



Since January 2020 Elsevier has created a COVID-19 resource centre with free information in English and Mandarin on the novel coronavirus COVID-19. The COVID-19 resource centre is hosted on Elsevier Connect, the company's public news and information website.

Elsevier hereby grants permission to make all its COVID-19-related research that is available on the COVID-19 resource centre - including this research content - immediately available in PubMed Central and other publicly funded repositories, such as the WHO COVID database with rights for unrestricted research re-use and analyses in any form or by any means with acknowledgement of the original source. These permissions are granted for free by Elsevier for as long as the COVID-19 resource centre remains active.



Full Length Article

Pediatric

Clinical Characteristics and Outcomes of COVID-19 in Pediatric and Early Adolescent and Young Adult Hematopoietic Stem Cell Transplant Recipients: A Cohort Study



Neel S. Bhatt^{1,*}, Akshay Sharma², Andrew St. Martin³, Muhammad Bilal Abid⁴, Valerie I. Brown⁵, Miguel Angel Diaz Perez⁶, Haydar Frangoul⁷, Shahinaz M. Gadalla⁸, Megan M. Herr⁹, Maxwell M. Krem¹⁰, Hillard M. Lazarus¹¹, Michael J. Martens^{3,12}, Parinda A. Mehta¹³, Taiga Nishihori¹⁴, Tim Prestidge¹⁵, Michael A. Pulsipher¹⁶, Hemalatha G. Rangarajan¹⁷, Kirsten M. Williams¹⁸, Lena E. Winestone¹⁹, Dwight E. Yin²⁰, Marcie L. Riches²¹, Christopher E. Dandoy¹¹, Jeffery J. Auletta^{17,22}

¹ Clinical Research Division, Fred Hutchinson Cancer Center, Seattle, Washington

² Department of Bone Marrow Transplantation and Cellular Therapy, St. Jude Children's Research Hospital, Memphis, Tennessee

³ CIBMTR (Center for International Blood and Marrow Transplant Research), Milwaukee, Wisconsin

⁴ Divisions of Hematology/Oncology & Infectious Diseases, Department of Medicine, Medical College of Wisconsin, Milwaukee, Wisconsin

⁵ Penn State Children's Hospital and Penn State College of Medicine, Hershey, Pennsylvania

⁶ Hospital Infantil Universitario "Niño Jesús," Madrid, Spain

⁷ Sarah Cannon Research Institute, Nashville, Tennessee

⁸ Division of Cancer Epidemiology and Genetics, National Cancer Institute, Bethesda, Maryland

⁹ Roswell Park Comprehensive Cancer Center, Buffalo, New York

¹⁰ Kansas City VA Medical Center, Kansas City, Missouri

¹¹ Case Western Reserve University, Cleveland, Ohio

¹² Division of Biostatistics, Medical College of Wisconsin, Milwaukee, Wisconsin

¹³ Cincinnati Children's Hospital Medical Center, Cincinnati, Ohio

¹⁴ Moffitt Cancer Center, Tampa, Florida

¹⁵ Starship Hospital University of Auckland, Auckland, New Zealand

¹⁶ Primary Children's Hospital and the Huntsman Cancer Institute at the University of Utah, Salt Lake City, Utah

¹⁷ Nationwide Children's Hospital, Columbus, Ohio

¹⁸ Department of Pediatrics, Emory University and the Children's Healthcare of Atlanta, Atlanta, Georgia

¹⁹ Division of Allergy, Immunology, and BMT, UCSF Benioff Children's Hospitals, San Francisco, California

²⁰ Division of Infectious Diseases, Department of Pediatrics, Children's Mercy Kansas City and University of Missouri at Kansas City School of Medicine, Kansas City, Missouri

²¹ IQVIA Biotech, Morrisville, North Carolina

²² National Marrow Donor Program/Be The Match, Minneapolis, Minnesota

Article history:

Received 21 February 2022

Accepted 27 June 2022

Key Words:

Covid-19

Pediatric

Early adolescent and young adult

Hematopoietic stem cell

Transplantation

A B S T R A C T

Adult hematopoietic stem cell transplantation (HSCT) recipients are at a high risk of adverse outcomes after COVID-19. Although children have had better outcomes after COVID-19 compared to adults, data on risk factors and outcomes of COVID-19 among pediatric HSCT recipients are lacking. We describe outcomes of HSCT recipients who were ≤ 21 years of age at COVID-19 diagnosis and were reported to the Center for International Blood and Marrow Transplant Research between March 27, 2020, and May 7, 2021. The primary outcome was overall survival after COVID-19 diagnosis. We determined risk factors of COVID-19 as a secondary outcome in a subset of allogeneic HSCT recipients. A total of 167 pediatric HSCT recipients (135 allogeneic; 32 autologous HSCT recipients) were included. Median time from HSCT to COVID-19 was 15 months (interquartile range [IQR] 7–45) for allogeneic HSCT recipients and 16 months (IQR 6–59) for autologous HSCT recipients. Median follow-up from COVID-19 diagnosis was 53 days (range 1–270) and 37 days (1–179) for allogeneic and autologous HSCT recipients, respectively. Although COVID-19 was mild in 87% ($n = 146/167$), 10% ($n = 16/167$) of patients required

Financial disclosure: See Acknowledgments on page 696.e6.

N.S.B. and A.S. are co-first authors. C.E.D. and J.J.A. are co-last authors.

*Correspondence and reprint requests: Neel S. Bhatt, Clinical Research Division, Fred Hutchinson Cancer Research Center, 1100 Fairview Ave N, D5-390, Seattle, WA 98109. Phone: 206-667-6181 Fax: 206-667-4937.

