



# Impact of Healthcare Non-Take-Up on Adherence to Long-Term Positive Airway Pressure Therapy

Najeh Daabek<sup>1,2</sup>, Renaud Tamisier<sup>1,3</sup>, Alison Foote<sup>4</sup>, Hélèna Revil<sup>5</sup>, Marie Joyeux-Jaure<sup>1,2,3</sup>, Jean-Louis Pépin<sup>1,3</sup>, Sébastien Bailly<sup>1,3</sup> and Jean-Christian Borel<sup>1,2\*</sup>

<sup>1</sup> HP2 Laboratory, INSERM U1042, University Grenoble Alpes, Grenoble, France, <sup>2</sup> AGIR à dom. Homecare Charity, Meylan, France, <sup>3</sup> EFCR Laboratory, Grenoble Alpes University Hospital, Grenoble, France, <sup>4</sup> Research Division, Grenoble Alpes University Hospital, Grenoble, France, <sup>5</sup> Social Sciences Research – PACTE Laboratory, CNRS UMR 5194, University Grenoble Alpes, Grenoble, France

**Background:** The effectiveness of positive airway pressure therapies (PAP) is contingent on treatment adherence. We hypothesized that forgoing healthcare may be a determinant of adherence to PAP therapy.

### **OPEN ACCESS**

### Edited by:

Yi Guo, University of Florida, United States

### Reviewed by:

Xue Han, Bristol Myers Squibb, United States Zhaoyi Chen, University of Florida, United States

> \*Correspondence: Jean-Christian Borel j.borel@agiradom.com

### Specialty section:

This article was submitted to Life-Course Epidemiology and Social Inequalities in Health, a section of the journal Frontiers in Public Health

> Received: 22 May 2021 Accepted: 21 July 2021 Published: 17 August 2021

### Citation:

Daabek N, Tamisier R, Foote A, Revil H, Joyeux-Jaure M, Pépin J-L, Bailly S and Borel J-C (2021) Impact of Healthcare Non-Take-Up on Adherence to Long-Term Positive Airway Pressure Therapy. Front. Public Health 9:713313. doi: 10.3389/fpubh.2021.713313 **Research Question:** The objectives were: (i) to assess the impact of forgoing healthcare on adherence to PAP in patients with Chronic Respiratory Failure (CRF) and patients with Obstructive Sleep Apnea Syndrome (OSAS); (ii) to compare forgoing healthcare patterns in these two chronic conditions.

**Study design and methods:** Prospective cohort of patients with OSAS or CRF, treated with PAP therapies at home for at least 12 months. At inclusion, patients were asked to fill-in questionnaires investigating (i) healthcare forgone, (ii) deprivation (EPICES score), (iii) socio-professional and familial status. Characteristics at inclusion were extracted from medical records. PAP adherence was collected from the device's built-in time counters. Multivariable logistic regression models were used to assess the associations between healthcare forgone and the risk of being non-adherent to CPAP treatment.

# **Results:** Among 298 patients included (294 analyzed); 33.7% reported forgoing healthcare. Deprivation (EPICES score > 30) was independently associated with the risk of non-adherence (OR = 3.57, 95%CI [1.12; 11.37]). Forgoing healthcare had an additional effect on the risk of non-adherence among deprived patients (OR = 7.74, 95%CI [2.59; 23.12]). OSAS patients mainly forwent healthcare for financial reasons (49% vs. 12.5% in CRF group), whereas CRF patients forwent healthcare due to lack of mobility (25%, vs. 5.9% in OSAS group).

**Interpretation:** Forgoing healthcare contributes to the risk of PAP non-adherence particularly among deprived patients. Measures tailored to tackle forgoing healthcare may improve the overall quality of care in PAP therapies.

**Clinical Trial Registration:** The study protocol was registered in ClinicalTrials.gov, identifier: NCT03591250.

Keywords: CPAP, non-invasive ventilation, PAP therapy, healthcare non take up, adherence-compliance-persistence

1

# INTRODUCTION

Sleep breathing disorders, particularly obstructive sleep apnea syndrome (OSAS), nocturnal alveolar hypoventilation and at worst chronic respiratory failure (CRF) are associated with incapacitating symptoms affecting quality of life, and poor long term outcomes including cardio-vascular events and early mortality (1–3). Since the early 80s', non-invasive positive airway pressure therapies [Continuous Positive Airway Pressure (CPAP) and Non-Invasive Ventilation (NIV)] have been the first-line treatments for OSAS and CRF (4–7).

The effectiveness of positive airway pressure (PAP) therapies is however contingent on treatment adherence, and a significant proportion of non-adherence and high therapy termination rates are observed (8, 9). Despite continuous technological innovations, adherence to PAP therapies has plateaued over the last 20 years (10), suggesting that adherence is dependent on patients' personal characteristics such as their marital or social status (11–13), their perception of treatment efficacy (14), any benefits experienced (15), and their priorities regarding personal lifestyle (16–18).

