



Metabolic engineering of astaxanthin-rich maize and its use in the production of biofortified eggs

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Summary

Production of the high-value carotenoid astaxanthin, which is widely used in food and feed due to its strong antioxidant activity and colour, is less efficient in cereals than in model plants. Here, we report a new strategy for expressing β -carotene ketolase and hydroxylase genes from algae, yeasts and flowering plants in the whole seed using a seed-specific bidirectional promoter. Engineered maize events were backcrossed to inbred maize lines with yellow endosperm to generate progenies that accumulate astaxanthin from 47.76 to 111.82 mg/kg DW in seeds, and the maximum level is approximately sixfold higher than those in previous reports (16.2–16.8 mg/kg DW) in cereals. A feeding trial with laying hens indicated that they could take up astaxanthin from the maize and accumulate it in egg yolks (12.10–14.15 mg/kg) without affecting egg production and quality, as observed using astaxanthin from *Haematococcus pluvialis*. Storage stability evaluation analysis showed that the optimal conditions for long-term storage of astaxanthin-rich maize are at 4 °C in the dark. This study shows that co-expressing of functional genes driven by seed-specific bidirectional promoter could dramatically boost astaxanthin biosynthesis in every parts of kernel including embryo, aleurone layer and starch endosperm other than previous reports in the starch endosperm only. And the staple crop maize could serve as a cost-effective plant factory for reliably producing astaxanthin.

Introduction

Astaxanthin, a lipid-soluble carotenoid in the xanthophyll class, is synthesized primarily by various marine microalgae and rarely reported in higher plants. Despite astaxanthin accumulation in the carapace and flesh of marine animals, such as lobster, shrimp, krill, crabs, salmon, red snapper and rainbow trout, animals are unable to synthesize it *de novo* and must obtain it from astaxanthin-containing algae (Higuera-Ciapara *et al.*, 2006; Kurnia *et al.*, 2015). In other animals, such as poultry and birds, astaxanthin-containing feed can dye their feathers, skin or eggs with attractive colours. Astaxanthin contains 13 conjugated double bonds with an alternating polar–nonpolar–polar structure (McNulty *et al.*, 2008) which allows it to span cellular and organelle membranes and thus exhibit stronger antioxidant activity against lipid peroxidation than zeaxanthin and lutein (Hussein *et al.*, 2006; Rao *et al.*, 2015). Astaxanthin can significantly reduce oxidative damage to DNA, proteins and lipids, decrease inflammation and enhance the immune response in humans. Astaxanthin has health-promoting effects for the prevention and treatment of numerous diseases related to ageing in humans and animals, including cardiovascular disease and age-related cognitive and memory dysfunction (Fassett and Coombes, 2011; Goswami *et al.*, 2010).

Currently, astaxanthin is widely used in feed, foods, nutraceuticals, pharmaceuticals and cosmetics (Ambati *et al.*, 2014). Chemical synthesis and extraction from the microalga

Haematococcus pluvialis are the main methods used to manufacture nature astaxanthin (Koller *et al.*, 2014). Astaxanthin derived from *H. pluvialis* can account for up to 4%–5% of the dry weight (DW) of the alga (Wayama *et al.*, 2013) and is used in supplements for human consumption and for colouring fish and poultry feeds (Shah *et al.*, 2016). However, microalgae-derived astaxanthin contributed to less than 1% of commercial production due to its high costs and technological barriers (Ambati *et al.*, 2019; Koller *et al.*, 2014; Olaizola, 2003). Synthetic astaxanthin, consisting of the stereoisomers (3S, 3'S), (3R, 3'S) and (3R, 3'R) at a ratio of 1 : 2 : 1, exhibits 20-fold lower antioxidant capacity compared to astaxanthin from *H. pluvialis* (Koller *et al.*, 2014; Lorenz and Cysewski, 2000; Megdal *et al.*, 2009). The stereoisomer of astaxanthin extracted from *H. pluvialis* is the natural form (3S, 3'S) and the most valuable stereoisomer (Shah *et al.*, 2016). Meanwhile, synthetic astaxanthin exhibits different stereochemistry and may be contaminated with by-products, which makes it less suitable for direct consumption by humans (Shah *et al.*, 2016). The cost of synthetic astaxanthin for the salmon feed industry is \$2500 per kg, and the annual market value has reached \$200 million. The overall animal feed market for astaxanthin was \$300 million in 2009 and has been predicted to increase to \$800 million by 2020. The nutraceutical market for astaxanthin is expected to also increase dramatically, reaching \$300 million by 2020 (Ambati *et al.*, 2019).

To develop an efficient and low-cost approach to producing astaxanthin with high bioactivity, plants have been employed by

various research teams, as astaxanthin may accumulate in plant plastids (Farré *et al.*, 2016; Hasunuma *et al.*, 2008; Huang *et al.*, 2012, 2013; Mann *et al.*, 2000; Park *et al.*, 2017; Xie *et al.*, 2019; Zhong *et al.*, 2011; Zhu *et al.*, 2018). The *bkt* (β -carotene ketolase) and *bhy* (β -carotene hydroxylase) genes from algae have been widely applied in various plant metabolic engineering contexts for astaxanthin production. Tobacco was the first plant used for astaxanthin production, through expression of the *HpCrtO* gene (a β -carotene ketolase from *H. pluvialis*) integrated into its genome (Mann *et al.*, 2000). Using chloroplastic metabolic engineering to express *CrtW* and *CrtZ* in tobacco leaves led to the accumulation of up to 5.44 mg/g DW of astaxanthin (Hasunuma *et al.*, 2008). A breakthrough study of tomato plants co-expressing *Crbkt* from *Chlamydomonas reinhardtii* and *Hpbhy* from *H. pluvialis* reported the production of 16.1 mg/g DW astaxanthin in tomato fruits (Huang *et al.*, 2013). However, the high-water contents of fresh tobacco leaves and tomato fruits limit their storage duration in large-scale production. Maize is one of the three main staple crops worldwide, making it a cost-effective platform for the manufacture of astaxanthin in seed. Transgenic maize harbouring *Crbkt* and *BrCrtZ* that was generated through particle delivery system-mediated transformation produced 16.77 mg/kg DW astaxanthin in its endosperm (Farré *et al.*, 2016). Recently, the variety aSTARice was reported to accumulate 16.23 mg/kg DW astaxanthin in the rice endosperm after four synthetic genes related to carotene biosynthesis were introduced (Zhu *et al.*, 2018).

In this study, we selected maize seed as a platform for the manufacture of astaxanthin through modification of its carotene biosynthesis pathway. Using the regulated 'source-flux-sink' strategy by simultaneously providing sufficient phytoene as substrates, adjusting metabolic knot to enforce β -carotene pathway and choosing enzymes of three origins: the phytoene synthase gene (*ZmPSY1*) from maize and the phytoene desaturase gene (*PaCrtI*) from *Pantoea ananatis* were combined with three pairs of β -carotene hydroxylase genes and β -carotene ketolase genes from a flowering plant, an alga and a yeast respectively. All these measures dramatically broaden the metabolic flux to synthesis astaxanthin in maize seeds to generate diverse astaxanthin-rich maize events. Also, the bidirectional promoter facilitates to generate compact vectors in size and make synchronism of the paired genes' expression. A feeding trial with laying hens indicated that the astaxanthin-rich maize seed could be used as a reliable source of astaxanthin as *H. pluvialis* for the feed industry.

