A structural basis for selection and crossspecies reactivity of the semi-invariant NKT cell receptor in CD1d/glycolipid recognition

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Little is known regarding the basis for selection of the semi-invariant $\alpha\beta$ T cell receptor (TCR) expressed by natural killer T (NKT) cells or how this mediates recognition of CD1d–glycolipid complexes. We have determined the structures of two human NKT TCRs that differ in their CDR3 β composition and length. Both TCRs contain a conserved, positively charged pocket at the ligand interface that is lined by residues from the invariant TCR α - and semi-invariant β -chains. The cavity is centrally located and ideally suited to interact with the exposed glycosyl head group of glycolipid antigens. Sequences common to mouse and human invariant NKT TCRs reveal a contiguous conserved "hot spot" that provides a basis for the reactivity of NKT cells across species. Structural and functional data suggest that the CDR3 β loop provides a plasticity mechanism that accommodates recognition of a variety of glycolipid antigens presented by CD1d. We propose a model of NKT TCR-CD1d-glycolipid interaction in which the invariant CDR3 α loop is predicted to play a major role in determining the inherent bias toward CD1d. The findings define a structural basis for the selection of the semi-invariant $\alpha\beta$ TCR and the unique antigen specificity of NKT cells.

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Abbreviations used: α -GalCer, α -galactosylceramide; Ag, antigen; BSA, buried surface area; C, constant; CDR, complementaritydetermining region; hCD1d, human CD1d; mCD1d, mouse CD1d; RMS, root mean square; SPR, surface plasmon resonance; V, variable. The CD1 molecules are a cluster of nonpolymorphic, MHC class I-like glycoproteins that present lipid-based antigens to $\alpha\beta$ T cells (1, 2). They comprise group I, CD1a, CD1b, CD1c, and CD1e molecules found in humans and the group II CD1d molecule that is expressed in humans, mice, and rats (1, 2). CD1d binds both self and foreign glycolipids (3-11), including the glycosphingolipid α -galactosylceramide $(\alpha$ -GalCer) (3), an archetypal CD1d ligand that binds well to both human (hCD1d) and mouse CD1d (mCD1d) molecules and is a potent agonist for NKT cells (6, 12, 13). Recently, the structure of α-GalCer complexed with hCD1d and mCD1d was determined (14, 15), revealing that the acyl and sphingosine lipid chains of α -GalCer are buried in the antigen (Ag)-

binding cavity, whereas the polar glycosyl head group protrudes from the CD1d cleft, where it is available for TCR interaction.

In contrast with polyclonal T cell recognition of the group I CD1 molecules (16), T cell recognition of CD1d-glycolipid complexes preferentially selects a semi-invariant TCR with a fixed α -chain and restricted β -chain, exclusively expressed by a subset of T cells known as NKT cells (17-19). The human invariant NKT cell TCR α -chain uses a V α 24-J α 18 (TRAV10-TRAJ18) (20) rearrangement that encodes a germline-encoded junctional sequence with a single codon deletion at the V α -J α junction, preserving amino acid sequence identity among human NKT TCR α-chains (12, 17–19). NKT cells are also present in other mammalian species, including mice that express a homologous invariant Va14-Ja18 (TRAV11-J15) TCR α -chain rearrangement (20). Most human NKT cells express Vβ11 (TRBV25-1) (20)

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Figure 1. Structural and functional integrity of recombinant soluble NKT TCRs. (A) Purified bacterial NKT15 TCR was analyzed by SDS-PAGE under reducing (+DTT, dithiothreitol) and nonreducing (-DTT) conditions demonstrating $\alpha\beta$ heterodimers. (B) Native gel electrophoresis of folded $\alpha\beta$ heterodimeric NKT15 and control LC13 TCRs. (C) Recombinant soluble NKT12, NKT15, NKT18, and control LC13 TCRs were tested for their ability to block binding of mouse CD1d/ α -GalCer tetramers to murine thymocytes. Phycoerythrin-conjugated mCD1d/ α -GalCer tetramers were preincubated with the indicated soluble TCRs over a range of TCR concentrations before staining mouse thymocytes. Cells were analyzed by

two-color flow cytometry showing mCD1d/ α -GalCer tetramer staining on the vertical axis and FITC-CD3 (mAb 145-2C11) staining on the horizontal axis. Cells staining positively with mCD1d/ α -GalCer tetramer and FITC-CD3 are indicated with a circle.

rearranged to form variable $D\beta$ –J β combinations (17–19), whereas mouse NKT cells typically use either V β 8.2, V β 2, or V β 7 (TRBV13-2, BV1, or BV29, respectively) (13, 20–22). The crucial role played by the NKT TCR α -chain is highlighted by the lack of NKT cells in TCR J α 18 gene-targeted mice (23). Alternatively, the role of V β in NKT cell selection and Ag recognition appears to be more subtle. For example, mouse NKT cells expressing V β 8.2 display higher affinity binding toward IgG1-CD1d/aGalCer dimers than NKT cells expressing V β 7 (24). These data have been interpreted to mean that complementarity-determining region (CDR)1B and CDR2 β may be more important than CDR3 β in NKT specificity (24), perhaps by mediating contacts with CD1d. This view is consistent with the high degree of natural variability in CDR3 β sequences in NKT cells (25–27) and the comparable affinity of hybrid NKT TCRs substituted with noncognate mouse V β 8.2 chains derived from conventional $\alpha\beta$ T cells (28). However, the role of CDR3 β in NKT cell specificity is controversial (25) in that some studies of mouse NKT hybridomas and CD1d mutants implicate CDR3ß in the fine specificity of NKT Ag recognition (24, 29-31), including the suggestion of a novel CDR3 β motif in human NKT cells expanded with α -GalCer (12). Remarkably, human NKT cells can also recognize mouse CD1d-\alpha-GalCer complexes (32) and vice versa, indicating the evolutionary importance of NKT cells in immunity (33).

The structural explanation for selection of the semiinvariant NKT TCR, the role of the CDR3 β loops in NKT specificity, and the basis for the reciprocal cross-species reactivity in Ag recognition by human and mouse NKT cells remain unresolved. Here, we describe the structure of two different semi-invariant TCRs, NKT12 and NKT15, from human NKT cells. The findings define a structural basis for the extreme $\alpha\beta$ selection of NKT cell TCRs and indicate a mechanism for how the conformation and plasticity of the CDR3 β loops could modulate binding of different CD1dglycolipid antigens. A proposed model of the NKT TCR- α -GalCer complex provides new insights into Ag recognition and cross-species reactivity by NKT TCRs.