Poor adherence to PAP therapies might reflect societal vulnerability, deprivation and non-prioritization of personal health. A comprehensive and holistic way of investigating and understanding health-related behaviors is to study reasons individuals forgo healthcare and to estimate the prevalence of this attitude. The concept of forgoing healthcare corresponds to societal, health-system contexts or personal conducts and/or beliefs leading individuals to forgo or postpone self-identified healthcare needs to which they have rights. This concept allows us to understand the relationship that people have with the healthcare system and to apprehend the influence of individual and collective factors on health related behavior. A large part of research on the forgoing healthcare phenomenon has focused on underprivileged populations who forgo healthcare primarily for financial reasons (19, 20).

However, multiple reasons for forgoing healthcare are also reported by individuals without financial constraints. These include lack of time owing to the burden of professional or personal life, lassitude or negligence, and inadequate transport with long distances between their residence and care facilities. In addition, some studies on the concept of forgoing healthcare show that not all people are exposed in the same way to this phenomenon. Depending on their sex, family and/or professional situation, or their level of multidimensional deprivation, the pattern of forgoing healthcare varies (21). Furthermore, qualitative social science studies indicate that individuals can forgo care related to a particular chronic condition but seek treatment for other conditions and vice versa (22). Therefore, assessing influence of socioeconomics factors like deprivation and healthcare non-take up on specific populations like OSAS and CRF patients is an essential step to personalization and optimization of the healthcare delivery. In addition, unlike oral treatments, PAP therapies required for OSA and CRF patients have the advantage of a long-term objective assessment of treatment adherence (thanks to telemonitoring). These respiratory pathologies represent therefore an ideal disease model for designing and testing multifactorial interventions to promote treatment adherence. Moreover, OSA and CRF subgroups have well-known differences in clinical presentations and socioeconomic status that could generate different profiles for health care renunciation.

In this study, we hypothesized that forgoing healthcare may be a significant determinant of PAP-therapies adherence. As, clinical presentation and socioeconomic status is dissimilar between OSAS and CRF populations, we decided to evaluate and compare the prevalence of forgoing healthcare (related or not to their respiratory disease) in two populations, OSAS and CRF patients, both on long-term home PAP treatment. We compared the ways in which individuals forwent healthcare and the reasons.

# MATERIALS AND METHODS

### **Study Design**

The present study was a prospective monocentric cohort study (Department of Pulmonology, Grenoble Alpes University Hospital). Ethical approval was obtained from the French Ethics Committee "Ile de France II" and the study protocol was registered in ClinicalTrials.gov (NCT03591250). The study was conducted between June 2018 and November 2019. Each participant provided written informed consent before inclusion in the study.

### **Study Participants**

During a routine medical follow-up consultation, patients meeting the following inclusion criteria were asked to participate (**Supplementary Figure 1**):

- Age above 18 years
- Affiliated to the French social security system or a beneficiary of this system
- A diagnosis of OSAS or CRF
- Treated with CPAP or NIV for at least 12 months
- Routinely followed by the same homecare provider (AGIR à Dom, Meylan, France)
- Able to fill in the study questionnaires.

### **Study Objectives**

Our primary objective was the impact of forgoing healthcare on adherence to PAP therapy. The secondary objective was a comparison of forgoing healthcare patterns between patients with CRF and patients with OSAS.

### Data Collection and Procedures Assessment of Healthcare Forgone

Participants were asked to fill-in the "healthcare non-take up" questionnaire during their routine medical follow-up

Abbreviations: AHI, Apnea hypopnea index; BMI, Body mass index; CPAP, Continuous Positive Airway Pressure; CRF, Chronic respiratory failure; CSS, Complémentaire Santé Solidaire (state-subsidized complementary (top-up) insurance); EPICES, Evaluation de la précarité et des inégalités de santé dans les Centres d'examens de santé (Assessment of precariousness and health inequalities in health examination centers); ESS, Epworth sleepiness score; IQR, Interquartile range; NIV, Non invasive ventilation; OR, Odds Ratio; OSAS, Obstructive Sleep Apnea Syndrome; PAP, Positive Airway Pressure; SD, Standard Deviation.

consultation in the Department of Pulmonology, Grenoble Alpes University Hospital. This questionnaire was originally developed by Dr. Revil's group at the PACTES laboratory (Grenoble-Alpes University, France); and previously used by us in a study of 164,092 public sector health insurance beneficiaries in France (23). Briefly, the questionnaire is structured into three sections and refers to healthcare forgone in the 12 months preceding the study inclusion consultation (**Supplementary Figure 2**):

- i. <u>Healthcare forgone</u>: After the key question "Have you forgone or put-off healthcare on one or more occasions in the last 12 months (yes/no)," those answering "yes" were asked about the type(s) of healthcare forgone and their reasons, how long they had been forgoing or putting-off healthcare and their perception of their current state of health.
- ii. <u>Healthcare insurance</u>: This section focused on whether participants had complementary, top-up health insurance [through a private company or the state-subsidized "Complémentaire Santé Solidaire" (CSS)]; and if not, the reasons why. They were also asked whether they benefited from 100% cover by the state system due to a long-term chronic condition (e.g., Type I diabetes).

