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## MINI-FOCUS ISSUE: ANTHRACYCLINES

#### ORIGINAL RESEARCH

## Impact of Preexisting Heart Failure on Treatment and Outcomes in Older Patients With Hodgkin Lymphoma

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## ABSTRACT

**BACKGROUND** Older patients with Hodgkin lymphoma (HL) often have comorbid cardiovascular disease; however, the impact of pre-existing heart failure (HF) on the management and outcomes of HL is unknown.

**OBJECTIVES** The aim of this study was to assess the prevalence of pre-existing HF in older patients with HL and its impact on treatment and outcomes.

**METHODS** Linked Surveillance, Epidemiology, and End Results (SEER) and Medicare data from 1999 to 2016 were used to identify patients 65 years and older with newly diagnosed HL. Pre-existing HF, comorbidities, and cancer treatment were ascertained from billing codes and cause-specific mortality from SEER. The associations between pre-existing HF and cancer treatment were estimated using multivariable logistic regression. Cause-specific Cox proportional hazards models adjusted for comorbidities and cancer treatment were used to estimate the association between pre-existing HF and cause-specific mortality.

**RESULTS** Among 3,348 patients (mean age 76  $\pm$  7 years, 48.6% women) with newly diagnosed HL, pre-existing HF was present in 437 (13.1%). Pre-existing HF was associated with a lower likelihood of using anthracycline-based chemotherapy regimens (OR: 0.42; 95% CI: 0.29-0.60) and a higher likelihood of lymphoma mortality (HR: 1.25; 95% CI: 1.06-1.46) and cardiovascular mortality (HR: 2.57; 95% CI: 1.96-3.36) in models adjusted for comorbidities. One-year lymphoma mortality cumulative incidence was 37.4% (95% CI: 35.5%-39.5%) with pre-existing HF and 26.3% (95% CI: 25.0%-27.6%) without pre-existing HF. The cardioprotective medications dexrazoxane and liposomal doxorubicin were used in only 4.2% of patients.

**CONCLUSIONS** Pre-existing HF in older patients with newly diagnosed HL is common and associated with higher 1-year mortality. Strategies are needed to improve lymphoma and cardiovascular outcomes in this high-risk population. (J Am Coll Cardiol CardioOnc 2024;6:200-213) © 2024 The Authors. Published by Elsevier on behalf of the American College of Cardiology Foundation. This is an open access article under the CC BY-NC-ND license (http:// creativecommons.org/licenses/by-nc-nd/4.0/).

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nthracycline-based chemotherapy regimens remain the preferred first-line therapy for patients with Hodgkin lymphoma (HL).<sup>1</sup> Although the risk for heart failure (HF) after HL treatment is well described, few studies have evaluated the impact of pre-existing HF or cardiomyopathy on outcomes in patients with HL.2-4 Approximately 20% of patients with HL are 65 years or older at the time of diagnosis; however, this age group disproportionally accounts for more than 60% of HL deaths, reflecting the excellent outcomes among younger patients and the worse progression-free survival among older patients with HL.5 Cardiac and noncardiac comorbidities increase with age, and standard HL regimens may be limited by treatment-related toxicity, especially among patients with multiple comorbidities or impaired functionality.6,7 Although total comorbidity burden has been associated with worse outcomes and higher treatment-related toxicity,<sup>6,7</sup> the extent to which pre-existing HF affects HL treatment and outcomes has not been well studied.

In published studies, clinical HF events were reduced with the addition of dexrazoxane to doxorubicin<sup>8-10</sup> or the substitution of conventional doxorubicin with liposomal formulations<sup>11,12</sup> with preserved oncologic efficacy. However, the majority of the studies in adults have enrolled patients with metastatic breast cancer, and data on HL are limited to single-arm phase 2 studies.<sup>13,14</sup> The American Society of Clinical Oncology clinical practice guidelines on the prevention and monitoring of cardiac dysfunction in survivors of adult cancer recommend cardioprotective strategies in patients planning to receive high cumulative doses of anthracyclines or with multiple cardiac risk factors, with the caveat that much of the evidence comes from patients with advanced breast cancer.<sup>15</sup> Our group recently reported that pre-existing HF was associated with less anthracycline use, higher lymphoma mortality, and low use of cardioprotective agents in older patients with diffuse large B-cell lymphoma (DLBCL), another aggressive lymphoma that is commonly treated with anthracyclines.<sup>16</sup>

The goal of this study was to extend these findings through the detailed assessment of prevalent HF at the time of HL diagnosis and the associations of preexisting HF with anthracycline-based chemotherapy, cardioprotective medications, and lymphoma and cardiac-specific mortality in a national population-based sample of older patients with newly diagnosed HL.

## **METHODS**

DATA SOURCES AND STUDY POPULATION. We used linked Surveillance, Epidemiology, and End Results (SEER) and Medicare data from 1999 to 2016. The National Cancer Institute's (NCI) SEER program is a system of population-based cancer registries that capture more than 25% of the U.S. population diagnosed with cancer and include patient demographics, date of cancer diagnosis, cancer characteristics, initial cancer treatments and follow-up of vital status and cause of death. Linkage to Medicare offers additional information on outpatient therapies, diagnostic tests, procedures, and hospitalizations ascertained from billing claims by hospitals, outpatient facilities, and physicians, with

94% of those 65 years and older in SEER registries matched Medicare enrollment to records (Supplemental Methods). For this study, we included individuals 65 years and older with newly diagnosed HL from 2000 to 2015 with fee-for-service Medicare Parts A and B continuously in the year prior to lymphoma diagnosis and in whom the lymphoma diagnosis did not first appear on a death certificate. For analyses that included neurohormonal antagonist and statin therapy, the population was additionally restricted to those with Medicare Part D (2007-2016). The Tufts Health Sciences Institutional Review Board determined that the present study was exempt from review (Code of Federal Regulations 46.104[4]), and the requirement to obtain informed consent was waived. The Strengthening the Reporting of Observational Studies in Epidemiology reporting guidelines for cohort studies were followed.<sup>17</sup>

**COVARIATE DEFINITIONS.** HF or cardiomyopathy required at least 1 of the following: 1) 1 primary inpatient discharge diagnosis; 2) 2 outpatient diagnoses; 3) 3 secondary inpatient discharge diagnoses; 4) 3 emergency department diagnoses; or 5) 2 secondary inpatient discharge diagnoses plus 1

#### ABBREVIATIONS AND ACRONYMS

**ACEI** = angiotensin-converting enzyme inhibitor

ARB = angiotensin II receptor blocker

**DLBCL** = diffuse large B-cell lymphoma

HF = heart failure

HL = Hodgkin lymphoma

ICD-9 = International Classification of Diseases-Ninth Revision

ICD-10 = International Classification of Diseases-10th Revision

LVEF = left ventricular ejection fraction

NCI = National Cancer Institute SDOH = social determinants of

health SEER = Surveillance.