RESULTS

The semi-invariant T cell receptors

NKT cells were expanded from PBMCs by stimulation with α -GalCer, and cDNA corresponding to the TCR α and β gene transcripts was isolated. Three NKT receptors (NKT12, NKT15, and NKT18) were characterized as containing the invariant V α 24-J α 18 (TRAV10-TRAJ18) (20). NKT12 and NKT15 had V β 11 (TRBV25-1) (20) rearranged to D β segment TRBD1 and J β segment TRBJ2-7 (20), whereas NKT18 V β 11 was rearranged to D β TRBD1 and J β TRBJ2-1 (20). All three receptors differed in the

Table	I. /	Amino	acid	sequences	of CDR	3B	residues	in I	NKT	TCRs

TCR		Gene segment	
	νβ	$D\beta + N$	βL
NKT12	⁹² CAS ⁹⁴	⁹⁵ TSRRG ⁹⁹	100SY105EQYFGPGTRLTVT117
NKT15	⁹² CASS ⁹⁵	⁹⁶ GLRDRGL ¹⁰²	103Y105EQYFGPGTRLTVT117
NKT18	⁹² CASS ⁹⁵	⁹⁶ APGTGD ¹⁰¹	102N105EQFFGPGTRLTVL117
LC13	⁹² CASS ⁹⁵	⁹⁶ LGQA ⁹⁹	100Y105EQYFGPGTRLTVT117

length and composition of their CDR3 β loops (Table I). Thus, the CDR3 β of NKT15 is two residues longer than NKT12 and one residue longer than NKT18. The extracellular domains of the NKT12, NKT15, and NKT18 TCRs were expressed in *Escherichia coli*, then folded into a native conformation and purified by multiple rounds of chromatography as described in Materials and methods. The quality and function of the recovered protein was assessed by gel filtration size exclusion chromatography, ELISA reactivity with the conformation-dependent mAb 12H8 (anti-C α /C β) (34) (not depicted), SDS-PAGE (Fig. 1 A), and native gel electrophoresis (Fig. 1 B). To further confirm that the complexes were in a natural conformation, they

were tested for their ability to block binding of mouse CD1d/ α -GalCer tetramers to mouse NKT cells. The assay confirmed that the soluble receptors retained their original antigen reactivity over a comparable dose range (Fig. 1 C). The NKT18 TCR was used for binding studies only, whereas the structures of the NKT12 and NKT15 TCRs were determined. The NKT12 and NKT15 TCRs were crystallized in an orthorhombic space group and their structures were determined to 2.4Å and 2.2Å resolution, respectively. In addition, the NKT15 TCR also crystallized in a trigonal space group, and this crystal form was determined to 2.6 Å resolution. The collection statistics and refinement data are shown in Table II.

	NKT12	NKT15	NKT15
	Orthorhombic	Orthorhombic	Trigonal
Data collection			
Temperature	100 K	100 K	100 K
Space group	C222 ₁	C222 ₁	P3 ₂
Cell dimensions (Å) (a,b,c)	58.92, 131.39, 117.80	59.84, 131.03, 116.85	66.67, 66.67, 182.41
Resolution (Å)	2.4	2.2	2.6
Total no. observations	65,216	79,368	77,333
No. unique observations	18,076	22,974	27619
Multiplicity	3.60	3.45	2.80
Data completeness (%)	98.7 (96.8)	97.5 (98.4)	97.5 (98.4)
No. data $> 2\sigma_1$ (%)	83.2 (58.5)	82.5 (60.8)	76.6 (42.2)
$ /\sigma $	19.3 (3.8)	15.8 (3.9)	10.7 (2.3)
R _{merge/sym} a (%)	7.3 (41.2)	8.9 (42.0)	9.8 (54.0)
Refinement statistics			
Nonhydrogen atoms			
Protein	3,528	3,542	7,084
Water	55	123	32
Resolution (Å)	65.65-2.4	65.51-2.2	60.86-2.6
R _{cryst} ^b (%)	23.0	21.0	20.7
R _{free} ^c (%)	27.1	27.8	25.6
RMS deviations from ideality			
Bond lengths (Å)	0.007	0.011	0.009
Bond angles (°)	1.05	1.28	1.20
Dihedrals (°)	28.57	28.82	28.69
Impropers (°)	1.25	1.33	1.27
Ramachandran plot			
Most favored	90.7	89.9	87.9
And allowed region (%)	9.1	9.3	10.8
And disallowed region (%)	0.2	0.8	1.3
3-factors (Ų)			
Average main chain	33.8	28.4	41.3
Average side chain	34.3	30.5	42.8
Average water molecule	28.2	30.8	43.5
RMS deviation bonded Bs	1.6	2.5	2.3

Values in parentheses are for the highest resolution shell.

 ${}^{a}R_{merge/sym} = \Sigma |I_{hkl} - \Sigma \langle I_{hkl} \rangle / \Sigma I_{hkl}.$

 ${}^{b}R_{cryst} = \Sigma_{hkl} ||F_o| - |F_c|| / \Sigma_{hkl} |F_o|$ for all data except as indicated in footnote "c."

c7.3, 5.2, and 5% were used for the R_{free} calculation for NKT12 (orthorhombic), NKT15 (orthorhombic), and NKT15 (trigonal), respectively. RMS, root mean square.



Figure 2. Overview of NKT cell TCR structure showing the conformation of the CDR loops at the Ag-binding interface. (A) Overview of the structure of NKT12 with the α -chain and β -chain shown in gray and pink, respectively. (B) The CDR3 loops of NKT12 are color coded according to their genetic origin. (C) Superimposition of the CDR loops of the NKT12 and NKT15 TCRs depicting the difference in the conformation of CDR3 β (NKT12, CDR loops colors are indicated; NKT15, orange).