Results

Construct design for astaxanthin biosynthesis in maize kernels

According to the biosynthesis pathway of astaxanthin (Figure 1), lycopene is an important node in the precursor supply chain for astaxanthin biosynthesis, and there are several biosynthetic pathways for converting β -carotene or zeaxanthin to astaxanthin through catalysis by various enzymes in astaxanthin-producing organisms. Thus, the 'source-flux-sink' strategy for high-yield production of astaxanthin in maize is carried out as follows: First, precursor source broadening, to increase the supply of the upstream precursor lycopene to support astaxanthin biosynthesis, all constructs (Figures 2a and 3a) contained the phytoene synthase gene (*ZmPSY1*) from maize and phytoene desaturase gene (*PaCrtI*) from *P. ananatis*, which catalyses the reactions from

geranylgeranyl diphosphate to phytoene and then lycopene respectively (Figure 1, in green). Second, adjusting the lycopene flux diversion, the expression of *LCY-e* which catalyses the reaction of lycopene to α -carotene was knocked down by RNAi to ensure that the β -carotene pathway was favoured (Figure 1, in grey). Finally, astaxanthin sink enhancement, to enhance the throughput of the reactions converting β -carotene or zeaxanthin into astaxanthin, we overexpressed various combinations of β -carotene ketolase and β -carotene hydroxylase genes in the seed (Figure 1, in red and box with dotted outline). In total, eight genes were used, including *ZmPSY1* from the *Zea mays* inbred line B73; *PaCrtI* from *P. ananatis*; *CrBKT* from *C. reinhardtii*; *HpCrtZ* from *H. pluvialis*; *XdCrtS* and *XdCrtR* from *Xanthophyllomyces dendrorhous*; *AdCBFD1* and *AdHBFD1* from *Adonis aestivalis*. All genes were codon optimized for maize, except *ZmPSY1* and then fused with a transit peptide from maize ribulose biphosphate carboxylase small subunit 1 (*ZmSSU1*) for plastid targeting. Each pair of genes was fused to both ends of a seed-specific bidirectional promoter (Liu *et al.*, 2018a) forming two frames as a module, and different modules were then combined to form different constructs (Figures 2a and 3a). The bidirectional promoter is the intergenic region of a divergent gene pair that mostly co-expressed and usually involved in the same pathway (Krom and Ramakrishna, 2008). One bidirectional promoter directed two genes expression simultaneously could reduce the construct size which could facilitate complex metabolic engineering (Liu *et al.*, 2018b).

Generation and characterization of astaxanthin-rich maize germplasm

According to the design described above and the literature, we chose the modules **CP** (*PaCrtI*::*BDEN*::*ZmPSY1*), **BZ** (*CrBKT*::*BDEN*::*HpCrtZ*) and *LCYERNAi* to form two constructs, *pBDEN-CP-BZ* and *pBDEN-CP-BZ-LCYERNAi*. The *Agrobacterium-mediated transformation* was employed to introduce the constructs into Hi-II maize, generating stable transgenic maize events. Polymerase chain reaction (PCR) and reverse transcription PCR (RT-PCR) were carried out using genomic DNA and cDNA from the transgenic events as templates respectively. The results confirmed that the functional genes and sequences for the hairpin of the *LCY-e* RNAi construct were successfully integrated into the genome and transcribed as expected (Figure S1). As Hi-II, like other maize varieties with white endosperm, contains multiple copies of carotenoid cleavage dioxygenase 1 (*CCD1*) genes, which encode enzymes catalysing carotenoids (lycopene, β -carotene and zeaxanthin, also the right precursor of astaxanthin) degradation and interfering these substances accumulation in endosperm (Tan *et al.*, 2017; Vogel *et al.*, 2008), all T0 plants were crossed to the inbred line Z58 (yellow endosperm, only low copy *CCD1* genes and thus sufficient carotenoids could be accumulated and converted to astaxanthin efficiently in it) to generate T1 seeds. Two developmental stages (at 20 and 30 days after pollination [DAP]) of T1 seeds derived from the *pBDEN-CP-BZ* (Figure 2b–d) and *pBDEN-CP-BZ-RNAi* (Figure 2e–g) constructs were reddish-orange in colour due to pigment accumulation throughout the seed.

To analyse the composition of the pigment produced, high-performance liquid chromatography (HPLC) and mass spectrometer (MS) were used to analyse the dry mature reddish-orange T1 seeds. According to astaxanthin standards, both constructs derived transgenic maize successfully produced astaxanthin in seeds (Figures 2h,i and S2). The astaxanthin level in event LX68-1,

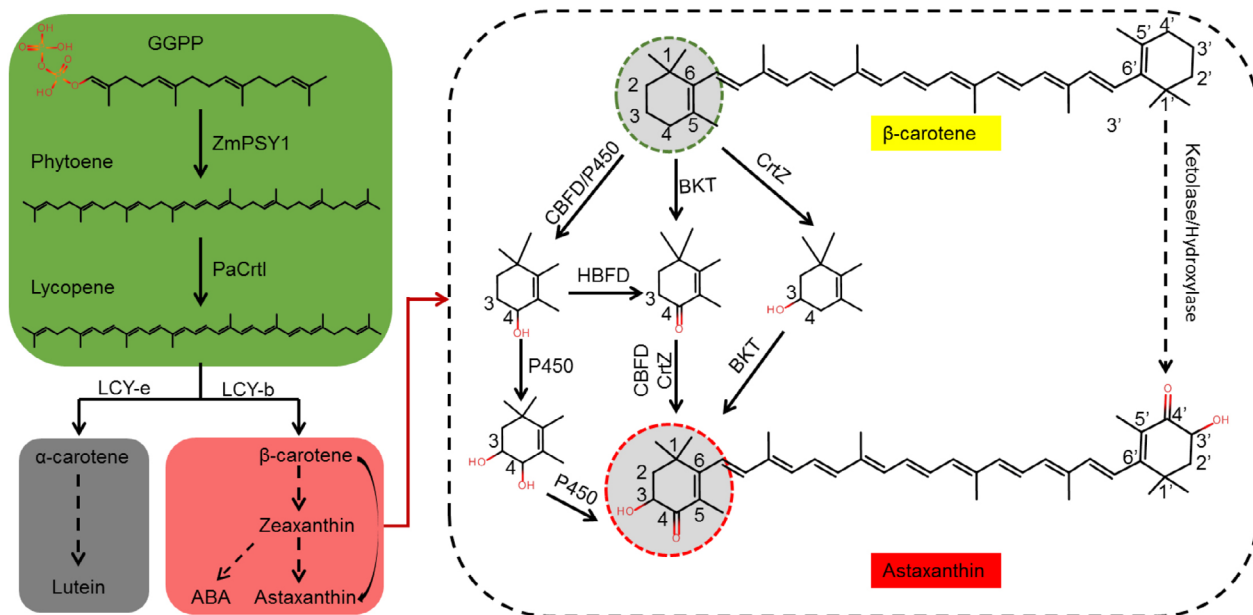


Figure 1 Schematic representation of reconstructed astaxanthin biosynthesis pathways in maize seed. Green indicates the enhancement of early precursor biosynthesis due to the expression of ZmPSY1 and PaCRTI. Grey represents a competitive metabolic pathway that converts lycopene into lutein; we aimed to limit lycopene conversion to lutein by down-regulating the expression of LCY-e. Red shows our attempt to produce astaxanthin through the elongation of the β -carotene biosynthesis pathway. The box with the black dotted outline contains the details of the strategy highlighted in red. Hydroxylases (CBFD/P450/CrtZ) and ketolases (HBFD/BKT/P450) were employed to convert the β -rings of β -carotene into 3-hydroxy-4-keto- β -rings. GGPs, geranylgeranyl pyrophosphate synthase; LCY-e, lycopene ϵ -cyclase; LCY-b, lycopene β -cyclase; ABA, abscisic acid.

derived from pBDEN-CP-BZ, was 73.65 mg/kg DW, and the levels in events LX71-1, LX71-2 and LX71-8, which were all derived from pBDEN-CP-BZ-RNAi, were 46.76, 52.40 and 58.67 mg/kg DW, respectively (Figure 2h,i). The isomer composition of astaxanthin in transgenic maize included all-*E*, 9*Z* and 13*Z*, which is similar to that in *H. pluvialis*. The all-*E* form of astaxanthin was the dominant component in events LX71-1, LX71-2 and LX71-8, whereas the content of 9*Z* astaxanthin (42.49 mg/kg DW) was higher than that of the all-*E* type (20.38 mg/kg DW) in event LX68-1 (Figure 2i). Because the total content of astaxanthin (46.76–73.65 mg/kg DW) in these engineered maize events is higher than those produced in previous reports of astaxanthin metabolic engineering in cereals (0.2–16.8 mg/kg DW; Bai *et al.*, 2017; Farré *et al.*, 2016; Zhu *et al.*, 2018), we designated these engineered maize events as astaxanthin-rich maize.