E-mail address: nbhatt@fredhutch.org (N.S. Bhatt).

supplemental oxygen or mechanical ventilation. The 45-day overall survival was 95% (95% confidence interval [CI], 90–99) and 90% (74–99) for allogeneic and autologous HSCT recipients, respectively. Cox regression analysis showed that patients with a hematopoietic cell transplant comorbidity index (HCT-CI) score of 1–2 were more likely to be diagnosed with COVID-19 (hazard ratio 1.95; 95% CI, 1.03–3.69, $P = .042$) compared to those with an HCT-CI of 0. Pediatric and early adolescent and young adult HSCT recipients with pre-HSCT comorbidities were more likely to be diagnosed with COVID-19. Overall mortality, albeit higher than the reported general population estimates, was lower when compared with previously published data focusing on adult HSCT recipients.

© 2022 The American Society for Transplantation and Cellular Therapy. Published by Elsevier Inc. All rights reserved.

Pediatric patients have generally had a lower risk of developing moderate to severe Coronavirus disease 2019 (COVID-19) and have lower hospitalization rates, mechanical ventilation, and death after COVID-19 than adults [1,2]. Despite COVID-19 surges and emergence of variants of concern, COVID-19–related hospitalization rates among children and adolescents, and adverse outcomes such as intensive care unit admission, vasopressor support, and deaths have remained low [3]. A large multinational analysis showed that the death rate from COVID-19 has been only 0.17 per 100,000 children [4]. Similarly, outcomes of patients with COVID-19 admitted to pediatric intensive care units have also been comparatively better than in adults [1].

Prior studies focusing on adult patients have shown that COVID-19 disproportionately affects patients with underlying comorbidities, including those with a compromised immune system due to underlying malignancy, active cancer treatment, ongoing immune suppression, or hematopoietic stem cell transplantation (HSCT) [5–12]. The higher risk of COVID-19 has also translated into worse outcomes among these patients than in the general population. Specifically, 2 large studies including adult HSCT recipients have reported that 35% to 38% of patients had moderate to severe COVID-19 requiring oxygen supplementation or mechanical ventilation, and nearly 30% of patients died within 4 to 6 weeks after development of COVID-19 [10,12]. Although many of these studies have provided insights on incidence, risk factors, and outcomes of adult HSCT recipients diagnosed with COVID-19, literature focusing on pediatric HSCT recipients remains limited. We describe the clinical characteristics, severity, treatment approaches, and outcomes of pediatric HSCT recipients diagnosed with COVID-19, as reported to the Center for International Blood and Marrow Transplant Research (CIBMTR).

METHODS

Data Source

The CIBMTR is a research collaboration between the National Marrow Donor Program (NMDP)/ Be The Match and the Medical College of Wisconsin. More than 500 transplantation centers worldwide submit clinical data on allogeneic HSCT, autologous HSCT, and cellular therapies to the CIBMTR. At present, the CIBMTR database includes data on more than 585,000 patients. Participating centers are required to report all transplantations consecutively with longitudinal follow-up data. Data compliance and quality are monitored by on-site audits, computerized checks for discrepancies, and physicians' review of submitted data. Studies conducted by the CIBMTR are performed in compliance with all applicable federal regulations pertaining to the protection of human research participants. Patients or guardian(s) provide written informed consent for data submission and research participation. This study was conducted in accordance with the Declaration of Helsinki; the institutional review board of the NMDP approved this study.

Patient Eligibility

CIBMTR has been collecting data on HSCT and cellular therapy recipients infected with severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) since March 27, 2020. We analyzed the data of pediatric HSCT recipients diagnosed with COVID-19 post-HSCT (≤ 21 years of age at COVID-19 diagnosis) as reported to the CIBMTR through May 7, 2021. Recipients of allogeneic or autologous HSCT performed for any condition with any donor choice, graft

sources, graft versus host disease (GVHD) prophylaxis, and conditioning regimens were included.

Statistical Analysis

Descriptive statistics were provided for the patient, disease, and HSCT-related variables. Categorical variables were described as frequency and percentages and continuous variables as median, range, and interquartile range (IQR). COVID-19 diagnosis and diagnostic methodology were determined by transplantation centers (TCs). The severity of COVID-19 was determined as per CIBMTR's database as mild/asymptomatic (not requiring oxygen supplementation), moderate (requiring oxygen supplementation), and severe (requiring mechanical ventilation).

The primary outcome of the analysis was overall survival after COVID-19 diagnosis. The probability of overall survival after COVID-19 was calculated using the Kaplan-Meier estimator. A secondary outcome of the study was determining risk factors of COVID-19 diagnosis in this population. To achieve that, an exploratory analysis was performed in a subset of the study population comparing pediatric allogeneic HSCT recipients (year of transplantation 2019–2020) from the United States (U.S.) diagnosed with COVID-19 with a cohort of all pediatric allogeneic HSCT recipients without COVID-19 matched by the TC. The analysis was limited to TCs with at least 1 reported case of COVID-19 to the CIBMTR ($n = 34$ TCs). Specifically, the impact of hematopoietic cell transplant comorbidity index (HCT-CI) [13,14], HSCT indication, donor type, conditioning intensity, GVHD prophylaxis, and occurrence of acute and chronic GVHD on the diagnosis of COVID-19 was examined using Cox proportional hazards model. Hazard ratio and 95% confidence intervals (CI) were provided. Cumulative incidence of COVID-19 among the U.S. TCs reporting at least one COVID-19 infection was also calculated using death from any cause as a competing risk. The p value $< .05$ was considered statistically significant for the statistical analyses. SAS 9.4 (SAS Inc., Cary, NC) was used for all analyses.