The overall structure of the NKT12 TCR comprises four immunoglobulin-like domains with a constant (C) and variable (V) domain per chain (Fig. 2 A). The V domain is composed of three CDRs; together, these six hypervariable loops form a potential ligand-binding site for the NKT12 TCR. Accordingly, the structure of the NKT12 TCR generally resembles that of other $\alpha\beta$ TCRs. The interface between the α - and β -chains of the NKT12 TCR is extensive (buried surface area [BSA] of \approx 4,100 Å²), with the V and C domains packing against each other. The BSA at the V α -V β and C α -C β interfaces, \approx 1,400 Å² and \approx 2,700 Å², respectively, falls within the range observed previously in other TCR structures (35, 36). Comparative structural analyses will be mainly restricted to two intact heterodimeric TCR crystal structures, namely a human nonliganded immunodominant TCR, LC13 (36, 37), and the nonliganded murine TCR, 2C (38, 39). The root mean square (RMS) for the pairwise superpositions between the NKT12 TCR and the LC13 and 2C TCRs were 1.20 Å (383 residues) and 1.43 Å (345 residues), respectively. As expected, the constant domains of the NKT12 TCR superpose very closely with that of another nonliganded human TCR, LC13 (RMS deviations 0.50 Å and 0.47 Å over the C α and C β domains, respectively). However significant differences in juxtaposition between the V α and V β domains were observed, which reflects the unique interchain pairing of the semi-invariant NKT TCR. For example the V β domain of NKT12 is rotated 16.4° and 13.1° relative to the 2C TCR (38, 39) and the LC13 TCR, respectively (36, 37). Moreover, comparative analyses revealed structural divergence within the respective V α and V β domains. For example, pairwise superpositions between NKT12 V α and the LC13 and 2C V α domains were 1.40 Å (94 residues) and 1.34 Å (92 residues), respectively; whereas the pairwise superpositions between the V β domains (LC13, 1.08 Å [98 residues]; 2C, 1.02 Å [103 residues]) revealed that, in comparison, the V α domain was more divergent than the $V\beta$ domain. The major structural differences within the V domains reside in the hypervariable loops and the loop (69 α -74 α), which impacts not only upon the Ag binding site, but also the V α -V β pairing.

The NKT12 V α -V β interface is composed of the f-g and the c-c' strands, and their interconnecting loops from each domain crossing over each other, and accordingly utilizes both the V α 24 and J α 18 gene segment of the invariant chain (Supplemental Materials and methods, available at http:// www.jem.org/cgi/content/full/jem.20051777/DC1). The CDR3 α and CDR3 β loops sit centrally at this interface, abutting each other (Fig. 2 and Supplemental Materials and methods). At the V α -V β interface, there are six polar interactions, five water-mediated polar interactions, two salt bridges, and a multitude of van der Waals interactions that include a cluster of aromatic residues (Tyr35a, Phe106a, Tyr33β, Tyr35 β , Tyr107 β) (Table S1, available at http://www.jem. org/cgi/content/full/jem.20051777/DC1). This cluster is largely conserved, although less extensive in the LC13 and 2C TCR structures.

The sequences encoded by the invariant J α and hypervariable D β -N-J β region create the CDR3 loops (Fig. 2, A and B). Accordingly, the interface differs slightly between the NKT12 and NKT15 TCRs as a result of the conformation of the different CDR3 β loops (Fig. 2 C). Notably, the CDR1 α and CDR2 α loops adopt a conformation that is different from previously determined canonical CDR conformations (40), whereas CDR1 β and CDR2 β adopt canonical conformations β 1-1 and β 2-1, respectively (Table S2, available at http://www.jem.org/cgi/content/full/jem.20051777/DC1). The conformation of the CDR3 α loop is well ordered and stabilized by interloop interactions as shown for other highly selected TCRs (34).

The ligand binding surface contains a preformed cavity suited to Ag binding

The ligand-binding surface of NKT12 contains a central water-filled cavity that is markedly electropositive and ~ 120 Å³ in volume (Fig. 3, A and B). The cavity is lined by residues from CDR1 α and CDR3 α of the invariant NKT α -chain, as well as CDR1 β and CDR3 β of the semi-invariant β -chain that collectively make several direct and water-mediated interactions with each other (Fig. 3 B). The residues from the invariant V α 24-N-J α 18 include the following: Ser30 α and Asn31a from CDR1a; Arg33a from the Va-framework close to CDR1 α ; Ser93 α from the CDR3 α V-J junctional region; and Gly96 α , Ser97 α , and Leu104 α , all from the CDR3a Ja segment. Remarkably, Arg33a that forms the electropositive base of the cavity is unique to $V\alpha 24$ among all the human V α genes and is also conserved in mouse V α 14 and in only one other V α family (TRAV7). Similarly, the J α residues Gly96 α , Ser97 α , and Leu104 α are only found in J α 18. The V β framework residue Tyr33 β , adjacent to the CDR1 β , also lines the cavity and is conserved in mouse and human NKT V β regions. The NKT15 TCR is virtually identical in structure to NKT12, apart from the amino acid sequence and conformation of its CDR3 β regions. These differences include two extra residues encoded by the Dβ-N region, thereby introducing a charged, surface-exposed "RDR" motif that forms the tip of CDR3 β in the NKT15 TCR. Thus, the hypervariable CDR3 β residues that line the cavity of NKT12 include Thr95β, Ser96β, Ser100β, and Tyr101 β ; whereas, in NKT15, the corresponding residues lining the cavity are Ser95 β , Gly96 β , Leu97 β , and Gly101 β (Fig. 3, C and D; Table I). Although the positively charged cavity at the Ag-binding interface is conserved between NKT12 and NKT15, in the trigonal crystal form, the entrance to the cavity is occluded by the bulky Tyr103 β (J β) from the CDR3 β loop of NKT15 (Fig. 3 C). Notably, however, this region of the CDR β loop is relatively mobile, such that Tyr103 β was unresolved in the orthorhombic crystal form. This suggests that Tyr103 β could be easily displaced, allowing access of potential ligands to this conserved region (Fig. 3 D). The cavity at the ligand interface could potentially accommodate small polar moieties such as the galactose ring of α -GalCer that projects out of the antigen-binding cleft of CD1d (14, 15) (Fig. S1, available at http://www.jem.org/ cgi/content/full/jem.20051777/DC1). This would be consistent with a direct interaction between NKT TCRs and the glycosyl head group of α -GalCer bound to CD1d, as predicted from many studies and analogous to what has been proposed for CD1b/glycolipid recognition (41). The volume of the cavity would be predicted to vary according to the structure and flexibility of the CDR3 β sequences; this might facilitate interaction with other glycolipid head groups.

