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# Integrin beta-3 genetic variants and risk of venous thromboembolism in colorectal cancer patients

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# Abstract

**Background**—Integrin  $\beta$ 3 is involved in tumor and endothelial cell biology as well as in platelet aggregation. Herein, we evaluated the predictive potential of three germline single nucleotide polymorphisms (SNPs) in the integrin  $\beta$ 3 gene (rs3809865, rs5918 and rs4642) to predict the risk of venous thromboembolism (VTE) in colorectal cancer (CRC) patients, which is one of the leading causes of death among cancer patients.

**Methods**—112 patients diagnosed with CRC enrolled in the prospective Vienna Cancer and Thrombosis Study (CATS) were assessed with a median follow-up of 46 months. DNA was isolated from venous blood samples and SNPs were analyzed by the PCR-RFLP method.

**Results**—VTE occurred in 12% (n = 13) of all patients. The SNPs rs5918 and rs4642 were not associated with VTE risk. For rs3809565, 23% (n = 11) of patients had the A/A genotype, 4% (n = 2) had the A/T genotype, but none (0%) had the T/T genotype. In the univariate analysis, patients with the A/A genotype had a significantly higher risk to develop VTE compared to the other polymorphisms (P= 0.0005 after Fine and Gray). In the multivariable analysis, the predictive value remained significant.

Appendix A. Supplementary data

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<sup>&</sup>lt;sup>1</sup>D.B. and A.S. contributed equally to this study Authorship contributions

Contribution: The study was designed by C.A, G.P., I.P., C.C.Z, H.J.L. Analysis was conducted by D.B., A.S., C.P., A.K. Data were analyzed by D.B., A.S, A.G., H.J.L., G.K., W.S., C.C.Z., I.P. and G.P. Statistical analysis were performed by A.G., D.B., A.S. Manuscript was written by D.B., A.S., G.P and carefully revised by every single author.

Disclosure of conflicts of interest

The authors declare no competing financial interests.

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**Conclusions**—This study identified the rs3809865 A/A genotype as an independent risk factor for VTE in CRC patients. Our findings would help identify high risk patients and would be essential for tailored anticoagulant prophylaxis.

#### Keywords

Single nucleotide polymorphism; Integrin β3; Venous thromboembolism risk; Colorectal cancer; rs3809565; Anticoagulant prophylaxis

# 1. Introduction

Venous thromboembolism (VTE) is one of the leading causes of death in cancer patients causing additional life-threatening complications and significantly higher health care costs [1–3]. Specific cancer related patient characteristics such as tumor site, stage at diagnosis, histologic subtype, tumor grade or anticancer treatment with fluoropyrimidines  $\pm$  bevacizumab or oxaliplatin can promote the development of VTE [4–8]. In colorectal cancer (CRC) patients the estimated risk of developing VTE within 2 years was reported to be 8.2% [9]. Patients with metastatic disease have a 5- to 13-fold higher risk of developing VTE compared to those with localized disease [10,11]. The pathogenesis that leads to a hypercoagulable state in cancer patients is complex and is mediated by various interactions of tumor cells with platelets and endothelial cells, affecting the clotting system [12,13].

Integrin receptors are heterodimeric cell adhesion proteins which consists of an  $\alpha$  and  $\beta$  subunit [14]. Integrin  $\beta$ 3 is essentially expressed on endothelial cells, platelets, osteoclasts and hematopoietic cells and corresponds to the group of integrins that binds to proteins containing the arginine-glycine-aspartic acid (RGD)-motif [15]. Integrin  $\beta$ 3 can form heterodimers with the subunits  $\alpha$ V and  $\alpha$ IIb. Integrin  $\alpha$ V $\beta$ 3 is expressed by activated endothelial cells and tumor cells [16]. It promotes proangiogenic endothelial cell behavior, such as cell migration, invasion and survival, and is a major mediator of tumor angiogenesis, tumor growth and platelet aggregation [16–20]. Integrin  $\alpha$ IIb $\beta$ 3 is exclusively expressed on platelets and mediates platelet aggregation to promote thrombus formation [20,21].