Backcross breeding for high-yielding astaxanthin-rich maize

It has been reported that colours of dark or light yellowish maize germplasms are due to differing contents of β -carotene or zeaxanthin in the endosperm. To test whether yellow endosperm maize germplasm affected the accumulation of astaxanthin and screen the best recurrent parents for astaxanthin-rich maize breeding, we gathered 19 elite inbred lines with yellow endosperm as female parents to cross with event LX71-2. Based on qualitative analysis of seed colour and quantification of astaxanthin content, four inbred lines—Z58, C7-2, NM28 and NF28, which were the parents of the hybrid maize varieties ZD958 and ND28, respectively, were chosen as suitable recurrent parents. On the other hand, ZD958 and ND28 are the most widely cultivated hybrid maize varieties in China for many years

because their stable and higher yields and the purpose of using them as background is to make most high-yielding astaxanthin-rich maize. BC3F1 seeds from the four backcrossed inbred lines exhibited a distinct orange-red colour (Figure 3a–d). PCR analysis of these lines' genomes confirmed that the four transgenes and LCYRNAi were stably integrated (Figure S1). Quantification of astaxanthin using HPLC revealed that 64.11, 48.54, 52.22 and 42.46 mg/kg DW astaxanthin were present in backcrossed Z58, C7-2, NM28 and NF28, respectively (Figure 3e). These four inbred lines could be used as parents for improved ZD958 and ND28 hybrid maize varieties after further backcrossing.

Exploration of new ketolase and hydroxylase genes for astaxanthin biosynthesis

To explore novel ketolase and hydroxylase genes for high-level production of astaxanthin, we first applied the ketolase and hydroxylase genes, *AdCBFD1* and *AdHBFD1* from *Adonis aestivalis* (Cunningham and Gantt, 2011), which could naturally convert carotene into astaxanthin in higher flowering plants, for plant metabolic engineering of astaxanthin biosynthesis. We also tested the efficiency of astaxanthin biosynthesis using ketolase and hydroxylase combinations from a microalga, a yeast and a higher flowering plant. For those purposes, we synthesized *AdCBFD1*, *AdHBFD1*, *XdCrtS* and *XdCrtR* with codon optimization for maize and fused each gene to a maize plastid targeting peptide, SSU1, along with previously synthesized *PaCrtI*, *HpCrtZ* and *CrBKT*, and *ZmPSY1* cloned from maize, resulting in eight genes directed by four seed-specific bidirectional P_{2BDEN} promoters (Liu *et al.*, 2018a) in four frames: **CP** (PaCrtI::2BDEN::ZmPSY1), **CH** (AdCBFD1::2BDEN::AdHBFD1), **BZ** (CrBKT::2BDEN::HpCrtZ) and **SR** (XdCrtS::2BDEN::XdCrtR). Seven constructs were derived

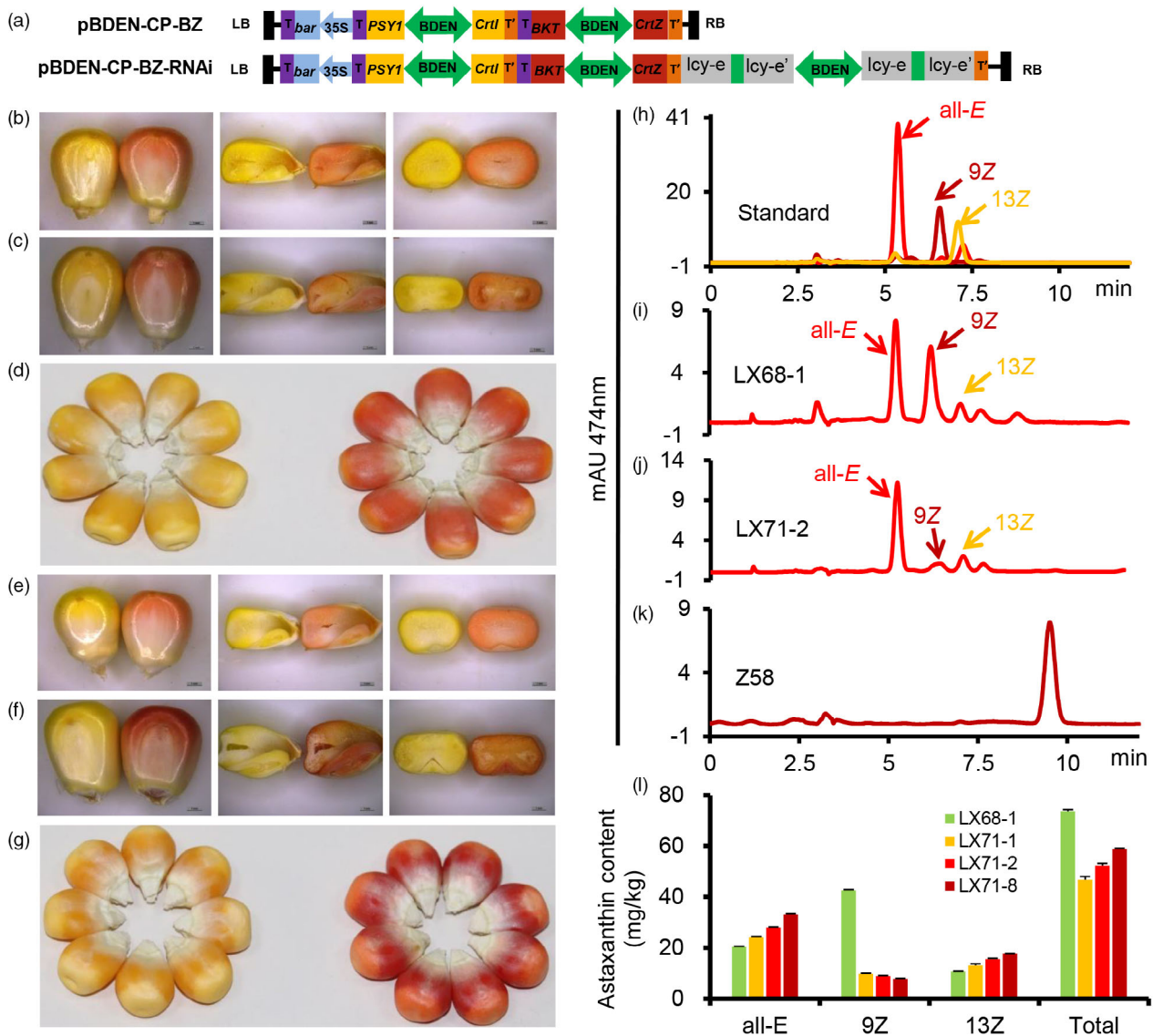


Figure 2 Development of astaxanthin-rich maize. (a) Schematic diagram of the constructs pBDEN-CP-BZ and pBDEN-CP-BZ-RNAi, functional genes and sense (lcy-e) and anti-sense (lcy-e') fragments of *ZmLCY-e* directed by the seed-specific bidirectional promoter P_{R55GPA} . (b–g) Engineered astaxanthin-rich maize seeds at different developmental stages; (b–d) seeds derived from pBDEN-CP-BZ; (e–g) seeds derived from pBDEN-CP-BZ-RNAi; (b, e) at 20 days after pollination (DAP); (c, f) at 30 DAP; (d, g) mature dry seeds. (h–l) High-performance liquid chromatography (HPLC) analysis of astaxanthin in dried astaxanthin-rich maize seeds; (h) a mixture of all-*E*, 9*Z* and 13*Z* astaxanthin standards; (i) the transgenic event LX68-1, derived from pBDEN-CP-BZ; (j) the transgenic event LX71-2, derived from pBDEN-CP-BZ-RNAi; (k) the yellow endosperm inbred line Z58 used as the recurrent parent; (l) quantitative analysis of isomers and total astaxanthin. all-*E*, all-*trans* geometric isomer; 9*Z*, *cis*-9 geometric isomer; 13*Z*, *cis*-13 geometric isomer. All data were derived from three technical repetitions.

from stacking these frames (Figure 4a). We conducted *Agrobacterium*-mediated transformation of Hi-II hybrid maize with these seven constructs to generate transgenic maize, and all T0 plants were crossed with the inbred line Z58 to generate T1 seeds. PCR analysis confirmed the presence of the transgenes for six constructs in the corresponding transgenic maize events, but the construct p2BDEN-CP-BZ-CH failed to generate young shoots from bialaphos-resistant callus (Figure S3).

