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# Normalization of CSF pTau measurement by $A\beta_{40}$ improves its performance as a biomarker of Alzheimer's disease

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## Abstract

**Background:** Alzheimer's disease (AD)-related tauopathy can be measured with CSF phosphorylated tau (pTau) and tau PET. We aim to investigate the associations between these measurements and their relative ability to predict subsequent disease progression.

**Methods:** In 219 cognitively unimpaired and 122 impaired Alzheimer's Disease Neuroimaging Initiative participants with concurrent amyloid- $\beta$  ( $A\beta$ ) PET (<sup>18</sup>F-florbetapir or <sup>18</sup>F-florbetaben), <sup>18</sup>F-flortaucipir (FTP) PET, CSF measurements, structural MRI, and cognition, we examined inter-relationships between these biomarkers and their predictions of subsequent FTP and cognition changes.

**Results:** The use of a CSF pTau/ $A\beta_{40}$  ratio eliminated positive associations we observed between CSF pTau alone and CSF  $A\beta_{42}$  in the normal  $A\beta$  range likely reflecting individual differences in CSF production rather than pathology. Use of the CSF pTau/ $A\beta_{40}$  ratio also increased expected associations with  $A\beta$  PET, FTP PET, hippocampal volume, and cognitive decline compared to pTau alone. In  $A\beta+$  individuals, abnormal CSF pTau/ $A\beta_{40}$  only individuals (26.7%) were 4 times more prevalent ( $p < 0.001$ ) than abnormal FTP only individuals (6.8%). Furthermore, among individuals on the AD pathway, CSF pTau/ $A\beta_{40}$  mediates the association between  $A\beta$  PET and FTP PET accumulation, but FTP PET is more closely linked to subsequent cognitive decline than CSF pTau/ $A\beta_{40}$ .

**Conclusions:** Together, these findings suggest that CSF pTau/ $A\beta_{40}$  may be a superior measure of tauopathy compared to CSF pTau alone, and CSF pTau/ $A\beta_{40}$  enables detection of tau accumulation at an earlier stage than FTP among  $A\beta+$  individuals.

**Keywords:** Tau, CSF pTau/ $A\beta_{40}$ , PET, Cognition, Alzheimer's disease

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## Background

Extracellular amyloid- $\beta$  ( $A\beta$ ) peptides in cortical  $A\beta$  plaques and intracellular phosphorylated tau protein as neurofibrillary tangles are key hallmarks of Alzheimer's disease (AD) that can be measured in vivo with positron emission tomography (PET) imaging and biofluid markers including plasma and cerebrospinal fluid (CSF) assays. The relationship between CSF  $A\beta$  and  $A\beta$  PET in AD has been widely reported [1–8], but relationships between CSF tau and tau PET are uncertain [9–13]. Recent studies reported that individuals with abnormal CSF phosphorylated tau (pTau) were more prevalent than individuals with abnormal tau PET only [14], and that abnormal tau PET but not CSF pTau was related to cognitive decline [15], suggesting that CSF and PET may not be interchangeable indices of tau pathology.

There are also remaining technical questions involved in measurement of CSF biomarkers. Elevated (abnormal) CSF pTau has been observed in cases with exceptionally elevated CSF  $A\beta_{42}$  in the  $A\beta$ - range [7, 16]. Positive correlations between these measurements in the  $A\beta$ - range are likely not AD-related but are instead due to individual variability in CSF production. This would suggest that abnormal CSF pTau in individuals with elevated CSF  $A\beta_{42}$  lack a pathological basis and instead reflect disease-invariant CSF increases that would be observed across all CSF markers. To address this phenomenon, use of the CSF  $A\beta_{42}/A\beta_{40}$  ratio has been proposed over CSF  $A\beta_{42}$  alone [6, 7, 17–19], since  $A\beta_{40}$  is most abundant  $A\beta$  species in CSF [19, 20], and expected to increase due to higher overall  $A\beta$  production but not sensitive to AD [21–29]. We hypothesize that a similar adjustment of CSF pTau using CSF  $A\beta_{40}$  may reduce noise and improve associations with other biomarkers.

In this study, we used Alzheimer's Disease Neuroimaging Initiative (ADNI) participants to explore the utility of a CSF pTau/ $A\beta_{40}$  ratio to reduce noise in pTau measurements and improve associations with downstream markers of AD progression. We then examined the biological plausibility of this biomarker in relation to regional  $^{18}\text{F}$ -Flortaucipir (FTP) PET as well as subsequent tau PET and cognitive changes.

## Methods

### Participants

Data used in this study were obtained from the ADNI database ([ida.loni.usc.edu](http://ida.loni.usc.edu); specific datasets used in this study are named below). The ADNI study was approved by institutional review boards of all participating centers, and written informed consent was obtained from all participants or their authorized representatives. In total, 219 cognitively unimpaired (CU) elderly adults, 91 mild cognitive impairment (MCI), and 31 AD patients with concurrent (acquisition interval within 1 year)  $A\beta$  PET

( $^{18}\text{F}$ -florbetapir (FBP) or  $^{18}\text{F}$ -florbetaben (FBB)), CSF  $A\beta_{40}$ ,  $A\beta_{42}$  and pTau<sub>181</sub>, FTP tau PET, structural MRI, and cognitive test were included in this study.

### PET and MRI imaging

PET data was acquired in 5-min frames from 50 to 70 min (FBP), 90–110 min (FBB), and 75–105 min (FTP) post-injection (<http://adni-info.org>). PET and structural MRI scans were downloaded from the Laboratory of NeuroImaging (LONI) ([ida.loni.usc.edu](http://ida.loni.usc.edu)) and processed with Freesurfer V5.3.0. All fully pre-processed PET scans were co-registered to the structural MRI scan that was closest in time to the baseline PET. Regions of interest (ROIs) were defined on each structural MRI scan using Freesurfer (V5.3.0) and used to extract regional FBP, FBB, and FTP measurements from the co-registered PET images as described previously [30, 31].

Briefly, FBP or FBB standardized uptake value ratios (SUVRs) were calculated by dividing frontal, cingulate, parietal, and temporal regional uptake to that in the whole cerebellum to generate COMPOSITE SUVRs [30]. COMPOSITE SUVRs for FBP  $\geq 1.11$  or FBB  $\geq 1.08$  were defined as  $A\beta+$  as described on the ADNI website.  $A\beta$  positivity was defined by  $A\beta$  PET in this study. FBP (UCBERKELEYAV45\_05\_12\_20.csv) and FBB (UCBERKELEYFBB\_05\_12\_20.csv) SUVRs were converted to Centiloids using the equations Centiloid =  $(196.9 \times \text{SUVR}_{\text{FBP}}) - 196.03$  for FBP and Centiloid =  $(159.08 \times \text{SUVR}_{\text{FBB}}) - 151.65$  for FBB (ADNI\_Centiloid\_Methods\_Instruction\_20181113.pdf in LONI website ([ida.loni.usc.edu](http://ida.loni.usc.edu))).

For FTP (BERKELEYAV1451\_05\_12\_20.csv), composite Temporal-metaROI (including entorhinal, parahippocampal, fusiform, amygdala, inferior temporal, and middle temporal) [32] and entorhinal cortex SUVRs were calculated using inferior cerebellar cortex intensity normalization [31]. To define FTP SUVR thresholds, we carried out ROC analyses with Temporal-metaROI and entorhinal SUVR values using the Youden index classifying 280  $A\beta$  PET- ADNI CU participants and 183  $A\beta$  PET+ ADNI MCI and AD patients as the endpoint (Supplemental Figs. 1–4). This resulted in a threshold of 1.25 for the Temporal-metaROI and 1.21 for entorhinal cortex. Among these 463 ADNI participants for the definition of tau PET cutoffs, 217 (47%) participants were included in the following analyses of this study. We also examined alternative thresholds for these regions defined by the mean + 2SD of 280  $A\beta$  PET- ADNI CU participants. These resulted in more conservative thresholds of 1.34 for the Temporal-metaROI and 1.31 for entorhinal cortex. In total, 34% of 341 participants had longitudinal FTP data. FTP slope ( $\Delta\text{FTP}$ , SUVR units per year) was calculated based on longitudinal FTP data for each individual using linear mixed effects (LME) model, including

the following independent variables: time, APOE- $\epsilon$ 4 status, age and gender, and a random slope and intercept. Since white matter intensity normalization has shown less variability for longitudinal tau PET changes [33–35], we calculated FTP slopes using a white matter reference region.

Hippocampal volume (HCV) ( $\text{mm}^3$ ) was calculated across hemispheres from the structural MRI scan that was closest in time to the baseline PET scan and for subsequent MRI scans using Freesurfer, and adjusted by estimated intracranial volume (ICV) using the regression approach [36]: adjusted HCV (aHCV) =  $\text{HCV} - 0.0017 \times (\text{ICV} - 1498858)$ , where 0.0017 and 1498858 represent the correlation coefficient between HCV and ICV, and the mean of ICV in  $\text{A}\beta^-$  323 ADNI CU participants. In total, 41% of 341 participants had longitudinal aHCV data. aHCV slope ( $\Delta\text{aHCV}$ ,  $\text{mm}^3$  units per year) was calculated based on longitudinal aHCV data for each individual using LME model, including the following independent variables: time, APOE- $\epsilon$ 4 status, age, gender and education, and a random slope and intercept.