The paramount role of integrin  $\beta$ 3 in thrombus formation prompted us to investigate if there was a correlation between VTE in patients with CRC and the  $\beta$ 3 integrin SNPs rs3809865, rs5918 and rs4642. The selection of these SNPs is based on a previous work [22], in which it was investigated if a comprehensive panel of germline SNPs of integrin genes could predict stage-specific time to tumor recurrence in colon cancer. In this post-hoc analysis, we retrospectively analyzed 112 patients recruited in the framework of the prospective Vienna Cancer and Thrombosis Study (CATS) for association of genetic variants in the integrin  $\beta$ 3 gene with risk of VTE. 43% (n = 48) of all the patients had a rs3809865 A/A genotype, 45% (n = 50) a A/T genotype and 12% (n = 14) a T/T genotype. VTE occurred in 12% (n = 13) of all patients, 23% (n = 11) of A/A rs3809865 patients, in 4% (n = 2) of A/T patients, but none (0%) patients had the T/T rs3809865 genotype. rs5918 and rs4642 SNPs were not associated with risk of VTE in this population. This study identified the germline polymorphism A/A of rs3809865 as an independent risk factor for VTE in CRC patients. A single laboratory test

stratifying high and low risk groups for developing VTE, could lead to prevention strategies, thus, improving quality of life, safe costs and decreasing mortality rates in these patients.

## 2. Methods

#### 2.1. Patients and study design

112 CRC patients who entered the prospective CATS between February 2004 and June 2011 were analyzed. The study was performed in accordance with the Declaration of Helsinki and was approved by the ethical committee of the institution. Detailed information about the CATS study has been reported previously [23,24]. In brief, patients diagnosed with CRC gave informed consent before venous blood samples were drawn. The overall aim of CATS was defined to identify parameters and biomarkers to predict occurrence of VTE in cancer patients. While the CATS maximum observation period for VTE events is 2 years, the occurrence of objectively confirmed VTE in this analysis was retrospectively extended until August 2013. In CATS, study patients were not routinely screened for VTE, but symptomatic or fatal VTE were classified as events. Diagnosis of VTE was in all cases confirmed by objective medical imaging methods, such as duplex sonography or computer tomographic scans. Each event was discussed and evaluated by an independent adjudication committee. Non symptomatic events, such as accidentally detected VTE in a restaging examination, were considered as an event when the adjudication committee considered them to be of clinical significance. Patients with continuous oral anticoagulation were excluded and no routine thromboprophylaxis for VTE was performed in our study. However, thromboprophylaxis with low-molecular-weight-heparin (LMWH) was allowed in hospitalized patients or after surgery according to clinical practice guidelines. In addition to CATS, this analysis considered additional patient data such as anti-VEGF treatment.

#### 2.2. Blood sampling and SNP genotyping

Venous blood samples were drawn into plasma vacuum tubes (Vacuette; Greiner Bio One, Austria) containing one-tenth volume sodium citrate stock solution at 0.129 mM. To obtain platelet-poor plasma, the citrated blood was centrifuged (ROTANTA/TRC; Hettich, Germany) at 1500 g for 15 minutes, and to obtain platelet free plasma a second centrifugation step (Eppendorf) at 13 400 g for 2 minutes was performed. Plasma aliquots were stored at -80 °C until they were assayed for PCR testing of three SNPs in integrin  $\beta$ 3 gene. Samples were coded before laboratory analysis. During analysis researchers and technicians were unaware of the patients' characteristics at all times. Genotyping was performed applying a combined PCR-restriction fragment length polymorphism approach (PCR-RFLP). In brief, a short sequence including the site in question was amplified using primers binding 66–99 bps upstream and downstream resulting in PCR products 149–158 bps in size. Subsequently, the amplification product was digested using restriction enzymes, which were specifically chosen to cut or not at the site of the polymorphism in question according to genotype. After digestion, the resulting DNA-fragments were separated on an agarose gel. Consequently, lanes with bands in all three size ranges were considered heterozygote samples and lanes with only one band in the 150 bp region or two bands in the 60–100 bp region were considered to be homozygote in respect to the SNP in question. (Primers and enzymes are listed in supplemental Table S1). PCR assays were performed

with 1.25 U DreamTaq<sup>TM</sup>, 8 mM (total) dNTPs, 1x DreamTaq<sup>TM</sup> Green Buffer (Fermentas), 0.5 μM fw-primer and 0.5 μM rv-primer. Annealing temperatures were optimized in advance. Restriction digestions with SNP-specific restriction enzymes were performed according to the recommendations of the manufacturer. DNA-fragments were separated on a pre-stained (GelRED<sup>TM</sup>, GenON) 4% agarose gel at 120 V for 30 min. and visualized on a BioRADChemiDOC XRS system.

