

Original Article



The Detailed Kinetics of Cytomegalovirus-specific T cell Responses after Hematopoietic Stem Cell Transplantation: 1 Year Follow-up Data

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Conflict of Interest

The authors declare no potential conflicts of interest.

Abbreviations

CMV, cytomegalovirus; GVHD, graft versus host disease; HCT, hematopoietic stem cell transplant; IFN, interferon; OI, opportunistic infection; SFC, spot-forming cells

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ABSTRACT

The detailed kinetics of the cytomegalovirus (CMV)-specific T cell response in hematopoietic stem cell transplant (HCT) recipients have not yet been fully assessed. We evaluated these kinetics of CMV-specific T cell response and factors associated with high CMV-specific T cell responses 1 year after HCT. In HCT recipients, CMV pp65 and IE1-specific ELISPOT assay were performed before HCT (D0), and at 30 (D30), 90 (D90), 180 (D180), and 360 (D360) days after HCT. Of the 51 HCT recipients with donor-positive (D⁺)/recipient-positive (R⁺) serology, 26 (51%) developed CMV infections after HCT. The patterns of post-transplantation reconstitution for CMV-specific T cell response were classified into 4 types: 1) an initial decrease at D30 followed by gradual T cell reconstitution without CMV infection (35%), 2) an initial decrease at D30 followed by gradual T cell reconstitution preceded by CMV infection (35%), 3) failure of gradual or constant T cell reconstitution (26%), and 4) no significant T cell reconstitution (4%). There was no significant difference between ELISPOT counts of D360 and those of D0. High CMV-specific T cell responses at D360 were not associated with high CMV-specific T cell response at D0, CMV infection, ganciclovir therapy, graft versus host disease (GVHD), and immunosuppressant use. In conclusion, there are 4 distinct patterns of reconstitution of the CMV-specific T cell response after HCT. In addition, reconstituted donor-origin CMV-specific T cell responses appeared to be constant until day 360 after HCT, regardless of the level of the pre-transplant CMV-specific T cell response, CMV infection, and immunosuppressant use.

Keywords: Cytomegalovirus; ELISPOT; Hematopoietic stem cell transplantation

Author Contributions

Conceptualization: Lee SO, Choi SH, Kim SH; Data curation: Kim SM, Kang YA, Lee YS; Formal analysis: Kim SM, Kang YA, Lee YS; Investigation: Bae S, Jung J, Chong YP, Sung H, Lee JH; Supervision: Kim YS, Woo JH, Lee KH; Validation: Chong YP, Sung H, Lee JH; Writing - original draft: Bae S, Jung J, Lee SO, Choi SH, Kim YS, Woo JH, Lee KH, Kim SH; Writing - review & editing: Bae S, Jung J, Kim SM, Kang YA, Lee YS, Chong YP, Sung H, Lee SO, Choi SH, Kim YS, Woo JH, Lee JH, Lee JH, Lee KH, Kim SH.

INTRODUCTION

Cytomegalovirus (CMV) infection is a major cause of morbidity and mortality in hematopoietic stem cell transplant (HCT) recipients (1,2). Although advances in preemptive treatment based on monitoring viral load determined by pp65 antigenemia assays and/or quantitative PCR for CMV DNA have reduced the incidence of CMV viremia and subsequent disease, breakthrough CMV disease and drug-related toxicity is still a problem (3-5). The T cell system plays a crucial role in controlling CMV infection, and the deficiency of this immune system after HCT creates a risk of CMV replication and shedding (6,7). Several previous studies have reported that the ELISPOT assay for measuring CMV-specific T cell responses is useful for predicting the development of CMV infection and disease after HCT (8-11). Immunological monitoring of CMV-specific T cells might allow more targeted use of antiviral agents. However, the detailed kinetics of CMV-specific T cell response in HCT recipients have not yet been fully assessed. In addition, it has been reported that the long-term immunity after HCT varies according to the pathogen and may decrease over the years (12,13). We therefore evaluated these kinetics of CMV-specific T cell response and factors associated with high CMV-specific T cell responses at 1 year after HCT.