#### CSF $\text{A}\beta_{40}$ , $\text{A}\beta_{42}$ , and pTau

CSF  $\text{A}\beta_{40}$ ,  $\text{A}\beta_{42}$ , and pTau were analyzed by the University of Pennsylvania ADNI Biomarker core laboratory using the fully automated Roche Elecsys and cobas e 601 immunoassay analyzer system [16, 37]. CSF data (UPENN-BIOMK10\_07\_29\_19.csv) were downloaded from ADNI website. A threshold for abnormal CSF pTau was defined as  $\geq 22$  pg/mL based on an ROC analysis using the Youden index classifying 320  $\text{A}\beta$  PET- ADNI CU participants and 429  $\text{A}\beta$  PET+ ADNI MCI and AD patients as the endpoint (Supplemental Figs. 5–6). We also defined an alternative threshold of  $\geq 31$  for CSF pTau which was based on the mean + 2SD of CSF pTau in 320  $\text{A}\beta$  PET- ADNI CU participants. We calculated the CSF pTau/ $\text{A}\beta_{40}$  ratio threshold as  $\geq 0.0012$  according to the same ROC approach classifying 169  $\text{A}\beta$  PET- CU participants and 161  $\text{A}\beta$  PET+ MCI and AD patients as the endpoint (Supplemental Figs. 7–8), and the alternative threshold was  $\geq 0.0014$  based on the mean + 2SD of the CSF pTau/ $\text{A}\beta_{40}$  ratio in 169  $\text{A}\beta$  PET- ADNI CU participants. Among these 749 ADNI participants for the definition of CSF pTau, 212 (28%) participants were included in the following analyses of this study. Among these 329 ADNI participants for the definition of CSF pTau/ $\text{A}\beta_{40}$ , 201 (61%) participants were included in the following analyses of this study.

#### Cognition

The Delayed Recall portion of the Alzheimer's Disease Assessment Scale (ADASSCORES.csv and ADAS\_ADNIGO23.csv downloaded at April 28, 2020), the delayed recall score on the logical memory IIa subtest from the Wechsler Memory Scale, the digit symbol

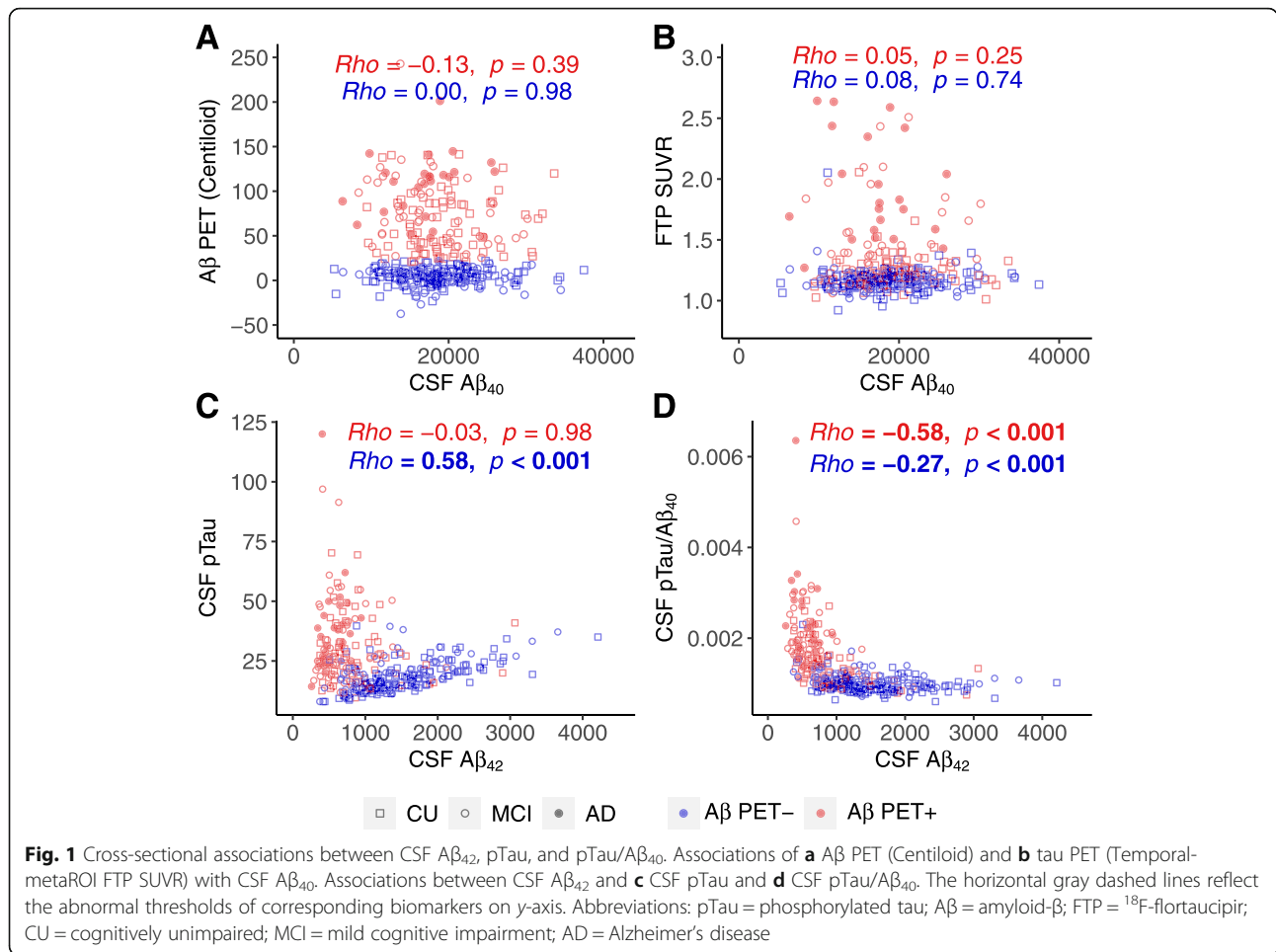
substitution test score from the Wechsler Adult Intelligence Scale-Revised (NEUROBAT.csv downloaded at April 28, 2020), and the MMSE total score (MMSE.csv downloaded at April 28, 2020) were transferred to standard z scores (using the mean values of ADNI CU participants). Preclinical Alzheimer Cognitive Composite (PACC) scores [38] were calculated by combining these 4 cognitive z scores to one composite score. In total, 59% of 341 participants had longitudinal PACC data. PACC slope ( $\Delta\text{PACC}$ ) was calculated for each participant based on longitudinal PACC scores using LME model, including the following independent variables: time, APOE- $\epsilon$ 4 status, age, gender and education, and a random slope and intercept.

#### Statistical analysis

Normality of distributions was tested using the Shapiro-Wilk test and visual inspection of data. Data are presented as median (interquartile range (IQR)) or number (%). Baseline characteristics were compared between  $\text{A}\beta^-$  and  $\text{A}\beta^+$  groups by using a two-tailed Mann-Whitney test or Fisher's exact test.

In order to evaluate the feasibility of using CSF pTau/ $\text{A}\beta_{40}$  as an alternative to CSF pTau, we first used generalized linear models (GLM) to examine the relationships of CSF  $\text{A}\beta_{40}$  with  $\text{A}\beta$  PET and tau PET to confirm that CSF  $\text{A}\beta_{40}$  is not related to AD biomarkers, and subsequently investigated the cross-sectional associations between CSF  $\text{A}\beta_{42}$ , pTau and pTau/ $\text{A}\beta_{40}$ , and controlling for APOE- $\epsilon$ 4 status, diagnosis, sex, and age. A false discovery rate of 0.05 using the Benjamini-Hochberg approach was employed for 35 regions.

The slopes of FTP SUVR, aHCV, and PACC post baseline CSF collection were calculated using LME models over time from the first measurement point post baseline CSF collection (time = 0) to the last measurement point for each participant. The time variable is anchored to the baseline CSF measurement. In order to study whether elevated CSF pTau/ $\text{A}\beta_{40}$  is more related to the progression of AD than high CSF pTau, we also used GLM models to investigate the associations of CSF pTau and pTau/ $\text{A}\beta_{40}$  with  $\text{A}\beta$  PET, tau PET, aHCV,  $\Delta\text{aHCV}$ , PACC, and  $\Delta\text{PACC}$ , controlling for APOE- $\epsilon$ 4 status, diagnosis, sex, age, and education. Since there was a time difference between baseline CSF collection point and the first measurements of FTP SUVR, aHCV, and PACC post baseline CSF collection, we included these time differences in the GLM models. Because we found use of the CSF pTau/ $\text{A}\beta_{40}$  ratio abolished the positive correlation between CSF pTau and  $\text{A}\beta_{42}$  among  $\text{A}\beta$  PET- range (see Fig. 1c, d in "Results") and improved the associations with  $\text{A}\beta$  PET, tau PET, aHCV,



ΔaHCV, PACC, and ΔPACC (see Fig. 2 in “Results”), we used this ratio in subsequent analyses.