#### 2.3. Statistical analysis

Continuous variables were described with median and interquartile range (IQR); nominal variables were described by absolute numbers and percentage. SP-Selectin was compared between genotypes using t-tests on the logarithmised variable. The median follow-up time was estimated using the reverse Kaplan-Meier method [25]. The effects of sex, age, stage, metastatic site, tumor location, BMI and of the polymorphisms rs3809865, rs5918 and rs4642 on the occurrence of VTE was investigated in univariate, bias corrected Fine-Gray models [26] where death was considered as competing event. Due to the small number of events, we only considered multivariable Fine-Gray models including rs389086 and either sex, age, stage, metastatic site, tumor location and BMI. Since Fine-Gray models with death as competing risk do not handle time-dependent variables adequately [27], the effect of the time-dependent variable treatment with bevacizumab and of rs3809865 adjusted for treatment with bevacizumab was estimated in a bias corrected Cox-model where death was considered as censoring event. Here, we assumed that the effect of bevacizumab persisted 4 weeks after the end of therapy. We plotted crude cumulative incidence curves for each level of the polymorphism rs3809865, where death was considered as competing event. Chisquare goodness-of-fit tests were used to test the polymorphisms for Hardy-Weinberg Equilibrium and to compare for each polymorphism the minor allele frequency of our study population with the Global MAF based on 1000 Genomes. All analyses were carried out using SAS version 9.3 (SAS Institute Inc., Cary, NC, USA).

#### 3. Results

#### 3.1. Study population

Baseline demographic and clinical characteristics of the study population are displayed in Table 1. One-hundred-twelve patients after diagnosis with CRC were prospectively observed. Median age at time of enrolment into CATS was 64 years (IQR, 57–71 years). Median observation time was 1399 days (IQR, 704–2197 days). 42 female patients (37%) and 70 male patients (63%) had been enrolled. Left sided primary tumors, including those originating from the splenic flexure, sigmoid, rectosigmoid junction, or rectum were recorded in 81 cases (72%), while right sided colon cancer was listed in 23 cases (21%). 3 patients (2%) were enrolled with two colorectal primary tumors and 5 (4%) patients were considered as CRC with non-recorded primary origin. Most patients (79%) had locally advanced (stage III) or metastatic disease (stage IV). During the observation period, 49 patients (44%) received the anti-VEGF antibody bevacizumab. Two patients were enrolled into blinded randomized controlled studies considering bevacizumab as the experimental treatment arm. Whether the two patients received bevacizumab is unknown; none of these patients, however, were diagnosed with VTE.

#### 3.2. Thromboembolic events

VTE occurred in 12% (n = 13) of patients, 38% (n = 5) were diagnosed with stage III and 62% (n = 8) with distant metastatic disease (stage IV). Detailed information on patients with VTE is given in Table 2. 11 patients were male (85%). 9/13 (69%) patients had left sided CRC, while 4/13 (31%) had right sided colon cancer. Of the 49 patients who received a bevacizumab-containing therapy, four developed VTE (8%). At the time of VTE event, all patients had either locally advanced (2/13) or metastatic CRC (11/13). One VTE was associated with a Port-a-Cath (PAC) implant. By August 2013, 62% (n = 69) of patients died and 38% (n = 43) were alive.

#### 3.3. Thromboembolic events and rs3809865 distribution

48 (43%) patients presented the homozygous mutant variant of integrin  $\beta$ 3 rs3809865 A/A, 50 patients (45%) were harboring the A/T and 14 (12%) the T/T genotype. This genotype distribution obeyed the genotype count reported in the 1000 Genomes panel (52,91% for the A/A variant, 38,86% for the heterozygote genotype and 8,22% for the T/T variant) [28]. From the 13/112 patients (12%), who developed an objectively confirmed VTE, 11 patients (85%) had an rs3809865 A/A genotype, while 2 (15%) had an A/T genotype. No VTE patient had a homozygous mutant variant rs3809865 T/T.