MATERIALS AND METHODS

Study population and design

This study was performed at Asan Medical Center, a 2,700 bed tertiary care hospital in Seoul, Korea. All adult patients (≥ 16 years old) who were scheduled to undergo allogeneic HCT between April 2014 and April 2015 were invited to participate in the study. The exclusion criteria were refusal of written informed consent, as well as donor-negative (D^-) and/or recipient-negative (R^-) CMV serology. Tests for CMV IgG were performed in the HCT recipients and donors before HCT. CMV pp65 antigenemia assays or PCR test were monitored weekly from day 21 to day 100 and monthly for 1 year post-transplantation. Patients who developed viremia received preemptive therapy with ganciclovir or valganciclovir until negative conversion for CMV antigenemia. All drug dosages were adjusted for renal impairment. The study protocol was approved by the Institutional Review Board of Asan Medical Center (approval number: 2014-0103).

Virological monitoring

The CMV antigenemia assay was performed as previously described (3). EDTA-treated whole blood samples were fractionated by dextran sedimentation and lysis of erythrocytes, and the granulocytes were centrifuged to prepare a cytospin slide. The cells were then fixed with formaldehyde and sequentially immunostained with monoclonal antibodies C10/C11 (Clonab CMV; Biotest, Dreieich, Germany). Counts are expressed as positive cells per 200,000 leukocytes. CMV DNA quantitation was performed with a Qiagen Artus CMV RGQ MDx kit (Qiagen, Doncaster, Australia) on a Rotor-Gene Q platform (Qiagen) following DNA extraction with a NucliSens easyMAG nucleic acid extraction system (bioMérieux, Lyon, France).

Immunological monitoring

CMV pp65- and IE1-specific ELISPOT assays were performed before HCT (D_0), and 30 (D_{30}), 90 (D_{90}), 180 (D_{180}), 360 (D_{360}) days after HCT. A peripheral venous blood sample (up to 8 ml) was collected from each patient to detect T cells producing interferon (IFN)-gamma (*i.e.*, T-track CMV; Lophius Biosciences, Regensburg, Germany). Briefly, peripheral blood mononuclear cells (PBMCs) were immediately (within 30 min) separated and collected. The collected cells

were resuspended at 2.0×10^6 cells/ml, placed (2.0×10^5 cells/well) in wells pre-coated with anti-human IFN-gamma antibody. The PBMC were stimulated with phytohemagglutinin (positive control), pp65, IE1 and medium only (negative control) and incubated for 18 h. The resulting spots were counted with an automated microscope (ELiSpot 04 HR; Autoimmune Diagnostika GmbH, Strassberg, Germany). Background counts, obtained in the negative control wells, were subtracted. The results are expressed as spot-forming cells (SFC)/ 2.0×10^5 cells.

Assessment of outcomes

CMV infection was defined as positive pp65 antigenemia (≥ 1 cell per 200,000 cells) or positive PCR (≥ 500 copies/ml) as evidence of CMV DNAemia. CMV disease was defined as evidence of localized CMV infection (cells with CMV inclusions, *in situ* detection of CMV antigen by immunohistochemistry, or DNA) in a biopsy or other appropriate specimen (*e.g.*, bronchoalveolar lavage, cerebrospinal fluid) and symptoms of organ dysfunction.

Statistical analysis

Continuous variables were compared using paired *t*-test, while categorical variables were examined with the χ^2 or Fisher's exact test. Repeated measures ANOVA were used for trend analysis. To analyze the correlations between the different variables selected and the dependent variable, linear regression analysis was performed. All *p*-values were 2-tailed, and $p < 0.05$ was considered statistically significant. SPSS Statistics, version 19.0 (IBM Corp., Armonk, NY, USA), was used for analyses.

RESULTS

Clinical and demographic characteristics of HCT recipients

During the study period, a total of 140 patients underwent allogeneic HCT. Of these, 52 (37%) refused informed consent and 4 (3%) with D⁻/recipient-positive (R⁺) CMV serology were excluded. Of the remaining 84 (60%) HCT recipients with donor-positive (D⁺)/R⁺ serology, 30 died and 3 were lost to follow-up by 1 year after HCT. Only the 51 patients who survived 12 months post-HCT were eligible for the final analysis. Their demographic and clinical characteristics are summarized in **Table 1**. Acute myeloid leukemia was the most common cause of HCT, followed by myelodysplastic syndrome, and aplastic anemia. All HCT recipients received stem cell grafts of donor peripheral blood. As most individuals in Korea have CMV IgG, only D⁺/R⁺ cases were included in the study. Of the 51 patients, 26 (50%) developed ≥ 1 episode of CMV infection, and 10 (21%) had relapsing CMV infections (2 or more episodes of CMV infections). The median time for onset of CMV infection post-HCT was 35 days after transplantation (range, 14–115 days). Three (6%) patients suffered CMV disease, comprising CMV retinitis, esophagitis, and gastritis. Two of the episodes of CMV esophagitis and gastritis developed in patients with low or undetectable ELISPOT counts, whereas in the third episode the patient experienced retinitis after documented CMV-specific immune restoration.