Epidemiology, and End Results

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The authors attest they are in compliance with human studies committees and animal welfare regulations of the authors' institutions and Food and Drug Administration guidelines, including patient consent where appropriate. For more information, visit the Author Center.

outpatient diagnosis as previously described.<sup>18</sup> Individual sociodemographic variables such as age, sex, race, ethnicity, marital status, and Medicaid dual eligibility and census tract-level information such as income and educational status were derived from the SEER registry. Other baseline cardiac and noncardiac comorbidities were defined on the basis of International Classification of Diseases-9th Revision (ICD-9) and International Classification of Diseases-10th Revision (ICD-10) diagnostic codes in the 365 days prior to HL diagnosis from Medicare inpatient (Medicare Provider Analysis and Review), Medicare outpatient (outpatient claims), and physician visit (carrier claims) data requiring at least 2 codes appearing on separate days. A full list of ICD-9 and ICD-10 codes is available in Supplemental Table 1. Of note, this database does not contain echocardiographic data such as left ventricular ejection fraction (LVEF), and thus we were unable to categorize HF according to LVEF. In addition, the claims-based diagnostic codes may include some patients with cardiomyopathy but without the clinical syndrome of HF. Frailty was defined using the claims-based frailty index, which includes 21 claims and has been crossvalidated with other frailty measures.<sup>19</sup> We excluded comorbidity diagnoses made in the same month as the lymphoma diagnosis to reduce misclassification biases, as cancer diagnoses in SEER include the month and year of diagnosis only. Hospital-level variables were determined from SEER and included number of beds, medical school affiliation, teaching status, NCI cancer center designation, Commission on Cancer accreditation, and cooperative group membership.

CANCER TREATMENT. Cancer treatment was determined using Healthcare Common Procedure Coding Systems codes, ICD-9 and ICD-10 codes, diagnosisrelated group codes, and revenue center codes (Supplemental Methods, Supplemental Table 2). Chemotherapy was categorized as anthracyclinecontaining if the patient received at least 1 infusion of doxorubicin or liposomal doxorubicin, nonanthracycline-containing if the patient received at least 1 intravenous chemotherapy or targeted therapy but no doxorubicin, and no chemotherapy if no systemic chemotherapy or targeted therapy was given. In addition, patients treated with anthracycline were further subdivided into those receiving the first anthracycline dose in the first 3 months after HL diagnosis (early anthracycline group) and those receiving their first anthracycline dose 3 months or more after HL diagnosis (late anthracycline group). Radiation therapy in the year after diagnosis and hematopoietic cell transplantation in the 3 years after diagnosis were determined.

CARDIOPROTECTIVE MEDICATIONS. Dexrazoxane and liposomal doxorubicin use was determined using Healthcare Common Procedure Coding System codes (Supplemental Table 2). For the subset of patients with Medicare Part D, prescriptions for beta-blockers, angiotensin-converting enzyme inhibitors (ACEIs), angiotensin II receptor blockers (ARBs), or betahydroxy beta-methylglutaryl reductase inhibitors (statins) were identified using the National Drug Code directory. Prevalent users were defined by at least 1 prescription filled in the 4 months prior to HL diagnosis, and new users were defined if a prescription was filled in the 6 months after HL diagnosis (not including the month of HL diagnosis) among those without prescriptions in the 4 months prior to HL diagnosis.

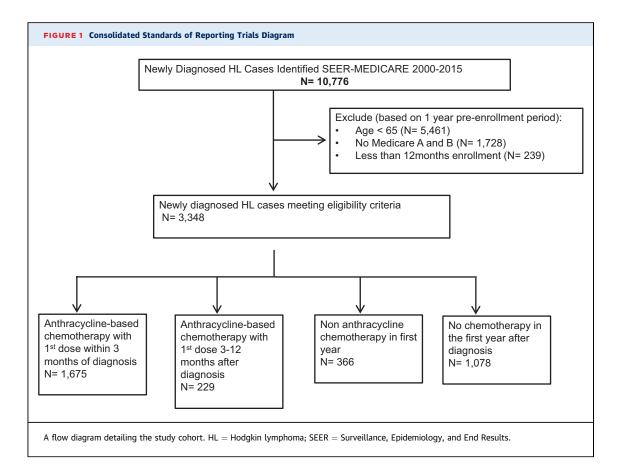
**OUTCOMES.** Cause of death was defined using the SEER cause-of-death recode and grouped into lymphoma mortality, cardiovascular mortality, non-lymphoma cancer mortality, and noncardiovascular and noncancer mortality (Supplemental Methods). HF hospitalizations were defined as an inpatient admission with a primary discharge diagnosis code of HF (Supplemental Methods).

MISSING DATA. Missing values for patient- and hospital-level covariates were imputed using multiple imputation to create 10 imputed data sets. The imputation model included all patient- and hospitallevel characteristics, along with the outcome of interest. Variables were imputed using a fully conditional specification method. Logistic regression was used for dichotomous variables (derived from categorical characteristics), and predictive mean matching was used for continuous variables. Multivariable regression models that include covariates with missing data were estimated in each of the imputed data sets and results pooled using Rubin's rules.

**STATISTICAL ANALYSIS.** Baseline characteristics are summarized as mean  $\pm$  SD for normally distributed continuous variables, median (Q1-Q3) for skewed continuous variables, and frequencies and percentages for categorical variables. Cell counts with values <11 were suppressed to avoid reidentification of patients according to SEER-Medicare policy.

All analyses were conducted using SAS version 9.4 (SAS Institute).