The CDR3B hypervariable loop modulates antigen specificity

Previous studies have shown that mouse V β 8.2⁺ NKT TCRs can be substituted with V β 8⁺ chains from non–NKT cells without loss of binding affinity to mCD1d/ α -GalCer (28),



Figure 3. The Ag-binding interface of NTK12 and NKT15 TCRs contains a preformed cavity created by invariant residues of the α -chain, a species-conserved residue from the β -chain and **CDR3B.** (A) NKT12 TCR α -chain residues that are conserved between human and mouse are red; α -chain residues that differ between these species are gray. The TCR β -chain residue Tyr33 β is conserved across species, whereas the CDR3B residues are divergent between species. β -chain side chains are green. (B) Close-up of residues forming the putative ligand-binding cavity of the NKT12 binding surface. Residues are labeled according to the single amino acid code. (C) The putative ligand-binding cavity of NKT15 is obstructed by the bulky side chain of residue Tyr 103β from CDR3 β in the trigonal form of the crystal structure. (D) Tyr103 β could not be visualized in the NKT15 TCR crystal comprising the orthorhombic space group. The high mobility of Tyr103 β could easily allow displacement of this side chain, exposing the putative Aq-binding cavity. Accordingly, the Tyr103 β side chain has been omitted, revealing the cavity.

suggesting that the CDR3 β region plays little or no role in NKT cell specificity, at least to this antigen complex. However, the structure of the NKT12 and NKT15 TCRs showed that some CDR3 β residues line parts of the cavity at the ligand interface, where they could modulate Ag specificity. We examined the role of CDR3 β by assessing how variation in this region affects cross-species reactivity with CD1d/ α -GalCer. We first measured the affinity of NKT12, NKT15, and NKT18 TCRs for α-GalCer complexed to mCD1d and hCD1d using surface plasmon resonance (SPR) (Table S3 and Fig. S2, available at http://www.jem.org/cgi/content/ full/jem.20051777/DC1, and NKT18 not depicted). This allows a direct examination of the impact of the variable $CDR3\beta$ region on the TCR interaction. The affinity of the NKT12 and NKT15 TCRs was approximately twofold higher for hCD1d/ α -GalCer ($K_{d(eq)} \sim 10 \mu$ M) versus mCD1d/ α -GalCer ($K_{d(eq)} \sim 20 \mu$ M), whereas the affinity of the NKT18 TCR was similar for human and mouse CD1d/ α -GalCer ($K_{d(eq)} \sim 20 \mu$ m). Although these affinity differences with mCD1d/ α -GalCer were small, they were reproducible, indicating a subtle but measurable influence of CDR3 β on NKT TCR specificity.

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We next studied the binding of several hybrid TCRs with switched or mutated CDR3 β regions (Fig. 4 and Table S4, available at http://www.jem.org/cgi/content/full/jem.20051777/DC1). The native integrity of these chimeric receptors was intact based on their binding with a conformation-dependent mAb 12H8 (34) and their behavior by gel filtration and SDS-PAGE (unpublished data). First, we examined the relative roles of the CDR1 β and CDR2 β , versus the hypervariable CDR3 β residues (encoded by D β -N-J β gene segments) of NKT15, by swapping the entire NKT15 TCR β -chain for that of the classical MHC I–restricted TCR LC13 (36, 37) (Fig. 4 B). This TCR contains a different V β chain (V β 6.2 or TRBV7-8*03) to NKT15, but uses the same J β segment to create the CDR3 β loop (TRBD1/D2;

TRBJ2-7*01). The hybrid NKT15 α /LC13 β TCR lost virtually all CD1d/ α -GalCer binding as measured by both SPR and by failure to inhibit NKT cell staining by CD1d/ α -GalCer tetramer (Fig. 4 B). Although this finding suggests that either CDR1 β and/or CDR2 β may be crucial for CD1d/ α -GalCer binding, it could also be explained by an incompatibility in the LC13 CDR3 β region. Therefore, a chimeric TCR was generated where just the CDR3 β loop of NKT15 was replaced with the corresponding loop of LC13 (also encoded by J β TRBJ2-7) (Fig. 4 B). This swap shortens the CDR3 β loop of NKT15 by three residues and alters the sequence of buried amino acids involved in stabilizing the TCR α -chain as well as changing the solvent-exposed residues at the tip of the loop (Table S4). The hybrid TCR had





500 µg/ml TCRs. *, the inhibition observed with maximal concentrations of the NKT α +LC13(CDR3 β) (500 µg/ml) was only partial, and equivalent to the inhibition observed with 8 µg/ml (not depicted) of NKT15. The indicated K_D values are calculated from SPR studies of TCR binding to immobilized human and mouse CD1d α -GalCer (Table S3 and Fig. S2 for NKT12 and NKT15). LC13 is a control TCR from an HLA-B8-restricted, virus-specific CTL; ND, K_D not measurable. (C) Superposition of the CDR3 β loop of LC13 in the context of the NKT CDR3 α based on the known structure of LC13 (references 36, 37). The LC13 CDR3 β loop is predicted to impact on the conformation of CDR3 α and to disrupt access to the putative Ag-binding cavity. an \sim 5–10-fold lower affinity by SPR measurements than NKT15 bound to both human and mouse CD1d/ α -GalCer. In addition, the hybrid TCR required \sim 60-fold higher concentrations than NKT15 to achieve comparable inhibition of staining of mouse NKT cells with mCD1d/α-GalCer tetramers (Fig. 4 B). However, the structures of the LC13 (36, 37) and NKT15 TCRs suggested that the orientation of the LC13 CDR3 β was likely to sterically impact on the conformation of the NKT15 CDR3a loop, thereby indirectly affecting the putative binding cavity and disrupting ligand recognition by the hybrid TCR (Fig. 4 C). Notwithstanding these findings, the CDR3 β loops of other NKT TCRs show substantial variation (26, 27, 42), suggesting that sequence variation at the solvent-exposed tips of the CDR3 β loops might be well tolerated. To this end, we made a triple alanine mutant in the ${}^{98}RDR^{100}$ sequence (encoded by D β -N), which is highly solvent accessible and, as such, their mutation is not predicted to affect the conformation of the ${\rm CDR3}\alpha$ loop (Fig. 4 A). SPR-binding studies of this "AAA" mutant NKT15 TCR revealed its affinity for both human and mouse CD1d/ α -GalCer was comparable to the wild-type NKT15 (Fig. 4 B), indicating these three exposed residues are not critical to the affinity of the interaction. In addition, this hybrid TCR inhibited staining of mouse NKT cells by mCD1d/ α -GalCer tetramers at the same concentration as NKT12 and NKT15 TCRs (Fig. 4 B).

