

Utility of ^{18}F FDG-PET/CT for predicting prognosis of luminal-type breast cancer

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Abstract Postoperative prognosis is better for hormonal receptor-positive breast cancer than for other phenotypes; however, there are no definitive predictive factors for relapse or survival. This study aimed to evaluate the maximum standardized uptake value (SUVmax) on ^{18}F -fluoro-2-deoxy-glucose positron emission tomography/computed tomography (FDG-PET/CT) and clinicopathological characteristics as possible predictors of postoperative relapse-free survival (RFS) and overall survival (OS) in hormonal receptor-positive breast cancer patients. We evaluated 262 patients with Stage I–III breast cancer diagnosed as luminal type (luminal A, 166; luminal B, 96 patients) who underwent preoperative FDG-PET/CT between January 2006 and December 2011 at two institutions. The relationships among SUVmax and clinicopathological factors (age, clinical T/N stage, nuclear grade, lymph node metastasis and vascular invasion) were evaluated. A phantom study was performed to correct differences in PET/CT analysis between two institutions. The patients were divided according to the SUVmax cutoff on receiver operating characteristic (ROC) analysis for OS (≤ 6.0 group vs. >6.0

group, AUC = 0.742). Clinical T-factor and nuclear grade were significantly correlated with SUVmax ($p < 0.0001$ and $p = 0.0092$, respectively). In the uni- and multivariate analyses using the Cox model for relapse, SUVmax was significant ($p = 0.013$ and $p = 0.055$, respectively) among characteristics. RFS curves showed that prognosis was significantly better for the SUVmax ≤ 6.0 group than for the SUVmax > 6.0 group ($p = 0.004$). Similarly, SUVmax was significant for OS ($p = 0.007$ and $p = 0.008$). OS was significantly different between the SUVmax ≤ 6.0 and >6.0 groups ($p < 0.001$). SUVmax was useful for predicting outcomes in patients with luminal-type breast cancer.

Keywords FDG-PET/CT · Breast cancer · Luminal type

Abbreviation

AUC	Area under the curve,
CT	Computed tomography,
RFS	Relapse-free survival,
FDG	^{18}F -fluoro-2-deoxyglucose,
PET	Positron emission tomography,
ROC	Receiver operating characteristic,
SUVmax	Maximum standardized uptake value,
ER	Estrogen receptor,
PgR	Progesterone receptor,
HER2	Human epidermal growth factor receptor type-2

Introduction

A new modality for detection of cancer lesions in the body, ^{18}F -fluoro-2-deoxy-glucose positron emission tomography/computed tomography (FDG-PET/CT), is useful for staging of primary cancer and detecting metastasis [1–5].

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In addition, FDG-PET/CT is reportedly efficient for evaluating chemotherapeutic effects in many types of cancer, because it can assess functional activities of certain kinds of cancer [6–8]. Furthermore, several studies have shown correlations between the intensity of FDG uptake and some tumor characteristics of breast cancer such as tumor type, grade, hormonal receptor status, and human epidermal growth factor receptor type-2 (HER2) status [9–19]. As a new predictor for postoperative clinical outcome, the maximum standardized uptake value (SUVmax) on FDG-PET/CT is useful for diagnosing high-grade malignancy and predicting the prognosis in lung and breast cancer patients [20–22]. Kadoya et al. [22] reported that SUVmax on PET/CT and the estrogen receptor (ER) status were useful for predicting malignancy grades and prognosis of patients with breast cancer.

Tumor subtypes of breast cancer patients have been reported to show different outcomes, including poor prognosis for the basal-like subtype and a significant difference in the outcome for the two ER-positive groups [23, 24]. According to the phenotype classification stratified by hormonal receptor and HER2 expressions, early breast cancer patients are now treated with chemotherapy, hormonal therapy, and anti-HER2 therapy with high confidence for success. In patients with ER-positive breast cancer, the luminal type, including type A and B, have superior clinical responses to drug therapy and better survival than other types of breast cancer. However, clinical identification of early and late relapse of luminal-type breast cancer patients is a great concern for physicians, and efficient predictors of prognosis are required.