We then explored the biological plausibility of the CSF pTau/Aβ<sub>40</sub> by examining associations between CSF pTau/Aβ<sub>40</sub> and FTP SUVRs in 35 Freesurfer-defined ROIs, controlling for Aβ PET (in Centiloids), APOE-ε4 status, diagnosis, sex, and age. Spearman’s rho was calculated between CSF pTau/Aβ<sub>40</sub> and FTP SUVR. Subsequently, we examined the associations between Aβ PET, CSF pTau/Aβ<sub>40</sub>, CSF pTau, and tau PET (entorhinal or Temporal-metaROI) in Aβ- and Aβ+ participants, controlling for APOE-ε4 status, diagnosis, sex, and age.

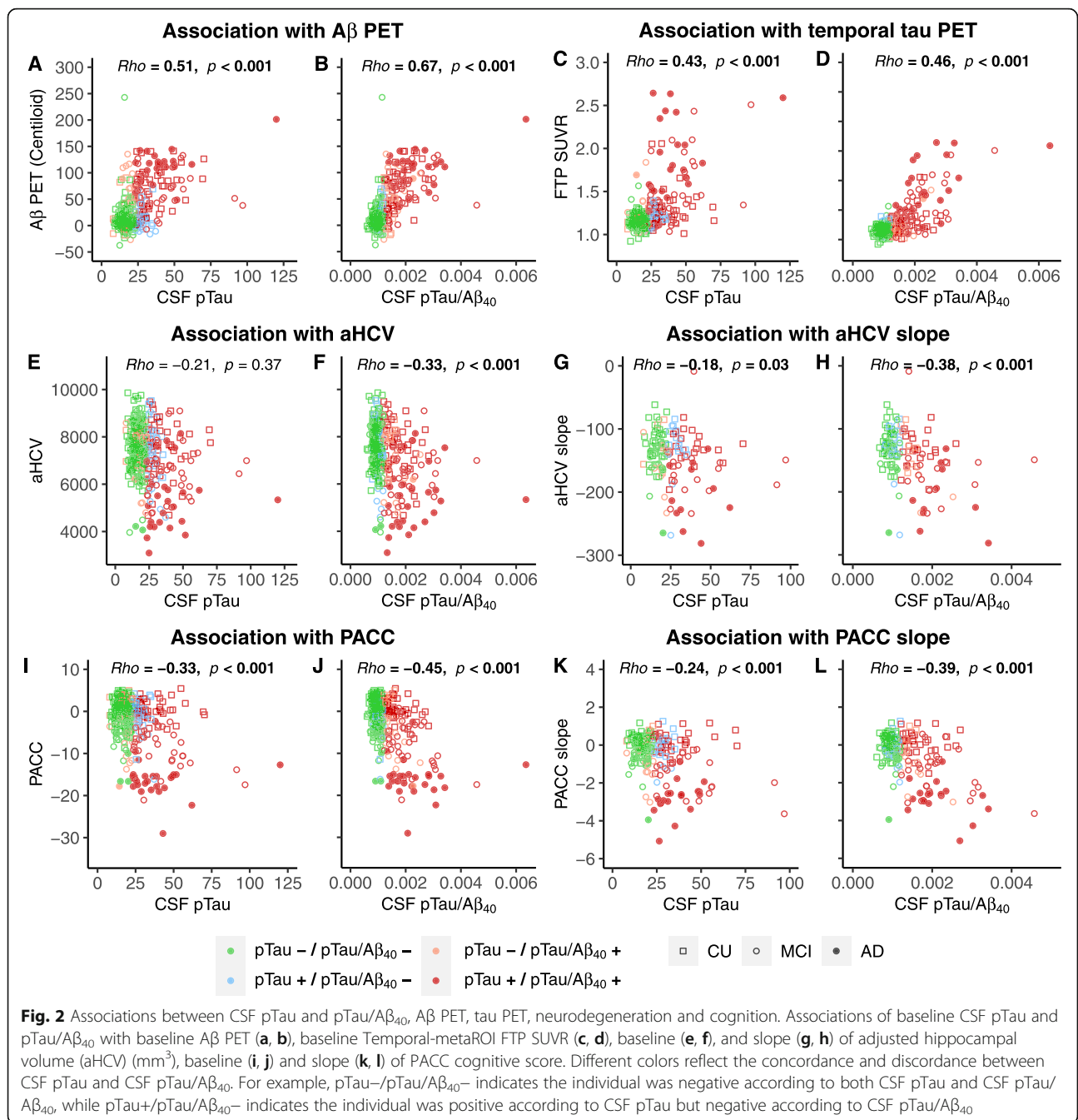
In order to investigate the predictive effect of baseline Aβ PET, CSF pTau/Aβ<sub>40</sub>, and FTP on subsequent ΔFTP and ΔPACC, we used these variables at baseline to predict subsequent ΔFTP and ΔPACC in participants with longitudinal tau PET and PACC data respectively. In order to explore temporal relationships between Aβ and tau, we also examined the sequential associations between baseline Aβ PET, CSF pTau/Aβ<sub>40</sub> ratio, FTP, and ΔFTP in Aβ+ participants using latent variable modeling (R; Lavaan package) [39].

For GLM models with non-Gaussian distribution outcomes (Aβ and tau PET), we used a “log” link function in the Gaussian family to study the associations between predictor and outcome. Spearman’s rank correlation coefficient (rho) was calculated between predictor and outcome. We selected  $p < 0.05$  as the significance level. All statistical analyses were performed in the statistical program R (v3.6.2, The R Foundation for Statistical Computing).

## Results

### Demographics

Measurements were acquired between September 21, 2015 and April 9, 2020. Demographics can be found in Table 1. In total, 341 participants had contemporaneous CSF Aβ<sub>40</sub>, Aβ<sub>42</sub> and pTau, Aβ PET, tau PET, structural MRI, and PACC cognitive score. At baseline, Aβ+ participants were significantly older and had greater CSF pTau, CSF pTau/Aβ<sub>40</sub> and Temporal-metaROI FTP SUVR, lower aHCV, lower cognitive test scores, and a higher percentage of APOE-ε4 carriers than Aβ- participants. Longitudinally, 116, 139, and 202 participants had



> 2 FTP PET scans (median follow-up 1.2 (range 0.7–3.3) years), structural MRI scans (median follow-up 1.4 (range 0.8–3.8) years), and PACC cognitive scores (median follow-up 1.2 (range 0.7–4.0) years) respectively.

**Use of CSF A $\beta_{40}$  to adjust CSF pTau**

CSF A $\beta_{40}$  was not associated with A $\beta$  PET or tau PET regardless of A $\beta$  PET status (Fig. 1a, b). Before normalizing to CSF A $\beta_{40}$ , CSF pTau was positively (standardized  $\beta$  ( $\beta_{std}$ ) = 0.59 [95% confidence interval (CI), 0.48, 0.71]) associated with CSF A $\beta_{42}$  in A $\beta$  PET- participants,

whereas no association was found in A $\beta$ + participants (Fig. 1c). We also verified that there was a similar positive association between CSF pTau and CSF A $\beta_{42}$  analyzed with mass spectrometry rather than the Roche Elecsys immunoassay in a partially overlapping (9.8%) sample of 384 A $\beta$ - participants (Supplemental Fig. 9). After normalizing CSF pTau using CSF A $\beta_{40}$ , CSF pTau/A $\beta_{40}$  was negatively (Fig. 1d) associated with CSF A $\beta_{42}$  in both A $\beta$ - ( $\beta_{std}$  = -0.27 [95% CI, -0.41, -0.13]) and A $\beta$ + ( $\beta_{std}$  = -0.32 [95% CI, -0.48, -0.15]) participants.