Collectively, our data suggest that the function of the CDR3 β region is to modulate NKT cell recognition of different glycolipids. Although this modulation of specificity sometimes results in sterically abolishing recognition altogether, as in the substitution of the LC13 CDR3 β region in

NKT15, the concept is consistent with a high degree of structural variation being tolerated in this region without loss of affinity.

Cross-species reactivity of NKT cells with CD1d/ α -GalCer

Unlike the highly restricted recognition of MHC I molecules by conventional $\alpha\beta$ TCRs, NKT cell TCRs (including NKT12, 15, and 18) show a remarkable cross-species reactivity, implying evolutionary conservation of an important immune specificity and function (33). The invariant TCR a-chain from human NKT cells has 54% sequence identity with its mouse counterpart, whereas the human V β 11 and mouse V β 8.2 share 65% sequence identity. This cross-species identity is particularly marked in the CDR3 α and CDR1 α loops in which 10/13 and 2/6 residues, respectively, are identical between human and mouse sequences (Fig. 5). Moreover, the two identical residues in the CDR1 α are surface exposed. The CDR3 α and CDR1 α residues that are conserved across species form part of an extensive, contiguous surface at the ligand-binding interface of the NKT TCRs (Fig. 5 A). Based on the mode of recognition of MHC-peptide complexes (35), this region of the TCR would be expected to make interactions with the CD1d-glycolipid complex. In addition, the CDR3α and CDR1a loops make numerous interloop interactions involving residues that are largely conserved between the human and mouse semi-invariant NKT TCRs. These interactions are important in stabilizing the conformation of the CDR loops.

Notably, 0/6 of the amino acids in the human and mouse CDR2 α loop are identical, although the Phe51 (human)



Figure 5. Conserved residues from human and mouse NKT TCR CDR1 α , CDR3 α , and CDR2 β loops form a contiguous conserved surface that is adjacent to the putative Ag-binding cavity. The different conserved CDR regions are colored to correspond to the sequence

alignment of mouse and human CDR loops. All other conserved and semiconserved residues are brown and orange, respectively, in the alignment and are not shown in the structure. The TcR α -chain is white and the TCR β -chain is green.

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versus His51 (mouse) residues are relatively conserved (Fig. 5 B). This observation is consistent with the natural allelic polymorphism in the mouse V α 14 gene (TRAV11*01 vs. TRAV11*02) in which 3/7 CDR2 α residues contain non-conservative substitutions that have minimal impact on TCR affinity for CD1d/ α -GalCer (43). These findings suggest a minor role for CDR2 α residues in mediating cross-species CD1d reactivity.

In the TCR $\beta\text{-chain, only the CDR2}\beta$ shows significant sequence identity between mouse and human TCRs that



Figure 6. Proposed docking of NKT TCR onto CD1d/ α **-GalCer.** (A) In the model, the NKT12 α and β chain are shown in gray and pink, respectively, and the CDR loops are red (CDR1 α), green (CDR2 α), blue (CDR3 α), magenta (CDR1 β), yellow (CDR2 β), and cyan (CDR3 β). α -galactosylceramide is shown in orange within the antigen-binding domain of hCD1d (purple). (B) View of the antigen-binding cleft of human CD1d-presenting α -GalCer (orange). The CDR footprint of the proposed docking model of NKT12 is shown for reference and the CDR loops are colored as in A. Surface-exposed residues on the α 1 and α 2 helices of hCD1d are shown. Residues in red had an impact on NKT activation by mCD1d in the published literature. Residues in white either had no impact or were not investigated. Residues in yellow are those residues likely to be important in the docking of NKT12 onto CD1d/ α -galactosylceramide according to our proposed model.

share 4/6 residues at the tip of this loop, and 4 amino-terminal residues (45 LIHY⁴⁸) and 5 carboxy-terminal residues (55 TEKGD⁵⁹) either side of the tip of the loop. Notably, the surface exposed CDR2 β loop, and the highly conserved "hot spot" formed by the CDR3 α and CDR1 α loops merge to create an even more extensive species-conserved region at the ligand interface (Fig. 5). Accordingly, this region of the TCR is likely to play a crucial role in CD1d–glycolipid interactions by mediating restricted recognition and cross-species reactivity. In contrast with the CDR2 β loop, the CDR1 β loop is only moderately conserved across human and mouse sequences. However, as outlined earlier, there are five further cross-species conserved residues that line the cavity at the ligand interface of the NKT TCR: Tyr33 β , Arg33 α , Gly96 α , Ser97 α , and Leu104 α .

Collectively, the structures of NKT12 and NKT15 suggest that the species conservation of CDR3 α , CDR1 α , and CDR2 β loops creates a contiguous conserved hot spot. It is highly likely that this hot spot and the collection of conserved residues forming the cavity at the ligand-interface are crucial in mediating the reciprocal cross-species reactivity between human and mouse NKT TCRs.