A total of 25 patients experienced non-CMV infection. Fifteen cases were identified in the non-survivor group and 10 in the survivor group (**Supplementary Table 1**). Among the survivors, there was no significant difference in the opportunistic infection (OI) incidence in the failed recovery pattern C and D (13% [2/15]) compared to the recovered pattern A and B (22% [8/36]; $p = 0.700$). However, there was a significant difference in OI incidence between survivors and non-survivors (20% [10/51] vs. 50% [15/30]; $p = 0.004$). During the period, 30 patients died and were excluded from the analysis. The cause of mortality in 30 patients is

Table 1. Baseline demographic and clinical characteristics of 51 recipients of HCTs

Characteristic	Total (n=51)
Median age (range, yr)	41 (20–64)
Male	32 (63)
Underlying disease	
Acute myeloid leukemia	32 (63)
Myelodysplastic syndrome	8 (16)
Aplastic anemia	6 (12)
Acute lymphocytic leukemia	2 (4)
Chronic myeloid leukemia	1 (2)
Non-Hodgkin's lymphoma	1 (2)
Hemophagocytic lymphohistiocytosis	1 (2)
Transplant type	
Full allogeneic	10 (20)
Non-myeloablative allogeneic	41 (80)
Stem cell source	
Peripheral blood	51 (100)
Cord blood or bone marrow	0 (0)
HLA matching	
Matched related	19 (37)
Matched unrelated	16 (31)
Mismatched related	13 (25)
Mismatched unrelated	3 (6)
CMV serostatus	
Donor positive/recipient positive	51 (100)
Remission before HCT	27 (53)
Acute GVHD	13 (25)
Chronic GVHD	13 (25)
Preemptive ganciclovir therapy	17 (33)
Corticosteroid use before HCT	11 (22)
Corticosteroid use after HCT	46 (90)
GVHD prophylaxis regimen	
Cyclosporine	51 (100)
Methotrexate	43 (84)
Tacrolimus or mycophenolate	7 (14)
CMV infection	26 (51)
Relapsing CMV infection	10 (20)
CMV disease	3 (6)

Values are number (%) unless otherwise indicated.
HLA, human leukocyte antigen.

summarized in **Supplementary Table 2**. In the mortality cases, failed immune reconstitution patterns such as pattern C and D were observed more frequently than in the survivor group (70% [21/30] vs. 29% [15/51]; $p < 0.001$). However, ELISPOT counts between mortality cases and survivors were not significantly different except for pp65-specific response at D180 (median, 113 SFC/ 2.0×10^5 cells vs. 14 SFC/ 2.0×10^5 cells; $p = 0.034$).

CMV T cell specific immune recovery over a period of 1 year post-transplantation

The development of CMV-specific T cell responses over the period are shown in **Fig. 1**. In the majority of cases (70%) the CMV-specific T cell response decreased initially at D30, then recovered progressively with or without a CMV infection. The trend analysis using repeated measures ANOVA showed an overall time-dependent increase of immune responses during the period from D30 to D360 (**Supplementary Table 3**). The CMV-specific T cell responses at D360 to pp65 (median, 92 SFC/ 2.0×10^5 cells vs. 43 SFC/ 2.0×10^5 cells; $p = 0.886$) and to IE1 (median, 17 SFC/ 2.0×10^5 cells vs. 10 SFC/ 2.0×10^5 cells; $p = 0.457$) showed no significant differences from those at D0. Factors including a high CMV-specific T cell response at D0, CMV infection after HCT, preemptive ganciclovir therapy, graft versus host disease (GVHD)