**Table 1** Characteristics of participants in this study

A $\beta$ PET status	A $\beta$ -	A $\beta$ +	<i>p</i> value
<b>341 participants with CSF A<math>\beta</math><sub>40</sub>, A<math>\beta</math><sub>42</sub> and pTau, A<math>\beta</math> PET, and tau PET</b>			
Sample size	195 (57%)	146 (43%)	
CU/MCI/AD	145/46/4	74/45/27	
Age (years)	70.4 (9.4)	74.7 (10.4)	< 0.001
Education (years)	18 (2)	16 (3)	0.07
Female (%)	115 (59%)	78 (53%)	0.44
APOE- $\epsilon$ 4 (%)	37 (19%)	83 (57%)	< 0.001
A $\beta$ PET (Centiloids)	4.9 (11.0)	71.2 (59.0)	< 0.001
CSF A $\beta$ <sub>42</sub>	1421 (817)	653 (377)	< 0.001
CSF A $\beta$ <sub>40</sub>	18,440 (7680)	17,770 (6150)	0.56
CSF pTau	17.8 (8.2)	27.2 (19.9)	< 0.001
CSF pTau/A $\beta$ <sub>40</sub>	0.0010 (0.0002)	0.0016 (0.0009)	< 0.001
FTP SUVR (Temporal-metaROI)	1.16 (0.08)	1.28 (0.27)	< 0.001
aHCV (mm <sup>3</sup> )	7530 (1469)	6990 (1750)	< 0.001
PACC	0.25 (5.06)	-2.33 (11.64)	< 0.001
<b>116 participants with <math>\geq 2</math> tau PET scans</b>			
Sample size	41 (35%)	75 (65%)	
CU/MCI/AD	26/14/1	39/25/11	
FTP visits (median (IQR, range), no.)	2.0 (1.0, 2-4)	2.0 (1.0, 2-4)	
FTP follow-up (Median (IQR, range), years)	1.8 (1.1, 0.8-3.3)	1.2 (1.0, 0.7-3.1)	
<b>139 participants with <math>\geq 2</math> aHCV data</b>			
Sample size	64 (46%)	75 (54%)	
CU/MCI/AD	42/20/2	39/24/12	
MRI visits (median (IQR, range), no.)	2.0 (0, 2-4)	2.0 (0.5, 2-4)	
MRI follow-up (median (IQR, range), years)	2.0 (1.0, 0.9-3.8)	1.2 (0.9, 0.8-3.2)	
<b>202 participants with <math>\geq 2</math> PACC measurements</b>			
Sample size	99 (49%)	103 (51%)	
CU/MCI/AD	60/37/2	49/36/18	
PACC visits (median (IQR, range), no.)	2 (0, 2-4)	2 (1, 2-5)	
PACC follow-up (median (IQR, range), years)	2.0 (1.0, 0.9-3.0)	1.1 (1.0, 0.7-4.0)	

Abbreviations: A $\beta$  amyloid- $\beta$ , AD Alzheimer's disease, aHCV adjusted hippocampal volume, CU cognitively unimpaired, FTP <sup>18</sup>F-flortaucipir, IQR interquartile range, MCI mild cognitive impairment, PACC Preclinical Alzheimer Cognitive Composite, pTau phosphorylated tau, SUVR standardized uptake value ratio

Notably, the association with A $\beta$  PET increased from rho value 0.51 when using CSF pTau alone to 0.67 using the CSF pTau/A $\beta$ <sub>40</sub> (Fig. 2a, b). Likewise, the association with tau PET increased from rho value 0.43 when using CSF pTau alone to 0.46 using the CSF pTau/A $\beta$ <sub>40</sub> (Fig. 2c, d). We also compared CSF pTau and CSF pTau/A $\beta$ <sub>40</sub> in terms of their associations with other measures of neurodegeneration biomarkers and cognition in order to further investigate the validity of CSF pTau/A $\beta$ <sub>40</sub>. CSF pTau/A $\beta$ <sub>40</sub> but not CSF pTau was negatively associated with baseline aHCV (Fig. 2e, f), and the association with aHCV slope increased from rho value -0.18 when using CSF pTau alone to -0.38 using the CSF pTau/A $\beta$ <sub>40</sub> (Fig. 2g, h). The association with baseline PACC and PACC slope increased

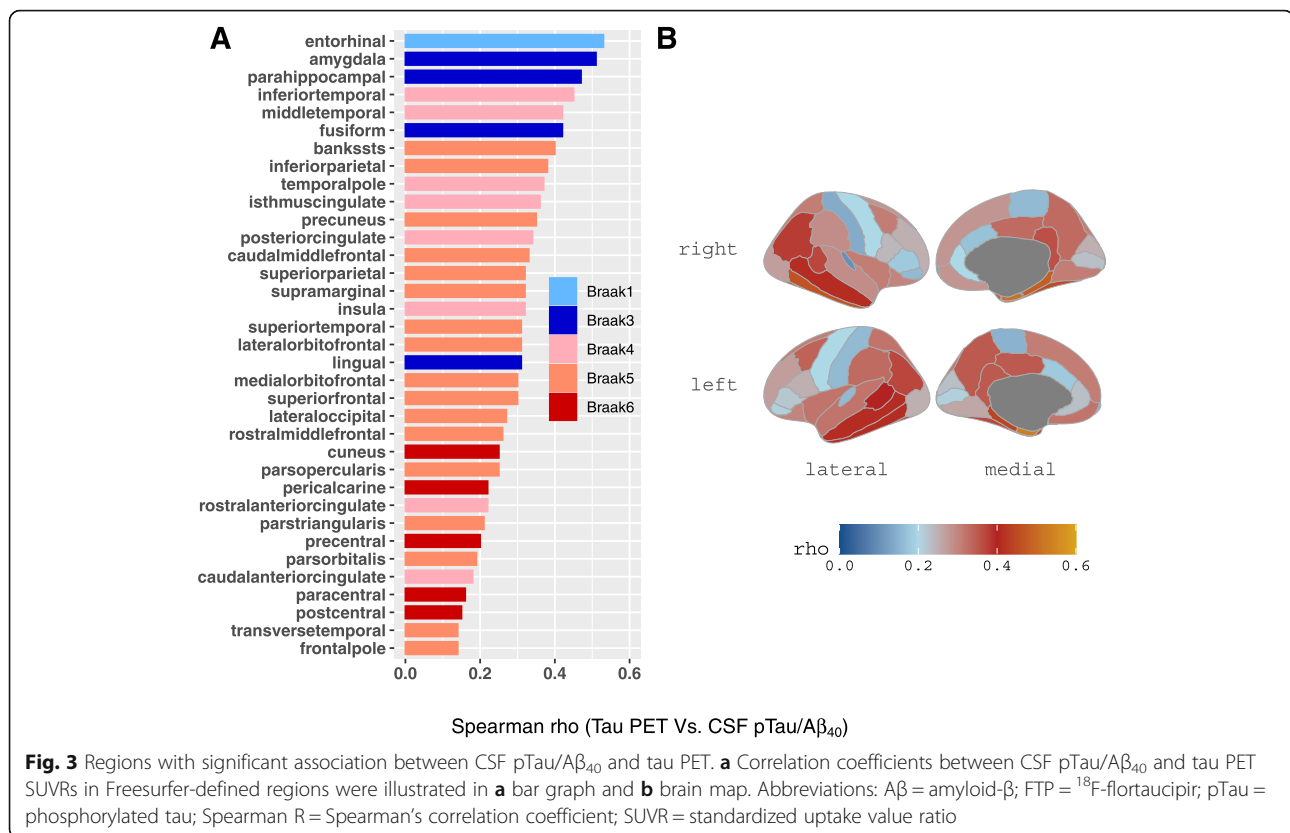
from rho values -0.33 and -0.24 when using CSF pTau alone to -0.45 and -0.39 using the CSF pTau/A $\beta$ <sub>40</sub> respectively (Fig. 2i, l).

Based on these findings, CSF pTau/A $\beta$ <sub>40</sub> was used to represent tauopathy in CSF instead of CSF pTau for all subsequent analyses.

We also found that CSF pTau and CSF pTau/A $\beta$ <sub>40</sub> were both more strongly associated with A $\beta$  PET than they were with tau PET (Fig. 2a-d).

#### Regions with significant associations between CSF pTau/A $\beta$ <sub>40</sub> and tau PET

CSF pTau/A $\beta$ <sub>40</sub> was significantly associated with tau PET SUVRs in all the 35 ROIs, and the strongest



association regions were within the Temporal-metaROI region (Fig. 3). We repeated these analyses in Aβ<sup>-</sup>, Aβ<sup>+</sup>, CU, and non-demented (CU and MCI) participants. The results were similar for Aβ<sup>+</sup> participants (supplemental Fig. 10A), whereas no association was found for Aβ<sup>-</sup> participants. Similar features were observed for CU and non-demented (CU and MCI) participants (supplemental Fig. 10B-C). Because the strongest associations between CSF pTau/Aβ<sub>40</sub> and tau PET were within the Temporal-metaROI (Fig. 3), which has been commonly used to detect tau deposition in brain [40–46], temporal tau PET (Temporal-metaROI FTP SUVR) was selected to represent tau deposition for further analyses unless otherwise noted.