According to the colour of transgenic maize seed derived from these constructs (Figure 4b–g), five out of six constructs supported successful pigment accumulation (Figure 4c–g), with the exception of p2BDEN-CP-SR (Figure 4b). HPLC analysis showed that the events derived from p2BDEN-CP-CH (Figure 4c),

p2BDEN-CP-BZ (Figure 4d), p2BDEN-BZ-CP-SR (Figure 4f) and p2BDEN-BZ-CH-CP-SR (Figure 4g) biosynthesized the all-*E*, 9*Z* and 13*Z* isomers of astaxanthin in transgenic maize seeds, whereas the p2BDEN-CP-SR- and p2BDEN-CP-CH-SR-derived events failed to produce astaxanthin (Figure 4b,e). Quantitative analysis showed that the contents of astaxanthin in transgenic maize harbouring **CH** and **BZ** were 49.23 and 111.82 mg/kg DW, respectively (Figure 4c,d,h). However, when **SR** was co-expressed with **CH** (p2BDEN-CP-CH-SR), no astaxanthin biosynthesis was detected in the resulting maize (Figure 4b,h); when **SR** was co-expressed with **BZ** (p2BDEN-BZ-CH-SR), the content of astaxanthin decreased from 111.82 mg/kg DW to 16.28 mg/kg DW (Figure 4f,h); and when **SR**, **CH** and **BZ** were expressed

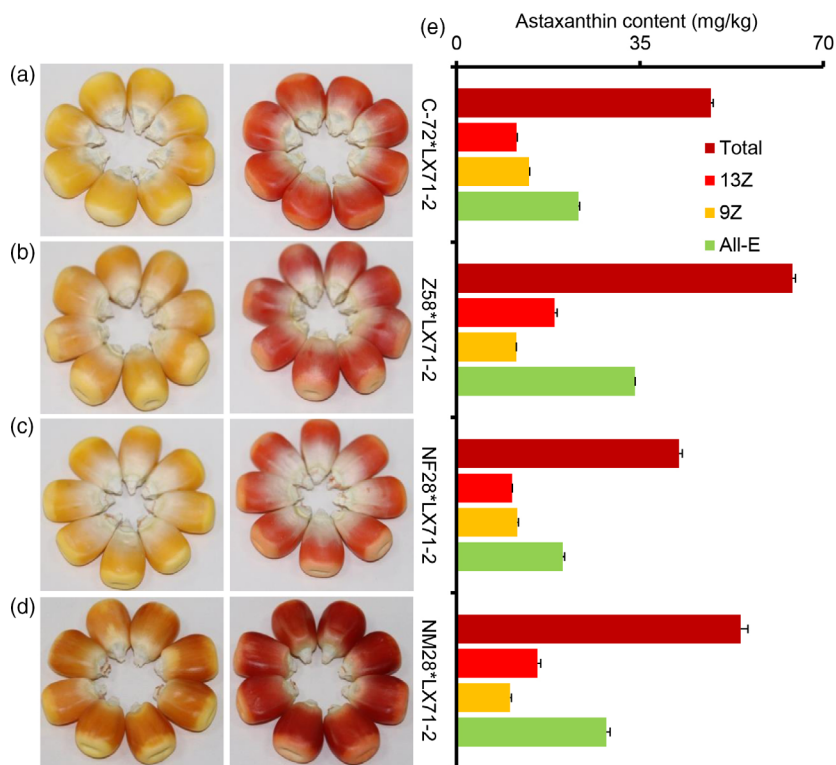


Figure 3 Evaluation of backcrossed astaxanthin-rich maize. (a–d) BC3F1 seeds from event LX71-2 crossed with inbred line Z58 (a), C7-2 (b), NM28 (c) and XF28 (d), respectively. (e) Quantitative analysis of isomers and total astaxanthin in backcrossed astaxanthin-rich maize seeds. All data were derived from three technical repetitions.

simultaneously (p2BDEN-BZ-CH-CP-SR), the content of astaxanthin was 78.70 mg/kg DW (Figure 4g,h). These results indicate that **SR** from yeast alone could not drive the biosynthesis of astaxanthin in maize, whereas both **CH** from a flowering plant and **BZ** from an alga could. In addition, **SR** supports the conversion of β -carotene to astaxanthin in yeast but might have played a negative role in astaxanthin biosynthesis in maize, whereas **CH** and **BZ** clearly played positive roles.

Functional evaluation of astaxanthin from astaxanthin-rich maize through a feeding trial with laying hens

To evaluate the bioactivity of maize-derived astaxanthin, we used astaxanthin-rich maize in place of non-transgenic maize in feed for laying hens. First, to determine the optimal amount of astaxanthin in the feed, we added powdered *H. pluvialis* containing 2.84% astaxanthin to obtain feed with different contents of astaxanthin (0, 5, 10, 15, 30 and 150 mg/kg). The yolk colour transitioned from yellow to red with increasing astaxanthin content in the feed (Figure 5a). We selected 30 mg astaxanthin per kg feed as the optimal concentration for astaxanthin-added feed, mainly based on the cost of adding astaxanthin and the degree of redness of the egg yolk. Meanwhile, based on the reddening of the yolk (Figure 5b), as measured using brightness (L^*), red (a^*) and yellow (b^*) values (Figure S4a), and the content of astaxanthin in the yolk (Figure S4b), it appears that that yolk astaxanthin content gradually increased in laying hens provided feed containing 30 mg/kg astaxanthin, with the content stabilizing after 8 days and then gradually falling to zero at 14 days after the astaxanthin supply was stopped (Figures 5b and S4). Additionally, we used astaxanthin-rich maize (hybrid maize variety ND28, derived from NM28*LX71-2 and NF28*LX71-2; Figure S5) to replace all non-transgenic maize in the laying hens' feed (Table 1). Thus, the new feed contained 24.32 mg/kg astaxanthin, whereas the control

feed contained no astaxanthin. Algal powder (with an astaxanthin content of 2.84%) was added to another batch of feed such that it had the same astaxanthin content as astaxanthin-rich maize feed. As shown in Figure 5c, the yolks from hens fed astaxanthin-rich maize and algal powder-fortified feed were red, whereas yellow yolks were observed in the control feed group. HPLC analysis showed that laying hens could take up astaxanthin from the feed and accumulate it into the egg yolks at week 4 (algal powder-fortified feed group, 13.67 mg/kg; astaxanthin-rich maize group, 14.15 mg/kg) and week 8 (algal powder-fortified feed group, 12.10 mg/kg; astaxanthin-rich maize group, 13.40 mg/kg; Figure 5d). Moreover, astaxanthin isomers found in the yolks matched those of the corresponding astaxanthin sources. However, compared to the isomer compositions in astaxanthin-rich maize and algal powder, yolks from both groups contained increased levels of the 13Z astaxanthin isomer, which indicates that laying hens could convert other isomers of astaxanthin to 13Z (Figure 5d).

Meanwhile, to determine the effects of astaxanthin-rich maize on the production performance of laying hens and egg quality, feed intake was measured biweekly, egg production was measured daily, health status was checked daily and egg quality was determined at weeks 4 and 8. Dietary supplementation of astaxanthin did not affect egg production, daily egg mass, egg weight or egg ratio ($P > 0.05$), although a significant difference was found in the daily feed intake of hens ($P < 0.05$; Table S1). Astaxanthin-rich maize had no effects on egg shape, shell strength, albumen height, Haugh unit score, yolk percentage, shell percentage or albumen percentage based on comparisons between hens consuming the control diet and those consuming the astaxanthin-added or astaxanthin-rich maize diet (Table S2). The values for each egg quality characteristic were similar between the control and test groups ($P > 0.05$), and all eggs were graded as grade AA quality.