Therefore, we retrospectively evaluated the utility of SUVmax on FDG-PET/CT and clinicopathological characteristics for predicting relapse and survival in patients with early breast cancers, especially luminal type A and B.

Patients & methods

Patients

A total of 344 clinical Stage I–III breast cancer patients received FDG-PET/CT before initial therapy between January 2006 and December 2011 at the Shikoku Cancer Center and Hiroshima University Hospital. The patients were classified into five subtypes according to the hormonal receptors status, HER2 expression, and nuclear grade (NG): luminal A was characterized by ER (+) or progesterone receptor (PgR)(+), HER2(–), and NG 1–2; luminal B was characterized by ER(+) or PgR (+), HER2(–), and NG3; luminal HER2 was characterized by ER(+) or PgR(+) and HER2(+); HER2 enriched was characterized by ER(–),PgR(–), and HER2(+); and triple

negative was characterized by ER(–),PgR(–), and HER2(–). The records of a total of 262 luminal-type patients (luminal A, 166; luminal B, 96) were evaluated. There were not enough Ki-67 data in the case series because the assay for Ki-67 labeling has not been established. Therefore, Ki-67 labeling was not used for distinguishing luminal A from luminal B tumors in this study. Two relapse cases were found for luminal A and four cases for luminal B. The relationships among clinicopathological characteristics such as age, clinical T and N stage, nuclear grade, lymph node metastasis, vascular invasion, and SUVmax were assessed. The Chi square test and log-rank test were used, and *p* values of <0.05 were considered statistically significant.

FDG-PET/CT imaging

Patients fasted for >4 h before being intravenously injected with 3.0–3.7 MBq/kg body weight of FDG, and then relaxed for 1–1.5 h before FDG-PET/CT scanning. The serum glucose level was measured before tracer injection to confirm the value of <150 mg/dL. Patients with serum glucose values ≥150 mg/dL during PET/CT image acquisition were excluded. PET/CT imaging was performed on a Discovery ST (GE Healthcare, Little Chalfont, UK) or Aquiduo (Toshiba Medical Systems Corporation, Otawara, Japan) integrated PET/CT scanner. Low-dose unenhanced CT images of a 2- to 4-mm section thickness for attenuation correction and localization of lesions identified by PET were obtained from the head to the pelvic floor of each patient by following a standard protocol. Immediately after CT, PET covered the identical axial field of view (FOV) for 2–4 min per table position, depending on the condition of the patient and scanner performance. All PET images with a 50-cm FOV were reconstructed using an iterative algorithm with CT-derived attenuation correction.

SUVmax was calculated by drawing regions of interest (ROI) around the primary tumor on attenuation-corrected FDG-PET images and calculated using the integrated CT scanner software according to the formula below:

$$\text{SUVmax} = C(\text{MBq/kg}) / [\text{ID}(\text{MBq}) / W(\text{kg})],$$

where *C* is defined as the maximal activity at a pixel within the tissue identified by the ROI. ID is defined as the injected dose/kg of body weight (*W*), as reported in a study performed by Kadoya [22].

Histological examination

The tumor nuclear grade was determined according to General Rules for Clinical and Pathological Recording of Breast Cancer, 16th edition [25]. Positive ER and PgR were assessed by immunohistochemistry (IHC) and scored

according to the Allred system. HER-2 positivity was defined as 3+ by IHC or 2+ by gene amplification using fluorescent in situ hybridization >2.2.

Statistical analysis

Data are presented as numbers (%) or mean \pm standard deviation unless otherwise stated. Frequencies were compared using the Chi square test for categorical variables in all patients. Continuous variables were assessed using the *t* test. Statistical analyses were performed using Student's *t* test and the log-rank test, and *p* values of <0.05 were considered statistically significant.

SUVmax values were assessed as grouping thresholds for predictive value for overall survival (OS) using Student *t* test and the log-rank test, and *p* values of <0.05 were considered statistically significant.