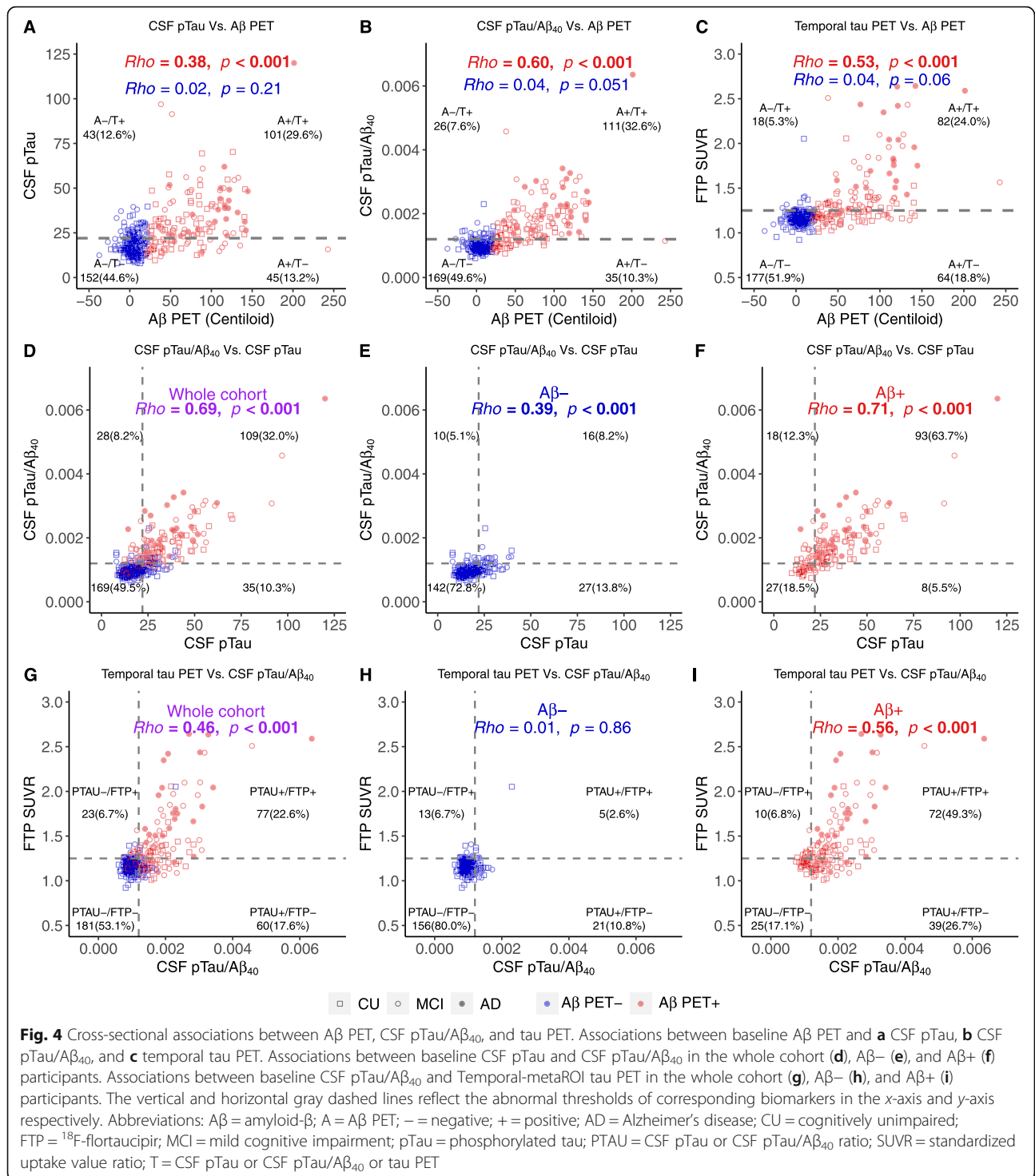
#### Cross-sectional associations between Aβ PET, CSF pTau/Aβ<sub>40</sub>, and tau PET

We found Aβ PET was significantly associated with CSF and PET tau measurements, which were driven by Aβ<sup>+</sup> individuals. Baseline Aβ PET was positively associated with CSF pTau (Fig. 4a,  $\beta_{std} = 0.32$  [95% CI, 0.15, 0.48]), CSF pTau/Aβ<sub>40</sub> (Fig. 4b,  $\beta_{std} = 0.43$  [95% CI, 0.28, 0.58]), and tau PET in Temporal-metaROI (Fig. 4c,  $\beta_{std} = 0.34$  [95% CI, 0.21, 0.48]) and entorhinal (Supplemental Fig. 11A,  $\beta_{std} = 0.36$  [95% CI, 0.23, 0.48]) in Aβ<sup>+</sup> participants. Notably, the association with Aβ PET increased

from rho value 0.38 when using CSF pTau alone to 0.60 using the CSF pTau/Aβ<sub>40</sub> (Fig. 4a). In Aβ<sup>-</sup> participants, Aβ PET was weakly but significantly associated with tau PET in entorhinal (Supplemental Fig. 11A,  $\beta_{std} = 0.17$  [95% CI, 0.02, 0.33]).

In order to investigate the prevalence of abnormal CSF pTau, CSF pTau/Aβ<sub>40</sub>, and tau PET (entorhinal or Temporal-metaROI), Aβ<sup>-</sup> and Aβ<sup>+</sup> participants were classified as tau normal (T<sup>-</sup>)/abnormal (T<sup>+</sup>) using CSF pTau or CSF pTau/Aβ<sub>40</sub> or tau PET thresholds, dividing the whole cohort into A<sup>-</sup>/T<sup>-</sup>, A<sup>-</sup>/T<sup>+</sup>, A<sup>+</sup>/T<sup>-</sup>, and A<sup>+</sup>/T<sup>+</sup> groups. Few Aβ<sup>-</sup> participants had abnormal CSF pTau/Aβ<sub>40</sub> (7.6%) and temporal tau PET (5.3%), whereas Aβ<sup>+</sup> participants showed a 3.0–4.5 times higher percentage of abnormal CSF pTau/Aβ<sub>40</sub> (32.6%) and temporal tau PET (24.0%) than Aβ<sup>-</sup> participants (Fig. 4b, c). Among Aβ<sup>-</sup> participants, abnormal CSF pTau had 1.66 times (12.6% vs. 7.6%) higher prevalence than abnormal CSF pTau/Aβ<sub>40</sub> (Fig. 4a, b). The results were similar for entorhinal tau PET (Supplemental Fig. 11A).

In order to determine the concordance between CSF pTau and CSF pTau/Aβ<sub>40</sub>, and between CSF and PET measures of tau, participants were classified as normal (-)/abnormal (+) on CSF pTau or CSF pTau/Aβ<sub>40</sub> (PTAU+/-) and entorhinal or Temporal-metaROI FTP SUVR (FTP+/-). Abnormal CSF pTau only had higher prevalence (Fig. 4e, 13.8% vs. 5.1%, odds ratio =



2.7[95%CI, 1.3–6.3],  $p = 0.008$ ) than abnormal CSF pTau/Aβ<sub>40</sub> only in Aβ<sup>-</sup> participants, whereas abnormal CSF pTau/Aβ<sub>40</sub> only had marginally higher prevalence (Fig. 4f, 12.3% vs. 5.5%, odds ratio = 2.3[95%CI, 0.9–6.0],  $p = 0.08$ ) than abnormal CSF pTau only in Aβ<sup>+</sup> participants. CSF pTau/Aβ<sub>40</sub> (Fig. 4g,  $\beta_{std} = 0.59$  [95% CI, 0.51, 0.68])

were positively associated with temporal tau PET across all participants. Aβ<sup>+</sup> participants were responsible for this relationship because no association was found in Aβ<sup>-</sup> participants (Fig. 4h, i). We found that in Aβ<sup>-</sup> participants, the proportion of participants with abnormal CSF pTau/Aβ<sub>40</sub> only was comparable to those with an abnormal temporal



tau PET only (10.8% vs. 6.7%) (Fig. 4h). In contrast, in Aβ+ participants, those with abnormal CSF pTau/Aβ40 only were fourfold more prevalent than the abnormal temporal tau PET only (Fig. 4i, 26.7% vs. 6.8%, odds ratio = 3.9[95%CI, 1.9–8.8], *p* < 0.001). The results were similar for entorhinal tau PET (Supplemental Fig. 11B–D).

The conservative cutoffs of CSF pTau, CSF pTau/Aβ40, entorhinal tau PET, and temporal tau PET were higher and defined fewer “T+” individuals, while the results of concordance of different biomarkers were substantially the same as the initial cutoffs (Supplemental Figs. 12–13).

