# Modified Goel's Methods for Basilar Impression: A Case Report with Literature

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We report the case of a 57-year-old woman who had basilar impression manifesting as severe myelopathy and occipital neuralgia and was treated by distraction and fixation performed using a modification of Goel's method. Magnetic resonance imaging (MRI) and computed tomography (CT) scans showed severe myelocompression by the dens of the axis from the ventral side and occipitalization of the atlas. After traction using a Halo vest, C1-2 facet distraction and fixation was performed in one stage using a modified Goel's method. Although Goel et al. used a custom-made spacer to distract the facet joints, we used a threaded titanium cylindrical cage that was inserted into the joint to fix the C1–2 facet joint with posterior fixation from occipital bone to C5. Postoperatively, gradual symptomatic and neurological amelioration were observed. The atlantoaxial joints were bone-fused at 3 years post-operation. Distraction and fixation performed using this modified version of Goel's method was effective for treating basilar invagination. The threaded titanium cylindrical cage provided adequate C1-2 space and strong initial fixation.

**Keywords:** basilar impression, modified Goel's method, threaded titanium cylindrical cage

## Introduction

Basilar impression (BI) is defined as prolapse of the cervical spine (dens) into the skull base and is classified into Types A and B.<sup>1)</sup> Several surgical options are available for BI. Posterior decompression and fixation are typically performed first, with a trans-oral approach selected second. Goel et al. recently presented a surgical technique for BI and rheumatoid disease that involves distraction and fixation of the C1–2 facet joint.<sup>2–4)</sup>

Here, we present a case of BI that was treated with distraction and fixation using a modified version of Goel's original technique.

## **Case Report**

A 57-year-old woman had a 3-year history of occipitalgia. Upon examination, the patient showed occipitalgia, poor

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dexterity and coordination of both hands, and ataxic and spastic gait associated with severe myelopathy and numbness of both the forearms and hands. The Japanese orthopedic score (JOA) was 5. Preoperative plain radiography and computed tomography (CT) scans revealed C1 assimilation and BI of the dens (Fig. 1A) and significant myelocompression by the C2 odontoid process (Fig. 1B).

## I. Treatment

First, the patient underwent traction with 2 kg weight but this was discontinued because of severe neck pain. Therefore, traction was performed gently using a Halo vest (Fig. 2A).<sup>3)</sup> The patient was pulled by the gantry of the Halo vest by 1 cm/week for 4 weeks. The occipitalgia ameliorated immediately, and numbness gradually reduced with traction.

After the traction, CT scans revealed marked reduction of the odontoid process on the caudal side (Fig. 2B, C). The patient then underwent surgery to avoid re-invagination in a prone position using a modification of Goel's method. Bilateral atlantoaxial facet joints were exposed after cutting the C2 ganglion. Using a microscope, we determined the articular surfaces to be "bone-to-bone" and observed marked degeneration of joints similar to degenerative lumbar listhesis. The facets were meticulously distracted using an appropriately sized shaver. Goel et al. used a custom-made spacer to distract the facet joints,<sup>3)</sup> but we used a 6-mm diameter threaded titanium



**Fig. 1** A: Preoperative computed tomography (CT) scans with angiography revealing C1 assimilation of the atlas and hypoplasia of vertebral artery of left side (arrow). B: Preoperative sagittal magnetic resonance imaging (MRI)  $T_2$ -weighted showing ventral myelocompression.

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Fig. 2 A: Photograph showing lift of the Halo vest gantry, B: Pre-traction, C: post-traction computed tomography scans revealing marked reduction of the odontoid process, and D: the m-cage®.