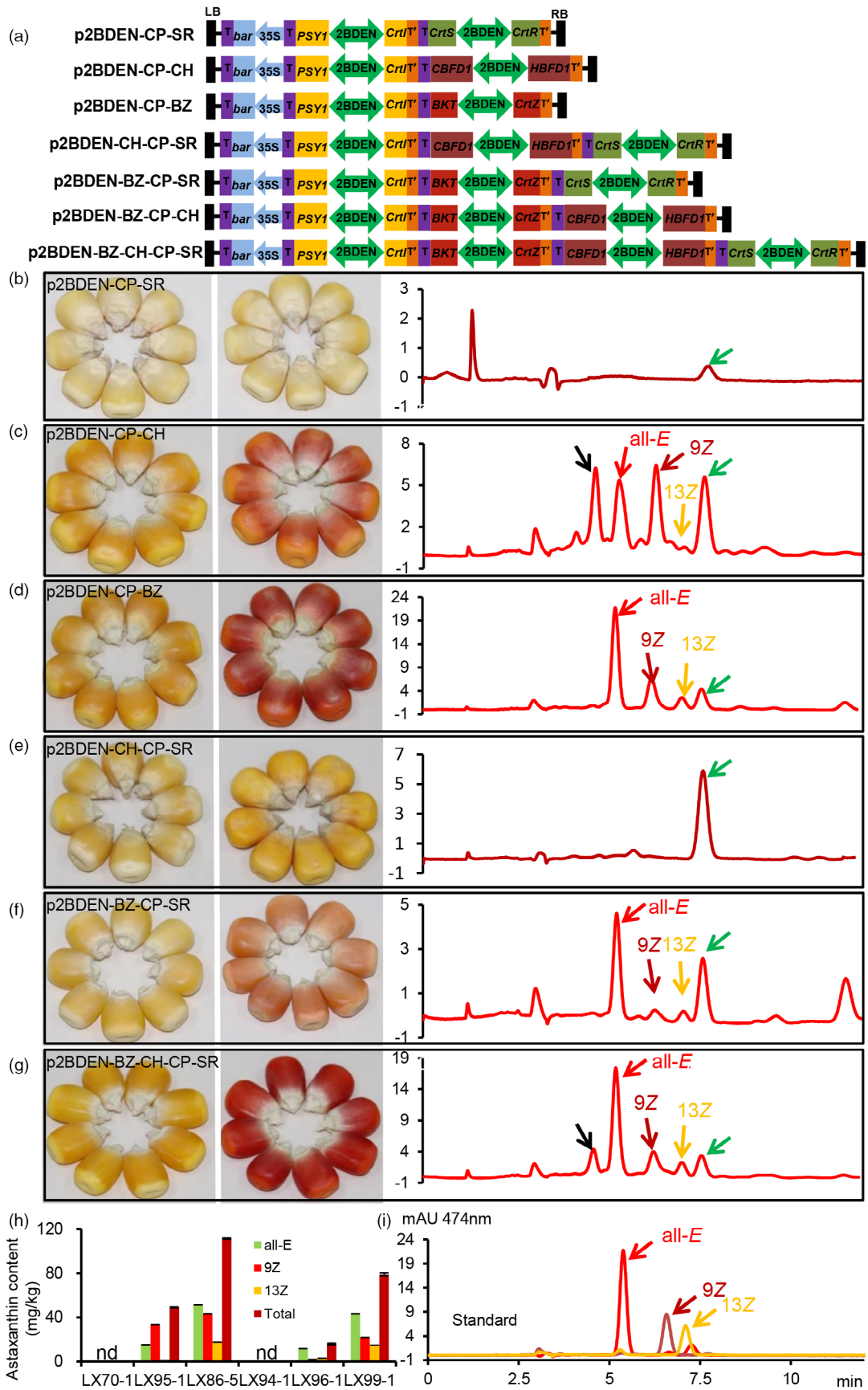


Figure 4 Analysis of F1 progenies of astaxanthin-rich maize generated using new ketolase and hydroxylase gene pairs. (a) Schematic diagram of constructs. (b–g) Transgenic maize seeds derived from each type of constructs. (b, e) transgenic maize samples derived from 'SR' and 'CH+SR' showing no astaxanthin peak; (c) samples derived from 'CH' showing a unique unidentified peak (black arrow) and three astaxanthin peaks; (d, f) samples derived from 'BZ' and 'BZ + SR' showing the same peaks as astaxanthin isomer standards; (g) samples derived from 'BZ + CH + SR' containing all peaks that appear in maize derived from other constructs. The peak indicated with a green arrow was a common unknown peak in all samples. (h) Quantitative analysis of isomers and total astaxanthin. (i) A mixture of all-*E*, 9*Z* and 13*Z* astaxanthin standards. nd, not detected. All data were derived from three technical repeats.