RESULTS

A total of 167 pediatric HSCT recipients (allogeneic HSCT, $n = 135$; autologous HSCT, $n = 32$) diagnosed with COVID-19 were reported to the CIBMTR between March 27, 2020, and May 7, 2021. Table 1 depicts the baseline clinical characteristics of the study population. Most patients (77%) underwent transplantation in the United States. Fifteen percent of patients underwent transplantation in Central or South America. For those with a documented HCT-CI score, 42% of the patients had at least 1 comorbidity. The most common comorbidities were pretransplantation infection requiring antibiotics after stem cell infusion ($n = 23$) and mild hepatic dysfunction defined as bilirubin up to 1.5 times the upper limit of normal or aspartate aminotransferase/alanine aminotransferase up to 2.5 times upper limit of normal ($n = 21$). Acute leukemia (51%) was the most common indication for allogeneic HSCT, whereas neuroblastoma (50%) was the most common indication for autologous HSCT recipients. In allogeneic HSCT, HLA-identical sibling (29%) was the most common donor source, and calcineurin inhibitors with methotrexate (38%) was the most common GVHD prophylaxis regimen.

Table 2 shows patient characteristics at the time of COVID-19 diagnosis. The median age at COVID-19 diagnosis for allogeneic and autologous HSCT recipients was 15 years (range < 1 –21 years) and 7 years (range 1–21 years), respectively. Median time from HSCT to COVID-19 diagnosis was 15 months (IQR 7–45) for allogeneic recipients and 16 months (IQR 6–59) for autologous HSCT recipients. The majority of patients in our cohort were diagnosed by nasal swab/wash with or without

Table 1

Characteristics of Pediatric and Early Adolescent and Young Adult (≤ 21 Years of Age at the Time of COVID Diagnosis) HSCT Recipients With COVID-19 Diagnosis Reported to the CIBMTR

Characteristic	Allogeneic HSCT (N = 135)	Autologous HSCT (N = 32)
No. of centers	61	25
Region		
U.S., Northeast	22 (16%)	5 (16%)
U.S., Midwest	23 (17%)	8 (25%)
U.S., South	30 (22%)	7 (22%)
U.S., West	25 (19%)	8 (25%)
Central/South America	22 (16%)	3 (9%)
Other regions*	13 (10%)	1 (3%)
Age at transplantation (y)		
<12	74 (55%)	26 (81%)
12-15	30 (22%)	2 (6%)
16-21	31 (23%)	4 (13%)
Median (range)	10 (<1-21)	3 (1-20)
Sex		
Male	86 (64%)	20 (62%)
Female	49 (36%)	12 (38%)
Race		
White	86 (64%)	17 (53%)
Black or African American	11 (8%)	5 (16%)
Other	11 (8%)	1 (3%)
Missing	27 (20%)	9 (28%)
Ethnicity		
Hispanic or Latino	37 (27%)	11 (34%)
Non-Hispanic or non-Latino	57 (42%)	13 (40%)
Non-resident of the U.S.	32 (24%)	4 (13%)
Missing	9 (7%)	4 (13%)
Lansky/Karnofsky score before HSCT		
90-100	100 (74%)	19 (59%)
<90	26 (19%)	9 (28%)
Not reported	9 (7%)	4 (13%)
HCT-CI score before HSCT		
0	60 (44%)	22 (69%)
1-2	39 (29%)	6 (18%)
≥ 3	25 (19%)	0 (0%)
Not reported	11 (8%)	4 (13%)
HSCT indication		
Acute myeloid leukemia	31 (23%)	0 (0%)
Acute lymphoblastic leukemia	31 (23%)	0 (0%)
Neuroblastoma	0 (0%)	16 (50%)
Medulloblastoma	0 (0%)	5 (16%)
Other hematologic malignancies ¹	23 (17%)	11 (34%)
Severe aplastic anemia	15 (11%)	0 (0%)
Inherited abnormalities erythrocyte differentiation or function	11 (8%)	0 (0%)
Immune disorders (SCID and other immune system disorders)	15 (11%)	0 (0%)
Inherited disorders of metabolism	5 (4%)	0 (0%)
Histiocytic disorders	4 (3%)	0 (0%)

(continued)

Table 1 (Continued)

Characteristic	Allogeneic HSCT (N = 135)	Autologous HSCT (N = 32)
Conditioning intensity		
Myeloablative conditioning	95 (70%)	NA
Reduced intensity conditioning/Nonmyeloablative conditioning	37 (28%)	NA
Not reported	3 (2%)	NA
GVHD prophylaxis		
Ex-vivo T-cell depletion	7 (5%)	NA
CD34 selection	5 (4%)	NA
PTCy plus others	25 (19%)	NA
Calcineurin inhibitor based (except PTCy)	89 (66%)	NA
Other(s)	4 (3%)	NA
Missing	4 (3%)	NA
T-cell depletion (in vivo or ex vivo)		
No	72 (54%)	NA
Yes	61 (45%)	NA
Not reported	2 (1%)	NA
Donor type		
HLA-identical sibling	39 (29%)	NA
Twin	1 (1%)	NA
Other related	34 (25%)	NA
Well-matched unrelated (8/8)	32 (24%)	NA
Partially matched unrelated (7/8)	10 (7%)	NA
Unrelated (matching Unknown)	4 (3%)	NA
Umbilical cord blood	15 (11%)	NA
Graft type		
Bone marrow	87 (65%)	0 (0%)
Peripheral blood	33 (24%)	32 (100%)
Umbilical cord blood	15 (11%)	0 (0%)
Year of HSCT		
2000–2013	19 (14%)	6 (19%)
2014–2020	116 (86%)	26 (81%)
Age at COVID-19 diagnosis (y)		
< 12	47 (35%)	20 (63%)
12-15	26 (19%)	2 (6%)
16-21	60 (45%)	10 (31%)
Missing—no COVID-19 diagnosis date	2 (1%)	0 (0%)
Median (min-max)	15 (<1-21)	7 (1-21)
Acute GVHD II-IV before COVID-19		
No	100 (74%)	NA
Yes	33 (25%)	NA
Not reported	2 (1%)	NA
Chronic GVHD before COVID-19		
No	101 (75%)	NA
Yes	34 (25%)	NA
On immunosuppression within 6 months of COVID-19 diagnosis		
No	110 (82%)	0 (0%)
Yes	19 (14%)	0 (0%)

(continued)

Table 1 (Continued)

Characteristic	Allogeneic HSCT (N = 135)	Autologous HSCT (N = 32)
Not reported	6 (4%)	0 (0%)

SCID indicates Severe Combined Immunodeficiency; PTCy, post-transplantation cyclophosphamide.