**Associations between Aβ PET, CSF pTau/Aβ40, tau PET and longitudinal tau PET change**

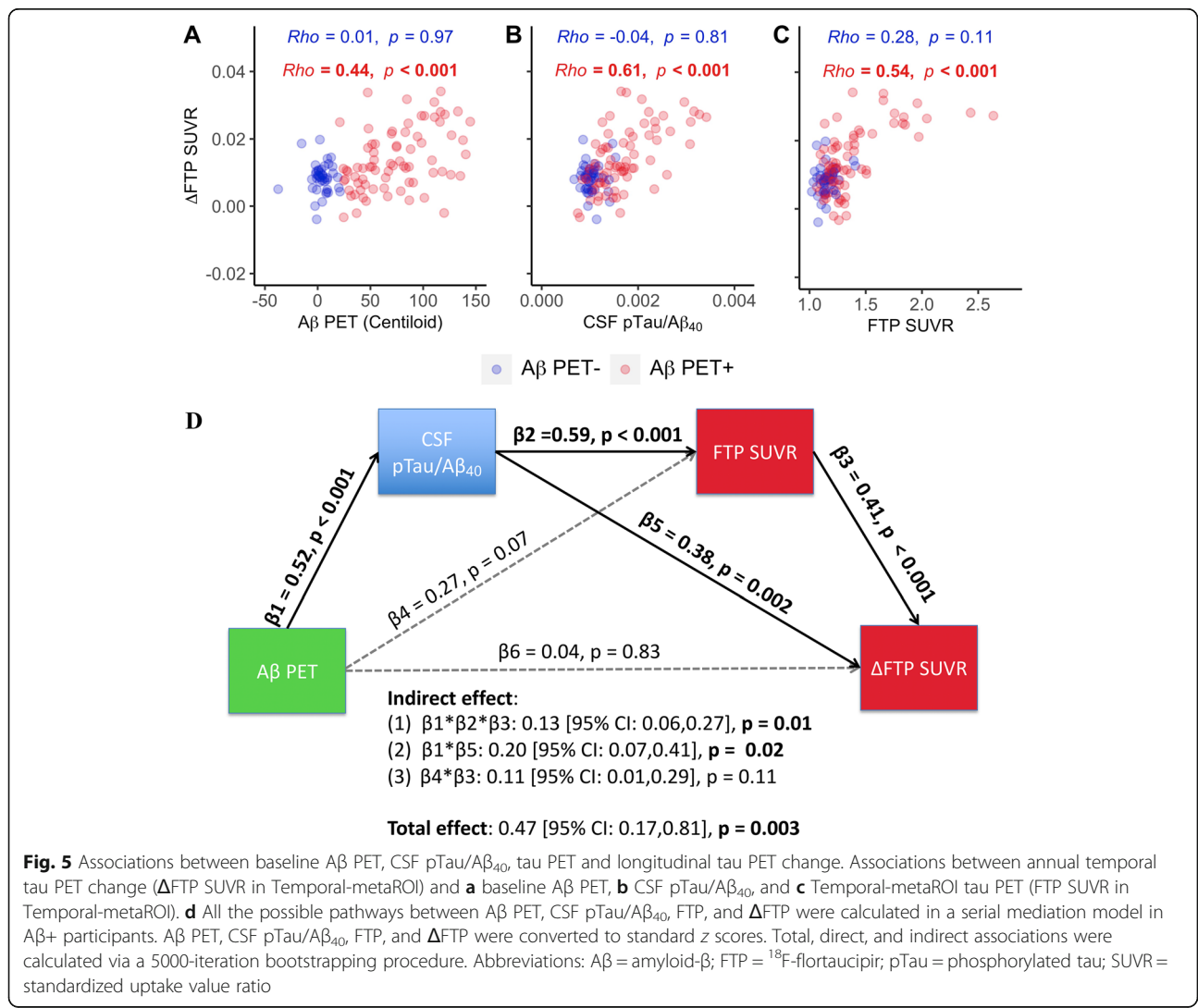
Baseline Aβ PET (Fig. 5a, β<sub>std</sub> = 0.42 [95% CI, 0.22, 0.63]), CSF pTau/Aβ40 (Fig. 5b, β<sub>std</sub> = 0.61 [95% CI, 0.43, 0.79]), and Temporal-metaROI tau PET (Fig. 5c, β<sub>std</sub> = 0.63 [95% CI, 0.45, 0.81]) were all associated

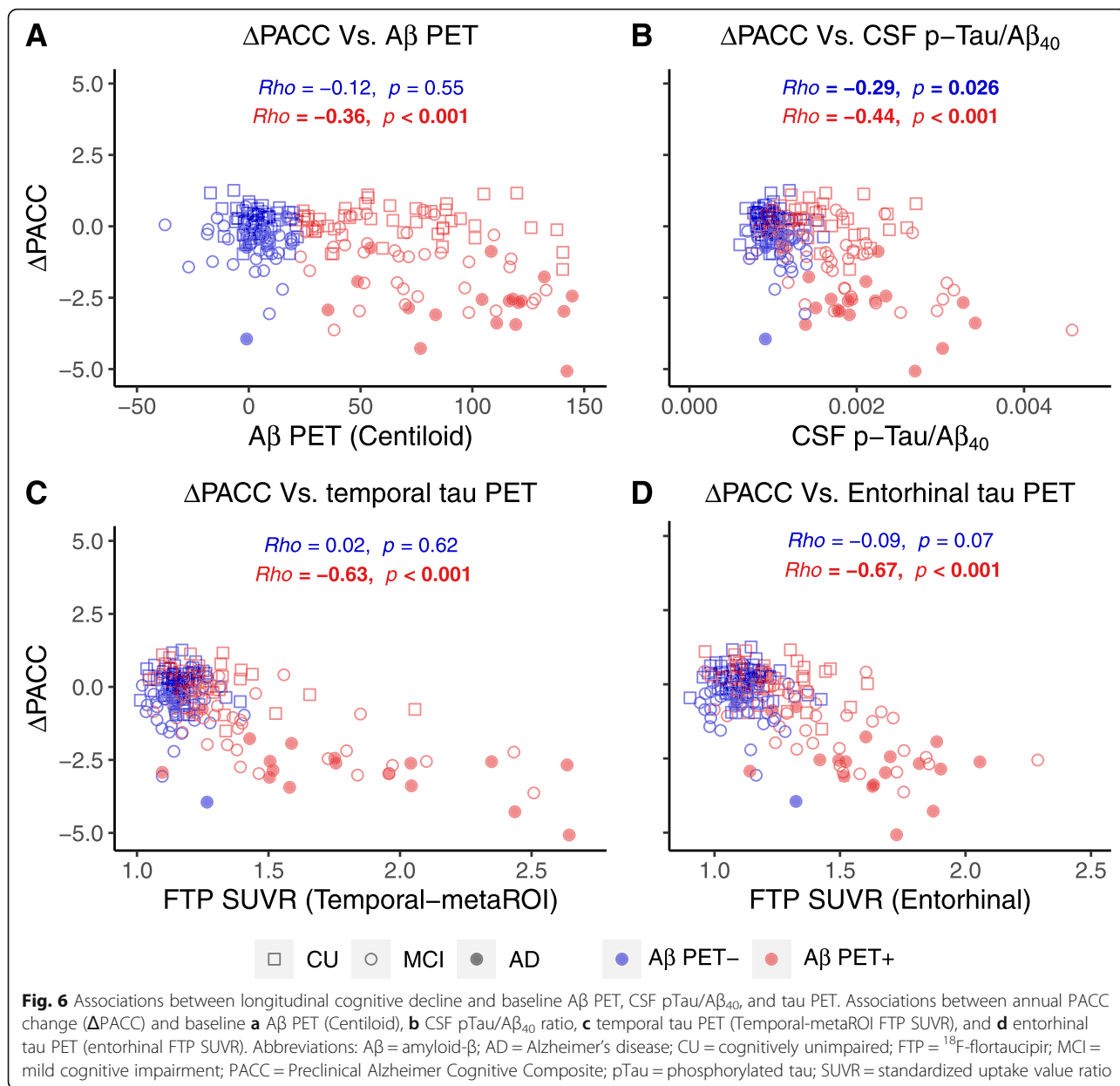
with subsequent tau PET increase (ΔFTP) in Aβ+ participants (Fig. 5a–c). In contrast, no predictive effect was found in Aβ- participants.

The latent variable model demonstrated that the direct association between Aβ and ΔFTP increase in Aβ+ participants was not significant after including the CSF pTau/Aβ40 and FTP (Fig. 5d), reducing the β value from 0.47 to 0.04 (91% change). CSF pTau/Aβ40-involved pathways (pathway1: from Aβ PET to CSF pTau/Aβ40 to ΔFTP; pathway2: from Aβ PET to CSF pTau/Aβ40 to FTP to ΔFTP) explained 70% of the association (total effect) between Aβ PET and ΔFTP increase in Aβ+ participants.

**Prediction of longitudinal cognitive decline**

Baseline Aβ PET (Fig. 6a, β<sub>std</sub> = -0.41 [95% CI, -0.59, -0.23]), CSF pTau/Aβ40 (Fig. 6b, β<sub>std</sub> = -0.53 [95% CI, -0.69, -0.36]), and Temporal-metaROI tau PET (Fig. 6c, β<sub>std</sub> = -0.73 [95% CI, -0.86, -0.60]) were all associated





with subsequent cognitive decline in A $\beta$ + participants (Fig. 6), whereas only tau PET ( $\beta_{std} = -0.68$ [95% CI,  $-0.87, -0.48$ ],  $p < 0.001$ ) remained predictive when all variables were added into one multivariate model. The results were similar for entorhinal tau PET. In contrast, only CSF pTau/A $\beta_{40}$  ( $\beta_{std} = -0.22$ [95% CI,  $-0.42, -0.03$ ],  $p = 0.03$ ) was associated with subsequent cognitive decline in A $\beta$ - participants.