Proposed docking of NKT TCR onto CD1d/α-GalCer

The NKT TCR structure, together with the recently published CD1d/α-GalCer structures and previous mutagenesis experiments, has allowed us to propose a model for the interactions between the NKT TCR and CD1d/ α -GalCer. For several reasons, the model was based on the LC13-HLA-B8-FLR complex. First, we examined all the TCR-MHC-I-p complexes solved to date and the LC13 complex provided the best approximation for docking of the central TCR pocket over the α -GalCer head group. Second, like α -GalCer, the peptide determinant recognized by LC13 is a minimally protruding Ag and is similarly located to the glycosyl head group of α -GalCer. Moreover, LC13 also has a central pocket that accommodates the main side chain of the ligand as proposed for NKT TCRs. Despite the known caveats of any structural estimation, the model provides plausible insight into NKT TCR recognition of hCD1d/\alpha-GalCer and is consistent with several published observations. Thus, in both the mouse and human CD1d molecules, the conserved residues Arg79, Asp80, Glu83, and Asp153 (Asp 151 in hCD1d) are critical for presentation of α -GalCer by CD1d (44). Of these amino acids, Arg79 and Asp153, but not Glu83, make interactions with α -GalCer (14, 15). However, mutagenesis studies suggest that all three can influence recognition by the NKT TCRs (17, 44, 45), perhaps directly or by affecting the orientation of the α -GalCer glycosyl head group. Taking these issues into consideration, the model is consistent with diagonal docking of the NKT TCR over the ligand-binding domain of CD1d (Fig. 6). Because α -GalCer protrudes only minimally from the CD1d cleft, the NKT TCR forms considerable contacts with CD1d. Thus, the CDR1 α and CDR2 α loops are primarily positioned over the α 2 helix, interacting with hCD1d residues spanning Trp153-Trp160. The aromatic residue Phe51a (His51 in mouse), located within the $CDR2\alpha$ loop, could potentially stack neatly between the two bulky aromatic rings of Trp153 and Trp160 of hCD1d. The CDR1 β and CDR2 β loops docks over the α 1 helix of CD1d, interacting with a localized stretch of CD1d residues (75–83). Although CDR2 β is located on the periphery, our model suggests that the prominent Tyr48 β packs against Ser75 and the aliphatic moiety of Arg79 in CD1d. Moreover, our model suggests a potential salt bridge between Arg79 and Asp 30β of the CDR 1β loop. This is consistent with a role for Arg79 independent of its interactions with α -GalCer, as an important residue for recognition of CD1d/ α -GalCer by many NKT TCRs. Notably, however, substitution of this residue can be tolerated by certain NKT cells indicating a variable contribution of Arg79 contingent upon the nature of the glycolipid Ag (44). The conserved TCR residues Asp94 α , Arg95 α , and Arg103 α are solvent exposed and proximal to the binding interface cavity, where their charged nature provides the potential for complementary electrostatic interactions with one or more of these CD1d residues.

Both the CDR3 α and CDR3 β loops make contact with the galactose moiety of α -GalCer in the model; however, the CDR3 α loop is also predicted to interact extensively with the α 1 helix (spanning residues 65–72) of CD1d. Thus, the conserved residues within the CDR3 α loop are proposed to mediate interactions with conserved residues on CD1d accounting for the inherent bias of this TCR in ligating CD1d. The prominent role of CDR3 α in interacting with CD1d may explain NKT cross-reactivity between mouse and human CD1d, analogous to the way that the CDR3 α loop of the LC13 TCR is suggested to dictate its known alloreactivity (34).

Upon ligation, the surface-exposed galactose of α -GalCer is "walled" by the CDR3 α and CDR3 β loops such that the galactose is positioned within the electropositive preformed cavity. The galactose head group of α -GalCer is likely to interact with residues lining the pocket, including Asn31 α , Arg33 α , Gly96 α , Ser97 α , and Lys31 β . The role of Arg33 α may involve specificity interactions analogous to those observed in the LC13 TCR binding pocket, where His33 α at the base of the pocket makes a water-mediated bond to a critical peptide side-chain from the ligand (37).

Larger Ag headgroups, such as the tri-hexosyl moiety of the glycosphingolipid iGb3 (7, 8), are likely to be partially accommodated via movement in the CDR3 loops. This is consistent with the observed plasticity of $\alpha\beta$ TCRs in engaging with pMHC molecules. We predict that the flexible CDR3 β loop will allow modulation of NKT cell recognition of different glycolipids. Therefore, the extent of the preformed cavity volume is likely to change, depending on the position of the CDR3 β loop. This is consistent with a high degree of structural variation being tolerated in this region without loss of affinity to ligands such as α -GalCer.

DISCUSSION

NKT cells straddle the roles of both innate and adaptive cellular immune responses (46). They respond rapidly upon antigen recognition by their semi-invariant TCR, producing a variety of cytokines that can regulate tumor immunity, autoimmunity, and allergy and can orchestrate protective responses to infectious agents (2, 47-49). Despite expressing a semi-invariant TCR, NKT cells are reported to be selected or activated by different glycolipid molecules such as the marine sponge-derived α -GalCer (6), a phosphoethanolamine (50), PIM4 (11), α-glycuronosyl-ceramides (8-10, 51, 52), sulfated variants thereof (10), a tumor-derived ganglioside GD3 (31), self-antigens including some forms of β -galactosylceramide (53, 54), and isoglobotrihexosylceramide (iGb3) (7). This capacity to recognize a variety of foreign and selfderived glycolipid Ags reflects the increasingly diverse functional roles ascribed to NKT cells (47-49) and highlights the need for a structural explanation as to how the semi-invariant NKT TCR can achieve such a diversity of recognition. Abundant evidence suggests that the glycosyl head group of the glycolipid sugar moiety is a critical determinant recognized by the NKT TCR (14-16, 29, 44, 45, 55, 56). Thus, the NKT TCR binds efficiently to CD1d complexed with α -GalCer but not with β -GalCer containing similar sphingosine and acyl chains (56), consistent with the lipid chains being buried in the Ag-binding cavity, whereas the glycosyl head group protrudes out of the cleft (14, 15). It is notable that the small target created by the polar head of α -GalCer induces a highly selected "immunodominant" $\alpha\beta$ TCR repertoire. This bias is reminiscent of the highly restricted CTL repertoires toward minimally exposed (36, 57, 58) or highly unusual viral determinants (59-61).