#### 3.4. Rs3809865 and the risk of VTE

Investigating the effect of rs3809865 polymorphism on the risk of VTE in a univariate Fine and Gray analysis with death as competing event, we found that patients with a rs3809865 A/A genotype had a significantly higher risk to develop VTE compared to those with the polymorphism A/T or T/T (HR = 7.87; 95% CI: 2.31 to 40.00, p = 0.0005). This effect remained significant in the multivariable analysis considering in addition either sex, age, stage, tumor location (left vs. right), metastatic sites, BMI or bevacizumab-containing treatment (Table 3). Cumulative incidence of developing VTE within 2500 days was significantly higher for the homozygous mutant variant rs3809865 A/A with 30% compared to 8% for polymorphism A/T or 0% for polymorphism T/T (Fig. 1). Additional analysis of sP-Selectin and rs3809865 genotype A/A did not show significantly increased sP-Selectin levels in this subgroup of patients (p = 0.6063). Neither sex, age, stage, tumor location, metastatic sites, BMI nor bevacizumab-containing treatment showed a significant association with VTE occurrence in univariate analysis.

#### 3.5. Integrin $\beta$ 3 rs5918 or rs4642 and VTE in CRC

DNA of 96 CRC patients, among them DNA from all 13 patients with VTE, was assessable for further SNP germline polymorphism analysis. Integrin  $\beta$ 3 rs5918 C > T had no predictive value for VTE (p = 0.2466) (Table 4). Integrin  $\beta$ 3 rs4642 polymorphism, which could be analyzed in 88 patients, also did not reveal a significant association with the risk of developing VTE (A/A compared to A/G and G/G, p = 0.9579) (Table 5).

## 4. Discussion

VTE contributes to elevated morbidity and mortality in cancer patients and so far, several risk factors for VTE were identified in different clinical studies. It was already shown that

the risk of VTE in the cohort of patients presented herein (CATS) correlates with tumor stage, metastatic sites, tumor location and chemotherapy (reviewed in [29]). There was no association found between risk of VTE and age, gender or BMI, which is in line with other clinical studies [30,31]. In the present study we observed an almost 8-fold increased risk of VTE in CRC patients carrying the A/A genotype of integrin  $\beta$ 3 rs3809865. Of the 112 patients eligible for SNP analysis and VTE risk assessment, almost one quarter of the 48 patients with rs3809865 polymorphism A/A, only 4% of the 50 patients with polymorphism A/T and none of the 14 patients with polymorphism T/T developed VTE during the observation period. In multivariable analysis adjusting for either sex, age, stage tumor location (left vs. right), metastatic sites, BMI or treatment with bevacizumab the predictive value remained significant. The allele frequencies of rs3809865 in our study population did not show a significant deviation from the Hardy-Weinberg Equilibrium (supplemental Table S2).

These results indicate that the hitherto unknown integrin  $\beta$ 3 single nucleotide polymorphism rs3809865 can predict the risk of VTE in CRC patients. rs3809865 is located at the 3'UTR region of the integrin  $\beta$ 3 gene. The 3'UTR region regulates  $\beta$ 3 integrin gene expression and regulation at the mRNA level and interacts with miRNAs [32]. Thus, although not shown here, it is tempting to speculate that rs3809865 might functionally affect miRNA binding and consequently, the protein expression level of the integrin  $\beta$ 3 subunit [33–35]. In this context, it was shown before that the miRNAs hsa-mir-506 and hsa-mir-124 bind more stably to the T allele when compared to the A allele of rs3809865 [35], which might lead to an increased expression of integrin  $\beta$ 3 in patients harboring the A/A genotype when compared to those with an A/T or T/T rs3809865 genotype [35]. Whether rs3809865 mutant variants, which have been described before to affect miRNA interaction and integrin  $\beta$ 3 expression, have a functional impact on platelet or endothelial cell activation is so far unknown and is currently investigated in a subsequent study.