Briefly, France has a two-tier system of health insurance: a compulsory primary health insurance scheme and complementary/top-up health insurance schemes. In the compulsory scheme, contributions are proportional to income and reimbursement of care is a fixed percentage of the total cost of care. The rate of reimbursement is set by the state and depends on the type of care. Complementary schemes are essentially private insurance policies which reimburse almost all the remaining healthcare costs not covered by the compulsory scheme. However, for people on low incomes, a means-tested top-up scheme is provided by the state; this "Complémentaire Santé Solidaire" (CSS) is free of charge. Finally, the compulsory French state scheme covers 100% of health expenses related to 29 severe chronic diseases including diabetes, chronic respiratory failure, cancer, cystic fibrosis etc. The list of eligible conditions is set by the public health code.

iii. Standard of living and deprivation: Socio-professional and familial status were collected. Material and social deprivation were investigated using the 11 item EPICES questionnaire (24, 25). An individual score was calculated for each participant, by adding each question coefficient to the intercept whenever the answer is "yes." According to EPICES a score of  $\geq$  30 indicates deprivation.

### **Clinical Data and Other Socio-Demographics**

Characteristics at inclusion, including age, sex, anthropometrics, main etiologies of respiratory disorders, and hospitalization in the year before inclusion were extracted from the participants' medical records. Data related to NIV or CPAP: date of treatment initiation, PAP adherence in the year following inclusion in the study (objectively measured from the device's built-in time counters and reported every 6 months) and type of mask, were collected from the homecare provider's database.

### Sample Size

Based on the hypothesis of a 25% prevalence of forgoing healthcare in the population (23), and allowing for 10% dropout, the enrollment of 300 participants (150 patients treated with CPAP; 150 treated with NIV) would allow 80% power to detect a difference of  $1.5 \pm 3$  (SD) hours/night in CPAP/NIV adherence between patients who forwent healthcare and those who did not.

### **Statistical Analysis**

Descriptive statistics are presented as medians [IQR] for quantitative variables and frequencies (%) for qualitative variables. Chi square tests and non-parametric Mann-Whitney tests were used to compare qualitative and quantitative variables, respectively, between groups (OSAS vs. CRF).

A simple imputation method was used in cases with little missing data (<2%) (26). Otherwise, multiple imputation with fully conditional specification was performed (27).

### Primary Outcome Analysis

Average PAP therapy use was defined as the mean of the measures collected from the devices in the year following inclusion. Normality of mean PAP use was assessed both graphically and using the Shapiro-Wilk test, and was not accepted. Thus, data were dichotomized using a threshold of 4 h/night and the adherence to PAP therapy was defined as: adherent for an average of  $\geq$ 4 h/night, and otherwise non-adherent.

To identify whether forgoing healthcare impacted adherence to PAP therapies, univariable logistic modeling was performed. Covariates were chosen *a priori* based on factors that might impact PAP-therapy adherence, and included sex (28), age, BMI, family and socio-professional status (11, 29) complementary healthcare insurance, reimbursement rates, healthcare forgone, degree of deprivation (EPICES score) (30), PAP-therapy duration (years), number of hospitalizations, and etiology. Variables with a p < 0.25 were then introduced into a multivariable model. Given that we were not looking for a predictive model (therefore no evaluation based on performance) but an explanatory model, and in order to take into account the potential confounding factors, a stepwise descending selection was used for the final model selection.

Given the collinearity between forgoing healthcare and deprivation, a four-modality categorical variable was used in the model: (1) healthcare forgone and no deprivation, (2) no healthcare forgone and deprivation, (3) healthcare forgone and deprivation, (4) no deprivation and no healthcare forgone.

### Secondary Outcome Analysis

A comparison of the pattern of forgoing healthcare between patients with OSAS and those with CRF was conducted using a Chi-square test for qualitative variables and a non-parametric Wilcoxon test for the quantitative variables.

## RESULTS

The study flow chart is shown in **Supplementary Figure 3**. Of 298 patients included in the study and who responded to the healthcare non-take-up questionnaire, four patients had no

objective measure of their PAP adherence and were excluded from the analysis. None of the patients refused to fill-in the questionnaire.

**Table 1** shows the main characteristics of the study population. Participants were predominantly male (64.3%) and obese (30.8 [25.4; 35.4] kg/m<sup>2</sup>). Large proportions of the study cohort were living as a couple (67.1%) and/or retired (61.8%). All participants with CRF were prescribed treatment with NIV and 93.7% of OSAS patients were prescribed CPAP at night. Median adherence to PAP therapy was high (7.3 h [5.4; 8.8]) with only 12.8% non-adherent patients, i.e., under the 4 h/night threshold. There was no difference in treatment adherence between CRF and OSAS patients.