A Cox proportional hazard regression model (forced-entry method) was used for uni- and multivariate analysis for recurrence and survival. Relapse-free survival (RFS) was defined as the time from the date of surgery until the first event (relapse or death from any cause) or last follow-up. OS was defined as the time from the date of surgery until death from any cause or last follow-up. The durations of RFS and OS were analyzed by the Kaplan–Meier method, and their differences were assessed using the log-rank test. Data were analyzed using the Statistical Package for the Social Sciences (v 10.5; SPSS Inc., Chicago, Ill, USA).

Results

Because of the heterogeneity of PET techniques and performance, we corrected inter-institutional errors in SUVmax using an international electrotechnical commission body phantom set corresponding to the NU 2-2001 standard published by the National Electrical Manufacturers Association (NEMA). Variations in SUV between two institutions were minimized using an anthropomorphic body and six spheres (inner diameter, 10, 13, 17, 22, 28, and 37 mm). From the phantom study, a calibration factor was calculated by dividing the actual SUV by the measured mean SUV in the phantom background to reduce inter-institutional SUV variability. The final SUV is referred to as the revised SUVmax [22, 26]. After revision, the SUVmax ratio of the two institutions was very close to 1.00 (Fig. 1). The revised SUVmax for OS was used to create a receiver operating characteristic (ROC) curve (area under the curve = 0.742, 95 % CI 0.513–0.970), and the SUVmax cutoff value was set to 6.0 (Fig. 2).

The characteristics of the 262 patients are presented in Table 1. The proportion of the patients in clinical Stages I

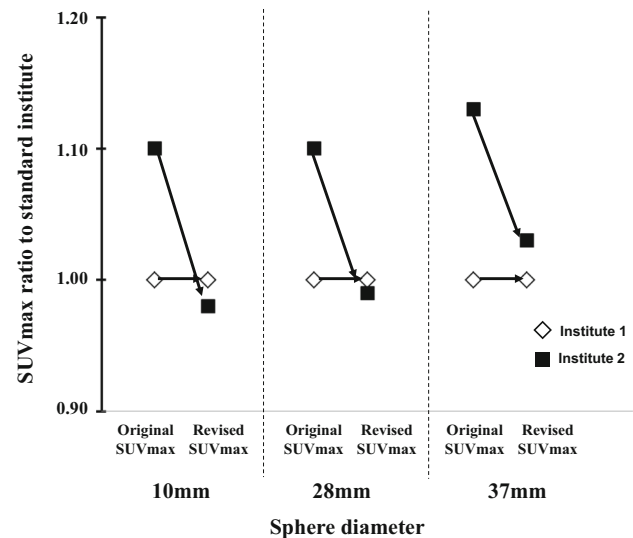


Fig. 1 Maximum standardized uptake (SUVmax) adjusted by analyzing an experimental phantom (revised SUVmax) at two institutions. After revision, the SUVmax ratio of the two institutions was close to 1.00

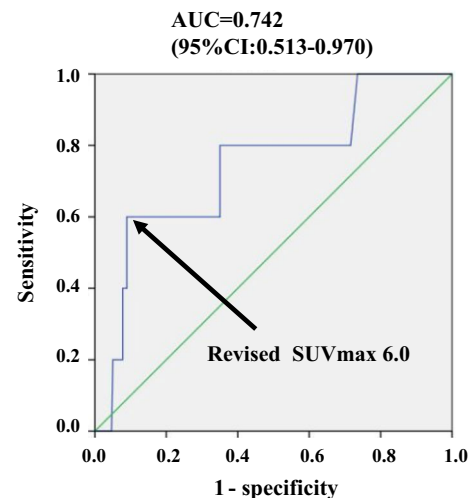


Fig. 2 Receiver operator characteristic (ROC) curves of revised maximum standardized uptake (SUVmax) for overall survival in luminal-type breast cancer ($n = 262$). The SUVmax cutoff value for overall survival was set to 6.0 after evaluating the ROC area under the curve (0.742 with 95 % CI 0.513–0.970)

and II was almost 96.2 %. Revised SUVmax values were added to each patient's dataset.