ASSOCIATIONS BETWEEN PRE-EXISTING HF AND CANCER TREATMENT. The associations between preexisting HF (exposure) and the outcome of receiving anthracycline chemotherapy compared with



nonanthracycline chemotherapy were modeled with multivariable logistic regression using 2 sequential models, with results presented as ORs with 95% CIs. The first model included patient-level covariates (age, sex, race, ethnicity, cancer stage, hypertension, diabetes, hyperlipidemia, coronary artery disease, atrial fibrillation, peripheral vascular disease, ischemic stroke, valvular heart disease, chronic obstructive pulmonary disease, dementia, moderate or severe renal dysfunction, frailty, and any prior cancer diagnosis), and the second model additionally included geographic characteristics, social determinants of health (SDOH), and hospital-level variables (SEER region; metropolitan, nonurban metropolitan, or rural; Medicaid dual eligibility; marital status; census tract poverty indicator; household income; NCI cancer center designation; Commission on Cancer accreditation; hospital cooperative group status; hospital classified as referral center; teaching hospital; medical school affiliation; and number of beds). We repeated these sequential models with the outcomes of: 1) any chemotherapy vs no chemotherapy; 2) early anthracycline chemotherapy (in the first 3 months after diagnosis) vs

nonanthracycline chemotherapy in the first 3 months; and 3) early vs late anthracycline chemotherapy. We also used the same sequential models to understand the associations between pre-existing HF and the use of cardioprotective medications (either dexrazoxane or liposomal doxorubicin) in the subcohort of patients who received early anthracycline therapy.

ASSOCIATION BETWEEN PRE-EXISTING HF AND **CAUSE-SPECIFIC MORTALITY.** The cumulative incidence of cause-specific mortality was estimated using Gray's competing risk method. The association between pre-existing HF and cause-specific mortality was estimated using a cause-specific Cox proportional hazards model with adjustment for baseline comorbidities and time-varying treatment covariates in sequential models, with results presented as HRs with 95% CIs.<sup>20,21</sup> The cause-specific proportional hazards model censors for other causes of death. The unadjusted model included pre-existing HF (exposure) and the outcome cause-specific mortality. The first model included patient-level covariates (age, sex, race, ethnicity, cancer stage, diabetes, chronic obstructive pulmonary disease, dementia, chronic kidney disease, and any prior cancer diagnosis), the

	Total Cohort (N = 3,348)	Early Anthracycline Treatment <sup>a</sup> (n = 1,675, 50.0%)	Late Anthracycline Treatment <sup>b</sup> (n = 229, 6.8%)	Nonanthracycline Chemotherapy (n = 366, 10.9%)	No Chemotherapy (n = 1,078, 32.2%)
Age, y	$76.1\pm6.9$	74.3 ± 5.9	$\textbf{74.3} \pm \textbf{6.3}$	78.0 ± 7.1	78.6 ± 7.4
Female	1,628 (48.6)	812 (48.5)	120 (52.4)	177 (48.4)	519 (48.1)
Race					
Black	181 (5.4)	71 (4.2)	<11 <sup>c</sup>	21 (5.7)	79 (7.3)
White	3,058 (91.3)	1,547 (92.4)	212 (92.6)	>339 (>92.6) <sup>c</sup>	957 (88.8)
Other <sup>d</sup>	109 (3.3)	57 (3.4)	<11 <sup>c</sup>	<11 <sup>c</sup>	42 (3.9)
Hispanic <sup>e</sup>	289 (8.6)	143 (8.5)	18 (7.9)	28 (7.7)	100 (9.3)
Stage					
T	713 (21.3)	311 (18.6)	51 (22.3)	71 (19.4)	280 (26.0)
П	755 (22.6)	387 (23.1)	62 (27.1)	82 (22.4)	224 (20.8)
III	871 (26.0)	493 (29.4)	56 (24.5)	106 (29.0)	216 (20.0)
IV	817 (24.4)	402 (24.0)	47 (20.5)	90 (24.6)	278 (25.8)
Unknown	192 (5.7)	82 (4.9)	13 (5.7)	17 (4.6)	80 (7.4)
B symptoms					
Present	1,197 (35.8)	628 (37.5)	114 (49.8)	137 (37.4)	411 (38.1)
Absent	1,334 (39.8)	672 (40.1)	65 (28.4)	139 (38.0)	365 (33.9)
Unknown	817 (24.4)	375 (22.4)	50 (21.8)	90 (24.6)	302 (28.0)
Heart failure/cardiomyopathy <sup>f</sup>	437 (13.1)	132 (7.9)	25 (10.9)	92 (25.1)	188 (17.4)
Hypertension	2,250 (67.2)	1,106 (66.0)	141 (61.6)	276 (75.4)	727 (67.4)
Diabetes	1,045 (31.2)	499 (29.8)	65 (28.4)	123 (33.6)	358 (33.2)
Hyperlipidemia	1,977 (59.1)	1,062 (63.4)	138 (60.3)	239 (65.3)	538 (49.9)
Coronary artery disease	970 (29.0)	415 (24.8)	52 (22.7)	159 (43.4)	344 (31.9)
Prior myocardial infarction	168 (5.0)	62 (3.7)	<11 <sup>c</sup>	37 (10.1)	61 (5.7)
Atrial fibrillation/flutter	448 (13.4)	163 (9.7)	25 (10.9)	79 (21.6)	181 (16.8)
Valvular heart disease	502 (15.0)	226 (13.5)	23 (10.0)	79 (21.6)	174 (16.1)
Peripheral vascular disease and carotid artery disease	529 (15.8)	210 (12.5)	37 (16.2)	78 (21.3)	204 (18.9)
Ischemic stroke	226 (6.8)	80 (4.8)	13 (5.7)	26 (7.1)	107 (9.9)
Chronic bronchitis/emphysema	705 (21.1)	335 (20.0)	43 (18.8)	90 (24.6)	237 (22.0)
Dementia	80 (2.4)	23 (1.4)	<11 <sup>c</sup>	<11°	44 (4.1)
Moderate or severe renal disease	272 (8.1)	114 (6.8)	17 (7.4)	29 (7.9)	112 (10.4)
Any prior cancer diagnosis	529 (15.8)	243 (14.5)	38 (16.6)	73 (19.9)	175 (16.2)
Frailty (CFI <sup>19</sup> )	0.18 ± 0.15	0.14 ± 0.11	$0.14\pm0.10$	$0.22\pm0.14$	$0.24\pm0.18$

Values are mean  $\pm$  SD or n (%). <sup>a</sup>Early anthracycline refers to those receiving their first anthracycline dose in the first 3 months after lymphoma diagnosis. <sup>b</sup>Late anthracycline refers to those receiving their first anthracycline dose 3 months or more after lymphoma diagnosis. <sup>c</sup>Cell counts with values <11 were suppressed to avoid reidentification of patients according to SEER-Medicare policy. <sup>d</sup>Other race in the SEER race recode includes: American Indian or Alaska Native and Asian or Pacific Islander. <sup>e</sup>Hispanic ethnicity defined by SEER. Hispanic ethnicity coding is independent of race coding. <sup>f</sup>Heart failure or cardiomyopathy was defined from International Classification of Diseases-9th Revision or International Classification of Diseases-10th Revision or diagnostic codes; see "Methods" and Supplemental Appendix for details. The claims-based diagnostic codes may include some patients with cardiomyopathy but without the clinical syndrome of HF.