Over a third of the population (33.7%) declared forgoing at least one item of healthcare in the 12 months preceding inclusion and 53.4 % were considered to be deprived (EPICES score > 30) (**Table 2**). Patients with CRF were more often covered by health insurance at a rate of 100% than patients with sleep apnea (88.8% vs. 39.7%, respectively, p < 0.01) and were thus more often exempted from expenses related to their chronic illness (88.8 vs. 39.1, respectively; p < 0.01).

Concerning the Impact of forgoing healthcare on adherence to PAP therapies: univariable analysis between adherence to PAP therapies and the different variables of interest are presented in **Supplementary Table 1**. In multivariable analysis, deprivation (EPICES score > 30) was independently associated with the risk of being non-adherent (OR = 3.57, 95%CI [1.12; 11.37], p = 0.031). We were not able to demonstrate an independent association between healthcare non take up and PAP therapy adherence, however forgoing healthcare had an additional effect on the risk of non-adherence among patients experiencing deprivation (OR = 7.74, 95%CI: [2.59; 23.12], p < 0.001) (**Table 3**).

Longer time since PAP-therapy initiation was significantly associated with a lower probability of being non-adherent (OR = 0.88, 95%CI: [0.81; 0.96], *p*-value: 0.002). Patients who had one or more hospitalization in the year preceding inclusion were less likely to be non-adherent compared to those with no hospitalization at all (OR = 0.40, 95%CI: [0.17; 0.96], *p*-value: 0.04) (**Table 3**).

Concerning the patterns of forgoing healthcare between OSAS and CRF, the four most frequent types of healthcare foregone

Variable	Items	Whole population (N = 294)	OSAS (N = 158, 53.74%)	CRF (N = 136, 46.26 %)	p-value*	Missing
BMI (Kg/m²)		30.8 [25.4; 35.4]	31.1 [26.6; 34.8]	29.4 [23.3; 37.3]	0.40	7
Sex	Μ	189 (64.3)	126 (79.7)	63 (46.3)	< 0.01	0
Age	$\leq$ 60 years	83 (28.2)	43 (27.2)	40 (29.4)	0.41	0
	]60;70]	109 (37.1)	64 (40.5)	45 (33.1)		
	>70 years	102 (34.7)	51 (32.3)	51 (37.5)		
Family situation	Couples	160 (55.4)	104 (67.1)	56 (41.8)	< 0.01	5
	Alone	129 (44.6)	51 (32.9)	78 (58.2)		
Socio-professional status	Working	66 (22.8)	48 (30.8)	18 (13.4)	< 0.01	4
	Retired or Unemployed	224 (77.2)	108 (69.2)	116 (86.6)		
PAP Therapy	CPAP	148 (50.3)	148 (93.7)	O (O)	< 0.01	0
	NIV	146 (49.7)	10 (6.3)	136 (100)		
Indication for PAP therapy	OSAS	157 (53.4)	157 (99.4)	O (O)	< 0.01	0
	COPD	26 (8.8)	0 (0)	26 (19.1)		
	Neuromuscular pathology	23 (7.8)	O (O)	23 (16.9)		
	OHS	32 (10.9)	1 (0.6)	31 (22.8)		
	Chest well disorder and others	56 (19)	0 (0)	56 (41.2)		
Delay since PAP therapy initiation (years)		7.2 [2.2; 12.2]	7.9 [2.9; 13]	5.2 [1.7; 10.9]	0.02	0
Delay since primary diagnosis (years)		8.5 [4.6; 12.9]	8.4 [3.4; 12.5]	10.8 [6.4; 14.7]	0.08	137
Number of hospitalizations in the year preceding inclusion		0 [0; 2]	0 [0; 0]	2 [0; 4]	< 0.01	0
% of patients with PAP adherence >4 h/night	Yes	232 (87.2)	134 (87.6)	98 (86.7)	0.84	28
Average PAP-therapy adherence (h/night)		7.3 [5.4; 8.8]	6.8 [5.3; 8]	8.2 [5.8; 10.4]	< 0.01	28

Values in Numbers (%) or median [IQR].

\*Comparaison of CRF and OSAS groups (p-value were calculated using Chi square tests and non-parametric Mann-Whitney tests).

BMI, Body mass index; COPD, Chronic obstructive pulmonary disease; CPAP, Continuous positive airway pressure; CRF, Chronic respiratory failure; NIV, Non-invasive ventilation; OHS, Obesity hypoventilation syndrome; OSAS, Sleep apnea syndrome; PAP, Positive airway pressure.