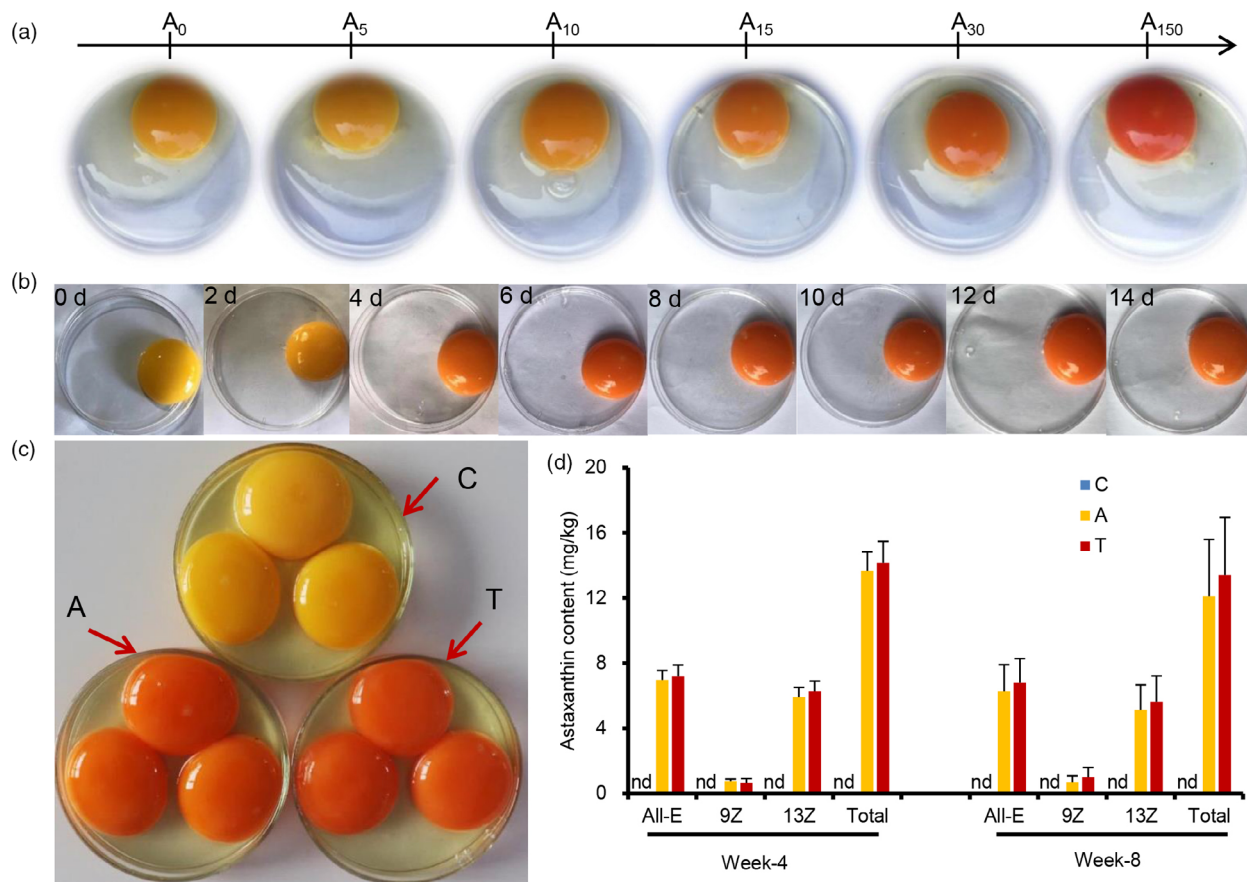


Figure 5 Functional evaluation of astaxanthin from astaxanthin-rich maize based on a feeding trial with laying hens. (a) Screening of the optimal amount of astaxanthin to add via the addition of *Haematococcus pluvialis* algal powder containing 2.84% astaxanthin to laying hen feed. A₀, no addition; A₅–A₁₅₀, feeds with final astaxanthin contents of 5, 10, 15, 30 and 150 mg/kg, respectively. (b) Profile of accumulated astaxanthin in egg yolks produced by laying hens provided feed containing astaxanthin (24.32 mg/kg) from day 0 to day 14. (c) Egg yolks from hens in different groups. C, provided feed containing no astaxanthin; A, provided feed containing astaxanthin from algal powder; T, provided feed containing astaxanthin from transgenic maize. (d) Quantitative analysis of isomers and total astaxanthin contents in egg yolks at weeks 4 and 8 in the three treatments.

Taken together, consumption of astaxanthin-rich maize as well as astaxanthin in *H. pluvialis* algal powder enhances astaxanthin incorporation into eggs without adverse effects on the production performance of laying hens or egg quality.

Evaluation of storage stability of astaxanthin-rich maize

Astaxanthin is highly sensitive to oxygen, temperature and light, and previous research showed that storage temperature and air exposure affected the stability of astaxanthin from *H. pluvialis* (Ahmed et al., 2015). Therefore, it is necessary to test the stability of astaxanthin in astaxanthin-rich maize to facilitate its future application. We divided the event LX71-2-derived BC3F1 seeds Z58*LX71-2, C7-2*LX71-2, NM28*LX71-2 and NF28*LX71-2

into four batches, which were stored in the dark at room temperature, 4, –20 °C or –80 °C. HPLC quantitative analysis showed that the astaxanthin contents in Z58*LX71-2 seeds were 45.74, 74.81, 89.98 and 99.99 mg/kg DW after 7 months of storage at room temperature, 4, –20 and –80 °C, respectively (Figure 6). Thus, the astaxanthin contents in Z58*LX71-2 seeds were negatively correlated with storage temperature. Similar trends were observed in C7-2*LX71-2, NM28*LX71-2 and NF28*LX71-2 seeds (Figure 6). These results suggest that low temperatures maintain the stability of astaxanthin in maize kernels. However, from an economic perspective and for the practicality of large-scale storage, 4 °C is the optimal temperature.

Table 1 Composition of experimental diets for laying hens

Ingredient	Control group	Astaxanthin group	Transgenic maize group
Corn (%)	63.00	63.00	
Astaxanthin corn (%)			63.00
Soybean meal (%)	26.30	26.30	26.30
Limestone (%)	8.90	8.90	8.90
Premix* (%)	0.65	0.564	0.65
CaHPO ₄ (%)	1.00	1.00	1.00
DL-Met (%)	0.15	0.15	0.15
Astaxanthin (2.84%; %)		0.086	
Total	100.00	100.00	100.00
Nutrient level [†]			
ME (MJ/kg)	11.17	11.17	11.17
Astaxanthin (mg/kg)	0.00	24.32	24.32
Crude protein (%)	16.50	16.50	16.50
Calcium (%)	3.36	3.36	3.36
Non-phytate phosphorus (%)	0.34	0.34	0.34
Lysine (%)	0.86	0.86	0.86
Methionine (%)	0.42	0.42	0.42
Met + Cys (%)	0.72	0.72	0.72
Lys/Met (%)	2.06	2.06	2.06

*Premix provides the following per kg of diet: VA 12 500 IU, VD₃ 425 IU, VE 15 IU, VK 2 mg, VB₁ 0.98 mg, VB₂ 8.5 mg, VB₆ 8 mg, D-pantothenic acid 50 mg, niacin 32.5 mg, biotin 2 mg, folic acid 5 mg, VB₁₂ 5 mg, Cu (as copper sulphate) 8 mg, I (as potassium iodide) 1 mg, Fe (as ferrous sulphate) 60 mg, Se (as sodium selenite) 0.3 mg, Mn (as manganese sulphate) 65 mg, Zn (as zinc sulphate) 66 mg, phytase 500 mg, NaCl 3 g, choline 0.5 g, ethoxyquinoline ethoxyquin 100 mg.

[†]Nutritional indicators except for metabolic energy and non-phytate phosphorus were measured. ME and AP were calculated values, and others were measured values.

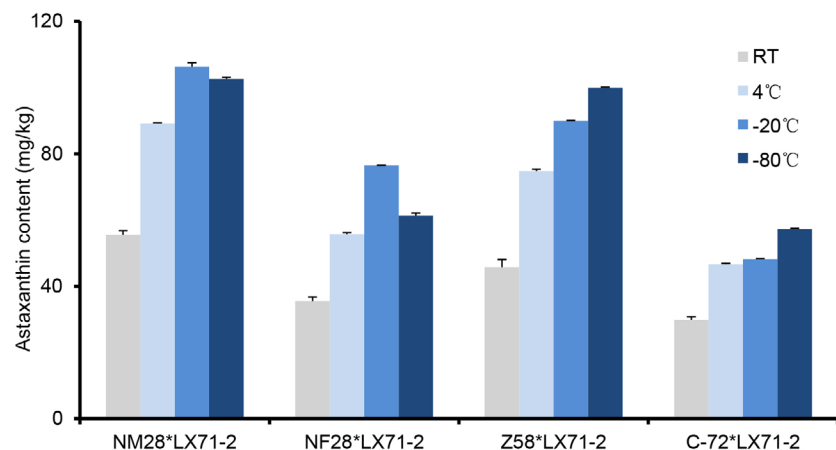
Discussion

Production of high-value astaxanthin in heterologous hosts is a popular aim of metabolic engineering, and plants are an optimal platform due to the low cost, ease in scaling up, lack of safety concerns and abundant native enzymes for the astaxanthin biosynthesis pathway (Giddings *et al.*, 2000; Hirschberg, 2001; Sharma and Sharma, 2009). To date, tobacco, *Arabidopsis*,

tomato, potato, carrot, lettuce, maize and rice have been employed for the metabolic engineering of astaxanthin biosynthesis (Campbell *et al.*, 2015; Farré *et al.*, 2016; Harada *et al.*, 2014; Hasunuma *et al.*, 2008; Huang *et al.*, 2013; Jayaraj *et al.*, 2008; Zhong *et al.*, 2011; Zhu *et al.*, 2018). Generally speaking, astaxanthin accumulation levels in engineered dicotyledons—namely, 5.44 mg/g DW in tobacco leaves (Hasunuma *et al.*, 2008) and 16.1 mg/g DW in tomato fruits (Huang *et al.*, 2013)—have been higher than those in monocotyledon cereals [e.g. 16.2 mg/kg DW in rice seeds (Zhu *et al.*, 2018) and 16.8 mg/kg DW in maize seeds (Farré *et al.*, 2016)]. The high-water contents of fresh tobacco leaves and tomato fruits limit their storage duration in large-scale production; therefore, in this study, we selected maize seeds as a platform for astaxanthin production. At harvest, the engineered maize seeds accumulated high levels of astaxanthin (47.76–111.82 mg/kg DW), approximately sixfold higher than those in previous reports (16.2–16.8 mg/kg DW) using rice and maize (Farré *et al.*, 2016; Zhu *et al.*, 2018). Our T1 transgenic maize accumulated lower levels of astaxanthin in white endosperm germplasm than in backcrossed BC1F1 yellow endosperm germplasm (Figure S6), indicating that the lack of a native β -carotene supply may be a limiting factor for the production of high astaxanthin levels. Our engineered maize seeds could accumulate higher levels of astaxanthin than transgenic maize seeds in a previous report due to differing promoter characteristics. We employed a seed-specific bidirectional promoter that can direct gene pairs to be expressed throughout the seeds, including in the embryo, aleurone layer and endosperm (Liu *et al.*, 2018a) whereas an endosperm-specific promoter was used in the previous report that did not boost astaxanthin yields in the aleurone layer and embryo (Farré *et al.*, 2016). The aleurone layer and embryo in maize are important biologically and nutritionally, as endosperm cells undergo programmed cell death during seed maturation (Becraft and Yi, 2010). The colour of the aleurone layer and embryo in engineered maize is darker than that of the endosperm (Figure 2c,f), indicating that the aleurone layer and embryo may account for an important proportion of the total astaxanthin content in engineered maize.

