals with LM. PD is 1.5 times more likely to occur in men than women,<sup>49</sup> whether this is the result of an X- or Y-linked factor or is related to environmental interactions leading to increased exposure by human males is not yet clear. Several publications have linked the increased risk of PD in IBD patients preferentially to men,<sup>50,51</sup> while others

found greater risk in women<sup>52</sup> or no differences between sexes.<sup>53</sup> Interestingly, a recent study showed that the brains of male mice with dextran-induced colitis had reduced tyrosine hydroxylase as well as sustained CD8+ T-cell infiltration and elevated expression of the *lfng* gene, which encodes for a cytokine produced by CD8+ T-cells,<sup>53</sup> suggesting a sex-dependent response to colitis by the nervous system.

Compared to the lack of  $\alpha$ -syn changes in the ENS colonic ganglia after LM-induced acute inflammation, our group previously reported that idiopathic chronic colitis in NHPs (mainly males) is associated with reduced  $\alpha$ -syn expression and increased pathological phosphorylation of  $\alpha$ -syn.<sup>41</sup> Duration of lymphocyte stimulation in a chronic response could account for the differences. The acute self-limiting nature of inflammation induced in subjects by the LM challenge in our study may not be sufficient to generate changes in  $\alpha$ -syn. In IBD, the immune cells recruited include neutrophils, dendritic cells, macrophages, and monocytes. Lymphocytes undergo long term stimulation resulting in chronic tissue damage.<sup>54</sup> Notably, cytokines

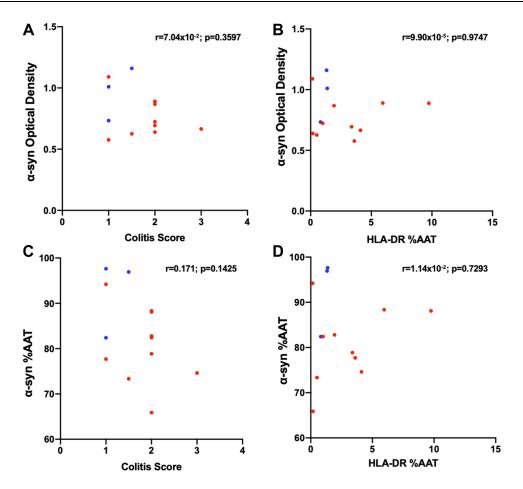


Figure 5 Pearson correlations graphs comparing colitis score vs α-syn optical density (**A**), HLA-DR %AAT vs α-syn OD (**B**), colitis score vs α-syn %AAT (**C**), and HLA-DR %AAT vs α-syn %AAT (**D**). Each point represents average per subject; the blue points correspond to Mock- and red points to LM- inoculated subjects.

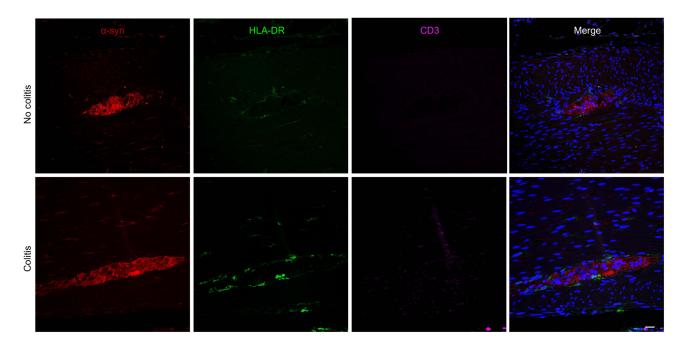


Figure 6 Triple immunofluorescence images of proximal colon sections showing  $\alpha$  -syn-ir, HLA-DR-ir and CD3-ir in myenteric ganglia of no-colitis (MO2) and colitis (LM1) subjects. Scale bar = 50 $\mu$ m for all panels.

associated with an innate and/or chronically occurring immune response, such as TNF- $\alpha$ , IL-1 $\beta$ , IL-2, IL-4 and IL-6, are elevated in the striatum and cerebrospinal fluid of PD patients,<sup>55</sup> further supporting the role of chronic inflammation in PD.

Current evidence suggests that the chronic GI inflammation that could lead to PD pathology is associated with GI dysbiosis and, that exposure to environmental toxins may start the pathological process. Chronic oral dosing of rotenone to mice is known to induce loss of nigrostriatal dopaminergic neurons and acumulation of misfolded p- $\alpha$ syn. Interestingly, it can also induce inflammation in the gastrointestinal tract, alter the GI microbiome, and increase p- $\alpha$ -syn in the ENS.<sup>56–58</sup> Whether inflammation (or the toxin itself) causes dysbiosis or vice versa is not clear. Moreover, PD is an age-related disorder and chronic inflammation and changes in the gut microbiome are both typical findings in older adults, further stimulating research to understand the role of the microbiome in PD.<sup>59–61</sup>

Inasmuch as inflammation seems to facilitate  $\alpha$ -syn phosphorylation and aggregation,<sup>3,4</sup> current evidence indicates that accumulation of pathological  $\alpha$ -syn itself can initiate an immune response<sup>62</sup> that may perpetuate a cycle of proteinopathy, neurodegeneration and inflammation. In PD brains, the amount of microglial activation measured by CD68 and HLA-DR expression is directly associated with  $\alpha$ -syn load.<sup>63</sup> In addition, the presence of neuromelanin inside activated microglia suggests that diseased dopaminergic neurons are being phagocytosed.<sup>64</sup>

It could be argued that multiple LM inoculations or tissue collection after a longer period of time postexposure may have induced detectable a-syn pathology. As shown in Tables 1, 5 LM-treated subjects were previously (12-48 months) inoculated with LM, yet their colitis score,  $\alpha$ -syn-ir, and p- $\alpha$ -syn-ir were similar to animals with a single LM treatment. Additionally, the three mock-inoculated monkeys received LM treatment 12-26 months earlier, and they displayed none to minimal inflammation and unremarkable  $\alpha$ -syn and p- $\alpha$ -syn-ir expression. We would like to emphasize that exposure of the human population to listeria is an isolated event that typically occurs from an outbreak of infected pre-packaged dairy products or lunch meat products.<sup>65</sup> Repeated exposure over multiple days/months is unlikely to be experienced by a patient as outbreaks are typically isolated and consumers are warned as soon as the infected product is detected in the market.

Although the interface between the immune system,  $\alpha$ -syn, and PD remains to be fully elucidated, this study demonstrates that an environmental challenge that induces an acute inflammatory response is insufficient to trigger  $\alpha$ -syn pathology and ultimately PD. Since the publication of Braak's hypothesis<sup>7–9</sup> the concept of an environmental trigger has been widely speculated. Given the reported results, we propose that, if an LM challenge has a role in PD pathology, other underlying factors or conditions, such as male sex, IBD, exposure to toxins, dysbiosis, and/or aging are needed to be present.

#### Conclusions

Our results in an NHP model of listeriosis demonstrate that acute oral exposure to a food-borne pathogen is not sufficient to trigger persistent  $\alpha$ -syn changes in the ENS of healthy adult female subjects that could lead to PD.

## **Data Sharing Statement**

The datasets generated during and/or analyzed during the current study are available from the corresponding author upon reasonable request.

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## Disclosure

The authors declare that there is no conflict of interest regarding the publication of this paper.

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