### **TABLE 2** | Access to care, healthcare coverage, and deprivation (N = 294).

Variable	Items	All population (N = 294)	OSAS (N = 158, 53.74%)	CRF (N = 136, 46.26 %)	p-value	Miss
Access to care						
Health care forgo	Yes	99 (33.7)	51 (32.3)	48 (35.3)	0.59	0
Healthcare coverage						
Coverage ratio	Partial (60%)	109 (37.6)	94 (60.3)	15 (11.2)	< 0.01	4
	100%	181 (62.4)	62 (39.7)	119 (88.8)		
100% coverage due to total disability or long-term illness	Yes	180 (62.1)	61 (39.1)	119 (88.8)	< 0.01	4
Complementary (top-up) health insurance	None or state-subsidized "Complémentaire Santé Solidaire (CSC)"	46 (15.8)	18 (11.4)	28 (20.9)	0.03	2
	Private	246 (84.2)	140 (88.6)	106 (79.1)		
Reasons for not having top-up insurance						
- "I don't see the point"	Yes	2 (14.3)	2 (50)	0(0)	0.02	0
<ul> <li>"I am 100% covered by Health Insurance and I don't think I need any additional"</li> </ul>	Yes	7 (50)	1 (25)	6 (60)	0.24	0
Deprivation						
EPICES score		31.4 [15.4; 47.3]	23.1 [10.1; 42.6]	37.3 [26.6; 50]	< 0.01	0
Patients with EPICES score >30	N (%)	157 (53.4)	69 (43.7)	88 (64.7)	< 0.01	0
Deprivation and healthcare non-take up						
No deprivation and no healthcare non-take up		111 (37.8)	40 (29.41)	71 (44.94)	< 0.01	0
Deprivation and no healthcare non-take up		84 (28.6)	48 (35.29)	36 (22.78)		
No deprivation and healthcare non-take up		26 (8.8)	8 (5.88)	18 (11.39)		
Deprivation and healthcare non-take up		73 (24.8)	40 (29.41)	33 (20.89)		

Values in Numbers (%) or median [IQR].

CRF, Chronic respiratory failure; OSAS, Sleep apnea syndrome.

TABLE 3   Multivariable association between predictors and the probability	y of
being non-compliant ( $N = 266$ ).	

Variable	Items	OR	95% CI	p-value
Deprivation and healthcare non-take up	Deprivation and no healthcare non-take up	3.57	[1.12; 11.37]	0.0311
	Deprivation and healthcare non-take up	2.01	[0.35; 11.68]	0.4332
	Deprivation and healthcare non-take up	7.74	[2.59; 23.12]	0.0002
	Deprivation and no healthcare non-take up (reference)			
Time since initiation of PAP-Therapy		0.88	[0.81; 0.95]	0.0021
Hospitalizations in the previous year	≥1 hospitalization No hospitalization	0.40	[0.17; 0.96]	0.0395

An univariable analysis was conducted to select the variablesto be included in the multivariable analysis, which were: The reimbursement rate, PAP-therapy duration (years), number of hospitalizations in the previous year and the interaction between healthcare non-take up and deprivation.

were consultations with specialists (51.5%), purchase of medical equipment (35.4%), consultations with primary care physicians (30.3%) and dental care (28.3%) (**Supplementary Table 2**).

Although the rate of forgoing healthcare was not different between OSAS and CRF (respectively 32.3% vs. 35.3%, p = 0.59; **Table 3**), the reasons for forgoing care were significantly different. For patients with OSAS it was mainly for financial reasons (49% vs. 12.5% in CRF group, p < 0.01), whereas patients with CRF forwent healthcare due to lack of mobility (25% vs. 5.9% in sleep apnea group, p = 0 < 0.01). **Figure 1** shows the types of healthcare forgone and reasons. **Figure 2** links types and reasons. In patients with CRF (2b), the lack of mobility was strongly linked to forgoing specialist consultations. In contrast, mainly financial reasons were given by the OSAS group (2a) (**Figures 1**, **2**, and **Supplementary Table 2**).

### DISCUSSION

This study investigated the relationship between patterns of non-uptake of healthcare and PAP therapy adherence in two distinctive populations, OSAS and CRF. The rate of forgoing healthcare was higher (33.7%) than that reported in the general



French population (25.4%) (23). Deprivation and foregoing healthcare exert a synergistic effect, increasing the risk of being non-adherent to PAP therapies. The picture was different in OSAS and CRF patients reflecting the functioning of the French healthcare system.

As identified in previous studies (13, 31), our results show a significant association between the level of multidimensional deprivation and PAP adherence. The novelty of our findings is to demonstrate that the combination of deprivation and forgoing healthcare is associated with a nearly 8-fold higher risk of being non-adherent. This reflects the complexity and multi-dimensionality of PAP adherence issues and the need for more transdisciplinary approaches to understand how these several social factors interact (32). The known determinants of low adherence are poorly informative explaining the 4 to 25% of variance in PAP adherence (33). There is a need to include a systematic assessment of deprivation and healthcare non-take up using appropriate questionnaires at the time of PAP therapy initiation. The consideration of societal topics should be better addressed in the education of sleep and respiratory physicians. Additionally, studies are needed to investigate the impact of health policy interventions on PAP adherence, as has been done for medications in vulnerable populations (34).