Clinicopathological parameters and revised SUVmax values are presented in Table 2. The patients were divided into two groups according to SUVmax (SUVmax \leq 6.0 group, $n = 233$; SUVmax > 6.0 group, $n = 29$). Prognostic factor candidates such as age, clinical T and N stage, nuclear grade, lymph node metastasis, and vascular invasion were evaluated for each SUVmax group. T stage and nuclear grade were significantly associated with SUVmax

Table 1 Patient characteristics

	<i>n</i>	Rate	Revised SUVmax
Age			
58.2 ± 12.7(21–91)	262		3.24 ± 2.64
Procedure			
Breast conserving surgery	175	66.8 %	2.76 ± 2.10
Mastectomy	87	33.2 %	4.22 ± 3.28
Clinical T stage			
T1	187	71.4 %	2.49 ± 1.86
T2	74	28.2 %	5.10 ± 3.32
T3	1	0.4 %	6.17
Clinical N stage			
N0	203	77.5 %	3.11 ± 2.62
N1	49	18.7 %	3.59 ± 2.61
N2	7	2.7 %	4.61 ± 3.45
N3	3	1.1 %	3.72 ± 2.34
Clinical stage			
I	153	58.4 %	2.35 ± 1.61
II	99	37.8 %	4.51 ± 3.27
III	10	3.8 %	4.34 ± 3.06
Pathology			
Papillotubular carcinoma	42	16.0 %	2.72 ± 2.15
Solid-tubular carcinoma	46	17.6 %	4.66 ± 3.85
Scirrhus carcinoma	138	52.7 %	3.14 ± 2.38
Other ductal carcinoma	21	8.0 %	2.21 ± 1.02
Lobular carcinoma	10	3.8 %	2.09 ± 0.90
Others	5	1.9 %	4.07 ± 1.76
Nuclear grade			
Grade I	67	25.6 %	2.90 ± 1.89
Grade II	99	37.8 %	2.82 ± 2.48
Grade III	96	36.6 %	3.92 ± 3.10
Lymph node metastasis			
Negative	180	68.7 %	3.03 ± 2.60
Positive	82	31.3 %	3.71 ± 2.66
Vascular invasion			
Negative	194	74.0 %	3.08 ± 2.55
Positive	68	26.0 %	3.72 ± 2.84

Two hundred and sixty-two luminal-type patients (luminal A and B) were evaluated in this study

($p < 0.0001$ and $p = 0.0092$, respectively). In addition, adjuvant therapies such as hormonal therapy, chemotherapy, and radiation therapy were evaluated for each SUVmax group (SUVmax ≤ 6.0 group, $n = 228$; SUVmax > 6.0 group, $n = 29$). Only radiation therapy was significantly associated with SUVmax ($p = 0.0399$).

Age, clinical T and N stage, nuclear grade, and revised SUVmax were included in the uni- and multivariate analyses for relapse. Revised SUVmax was identified as the

only significant factor ($p = 0.013$ and $p = 0.055$ in Table 3). The types of adjuvant therapy were also evaluated in the uni- and multivariate analyses for relapse, but they were not significant factors (data not shown).

The RFS curves for the prognostic factors, including revised SUVmax and nuclear grade, are shown in Fig. 3. In the log-rank test, RFS was significantly better for the revised SUVmax ≤ 6.0 group than for the SUVmax > 6.0 group ($p = 0.004$) (Fig. 3). In the log-rank test, there was no significant difference in RFS between nuclear grades I/II versus grade III ($p = 0.120$).

In the uni- and multivariate analyses for OS, revised SUVmax was identified as the only significant factor ($p = 0.007$ and $p = 0.008$, respectively, Table 4). The types of adjuvant therapy were also evaluated, but they were not significant factors (data not shown). Similar to the findings for RFS, OS was significantly better for the revised SUVmax ≤ 6.0 group ($n = 233$) than for the SUVmax > 6.0 group ($n = 29$) in the log-rank test ($p < 0.001$) (Fig. 4). There were no significant differences in OS among the nuclear grades ($p = 0.254$).