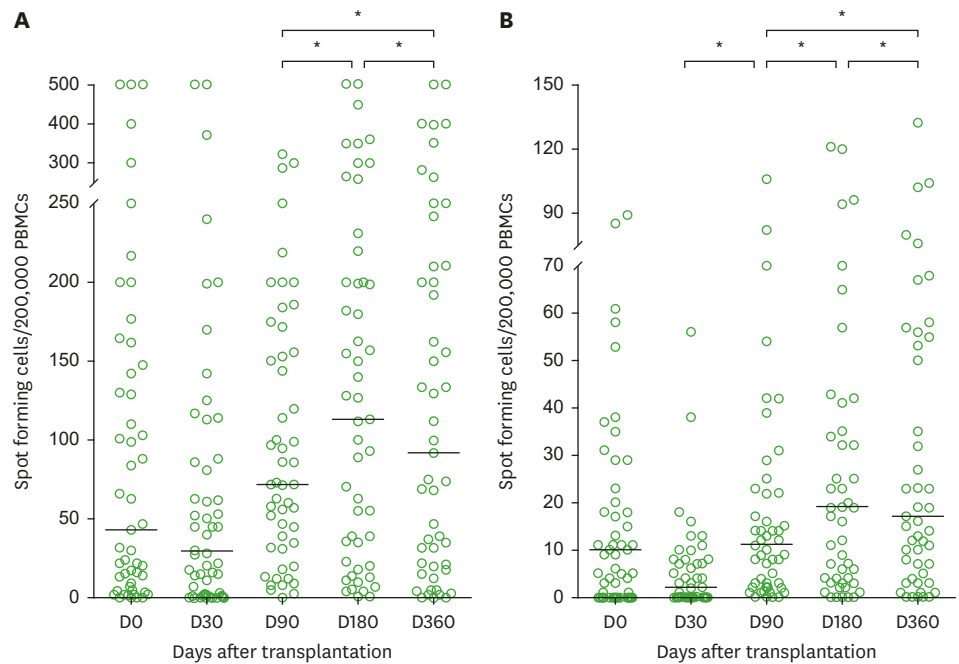


Figure 1. Kinetics of (A) pp65- and (B) IE1-specific ELISPOT assays over the first year after transplantation in hematopoietic stem cell recipients. Bars indicate median values. Only significant p-values are shown. PBMC, peripheral blood mononuclear cell. * $p < 0.05$.

(including acute and/or chronic form), and prolonged immunosuppressant use were not associated with the ELISPOT count at D360 (**Supplementary Table 4**).

The 4 patterns of immune reconstitution

We discerned 4 patterns of post-transplant CMV-specific immune reconstitution as follows (**Fig. 2**): 1) an initial decrease at D30 followed by spontaneous T cell reconstitution without CMV viremia (18 [35%], **Fig. 2A**), 2) a low or absent T cell response at D30 followed by gradual T cell reconstitution boosted by a preceding CMV infection (18 [35%], **Fig. 2B**), 3) failure of gradual or sustained T cell reconstitution (13 [26%], **Fig. 2C**), and 4) absence of any detectable significant T cell reconstitution (2 [4%], **Fig. 2D**). Grouping by presence of immune reconstitution, there was a significant difference in ELISPOT counts between pattern A, B and pattern C, D. pp65-specific ELISPOT count of pattern A, B at D90 (median, 91 SFC/ 2.0×10^5 cells vs. 47 SFC/ 2.0×10^5 cells; $p = 0.028$), D180 (median, 153 SFC/ 2.0×10^5 cells vs. 35 SFC/ 2.0×10^5 cells; $p = 0.007$), and D360 (median, 159 SFC/ 2.0×10^5 cells vs. 5 SFC/ 2.0×10^5 cells; $p < 0.001$) were higher than those of pattern C, D and IE1-specific ELISPOT count of the former at D360 (median, 21 SFC/ 2.0×10^5 cells vs. 7 SFC/ 2.0×10^5 cells; $p = 0.038$) were higher than those of the latter (**Supplementary Fig. 1**). There was no significant difference of clinical characteristics between 2 groups (**Supplementary Table 5**).