CFI = claims-based frailty index; SEER = Surveillance, Epidemiology, and End Results.

second model additionally included SDOH and hospital-level variables (SEER region; metropolitan, nonurban metropolitan, or rural; Medicaid dual eligibility; census tract poverty indicator; NCI cancer center designation; medical school affiliation; and number of beds), and the third model additionally included time-varying treatment information (anthracycline treatment including number of claims, radiation therapy, and cardioprotective medications liposomal formulations and dexrazoxane). Given the potential for effect modification by cancer stage, we repeated these analyses stratified by early stage (I or II) or advanced stage (III or IV).

ASSOCIATION BETWEEN ANTHRACYCLINE USE AND CAUSE-SPECIFIC MORTALITY AMONG PATIENTS WITH PRE-EXISTING HF. The association between anthracycline use in the first 90 days (vs nonanthracycline chemotherapy in the first 90 days) and cause-specific mortality among patients with preexisting HF was estimated using cause-specific Cox proportional hazards model with adjustment for baseline comorbidities, with results presented as HRs with 95% CIs. Cancer treatment was modeled as a time-varying covariate. In these models, patients without pre-existing HF and patients who did not receive any chemotherapy treatment in the first

TABLE 2         Association Between Pre-Existing HF (Compared With No Pre-Existing HF) and Cancer Therapy					
	Cancer Treatment Choice: OR (95% CI)				
	Anthracycline vs Nonanthracycline Chemotherapy (First Year)	Any Chemotherapy vs No Chemotherapy	Early vs Late Anthracycline	Early Anthracycline vs Nonanthracycline Chemotherapy	Cardioprotective Therapy (Dexrazoxane or Liposomal Doxorubicin) Among Anthracycline-Treated Patients
Model A (adjusted for clinical variables) <sup>a</sup>	0.42 (0.30-0.60)	0.85 (0.66-1.09)	0.56 (0.33-0.94)	0.39 (0.27-0.55)	0.89 (0.36-2.16)
Model B (adjusted for clinical variables, SDOH, and hospital variables) <sup>b</sup>	0.42 (0.29-0.60)	0.87 (0.67-1.11)	0.54 (0.32-0.92)	0.38 (0.26-0.55)	0.83 (0.34-2.07)

Comparison is between patients with pre-existing HF and those without pre-existing HF. <sup>a</sup>Adjusted for age, sex, race, Hispanic ethnicity, advanced stage (III or IV vs I or II), hypertension, diabetes, hyperlipidemia, coronary artery disease, atrial fibrillation, peripheral vascular disease, valvular heart disease, prior ischemic stroke, any prior cancer diagnosis, chronic bronchitis or emphysema, dementia, moderate or severe renal dysfunction, dementia, and frailty. <sup>b</sup>Adjusted for model A variables as well as Surveillance, Epidemiology, and End Results region; metro-politan, nonurban metropolitan, or rural; Medicaid dual eligibility; marital status; census tract poverty indicator; household income; percentage without a high school diploma; National Cancer Institute cancer center designation; Commission on Cancer accreditation; hospital cooperative group membership (as of 2002); hospital classification as a referral center; teaching hospital; hospital, school affiliation; and number of beds

HF = heart failure; SDOH = social determinants of health.

90 days were excluded. Analyses were repeated stratified by early or advanced cancer stage.

# ASSOCIATION BETWEEN ANTHRACYCLINE USE AND HF HOSPITALIZATIONS AMONG PATIENTS WITH

**PRE-EXISTING HF.** The association between anthracycline use in the first 90 days (vs nonanthracycline chemotherapy in the first 90 days) and time to HF hospitalization among patients with pre-existing HF was estimated using Cox proportional hazards models that accounted for the competing risk for death and adjusted for baseline comorbidities. In these models, patients without pre-existing HF and patients who did not receive any chemotherapy treatment in the first 90 days were excluded, and cancer treatment was modeled as a time-varying covariate. Analyses were repeated stratified by early or advanced cancer stage and results presented as HRs with 95% CIs.

For all the Cox proportional hazards models, the linearity assumption was evaluated using Martingale residuals. The proportional hazards assumption was evaluated using weighted Schoenfeld residuals. There were no violations of proportional hazards for the main independent covariate of interest (preexisting HF) in any of the models. However, for violations of proportional hazards for the other covariates in the models, these were addressed with stratification (categorical variables) or the addition of a time interaction term (continuous variables).

Poisson regression models were used to assess temporal trends in pre-existing HF, anthracycline, dexrazoxane, and liposomal doxorubicin by year from 2000 to 2016, with an offset for the total number of people per year.

## RESULTS

**STUDY POPULATION.** Among 10,776 patients with newly diagnosed HL identified in the SEER-Medicare database from 2000 to 2015, 5,461 were excluded because of age <65 years and 1,967 because of lack of continuous enrollment in Medicare Parts A and B for the past 12 months, resulting in a final study cohort of 3,348 patients (**Figure 1**). Baseline patient-level, census tract-level, and hospital-level variables are shown stratified by treatment strategy (**Table 1**,

TABLE 3         Liposomal Anthracyclines and Dexrazoxane Use in the First Year Stratified by Pre-Existing HF					
	HL All Patients (n = 3,348)	HL, No Pre-Existing HF (n = 2,911)	HL, Pre-Existing HF (n = 437)	P Value	
Doxorubicin (nonliposomal)	1,886/3,348 (56.3)	1,730/2,911 (59.4)	156/437 (35.7)	< 0.001ª	
Either doxorubicin or liposomal doxorubicin	1,903/3,348 (56.8)	1,746/2,911 (60.0)	157/437 (35.9)	< 0.001ª	
Liposomal doxorubicin <sup>b</sup>	48/1,903 (2.5)	44/1,746 (2.5)	<11/157 (<7) <sup>c</sup>	1.00 <sup>d</sup>	
Dexrazoxane <sup>b</sup>	32/1,903 (1.7)	28/1,746 (1.6)	<11/157 (<7) <sup>c</sup>	0.33 <sup>d</sup>	
Either liposomal anthracycline or dexrazoxane $^{\mathrm{b}}$	79/1,903 (4.2)	72/1,746 (4.1)	<11/157 (<7) <sup>c</sup>	0.84ª	

Values are n/N (%). <sup>a</sup>P values were estimated using the chi-square test. <sup>b</sup>Among patients treated with any anthracycline or liposomal anthracycline in the first year. The denominator includes doxorubicin and liposomal doxorubicin. <sup>c</sup>Cell counts with values <11 were suppressed to avoid reidentification of patients according to Surveillance, Epidemiology, and End Results and Medicare policy. <sup>d</sup>P values were estimated using the Fisher exact test.