* Canada (allogeneic [N = 2], autologous [N = 0]); Europe (allogeneic [N = 5], autologous [N = 0]), Asia (allogeneic [N = 1], autologous [N = 0]); Middle East/Africa (allogeneic [N = 5]; autologous [N = 1]).

† Allogeneic- chronic myeloid leukemia (N = 3), other acute leukemia (N = 7), myelodysplastic syndrome/myeloproliferative neoplasm (N = 10), non-Hodgkin lymphoma (N = 3); autologous- non-Hodgkin lymphoma (N = 1), Hodgkin lymphoma (N = 3), ovarian cancer (N = 1), central nervous system tumor (N = 4), other solid tumor (N = 1), Ewing family tumors of bone (N = 1).

culture/polymerase chain reaction testing (87%). Three recipients (2%) were diagnosed by culture alone. One patient (<1%) was reportedly diagnosed by symptoms alone, and 18 (11%) patients had no diagnostic method reported. Twenty-four percent of patients had grade II-IV acute GVHD, and 25% had chronic GVHD before COVID-19. However, only 14% received immunosuppression within six months before COVID-19 diagnosis. In the entire cohort, COVID-19 disease severity was mild/asymptomatic in 87% of patients, whereas 6% and 4% had moderate and severe disease, respectively. The duration of COVID-19 infection was 28 days (IQR 14-55) and 33 days (IQR 13-70) for allogeneic and autologous HSCT recipients, respectively. Only 36 HSCT recipients (22%) received any COVID-19

Table 2
Severity and Outcomes of COVID-19 Among Pediatric HSCT Recipients

Characteristic	Allogeneic HSCT (N = 135)	Autologous HSCT (N = 32)
Time from HSCT to COVID-19 diagnosis (months)		
Median (IQR)	15 (7-45)	16 (6-59)
Min-Max	1-243	1-215
Duration of COVID-19 infection (days)		
Median (IQR)	28 (14-55)	33 (13-70)
Min-Max	1-220	1-179
Status of infection – (as of 5/7/2021)		
Death	8 (6%)	2 (6%)
Improved	2 (1%)	0 (0%)
Ongoing	5 (4%)	0 (0%)
Resolved*	111 (82%)	25 (78%)
Unknown/Not reported	9 (7%)	5 (16%)
Severity of infection		
No supplemental O ₂ or mechanical ventilation	117 (87%)	29 (91%)
Supplemental O ₂ only	9 (6%)	1 (3%)
Mechanical ventilation and supplemental O ₂	5 (4%)	1 (3%)
Not reported	4 (3%)	1 (3%)
Follow-up - median (min-max) (from COVID-19 diagnosis, days)	53 (1-270)	37 (1-179)

WBC indicates white blood cell.

* N = 3 patients reported to have recovered from COVID-19, and died from ≥45 days after diagnosis. Primary causes of death reported as primary disease (n = 2) and GVHD (n = 1).

directed therapy. Supplemental Table S1 provides details of agents used for COVID-19 treatment.

Median follow-up from COVID-19 diagnosis was 53 days (range 1-270) for allogeneic HSCT recipients and 37 days (range 1-179) for autologous HSCT recipients (Figure 1). The 45-day survival for all patients (either allogeneic or autologous HSCT recipients) was lower among recipients transplanted in the TCs outside the United States (non-U.S. recipients 85% [95% CI, 71-95] versus U.S. recipients 98% [95% CI, 93-99]) and those who underwent transplantation between 2014 to 2020 (2014-2020 93% [95% CI, 88-97] versus 2000-2013 100%). Of 13 patients who died, the primary causes of death were COVID-19 (54%), primary disease (38%), or GVHD (8%).

Supplemental Table S2 shows the characteristics of the pediatric allogeneic HSCT recipients included in the subset analysis (n = 1026 patients; n = 34 TCs). In this population, the cumulative incidence of COVID-19 infection was noted to be 1.9% (95% CI, 1.2-2.9) at 6 months after HSCT and continued to increase at 1 year (4.7% [95% CI, 3.4-6.3]) and 2 years after HSCT (13% [95% CI, 8.7-18.9]). Cox regression analysis (Table 3) showed that compared to an HCT-CI score of 0, patients with an HCT-CI score of 1-2 were more likely to be diagnosed with COVID-19 (hazard ratio 1.95; 95% CI, 1.03-3.69, p = .042). HSCT indication, donor type, conditioning intensity, GVHD prophylaxis, or development of acute or chronic GVHD did not predict COVID-19 incidence.

DISCUSSION

To our knowledge, this is the largest international series to date summarizing the cumulative incidence, risk factors, treatment patterns, and outcomes of pediatric HSCT recipients with COVID-19. Patients with pre-HSCT comorbidities were more likely to be diagnosed with COVID-19. However, overall disease severity and mortality after COVID-19 were lower in this cohort when compared with previously published data focusing on adult HSCT recipients.