These observations would be consistent with the cavity at the ligand interface of the NKT12 and NKT15 TCRs acting as a preformed Ag pocket. The structures of CD1d/ α GalCer have led to speculation that the CDR3 α of the NKT TCR would be placed over the galactose ring (14). This mode of interaction fits the idea that the cavity might envelope the polar glycosyl head group of α -GalCer and some related antigens. Supporting this interpretation, the walls of the cavity are formed from unique amino acids present in the V α domain (CDR1 α and Arg33 α) and CDR3 α regions of the invariant TCR α -chain. Moreover, these regions are highly conserved between mouse and human NKT TCRs that are functionally cross-reactive (62). In addition, CDR1 β and $CDR3\beta$ residues also contribute to the cavity wall, providing a mechanism by which the CDR3 β could modulate Ag specificity. Many studies have reported heterogeneous CDR3 β usage by NKT cells, suggesting that these loops do not play a key role in NKT cell recognition (25-28). However, our data indicate that not all CDR3 β sequences support recognition of CD1d-glycolipid complexes and this is probably the result of steric hindrance by some CDR3 β loops that obstruct the cavity interface and/or interfere with the conformation of the highly conserved CDR3α loops.

The binding kinetics and affinities of the human NKT12, NKT15, and NKT18 TCRs for hCD1d/ α -GalCer are typical of conventional $\alpha\beta$ TCR–MHCp interactions with K_D values of \sim 8–20 μ M. This contrasts with the high affinity

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binding of recombinant mouse NKT TCRs, where the K_D values are reported to be $\sim 0.1-0.3 \ \mu M$ (28, 45). These differences presumably reflect species variability despite the remarkable reciprocal cross-reactivity of human and mouse NKT cells. This cross-reactivity correlates with a conserved hot spot at the NKT TCR interface that comprises a contiguous surface suitable for interacting with CD1d-glycolipid complexes. Additional conserved residues forming the cavity at the ligand interface are also likely to contribute to the reciprocal cross-species reactivity. Despite the cross-species interactions, the glycosyl head group of α -GalCer is shifted by up to 3 Å between the mouse and human structures, which is likely to impact on the recognition of the galactose moiety itself (63). Human CD1d has a tryptophan residue at position 153 that pushes the galactose head group away, whereas, in mouse, the equivalent amino acid (position 155) is a much smaller glycine residue (14, 15). The previously reported lack of temperature dependence in the binding affinity of mouse NKT TCRs with CD1d- α GalCer suggests a rigid "lock and key" mode of binding (28, 56). However, the cross-reactivity of human and mouse NKT TCR for CD1d-α-GalCer implies that NKT TCR recognition has considerable structural plasticity more typical of $\alpha\beta$ TCR-MHC-I interactions and potentially important in the recognition of different glycolipid antigens.

How can NKT cells, with a semi-invariant TCR, recognize a diversity of glycolipid antigens with structurally distinct carbohydrate head groups? Although this can only be definitively answered with direct structural studies, the recently defined α -glucuronosylceramides (8–10, 51, 52) are structurally very similar to α -GalCer and α -glucosylceramide and thus conceivably would fit into the same TCR cavity. It is also possible, or even likely, that only a minor subset of fresh human NKT cells recognize some of these more recently defined antigens. For example, <10% of NKT cells were labeled by PIM4-loaded CD1d tetramers (11) and the labeling was 10–100-fold weaker than for α -GalCer-loaded CD1d tetramers. Similarly, although in mice most NKT cells depend on iGb3 for thymic selection (7), it is not proven that iGb3 is a key selecting ligand for human NKT cells (64). Moreover, given that mouse NKT cells do not stain with CD1d/iGb3 tetramers (7), their affinity for NKT TCRs is likely to be very low, suggesting that the tri-hexosyl head group of this Ag might be poorly recognized in comparison to the galactosyl head group of α -GalCer. Furthermore, the extent to which fresh human NKT cells recognize other glycolipid ligands such as GD3 (31) and phosphoethanolamine (50) is unknown. Notwithstanding these concerns, it is not inconceivable that different sugar groups could be accommodated within the pocket by a degree of plasticity in the CDR3 loops and in particular the CDR3 β loop, which was shown to exhibit a degree of flexibility in our study.

Collectively, our findings suggest a basis for the semiinvariant nature of NKT TCRs in which highly conserved residues in the α - and β -chains of the TCR contribute to binding of glycolipid head groups through a discrete cavity at the ligand interface. Other conserved features of the NKT TCR appear to play a role in CD1d binding, whereas plasticity of antigen recognition is preserved through variation in CDR3 β loops and the intrinsic adaptability of other CDR loops at the ligand interface. Furthermore, our proposed model of the complex suggests that the CDR3 α loop plays a prominent role in determining Ag specificity as well as dictating the observed cross-species reactivity via interactions with CD1d. It will be intriguing to visualize this plasticity in complexes of NKT TCRs with a variety of CD1d/glycolipid antigens and to correlate the modes of interaction with functional diversity in NKT cells.

MATERIALS AND METHODS

Protein expression, refolding, and purification. cDNAs encoding NKT cell receptors and CD1d were derived as described in the Supplemental Materials and methods. Inclusion body protein of the NKT TCR α and NKT TCR β chains were prepared essentially as described previously (36, 37, 65). 64 mg TCR α and 32 mg TCR β inclusion body proteins were thawed, pulsed with 1 mM DTT, combined, and injected into 800 ml of stirring refolding buffer containing 100 mM Tris, pH 8.5, 5 M urea, 0.4 M arginine, 0.5 mM oxidized glutathione, 5 mM reduced glutathione, 2 mM EDTA, 0.2 mM PMSF, and 1 µg/ml Pepstatin A at 4°C. Equal amounts of the NKT TCR α and NKT TCR β chain inclusion body proteins were added 16 h later. After 24 h, refolded protein was dialyzed against 10 mM Tris-HCl, 0.1 M urea, pH 8, and subsequently against 10 mM Tris-HCl. Dialyzed protein was captured on a column containing DEAE Sepharose Fast Flow (GE Healthcare), and eluted with 10 mM Tris-HCl, 200 mM NaCl. Eluted NKT TCR was concentrated and loaded unto a HiLoad Superdex 75 pg gel filtration column (GE Healthcare) in the presence of 10 mM Tris-HCl, 150 mM NaCl. Fractions containing the TCR were pooled and purified further on a Mono-Q column (GE Healthcare). Peak fractions were pooled and concentrated before one more purification by gel filtration as described earlier in this paragraph. Peak fractions were concentrated to 10-20 mg/ml and were used subsequently in crystal trials.