 ${\sf HF}={\sf heart}$  failure;  ${\sf HL}={\sf Hodgkin}$  lymphoma.

 TABLE 4
 Neurohormonal Antagonist and Statin Prescriptions in the Subset of Patients

 With Medicare Part D
 D

	Prevalent Users <sup>a</sup>		New Users <sup>b</sup>			
	All Patients (n = 980)	Patients With Prevalent HF (n = 128)	P Value	All Patients	Patients With Prevalent HF	P Value
Beta-blocker	215 (21.9)	59 (46.1)	< 0.001 <sup>c</sup>	77 (10.1)	17 (24.6)	< 0.001 <sup>c</sup>
ACEI or ARB	439 (44.8)	75 (58.6)	0.001 <sup>c</sup>	39 (7.2)	<11 <sup>d</sup>	0.009 <sup>e</sup>
Statin	426 (43.5)	65 (50.8)	0.07 <sup>c</sup>	31 (5.6)	<11 <sup>d</sup>	0.15 <sup>e</sup>

Values are n (%). <sup>a</sup>Prevalent users were defined by at least 1 prescription filled in the 4 months prior to lymphoma diagnosis. <sup>b</sup>New users were defined if a prescription was filled in the 6 months after lymphoma diagnosis (not including the month of lymphoma diagnosis) among those without prescriptions in the 4 months prior to lymphoma diagnosis. <sup>c</sup>P values were estimated using the chi-square test. <sup>d</sup>Cell counts with values <11 were suppressed to avoid reidentification of patients according to Surveillance, Epidemiology, and End Results and Medicare policy. <sup>c</sup>P values were estimated using the Fisher exact test.

ACEI = angiotensin-converting enzyme inhibitor; ARB = angiotensin II receptor blocker; HF = heart failure.

Supplemental Table 3). Of 3,348 included patients, 1628 (48.6%) were women, and the mean age was 76.1  $\pm$  6.9 years. Cardiovascular and noncardiovascular comorbidities were prevalent in the cohort, including HF (13.1%), coronary artery disease (29.0%), atrial fibrillation (13.4%), peripheral vascular disease (15.8%), hypertension (67.2%), diabetes (31.2%), and hyperlipidemia (59.1%). In the first year after HL diagnosis, 56.9% of patients received anthracyclinebased chemotherapy, 10.9% received nonanthracycline chemotherapy, and 32.2% received no chemotherapy. Radiation therapy was used in 23.4% of patients, and fewer than 1% of the patients were treated with hematopoietic cell transplantation.

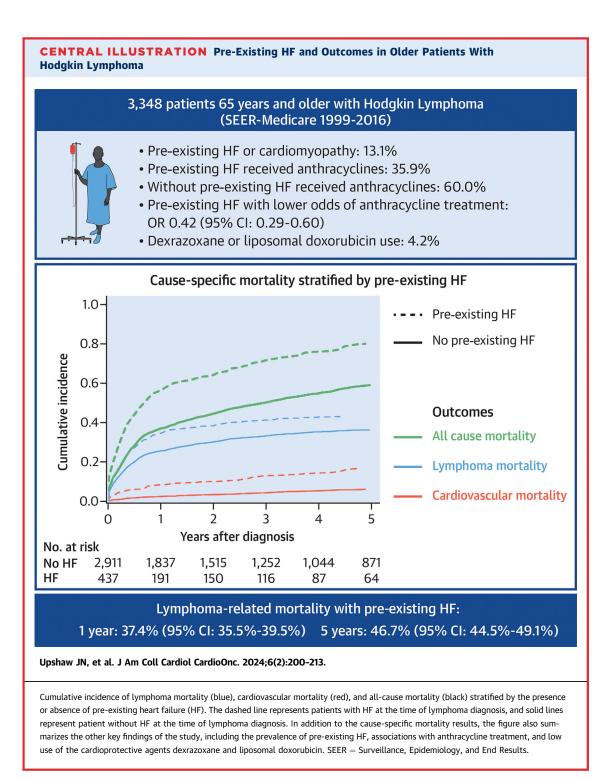
ASSOCIATIONS BETWEEN PRE-EXISTING HF AND CANCER TREATMENT. Pre-existing HF was associated with lower odds of treatment with anthracycline chemotherapy in the first year compared with nonanthracycline chemotherapy (OR: 0.42; 95% CI: 0.29-0.60) (Table 2). Among those with pre-existing HF, only 35.9% of patients received anthracyclines in the first year, compared with 60.0% of patients without pre-existing HF (Table 3). Dexrazoxane or liposomal doxorubicin formulations were used in 4.1% of anthracycline-treated patients without preexisting HF and <7% of anthracycline-treated patients with pre-existing HF (Table 3). Among patients treated with anthracyclines in the first year, pre-existing HF was not associated with higher odds of cardioprotective medication use with liposomal formulation or dexrazoxane (OR: 0.83; 95% CI: 0.34-2.07) (Table 2). Among patients with HF at the time of HL diagnosis, only one-half were on beta-blockers, and slightly more than one-half were on ACEIs or ARBs at the time of lymphoma diagnosis (Table 4).

## PRE-EXISTING HF AND RISK FOR CARDIOVASCULAR

AND LYMPHOMA MORTALITY. In those with preexisting HF, the cumulative incidence of lymphoma-specific mortality was 37.4% (95% CI: 35.5%-39.5%) at 1 year and 46.7% (95% CI: 44.5%-49.1%) at 5 years (Central Illustration, Table 5). The cumulative incidence of cardiovascular mortality was 7.9% (95% CI: 7.0%-8.9%) at 1 year and 14.5% (95% CI: 12.9%-16.2%) at 5 years. Pre-existing HF was associated with higher risk for lymphoma mortality (HR: 1.50; 95% CI: 1.29-1.75) in unadjusted models (Table 6). This association was attenuated but remained significant after adjusting for clinical, SDOH, and hospital variables (HR: 1.25; 95% CI: 1.06-1.46) and further attenuated and no longer statistically significant after adjusting for cancer treatment variables (HR: 1.12; 95% CI: 0.95-1.31). Pre-existing HF was associated with higher risk for cardiovascular mortality (HR: 3.36; 95% CI: 2.61-4.31) in unadjusted models. This association was attenuated but remained significant in models adjusted for clinical, SDOH, and hospitallevel variables (HR: 2.57; 95% CI: 1.96-3.36). Results were consistent when stratified by early (Supplemental Table 4) or advanced stage (Supplemental Table 5) HL.