DISCUSSION

CMV remains one of the most problematic pathogens in HCT recipients (1,7). Since T cell compartments are critical for immune control of CMV, a variety of tools have been adopted to measure cell-mediated immunity against CMV for immunological monitoring (14). The

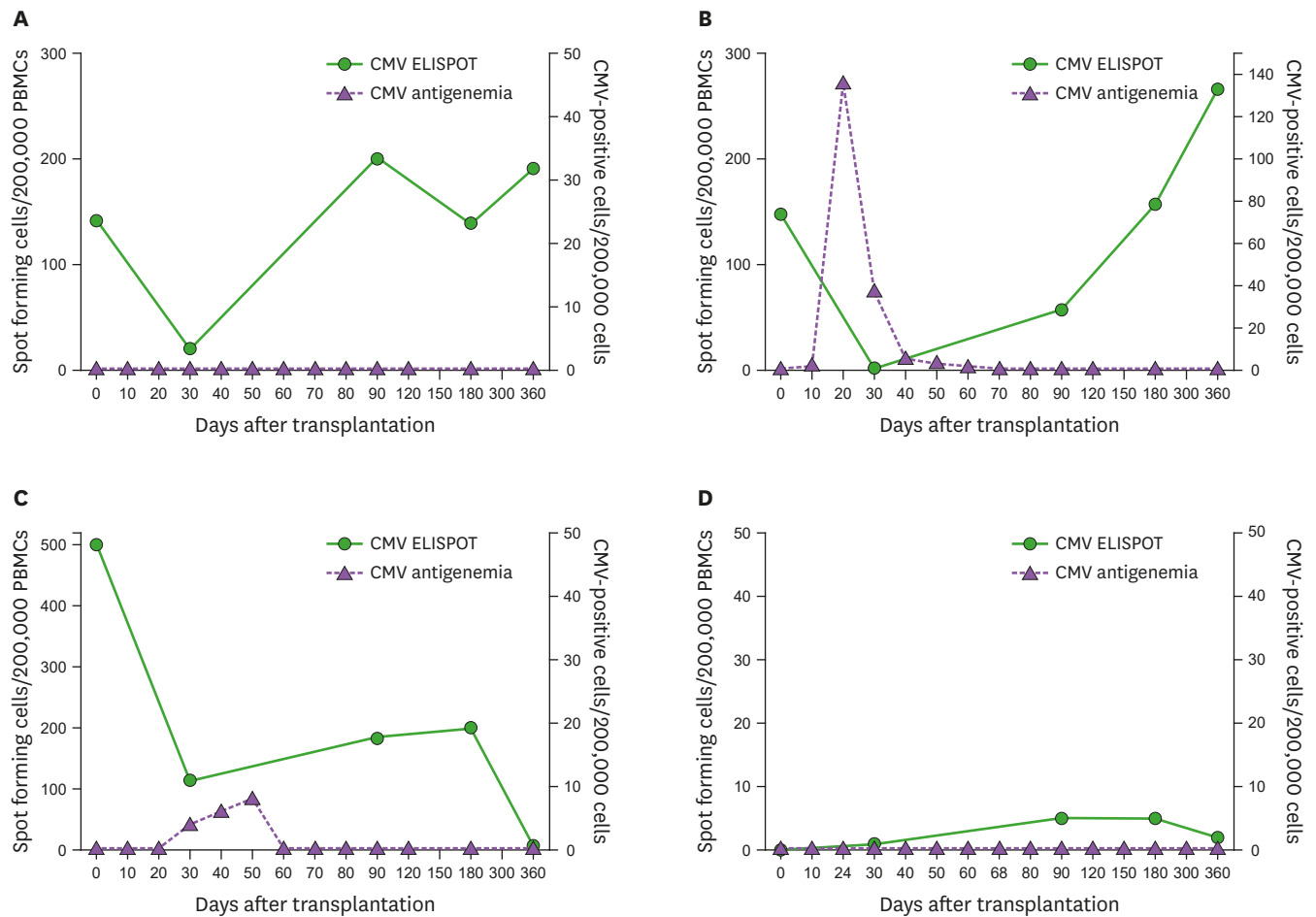


Figure 2. Four patterns of immune reconstitution in hematopoietic stem cell recipients. (A) Spontaneous recovery without CMV infection. (B) T cell reconstitution with a preceding CMV infection. (C) Failure of gradual or sustained T cell reconstitution. (D) Absence of T cell reconstitution. PBMC, peripheral blood mononuclear cell.

ELISPOT assay is a sensitive and simple method for counting antigen-specific CD4⁺ and/or CD8⁺ lymphocyte to assess cell-mediated immune responses to stimulating antigens (15). It has been reported that the ELISPOT can identify patients at risk of CMV disease (8-11). However, there are limited data on the detailed kinetics of the CMV-specific T cell response especially on long-term follow-up of HCT recipients.