Our study findings are consistent with the previously published data on outcomes of SARS-CoV-2 infections in pediatric HSCT recipients, showing overall better survival than the adult HSCT population with COVID-19. Although the majority of studies focusing on pediatric HSCT recipients alone have included a very small number of patients [15–18], an analysis from the Global Registry of COVID-19 in Childhood Cancer describing outcomes of COVID-19 in children and adolescents with cancer included 81 pediatric HSCT recipients with COVID-19 [19]. The majority of infections occurred in patients who were more than 300 days post-HSCT and neither receipt of HSCT nor time since HSCT was associated with severity of COVID-19. Details of HSCT recipients' survival were not provided in this report, and because data reporting was voluntary, findings may have been subject to reporting biases. Two other large international cohort studies describing outcomes of HSCT recipients of all ages with COVID-19 have been published and include some pediatric patients. First, a study from the European Society of Blood and Marrow Transplantation and Spanish Group of Hematopoietic Stem Cell Transplantation that analyzed outcomes of 382 HSCT recipients included 32 pediatric HSCT recipients diagnosed with COVID-19 (allogeneic HSCT = 29; autologous HSCT = 3) through July 31, 2020 [12]. The 6-week overall survival of the entire cohort was 78% and 72% for allogeneic and autologous HSCT recipients, respectively. In comparison, the overall survival of the pediatric population was 93%, which is considerably better than the adults in that cohort and consistent with our data. This study did not provide specific details of COVID-19 severity or treatment patterns for the pediatric population. Second, our

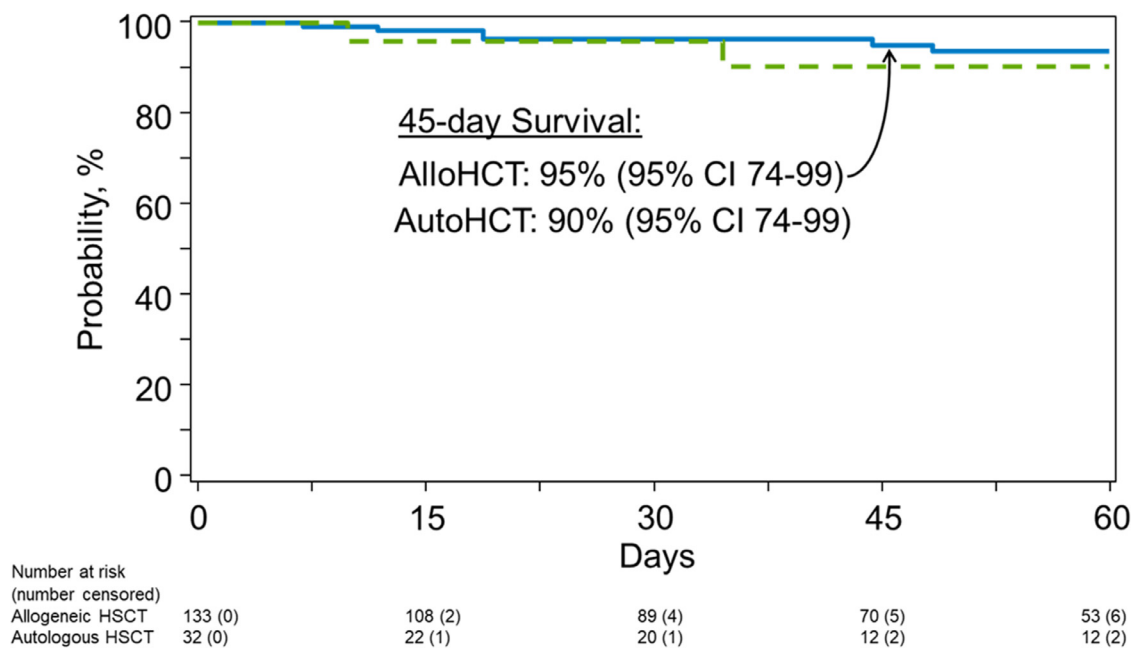


Figure 1. Overall survival after COVID-19 diagnosis.

Table 3

Cox Regression Model of Risk Factors for COVID-19 Diagnosis in a Subset of Patients Undergoing Allogeneic HCT at U.S. Transplantation Centers

Parameter	Number Events/Evaluable	Hazard Ratio (95% CI)	P Value
HCT-CI			
0	18/468	1.00	0.14
1-2	21/316	1.95 (1.03-3.69)	0.042
≥3	12/237	1.23 (0.59-2.60)	0.58
HSCT indication type			
Malignant	34/586	1.00	
Nonmalignant	18/440	0.62 (0.31-1.22)	0.16
Donor type			
HLA-identical sibling	12/239	1.00	0.20
Other related donor	18/264	1.45 (0.51-4.16)	0.49
Unrelated donor	13/352	0.78 (0.35-1.73)	0.54
Cord blood	9/141	1.90 (0.79-4.61)	0.15
Conditioning intensity			
Myeloablative	37/698	1.00	
Reduced intensity/NMA	15/328	1.14 (0.55-2.35)	0.73
GVHD Prophylaxis			
Tacrolimus or cyclosporine A ± Mycophenolate mofetil or methotrexate (except PTCy)	33/651	1.00	0.095
PTCy	14/188	1.22 (0.45-3.27)	0.70
Other	5/187	0.38 (0.12-1.20)	0.10
Acute GVHD (time dependent)		0.97 (0.89-1.05)	0.43
Chronic GVHD (time dependent)		1.77 (0.86-3.67)	0.12

NMA indicates non-myeloablative

group previously published outcomes of all HSCT recipients (N = 318) with COVID-19 reported to the CIBMTR between March 27 and August 12, 2020 [10]. Again, 30-day overall survival for the entire cohort was 68% (95% CI, 58-77) and 67% (95% CI, 55-78) for allogeneic and autologous HSCT recipients, respectively, whereas only 1 patient had died among the 29 pediatric patients reported in that cohort. This current report includes these pediatric HSCT patients who were previously included in the published cohort and builds on our prior work by specifically analyzing outcomes of patients reported to the CIBMTR over more than 1 year.