The inclusion of patients with a variety of respiratory diseases requiring PAP therapies is another originality of our study. The subsets of patients with OSAS and CFR had different patterns of types and reasons for forgoing healthcare. This reflects both different socio-economic circumstances and different health insurance coverage for the respective underlying disease. OSAS patients, with public system coverage limited to 60%, declared forgoing healthcare mainly for financial reasons whereas CRF patients (100% public coverage) explained forgoing healthcare mainly due to their lack of mobility.

For individuals with CRF the total reimbursement of healthcare costs by the French state, potentially makes it possible to totally eliminate financial barriers to healthcare access. However, the physical and psychological disabilities of CRF have repercussions leading to a deterioration in quality of life and loss of autonomy (difficulty to move about and/or the need of assistance) (35). This underlines the need for tailored solutions with an extension of public coverage to a subset of OSAS cases and greater use of telemedicine to preserve the continuity of care for CRF patients (36, 37).

In OSAS patients having only partial (60%) cover, a clear renunciation of dental and ophthalmic care was found. This has recently been addressed in France by the implementation of universal full reimbursement ("Rest à charge 0" [Zero cost to patient]) of basic dental care and glasses.

While our study is unique, it also has limitations. The main one being that we included patients treated with PAP therapies for at least 1 year whereas the mean duration of PAP treatment exceeds 8 years. This restricted the subgroup of non-adherent patients and potentially the power of the study to demonstrate an even greater effect of health care non-take-up on adherence. Further studies are needed to investigate the impact of health care non-take-up on initial PAP refusal and early PAP termination. Secondly, the present results did not consider comorbidities and polypharmacy that may be associated with healthcare non-take-up and PAP adherence (38).



Finally, our study allowed to compare the healthcare nontake-up profile between the CFR and OSAS population using an explanatory exploratory approach. The aim was to obtain assumption for further research and not to provide conclusions based on a study which was not designed for this purpose. In conclusion, our study provides unique data indicating how the quality of care in PAP therapies could be improved and the design of interventional studies tailored to types and reasons for forgoing healthcare.

# DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

# ETHICS STATEMENT

The studies involving human participants were reviewed and approved by French Ethics Committee Ile de France II. The patients/participants provided their written informed consent to participate in this study.

# **AUTHOR CONTRIBUTIONS**

J-LP, MJ-J, SB and J-CB designed the study. ND collected the data. ND and SB carried out the statistical analyses and produced the figures. ND, J-CB, SB, AF, RT, and HR interpreted the data. ND, J-CB, SB and AF wrote the manuscript. RT, HR, MJ-J and J-LP revised the manuscript. All authors approved the version to be submitted for publication and took responsibility for the integrity of the work as a whole.

### FUNDING

J-LP, SB, ND, HR, MJ-J, and RT were supported by the French National Research Agency in the framework of the Investissements d'avenir program (ANR-15-IDEX-02) and the e-health and integrated care and trajectories medicine and MIAI artificial intelligence Chairs of excellence from the

### REFERENCES

- Lévy P, Kohler M, McNicholas WT, Barbé F, McEvoy RD, Somers VK, et al. Obstructive sleep apnoea syndrome. *Nat Rev Dis Primers*. (2015) 1:15015. doi: 10.1038/nrdp.2015.24
- Borel J-C, Burel B, Tamisier R, Dias-Domingos S, Baguet J-P, Levy P, et al. Comorbidities and mortality in hypercapnic obese under domiciliary noninvasive ventilation. *PLoS ONE.* (2013) 8:e52006. doi: 10.1371/journal.pone.0052006
- Adler D, Bailly S, Benmerad M. Clinical presentation and comorbidities of obstructive sleep apnea-COPD overlap syndrome. *PLoS ONE.* (2020) 15:e0235331. doi: 10.1371/journal.pone.0235331
- Sullivan ColinE, Berthon-Jones M, Issa FaiqG, Eves L. Reversal of obstructive sleep apnoea by continuous positive airway pressure applied through the nares. *Lancet.* (1981) 317:862–5. doi: 10.1016/S0140-6736(81)9 2140-1
- Wimms AJ, Kelly JL, Turnbull CD. Continuous positive airway pressure versus standard care for the treatment of people with mild obstructive sleep apnoea (MERGE): a multicentre, randomised controlled trial. *Lancet Respir Med.* (2020) 8:349–58. doi: 10.1016/S2213-2600(19)30402-3
- McMillan A, Bratton DJ, Faria R. Continuous positive airway pressure in older people with obstructive sleep apnoea syndrome (PREDICT): a 12month, multicentre, randomised trial. *Lancet Respir Med.* (2014) 2:804– 12. doi: 10.1016/S2213-2600(14)70172-9
- Masa JF, Mokhlesi B, Benítez I. Long-term clinical effectiveness of continuous positive airway pressure therapy versus non-invasive ventilation therapy in patients with obesity hypoventilation syndrome: a multicentre, open-label, randomised controlled trial. *Lancet.* (2019) 393:1721–32. doi: 10.1016/S0140-6736(18)32978-7
- McEvoy RD, Antic NA, Heeley E, Luo Y, Ou Q, Zhang X, et al. CPAP for prevention of cardiovascular events in obstructive sleep apnea. *N Engl J Med.* (2016) 375:919–31. doi: 10.1056/NEJMoa1606599
- Weaver TE, Grunstein RR. Adherence to continuous positive airway pressure therapy: the challenge to effective treatment. *Proc Am Thorac Soc.* (2008) 5:173–8. doi: 10.1513/pats.200708-119MG
- Rotenberg BW, Murariu D, Pang KP. Trends in CPAP adherence over twenty years of data collection: a flattened curve. *J Otolaryngol Head Neck Surg.* (2016) 45:1–9. doi: 10.1186/s40463-016-0156-0
- Gentina T, Bailly S, Jounieaux F, Verkindre C, Broussier P-M, Guffroy D, et al. Marital quality, partner's engagement and continuous positive airway pressure adherence in obstructive sleep apnea. *Sleep Med.* (2019) 55:56– 61. doi: 10.1016/j.sleep.2018.12.009
- Mendelson M, Gentina T, Gentina E, Tamisier R, Pépin J-L, Bailly S. Multidimensional evaluation of Continuous Positive Airway Pressure (CPAP) treatment for sleep apnea in different clusters of couples. *JCM*. (2020) 9:1658. doi: 10.3390/jcm9061658
- Billings ME, Auckley D, Benca R, Foldvary-Schaefer N, Iber C, Redline S, et al. Race and residential socioeconomics as predictors of CPAP adherence. *Sleep.* (2011) 34:1653–8. doi: 10.5665/sleep.1428
- Ando H, Williams C, Angus RM, Thornton EW, Chakrabarti B, Cousins R, et al. Why don't they accept non-invasive ventilation? Insight into the interpersonal perspectives of patients with motor neurone disease. *Br J Health Psychol.* (2015) 20:341–59. doi: 10.1111/bjhp.12104