Crystallization. Rod-shaped crystals of the NKT TCRs were grown at 12 mg/ml by the hanging drop vapor diffusion technique and at room temperature. NKT12 crystals grew in 18% PEG 3350, 0.1 M cacodylate, pH 6.3, 0.2 M lithium chloride, and NKT15 crystals grew in 9% PEG 3350, 0.1 M cacodylate, pH 6.4, 0.2 M ammonium acetate. The crystals belong to space group C222₁ and the unit cell dimensions were consistent with one molecule per asymmetric unit (Table II). NKT15 crystals belong to space group P3₂, with unit cell dimensions consistent with two molecules per asymmetric unit (Table II).

Structure determination and refinement. The crystals were flash frozen before data collection using up to 15% glycerol as the cryoprotectant. The data were processed and scaled using the HKL package. Datasets of 2.2, 2.4, and 2.6 Å resolution were collected at the BioCars beamline using a Quantum 4 CCD detector (Table II). The crystal structures were solved using the molecular replacement method, as implemented in MOLREP (66), using the unliganded LC13 (37) structure as the search model, where the LC13 CDR loops were initially removed and all other nonidentical residues were mutated to alanine. Unbiased features in the initial electron density map confirmed the correctness of the molecular replacement solution. The progress of refinement was monitored by the R_{free} value with neither a sigma, nor a low resolution cut off being applied to the data. Initially, the structures were refined using rigid-body fitting of the individual domains followed by the simulated-annealing protocol implemented in CNS (version 1.0) (67). Later, translation, libration, and screw-rotation displacement (TLS) refinement was performed using REFMAC (68). Refinement was interspersed with rounds of model building using the program "O" (69). Tightly restrained individual B-factor refinement was used, and bulk solvent corrections were applied to the data set. Water molecules were included in the model if they were within hydrogen-bonding distance to chemically reasonable groups, appeared in $F_o - F_c$ maps contoured at 3.5 σ , and had a B-factor of <60 Å². The structures have been deposited in the PDB (accession nos. 2EYR, 2EYS, 2EYT).

Biotinylation of CD1d and loading with α -GalCer. Biotinylated CD1d was mixed with α -GalCer at a molar ratio of 1:3 (protein:lipid) at room temperature overnight. Loaded CD1d protein was then purified from free α -GalCer and buffer exchanged into 10 mM Hepes-HCl, pH 7.4, 150 mM NaCl, and 0.005% surfactant P20 using a HiLoad Superdex 200 pg gel filtration column (GE Healthcare). Protein quality was monitored by its gel filtration profile and mobility on SDS-PAGE.

SPR binding studies. All SPR experiments were conducted at 25°C on a Biacore 3000 instrument using HBS buffer (10 mM Hepes-HCl, pH 7.4, 150 mM NaCl, and 0.005% surfactant P20 supplied by the manufacturer) supplemented with 1% BSA. Approximately 2,000–3,000 RU of α -Gal-Cer–loaded human and mouse CD1d was immobilized onto streptavidin-coupled sensor chip (BiaCore). Corresponding levels of "unloaded" CD1d were also immobilized to act as controls. Equilibrium affinity was determined by injecting increasing concentrations of NKT TCR over all flow cells at 5 μ l/min for 3 min. The final response was calculated by subtracting the response of the "unloaded" CD1d surface from the α -GalCer CD1d surface. BIAevaluation version 3.1 (Biacore AB) was used to fit the equilibrium data.

CD1d/α-GalCer tetramer inhibition assay and flow cytometry. Anti-CD3-FITC (clone 145-2C11) was purchased from BD Biosciences. Fc-receptor block (anti-CD16/CD32, clone 2.4G2) was added to all staining cocktails. Mouse CD1d tetramer loaded with α-GalCer was produced as described previously (70). CD1d/ α -GalCer tetramer was incubated with serially diluted (twofold dilutions from 500 μ g/ml to 4 μ g/ml) soluble human NKT cell TCR or an irrelevant TCR (LC13) control. Thymic cell suspensions were made by gently grinding the organ between the frosted ends of glass microscope slides in ice-cold PBS containing 2% FCS (FACS buffer). Cell suspensions were passed through 100 µm mesh before antibody staining. Equivalent inhibition of staining of thymocytes with CD1d/ α -GalCer tetramers was observed with NKT12, NKT15, NKT18, and NKT15'AAA' TCRs, and inhibition with these NKT TCRs was diminished equally upon dilution. Thymocytes were stained with anti-CD3 mAb, washed with FACS buffer, and stained with the CD1d/ α -GalCer tetramer-TCR mixtures. Cells were washed with FACS buffer to remove any unbound CD1d/ α -GalCer tetramer and analyzed by flow cytometry using a FACScalibur (Becton Dickinson) and analyzed using CELLQuest software (Becton Dickinson).

Modelling of the NKT TCR–CD1d–\alpha-GalCer complex. The docking of NKT12 onto hCD1d was approximated in the following way. The MHC of each of the MHC–peptide–TCR complex structures available in the PDB was aligned onto the CD1d antigen-binding domain (residues 61–86 and 139–171). The TCRs from each of the complexes were examined for clashes with the helices of hCD1d and the α -GalCer. The TCR with the best fit over CD1d/ α -GalCer was LC13 (PDB code: 1MI5) and so the C α domain of LC13 was used to superpose NKT12 in the final CD1d– α -GalCer– NKT12 model. NKT12 was adjusted manually using the program "O" to promote a better fit for our proposed model.

Online supplemental material. Supplemental Materials and methods for cDNA cloning of NKT TCRs and CD1d are provided. Table S1 provides contacts at the V α –V β interface of NKT12 and the genetic origin of TCR residues. Table S2 describes the Phi psi torsion angles of CDR1 and CDR2 in NKT12. Table S3 describes the dissociation constants of natural and mutated NKT TCRs with CD1d/ α -GalCer. Table S4 gives the amino acid

sequences of CDR3 β residues in natural and mutated NKT TCRs. Fig. S1 models the Ag-binding cavity of the NKT TCR to demonstrate how this can accommodate the galactose head group of α -GalCer. Fig. S2 shows the SPR sensorgrams and affinity estimations of NKT12 binding to immobilized hCD1d-GalCer and mCD1d-GalCer. Online supplemental material is available at http://www.jem.org/cgi/content/full/jem.20051777/DC1.

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