**Fig. 3** Postoperative right view (A), left view (B), coronal views (C, D), sagittal view (E) of computed tomography (CT) scans and magnetic resonance imaging (MRI)  $T_2$ -weighted (F) showing reduced odontoid process of the axis. CT scans showing position of the dens at pre-treatment (G), post-traction (H), and post-operative (I) time points. CT scans taken 3 years after the surgery shows C1–2 facet fusion and no recurrence of basilar impression (J, K).

cylindrical cage (Fig. 2D; m-cage®; Ammtec Inc., Tokyo) on each side. Local bones were filled in around the cages. This cage was inserted into the joint smoothly with natural distraction. During the manipulation of the C1-2 facet joints, vertebral arteries were not identified and not necessary to see. Rod-and-screw fixation was conducted from the occipital bone to C5 using the VERTEX MAX<sup>®</sup> reconstruction system (Medtronic Sofamor Danek, Memphis, Tennessee, USA). We used a pedicle screw on the left side of C2, a laminar screw on the right side of C2, and applied a bilateral transarticular screw on C4. We expected to fuse solidly by fixing from the occipital bone to C5 (Fig. 3A-I). In this procedure, the threaded titanium cylindrical cage (m-cage®) was inserted into C1-2 facet joint. There may be higher risk to cause sinking in the future, if only stand alone was done. As the original procedure done by Goel et al., the posterior fixation by the rod-and screw system was necessary to prevent the sinking.

Somatosensory-evoked potentials and transcranial motorevoked potentials could not detect any damage during the surgery.

### **II.** Outcome

After the surgery, gradual symptomatic and neurological amelioration were observed. The JOA score improved to 13. The gait, dexterity, and incoordination of hands improved. The atlantoaxial joints were considered to be bone-fused on C1–2 facet, and no recurrence of BI was noticed on CT scans performed 3 years post-operatively (Fig. 3J, K).

#### Discussion

We report the first case of BI treated using a modification of Goel's method. In our procedure, for C1–2 facet joint distraction, a threaded titanium cylindrical cage was inserted to fix the C1–2 facet joint with posterior fixation from occipital bone to C5 using the reconstruction system (VERTEX MAX<sup>®</sup>). Our method differed from Goel's in that Goel et al. used an original spacer to distract C1–2 space before fixation, while we used a thread-type cage to fix the C1–2 facet joint. Both performed posterior fixation with rod-and-screw system. Our case report proves that this modification enables a stable fixation.

Previous reports have described different surgical approaches for similar diseases;1-7) however, two important points must be highlighted. Instability associated with the assimilated arch of C1 led to relative BI, causing C1-2 instability. We believe that the C1-2 joint causes listhesis, resulting in BI. In this case, CT scans showed bilateral C1-2 facet joints with a slant and listhesis. If the occipital neuralgia had been caused by instability and BI was accompanied by myelopathy, both fixation and decompression could be considered necessary. In the present case, occipitalgia and numbness in the hands ameliorated gradually after wearing the Halo vest. When considered as a one-stage operation, Goel's method,<sup>2)</sup> Tuere's operation,<sup>4)</sup> or cervical traction and occipitocervical fixation<sup>1)</sup> seemed to represent the ideal procedures for this case. We chose Goel's method due to easy orientation with the posterior approach and insertion of the spacer into the facet joint of C1-2 without taking load against the C2 pedicle screw or articular screws. Although no detailed description of the inter-space distance of the distracted C1–2 facet joint was provided in Botelho's article,<sup>1)</sup> the dynamic load against each screw is expected to be sufficient for C1-2 facet destruction and fixation. Even if BI is easily reduced by traction,<sup>1)</sup> maintaining separation of the C1-2 joint is not easy. In this case using m-cage achieved the initial fixation firmly with the smooth distraction. The reason could be m-cage we used this time was threaded cylindrical type.

## Conclusion

We report a case of adult BI with C1 assimilation. After traction using a Halo vest, C1–2 facet distraction and fixation

were performed in a "one-stage only" operation. A 6-mm threaded titanium cylindrical cage was very usefully for distraction of the C1–2 space and fix C1–2 facet joint, although Goel et al. used a distinct original spacer to distract C1–2 space.

Our surgical method achieved both fixation and decompression of the brainstem. Therefore, this modified version of Goel's method appears effective for treating BI.

## **Conflicts of Interest Disclosure**

All authors have neither conflicts of interest nor financial interest in this work.

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