Discussion

Breast cancer patients were classified into five phenotypes according to hormonal receptor and HER2 expressions: luminal A, luminal B, luminal HER2, HER2, and triple-negative subtypes. Luminal-type breast cancer has been reported to have better prognosis than the HER2 and triple-negative types. In the statement of the St Gallen International Expert Consensus on the Primary Therapy of Early Breast Cancer 2013, Goldhirsch et al. [27] reported that luminal A disease generally requires only endocrine therapy as an adjuvant therapy and chemotherapy is considered for most patients with luminal B, HER2-positive, and triple-negative disease, with the addition of trastuzumab especially in HER2-positive disease. This statement reveals that individual adjuvant therapies should be considered for improving patient outcomes. A new classification was required for predicting the clinical outcome. According to the new classification, the strategy of adjuvant therapy is selected according to the molecular information obtained for individual breast cancer patients [28]. Cheang et al. [28] reported that the expressions of ER, PgR, and HER2 and the Ki-67 index appear to distinguish luminal A from luminal B breast cancer subtypes. Furthermore, late relapse in ER-positive breast cancer has been a big concern for physicians and patients [29, 30]. Saphner et al. [31] reported better long-term survival for ER-positive breast cancer patients than for receptor-negative breast cancer patients, but late relapse occurred in ER-positive breast cancer patients from 5 to 10 years postoperatively. In a

Table 2 Comparison of clinicopathological parameters and types of adjuvant therapy

Variables	Revised SUVmax \leq 6.0 (<i>n</i> = 233)	Revised SUVmax > 6.0 (<i>n</i> = 29)	Odds ratio (95 % CI)	<i>p</i>
Age	58.6 \pm 12.8	54.9 \pm 11.8		0.1347
Clinical T stage				
T1	180	7	10.67 (4.32–26.36)	<0.0001
T2, T3	53	22		
Clinical N stage				
N0	184	19	1.98 (0.86–4.52)	0.1616
N1, N2, N3	49	10		
Nuclear grade				
I, II	154	12	2.76 (1.26–6.09)	0.0092
III	79	17		
Lymph node metastasis				
Negative	164	16	1.93 (0.88–4.23)	0.0957
Positive	69	13		
Vascular invasion				
Negative	176	18	1.89 (0.84–4.23)	0.1187
Positive	57	11		
Variables	Revised SUVmax \leq 6.0 (<i>n</i> = 228)	Revised SUVmax > 6.0 (<i>n</i> = 29)	Odds ratio (95 % CI)	<i>p</i>
Adjuvant therapy				
Hormonal therapy				
Yes	212	28	0.47 (0.06–3.71)	0.7400
No	16	1		
Chemotherapy				
Yes	81	15	0.51 (0.24–1.12)	0.0894
No	147	14		
Radiation therapy				
Yes	154	14	2.23 (1.02–4.86)	0.0399
No	74	15		

The clinicopathological parameters and types of adjuvant therapy of the revised SUVmax \leq 6.0 and SUVmax > 6.0 groups were compared by assessing the odds ratios and statistical significance. T stage and nuclear grade were significantly associated with SUVmax ($p < 0.0001$ and $p = 0.0092$, respectively, Table 2). Radiation therapy was significantly associated with SUVmax ($p = 0.0399$, Table 2)

Table 3 Uni- and multivariate analyses using clinical factors for relapse-free survival

Factors	Favorable	Unfavorable	Univariate analysis		Multivariate analysis	
			Hazard ratio (95 % CI)	<i>p</i> value	Hazard ratio (95 % CI)	<i>p</i> value
Age	<58	\geq 58	0.981 (0.198–4.867)	0.981	1.189 (0.233–6.075)	0.835
Clinical T factor	T1	T2, T3	2.283 (0.459–11.346)	0.313	0.911 (0.135–6.144)	0.924
Clinical N factor	N0	N1, N2, N3	1.655 (0.303–9.041)	0.561	1.097 (0.193–6.229)	0.917
Nuclear grade	I,II	III	3.531 (0.646–19.294)	0.145	2.553 (0.433–15.050)	0.301
Revised SUVmax	\leq 6.0	>6.0	7.596 (1.527–37.785)	0.013	6.436 (0.963–42.991)	0.055

SUVmax was identified as a significant predictor of relapse-free survival ($p = 0.013$ and $p = 0.055$, respectively)

retrospective study that evaluated 595 ER-positive breast cancer patients, Ahn et al. [32] reported that tumor biology might have a more important role than tumor load for late relapse. Till date, however, there is no definitive parameter for predicting outcomes of luminal breast cancer types.