Ligand binding to integrin  $\alpha_{IIb}\beta$ 3 mediates stable platelet adhesion, platelet aggregation, and thrombus formation via binding its ligands fibrinogen, von Willebrand factor, and other RGD-sequence containing matrix proteins. Furthermore, ligand binding triggers an "outside-in" signaling, resulting in platelet spreading, additional granule secretion, stabilization of platelet adhesion, platelet aggregation and clot retraction.

Further on, integrin activation is tightly regulated via intracellular signaling events (insideout). Talin binds to the cytoplasmic tail of the beta integrin subunit [36], which leads to a conformational change of integrins from an inactive bend formation to an open straighten conformation, which unencrypt the ligand binding site [37–40]. The latter event is a major regulator for platelet activation and aggregation [20]. A decreased expression of integrin  $\alpha$ IIb $\beta$ 3 is associated with an increased bleeding risk and is a characteristic of Glanzmann's thrombasthenia [41]. Targeting integrin  $\alpha$ IIb $\beta$ 3 affects platelet aggregation [42] and the monoclonal antibody abciximab (a IIb receptor antagonist) is in clinical use during percutaneous coronary intervention for the prevention of cardiac ischemic complications [43]. Although not shown here, this large body of evidence suggests that a miRNA binding site genetic polymorphism might affect  $\beta$ 3 integrin expression, interfering with platelet activation.

Patients with advanced disease are on higher risk to develop VTE [10,11]. At study entry more than 80% of CRC patients had advanced disease stages (either stage III or IV). In the multivariable analysis, however, tumor stage did not affect the predictive value of rs3809865 mutant variants for risk of VTE.

The detection of new predictive biomarkers for VTE risk assessment could be an important step forward the improvement in quality of life and overall survival in cancer patients [1– 3,44]. Current risk assessment models are based on the testing of multiple blood parameters and clinical risk factors [45–47]. The hypercoagulable state in cancer patients has a complex pathogenesis. A single laboratory parameter with a high predictive value could improve current risk assessment models. Our data provide first evidence that germline variant integrin  $\beta$ 3 rs3809865 might predict the risk of VTE in CRC patients. This first observation is currently being validated in an independent prospective translational study PASSION-ATE (NCT02119026), which analyzes biomarkers in metastasized CRC patients during first and second line treatment with bevacizumab and capecitabine plus oxaliplatin or irinotecan.

In summary, we have shown that a single germline variant of a central contributor molecule in tumor-, endothelial cell and platelet biology might play an important role predicting individual risk of VTE in CRC patients. Because prevention of thromboembolism in cancer patients, especially when treated in an out-patient setting, is not generally recommended, identification of high risk patients via a reliable parameter would be essential for tailored anticoagulant prophylaxis. This could reduce VTE rates in high risk patients and avoid unnecessary side-effects and health care costs of anti-coagulant agents in those patients who are at low-risk. Furthermore, the association between integrin subunit genetic variants and risk of VTE supports the functional role of integrins in the pathogenesis of cancer-associated VTE.

#### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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#### Abbreviations

SNP	single nucleotide polymorphism
VTE	venous thromboembolism
CRC	colorectal cancer
RFLP	restriction fragment length polymorphism

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#### Fig. 1. Competing Risk Model:

Cumulative incidence of VTE stratified by rs3809865 polymorphisms (n = 13). Patients with rs3809865 polymorphism A/A (blue) are on a higher risk of developing VTE compared to those with polymorphism A/T (red) or T/T (green).

Baseline characteristics of the study population (n = 112).

Characteristic	Value	Percentage
Median age at study entry (years)	64	
IOR	57–71	
Sex		
Female	42	37%
Male	70	63%
Site of the primary tumor		
Rectum	50	45%
Rectosigmoid junction	2	1.8%
Sigmoid colon	25	22%
Splenic flexure	4	3.6%
Transverse colon	6	5.4%
Hepatic flexure	1	0.9%
Ascending colon	7	6.3%
Cecum	8	7.1%
Appendix	1	0.9%
Two sites	3	2.7%
Unknown	5	4.5%
Tumor stage at study entry $^{st}$		
Stage 0	3	2.7%
Stage I	9	8%
Stage II	11	9.8%
Stage III	17	15%
Stage IV	72	64%
Progression of tumor at study entry		
Localized	40	36%
Distant metastasis	72	64%
Anti-VEGF-A treatment from study entry		
Bevacizumab treatment	49	44%
Study patient optional bevacizumab treatment	2	1.8%

Categorical variables are described with absolute numbers and percentages. Age is described as median with IQR.