**Discussion**

This study had several primary findings: (1) use of a CSF pTau/A $\beta_{40}$  ratio reduced noise in pTau likely introduced by individual variability in CSF production rates, and increased associations with A $\beta$  PET, tau PET, hippocampal

volume, and cognition compared with CSF pTau alone. (2) Tau PET associations with CSF pTau/A $\beta_{40}$  were highest in medial and lateral temporal regions. (3) Associations between A $\beta$  PET, CSF pTau/A $\beta_{40}$ , and tau PET (cross-sectionally and longitudinally) were substantially driven by A $\beta$  PET-positive individuals. (4) Among these A $\beta$ + individuals, most participants (66%) were concordant on CSF pTau/A $\beta_{40}$  and Temporal-metaROI tau PET, but among discordant individuals, those with abnormal CSF pTau/A $\beta_{40}$  and normal tau PET were 4 times more prevalent (26.7%) than those with abnormal tau PET and normal CSF pTau/A $\beta_{40}$  (6.8%). (5) Among these A $\beta$ + individuals, baseline A $\beta$  PET, CSF pTau/A $\beta_{40}$ , and tau PET were all associated with subsequent tau PET increase, while CSF

pTau/A $\beta_{40}$  significantly mediates the association between A $\beta$  PET and tau PET (cross-sectionally and longitudinally). (6) Only tau PET was predictive of longitudinal cognitive decline when baseline A $\beta$  PET, CSF pTau/A $\beta_{40}$ , and tau PET were put in one multivariate model.

Our motivation to adjust CSF pTau measurements was based on our observation that A $\beta$  PET-negative individuals had abnormal (“positive”) CSF pTau that correlated positively with high (“normal”) CSF A $\beta_{42}$  (Fig. 1c), suggesting that these elevated measurements reflect high CSF total production rate but not abnormal tau. Similar patterns of elevated pTau and CSF A $\beta_{42}$  in the negative range that are presumably artifactual have been observed in other recent studies from ADNI, BIOFINDER, and Washington University [7, 16], and with CSF data analyzed with mass spectrometry (Supplementary Fig. 9) and immunoassays. CSF pTau/A $\beta_{40}$  appears to be a compelling strategy for improving sensitivity to CSF tau pathology, since this approach reversed the biologically implausible association between CSF pTau and A $\beta_{42}$  and improved associations with downstream markers of AD progression compared with CSF pTau alone. Because CSF A $\beta_{40}$  was not associated with PET measures of either A $\beta$  or tau (Fig. 1a, b) and is not elevated in AD [21–29], its use as a normalization variable is unlikely to bias estimates of CSF pTau. This strategy is in line with recent work supporting use of CSF A $\beta_{42}$ /A $\beta_{40}$  instead of CSF A $\beta_{42}$  alone [6, 7, 17–19], and use of CSF pTau/tTau instead of CSF pTau [47]. However, our results did not exclude other possibilities for the enhanced associations between CSF pTau/A $\beta_{40}$  and downstream markers of AD progression. For example, a few studies [48–51] have reported that CSF A $\beta_{40}$  may decrease in cognitively impaired individuals, which may thereby increase the CSF pTau/A $\beta_{40}$  ratios of cognitively impaired individuals. In addition, one animal study [52] observed that CSF A $\beta_{40}$  may increase in the earliest phase of A $\beta$  accumulation in mouse models, which may delay the increase of CSF pTau/A $\beta_{40}$  in the preclinical stage of AD. We found only trend-level decreases in CSF A $\beta_{40}$  in A $\beta$ -unimpaired and A $\beta$ + impaired groups relative to A $\beta$ + unimpaired individuals (data not shown), but it is possible that early and late changes in CSF A $\beta_{40}$  may contribute to the tau-related effects we observed.

Associations between CSF pTau/A $\beta_{40}$  and tau PET were stronger in ROIs in the temporal lobe than other areas such as frontal and occipital lobes that accumulate tau in later stages of disease [53, 54], consistent with our observation and recent studies [14, 15, 55] that CSF tauopathy is an early marker of tau pathology. The strongest associations were within the medial and lateral temporal regions that overlapped with a tau composite region (Temporal-metaROI) reported previously as well as a “Braak III/IV” like ROI [40, 41, 45, 56]. Notably, the

relationship between CSF pTau/A $\beta_{40}$  and tau PET was primarily driven by A $\beta$  PET positivity and less influenced by clinical diagnosis (Supplementary Fig. 10), which could also reflect a greater range of tau pathology in A $\beta$ + individuals and a stronger relationship between A $\beta$  and tau than between tau and clinical symptoms [57, 58]. Consistent with the present study, Chhatwal et al. [10] reported a significant association between CSF pTau and tau PET in limbic regions of the temporal lobe in CU elderly adults. However, two studies [9, 12] did not find significant association between CSF pTau and tau PET in CU individuals, perhaps due to methodological factors such as sample size and the use of CSF pTau alone rather than the CSF pTau/A $\beta_{40}$  ratio.

Elevated A $\beta$  PET was weakly associated with greater tau (CSF pTau/A $\beta_{40}$  or tau PET) in the A $\beta$ - individuals, which was in line with previous reports [59–62]. However, also consistent with previous studies [42, 63, 64], we found that tau (CSF pTau/A $\beta_{40}$  or tau PET) was rarely (5.3–7.9%) abnormal in the A $\beta$ - range (Fig. 4). Furthermore, baseline A $\beta$  PET, CSF pTau/A $\beta_{40}$ , and tau PET were predictive of subsequent tau PET increase in the A $\beta$ + group only, which is in agreement with recent tau PET studies [40, 65]. Together, these findings suggest that tau is rarely increasing or abnormal when A $\beta$  is absent.

In line with our findings, one recent study [15] also reported that CSF pTau mediated the association between A $\beta$  PET and tau PET, and higher CSF pTau was associated with faster tau PET increase rates in cognitively impaired individuals. Unlike this study, we found baseline tau PET was also related to the tau PET rate. The discrepancy may be explained by the larger sample size and the use of white matter reference for longitudinal tau PET in the present study. In the mediation analyses, two significant CSF pTau/A $\beta_{40}$ -linked pathways were identified, which explained 70% of the association between A $\beta$  PET and longitudinal brain tau accumulation among A $\beta$ + individuals.

Finally, consistent with three recent reports [14, 15, 66], we found that tau PET was more predictive of subsequent cognitive decline than CSF tau among A $\beta$ + individuals, suggesting brain tau may reflect a later tau stage closer to cognitive decline than CSF tau on the Alzheimer’s continuum. Interestingly, previous comparisons of CSF and PET measurements of A $\beta$  were analogous in showing that cognitive decline is more related to A $\beta$  PET than CSF A $\beta$  [1, 3, 67, 68]. We also noticed that higher CSF pTau/A $\beta_{40}$  was significantly related to faster longitudinal cognitive decline in amyloid-negative individuals. No previous studies reported the association between CSF pTau and cognitive decline in amyloid-negative individuals, which should be cautious to interpret this result and may need to be validated in other samples.

This study has several limitations. The CSF pTau/A $\beta_{40}$  threshold was derived from the existing sample of ADNI participants and only pTau<sub>181</sub> was available in the ADNI sample at this time, so it would be helpful to validate the findings in other samples and with other phosphorylation sites (i.e., pTau<sub>217</sub> [47, 69]) and tau PET ligands. Furthermore, only 9% (31/341) of the participants in this study were AD patients and the longitudinal observation was of relatively short duration, so it would be helpful to confirm those findings using additional participants and extended longitudinal data. Finally, one possible explanation for the differences we observed between tau PET and CSF pTau measurements is that CSF pTau may reflect A $\beta$  in addition to tau pathology. Our observation that both CSF pTau and CSF pTau/A $\beta_{40}$  had stronger associations with A $\beta$  PET than they did with tau PET (Fig. 2a–d) is consistent with this possibility, but further pathology studies are needed to verify this interpretation.

## Conclusions

In summary, we found that the use of a CSF pTau/A $\beta_{40}$  ratio improves the sensitivity to detect CSF tau by adjusting for individual differences in CSF production. Furthermore, although PET and CSF measures of tau are broadly concordant in the majority (76%) of individuals when measured dichotomously, our findings support recent work [14] indicating that CSF and PET measures of tau may not be interchangeable in the A/T/N research framework [70]. Among amyloid-positive individuals, higher tauopathy measured with CSF and PET is related to faster tau accumulation, while tau PET was more predictive of subsequent cognitive decline than CSF tau. Taken together, these findings suggest that the interchangeability of PET and CSF measures of tau likely depends on the goals of the study, the phase of AD being studied, and the clinical characteristics of the population.