Imaging techniques should play an important role in the easy prediction of luminal breast cancer type outcomes. FDG-PET/CT has been reported to be one of such imaging techniques because it can be used not only for diagnosis but also for functional assessments of cancer. SUVmax has been reported to be a useful predictor of prognosis in lung

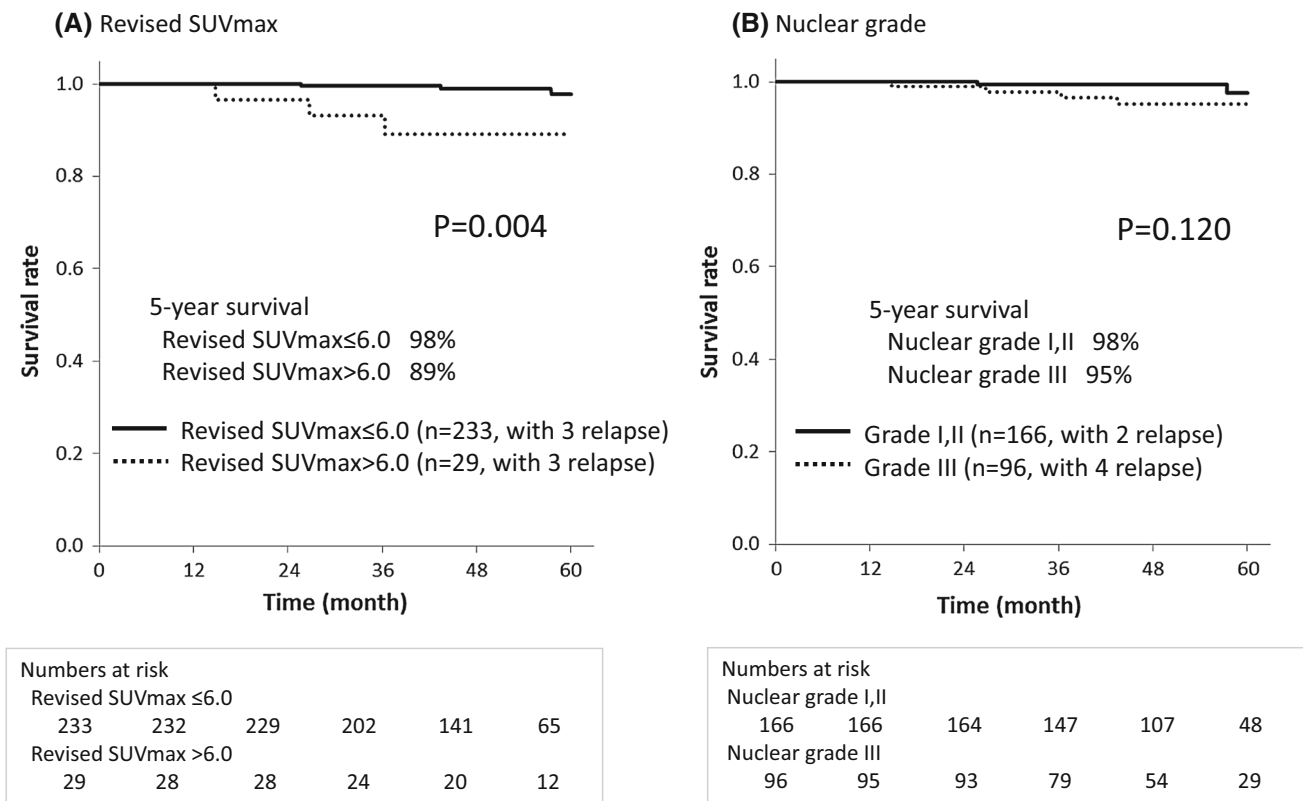


Fig. 3 Relapse-free survival (RFS) curves for prognostic factors, considering the revised maximum standardized uptake value (SUVmax) and nuclear grade. The RFS of the revised SUVmax ≤ 6.0 group was significantly better than that of the revised SUVmax > 6.0

group in the log-rank test ($p = 0.004$). There was no significant difference in RFS between the nuclear grades (nuclear grades I and II versus grade III) in the log-rank test ($p = 0.120$)