Previously, most β -carotene hydroxylase and ketolase genes used for metabolic engineering of astaxanthin in plants originated from algae. In this study, for the first time, the β -carotene hydroxylase and ketolase genes *AdCBFD1* and *AdHbfd1* from the flowering plant *Adonis aestivalis* (Cunningham and Gantt, 2005, 2011) were employed in astaxanthin plant engineering research. A previous study comparatively analysed the activities of various algal carotenoid ketolases (Zhong *et al.*, 2011); by contrast, we

Figure 6 Evaluation of storage stability of astaxanthin in transgenic maize. The event LX71-2-derived BC3F1 seeds were divided into four batches, which were stored in the dark at different temperature. HPLC was employed to analyse the content of astaxanthin after 7 months. Z58, C7-2, NM28 and NF28 were four inbred maize lines; RT, room temperature. All data were derived from three technical repetitions.



comparatively evaluated the effects of co-expressing hydroxylase and ketolase gene pairs from an alga, a flowering plant and a yeast in astaxanthin-rich engineered maize. We speculated that *XdCrtS* and *XdCrtR*, which belong to the P450 group, employ a unique pathway to convert β -carotene to astaxanthin (Figure 1) that is less efficient but still competes for precursors, thus hampering astaxanthin production by *AdCBFD1/AdHbfd1* or *CrBKT/HpCrtZ*. *AdCBFD1/AdHbfd1* also participates in a unique pathway, but their 4-keto- β -ring intermediate brings two pathways together (*AdCBFD1/AdHbfd1* and *CrBKT/HpCrtZ*) (Figure 1), as evidenced by the negative effect of *XdCrtS/XdCrtR* being weakened in engineered maize events derived from the construct p2BDEN-BZ-CH-CP-SR (Figure 4g,n,o). Unfortunately, we failed to generate transgenic maize plants despite producing a bialaphos-resistant callus from the construct p2BDEN-BZ-CP-CH (Figure S3). Furthermore, β -carotene is a precursor for the synthesis of the hormone abscisic acid (ABA; Figure 1), which plays roles in seed germination, embryo development and regeneration from callus (e.g. 26.4 μ g/L ABA in a regeneration medium; Frame et al., 2002; Hirschberg, 2001). The dramatic increase in astaxanthin content in the callus reduced the synthesis of ABA and potentially had a negative impact on regeneration from the callus (Figure S3). Therefore, to generate a higher level of astaxanthin in engineered maize, expressing *CrBKT/HpCrtZ* throughout the seed and co-expressing *AdCBFD1/AdHbfd1* only in the endosperm may be the optimal strategy.

Synthetic astaxanthin and natural astaxanthin from algae have been commonly applied; however, astaxanthin from various engineered plants has seldom been tested in feed or other potential applications. Rainbow trout and Kampachi (*Seriola rivoliana*) fed on biofortified maize and soybean could accumulate astaxanthin in the flesh (Breitenbach et al., 2016; Park et al., 2017). The biofortified maize also used to feed laying hens to trace the fate of astaxanthin from maize after consumption, that is a new optical angle for astaxanthin nutriology. (Moreno et al., 2016). The minimum astaxanthin content in trout feed is 30 mg/kg (Kurnia et al., 2015), which is higher than that of previously reported engineered maize (16.8 mg/kg) and therefore requires extraction and enrichment (Breitenbach et al., 2016), whereas our astaxanthin-rich maize (111.82 mg/kg; Figure 4d,h) could be directly applied to trout feed by replacing 40% of the carbohydrates in the feed with maize. Except fish feed, feed for poultry, pig, flamingo, aquarium fish, red and brown pets need astaxanthin and carbohydrates, and astaxanthin as the most valuable component takes the 10%–20% of salmon feed costs (Baker et al., 2002). The synthetic astaxanthin contributed to more than 97% of commercial market due to its relative low production costs (\$1000/kg; Milledge, 2011; Schmidt et al., 2011). Microalgae-derived astaxanthin contributed to less than 1% of commercial production due to its high production costs (\$3000/kg), high market price (\$7000/kg) and technological barriers (Ambati et al., 2019; Koller et al., 2014; Schmidt et al., 2011). However, the advantage of maize-based astaxanthin in feeds verses synthetic / other natural sources is obvious. Take the laying hens feeding trials in this study for instance, the costs of feeds could divided into three parts: maize, astaxanthin and other nutrients. The costs of maize and astaxanthin of feeds for our astaxanthin-rich maize are just maize, while for synthetic / other natural sources astaxanthin are maize plus the production costs of astaxanthin. This is the most convenient, economical and attractive application of our astaxanthin-rich maize in the feed industry in the future. In this study, astaxanthin-rich maize was directly applied to chicken

feed (Figure 5) and laying hens successfully accumulated astaxanthin in the egg yolk (Figure 5c,d). Interestingly, some volunteer tasters could distinguish between the yolks and could not accept the fishy smell of the red-yolk eggs from the algal-derived feed group. Eggs from the astaxanthin-rich maize group and control feed group had no such smell. Meanwhile, another interesting observation was that the colour of the algal powder changed quickly when its packaging bag was opened and stored at 4 °C, whereas the astaxanthin-rich maize retained most of its astaxanthin when stored at 4 °C for 7 months (Figure 6).

In conclusion, we successfully elongated the β -carotene biosynthesis pathway to obtain much higher astaxanthin levels in maize seeds than have been achieved previously in cereals. Ketolase and hydroxylase genes from a flowering plant were used as novel gene resources and coupled with a gene pair from algae for astaxanthin metabolic engineering in maize. Our astaxanthin-rich maize, which has a high astaxanthin content, stable storage quality, similar isomer composition to that in *H. pluvialis*, and strong colourant capacity, could serve as a cost-effective plant factory for the reliable production of astaxanthin in a staple crop.

Methods

Synthesis of foreign genes and expression vector construction

The medium vectors pBDEN-mtp and p2BDEN-mtp were derived from the binary vector pCAMBIA3301. A reversed 35S poly(A) terminator was located at the 5' end of the seed-specific bidirectional promoter $P_{R55GPA}/P_{2R55GPA}$, and its 3' end was followed by a NOS terminator. Two restriction enzyme sites, *EcoRI* and *XbaI*, were fused to the 3' end of 35S poly(A), and another two sites, *PstI* and *BamHI*, were added to the intergenic region between 35S poly(A) and $P_{R55GPA}/P_{2R55GPA}$; between $P_{R55GPA}/P_{2R55GPA}$ and NOS were *HindIII/NcoI*, and *SpeI* was located at the 3' end of NOS. Excluding *ZmPSY1* (AY773475.1), the other seven foreign genes, *PaCrtI* (D90087.2), *CrBKT* (AY860820.1), *HpCrtZ* (AY187011.1), *XdCrtS* (HG939455.2), *XdCrtR* (LN554258.1), *AdHbfd1* (DQ902555.1) and *AdCBFD1* (AY644757.1), were synthesized by Convenience Biology (Changzhou, China) with a maize plastid-transit peptide fused to the 5' end of each gene. *PstI/BamHI* and *HindIII/NcoI* were added to each open frame that was used to transfer the synthesized genes to pBDEN-mtp or p2BDEN-mtp. *XbaI* and *SpeI* are isocaudomers, and therefore, *EcoRI/XbaI* and *EcoRI/SpeI* can be used to stack multiple cassettes to form expression constructs.