Grenoble Alpes University Foundation (ANR-19-P3IA-0003). This work has been partially supported by the Mutualia private insurance company. AF was employed by Grenoble Alpes University hospital.

### SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fpubh. 2021.713313/full#supplementary-material

- Baron KG, Berg CA, Czajkowski LA, Smith TW, Gunn HE, Jones CR. Selfefficacy contributes to individual differences in subjective improvements using CPAP. Sleep Breath. (2011) 15:599–606. doi: 10.1007/s11325-010-0409-5
- Villar I, Izuel M, Carrizo S, Vicente E, Marin JM. Medication adherence and persistence in severe obstructive sleep apnea. *Sleep.* (2009) 32:623– 8. doi: 10.1093/sleep/32.5.623
- 17. Thornton CS, Tsai WH, Santana MJ, Penz ED, Flemons WW, Fraser KL, et al. Effects of wait times on treatment adherence and clinical outcomes in patients with severe sleep-disordered breathing: a secondary analysis of a noninferiority randomized clinical trial. *JAMA Netw Open.* (2020) 3:e203088. doi: 10.1001/jamanetworkopen.2020.3088
- Platt AB, Kuna ST, Field SH, Chen Z, Gupta R, Roche DF, et al. Adherence to sleep apnea therapy and use of lipid-lowering drugs. *Chest.* (2010) 137:102– 8. doi: 10.1378/chest.09-0842
- Shi L, Stevens GD. Vulnerability and unmet health care needs: the influence of multiple risk factors. J Gen Intern Med. (2005) 20:148– 54. doi: 10.1111/j.1525-1497.2005.40136.x
- Lucevic A, Péntek M, Kringos D, Klazinga N, Gulácsi L, Brito Fernandes Ó, et al. Unmet medical needs in ambulatory care in Hungary: forgone visits and medications from a representative population survey. *Eur J Health Econ.* (2019) 20:71–8. doi: 10.1007/s10198-019-01063-0
- Bazin F, Parizot I, Chauvin P. Déterminants psychosociaux du renoncement aux soins pour raisons financières dans cinq zones urbaines sensibles de la Région parisienne en 2001 [Psychosocial determinants of cessation of care for financial reasons in five sensitive urban areas of the Paris region in 2001. Sci Soc Santé. (2006) 24:11–32. doi: 10.3917/sss.243.0011
- 22. Revil H. Identifier les facteurs explicatifs du renoncement aux soins pour appréhender les différentes dimensions de l'accessibilité sanitaire [Identification of the factors explaining the renunciation of care to understand the different dimensions of health accessibility. *Regards.* (2018) 53:29–41. doi: 10.3917/regar.053.0029
- Revil H, Daabek N, Bailly S. Synthèse descriptive des données du baromètre du renoncement aux soins (brs) [descriptive analysis of the healthcare non take-up barometer (brs).]. *Métropôle Odenore*. (2019) 41. Available online at: https://collectifhandicap54.files.wordpress.com/2019/06/synthese\_ analyses\_descriptives\_brs\_-\_v2\_-\_odenore\_-\_hp2.pdf
- Bihan H, Laurent S, Sass C. Association among individual deprivation, glycemic control, and diabetes complications: the EPICES score. *Diabetes Care*. (2005) 28:2680–5. doi: 10.2337/diacare.28.11.2680
- Labbe E, Blanquet M, Gerbaud L. A new reliable index to measure individual deprivation: the EPICES score. *Eur J Public Health*. (2015) 25:604– 9. doi: 10.1093/eurpub/cku231
- Grzymala-Busse JW, Hu M. A comparison of several approaches to missing attribute values in data mining. In: Ziarko W, Yao Y, éditors. *Rough Sets and Current Trends in Computing*. Berlin; Heidelberg: Springer Berlin Heidelberg (2001) p. 378–85.
- Zhao Y. Statistical inference for missing data mechanisms. *Stat Med.* (2020) 39:4325–33. doi: 10.1002/sim.8727
- Nadal N, Batlle J, Barbé F. Predictors of CPAP compliance in different clinical settings: primary care versus sleep unit. Sleep Breath. (2018) 22:157– 63. doi: 10.1007/s11325-017-1549-7
- 29. Gagnadoux F, Le Vaillant M, Goupil F. Influence of marital status and employment status on long-term adherence with continuous