Table 4 Uni- and multivariate analyses using clinical factors for overall survival

Factors	Favorable	Unfavorable	Univariate analysis		Multivariate analysis	
			Hazard ratio (95 % CI)	<i>p</i> value	Hazard ratio (95 % CI)	<i>p</i> value
Age	<58	≥58	1.388 (0.232–8.309)	0.719	1.537 (0.239–9.891)	0.651
Clinical T factor	T1	T2, T3	1.558 (0.260–9.334)	0.627	0.454 (0.500–4.100)	0.482
Clinical N factor	N0	N1, N2, N3	0.033 (0.000–220.589)	0.447	0.000 (0.000–)	0.981
Nuclear grade	I,II	III	2.714 (0.453–16.250)	0.274	2.212 (0.334–14.628)	0.410
Revised SUVmax	≤6.0	>6.0	11.770 (1.966–70.459)	0.007	17.294 (2.118–141.237)	0.008

SUVmax was identified as a significant predictor of overall survival ($p = 0.007$ and $p = 0.008$, respectively)

cancer [20, 21] and hematological cancer [4]. In breast cancer, Basu et al. [33] reported that triple-negative breast tumors were associated with FDG uptake (SUVmax) because of their more aggressive biology compared with those of ER+/PgR+/HER2– breast cancers. Furthermore, Kadoya et al. [22] reported that SUVmax on FDG-PET/CT in patients with operable breast cancer had a predictive value for high-grade malignancy and prognosis in all types of operable breast cancer, and SUVmax values and the ER

status were reported to be predictive factors in a multivariate analysis using a Cox proportional hazard regression model ($p = 0.033$ and $p = 0.004$, respectively). For triple-negative breast cancer, there have been some reports on the utility of FDG-PET/CT for predicting the effects of neoadjuvant chemotherapy or surgical outcome [6–8, 34].

In this retrospective study, we showed that SUVmax on FDG-PET/CT was useful for predicting prognosis (OS, RFS) in 262 cases of luminal-type breast cancer. Luminal-