Overall low mortality in this pediatric cohort could be in part due to the median duration between HSCT and COVID-19 diagnosis being more than one year, which has been identified as a risk-factor in our previous analysis [10]. Additionally, only a very small number of patients were on any immune suppression before COVID-19 or required any oxygen/ventilatory support. Nonetheless, differences in survival rates between children and adults could also be explained by the age-related differences in immune function, angiotensin-converting enzyme 2 receptor expression and distribution, endothelial and clotting function, and comorbidities require additional

work to understand these mechanisms in more depth [20]. While the mortality in this cohort was lower than published studies focusing on the adult population; it is important to note that it was still higher than previously reported general pediatric mortality rates after COVID-19 [4]. The difference in mortality rates between pediatric HSCT recipients and the general pediatric population could be explained by nascent immune systems and overall organ impairment because of treatment-related toxicities among HSCT recipients.

In our study, patients with underlying pre-HSCT comorbidities were found to be at a higher risk of being diagnosed with COVID-19, the reason for which is unclear. Perhaps ongoing organ impairment after HSCT along with other treatment-related toxicities may make some HSCT recipients more susceptible to infection. Although patients with an HCT-CI score of 3 or above did not have a higher risk of COVID-19, that could likely be due to a lower number of patients falling within that risk group. Nearly 50% of the patient population included in our study had no prior comorbidity. Only a small number of patients were noted to have cardio-respiratory and metabolic abnormalities. It is also possible that patients with higher comorbidity burden were tested for COVID-19 more frequently resulting in higher probability of diagnosis among this population. It is important to note that this analysis did not account for environmental factors such as community spread of SARS-CoV-2 or vaccination rate; however, we attempted to adjust for them by matching HSCT recipients by their HSCT centers.

The prior CIBMTR and European Society of Blood and Marrow Transplantation analyses identified increasing age, sex, performance status, higher immunodeficiency scoring index [21], and shorter time from HSCT as risk factors for adverse outcomes after COVID-19. Additionally, studies focusing on the general pediatric population have shown that children with comorbidities such as diabetes, obesity, cardiorespiratory diseases, and immune-compromised status are at a higher risk of severe COVID-19 illness [22–24]. Given the small number of events in our cohort, we were unable to perform such an analysis. However, we did observe differences when describing outcomes by the location of TCs. Patients who underwent transplantation at the non-U.S.-based TCs had lower overall survival compared to those who underwent transplantation at the U.S.-based TCs. Most of these patients who died were from Central or South America. Although the exact reason for the discrepancy in outcomes is unclear, it is important to note that the Global Registry of COVID-19 in Childhood Cancer analysis also observed similar findings [19]. Authors found that compared to World Bank high-income countries, patients from low-, lower-middle-, or higher-middle-income countries were more likely to have severe or critical illness caused by COVID-19. Although the differences could be related to overall global disparities in resources allocation for prevention and treatment of COVID-19 [25], it could also be due to the selection bias in voluntarily reported cases to these registries. Additional work is needed to unravel the causes of these discrepancies.

Several study limitations need to be acknowledged. Because of the inherent limitations of a retrospective registry-based study, certain COVID-19-specific details were not available, especially regarding the occurrence of serious illness such as multisystem inflammatory syndrome in children [26] and prolonged viral shedding of replication-incompetent virus potentially leading to silent COVID-19 transmission [27], which have been previously reported. Details of SARS-CoV-2 variants or patients' COVID-19 vaccination status were not available through the CIBMTR dataset. Because these data were reported by the HSCT centers voluntarily to the CIBMTR and given the limited availability of SARS-CoV-2

PCR testing in the initial phase of COVID-19 pandemic, confirmation of center-reported COVID-19 diagnostic testing was not mandated by the CIBMTR. However, we acknowledge that there is a potential for bias in reporting based on frequency of testing of asymptomatic patients at different centers. This limits the generalizability of our secondary analysis, and it is primarily exploratory in nature. Additionally, because our data collection predated the Food and Drug Administration announcement authorizing emergency use of the Pfizer-BioNTech COVID-19 vaccine for children and adolescents (5–15 years of age) [28,29], our analysis does not reflect any impact of vaccination in this age group. Moreover, given the timing of our analysis, it also does not provide any insights into the impact of delta (B.1.617.2) or omicron (B.1.1.529) variants of SARS-CoV-2 [30]. Data from Coronavirus Disease 2019–Associated Hospitalization Surveillance Network show that weekly COVID-19 hospitalization rates for children and adolescents had a nearly 5-fold increase in late summer, which likely correlated with the emergence of the delta variant [3]. Unvaccinated adolescents were more likely to be hospitalized than those vaccinated. Given the dynamic nature of the COVID-19 pandemic and the constantly evolving treatment paradigm, it would be essential to assess the outcomes of HSCT recipients at frequent intervals to understand the implications of emerging SARS-CoV-2 variants of concern and protection conferred by COVID-19 vaccination and treatment regimens. Because of the small number of patients receiving treatment for COVID-19 and heterogeneity in agents used, we could not study their association with outcomes. Last, we acknowledge that 29 patients included in this article have previously been reported in our earlier report from the CIBMTR [10].

Notwithstanding the limitations, this initial CIBMTR analysis focusing on pediatric and early adolescent and young adult HSCT recipients provides valuable information to the HSCT community, patients, and caregivers regarding the outcomes after COVID-19. The overall lower incidence of COVID-19 in this population is reassuring and could likely be related to the aggressive infection prevention strategies such as physical distancing, hand hygiene, isolation, and mask-wearing used in general within the first year post-HSCT. However, the mortality rate was still higher when compared to the general pediatric population with COVID-19. These data and the overall morphing nature of the pandemic underscore the need for ongoing efforts focusing on preventive strategies as mentioned above along with COVID-19 vaccination for those who are eligible, according to the American Society of Hematology and American Society of Transplantation and Cellular Therapy recommendations [31]. We envision future studies aiming to understand the impact of variants of concern, COVID-19 vaccination, and various treatment approaches on COVID-19 in this population.

ACKNOWLEDGMENTS

The authors sincerely thank the Data Operations and IT groups in CIBMTR (on both the Medical College of Wisconsin and NMDP campus) for their assistance in the implementation of these data collection mechanisms. Without their dedication, the current analysis would not be possible.