positive airway pressure in sleep apnea patients. *PLoS ONE.* (2011) 6:e22503. doi: 10.1371/journal.pone.0022503

- Mehrtash M, Bakker JP, Ayas N. Predictors of continuous positive airway pressure adherence in patients with obstructive sleep apnea. *Lung.* (2019) 197:115–21. doi: 10.1007/s00408-018-00193-1
- Bakker JP, O'Keeffe KM, Neill AM, Campbell AJ. Ethnic disparities in CPAP adherence in New Zealand: effects of socioeconomic status, health literacy and self-efficacy. *Sleep.* (2011) 34:1595–603. doi: 10.5665/sleep.1404
- Bakker JP, Weaver TE, Parthasarathy S, Aloia MS. Adherence to CPAP: what should we be aiming for, and how can we get there? *Chest.* (2019) 155:1272–87. doi: 10.1016/j.chest.2019.01.012
- Engleman HM, Wild MR. Improving CPAP use by patients with the sleep apnoea/hypopnoea syndrome (SAHS). *Sleep Med Rev.* (2003) 7:81– 99. doi: 10.1053/smrv.2001.0197
- Klein K, Bernachea MP, Irribarren S, Gibbons L, Chirico C, Rubinstein F. Evaluation of a social protection policy on tuberculosis treatment outcomes: a prospective cohort study. *PLOS Med.* (2019) 16:e1002788. doi: 10.1371/journal.pmed.1002788
- 35. Borel J-C, Borel A-L, Monneret D, Tamisier R, Levy P, Pepin J-L. Obesity hypoventilation syndrome: from sleep-disordered breathing to systemic comorbidities and the need to offer combined treatment strategies: obesity hypoventilation syndrome. *Respirology.* (2012) 17:601–10. doi: 10.1111/j.1440-1843.2011.02106.x
- Duiverman ML, Vonk JM, Bladder G, van Melle JP, Nieuwenhuis J, Hazenberg A, et al. Home initiation of chronic non-invasive ventilation in COPD patients with chronic hypercapnic respiratory failure: a randomised controlled trial. *Thorax.* (2020) 75:244–52. doi: 10.1136/thoraxjnl-2019-213303
- Barbosa MT, Sousa CS, Morais-Almeida M, Simões MJ, Mendes P. Telemedicine in COPD: an overview by topics. COPD J Chron

Obstruct Pulm Dis. (2020) 17:601-17. doi: 10.1080/15412555.2020.18 15182

 Catho H, Guigard S, Toffart A-C, Frey G, Chollier T, Brichon P-Y, et al. What are the barriers to the completion of a home-based rehabilitation programme for patients awaiting surgery for lung cancer: a prospective observational study. *BMJ Open.* (2021) 11:e041907. doi: 10.1136/bmjopen-2020-041907

**Conflict of Interest:** J-CB and ND are employees of AGIR a dom. Homecare charity.

The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

**Publisher's Note:** All claims expressed in this article are solely those of the authors and do not necessarily represent those of their affiliated organizations, or those of the publisher, the editors and the reviewers. Any product that may be evaluated in this article, or claim that may be made by its manufacturer, is not guaranteed or endorsed by the publisher.

Copyright © 2021 Daabek, Tamisier, Foote, Revil, Joyeux-Jaure, Pépin, Bailly and Borel. This is an open-access article distributed under the terms of the Creative Commons Attribution License (CC BY). The use, distribution or reproduction in other forums is permitted, provided the original author(s) and the copyright owner(s) are credited and that the original publication in this journal is cited, in accordance with accepted academic practice. No use, distribution or reproduction is permitted which does not comply with these terms.