In present study, the median ELISPOT count at day 360 did not differ from baseline count and an overall time-dependent increasing trend of immune responses was observed during the period from D30 to D360 after HCT. These findings suggest that restoration of T cell-mediated immunity from the graft lasts for at least a year. In detail, recovery of CMV-specific immunity was maintained over that time in the majority (70%) of immune reconstitution patterns. Interestingly, none of the factors tested, including pre-transplant CMV-specific T cell response, CMV infection after HCT, ganciclovir use, GVHD, and immunosuppressant use, was found to be associated with a high CMV-specific T cell responses at D360. It seems likely that the two thirds of HCT recipients who recover CMV-specific T cell responses by D360 can prevent CMV reactivation by that time.

We found 4 different patterns of CMV-specific immune, in partial agreement with a previous study that reported heterogeneous patterns of CMV-specific immune restoration

in 31 pediatric allogeneic HCT recipients (11). The authors described 2 patterns in the D⁺/R⁺ setting. However, they did not specify the relative frequencies of the patterns. In another study assessing cell-mediated immunity in partially matched-related donor transplantations, both CMV-specific CD8⁺ T cell counts and ELISPOT responses recovered gradually by day 90 after HCT and then tended to decrease (16). However, those results should be interpreted with caution because differences in donor/recipient serologic status, immunosuppressive regimen, and presence of GVHD may affect patterns of CMV-specific immune reconstitution.

Our data provided us important insight on long-term CMV-specific T cell response in HCT recipients. About two thirds of HCT recipients with or without the evidence of CMV infection restored CMV-specific T cell response. The remaining one third of HCT recipients did not restore CMV-specific T cell response even after the evidence of CMV infection. We thus assume that one third of HCT recipients who cannot ultimately restore CMV-specific T cell response may need long-term surveillance of CMV infection or prolonged antiviral use may be helpful in these patients. In addition, we can roughly estimate the test burden of CMV ELISPOT assays in terms of how long and how often these new assays should be performed in HCT recipients. Actually, the German researchers are conducting “AlloProtectCMV” study which will evaluate whether CMV-specific T cell response after CMV reactivation in HCT recipients can predict secondary CMV reactivation in the future (clinical trials No. NCT02156479 in ClinicalTrials.gov). This study will give us more detailed information on the development of subsequent CMV infection in HCT recipients who cannot restore CMV-specific T cell response.

This study has several limitations. First, only HCT recipients with D⁺/R⁺ serology were enrolled. Therefore, it is difficult to extrapolate our data to recipients with other serologies. Second, some may argue that evaluating the IFN-gamma releasing T cell response gives only limited information about CMV infection. Recent studies have revealed that polyfunctional T cell responses are predictive of protection against CMV risk after lung transplantation (17). Hence, evaluation of the polyfunctionality of CMV-specific T cell responses may provide more useful information on protection against CMV infection after HCT. Further studies are needed in this area.

In conclusion, our results reveal 4 distinct patterns of CMV-specific T cell responses after HCT. They also showed that by D360 donor-origin CMV-specific T cell responses appear to be constantly restored to the level of the recipient-origin CMV-specific T cell responses before HCT and that this outcome is unrelated to pre-transplant CMV-specific T cell responses, CMV infection, and immunosuppressant use. Regular monitoring of CMV-specific immune response may allow more sophisticated treatment to prevent CMV infection, and further study is needed to elucidate the usefulness of measuring cell-mediated immunity by the ELISPOT assay.

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SUPPLEMENTARY MATERIALS

Supplementary Table 1

Patients with infections other than CMV

[Click here to view](#)

Supplementary Table 2

Cause of mortality in 30 non-survivor group

[Click here to view](#)

Supplementary Table 3

The trend analysis of ELISPOT counts from D30 to D360 by using repeated measures analysis of variances

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Supplementary Table 4

Associations of the ELISPOT count at D360 with multiple variables by univariate linear regression analyses

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Supplementary Table 5

Comparison of clinical and demographic characteristics in patients with or without CMV-specific T cell immune reconstitution

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Supplementary Figure 1

Different kinetics of (A) pp65- and (B) IE1-specific ELISPOT assays in 2 groups according to immune reconstitution patterns over the first year after HCT. Only significant p-values are shown.

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