ASSOCIATION BETWEEN ANTHRACYCLINE VS NON-ANTHRACYCLINE CHEMOTHERAPY AND LYMPHOMA MORTALITY AND HF HOSPITALIZATION AMONG PATIENTS WITH PRE-EXISTING HF. Among patients with pre-existing HF who were treated with any chemotherapy in the first 90 days after diagnosis, anthracycline use compared with nonanthracycline chemotherapy was associated with lower risk for lymphoma mortality (HR: 0.44; 95% CI: 0.28-0.71) in models adjusted for baseline clinical variables (Table 7). Results were similar when stratified by early stage HL (Supplemental Table 6) or advanced stage HL (Supplemental Table 6), although the number of events was small and thus CIs were wide. No association was seen between anthracycline use compared with nonanthracycline chemotherapy and cardiovascular mortality (HR: 0.62; 95% CI: 0.33-1.15) or time to first HF hospitalization (HR: 1.07; 95% CI: 0.76-1.51) in models adjusting for baseline clinical variables (Tables 7 and 8).

**LONGITUDINAL TRENDS IN PRE-EXISTING HF AND CANCER TREATMENT.** There was no significant change in the percentage of patients with pre-existing HF at the time of lymphoma diagnosis when assessed by year from 2000 to 2016 (Figure 2). There was a modest increase in anthracycline use from 2000 to



2016 (P < 0.001 for linear trend). There was no significant change in the use of liposomal doxorubicin over the study period, but there was a significant decrease in the use of dexrazoxane (P < 0.001 for linear trend).

## DISCUSSION

In a population-based analysis of older patients with HL, our main findings are as follows: 1) pre-existing HF was present in 13.1% of patients with HL;

	Cumulative Incidence (95% CI)				
	Lymphoma Mortality	Cardiovascular Mortality	Nonlymphoma Cancer Mortality	Non-CV, Noncance Mortality	
Pre-existing HF 1-y outcomes	37.4 (35.5-39.5)	7.9 (7.0-8.9)	4.4 (3.8-5.1)	8.4 (7.4-9.4)	
No pre-existing HF 1-y outcomes	26.3 (25.0-27.6)	2.9 (2.6-3.3)	3.2 (2.8-3.6)	4.5 (4.1-5.0)	
Pre-existing HF 5-year outcomes	46.7 (44.5-49.1)	14.5 (12.9-16.2)	7.0 (6.0-8.1)	14.0 (12.6-15.6)	
No pre-existing HF 5-y outcomes	35.9 (34.4-37.6)	6.8 (6.1-7.5)	6.1 (5.4-6.9)	9.4 (8.6-10.3)	

CV = cardiovascular; HF = heart failure.

2) pre-existing HF was associated with lower use of anthracyclines; 3) the cardioprotective agents dexrazoxane and liposomal doxorubicin were used infrequently (4.2%), even in patients with pre-existing HF; and 4) pre-existing HF was associated with an increased risk for lymphoma mortality in models adjusted for baseline comorbidities.

The prognosis for patients with HL <60 years of age is excellent, with 5-year survival and sustained cures in >85% of the patients. However, older patients continue to have a poor prognosis but with heterogeneity in outcomes by cancer stage, histology, Epstein-Barr virus positivity, treatment intensity, frailty.<sup>6,7,22</sup> comorbidities, functionality, and Although competing causes of death from nonlymphoma-related comorbidities are higher among older patients, our findings suggest that even among patients with pre-existing HF, the risk for lymphomarelated mortality is 3- to 4-fold higher than that for cardiovascular-related mortality in the first 5 years after diagnosis. Of note, the association between preexisting HF and lymphoma mortality was no longer

significant after additionally adjusting for cancer treatment as a time-varying covariate. We hypothesize that the higher lymphoma mortality in patients with pre-existing HF may be mediated in part by the lower use of anthracyclines. In support of this, in exploratory analysis, anthracycline-based chemotherapy was associated with lower lymphoma mortality compared with non-anthracycline-based therapy among patients with pre-existing HF, although we recognize that residual confounding and selection bias are potential concerns in this observational analysis. Our findings motivate additional studies to understand whether select patients with pre-existing HF can safely receive anthracyclinebased chemotherapy regimens with cardioprotection.

Our group recently reported a similar SEER-Medicare analysis of older patients with DLBCL, another aggressive lymphoma for which the first-line chemotherapy regimen includes anthracyclines, with higher disease prevalence and thus a larger sample size for the analysis.<sup>16</sup> Interestingly, in the DLBCL cohort, we found a similar prevalence of pre-existing

	HR (95% CI)			
	Lymphoma Mortality	Cardiovascular Mortality	Nonlymphoma Cancer Mortality	Non-CV, Noncancer Mortality
Cohort sample size	3,331	3,331	3,331	3,331
Number of events	1,300	368	257	464
Unadjusted <sup>a</sup>	1.50 (1.29-1.75)	3.36 (2.61-4.31)	1.42 (0.97-2.07)	1.97 (1.53-2.53)
Model A (adjusted for clinical variables) <sup>b</sup>	1.23 (1.05-1.44)	2.61 (2.00-3.39)	1.22 (0.82-1.81)	1.45 (1.11-1.89)
Model B (adjusted for clinical variables, SDOH, and hospital variables) <sup>c</sup>	1.25 (1.06-1.46)	2.57 (1.96-3.36)	1.21 (0.82-1.80)	1.42 (1.09-1.86)
Model C (clinical and treatment variables) <sup>d</sup>	1.12 (0.95-1.31)	2.40 (1.83-3.16)	1.12 (0.74-1.69)	1.33 (1.02-1.73)

Cox proportional hazards model using competing risks for 4 different cause-specific mortalities. Comparison is between patients with pre-existing HF and those without preexisting HF. <sup>a</sup>Unadjusted includes pre-existing HF as only independent variable. <sup>b</sup>Adjusted for age (including an age-time interaction term), sex, race, Hispanic ethnicity, diabetes, any prior cancer diagnosis, chronic bronchitis or emphysema, dementia, and moderate or severe renal dysfunction and stratified by advanced cancer stage (III or IV vs I or II). <sup>c</sup>Adjusted for model A variables plus Surveillance, Epidemiology, and End Results region; metropolitan, nonurban metropolitan, or rural; Medicaid dual eligibility; National Cancer Institute cancer center designation; hospital medical school affiliation; number of beds; and stratification for census tract poverty indicator. <sup>d</sup>Adjusted for model B variables plus number of anthracycline claims (time varying), radiation (time varying), and cardioprotective medications (dexrazoxane or liposomal formulations, time varying). Abbreviations as in **Tables 2 and 5**.