Financial disclosure: The CIBMTR is supported primarily by Public Health Service U24CA076518 from the National Cancer Institute (NCI), the National Heart, Lung and Blood Institute (NHLBI) and the National Institute of Allergy and Infectious Diseases (NIAID), HHS250201700006C from the Health Resources and Services Administration (HRSA), and N00014-20-1-2705 and N00014-20-1-2832 from the Office of Naval Research. Support is also provided by Be the Match Foundation, the Medical College of Wisconsin, the National Marrow Donor Program, and

from the following commercial entities: AbbVie, Accenture, Actinium Pharmaceuticals, Inc., Adaptive Biotechnologies Corporation, Adienne SA; Allovir, Inc., Amgen, Inc., Astellas Pharma US, bluebird bio, inc., Bristol Myers Squibb Co., CareDx, CSL Behring, CytoSen Therapeutics, Inc., Daiichi Sankyo Co., Ltd., Eurofins Viracor, DBA Eurofins Transplant Diagnostics, Fate Therapeutics, Gamida-Cell, Ltd., Gilead, GlaxoSmithKline, HistoGenetics, Incyte Corporation, Iovance, Janssen Research & Development, LLC, Janssen/Johnson & Johnson, Jasper Therapeutics, Jazz Pharmaceuticals, Inc., Kadmon, Karius, Karyopharm Therapeutics, Kiadis Pharma, Kite Pharma Inc, Kite (a Gilead Company), Kyowa Kirin International plc, Kyowa Kirin, Legend Biotech, Magenta Therapeutics, Medac GmbH, Medexus, Merck & Co., Millennium (the Takeda Oncology Co.), Miltenyi Biotec, Inc., MorphoSys, Novartis Pharmaceuticals Corporation, Omeros Corporation, Oncolmmune, Inc., Oncopeptides, Inc., OptumHealth, Orca Biosystems, Inc., Ossium Health, Inc, Pfizer, Inc., Pharmacyclics, LLC, Priothera, Sanofi Genzyme, Seagen, Inc., Stemcyte, Takeda Pharmaceuticals, Talaris Therapeutics, Terumo Blood and Cell Technologies, TG Therapeutics, Tscan, Vertex, Vor Biopharma, and Xenikos BV.

Conflict of interest statement: A.S. receives support for the conduct of industry sponsored clinical trials from Vertex Pharmaceuticals, CRISPR Therapeutics and Novartis, and consulting fee from Spotlight Therapeutics, Medexus Inc, and Vertex Pharmaceuticals. A.S. also reports receiving honoraria from Vindico Medical Education and research funding from CRISPR Therapeutics. A.S. is supported in part by a Scholar Award by the American Society of Hematology. H.M.L. reports receiving honoraria from Partner Therapeutics and has stock options with Partner Therapeutics. M.A.P. receives honoraria for lectures from Novartis, Bellicum, and Miltenyi. M.A.P. reports receiving travel support from Bellicum and Miltenyi for educational lectures. M.A.P. reports advisory board role for Novartis, Equillium, Medexus, Vertex, and Mesoblast. M.A.P. reports receiving support of materials for IITs from Miltenyi and Adaptive. D.E.Y. reports voluntary (unpaid) technical advisor role for the non-profit entities Cover the Globe and Maipelo Trust. D.E.Y. reports institutional funding from Viracor-Eurofins, Chimerix, and Astellas. M.L.R. reports employment with IQVIA Biotech. M.L.R. reports DSMB committee membership for Gamida cell. M.L.R. reports institutional funding from Atara Bio-Pharma and Jazz Pharmaceuticals.

Authorship statement: N.S.B., A.S., M.L.R., C.E.D., and J.J.A. designed the study. A.S.M., M.J.M., and M.L.R. acquired the data and verified it. N.S.B., A.S., A.S.M., M.L.R., C.E.D., M.J.M., and J.J.A. analyzed the data. N.S.B., A.S., M.L.R., C.E.D., and J.J.A. wrote the manuscript, and all other authors critically reviewed the manuscript. N.S.B. and A.S. contributed equally to this work. N.S.B. is listed first because he initiated the project. N.S.B., A.S., A.S.M., M.J.M., M.L.R., C.E.D., and J.J.A. had full access to the data reported in the study. All authors agree with and take full responsibility for the content of this manuscript.