\* For staging UICC staging was used (stage Ia and Ib are summarized as stage I; stage 0 is defined as ypT0pN0M0, R0 - these are patients with rectum carcinoma, who received presurgical radiotherapy).

Baseline characteristics of VTE patients (N = 13; 11.6%).

Characteristic	Value	Percentage
Median age at study entry (years)	67	
IQR	62–71	
Sex		
Female	2	15%
Male	11	85%
Site of cancer		
Rectum	6	46%
Rectosigmoid junction	0	0
Sigmoid colon	2	15%
Splenic flexure	1	7.7%
Transverse colon	1	7.7%
Hepatic flexure	0	0
Ascending colon	1	7.7%
Cecum	2	0,15
Appendix	0	0
Two sites	0	0
Unknown	0	0
Tumor stage at study entry		
Stage 0	0	0
Stage I	1	7.7%
Stage II	1	7.7%
Stage III	3	23%
Stage IV	8	62%
Progression of tumor at study entry *		
Localized	5	38%
Distant metastasis	8	62%
Tumor stage when VTE occurred		
Stage 0	0	0%
Stage I	0	0%
Stage II	0	0%
Stage III	2	15%
Stage IV	11	85%
Anti-VEGF-A treatment and VTE		
Bevacizumab at time of VTE (+4 weeks)	4	31%
In relation to total population with bevacizumab	4	8.2%
Site of thrombotic event counted		
Isolated pulmonary vein thrombosis (PE)	6	46%
Isolated deep vein thrombosis (DVT)	6	46%
Subclavian vein thrombosis (PAC-implant)	1	7.7%

Categorical variables are described with absolute numbers and percentages. Age is described as median with IQR.

\* For staging UICC staging was used (stage Ia and Ib are summarized as stage I; stage 0 is defined as ypT0pN0M0, R0 - these are patients with rectum carcinoma, who received presurgical radiotherapy).

Subdistribution hazard ratio for A/A compared to A/T and T/T at rs3809865 (adjusted for either sex, age, stage, metastatic sites, tumor location, BMI or bevacizumab containing treatment).

Factors	HR	95% CI	Р
Parameters analyzed			
Unadjusted	7.87	2.31-40.00	0.0005
Adj. for sex	7.52	2.20-38.46	0.0008
Adj. for age	7.63	2.23-40.00	0.0007
Adj. for stage *1	13.89	3.56-76.92	<.0001
Adj. for metastatic sites	12.08	3.42-66.67	<.0001
Adj. for tumor location $*2$	7.7	2.26-40	0.0006
Adj. for BMI	8.62	2.52-45.45	0.0003
Adj. for bevacizumab *3	8.20	2.31-41.67	0.0006

\*1 Stage was determined at CATS admission date. Stage was categorized in stage 1-3 and 4, 3 patients (stage 0) were excluded from analysis.

\*2Three patients with two-sided tumor location were excluded from analysis.

\*3Study patients with unsure treatment of bevacizumab were excluded from the analysis.

rs5918 polymorphism distribution, p = 0.2466 for polymorphism T/T compared to C/T and C/C.

rs5918	Number of Patients (%)	Number of patients with VTE events
T/T	75 (78.13%)	12 (92.31%)
C/T	18 (18.75%)	1 (7.69%)
C/C	3 (3.13%)	0 (0%)

rs4642 polymorphism distribution, p = 0.9579 for polymorphism A/A compared to A/G and G/G.

rs4642	Number of Patients (%)	Number of patients with VTE events
A/A	39 (44.32%)	5 (45.45%)
A/G	33 (37.50%)	5 (45.45%)
G/G	16 (18.18%)	1 (9.09%)