TABLE 7         Association Between Anthracycline Chemotherapy vs Nonanthracycline Chemotherapy and Cause-Specific Mortality Among           Patients With Pre-Existing HF						
	HR (95% CI)					
	Lymphoma Mortality	Cardiovascular Mortality	Nonlymphoma Cancer Mortality	Non-CV, Noncancer Mortality		
Cohort sample size	245	245	245	245		
Number of events	88	49	15	40		
Unadjusted <sup>a</sup>	0.51 (0.32-0.80)	0.60 (0.35-1.04)	0.47 (0.17-1.32)	0.47 (0.24-0.91)		
Model A (adjusted for clinical variables) $^{\rm b}$	0.44 (0.28-0.71)	0.62 (0.33-1.15)	0.44 (0.15-1.32)	0.36 (0.18-0.74)		

Cox proportional hazards model using competing risks for 4 different cause-specific mortalities. Patients without pre-existing HF were excluded. Patients not receiving any chemotherapy in the first 90 days were excluded. Cancer treatment was modeled as a time-varying covariate in the first 90 days. \*Unadjusted includes anthracycline use within the first 90 days (time varying) as the only independent variable. \*Adjusted for age, sex, Hispanic ethnicity, advanced stage (III or IV vs I or II), diabetes, any prior cancer diagnosis, chronic bronchitis/emphysema, dementia, and moderate or severe renal dysfunction and stratified by race.

Abbreviations as in Tables 2 and 5.

HF (13.9%) and similar associations between preexisting HF and lower anthracycline use (OR: 0.55; 95% CI: 0.49-0.61) and higher risk for lymphoma mortality (adjusted HR: 1.24; 95% CI: 1.18-1.31) as we found in this study of patients with HL.<sup>16</sup> In both studies, 1-year lymphoma mortality was high in patients with pre-existing HF (41.8% [95% CI: 40.5%-43.2%] for DLBCL and 37.4% [95% CI: 35.5%-39.5%] for HL), with lymphoma mortality exceeding cardiovascular mortality by more than 4-fold at 1 year. These analyses together highlight the poor 1-year outcomes in older patients with either DLBCL or HL who have pre-existing HF, driven largely by high lymphoma-related mortality. HL and DLBCL are common aggressive lymphomas, and anthracycline-containing regimens remain the standard of care for these malignancies. Although frontline anthracycline-based regimens are different for DLBCL (rituximab, cyclophosphamide, doxorubicin, vincristine sulfate, and prednisone) and HL (doxorubicin, bleomycin, vinblastine sulfate, and dacarbazine or doxorubicin, vinblastine sulfate, and dacarbazine), with higher dose intensity for HL regimens and different treatment-related toxicities, such as risk for lung toxicity with bleomycin, the cumulative anthracycline doses and risks for cardiotoxicity are similar for both DLBCL and HL. In addition, observational studies suggest that anthracycline-free regimens are associated with worse lymphoma outcomes for both lymphoma types.<sup>7,23-26</sup>

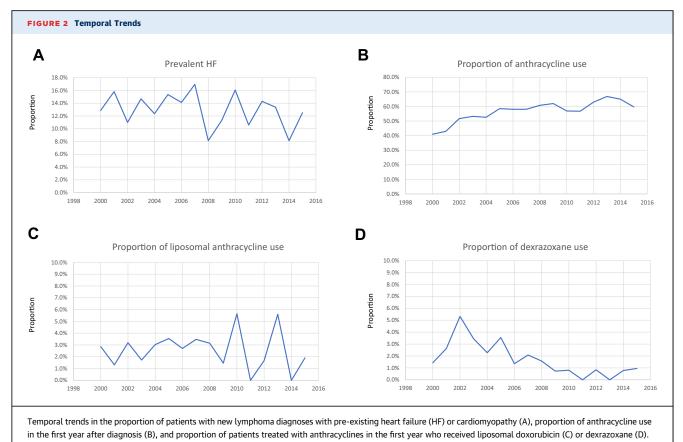
In randomized trials of patients with breast cancer, dexrazoxane given with doxorubicin<sup>8-10</sup> or the substitution of doxorubicin with liposomal doxorubicin<sup>11,12</sup> was associated with a decrease in clinical HF events with preserved oncologic efficacy.<sup>27</sup> However, neither dexrazoxane nor liposomal doxorubicin is approved by the U.S. Food and Drug Administration for the prevention of anthracycline-associated HF in adults newly diagnosed with HL or for use in patients with reduced LVEFs. Studies in adults with lymphoma have included 1 small randomized trial of rituximab, cyclophosphamide, doxorubicin, vincristine, and prednisone vs rituximab, cyclophosphamide, liposomal doxorubicin, vincristine, and prednisone in patients with DLBCL, with less subclinical cardiotoxicity and similar lymphoma outcomes.<sup>28</sup> In a multicenter, single-arm study of patients with HL at increased risk for HF because of age ≥70 years (n = 41) or established cardiac disease (n = 6), the substitution of a liposomal formulation of doxorubicin in combination with bleomycin, vinblastine, and dacarbazine was associated with progression-free survival of 70% and overall survival of 43% with grade 3 or higher cardiac events in 2 patients (4%).<sup>13</sup> Gemcitabine, vinorelbine, and liposomal doxorubicin have been studied in the setting of relapsed HL after an initial course of doxorubicin-containing regimens and is included in the National Comprehensive Cancer Network guidelines as an option for

TABLE 8         Association Between Anthracycline Chemotherapy vs Nonanthracycline
Chemotherapy and HF Hospitalization Among Patients With Pre-Existing HF

Anthracycline Use	HF Admissions				
vs Nonanthracycline	All Patients	Early Stage	Advanced Stage		
Cohort sample size	245	87	150		
Number of events	135	46	84		
Unadjusted <sup>a</sup>	0.99 (0.71-1.39)	0.93 (0.53-1.63)	0.95 (0.62-1.46)		
Model A (adjusted for clinical variables) <sup>b</sup>	1.07 (0.76-1.51)	0.73 (0.39-1.38)	0.98 (0.62-1.55)		

Values are HR (95% CI). Cox proportional hazards model of time to first HF admission accounting for the competing risk of death. "Unadjusted includes anthracycline use within the first 90 days (time varying) as the only independent variable. "Adjusted for age, sex, race, Hispanic ethnicity, diabetes, any prior cancer diagnosis, chronic bronchitis or emphysema, dementia, and moderate or severe renal dysfunction. The model including all stages was additionally adjusted for advanced stage (III or IV vs I or II).