REFERENCES

- Shekerdemian LS, Mahmood NR, Wolfe KK, et al. Characteristics and Outcomes of Children With Coronavirus Disease 2019 (COVID-19) Infection Admitted to US and Canadian Pediatric Intensive Care Units. *JAMA Pediatr.* 2020;174:868–873.
- Sisk B, Cull W, Harris JM, Rothenburger A, Olson L. National trends of cases of COVID-19 in children based on US State Health Department Data. *Pediatrics.* 2020;146(6): e2020027425.
- Delahoy MJ, Ujamaa D, Whitaker M, et al. Hospitalizations associated with COVID-19 among children and adolescents - COVID-NET, 14 States, March 1, 2020-August 14, 2021. *MMWR Morb Mortal Wkly Rep.* 2021;70:1255–1260.
- Bhopal SS, Bagaria J, Olabi B, Bhopal R. Children and young people remain at low risk of COVID-19 mortality. *Lancet Child Adolesc Health.* 2021;5(5): e12–e13.
- Kuderer NM, Choueiri TK, Shah DP, et al. Clinical impact of COVID-19 on patients with cancer (CCC19): a cohort study. *Lancet.* 2020;395(10241):1907–1918.
- Liang W, Guan W, Chen R, et al. Cancer patients in SARS-CoV-2 infection: a nationwide analysis in China. *Lancet Oncol.* 2020;21(3):335–337.
- Malard F, Genthon A, Brissot E, et al. COVID-19 outcomes in patients with hematologic disease. *Bone Marrow Transplant.* 2020;55:2180–2184.
- Passamonti F, Cattaneo C, Arcaini L, et al. Clinical characteristics and risk factors associated with COVID-19 severity in patients with haematological malignancies in Italy: a retrospective, multicentre, cohort study. *Lancet Haematol.* 2020;7(10):e737–e745.
- Pinana JL, Martino R, Garcia-Garcia I, et al. Risk factors and outcome of COVID-19 in patients with hematological malignancies. *Exp Hematol Oncol.* 2020;9:21.
- Sharma A, Bhatt NS, St Martin A, et al. Clinical characteristics and outcomes of COVID-19 in haematopoietic stem-cell transplantation recipients: an observational cohort study. *Lancet Haematol.* 2021;8(3):e185–ee93.
- Varma A, Kosuri S, Ustun C, et al. COVID-19 infection in hematopoietic cell transplantation: age, time from transplant and steroids matter. *Leukemia.* 2020;34:2809–2812.
- Ljungman P, de la Camara R, Mikulska M, et al. COVID-19 and stem cell transplantation; results from an EBMT and GETH multicenter prospective survey. *Leukemia.* 2021;35:2885–2894.
- Smith AR, Majhail NS, MacMillan ML, et al. Hematopoietic cell transplantation comorbidity index predicts transplantation outcomes in pediatric patients. *Blood.* 2011;117:2728–2734.
- Sroror ML, Maris MB, Storb R, et al. Hematopoietic cell transplantation (HCT)-specific comorbidity index: a new tool for risk assessment before allogeneic HCT. *Blood.* 2005;106:2912–2919.
- Vicent MG, Martínez AP, Trabazo Del Castillo M, et al. COVID-19 in pediatric hematopoietic stem cell transplantation: The experience of Spanish Group of Transplant (GETMON/GETH). *Pediatr Blood Cancer.* 2020;67(9):e28514.
- Faura A, Rives S, Lassaletta A, et al. Initial report on Spanish pediatric oncologic, hematologic, and post stem cell transplantation patients during SARS-CoV-2 pandemic. *Pediatr Blood Cancer.* 2020;67(9):e28557.
- Madhusoodhan PP, Pierro J, Musante J, et al. Characterization of COVID-19 disease in pediatric oncology patients: The New York-New Jersey regional experience. *Pediatr Blood Cancer.* 2021;68(3):e28843.
- Lucchini G, Furness C, Lawson S, et al. COVID-19 infection in paediatric recipients of allogeneic stem cell transplantation: the UK experience. *Br J Haematol.* 2021;194(4):e74–ee7.
- Mukkada S, Bhakta N, Chantada GL, et al. Global characteristics and outcomes of SARS-CoV-2 infection in children and adolescents with cancer (GRCCC): a cohort study. *Lancet Oncol.* 2021;22:1416–1426.
- Zimmermann P, Curtis N. Why is COVID-19 less severe in children? A review of the proposed mechanisms underlying the age-related difference in severity of SARS-CoV-2 infections [e-pub ahead of print December 1, 2020]. *Arch Dis Child.* doi: 10.1136/archdischild-2020-320338.
- Shah DP, Ghantaji SS, Ariza-Heredia EJ, et al. Immunodeficiency scoring index to predict poor outcomes in hematopoietic cell transplant recipients with RSV infections. *Blood.* 2014;123:3263–3268.
- Bellino S, Punzo O, Rota MC, et al. COVID-19 disease severity risk factors for pediatric patients in Italy. *Pediatrics.* 2020;146(4): e2020009399.
- Kompaniyets L, Agathis NT, Nelson JM, et al. Underlying medical conditions associated with severe COVID-19 illness among children. *JAMA Netw Open.* 2021;4(6): e2111182.
- Tsabori S, Makis A, Kosmeri C, Siomou E. Risk factors for severity in children with coronavirus disease 2019: a comprehensive literature review. *Pediatr Clin North Am.* 2021;68:321–338.
- Emanuel EJ, Persad G, Upshur R, et al. Fair allocation of scarce medical resources in the time of Covid-19. *N Engl J Med.* 2020;382:2049–2055.
- Feldstein LR, Rose EB, Horwitz SM, et al. Multisystem inflammatory syndrome in U.S. children and adolescents. *N Engl J Med.* 2020;383:334–346.
- Han MS, Choi EH, Chang SH, et al. Clinical characteristics and viral RNA detection in children with coronavirus disease 2019 in the Republic of Korea. *JAMA Pediatr.* 2021;175:73–80.
- Coronavirus (COVID-19) update: FDA authorizes Pfizer-BioNTech COVID-19 vaccine for emergency use in adolescents in another important action in fight against pandemic. May 10, 2021. Available at: <https://www.fda.gov/news-events/press-announcements/coronavirus-covid-19-update-fda-authorizes-pfizer-biontech-covid-19-vaccine-emergency-use>. Accessed March 23, 2022.
- FDA authorizes Pfizer-BioNTech COVID-19 vaccine for emergency use in children 5 through 11 years of age. October 29, 2021. Available at: <https://www.fda.gov/news-events/press-announcements/fda-authorizes-pfizer-biontech-covid-19-vaccine-emergency-use-children-5-through-11-years-age>. Accessed March 23, 2022.
- SARS-CoV-2 variant classifications and definitions. December 1, 2021. Available at: <https://www.cdc.gov/coronavirus/2019-ncov/variants/variant-info.html>. Accessed March 23, 2022.
- American Society of Hematology(ASH)-American Society of Transplantation and Cellular Therapy (ASTCT): COVID-19 and vaccines. general principles of COVID-19 vaccines for immunocompromised patients. Version 6.0. February 28, 2022. Available at: <https://www.hematology.org/covid-19/ash-astct-covid-19-and-vaccines>. Accessed March 23, 2022.