Generation of transgenic maize

Agrobacterium tumefaciens-mediated transformation was employed to generate transgenic maize, as described previously (Frame et al., 2002). Immature embryos were isolated from Hi-II maize ears at 9–12 DAP and treated with 5% sodium hypochlorite solution for 30 min. The immature embryos (length, 1.0–2.0 mm) were washed twice with liquid infection medium. *Agrobacterium tumefaciens* harbouring the expression vector was co-cultured with 1% acetosyringone until its optical density at 550 nm reached 0.3–0.4. Immature embryos were transferred to *Agrobacterium tumefaciens* liquid culture for 5 min in the dark. Embryos were then transferred to infection medium and selection medium with 3 mg/L bialaphos for selection of bialaphos-resistant calli. Regenerated transgenic T0 plants were identified using PCR and seed colour. Given that Hi-II is a white endosperm hybrid cultivar, the yellow endosperm inbred line Z58

was used as the female parent and as recurrent parents for the production of F1 seeds and backcrossed progeny.

DNA/RNA preparation and quantitative RT-PCR

Genomic DNA was isolated from transgenic maize leaves using cetrionium bromide. Total RNA was extracted from 20-DAP seeds using an RNA extraction kit from TransGen Biotech (Beijing, China). One-step gDNA Removal and cDNA Synthesis SuperMix (TransGen Biotech) was used to synthesize first-strand cDNA. The quality of cDNA was determined with primers targeting the maize *ZmActin1* gene, which was also used as the internal normalization factor in maize. Primers used for quantitative RT-PCR and PCR are listed in Tables S3 and S4.

Experimental design and diets

A total of 270 Hy-Line Brown hens (28 weeks old) were randomly allocated to three dietary treatment groups (six replicates/treatment and 15 hens/replicate). The control group was fed non-transgenic corn, treatment group A was fed the basal diet supplemented with astaxanthin powder (*H. pluvialis* algal powder containing 2.84% astaxanthin purchased from Alphy Biotech Co., Ltd., Yunnan, China), and treatment group T was fed astaxanthin-rich maize with the same total astaxanthin content as in treatment A. Hen feeding formulations and the astaxanthin concentrations of the experimental diets are presented in Table 1. Hens were housed in wire cages (length × width × height, 57 × 47 × 47 cm) in an environmentally controlled room maintained at 26 °C and 45% relative humidity with a 16 : 8 light/dark schedule. Water and feed were provided *ad libitum* during 1 week of pre-feeding and for 8 weeks in the experimental period.

Production performance

Feed intake was measured biweekly, whereas egg production was measured daily and the health status of each group of chickens was checked daily. During the test period, total egg weight and egg production were measured on a daily basis, and the number of soft, broken or abnormal eggs (various deformities, oversized and ultra-small) was determined to calculate the average egg weight excluding such eggs. The feed conversion ratio was calculated as feed consumption (g) divided by the egg mass (g). The number of eggs produced was calculated over intervals of 2 weeks. Egg production, egg weight (EW), daily egg mass [total EW (g)/(number of hens × number of days)], daily feed intake [feed consumption (g)/(number of hens × number of days)], feed consumption over the whole test period and egg ratio (feed/egg) were also calculated.

Egg quality

Egg production was calculated as the total number of eggs divided by the total number of days and number of hens. A total of 18 eggs (three from each replicate) from each treatment were sampled on weeks 4 and 8 to determine egg quality. The eggs were analysed using an egg analyser (ORKA Food Technology Ltd., Herzliya, Israel) to measure Haugh units and yolk colour. An egg force reader (ORKA Food Technology Ltd.) was used to measure shell strength. Shell thickness was measured using an electronic micrometre. An analytical balance (BSA224S-CW; Sartorius, Göttingen, Germany) was used to measure specific egg weight, yolk weight and shell weight. Egg yolk colour was measured via a spectrophotometric method using a Konica

Minolta Chroma Meter (Tokyo, Japan). The results are expressed as values of L*, a* and b* according to the International Colorimetric System (CIE units, CIELAB). The parameter L* characterizes lightness (0, black; 100, white), a* describes changes in wavelength in the red spectral region with a maximum value of +120 for a bright red colour and a minimum value of −80 for a blue-green colour, and b* represents the transition from yellow (+120) to blue-purple (−80; Dvořák *et al.*, 2005). All measurements were performed three times, and the final values were calculated as the average of the three measured values.

Astaxanthin extraction and quantitative HPLC analysis

Engineered maize dry seeds were crushed into powder. Astaxanthin was extracted from a mixture of 1.0 g of maize powder and 5.0 mL of methanol/tetrahydrofuran (50 : 50, v/v) in a 15.0-mL tube, which was vortexed for 5 min. Then, the tubes were placed in a thermostatic bath at 60 °C for 20 min. After the addition of 5.0 mL ethyl acetate, samples were vortexed for 1 min and then centrifuged at 3220 g for 10 min at room temperature. The upper phase was collected and filtered with 0.45-µm filters prior to HPLC analysis. Astaxanthin was extracted from the diets and egg yolks using the 2327–2009 fine-tuned extraction conditions, with some modifications. Briefly, extracted astaxanthin was eluted isocratically with methanol and methyl tert-butyl ether (15 : 81) using ultra-pure water at a flow rate of 1 mL/min in an Agilent Eclipse plus C30 reverse-phase column (5 µm, 4.6 × 250 mm; Santa Clara, CA) and an HPLC system (LC-10AD vp pumps, SIL-10Ai auto-injector and an SPD-10 AV vp UV-vis detector; Shimadzu, Kyoto, Japan). The column temperature was set to 30 °C. The mobile phase was sonicated at room temperature for 15 min before its use. Chromatographic peaks were identified through comparisons with the retention time of standard astaxanthin. To validate the results, sample extracts were spiked with standard astaxanthin to confirm that sample peaks appeared in the chromatogram at the same time as peaks due to the standard. Astaxanthin standards (all-*E* CAS, 472-61-7; 9Z CAS, 113085-04-4; 13Z CAS, 113085-05-5) were purchased from Sigma-Aldrich (St. Louis, MO). Astaxanthin enrichment was calculated as follows: astaxanthin content (µg/g) × fresh yolk weight as a proportion of total weight (g) divided by egg weight.

Statistical analysis

Data were pre-processed with Microsoft Excel, and SPSS 23.0 statistical software (SPSS Inc., Chicago, IL) was used for data analysis. Data were transformed to obtain a normal distribution and then analysed using one-way analysis of variance. Duncan's method was used for multiple comparisons, with *P* < 0.05 taken to indicate significant differences. The results were expressed as the means and standard deviations.

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Conflict of interest

The authors declare no conflict of interest.

Author contributions

XQ.L., H.W., QY.Z., JM.Z. and RM.C. designed the experiments. XQ.L., XH.M., H.W., RD.N., CH.T., LL.L. and SZ.L., performed experiments. XQ.L., XH.M., H.W., RD.N., WZ.Y., and XJ.Z., YL.F. and QY.Z. analysed and interpreted the data. XQ.L., H.W., RD.N. and RM.C. wrote the manuscript with input from all authors.

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Supporting information

Additional supporting information may be found online in the Supporting Information section at the end of the article.

Figure S1 Integration and expression of foreign genes.

Figure S2 Astaxanthin was identified and confirmed by MS.

Figure S3 Integration of foreign genes and bialaphos-resistant callus.

Figure S4 Effects of astaxanthin-added feed on egg yolks.

Figure S5 Preparation of the hybrid maize variety ND28 for the laying hen feeding trial.

Figure S6 Effects of germplasm on astaxanthin engineering.

Table S1 Effect of astaxanthin-rich maize on production performance of laying hens

Table S2 The effect of astaxanthin-rich maize on egg quality of layer

Table S3 Primers used in PCR

Table S4 Primers used in RT-qPCR