 $\mathsf{HF} = \mathsf{heart} \ \mathsf{failure.}$ 



Trends over time were estimated using Poisson regression.

second-line therapy.<sup>1</sup> To our knowledge, this is the first study to explore the prevalence of "off label" use of these agents in patients with HL, and we found low use of dexrazoxane (1.7%) or liposomal doxorubicin (2.5%) across all patients and no signal for any increase in the use of these agents over the study period from 2000 to 2016. "Permissive cardiotoxicity" refers to the continuation of effective cancer therapies despite cardiac risk or emerging cardiotoxicity while also optimizing cardiac medications, especially in cases in which alternative cancer therapies are inferior to the cardiotoxic regimen.<sup>29</sup> Future studies are needed to explore the safety of permissive cardiotoxicity in patients with aggressive lymphomas in the context of optimized HF guideline-directed medical therapy, infusional cardioprotective strategies, and close cardiac monitoring.

Nonanthracycline regimens such as brentuximab vedotin and dacarbazine have shown activity in frontline therapy for HL in older patients who were not candidates or declined anthracycline-based chemotherapy.<sup>30</sup> Immune checkpoint inhibitor therapy is effective for relapsed or refractory HL<sup>31</sup> and is

being evaluated in combination with standard anthracycline-based chemotherapy in the frontline setting.<sup>32</sup> A phase 2 study of nivolumab and brentuximab vedotin for frontline therapy for older patients with HL showed activity but did not meet the primary response rate threshold of 68%.<sup>33</sup> Although novel agents may eventually eliminate the need for anthracyclines, at the present time, anthracyclinebased regimens are associated with better lymphoma outcomes even in older patients with comorbidities, although further studies are needed to assess optimal regimens for patients with pre-existing HF, multiple comorbidities, or documented geriatric syndrome.<sup>7,23,24</sup>

Although lymphoma mortality was the most common cause of death in this cohort, cardiovascular mortality was also high among patients with preexisting HF, occurring in 7.9% of patients at 1 year and 14.5% at 5 years. In the subset of our cohort with Medicare Part D in whom prescription medication information was available, only 46.1% with preexisting HF were treated with beta-blockers, and 58.6% with pre-existing HF were treated with ACEIs or ARBs. Although we do not have access to ejection fraction, vital signs, or laboratory values and thus cannot determine if these therapies were indicated, our findings suggest possible opportunities to improve optimal guideline-directed medical therapy for all cardiac comorbidities and cardiac risk factors to improve lymphoma and cardiovascular outcomes.<sup>34-37</sup> Cardio-oncology programs have been established at many hospitals with the goal of improving the cardiovascular care of patients with cancer through multidisciplinary collaboration.<sup>15,38,39</sup>

**STUDY LIMITATIONS.** First, this was an observational study, and residual confounding and selection bias were likely. Selection bias is especially relevant when interpreting the association between anthracycline chemotherapy and outcomes, as more fit or healthier patients are more likely to receive anthracyclines and also may have lower cardiovascular risk because of factors incompletely adjusted for in our analysis.

Second, HF, comorbidities, and cancer treatments were ascertained using claims data, and therefore we do not have access to clinical data such as LVEF, symptom burden, and biomarkers such as natriuretic peptides. We were therefore unable to categorize HF as HF with reduced ejection fraction, HF with mildly reduced ejection fraction, or HF with preserved ejection fraction.<sup>40</sup>

Third, claims for doxorubicin allow the determination of the number of cycles of doxorubicin; however, we were unable to determine if there were dose reductions of chemotherapy or if doxorubicin was given as a continuous infusion.

Fourth, additional medications have been shown to reduce morbidity and mortality in patients with HF since the study period, including the angiotensin receptor neprilysin inhibitor sacubitril-valsartan, as well as sodium glucose cotransporter 2 inhibitors. There are now 4 foundational medications recommended for the treatment of patients with HF with reduced ejection fraction,<sup>34</sup> and future studies are needed to assess whether these medications are being routinely used in the population of patients with comorbid HF and HL and if they improve cardiovascular and oncologic outcomes in patient with established HF and lymphoma.

#### CONCLUSIONS

Pre-existing HF was present in 13.1% of older patients with HL and was associated with lower use of

anthracyclines and higher risk for lymphoma and cardiovascular mortality in adjusted analyses. The cardioprotective agents dexrazoxane and liposomal doxorubicin were used infrequently (4.2%), and use was similar in patients with and those without preexisting HF. Among patients with pre-existing HF, anthracycline use was associated with lower lymphoma mortality and no signal for increased cardiovascular mortality of HF hospitalizations, although these findings are hypothesis generating only given the observational study design and concerns for residual confounding bias. Randomized trials of strategies to reduce lymphoma and cardiovascular mortality in this high-risk patient population are needed. Future studies could evaluate close collaboration between oncology and cardiology, interventions to optimize guideline-directed medical therapy for HF, the selective use of cardioprotective medications with anthracycline-based regimens, or novel nonanthracycline regimens.

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#### PERSPECTIVES

**COMPETENCY IN MEDICAL KNOWLEDGE:** Older patients with HL and pre-existing HF or cardiomyopathy are less likely to be treated with conventional anthracycline-based chemotherapy and have high 1-year mortality. Dexrazoxane and liposomal doxorubicin were used infrequently.

**TRANSLATIONAL OUTLOOK:** Close collaboration between oncology and cardiology, as well as clinical trials and prospective registries, are needed to evaluate strategies to reduce lymphoma and cardiovascular mortality in patients with pre-existing HF and newly diagnosed aggressive lymphomas, such as HL. Additional studies are needed to assess whether anthracycline-based chemotherapy with cardioprotective strategies can be safely given and are associated with improved outcomes in patients with Hodgkin lymphoma and established cardiomyopathy or HF.

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**KEY WORDS** anthracycline cardiotoxicity, cardio-oncology, cardioprotection, geriatric oncology

**APPENDIX** For supplemental methods, tables, results, and references, please see the online version of this paper.