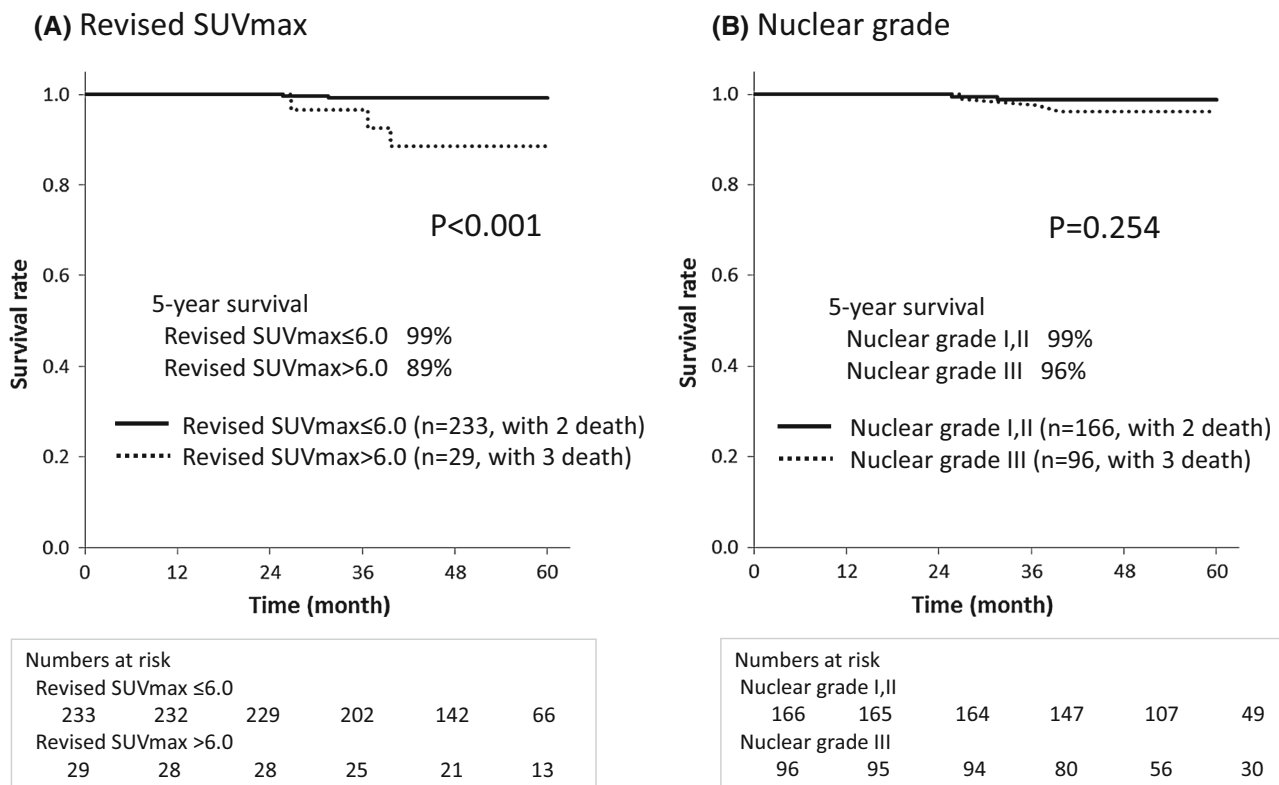


Fig. 4 Overall survival (OS) curves for revised maximum standardized uptake value (SUVmax) and nuclear grade. The OS of the revised SUVmax ≤ 6.0 group was significantly better than that of the

type breast cancer tends to have a better clinical outcome than those of HER2 or triple-negative type with respect to metastasis, progression, and survival. Furthermore, luminal-type breast cancer shows good response to hormonal therapy. However, luminal-type breast cancer occasionally has a poor prognosis, which indicates that, although occasionally, resistance to hormonal therapy and chemotherapy does occur. Early identification of luminal-type breast cancer patients who are likely to have a poor prognosis would enable a more intensive treatment from the beginning, improving their outcomes. The results of this study show that SUVmax on FDG-PET/CT could be useful for identifying patients who are likely to have a poor prognosis, especially those with luminal-type breast cancer.

In the RFS and OS analyses, the SUVmax threshold was initially set to 6.0 on the basis of ROC analysis for OS to establish two groups of patients in order to analyze potential prognostic factors, including age, clinical T and N stage, nuclear grade, lymph node metastasis, and vascular invasion. However, SUVmax was the only significant factor identified in uni- and multivariate analyses for RFS and OS.

A limitation of this retrospective study was its small size. Therefore, large-scale prospective studies are warranted to confirm the utility of SUVmax for predicting clinical

revised SUVmax > 6.0 group in the log-rank test ($p < 0.001$). There were no significant differences in OS among the nuclear grades in the log-rank test ($p = 0.254$)

outcomes at the diagnosis of breast cancer, which indicate the need of adjuvant chemotherapy adding to endocrine therapy. There may be a risk for underestimate the SUVmax of tumor less than 20 mm in diameter due to the partial volume effects. There is no definite way to make a precise adjustment of the SUVmax according to the tumor diameter to diminish the partial volume effects in the clinical use. Therefore, no adjustment was performed in this study.

Conclusion

FDG-PET/CT can be an alternative adjunct imaging modality for the screening and diagnosis of high-risk patients [35]. In this study, FDG-PET/CT SUVmax was useful for predicting OS and RFS in patients with luminal-type breast cancer. If the SUVmax on FDG-PET/CT is shown to be a prognostic factor for surgical, chemotherapeutic, and radiation treatments for breast cancer, physicians would be able to select the optimum treatment strategy for patients with luminal-type breast cancer in the future.

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Conflict of interest The authors declare that they have no conflict of interest.

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