## Supplementary information

**Supplementary information** accompanies this paper at <https://doi.org/10.1186/s13195-020-00665-8>.

**Additional file 1: Figure S1.** The ROC analysis using the Youden index classifying 280 A $\beta$ - ADNI cognitively unimpaired (CU) participants and 183 A $\beta$  + ADNI MCI and AD patients as the endpoint to define the cutoff  $\geq 1.25$  for Temporal-metaROI FTP SUVR. AUC: 0.876 (95%CI, 0.84, 0.912). Among these 463 ADNI participants, 217 (47%) participants were included in the analyses of the manuscript. **Figure S2.** Histograms of Temporal-metaROI FTP SUVRs of (A) all 775 ADNI participants, (B) 280 A $\beta$ - ADNI CU participants and (C) 183 A $\beta$  + ADNI MCI and AD patients with tau PET scan. Red dotted line is the cutoff of Temporal-metaROI FTP SUVR 1.25. **Figure S3.** The ROC analysis using the Youden index classifying 280 A $\beta$ - ADNI CU participants and 183 A $\beta$  + ADNI MCI and AD patients as the endpoint to define the cutoff  $\geq 1.21$  for entorhinal FTP SUVR. AUC: 0.891 (95%CI, 0.856, 0.926). **Figure S4.** Histograms of entorhinal FTP SUVRs of (A) all 775 ADNI participants, (B) 280 A $\beta$ - ADNI CU participants and (C) 183 A $\beta$  + ADNI MCI and AD patients with tau PET scan. Red dotted line is the cutoff of entorhinal FTP SUVR 1.21. **Figure S5.** The ROC analysis using the Youden index classifying 320 A $\beta$ - ADNI CU participants and

429 A $\beta$  + ADNI MCI and AD patients as the endpoint to define the cutoff  $\geq 22$  for CSF p-Tau. AUC: 0.865 (95%CI, 0.84, 0.89). Among these 749 ADNI participants, 212 (28%) participants were included in the analyses of the manuscript. **Figure S6.** Histograms of CSF p-Tau of (A) all 1534 ADNI participants, (B) 320 A $\beta$ - ADNI CU participants and (C) 429 A $\beta$  + ADNI MCI and AD patients with CSF p-Tau measurement. Red dotted line is the cutoff of CSF p-Tau 22. **Figure S7.** The ROC analysis using the Youden index classifying 169 A $\beta$ - ADNI CU participants and 160 A $\beta$  + ADNI MCI and AD patients as the endpoint to define the cutoff  $\geq 0.0012$  for CSF p-Tau/A $\beta_{40}$  ratio. AUC: 0.976 (95%CI, 0.96, 0.99). Among these 329 ADNI participants, 201 (61%) participants were included in the analyses of the manuscript. **Figure S8.** Histograms of CSF p-Tau/A $\beta_{40}$  for (A) all 447 ADNI participants, (B) 169 A $\beta$ - ADNI CU participants and (C) 160 A $\beta$  + ADNI MCI and AD patients with CSF p-Tau/A $\beta_{40}$ . Red dotted line is the 0.0012 cutoff for the CSF p-Tau/A $\beta_{40}$  ratio. **Figure S9.** Cross-sectional associations between CSF MASS A $\beta_{42}$  and CSF p-Tau. The vertical gray dashed line reflects the abnormal threshold of CSF p-Tau. Abbreviations: p-Tau = phosphorylated tau; A $\beta$  = amyloid- $\beta$ ; CU = cognitively unimpaired; MCI = mild cognitive impairment; AD = Alzheimer's disease. **Figure S10.** Regions with significant association between CSF P-tau and FTP tau in (A) A $\beta$ +, (B) CU and (C) non-demented participants. Abbreviations: Spearman rho = Spearman's correlation coefficient; p-Tau = phosphorylated tau; A $\beta$  = amyloid- $\beta$ ; FTP = <sup>18</sup>F-flortaucipir; SUVR = standardized uptake value ratio; CU = cognitively unimpaired; MCI = mild cognitive impairment; AD = Alzheimer's disease. **Figure S11.** Cross-sectional associations between A $\beta$  PET, CSF p-Tau/A $\beta_{40}$  and entorhinal tau PET. (A). Associations between baseline entorhinal tau PET and A $\beta$  PET. Associations between baseline CSF p-Tau/A $\beta_{40}$  and entorhinal tau PET in the whole cohort (B), A $\beta$ - (C) and A $\beta$  + (D) participants. The vertical and horizontal gray dashed lines reflect the abnormal thresholds of corresponding biomarkers in x-axis and y-axis respectively. Abbreviations: A $\beta$  = amyloid- $\beta$ ; A = A $\beta$  PET; - = negative; + = positive; AD = Alzheimer's disease; CU = cognitively unimpaired; FTP = <sup>18</sup>F-flortaucipir; MCI = mild cognitive impairment. **Figure S12.** Cross-sectional associations between A $\beta$  PET, CSF p-Tau/A $\beta_{40}$  and tau PET using alternative cutoffs. Associations between baseline A $\beta$  PET and (A) CSF pTau, (B) CSF pTau/A $\beta_{40}$  and (C) temporal tau PET. Associations between baseline CSF pTau and CSF pTau/A $\beta_{40}$  in the whole cohort (D), A $\beta$ - (E) and A $\beta$  + (F) participants. Associations between baseline CSF pTau/A $\beta_{40}$  and Temporal-metaROI tau PET in the whole cohort (G), A $\beta$ - (H) and A $\beta$  + (I) participants. The vertical and horizontal gray dashed lines reflect the abnormal thresholds of corresponding biomarkers in x-axis and y-axis respectively. Abbreviations: A $\beta$  = amyloid- $\beta$ ; A = A $\beta$  PET; - = negative; + = positive; AD = Alzheimer's disease; CU = cognitively unimpaired; FTP = <sup>18</sup>F-flortaucipir; MCI = mild cognitive impairment; pTau = phosphorylated tau; PTAU = CSF pTau or CSF pTau/A $\beta_{40}$  ratio; SUVR = standardized uptake value ratio; T = CSF pTau or CSF pTau/A $\beta_{40}$  or tau PET. **Figure S13.** Cross-sectional associations between A $\beta$  PET, CSF p-Tau/A $\beta_{40}$  and entorhinal tau PET using alternative cutoffs. (A). Associations between baseline entorhinal tau PET and A $\beta$  PET. Associations between baseline CSF p-Tau/A $\beta_{40}$  and entorhinal tau PET in the whole cohort (B), A $\beta$ - (C) and A $\beta$  + (D) participants. The vertical and horizontal gray dashed lines reflect the abnormal thresholds of corresponding biomarkers in x-axis and y-axis respectively. Abbreviations: A $\beta$  = amyloid- $\beta$ ; A = A $\beta$  PET; - = negative; + = positive; AD = Alzheimer's disease; CU = cognitively unimpaired; FTP = <sup>18</sup>F-flortaucipir; MCI = mild cognitive impairment.

## Abbreviations

A $\beta$ : Amyloid- $\beta$ ; ADNI: Alzheimer's Disease Neuroimaging Initiative;  $\beta_{std}$ : Standardized  $\beta$  coefficient; CSF pTau: CSF phosphorylated tau; CU: Cognitively unimpaired; CI: Confidence interval; FTP: <sup>18</sup>F-flortaucipir; FBP: <sup>18</sup>F-florbetapir; FBB: <sup>18</sup>F-florbetaben; GLM: Generalized linear model; MCI: Mild cognitive impairment; PACC: Preclinical Alzheimer Cognitive Composite; ROI: Regions of interest; SNAP: Suspected non-Alzheimer's pathology; SUVR: Standardized uptake value ratio

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#### Authors' contributions

T.G contributed to the study design, drafting and editing of the manuscript, data and statistical analysis, and interpretation of results; D.K contributed to acquiring data and editing the manuscript; R.L contributed to interpretation of results and editing the manuscript. L.M.S. and J.Q.T contributed to acquiring data, interpretation of results, obtaining funding, and editing the manuscript; W.J.J and S.M.L contributed to acquiring data, interpretation of results, obtaining funding, editing the manuscript, and study supervision. The author(s) read and approved the final manuscript.

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#### Availability of data and materials

The dataset supporting the conclusions of this article is available in the ADNI repository ([adni.loni.usc.edu](http://adni.loni.usc.edu)). Derived data is available from the corresponding author on request by any qualified investigator subject to a data use agreement.

#### Ethics approval and consent to participate

All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and/or national research committee and with the principles of the 1964 Declaration of Helsinki and its later amendments or comparable ethical standards. Formed written consent was obtained from all participants at each site of ADNI.

#### Consent for publication

Not applicable.

#### Competing interests

The authors declare that they have no competing interests.

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